

## Some Applications of Bochner's Method to Riemannian Manifolds.

By

Makoto MATSUMOTO

The well-known treatise of S. Bochner [1, 2] is based upon Green's theorem and Ricci's identity. Let  $V_n$  be a compact, orientable Riemannian manifold, whose metric is given by the positive definite quadratic form :

$$ds^2 = g_{ij} dx^i dx^j.$$

Hereafter, unless otherwise stated, we shall denote by  $V_n$  a Riemannian manifold as above mentioned.

If we put, for  $r$ -tensors  $\varphi_{i_1 \dots i_r}$  and  $\psi_{i_1 \dots i_r}$ ,

$$(\varphi \cdot \psi) = \varphi_{i_1 \dots i_r} \psi^{i_1 \dots i_r},$$

$$(\varphi' \cdot \psi') = \varphi_{i_1 \dots i_r; j} \psi^{i_1 \dots i_r; j},$$

and denote by  $\Delta \varphi_{i_1 \dots i_r}$  the Laplacian of  $\varphi_{i_1 \dots i_r}$ ; i. e.

$$\Delta \varphi_{i_1 \dots i_r} = \varphi_{i_1 \dots i_r; j; k} g^{jk},$$

we have clearly

$$\frac{1}{2} \cdot \Delta(\varphi \cdot \varphi) = (\Delta \varphi \cdot \varphi) + (\varphi' \cdot \varphi').$$

And Green's theorem gives that

$$\int_{V_n} \Delta(\varphi \cdot \varphi) dv = 0;$$

where  $dv$  is  $n$ -dimensional volume element. The other hand, we define operator  $D$  and its dual  $D^*$  as follows :

$$D \xi_{i_1 \dots i_{p+1}} = \delta_{i_1 \dots i_{p+1}}^{a_1 \dots a_{p+1}} \xi_{a_1 \dots a_p; a_{p+1}},$$

$$D^* \xi_{i_1 \dots i_{p-1}} = \xi_{i_1 \dots i_{p-1}; j; k} g^{jk}.$$

In above definitions  $\xi_{i_1 \dots i_p}$  is a skew-symmetric  $p$ -tensor and  $\delta_{a_1 \dots a_r}^{b_1 \dots b_r}$

is generalized Kronecker's delta. A tensor  $\xi_{i_1 \dots i_p}$  is called to be harmonic  $p$ -tensor, if  $D^* \xi_{i_1 \dots i_{p+1}} = 0$  and  $D^* \xi_{i_1 \dots i_{p-1}} = 0$  [3]. In this case we see readily

$$p! \cdot \Delta \xi_{i_1 \dots i_p} = -\frac{p}{2} \delta_{i_1 i_2 i_3 \dots i_p}^{j k a_3 \dots a_p} \xi_{lm}^{lm} a_{3 \dots a_p} H_{(p) j k l m} \quad (p \geq 2), \quad (0.1)$$

$$\Delta \xi_i = R_{ij} \xi^j \quad (p=1); \quad (0.2)$$

where by definition

$$H_{(p) i j k l} = (p-1) R_{i j k l} - \frac{1}{2} (g_{ik} R_{jl} - g_{il} R_{jk} + R_{ik} g_{jl} - R_{il} g_{jk}), \quad (0.3)$$

and  $R_{ijkl}$  is the curvature tensor of  $V_n$ , i. e.

$$R_{i \cdot k l}^h = \frac{\partial \Gamma_{ik}^h}{\partial x^l} - \frac{\partial \Gamma_{il}^h}{\partial x^k} + \Gamma_{ik}^a \Gamma_{al}^h - \Gamma_{il}^a \Gamma_{ak}^h,$$

$$R_{i j k l} = g_{jh} R_{i \cdot k l}^h, \quad R_{ij} = R_{i \cdot jh}^h = g^{ah} R_{ia jh}.$$

