

## Unique factorization of ideals in the sense of quasi-equality

To Professor Y. Akizuki for celebration of his 60th birthday

By

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### Introduction

Throughout this paper, let  $R$  be an integral domain, i.e., a commutative ring with an identity and having no proper zero-divisors, and let  $K$  be the field of quotients of  $R$ . By an  $R$ -module, we shall mean in this paper an  $R$ -module contained in  $K$ . Let  $A$  and  $B$  be  $R$ -modules, then the set of all elements  $x$  in  $K$  such that  $xb$  is in  $A$  for every element  $b$  of  $B$  is denoted by  $A/B$ . In the special case that  $A=R$ ,  $R/B$  is often denoted by  $B^{-1}$ , and we write  $(B^{-1})^n$  by  $B^{-n}$ , for brevity. By an ideal of  $R$ , we mean a non-zero *fractional* ideal of  $R$ . If  $A \subseteq R$ , then we say that  $A$  is an *integral* ideal of  $R$ . If  $(A^{-1})^{-1}=A$ , then we say that  $A$  is a *V-ideal* of  $R$ . If  $(A^{-1})^{-1}=A$  and  $AA^{-1}=A$ , then we say that  $A$  is an *F-ideal* of  $R$ . It is known (cf. Mori [1]) that an *F-ideal* is an integral ideal and is characterized by the properties that (1)  $A^{-1}$  is a ring containing  $R$  and (2)  $A$  is a *V-ideal*. If  $A^{-1}=B^{-1}$ , then we say that  $A$  is *quasi-equal* to  $B$  and write  $A \sim B$ .

In this paper we shall prove the following theorem.

**Theorem.** *The following three conditions are equivalent to each other :*

(1) *Any ideal  $A$  of  $R$  satisfies a quasi-equality of the following type :*

$$A \sim p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n},$$

where  $p_i$  ( $i=1, 2, \dots, n$ ) are *prime ideals* in  $R$  and  $r_i$  ( $i=1, 2, \dots, n$ )

are integers, and  $\mathfrak{p}_i, r_i$  ( $i=1, 2, \dots, n$ ) are uniquely determined up to the order and factors which are quasi-equal to  $R$ .

(2)  $R$  is completely integrally closed in  $K$  and satisfies the ascending chain condition for integral  $V$ -ideals.

(3)  $R$  is a Krull ring<sup>1</sup>.

A result of this kind was stated in Krull's "Idealtheorie" (Ergeb. der Math. 4 No. 3, Julius Springer, Berlin, 1935), p. 119, without proof. The assertion is that the condition (3) is equivalent to a little stronger condition than (1), i.e., the condition (1) with additional assumption that all  $\mathfrak{p}_i$  are of height 1.

As is well known, for a Noetherian integral domain, integrally closedness implies completely integrally closedness. Therefore one may ask a question if the condition of completely integrally closedness may be replaced by the integrally closedness in (2) because of the presence of the maximum condition for integral  $V$ -ideals. Unfortunately, the answer of this question is negative, and we shall show it by an example at the end of this paper.

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### 1. Ascending chain condition

In this section we shall obtain some results about an integral domain which satisfies the ascending chain condition for integral  $V$ -ideals.

**Lemma 1.** *The following two conditions for the integral domain  $R$  are equivalent to each other:*

(1) *The ascending chain condition, for integral  $V$ -ideals, holds in  $R$ .*

(2) *For every ideal  $A$  of  $R$ , there exists an ideal  $B$  such that (i)  $A \supseteq B$ , (ii)  $A \sim B$  and (iii)  $B$  is a finite  $R$ -module.*

*Proof.* At first, we shall assume that the condition (1) holds,

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1) We shall follow the definition of Nagata [2]; A Krull ring is an "endliche diskrete Hauptordnung" in the sense of Krull.

and let  $A$  be a given ideal. Denote by  $\mathfrak{C} = \{B_\lambda\}$  the set of all finitely generated ideals  $B_\lambda$  contained in  $A$ . Since every  $B_\lambda^{-1}$  is a  $V$ -ideal and contains  $A^{-1}$ , there is a minimal member, say  $B_m^{-1}$  ( $B_m \in \mathfrak{C}$ ), of the set  $\{B_\lambda^{-1}\}$  (cf. Nishimura [4]). If  $B_m^{-1} \not\supseteq A^{-1}$ , then there exists an element  $b$  of  $A$  which is not contained  $(B_m^{-1})^{-1}$ . Put  $B_m + bR = B'$ , then  $B' (\subseteq A)$  is a finite  $R$ -module and  $B'^{-1} \subsetneq B_m^{-1}$ . This is a contradiction. Hence  $B_m^{-1} = A^{-1}$ , and the condition (2) is satisfied.

