

# On a result of H. Fujimoto

By

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## Abstract

Let  $P(\omega)$  be a uniqueness polynomial of degree  $q$  without multiple zeros whose derivative has mutually distinct  $k$  zeros  $d_l$  with multiplicities  $q_l$  for  $l = 1, 2, \dots, k$  respectively, and let  $S := \{a_1, a_2, \dots, a_q\}$  be the zero set of  $P(\omega)$ . Under the assumption that  $P(d_{l_s}) \neq P(d_{l_t})$  ( $1 \leq l_s < l_t \leq k$ ), we give some sufficient conditions for the set  $S$  to be a unique range set with some weak value-sharing hypothesis, namely, to satisfy the condition that  $\sum_{j=1}^q \nu_{f, m_0}^{a_j} \equiv \sum_{j=1}^q \nu_{g, m_0}^{a_j}$  ( $m_0 \in \mathbb{Z}^+ \cup \{\infty\}$ ) implies  $f \equiv g$  for any two nonconstant meromorphic or entire functions  $f$  and  $g$  on  $\mathbb{C}$ , which improve a result of H. Fujimoto. Also, we discuss some other related topics.

## 1. Introduction and main results

In this paper, by a meromorphic function we mean a meromorphic function in the complex plane  $\mathbb{C}$ , and by a divisor we mean a map  $\nu : \mathbb{C} \rightarrow \mathbb{Z}$  whose support  $\{z | \nu(z) \neq 0\}$  is discrete. For a divisor  $\nu$ , we set  $\bar{\nu}(z) := \min\{\nu(z), 1\}$ . For a nonconstant meromorphic function  $f$  and a value  $a \in \mathbb{C}$ , we define the divisor  $\nu_f^a : \mathbb{C} \rightarrow \mathbb{Z}$  by

$$\nu_f^a(z) := \begin{cases} 0 & \text{if } f(z) \neq a, \\ m & \text{if } f(z) - a = 0 \text{ with multiplicity } m; \end{cases}$$

and set  $\nu_f^\infty := \nu_{1/f}^0$ . We call a discrete subset  $S \subset \mathbb{C}$  a unique range set for meromorphic (resp. entire) functions counting multiplicities, i.e., URSM (resp. URSE) if for any two nonconstant meromorphic (resp. entire) functions  $f$  and  $g$ , the condition that  $\sum_{a \in S} \nu_f^a \equiv \sum_{a \in S} \nu_g^a$  implies  $f \equiv g$ ; and a unique range set for meromorphic (resp. entire) functions ignoring multiplicities, i.e., URSM-IM (resp. URSE-IM) if it has the analogous property for which the condition that  $\sum_{a \in S} \nu_f^a \equiv \sum_{a \in S} \nu_g^a$  is replaced by  $\sum_{a \in S} \bar{\nu}_f^a \equiv \sum_{a \in S} \bar{\nu}_g^a$ . Particularly, if  $S$

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consists of only one element, these definitions coincide with those of CM or IM shared values.

In 1982, F. Gross and C. C. Yang showed that the set  $S := \{\omega \in \mathbb{C} \mid \omega + e^\omega = 0\}$  is a URSE. Afterwards, many efforts were made to seek unique range sets that their cardinality are as small as possible (*cf.* S. Bartels [1], G. Frank-M. Reinders [2], H. Fujimoto [3, 4], P. Li-C. C. Yang [6, 7], E. Mues-M. Reinders [8], Y. Xu [9] and H. X. Yi [11–13]).

Now, we turn to some necessary terminologies and notations. It is assumed that the readers are familiar with those standard ones such as the characteristic function  $T(r, f)$ , the proximity function  $m(r, f)$  and the counting function  $N(r, f)$  of poles for a meromorphic function  $f$ , and the fundamental results in Nevanlinna's value distribution theory of meromorphic functions (*cf.* W. K. Hayman [5], L. Yang [10]). Also, we use  $S(r, f)$  to denote a term satisfying  $S(r, f) = o(T(r, f))$  as  $r$  tends to infinity outside a subset  $E \subset \mathbb{R}^+$  with  $\int_E dr < +\infty$ .

The counting function of a divisor  $\nu : \mathbb{C} \rightarrow \mathbb{Z}$  is defined as

$$N(r, \nu) := \int_0^r \left( \sum_{0 < |z| \leq t} \nu(z) \right) \frac{dt}{t} + \nu(0) \log r.$$

Under this notation,  $N(r, f)$  could be rewritten as  $N(r, \nu_f^\infty)$ .

H. Fujimoto [3, 4] gave his definition of uniqueness polynomials in a broad sense and uniqueness polynomials as follows

**Definition 1.1.** Let  $P(\omega)$  be a nonconstant monic polynomial. We call  $P(\omega)$  a uniqueness polynomial in a broad sense if  $P(f) \equiv P(g)$  implies  $f \equiv g$  for any two nonconstant meromorphic functions  $f$  and  $g$ ; while a uniqueness polynomial if  $P(f) \equiv cP(g)$  implies  $f \equiv g$  for any two nonconstant meromorphic functions  $f$  and  $g$ , and any nonzero constant  $c \in \mathbb{C}$ .