In order to obtain (0.1) and (0.2) we depend upon the following process, which is first effectively made use of S. Bochner. For an arbitrary tensor  $\eta_{i_1 \dots i_r}$  we have the Ricci's identity:

$$\eta_{i_1 \dots i_r ; j ; k} - \eta_{i_1 \dots i_r ; k ; j} = -\sum_{s=1}^r \eta_{i_1 \dots l \dots i_r} R_{i_s \cdot j k}^l$$

Hence, if  $\eta_{i_1 \dots i_r}$  is harmonic, we have  $D^* \eta_{i_1 \dots i_{r-1}} = 0$ , so that

$$\eta_{i a_2 \dots a_r ; j ; k} g^{ik} = \eta_{l a_2 \dots a_r} R_j^l - \sum_{s=2}^r \eta_{i a_2 \dots l \dots a_r} R_{a_s \cdot j k}^l g^{ik}$$

It follows from (0.1) or (0.2)

$$(\Delta \xi \cdot \xi) = -\frac{p}{2} \xi^{j k a_3 \dots a_p} \xi_{lm}^{lm} a_{3 \dots a_p} H_{(p) j k l m} \quad (p \geq 2),$$

$$(\Delta \xi \cdot \xi) = R_{ij} \xi^i \xi^j \quad (p=1).$$

This equations and Green's theorem is fundamental for many beautiful theorems in the paper of I. Mogi [4], which is written afresh systematically from papers of S. Bochner [1, 2]. Though this method is seemed to be most impressive adapting to the harmonic tensor, we shall obtain some interesting results for a certain type of tensor. The first section of this paper is a small attempt applying this method to the imbedding problem of Riemann

nian manifold. In remaining sections we give some additional results to the papers of I. Mogi [4] and Y. Tomonaga [5].

### 1. Application to the imbedding problem

A Riemannian manifold  $V_n$  can be imbedded in an Euclidean space of dimensionality  $(n+1)$ , if and only if, there exists the symmetric tensor  $H_{ij}$ , which is called the second fundamental tensor of  $V_n$ , such that the Gauss's and Codazzi's equations are satisfied, say

$$R_{ijkl} = H_{ik}H_{jl} - H_{il}H_{jk}, \quad (1.1)$$

$$H_{ij};k - H_{ik};j = 0. \quad (1.2)$$

Paying our attention to the Codazzi's equation (1.2), let us generalize that type of tensor. We call a symmetric  $p$ -tensor  $\xi_{i_1 \dots i_p}$  ( $\geq 2$ ) to be of Codazzi type, if the differential equation

$$\xi_{ia_2 \dots a_p};j - \xi_{ja_2 \dots a_p};i = 0 \quad (1.3)$$

is satisfied. Making use of the method of S. Bochner, we calculate the Laplacian of above  $\xi_{i_1 \dots i_p}$  as follows:

$$\begin{aligned} \Delta \xi_{i_1 \dots i_p} &= \hat{\xi}_{a_2 \dots a_p}; i_1; b g^{ab} \\ &= g^{ab} (\xi_{ai_2 \dots i_p}; b; i_1 - \xi_{ci_2 \dots i_p} R^c \cdot i_1 b - \sum_{r=2}^p \xi_{ai_2 \dots c \dots i_p} R_{i_r} \cdot c \cdot i_1 b) \\ &= g^{ab} \xi_{abi_3 \dots i_p}; i_2; i_1 + \xi_{ci_2 \dots i_p} R^c_{i_1} - g^{ab} \sum_{r=2}^p \xi_{ai_2 \dots c \dots i_p} R_{i_r} \cdot c \cdot i_1 b. \end{aligned}$$