Next, we shall assume that the condition (2) holds. Let

$$A_1 \subseteq A_2 \subseteq \dots \subseteq A_n \subseteq \dots$$

be a sequence of integral  $V$ -ideals of  $R$ , and set  $A = \bigvee_i A_i$ . Then there exists a finitely generated ideal  $B$  such that  $A \supseteq B$  and  $A \sim B$ . Let  $B = b_1R + b_2R + \dots + b_kR$ , and  $b_i \in A_{n_i}$ . Put  $m = \max(n_1, n_2, \dots, n_k)$ , then  $B \subseteq A_m$ . Since  $A_m$  is a  $V$ -ideal and  $B \sim A$ , we have  $A \subseteq A_m$ . Hence  $A_m = A_{m+1} = \dots$ , and the condition (1) is satisfied.

We shall assume throughout hereafter in this section that the ascending chain condition, for integral  $V$ -ideals, holds in the integral domain  $R$ . Let  $S$  be a non-empty subset of  $R$  which does not contain zero and which is closed under multiplication. And let  $R' = R_S$  be the ring of quotients of  $R$  with respect to  $S$ .

**Lemma 2.** *Let  $A$  be an ideal of  $R$  and set  $R/A = B$ , then  $R'/AR' = BR'$ .*

Proof. There exists a finitely generated ideal  $C$  such that  $C \subseteq A$  and  $C \sim A$ . Hence  $BR' = {}^2R'/CR' \supseteq R'/AR' \supseteq BR'$ . Therefore  $R'/AR' = BR'$ .

**Proposition 1.** *If  $A$  is a  $V$ -ideal of  $R$ , then  $AR'$  is a  $V$ -ideal of  $R'$ .*

Proof. Put  $R/A = B$ , then  $AR' = R'/BR'$  by Lemma 2. Hence  $AR'$  is a  $V$ -ideal of  $R'$ .

**Corollary.** *If  $\mathfrak{f}$  is an  $F$ -ideal of  $R$ , then  $\mathfrak{f}R'$  is an  $F$ -ideal of  $R'$ .*

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2) Cf. Lemma 7 of Nishimura [3] and Nagata [2], (18.2), (2).

Proof. Put  $R/\mathfrak{f} = \tilde{R}$ ,  $\tilde{R}R' (\supseteq R')$  is a ring and  $\mathfrak{f}R' = R'/\tilde{R}R'$ . Hence  $\mathfrak{f}R'$  is an  $F$ -ideal of  $R'$ .

**Proposition 2.** *If  $A'$  is a  $V$ -ideal of  $R'$ , then there exists a  $V$ -ideal  $A$  of  $R$  such that  $A' = AR'$ ; if, in this case,  $A'$  is an integral ideal of  $R'$ , then we can choose  $A$  to be  $A' \cap R$ .*

Proof. At first, we shall assume that  $A' \subseteq R'$ . Put  $A' \cap R = A$  and  $R/A = B$ . Then  $BR'$  is a  $V$ -ideal of  $R'$  and  $R'/A' = R'/AR' = BR'$ . Let  $R/B = C \supseteq A$ . Then  $CR' = R'/BR' = A' = AR'$ . Since  $AR' \cap R = A$ ,  $C \subseteq A$ . Hence  $C = A$ , and  $A$  is a  $V$ -ideal of  $R$ . Next, we shall assume that  $A' \not\subseteq R'$ . Since  $A'$  is a  $V$ -ideal of  $R'$ , there exists an element  $\alpha$  of  $K$  such that  $\alpha A' \subseteq R'$ . On the other hand,  $\alpha A'$  is a  $V$ -ideal of  $R'$  (cf. Nishimura [4]). Hence there exists a  $V$ -ideal  $A$  of  $R$  such that  $\alpha A' = AR'$ . Therefore  $A' = \alpha^{-1}AR'$ , and  $\alpha^{-1}A$  is also a  $V$ -ideal of  $R$ .