For a discrete subset  $S := \{a_1, a_2, \dots, a_q\} \subset \mathbb{C}$ , we consider its generated polynomial with the following form

$$(1.1) \quad P(\omega) := (\omega - a_1)(\omega - a_2) \cdots (\omega - a_q).$$

Assume that the derivative of  $P(\omega)$  has mutually distinct  $k$  zeros  $d_1, d_2, \dots, d_k$  with multiplicities  $q_1, q_2, \dots, q_k$ , respectively. Under the assumption that

$$(1.2) \quad P(d_{l_s}) \neq P(d_{l_t}) \quad (1 \leq l_s < l_t \leq k),$$

H. Fujimoto [3, 4] proved the following two theorems that enable us to find out many uniqueness polynomials in a broad sense and uniqueness polynomials.

**Theorem 1.2** ([4, p. 35]). *Let  $P(\omega)$  be a polynomial of the form (1.1) satisfying the condition (1.2). Then,  $P(\omega)$  is a uniqueness polynomial in a broad sense if and only if*

$$(1.3) \quad \sum_{1 \leq l_s < l_t \leq k} q_{l_s} q_{l_t} > \sum_{l_s=1}^k q_{l_s}.$$

In particular, (1.3) is always satisfied whenever  $k \geq 4$ . Also, (1.3) holds whenever  $\max\{q_1, q_2, q_3\} \geq 2$  for the case  $k = 3$ , and whenever  $\min\{q_1, q_2\} \geq 2$  and  $q_1 + q_2 \geq 5$  for the case  $k = 2$ .

Moreover, he gave an example to show that any polynomial can not be a uniqueness polynomial in a broad sense for the case  $k = 1$  (cf. [3, p. 1183]) and hence, the conclusions of Theorem 1.2 is beat possible.

**Theorem 1.3** ([3, p. 1192] or [4, pp. 34, 35 and 44]). *Under the same situation as in Theorem 1.2, we assume furthermore that  $P(d_1) + P(d_2) + \dots + P(d_k) \neq 0$  for the cases  $k \geq 4$ ; that  $P(d_{l_s}) \pm P(d_{l_t}) \neq 0$  with  $1 \leq l_s < l_t \leq 3$ , and  $P^2(d_{l_r}) \neq P(d_{l_s})P(d_{l_t})$  with  $\{l_r, l_s, l_t\} = \{1, 2, 3\}$  for the case  $k = 3$ ; and that  $q_1 \leq q_2$  with  $q_1 \geq 2$  and  $q_2 \geq q_1 + 3$  or with  $q_1 \geq 3$  and  $P(d_1) + P(d_2) \neq 0$  for the case  $k = 2$ . Then,  $P(\omega)$  is a uniqueness polynomial.*

Moreover, he investigated the relationship between a uniqueness polynomial and its zero set as a unique range set, and obtained the following uniqueness theorem that generalized the main results of S. Bartels [1] and G. Frank-M. Reinders [2].

**Theorem 1.4** ([3, p. 1177]). *Suppose that  $P(\omega)$  is a uniqueness polynomial of form (1.1) satisfying condition (1.2), and that  $k \geq 3$ , or  $k = 2$  and  $\min\{q_1, q_2\} \geq 2$ . Then,  $S$  is a URSM (resp. URSE) whenever  $q > 2k + 6$  (resp.  $q > 2k + 2$ ), while a URSM-IM (resp. URSE-IM) whenever  $q > 2k + 12$  (resp.  $q > 2k + 5$ ).*

For the sake of convenience, we introduce the following notation. For a divisor  $\nu$ , we define the  $m_0$ -truncated divisor  $\nu_{m_0}$  generated by  $\nu$  as

$$(1.4) \quad \nu_{m_0}(z) := \begin{cases} \nu(z), & \text{if } \nu(z) \leq m_0; \\ 0, & \text{otherwise.} \end{cases}$$

The purpose of this paper is to give some sufficient conditions for the set  $S$  to be a unique range set, which improve and extend Theorem 1.4 through weaker value-sharing hypothesis or smaller lower bounds for  $q$ .

**Theorem 1.5.** *Under the same situation as in Theorem 1.4, we assume furthermore that  $m_0 \geq 3$  is a positive integer or infinity, and that  $q > 2k + 6$  (resp.  $q > 2k + 2$ ). Then, to satisfy the condition that  $\sum_{j=1}^q \nu_{f,m_0}^{a_j} \equiv \sum_{j=1}^q \nu_{g,m_0}^{a_j}$  implies  $f \equiv g$  for any two nonconstant meromorphic (resp. entire) functions  $f$  and  $g$ .*

**Remark.** The condition that  $\sum_{j=1}^q \min\{\nu_f^{a_j}(z), m_0\} \equiv \sum_{j=1}^q \min\{\nu_g^{a_j}(z), m_0\}$  in [3, p. 1195] implies that  $\sum_{j=1}^q \bar{\nu}_f^{a_j} \equiv \sum_{j=1}^q \bar{\nu}_g^{a_j}$ ,  $\sum_{j=1}^q \nu_{f,m_0-1}^{a_j} \equiv \sum_{j=1}^q \nu_{g,m_0-1}^{a_j}$  and  $\sum_{j=1}^q \nu_f^{a_j} \equiv \sum_{j=1}^q \nu_g^{a_j} \equiv m_0$  whenever  $\min\{\nu_f^{a_i}(z), \nu_g^{a_j}(z)\} \geq m_0$  for some  $i, j \in \{1, 2, \dots, q\}$  and a positive integer  $m_0$ ; while  $\sum_{j=1}^q \nu_f^{a_j} \equiv \sum_{j=1}^q \nu_g^{a_j}$  implies  $\sum_{j=1}^q \nu_{f,\infty}^{a_j} \equiv \sum_{j=1}^q \nu_{g,\infty}^{a_j}$  for  $m_0 = \infty$ . So, the value-sharing assumption in Theorem 1.5 is weaker than that in Theorem 1.4 for cases of URSM (resp. URSE).