Hence, if a restriction

$$(g^{ab} \xi_{abi_3 \dots i_p}); c; d = 0 \quad (1.4)$$

is subjoined, we have finally

$$(\Delta \xi \cdot \xi) = \xi^{abc_3 \dots c_p} \xi^{i_3 \dots i_p} M_{aibj} \quad (p \geq 2); \quad (1.5)$$

where we put

$$M_{aibj} = -(p-1)R_{aibj} + \frac{1}{2}(g_{ai}R_{bj} + g_{bj}R_{ai}). \quad (1.6)$$

Define the positive-definiteness of  $M_{aibj}$  following I. Mogi [4]. If, for any symmetric tensor  $\eta^{ij}$ ,

$$M_{(p)abj} \eta^{ab} \eta^{ij} \underset{*}{\geq} 0,$$

then  $M_{(p)abj}$  is called to be positive-definite. In the above equation " $\underset{*}{\geq}$ " means that the equality does not be satisfied at least one point of  $V_n$ . The equation (1.5) gives us the

**Theorem 1.** *If  $M_{(p)abj}$  ( $p \geq 2$ ) of  $V_n$  is positive-definite, there exists no  $p$  ( $\geq 2$ )-tensor of Codazzi type satisfying (1.4).*

Now we apply Theorem I to the second fundamental tensor  $H_{ij}$ . If  $V_n$  can be imbedded in an Euclidean space of dimensionality  $(n+1)$ , such that  $(g^{ab}H_{ab})_{;i;j} = 0$  and furthermore  $M_{(2)abj}$  is positive-definite, then  $H_{ij}$  must vanish, and hence from (1.1)  $V_n$  must be Euclidean. This fact leads us to the

**Theorem 2.** *If  $V_n$  is not Euclidean and  $M_{(2)abj}$  is positive-definite, then it is impossible that  $V_n$  is imbedded throughout in an Euclidean  $S_{n+1}$ , such that  $(g^{ab}H_{ab})_{;i;j} = 0$ .*

In particular, if  $V_n$  is an minimal variety of  $S_{n+1}$ , the mean curvature  $g^{ab}H_{ab}$  is equal to zero. Also, if  $V_n$  is an umbilical variety of  $S_{n+1}$ , we have  $H_{ij} = \lambda g_{ij}$ ; where  $\lambda$  is constant in virtue of (1.2). Hence we have  $H_{ij;k} = 0$ . Thus we have, as a consequence of Theorem 2, the

**Corollary.** *If  $V_n$  is not Euclidean and  $M_{(2)abj}$  is positive-definite, then it is impossible that  $V_n$  is imbedded throughout in an Euclidean  $S_{n+1}$ , such that  $V_n$  is minimal or umbilical variety.*

## 2. On harmonic vectors

Y. Tomonaga [5] gave a sufficient condition that the covariant derivatives of any harmonic tensor vanish, provided that  $V_n$  is symmetric, say,  $R_{[ij]k;l} = 0$ . That is, if  $V_n$  is symmetric and  $T_{(p)abctjk}$  is positive-definite, then any harmonic tensor is covariant constant. In this statement  $T_{(p)abctjk}$  is given by

$$\begin{aligned} T_{(p)abctjk} = & -\frac{p(p-1)}{2} R_{abij} g_{ck} + \frac{p}{2} (R_{ai} g_{bj} + R_{bj} g_{ai}) g_{ck} \\ & - p (g_{ai} R_{bjck} + g_{bj} R_{aic k}) + g_{ai} g_{bj} R_{ck}, \quad (p \geq 1), \end{aligned}$$

(this form is slightly modified by the author) and the positive-definiteness of this tensor is defined as follows:

$$T_{(p)abcijk} \eta^{abc} \eta^{ijk} \geq 0;$$

where  $\eta^{ijk}$  is any tensor, which is skew-symmetric with respect to  $i$  and  $j$ . If  $V_n$  is compact,  $\xi_{i_1 \dots i_p; j=0}$  is equivalent to  $\Delta \xi_{i_1 \dots i_p; j=0}$  [4], so that the above theorem of Y. Tomonaga say the vanishing of Laplacian of any harmonic tensor. Hence if the assumptions of the above theorem are satisfied and if the one-dimensional Betti number does not vanish, then from (0.2) we have  $R_{ij} \xi^j = 0$ . Thus we have the

