

SEMICOMPACT COZERO-FIELDS AND UNIFORM SPACES

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ABSTRACT. A cozero-field \mathcal{A} is semicompact if each countable \mathcal{A} -cover has a finite subcover. This paper examines those uniform spaces X for which $\text{coz } X$ is semicompact, and shows that each of the following conditions (among others) characterizes such spaces: Each completely additive $\text{coz } X$ -cover has a finite subcover; X is the unique member of its cozero class; X is the unique member of its proximity class and each finite $\text{coz } X$ -cover is uniform; X is precompact, and either cozero-fine or metric-fine; X is G_δ -dense in its Samuel compactification; Each metric uniformly continuous image of X is compact.

1. **Alexandroff Spaces.** We use the terminology of §1 of [8d]. Briefly: A pair $\langle X, \mathcal{A} \rangle$, where X is a set and \mathcal{A} is a separated *cozero-field* of subsets, is called an Alexandroff space or A -space, the members of \mathcal{A} are called cozero-sets (and the complements zero-sets), and an A -morphism between A -spaces is a function inversely preserving cozero-sets. When possible, we just write X for $\langle X, \mathcal{A} \rangle$. If X and Y are A -spaces, $A(X, Y)$ stands for the set of A -morphisms from X to Y . For $A(X, R)$ we just write $A(X)$ (R being the reals, whose topology is a cozero-field); $A^*(X)$ denotes the subset of bounded functions. For any A -space $\langle X, \mathcal{A} \rangle$, we have from [1] that $\mathcal{A} = \{\text{coz } f \mid f \in A(X)\}$, where $\text{coz } f = \{x \mid f(x) \neq 0\}$. A topology τ and a cozero-field \mathcal{A} are *coz-compatible* if \mathcal{A} is a base for τ ; a compact Hausdorff space has a unique *coz-compatible* cozero-field [1]. (See also §9 of [8a].) There is an analogue of the Stone-Čech compactification [1], which we denote $\beta_A X$: an essentially unique compact A -space containing X as a dense A -subspace, such that if K is compact, then $A(X, K) = A(\beta_A X, K) \mid X$ (or just $A^*(X) = A(\beta_A X) \mid X$). A uniformity μ on X is *coz-compatible* with \mathcal{A} if $\mathcal{A} = \{\text{coz } f \mid f \in U(\mu X)\}$, where $U(\mu X)$ denotes the real-valued uniformly continuous functions. (Similarly, $U^*(\mu X)$ denotes the subset of

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bounded functions.) Note that a topological (resp., uniform) space X can be equipped with the cozero-field $\{\text{coz } f \mid f \in C(X)\}$ (resp., $\{\text{coz } f \mid f \in U(X)\}$.) So it makes sense to speak of A -maps from an A -space into a topological (resp., uniform) space.

The objects we are calling Alexandroff spaces were introduced in [1] (called there *completely normal Hausdorff spaces*) and re-invented in [7] (defined dual to the above, called *Hausdorff zero-set spaces*). Other recent studies include [3], [6], and [8a, d].

2. Semicompact Alexandroff spaces. $\langle X, \mathcal{A} \rangle$ will be called *semicompact* if each countable \mathcal{A} -cover has a finite subcover. These spaces have been studied in [1] (called “countably compact”) and [7] (called “pseudocompact”). We use the term “semicompact” (consistent with [12], at least) to avoid confusion.

Recall (say, from [5]) that a Tychonoff space X is called pseudocompact if $C(X) = C^*(X)$. Equivalently, if each countable cozero-cover (i.e., by sets $\text{coz } f$, $f \in C(X)$) has a finite subcover, that is, if the associated A -space is semicompact.

Let S be an A -subspace of the A -space X : S is called G_δ -dense if each non-empty zero-set of X meets S (equivalently, if each non-empty G_δ -set of X meets S , referring to the topology with the cozero-sets as base).