**Theorem 1.6.** *Under the same situation as in Theorem 1.4, we assume furthermore that the union of the sets of the double  $a_j$ -points of  $f$  for  $j = 1, 2, \dots, q$  is the same as that of  $g$ . Then,  $S$  is a URSM-IM (resp. URSE-IM) whenever  $q > 2k + 9$  (resp.  $q > 2k + \frac{7}{2}$ ).*

## 2. Preliminary lemmas

The following the first lemma plays quite an important role in studying the relationship between a uniqueness polynomial and its zero set as a unique range set, while the second one is used for controlling the growth of zeros of the derivative of a meromorphic function by that of its own zeros and poles.

**Lemma 2.1** ([3, p. 1200]). *Under the same situation as in Theorem 1.2, we assume furthermore that  $q \geq 5$  and there are two meromorphic function  $f$  and  $g$  such that, and that*

$$(2.1) \quad \frac{1}{P(f)} \equiv \frac{c_0}{P(g)} + c_1$$

for any two constants  $c_0 (\neq 0)$  and  $c_1$ . If  $k \geq 3$  or if  $k = 2$  and  $\min\{q_1, q_2\} \geq 2$ , then,  $c_1 = 0$ .

**Lemma 2.2** ([13, p. 379]). *For any nonconstant meromorphic function  $f$ ,*

$$(2.2) \quad N(r, \nu_{f'}^0) \leq N(r, \nu_f^0) + N(r, \bar{\nu}_f^\infty) + S(r, f).$$

## 3. Proof of Theorem 1.5

*Proof.* Set  $F := P(f)$  and  $G := P(g)$ ; then the condition  $\sum_{j=1}^q \nu_{f, m_0}^{\alpha_j} \equiv \sum_{j=1}^q \nu_{g, m_0}^{\alpha_j}$  implies  $\nu_{F, m_0}^0 \equiv \nu_{G, m_0}^0$ . By Lemma 1 in [9, p. 1490],  $T(r, F) = qT(r, f) + O(1)$  and  $T(r, G) = qT(r, g) + O(1)$ , and hence  $S(r, F) = S(r, f)$  and  $S(r, G) = S(r, g)$ , since  $F$  and  $f$ , and  $G$  and  $g$  have the same growth estimates, respectively.

Define the function  $H$  as

$$H := \left( \frac{F''}{F'} - 2\frac{F'}{F} \right) - \left( \frac{G''}{G'} - 2\frac{G'}{G} \right).$$

Suppose that  $H \not\equiv 0$ . By the lemma on logarithmic derivative (cf. [5, p. 36–42]),

$$m(r, H) = S(r) (= S(r, f) + S(r, g)).$$

For any common simple zero  $z_0$  of  $F$  and  $G$ , by the local Laurent expansions of  $F$  and  $G$  around  $z_0$ , we have  $H(z_0) = 0$ , and hence

$$(3.1) \quad \begin{aligned} N(r, \bar{\nu}_{F,1}^0) &= N(r, \bar{\nu}_{G,1}^0) \leq N(r, \nu_H^0) + O(1) \\ &\leq T(r, H) + O(1) \leq N(r, \bar{\nu}_H^\infty) + S(r), \end{aligned}$$

since all the poles of  $H$  are simple.

By our assumption, we know that  $F' = q(f - d_1)^{q_1}(f - d_2)^{q_2} \dots (f - d_k)^{q_k} f'$  and  $G' = q(g - d_1)^{q_1}(g - d_2)^{q_2} \dots (g - d_k)^{q_k} g'$ . From the expression of  $H$  and the fact that  $\nu_{F,m_0}^0 \equiv \nu_{G,m_0}^0$ , we have

(3.2)

$$N(r, \bar{\nu}_H^\infty) \leq \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + N(r, \bar{\nu}_{F,(m_0+1)}^0) + N(r, \bar{\nu}_{G,(m_0+1)}^0) + N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty) + N(r, \bar{\nu}_{f'}^0) + N(r, \bar{\nu}_{g'}^0) + O(1),$$

where  $\nu_{F,(m_0+1)}^0$  denotes the subtraction of the two divisors  $\nu_F^0 - \nu_{F,m_0}^0$  and  $\hat{\nu}_{f'}^0 := \nu_{f'}^0 \Big|_{\prod_{j=1}^q (f - a_j) \cdot \prod_{l=1}^k (f - d_l) \neq 0}$ , and  $\nu_{G,(m_0+1)}^0$  and  $\hat{\nu}_{g'}^0$  are similarly defined.