**Corollary.** *If  $\mathfrak{f}'$  is an  $F$ -ideal of  $R'$ , then there exists an  $F$ -ideal  $\mathfrak{f}$  of  $R$  such that  $\mathfrak{f}' = \mathfrak{f}R'$ .*

Proof. Put  $\mathfrak{f}' \cap R = \mathfrak{f}$  and  $R/\mathfrak{f} = A$ . Then  $\mathfrak{f}$  is a  $V$ -ideal of  $R$  and  $\mathfrak{f}' = \mathfrak{f}R'$ ,  $\mathfrak{f}A \supseteq \mathfrak{f}$ . And  $\mathfrak{f}R' \cdot AR' = \mathfrak{f}'AR' = \mathfrak{f}'$ . Hence  $\mathfrak{f}A \subseteq \mathfrak{f}$ , and  $\mathfrak{f}$  is an  $F$ -ideal of  $R$ .

**Lemma 3.** *If  $\mathfrak{p}$  is a prime ideal of height 1 in  $R$ , then  $\mathfrak{p}$  is a  $V$ -ideal of  $R$ .*

Proof. Applying Proposition 2,  $R_{\mathfrak{p}}$  satisfies the ascending chain condition for integral  $V$ -ideals. Hence the set of all integral  $V$ -ideals ( $\neq R_{\mathfrak{p}}$ ) of  $R_{\mathfrak{p}}$  has a maximal element. Since a maximal integral  $V$ -ideal ( $\neq R_{\mathfrak{p}}$ ) is a prime ideal,  $\mathfrak{p}R_{\mathfrak{p}}$  is a  $V$ -ideal of  $R_{\mathfrak{p}}$ . Hence  $\mathfrak{p}$  is a  $V$ -ideal of  $R$  by Proposition 2.

## 2. Completely integrally closed domains

In this section we shall state about a completely integrally closed domain. The following lemmas 4~9 are known (cf. Suetsuna [5]), but we recall them for the reader's convenience.

**Lemma 4.** *The integral domain  $R$  is completely integrally closed in  $K$  if and only if  $AA^{-1} \sim R$  for any ideal  $A$  of  $R$ .*

Proof. At first, we assume that  $R$  is completely integrally closed, and let  $A$  be a given ideal. If  $\alpha$  is an element of  $A/A$ , then  $\alpha^n A \subseteq A$  ( $n=1, 2, \dots$ ). Hence  $\alpha$  is almost integral over  $R$ , and  $A/A=R$ . Hence  $R/AA^{-1}=A^{-1}/A^{-1}=R$ . Next, we assume that  $AA^{-1}\sim R$  for any ideal  $A$  of  $R$ , then  $A/A\subseteq R/AA^{-1}=R$  and therefore  $A/A=R$ . If  $\alpha$  is almost integral over  $R$ , let  $R[\alpha]=B$  be a ring of polynomials in  $\alpha$  with coefficients in  $R$ , then  $\alpha B\subseteq B$ . Hence  $\alpha\in B/B$ , and  $\alpha\in R$ . Hence  $R$  is completely integrally closed in  $K$ .

We shall assume throughout hereafter in this section that  $R$  is completely integrally closed in  $K$ .

**Lemma 5.** *Let  $A$  and  $B$  be integral ideals of  $R$ , then  $(A^{-1})^{-1}\subseteq(B^{-1})^{-1}$  if and only if there exists an integral ideal  $C$  such that  $A\sim BC$ .*

Proof. If  $(A^{-1})^{-1}\subseteq(B^{-1})^{-1}$ , then we can put  $AB^{-1}=C$ . Next, if  $A\sim BC$ , then  $B^{-1}A\sim B^{-1}BC\sim C$ . Hence  $AB^{-1}$  is an integral ideal of  $R$ , and  $(A^{-1})^{-1}\subseteq(B^{-1})^{-1}$ .

Remark. If  $(A^{-1})^{-1}\not\subseteq(B^{-1})^{-1}$ , then  $C$  is not quasi-equal to  $R$ .