THEOREM 2.1. *The following conditions on the A -space X are equivalent.*

- (a) X is semicompact.
- (b) $A(X) = A^*(X)$.
- (c) X is G_δ -dense (c_1) in $\beta_A X$; or (c_2) in every A -compactification; or (c_3) in some A -compactification.
- (d) X has a unique A -compactification.
- (e) X admits a unique cozero-compatible uniformity.
- (f_A) Each A -image of X in an A -space is semicompact.
- (f_J) Each A -image of X in a uniform space is precompact.
- (f_T) Each A -image of X in a topological space is pseudocompact.
- (f_M) Each A -image of X in a metric space is compact.
- (g) Each A -morphism of X into a metric space extends over $\beta_A X$ (with values in the metric space).

Much of this is known: the equivalence of (a), (b), (c_1), (c_2), and the first part of (f) is in both [1] and [7]. These are probably familiar as analogues of pseudocompactness. There are more analogues as well. For example, each of the following is equivalent to (a): (g) $v_A X = \beta_A X$ (v_A being Gordon's A -space analogue of the Hewitt realcompactification);

(h) Each $f \in A^*(X)$ assumes its sup and inf; (i) Each infinite family of cozero-sets has a cluster point.

We need just 2.1 for application to uniform spaces so we give the proof.

PROOF OF 2.1. (a) \Rightarrow (b). If $f \in A(X)$, then $\{\{x \mid |f(x)| < r\}\}$ is a countable cozero-cover (2.1 of [8d]); with a finite subcover, f is bounded.

(b) \Rightarrow (c₁). If $\phi \neq Z(f) \subset \beta_A X - X$, then for $x \in X$, $g(x) = 1/f(x)$ defines unbounded $g \in A(X)$ (1.2 of [8d]).

(c₁) \Rightarrow (a). If $\{C_n\}$ is a countable cozero-cover with no finite subcover: for each n , choose a cozero-set C'_n of $\beta_A X$ with $C'_n \cap X = C_n$. Then $Z = \beta_A X - \cup_n C'_n$ works.

(c₁) \Rightarrow (c₂) \Rightarrow (c₃). Obvious.

Now, 4.3 B of [8d] implies immediately: If S is G_δ -dense in Y , then $A(S) = A(Y) \upharpoonright S$.

Thus (c₃) \Rightarrow (c₁), because the A -compactification in (c₃) has to be $\beta_A X$; and (c₂) \Rightarrow (d), because every A -compactification has to be $\beta_A X$.

(a) \Rightarrow (e). Assume (a), and let μ be co z -compatible. Since any uniformity has a base of some of its own cozero-covers, each μ -uniform cover has a finite subcover. So μ is precompact, and μX is a uniform subspace of its Samuel compactification $s\mu X$. But $s\mu X$ is an A -compactification of X (because $U^*(\mu X) = U(s\mu X) \upharpoonright X$). Since (d) holds too, μ is determined uniquely.

(e) \Rightarrow (a). §2 of [8a] shows that, if \mathcal{A} is a cozero-field, then the family of countable \mathcal{A} -covers is the base for a uniformity, say $\mu_1(\mathcal{A})$, which is co z -compatible with \mathcal{A} . The obvious variation on that construction shows the family of finite \mathcal{A} -covers is the base for another co z -compatible uniformity, $\mu_0(\mathcal{A})$. (These are provable as well by combinatorial means. For $\mu_0(\mathcal{A})$ one uses the "normality" of \mathcal{A} and for $\mu_1(\mathcal{A})$, the "perfect normality".) Now, obviously, (a) $\Leftrightarrow [\mu_0 = \mu_1]$, which is implied by (e).

Certainly (a) \Rightarrow (f_A). (f_A) \Rightarrow f_U as in (a) \Rightarrow (e). And (f_U) \Rightarrow (a) by considering the identity $X \rightarrow \mu_1 X$ (as in (e) \Rightarrow (a)). Next: (f_A) \Rightarrow (f_T), clearly. f_T \Rightarrow (f_M) because a pseudocompact metric space is compact [5].

(f_M) \Rightarrow (g). Obvious.

(g) \Rightarrow (c₁). If $f \in A^*(\beta_A X)$ and $\phi \neq Z(f) \subset \beta_A X - X$, then with $M = f(X)$, the restriction $f \upharpoonright X$ violates (g).