**Theorem 3.** *If  $V_n$  is symmetric and  $T_{(p)abcijk} (p \geq 1)$  is positive-definite and furthermore the one-dimensional Betti number of  $V_n$  does not vanish, then the determinant  $|R_{ij}|$  is throughout equal to zero.*

Next, in the theorems of I. Mogi [4] for the conformally flat  $V_n$ , we must suppose that the dimension  $n$  of  $V_n$  is more than three, because in case of dimensionality two or three the conformal curvature tensor is identically equal to zero. Hence, in these cases, those theorems are satisfied without such a supposition. Thus we may expect more remarkable results for these cases.

Let  $V_3$  be conformally flat Riemannian manifold of three dimensions and  $\xi_i$  be covariant constant (this is, of course, harmonic). Then from (0.2) we obtain  $R_{ij} \xi^j = 0$  and hence

$$(R_{ij;k} - R_{ik;j}) \xi^i = 0. \tag{2.1}$$

Conformal flatness of  $V_3$  means the vanishing of the tensor  $C_{ijk}$  defined by

$$C_{ijk} = R_{ij;k} - R_{ik;j} - \frac{1}{4} (g_{ij} R_{;k} - g_{ki} R_{;j}).$$

Hence (2.1) is written as follows:

$$\xi_j R_{;k} - \xi_k R_{;j} = 0,$$

from which we have easily

$$\xi_i = \lambda^{-1} R_{;i}. \tag{2.2}$$

Since  $\xi_i$  is covariant constant, we obtain from (2.2) by differentiating covariantly

$$R_{;i;j} = \frac{\partial \log \lambda}{\partial x^j} R_{;i}. \tag{2.3}$$

From this we see that  $\lambda$  in (2.2) is uniquely determined to within

constant coefficient. The symmetry of  $R_{i;j}$  imposes

$$R_{i;j} = \mu R_{;i} R_{;j}. \tag{2.4}$$

Putting together we obtain the

**Theorem 4.** *If  $V_3$  is conformally flat space of three-dimensions and if there exists a covariant constant vector, then the scalar curvature  $R$  of  $V_3$  satisfies (2.4) and any covariant constant vector is given by (2.2) and (2.3), which is uniquely determined to within constant coefficient.*

Thus, if we denote by  $s$  the number of harmonic vectors, whose covariant derivatives do not vanish, then the one-dimensional Betti number is equal to  $s+1$ .

### 3. Special harmonic tensor in Ruse's space

Consider the Ruse's space of recurrent curvature, say

$$R_{nijk;l} = R_{hijk} \lambda_l, \quad (\lambda_l \neq 0). \tag{3.1}$$

By the similar process as the deduction of Y. Tomonaga in proving the theorem stated at the beginning of the last section, we obtain the form:

$$\begin{aligned} \phi &= g^{bc} \xi_{a_1 \dots a_p; d; b; c} \xi^{a_1 \dots a_p; d} \\ &= \xi^{abd_3 \dots d_p; c} \xi^{ij}_{d_3 \dots d_p; \binom{k}{(p)}} T_{abcijk} + \xi^{abc_3 \dots c_p} \xi^{ij}_{c_3 \dots c_p; k} \\ &\left\{ \frac{p}{2} (g_{ai} R_{bjkl} + g_{bj} R_{aiki}) \lambda^l + \frac{p}{2} (R_{ai} g_{bj} + R_{bj} g_{ai}) \lambda_k \right. \\ &\quad \left. - \frac{p(p-1)}{2} R_{abij} \lambda_k \right\}. \end{aligned} \tag{3.2}$$

