MORSE FUNCTIONS AND SUBMANIFOLDS OF HYPERBOLIC SPACE

TOSHIHIKO IKAWA

ABSTRACT. We study the hypersurface of the Hyperbolic space H^{2m+1} . In H^{2m+1} , there are Morse functions. If we assume that these Morse functions have index 0, *m* or 2m at all these critical points, then we can determine the hypersurface.

1. Introduction. Let M be a differentiable manifold of class C^{∞} . By a Morse function f of M, we mean a differentiable function on M having only non-degenerate critical points.

In [5], Nomizu and Rodriguez showed the following result of a geometric nature analogous to Reeb's Theorem. If M (dim $M = n \ge 2$) is a connected, complete Riemannian manifold isometrically immersed in R^{n+p} such that every Morse function of the form L_p has index 0 or n at any of its critical points, then M is embedded as a Euclidean subspace or a Euclidean n-sphere. Here $L_p(x) = (d(x, p))^2$, $p \in R^{n+p}$, $x \in M$ and d is the Euclidean distance function (see also [4]).

Cecil [1] characterized the metric spheres in hyperbolic space H^m in terms of hyperbolic distance functions L_p . In [2], Cecil and Ryan studied umbilic submanifolds in a hyperbolic space through the introduction of new classes of Morse functions, L_{π} (directed distance from a hyperplane) and L_h (directed distance from a horosphere). They proved the following theorem.

THEOREM A. Let M^n , $(n \ge 2)$, be a connected, complete Riemannian manifold isometrically immersed in H^m . Every Morse function of the form L_p or L_{π} has index 0 or n at all its critical points if and only if M^n is embedded as a sphere, horosphere or equidistant hypersurface in a totally geodesic $H^{n+1} \subset H^m$.

In this paper, we shall study more general submanifolds in a hyperbolic space using Morse functions. For background material and notation, we refer the reader to [2].

Copyright © 1984 Rocky Mountain Mathematics Consortium

AMS(MOS) 1970 subject classification: Primary 50C05, 58E05; Secondary 53A35, 53C40.

Key words and phrases: Morse function, index, eigenvalues of the second fundamental form.

Received by the editors on May 16, 1981, and in revised form on March 15, 1982.

2. Theorems.

THEOREM 1. Let M be a connected, complete Riemannian manifold isometrically immersed in H^{2m+1} (m > 1) as a hypersurface with constant mean curvature. Every Morse function of the form L_p , L_{π} or L_h has index 0, m or 2m at all its critical points if and only if M is embedded as a sphere, horosphere or equidistant hypersurface or as a standard product $S^m(c_1) \times H^m(c_2)$ (see [6, p. 252]).

THEOREM 2. Let M be as in Theorem 1. If every Morse fundtion of the form L_p , L_{π} or L_h has exactly two critical points, then M is a sphere or horosphere.

These theorems are immediate consequences of the following Lemma.

LEMMA. Under the assumption of Theorem 1, the second fundamental form has at most two distinct eigenvalues at each point.

PROOF. Let $x \in M$ and ξ be a field of unit normal vectors. Let *a* be the eigenvalue of A^{ξ} with largest absolute value. If a = 0, then all of the eigenvalues are equal as desired. If not, we may assume that a > 0.

We assume that a > 1. Take t_1 such that $b < \coth t_1 < a$, where b is the next largest positive eigenvalue, if any. If a is the only positive eigenvalue, just consider $\coth t_1 < a$. Then for $p = (\cosh t_1)x + (\sinh t_1)\xi$, L_p has x as a non-degenerate critical point. The index at x is equal to the multiplicity, say k, of the eigenvalue a. Then we have k = 2m or m. If k = 2m, then a is an eigenvalue of A^{ξ} with multiplicity 2m, so that x is umbilic.

Suppose that k = m. Then the following two subcases should be discussed.

(i) There exists a positive eigenvalue of A^{ξ} other than a.

(ii) The opposite of (i).

First we consider (i). Assume that b is the next largest positive eigenvalue of A^{ξ} and that b > 1. Then we take $t_2 > 0$ such that $a > \coth t_1 > b > \cot t_2 > c$, where c is the third largest positive eigenvalue, if any (if a and b are the only positive eigenvalues, just consider $a > \coth t_1 > b > \coth t_2$). Then for

$$p = (\cosh t_2)x + (\sinh t_2)\xi,$$

 L_p has non-degenerate critical point at x with index m. Thus multiplicity of b is m.

If $b \leq 1$, we use the L_{π} function. We take t_2 such that

$$a > \operatorname{coth} t_1 > 1 \ge b > \operatorname{tanh} t_2 > c$$

where c is the third largest positive eigenvalue if any (if a and b are the only

positive eigenvalues, just consider $a > \coth t_1 > 1 \ge b > \tanh t_2$). Then for

$$\sigma = (\sinh t_2)x + (\cosh t_2)\xi, \quad \sigma \in \Sigma^{2m+1}, \quad \pi = \Omega(\sigma, 0)$$

 L_{π} has non-degenerate critical point at x with index m. Thus the multiplicity of b is m.

(ii) If there exist non-zero eigenvalues of A^{ξ} other than a, then let b be the smallest eigenvalue of A^{ξ} . Note that -b is the largest positive eigenvalue of $A^{-\xi}$. We take $t_2 > 0$ such that $-b > \coth t_2 > -c$, where c is the next smallest eigenvalue of A^{ξ} such that -c > 1 (resp. -c < 1) if any (if b is the only negative eigenvalue of A^{ξ} , just consider $-b > \coth t_2$). By the same argument as above, for

$$p = (\cosh t_2)x + (\sinh t_2)\xi, (\operatorname{resp.} \sigma = (\sinh t_2)x + (\cosh t_2)\xi),$$

 L_p (resp. L_π) has x as a non-degenerate critical point of index m. Thus the multiplicity of b is also m. Therefore A^{ξ} has at most two distinct eigenvalues at each point.

If $a \leq 1$, we consider the distance function L_{π} , and we have the same conclusion.

This completes the proof of the lemma.

As m > 1 and the mean curvature of M is constant, the eigenvalues of the second fundamental form are constant. Hence from [6] we have the conclusion of the Theorems.

REFERENCES

1. T.E. Cecil, A characterization of metric spheres in hyperbolic space by Morse theory, Tôhoku Math. J. 26 (1974), 341-351.

2. — and P.J. Ryan, Distance functions and umbilic submanifolds of hyperbolic space, Nagoya Math. J. 74 (1979), 67-75.

3. S. Kobayashi and K. Nomizu, Foundations of Differential Geometry, Wiley Interscience, New York, I (1963), II (1969).

4. Y. Matsuyama. On a certain hypersurfaces of R^{2m+1} , Kōdai Math. J. 2 (1979), 272-212.

5. K. Nomizu and L. Rodriguez, Umbilical submanifolds and Morse functions, Nagoya Math. J. 48 (1972), 197-201.

6. P.J. Ryan, Homogeneity and some curvature conditions for hypersurfaces, Tôhoku Math. J. 21 (1969), 363-388.

7. -----, Hypersurfaces with parallel Ricci tensor, Osaka J. Math. 8 (1971), 251-259.

NIHON UNIVERSITY