3. **Semicompact uniform spaces.** A separated uniform space X (we can usually suppress indicating the uniformity) will be called semi-compact if the associated A -space is semicompact, that is, if each countable cover by sets in $\text{coz } X \equiv \{\text{coz } f \mid f \in U(X)\}$ has a finite

shall give some characterizations.

Since each uniform space "is" an A -space the notation $A(X, Y)$ (for $X, Y \in \text{Unif}$) is clear. Evidently, $U(X, Y) \subset A(X, Y)$ always. But hardly conversely: for X, Y metric, $A(X, Y) = C(X, Y)$, but only rarely is $U(X, Y) = C(X, Y)$. In case $X \in \text{Unif}$ has the property that $U(X, Y) = A(X, Y)$ for each $Y \in \text{Unif}$, (or equivalently, for each metric Y), then X is called *coz-fine*. It is a small theorem that X is coz-fine iff X is finest in its cozero-class (which by definition consists of all uniform spaces X' with $\text{coz } X' = \text{coz } X$); see [8c].

Given $X \in \text{Unif}$, let \bar{X} be the uniform space weakly generated by all functions in all $A(X, Y)$, $Y \in \text{Unif}$. That is, \bar{X} carries the coarsest uniformity making all these functions uniformly continuous; this uniformity is at least as fine as X 's (since $U(X, Y) \subset A(X, Y)$ always) and it is easily seen that $\bar{X} = X$ iff X is coz-fine.

There is a somewhat complicated cover-theoretic description of \bar{X} which we shall need: Given $X \in \text{Unif}$, a cover \mathcal{U} (not necessarily uniform) is called a completely additive (*ca*) coz X -cover if (a) $\mathcal{U}' \subset \mathcal{U}$ implies $\cup \mathcal{U}' \in \text{coz } X$, and (b) \mathcal{U} initiates a normal sequence of covers with property (a). Then (§4 of [8c]), \bar{X} has subspace of *ca* coz X -covers.

We write $X \in \text{coz}!$ if X is the only member of its coz-class.

\mathcal{P} denotes the class of precompact uniform spaces, p is the precompact reflection (see [10a]) and the p -(*proximity*, or precompactness) class of X consists of all X' with $pX = pX'$ (equivalently, $U^*(X) = U^*(X')$). We write $X \in \mathcal{P}!$ if X is the only member of its p -class. Isbell [10b] and Polyakov [13] have studied $\mathcal{P}!$. One sees easily that $X \in \mathcal{P}!$ iff $X \in \mathcal{P} \cap$ (*proximally-fine*). (See [2] on proximally-fine spaces.)

For $X \in \text{Unif}$, we write $X \in \text{coz-}\mathcal{P}$ if each A -image of X in a uniform space is in \mathcal{P} (i.e., precompact). Cf. 2.1(f).

Finally, X is called *metric-fine* [8a] if $U(X, M) = U(X, \alpha M)$ for any metric M . (Here α is the fine coreflector in Unif [10a]: Given $Y \in \text{Unif}$, αY carries the finest uniformity compatible with the underlying topology of Y .) We need only this (2.3 of [8a]): if X has a base of countable covers, then X is metric-fine iff each countable coz X -cover is uniform, i.e., $\mu_2 X = X$, where $\mu_1 = \mu_1(\text{coz } X)$ is the uniformity with base of countable coz X -covers mentioned in the proof of 2.1(e) \Rightarrow (a).

THEOREM 3.1.

- (a) X is *semicompact*.
- (b) The image of X in its Samuel compactification sX is G_δ -dense.
- (c) X is a G_δ -dense uniform subspace (c_1) of its Samuel compactification; or equivalently, (c_2) of some compact space.
- (d) $X \in \text{coz}!$
- (e) $X \in \text{coz-}\mathcal{P}$.

- (f) $X \in \mathcal{P} \cap (\text{coz-fine})$.
- (g) Each *ca* *coz* X -cover has a finite subcover.
- (h) $X \in \mathcal{P}!$ and each finite *coz* X -cover is uniform.
- (i) $X \in \mathcal{P}! \cap (\text{metric-fine})$.
- (j) $X \in \mathcal{P} \cap (\text{metric-fine})$.
- (k) Each metric uniformly continuous image of X is compact.
- (l) Each uniformly continuous function from X into a metric space extends over sX (with values in the metric space).