Obviously,  $a_j \neq d_l$  ( $j = 1, 2, \dots, q; l = 1, 2, \dots, k$ ) since all the  $a_j$ 's are distinct for  $j = 1, 2, \dots, q$ . Then, applying the second main theorem to the functions  $f, g$  and the values  $a_1, a_2, \dots, a_q, d_1, d_2, \dots, d_k, \infty$ , respectively to conclude

(3.3)

$$(q + k - 1)T(r) (:= T(r, f) + T(r, g)) \leq N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty) + N(r, \bar{\nu}_F^0) + N(r, \bar{\nu}_G^0) + \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) - N(r, \hat{\nu}_{f'}^0) - N(r, \hat{\nu}_{g'}^0) + S(r) = N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty) + \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + N(r, \bar{\nu}_{F,1}^0) + N(r, \bar{\nu}_F^0) + N(r, \bar{\nu}_G^0) - N(r, \bar{\nu}_{F,1}^0) - N(r, \hat{\nu}_{f'}^0) - N(r, \hat{\nu}_{g'}^0) + S(r).$$

Noting that  $\nu_{F,m_0}^0 \equiv \nu_{G,m_0}^0$ , we have the following

(3.4)

$$N(r, \bar{\nu}_F^0) + N(r, \bar{\nu}_G^0) + N(r, \bar{\nu}_{F,(m_0+1)}^0) + N(r, \bar{\nu}_{G,(m_0+1)}^0) - N(r, \bar{\nu}_{F,1}^0) \leq N(r, \bar{\nu}_F^0) + N(r, \bar{\nu}_{F,(m_0+1)}^0) - \frac{1}{2}N(r, \bar{\nu}_{F,1}^0) + N(r, \bar{\nu}_G^0) + N(r, \bar{\nu}_{G,(m_0+1)}^0) - \frac{1}{2}N(r, \bar{\nu}_{G,1}^0) \leq \frac{1}{2}N(r, \nu_F^0) + \frac{1}{2}N(r, \nu_G^0) + O(1) \leq \frac{q}{2}T(r) + O(1)$$

if  $m_0 \geq 3$  is a positive integer or infinity.

Substituting (3.1), (3.2) into (3.3) and noting (3.4), we have

$$(q + k - 1)T(r) \leq 2(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) + 2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + \frac{q}{2}T(r) + S(r),$$

which implies that  $(q - 2k - 6)T(r) \leq S(r)$ , since  $2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) \leq$

$2kT(r)$  and  $2(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) \leq 2T(r)$ . This is a contradiction against the assumption that  $q > 2k + 6$ , and hence  $H \equiv 0$ .

Integrating the equation  $H \equiv 0$  twice, we have

$$\frac{1}{F} \equiv c_0 \frac{1}{G} + c_1 \quad (c_0 \neq 0, c_1 \in \mathbb{C}),$$

that is,

$$\frac{1}{P(f)} \equiv c_0 \frac{1}{P(g)} + c_1.$$

Now, applying Lemma 2.1 to the above equality and noting the assumption that  $P(\omega)$  is a uniqueness polynomial, we have  $f \equiv g$ .

In particular, in the case where  $f$  and  $g$  are entire, if  $H \not\equiv 0$ , (3.5) becomes

$$(3.6) \quad (q + k - 1)T(r) \leq 2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + \frac{q}{2}T(r) + S(r),$$

which yields  $(q - 2k - 2)T(r) \leq S(r)$ . This contradicts the assumption  $q > 2k + 2$ . □

#### 4. Some further results concerning Theorem 1.5

Just as the discussions of H. Fujimoto in [3, p. 1196–1202], we could compute the relationship between  $q$  and  $k$  more precisely if we assume  $m_0 = 2$  or  $m_0 = 1$  with the weaker value-sharing hypothesis that  $\sum_{j=1}^q \nu_{f,m_0}^{a_j} \equiv \sum_{j=1}^q \nu_{g,m_0}^{a_j}$ .

**Theorem 4.1.** *Under the same situation as in Theorem 1.4, we assume furthermore that  $m_0 = 2$ , and that  $q > 2k + 7$  (resp.  $q > 2k + 2$ ). Then, the condition that  $\sum_{j=1}^q \nu_{f,2}^{a_j} \equiv \sum_{j=1}^q \nu_{g,2}^{a_j}$  implies  $f \equiv g$  for any two nonconstant meromorphic (resp. entire) functions  $f$  and  $g$ .*

*Proof.* Similar to the proof of Theorem 1.5, if we assume  $H \not\equiv 0$ , then (3.1), (3.2) and (3.3) hold.

By Lemma 2.2 and noting the fact that  $\nu_{F,2}^0 \equiv \nu_{G,2}^0$ , we have

$$\begin{aligned} N(r, \bar{\nu}_{F,(3)}^0) &\leq \sum_{j=1}^q N(r, \bar{\nu}_{f,(3)}^{a_j}) \leq N(r, \bar{\nu}_{f',(2)}^0) + O(1) \\ &\leq \frac{1}{2}N(r, \nu_{f'}^0) + O(1) \leq \frac{1}{2}T(r, f) + \frac{1}{2}N(r, \bar{\nu}_f^\infty) + S(r, f), \end{aligned}$$

and 
$$N(r, \bar{\nu}_{G,(3)}^0) \leq \frac{1}{2}T(r, g) + \frac{1}{2}N(r, \bar{\nu}_g^\infty) + S(r, g);$$