Now suppose that a harmonic tensor  $\xi_{i_1 \dots i_p}$  satisfies the following differential equation:

$$\xi_{i_1 \dots i_p; j} = \xi_{i_1 \dots i_p} \lambda_j; \tag{3.3}$$

where  $\lambda_j$  is the same one as in (3.1). Substituting from (3.3),  $\phi$  is written in the form:

$$\begin{aligned} \phi &= \xi^{abc_3 \dots c_p} \xi^{ij}_{c_3 \dots c_p} \left\{ \frac{1}{2} R_{uv} \lambda^u \lambda^v (g_{ai} g_{bj} - g_{aj} g_{bi}) \right. \\ &\quad \left. - (\lambda \cdot \lambda) H_{abij} \right\}. \end{aligned} \tag{3.4}$$

It is to be noted that  $H_{(p)abij}$  of (0.3) entrances into (3.4). On the other hand, from (3.1) and Bianchi's identity we have

$$R_{hij}k^l + R_{hik}l^j + R_{hil}j^k = 0.$$

Contracting this equation by  $g^{hj}g^{lk}$  gives

$$R_{uv}l^u l^v = \frac{1}{2}R \cdot (\lambda \cdot \lambda).$$

Therefore  $\phi$  takes the form

$$\phi = (\lambda \cdot \lambda) \xi^{abc_3 \dots c_p} \xi^{ij} c_{3 \dots c_p} L_{(p)abij} \quad (p \geq 2); \quad (3.5)$$

setting

$$L_{(p)abij} = -H_{(p)abij} + \frac{R}{4} (g_{ai}g_{bj} - g_{aj}g_{bi}). \quad (3.6)$$

Especially the case  $p=1$  is more simple; i. e.

$$\phi = (\lambda \cdot \lambda) L_{ij} \xi^i \xi^j \quad (p=1) \quad (3.7)$$

$$L_{ij} = 2R_{ij} + \frac{R}{2} g_{ij}. \quad (3.8)$$

Since  $(\lambda \cdot \lambda)$  is positive, (3.5) or (3.7) gives the

**Theorem 5.** *If  $V_n$  is Ruse's space of recurrent curvature and  $L_{(p)abij} (p \geq 2)$  or  $L_{ij} (p=1)$  is positive-definite, then there exists no harmonic  $p$ -tensor satisfying (3.3)*

The positive-definiteness of  $L_{(p)abij}$  and  $L_{ij}$  is given by

$$\begin{aligned} L_{(p)abij} \eta^{ab} \eta^{ij} &\underset{*}{\geq} 0, \\ L_{ij} \eta^i \eta^j &\underset{*}{\geq} 0; \end{aligned}$$

where  $\eta^{ij}$  is any skew-symmetric tensor and  $\eta^i$  is any vector. From (3.2)  $\phi$  is also written as

$$\phi = \Delta \xi_{i_1 \dots i_p; j} \xi^{i_1 \dots i_p; j}.$$

Hence we have the

**Theorem 6.** *If  $V_n$  is Ruse's space of recurrent curvature and  $L_{(p)abij} (p \geq 2)$  or  $L_{ij} (p=1)$  is definite (positive or negative), then there exists no harmonic  $p$ -tensor satisfying (3.3), such that its Laplacian is covariant constant.*

## References

- [1] Bochner, B., *Vector field and Ricci curvature*, Bull. Amer. Math. Soc., 52 (1946), 178-195.
- [2] Bochner, S., *Curvature and Betti numbers*, Annals Math., 49 (1948), 379-390.
- [3] Hodge, W. V. D., *The theory and applications of harmonic integrals*, Cambridge Univ. Press., (1941), 144-146.
- [4] Mogi, I., *On harmonic field in Riemannian manifold*, Kōdai Math. Seminar Reports, 4-5 (1950), 61-66.
- [5] Tomonaga, Y., *On Betti numbers of Riemannian spaces*, Jour. Math. Soc. Japan, 2 (1950), 83-104.