PROOF. (a) through (e) are equivalent: 2.1 shows the equivalence of (a), (b), (d), (e). Such an X is precompact, hence $X \subset sX$, hence (b) \Rightarrow (c₁). Clearly, (c₁) \Rightarrow (c₂). And (c₂) \Rightarrow (b) because the compact space in (c₂) must be sX .

(d) \Rightarrow (h). The p -class of any space is a subset of the *coz*-class; so $\text{coz}! \subset \mathcal{P}!$. The uniformity μ_0 defined by finite *coz* X -covers is in the *coz*-class of X (see 2.1(e) \Rightarrow (a)). So (d) \Rightarrow [$\mu_0 X = X$].

(h) \Rightarrow (i). Assume (h). Since each finite *coz* X -cover is uniform, and $X \in \mathcal{P}$, $\mu_0 X = X$. Evidently, $p\mu_1 X = \mu_0 X$ for any Y . Thus, $\mu_1 X$ is in the p -class of X . Since $X \in \mathcal{P}!$, $\mu_1 X = X$. Since X has a base of countable covers (even finite ones, since $X \in \mathcal{P}$), X is metric-fine.

(i) \Rightarrow (j) is clear, since $\mathcal{P}! \subset \mathcal{P}$.

(j) \Rightarrow (k). Let $f \in U(X, M)$ be onto, with M metric. If X is metric-fine, then $f \in U(X, \alpha M)$. If $X \in \mathcal{P}$, then $\alpha M \in \mathcal{P}$. Since the base for an αM is all open covers, each open cover has a finite subcover.

(k) \Rightarrow (l) is obvious.

(l) \Rightarrow (c) is like 2.1 (g) \Rightarrow (c₁).

(f) \Leftrightarrow (g) by the description of \bar{X} given above.

(f) \Rightarrow (e). Each uniform image of X is precompact (since $X \in \mathcal{P}$), and each A -image is a uniform image (since $X \in \text{coz-fine}$).

(c) \Rightarrow (f). Assume (c), with $X \subset K$. Then $X \in \mathcal{P}$ and $K = sK$, the Samuel compactification. A uniform subspace is an A -subspace (by Katětov's extension theorem for bounded functions [11]). Thus, as in the proof of 2.1 (c₃) \Rightarrow (c₁), it follows that $sX = \beta_A X$. Now let $f \in A(X, M)$, M metric and f onto. There is an extension $f' \in A(\beta_A X, \beta_A M)$. Since (c) holds, (a) holds also, and by 2.1(f), $M = \beta_A M$. Since $\beta_A X = sX$, then, $f' \in A(sX, M) = U(sX, M)$ (by compactness of sX). Thus, the restriction $f = f' | X \in U(X, M)$.

COROLLARY 3.2. *The class $\text{coz}!$ of semicompact uniform spaces is closed under formation of: uniformly continuous images, G_δ -dense subspaces, and arbitrary products.*

PROOF. In each case, 3.1(c) can be used.

REMARKS 3.3. We compare $\text{coz}!$ with $\mathcal{P}!$:

Isbell [10b] has shown (1) that the cone T in precompact spaces over the countably infinite free precompact space is a test space for spaces in $\mathcal{P}!$, i.e., $X \in \mathcal{P}!$ iff each $f \in U(X, T)$ extends over sX ; this is to be compared with the much simpler condition 3.1(1) for $\text{coz}!$; and (2), that $\mathcal{P}!$ is closed under uniformly continuous images and products (the latter result using (1)). It can be shown that $\mathcal{P}!$ is closed under forming G_δ -subspaces, as well.

Polyakov [13] has shown that $\mathcal{P}!$ is closed under finite products, and Hušek [9], that a product is proximally-fine iff each finite subproduct is. These combine to give another proof of Isbell's product theorem.

REMARK 3.4. In [4], A. Diabes has shown, independently, 3.1 (a) \Leftrightarrow (c), and given a number of other equivalences, mostly involving uniform measures.

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