therefore,

$$\begin{aligned}
 (4.1) \quad & N(r, \bar{\nu}_F^0) + N(r, \bar{\nu}_G^0) + N(r, \bar{\nu}_{F,(3)}^0) + N(r, \bar{\nu}_{G,(3)}^0) - N(r, \bar{\nu}_{F,1}^0) \\
 & \leq N(r, \bar{\nu}_F^0) + \frac{1}{2}N(r, \bar{\nu}_{F,(3)}^0) - \frac{1}{2}N(r, \bar{\nu}_{F,1}^0) + \frac{1}{2}N(r, \bar{\nu}_{F,(3)}^0) \\
 & \quad + N(r, \bar{\nu}_G^0) + \frac{1}{2}N(r, \bar{\nu}_{G,(3)}^0) - \frac{1}{2}N(r, \bar{\nu}_{G,1}^0) + \frac{1}{2}N(r, \bar{\nu}_{G,(3)}^0) \\
 & \leq \frac{1}{2}N(r, \nu_F^0) + \frac{1}{4}T(r, f) + \frac{1}{4}N(r, \bar{\nu}_f^\infty) \\
 & \quad + \frac{1}{2}N(r, \nu_G^0) + \frac{1}{4}T(r, g) + \frac{1}{4}N(r, \bar{\nu}_g^\infty) + S(r) \\
 & \leq \frac{1}{2}(N(r, \nu_F^0) + N(r, \nu_G^0)) + \frac{1}{4}(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) + \frac{1}{4}T(r) + S(r) \\
 & \leq \frac{1}{4}(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) + \frac{2q+1}{4}T(r) + S(r).
 \end{aligned}$$

Combining (3.1), (3.2) and (3.3) with (4.1) yields

$$\begin{aligned}
 (4.2) \quad & (q+k-1)T(r) \leq \frac{9}{4}(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) \\
 & \quad + 2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + \frac{2q+1}{4}T(r) + S(r),
 \end{aligned}$$

which implies  $(q - 2k - 7)T(r) \leq S(r)$ . This contradicts the assumption  $q > 2k + 7$ .

If  $f$  and  $g$  are entire, (4.2) becomes

$$(4.3) \quad (q+k-1)T(r) \leq 2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + \frac{2q+1}{4}T(r) + S(r),$$

which gives  $(q - 2k - \frac{5}{2})T(r) \leq S(r)$ . Noting  $q$  is a natural number, This is a contradiction to the assumption  $q > 2k + 2$ .

Both cases imply  $H \equiv 0$ , and hence  $f \equiv g$  by Lemma 2.1. □

**Theorem 4.2.** *Under the same situation as in Theorem 1.4, we assume furthermore that  $m_0 = 1$ , and that  $q > 2k + 10$  (resp.  $q > 2k + 4$ ). Then, the condition that  $\sum_{j=1}^q \nu_{f,1}^{a_j} \equiv \sum_{j=1}^q \nu_{g,1}^{a_j}$  implies  $f \equiv g$  for any two nonconstant meromorphic (resp. entire) functions  $f$  and  $g$ .*

*Proof.* Similar to the proof of Theorem 4.1, for the situation  $H \not\equiv 0$ , noting that  $\nu_{F,1}^0 \equiv \nu_{G,1}^0$  and by Lemma 2.2, we have

$$N(r, \bar{\nu}_{F,(2)}^0) \leq \sum_{j=1}^q N(r, \bar{\nu}_{f,(2)}^{a_j}) \leq N(r, \bar{\nu}_{f'}^0) \leq T(r, f) + N(r, \bar{\nu}_f^\infty) + S(r, f),$$

and 
$$N(r, \bar{\nu}_{G,(2)}^0) \leq T(r, g) + N(r, \bar{\nu}_g^\infty) + S(r, g);$$

hence,

$$\begin{aligned}
 (4.4) \quad & N(r, \bar{\nu}_F^0) + N(r, \bar{\nu}_G^0) + N(r, \bar{\nu}_{F,(2)}^0) + N(r, \bar{\nu}_{G,(2)}^0) - N(r, \bar{\nu}_{F,1}^0) \\
 & \leq N(r, \bar{\nu}_F^0) - \frac{1}{2}N(r, \bar{\nu}_{F,1}^0) + N(r, \bar{\nu}_{F,(2)}^0) + N(r, \bar{\nu}_G^0) - \frac{1}{2}N(r, \bar{\nu}_{G,1}^0) + N(r, \bar{\nu}_{G,(2)}^0) \\
 & \leq \frac{1}{2}N(r, \nu_F^0) + T(r, f) + N(r, \bar{\nu}_f^\infty) + \frac{1}{2}N(r, \nu_G^0) + T(r, g) + N(r, \bar{\nu}_g^\infty) + S(r) \\
 & \leq \frac{1}{2}(N(r, \nu_F^0) + N(r, \nu_G^0)) + N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty) + T(r) + S(r) \\
 & \leq N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty) + \frac{q+2}{2}T(r) + S(r).
 \end{aligned}$$

Combining (3.1), (3.2) and (3.3) with (4.4) yields

$$\begin{aligned}
 (4.5) \quad & (q+k-1)T(r) \leq 3(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) \\
 & \quad + 2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + \frac{q+2}{2}T(r) + S(r),
 \end{aligned}$$

which shows  $(q-2k-10)T(r) \leq S(r)$ . This contradicts the assumption  $q > 2k+10$ .

If  $f$  and  $g$  are entire, (4.5) becomes

$$(4.6) \quad (q+k-1)T(r) \leq 2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + \frac{q+2}{2}T(r) + S(r),$$

which implies  $(q-2k-4)T(r) \leq S(r)$ . This contradicts the assumption  $q > 2k+4$ .

Both cases imply  $H \equiv 0$ , and hence  $f \equiv g$  by Lemma 2.1. □

### 5. Proof of Theorem 1.6

Set  $F := P(f)$  and  $G := P(g)$ . Then the condition  $\sum_{j=1}^q \bar{\nu}_f^{a_j} \equiv \sum_{j=1}^q \bar{\nu}_g^{a_j}$  implies  $\bar{\nu}_F^0 \equiv \bar{\nu}_G^0$ . Similarly, we know that any common simple zero of  $F$  and  $G$  is a zero of  $H$  if  $H \not\equiv 0$ , where  $H$  is the same one as that defined in Section 3.

For two divisors  $\nu_1$  and  $\nu_2$  satisfying  $\bar{\nu}_1 \equiv \bar{\nu}_2$ , define

$$\nu_{i,\mathcal{D}}(z) := \begin{cases} \nu_i(z), & \text{if } \nu_i(z) > \nu_j(z) \text{ with } \{i, j\} = \{1, 2\}; \\ 0, & \text{otherwise.} \end{cases}$$

$$\text{for } i = 1, 2 \text{ and } \quad \nu_{1\&2,\mathcal{C}}(z) := \begin{cases} \nu_1(z), & \text{if } \nu_1(z) = \nu_2(z); \\ 0, & \text{otherwise.} \end{cases}$$

Then, if  $H \not\equiv 0$ , we have

$$\begin{aligned}
 (5.1) \quad & N(r, \bar{\nu}_H^\infty) \leq \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + N(r, \bar{\nu}_{F,\mathcal{D}}^0) + N(r, \bar{\nu}_{G,\mathcal{D}}^0) \\
 & \quad + N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty) + N(r, \bar{\nu}_{f'}^0) + N(r, \bar{\nu}_{g'}^0) + O(1).
 \end{aligned}$$



Applying the second main theorem to the functions  $f, g$  and the values  $a_1, a_2, \dots, a_q, d_1, d_2, \dots, d_k, \infty$ , respectively to conclude that

$$(5.2) \quad (q+k-1)T(r) \leq N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty) + N(r, \bar{\nu}_F^0) + N(r, \bar{\nu}_G^0) \\ + \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) - N(r, \hat{\nu}_{f'}^0) - N(r, \hat{\nu}_{g'}^0) + S(r).$$

Noting that the intersection of the support of the divisors  $\bar{\nu}_{F \& G, \mathcal{C}, (2)}^0$  and  $\bar{\nu}_{G, \mathcal{D}}^0$  is empty. A simple computation leads to

$$N(r, \bar{\nu}_{F \& G, \mathcal{C}, (2)}^0) + N(r, \bar{\nu}_{G, \mathcal{D}}^0) + N(r, \bar{\nu}_G^0) \leq N(r, \nu_G^0) \leq T(r, G).$$

Since  $\bar{\nu}_F^0 \equiv \bar{\nu}_G^0$ , we could derive that

$$\bar{\nu}_F^0 = \bar{\nu}_{F \& G, \mathcal{C}, (1)}^0 + \bar{\nu}_{F \& G, \mathcal{C}, (2)}^0 + \bar{\nu}_{F, \mathcal{D}}^0 + \bar{\nu}_{G, \mathcal{D}}^0,$$

and we could further obtain

$$(5.3) \quad N(r, \bar{\nu}_F^0) + N(r, \bar{\nu}_G^0) = N(r, \bar{\nu}_{F \& G, \mathcal{C}, (1)}^0) + N(r, \bar{\nu}_{F \& G, \mathcal{C}, (2)}^0) \\ + N(r, \bar{\nu}_{F, \mathcal{D}}^0) + N(r, \bar{\nu}_{G, \mathcal{D}}^0) + N(r, \bar{\nu}_G^0) = N(r, \bar{\nu}_{F \& G, \mathcal{C}, (1)}^0) \\ + N(r, \bar{\nu}_{F, \mathcal{D}}^0) + T(r, G) \leq N(r, \bar{\nu}_{F \& G, \mathcal{C}, (1)}^0) + N(r, \bar{\nu}_{F, \mathcal{D}}^0) + qT(r, g).$$

Substituting (5.1) and (5.3) into (5.2), noting the fact that  $N(r, \bar{\nu}_{F \& G, \mathcal{C}, (1)}^0) \leq N(r, \bar{\nu}_H^\infty)$  by similar reasonings as those to (3.1), we could derive

$$(q+k-1)T(r) \leq 2(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) + 2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) \\ + 2N(r, \bar{\nu}_{F, \mathcal{D}}^0) + N(r, \bar{\nu}_{G, \mathcal{D}}^0) + qT(r, g) + S(r).$$

Interchanging the positions between  $f$  and  $g$ , we obtain

$$(q+k-1)T(r) \leq 2(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) + 2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) \\ + N(r, \bar{\nu}_{F, \mathcal{D}}^0) + 2N(r, \bar{\nu}_{G, \mathcal{D}}^0) + qT(r, f) + S(r).$$

Summing up the above two inequalities, we get

$$(5.4) \quad (q+2k-2)T(r) \leq 4(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) + 4 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) \\ + 3(N(r, \bar{\nu}_{F, \mathcal{D}}^0) + N(r, \bar{\nu}_{G, \mathcal{D}}^0)) + S(r).$$

Since we assume that the union of the sets of the double  $a_j$ -points of  $f$  for  $j = 1, 2, \dots, q$  is the same as that of  $g$ , then  $\nu_{F, \mathcal{D}}^0(z) \geq 3$  if it does not vanish

at point  $z$ , and analogous property holds for  $\nu_{G, \mathcal{D}}^0$ . Similar to the methods employed in the proof of Theorem 4.1, we can obtain

$$N(r, \bar{\nu}_{F, \mathcal{D}}^0) \leq N(r, \bar{\nu}_{F, (3)}^0) \leq \frac{1}{2}T(r, f) + \frac{1}{2}N(r, \bar{\nu}_f^\infty) + S(r, f),$$

and 
$$N(r, \bar{\nu}_{G, \mathcal{D}}^0) \leq N(r, \bar{\nu}_{G, (3)}^0) \leq \frac{1}{2}T(r, g) + \frac{1}{2}N(r, \bar{\nu}_g^\infty) + S(r, g).$$

Hence, (5.4) becomes

$$(5.5) \quad \begin{aligned} (q + 2k - 2)T(r) &\leq \frac{11}{2}(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) \\ &+ 4 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + \frac{3}{2}T(r) + S(r), \end{aligned}$$

which means  $(q - 2k - 9)T(r) \leq S(r)$ . This contradicts the assumption  $q > 2k + 9$ .

In particular, in the case where  $f$  and  $g$  are entire, (5.5) becomes

$$(5.6) \quad (q + 2k - 2)T(r) \leq 4 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) + \frac{3}{2}T(r) + S(r),$$

which implies  $(q - 2k - \frac{7}{2})T(r) \leq S(r)$ . This contradicts the assumption  $q > 2k + \frac{7}{2}$ .

Both cases imply  $H \equiv 0$ , and hence  $f \equiv g$  by Lemma 2.1.  $\square$

**Remark.** The polynomial given by G. Frank and M. Reinders in [2]

$$P^{FR}(\omega) := \frac{(q-1)(q-2)}{2}\omega^q - q(q-2)\omega^{q-1} + \frac{q(q-1)}{2}\omega^{q-2} - c_0 \quad (c_0 \neq 0, 1)$$

is a uniqueness polynomial in a broad sense for the case  $k = 2$  by Theorem 1.2 whenever  $q > 5$ . Also, according to their original argument in [2, p. 191, CASE 2], we know that  $P^{FR}(f) \equiv cP^{FR}(g)$  implies  $P^{FR}(f) \equiv P^{FR}(g)$  whenever  $q > 7$  (resp.  $q > 6$ ) for any two nonconstant meromorphic (resp. entire) functions  $f$  and  $g$ . Hence, if we denote its zero set by  $S^{FR}$ , there exists a unique range set. That is  $S^{FR}$  with the weaker value-sharing condition that  $\sum_{j=1}^q \nu_{f, m_0}^{a_j} \equiv \sum_{j=1}^q \nu_{g, m_0}^{a_j}$  ( $a_j \in S^{FR}$ ,  $j = 1, 2, \dots, q$ ) consists of 11, 12 and 15 (resp. 7, 7 and 9) elements for any two nonconstant meromorphic (resp. entire) functions for cases  $m_0 \geq 3$  or  $m_0 = \infty$ ,  $m_0 = 2$  and  $m_0 = 1$ ; and an URSM-IM (resp. URSE-IM), i.e.,  $S^{FR}$ , together with the condition that the union of the sets of the double  $a_j$ -points of  $f$  for  $j = 1, 2, \dots, q$  is the same as that of  $g$ , consisting of 14 (resp. 8) elements.

## 6. Some applications

It is interesting to know that, for any two nonconstant meromorphic functions  $f$  and  $g$  with  $N(r, \bar{\nu}_f^\infty) = S(r, f)$  and  $N(r, \bar{\nu}_g^\infty) = S(r, g)$ , the conclusions

of our results for the cases of entire functions hold. Hence, the differences between the lower bounds of  $q$ 's for cases of meromorphic functions and those for cases of entire functions derive from the two quantities  $N(r, \bar{\nu}_f^\infty)$  and  $N(r, \bar{\nu}_g^\infty)$ . In the following, we shall make a research on the influences of these two terms on the lower bounds of  $q$ 's for cases of meromorphic functions more accurately, and the natural instrument for our research is the quantity  $\Theta_f(\infty)$  defined as

$$\Theta_f(\infty) := 1 - \limsup_{r \rightarrow +\infty, r \in \mathbb{R}^+} \frac{N(r, \bar{\nu}_f^\infty)}{T(r, f)}.$$

Obviously,  $0 \leq \Theta_f(\infty) \leq 1$ . Moreover, Nevanlinna's second main theorem with counting functions of reduced form says that  $\sum_{a \in \mathbb{C} \cup \{\infty\}} \Theta_f(a) \leq 2$  for any nonconstant meromorphic function  $f$ , and the upper bound 2 is attained by the exponent function  $e^z$  since  $\Theta_{e^z}(0) = \Theta_{e^z}(\infty) = 1$ .

**Theorem 6.1.** *Under the same situation as in Theorem 1.4, we assume furthermore that  $\Theta_f(\infty) + \Theta_g(\infty) > 3 + k - \frac{q}{2}$  for the cases  $m_0 \geq 3$  or  $m_0 = \infty$ ; that  $\Theta_f(\infty) + \Theta_g(\infty) > \frac{28+8k-4q}{9}$  for the case  $m_0 = 2$ ; and that  $\Theta_f(\infty) + \Theta_g(\infty) > \frac{20+4k-2q}{6}$  for the case  $m_0 = 1$ . Then, the condition that  $\sum_{j=1}^q \nu_{f, m_0}^{a_j} \equiv \sum_{j=1}^q \nu_{g, m_0}^{a_j}$  implies  $f \equiv g$  for any two nonconstant meromorphic functions  $f$  and  $g$ .*

*Proof.* Similar to the proof of Theorem 1.5, if we assume that  $H \not\equiv 0$ , then (3.5) holds for the cases  $m_0 \geq 3$  or  $m_0 = \infty$ . For any given  $\varepsilon > 0$ , noting that  $2 \sum_{l=1}^k (N(r, \bar{\nu}_f^{d_l}) + N(r, \bar{\nu}_g^{d_l})) \leq 2kT(r)$ , we have

$$(6.1) \quad (2k - q + 2)T(r) + 4(1 - \Theta_f(\infty) + \varepsilon)T(r, f) + 4(1 - \Theta_g(\infty) + \varepsilon)T(r, g) + S(r) \geq 0.$$

Set  $T_0(r) := \max\{T(r, f), T(r, g)\}$ . Then,  $T(r) \leq 2T_0(r)$ . With this notation and noting that  $0 \leq \Theta_f(\infty) \leq 1$ , (6.1) becomes

$$(4k - 2q + 12 - 4(\Theta_f(\infty) + \Theta_g(\infty) - 2\varepsilon))T_0(r) + S(r) \geq 0,$$

which derives a contradiction since we may take  $\varepsilon$  as small as we like.

For the cases  $m_0 = 2$  or  $m_0 = 1$ , analogous analysis as above with (4.2) or (4.5) respectively would still yields contradictions if we assume  $H \not\equiv 0$ . Thus  $H \equiv 0$  and by Lemma 2.1, we have  $f \equiv g$ .  $\square$

**Theorem 6.2.** *In the same situation as in Theorem 1.4, we assume furthermore that  $\Theta_f(\infty) + \Theta_g(\infty) > \frac{24+4k-2q}{7}$ . Then,  $S$  is a URSM-IM.*

*Proof.* Similarly as in the proof of Theorem 1.6, if  $H \not\equiv 0$ , (5.4) holds.

Generally speaking,  $\nu_{F, \mathcal{D}}^0(z) \geq 2$  if  $\nu_{F, \mathcal{D}}^0(z) \neq 0$ , and  $\nu_{G, \mathcal{D}}^0(z) \geq 2$  if  $\nu_{G, \mathcal{D}}^0(z) \neq 0$ . Similar to the methods employed in the proof of Theorem 4.2, we can get

$$N(r, \bar{\nu}_{F, \mathcal{D}}^0) \leq N(r, \bar{\nu}_{F, (2)}^0) \leq T(r, f) + N(r, \bar{\nu}_f^\infty) + S(r, f),$$

and 
$$N(r, \bar{\nu}_{G, \mathcal{D}}^0) \leq N(r, \bar{\nu}_{G, (2)}^0) \leq T(r, g) + N(r, \bar{\nu}_g^\infty) + S(r, g).$$

Hence, (5.4) becomes

$$(q + 2k - 2)T(r) \leq 7(N(r, \bar{\nu}_f^\infty) + N(r, \bar{\nu}_g^\infty)) + (4k + 3)T(r) + S(r),$$

and then we have

$$(6.2) \quad (4k - 2q + 24 - 7(\Theta_f(\infty) + \Theta_g(\infty) - 2\varepsilon))T_0(r) + S(r) \geq 0.$$

This is a contradiction against our assumption follows immediately.  $\square$

**Concluding remark.** Now, we turn back to  $P^{FR}(\omega)$  for illustration again. From the argument in [2, p. 191, CASE 2], we know that  $P^{FR}(f) \equiv cP^{FR}(g)$  implies  $P^{FR}(f) \equiv P^{FR}(g)$  whenever  $q > 6$  for any two nonconstant meromorphic functions  $f$  and  $g$  if either  $\Theta_f(\infty) > 0$  or  $\Theta_g(\infty) > 0$ . Hence, we know that there exists a unique range set, say,  $S^{FR}$ , it consists 7 elements for any two nonconstant meromorphic functions  $f$  and  $g$ , with the assumptions that  $\sum_{j=1}^q \nu_{f,m_0}^{a_j} \equiv \sum_{j=1}^q \nu_{g,m_0}^{a_j}$  and  $\Theta_f(\infty) + \Theta_g(\infty) > \frac{3}{2}$  (resp.  $\Theta_f(\infty) + \Theta_g(\infty) > \frac{16}{9}$ ) for the cases  $m_0 \geq 3$  or  $m_0 = \infty$  (resp. for the case  $m_0 = 2$ ). While an URSM-IM, say,  $S^{FR}$  consists 10 elements with the assumption that  $\Theta_f(\infty) + \Theta_g(\infty) > \frac{12}{7}$ , where 7 and 10 are the best-known lower bounds of the numbers of elements of any URSE and URSE-IM, respectively. A special case of these results with  $m_0 = \infty$  was obtained by Y. Xu in [9] as below

**Corollary 6.3** ([9, p. 1490]). *Let  $f$  and  $g$  be any two nonconstant meromorphic functions such that  $\Theta_f(\infty) + \Theta_g(\infty) > \frac{3}{2}$ . Then, there exists an URSM, i.e.,  $S^{FR}$ , consisting of 7 elements. In particular, there exists an URSM consisting of 7 elements whenever  $\Theta_f(\infty) > \frac{3}{4}$  and  $\Theta_g(\infty) > \frac{3}{4}$ .*

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