**Lemma 6.** *Let  $\mathfrak{p}$  be a prime ideal of  $R$ , and let  $A$  and  $B$  be integral ideals of  $R$ . If  $\mathfrak{p}\sim AB$  then the one of  $A, B$  is quasi-equal to  $\mathfrak{p}$  and the other is quasi-equal to  $R$ .*

Proof. If  $\mathfrak{p}\sim R$ , then this lemma is obvious. We shall assume that  $\mathfrak{p}$  is not quasi-equal to  $R$ . Then there are integral ideals  $C_1, C_2$  such that  $C_1\mathfrak{p}=C_2AB$ ,  $C_1\sim R$ ,  $C_2\sim R$ . Since  $C_2\not\subseteq\mathfrak{p}$ ,  $AB\subseteq\mathfrak{p}$ . If  $A\subseteq\mathfrak{p}$ , then  $(A^{-1})^{-1}\subseteq(\mathfrak{p}^{-1})^{-1}$ . By Lemma 5  $(A^{-1})^{-1}\supseteq(\mathfrak{p}^{-1})^{-1}$ . Hence  $A\sim\mathfrak{p}$ .

**Lemma 7.** *If the height of a prime ideal  $\mathfrak{p}$  in  $R$  is greater than 1, then  $\mathfrak{p}\sim R$ .*

Proof. Let  $\mathfrak{p}'$  be a prime ideal contained in  $\mathfrak{p}$ . Then there exists an integral ideal  $C$  such that  $\mathfrak{p}'\sim C\mathfrak{p}$ . Hence  $\mathfrak{p}'\sim\mathfrak{p}$  or  $\mathfrak{p}\sim R$ . Since a prime ideal which is not quasi-equal to  $R$  is a  $V$ -ideal of  $R$ ,  $\mathfrak{p}\sim R$ .

**Lemma 8.** *Let  $A_1, A_2, \dots, A_r, B_1, B_2, \dots, B_s$  be integral ideals*

of  $R$ . If  $A_1A_2 \cdots A_r \sim B_1B_2 \cdots B_s$ , then there are integral ideals  $A_{i\mu}$ ,  $B_{j\nu}$  such that

$A_i \sim A_{i1}A_{i2} \cdots A_{i\mu}$ ,  $B_j \sim B_{j1}B_{j2} \cdots B_{j\nu}$  ( $i=1, 2, \dots, r$ ;  $j=1, 2, \dots, s$ ) and such that  $\Pi A_{i\mu} = \Pi B_{j\nu}$ .

Proof. We prove the assertion by induction on  $r$ . If  $r=1$ , the assertion is trivial. We shall assume that  $r \geq 2$ , and put  $A_1+B_1=C_1$ , then  $A_1 \sim C_1A'_1$ ,  $B_1 \sim C_1B'_1$ , and  $A'_1+B'_1 \sim R$ . Put  $A'_1+B_2=C_2$ , then  $A'_1 \sim C_2A'_1$ ,  $B_2 \sim C_2B'_2$ , and  $A'_1+B'_2 \sim R$ . Put  $A_1^{(s-1)}+B_s=C_s$ , then  $A_1^{(s-1)} \sim C_sA_1^{(s)}$ ,  $B_s \sim C_sB'_s$ , and  $A_1^{(s)}+B'_s \sim R$ . Hence  $C_1C_2 \cdots C_sA_1^{(s)}A_2 \cdots A_r \sim C_1B'_1C_2B'_2 \cdots C_sB'_s$ , and  $A_1^{(s)}+B'_1B'_2 \cdots B'_s \sim R$ . Since  $A_1^{(s)}(R+A_2 \cdots A_r) \sim R$ ,  $A_1^{(s)} \sim R$ . Therefore  $A_2 \cdots A_r \sim B'_1B'_2 \cdots B'_s$ .

**Lemma 9.** *If  $R$  satisfies the ascending chain condition for integral  $V$ -ideals, then any integral ideal  $A$  in  $R$  which is not quasi-equal to  $R$  satisfies a quasi-equality of the following type:*

$$A \sim \mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_n^{r_n},$$

where  $\mathfrak{p}_i$  ( $i=1, 2, \dots, n$ ) are prime ideals of height 1 in  $R$  and  $r_i$  ( $i=1, 2, \dots, n$ ) are positive integers, and  $\mathfrak{p}_i, r_i$  ( $i=1, 2, \dots, n$ ) are uniquely determined up to the order.

Proof. The height of a prime ideal which is not quasi-equal to  $R$  is 1 by Lemma 7. We shall assume that  $A$  is not quasi-equal to any finite products of prime ideals which are not quasi-equal to  $R$ . Then there is an integral ideal  $A_1$  which is not quasi-equal to  $R$  such that  $(A^{-1})^{-1} \subseteq (A_1^{-1})^{-1}$ . Hence  $A \sim A_1A'_1$  where  $(A'_1)^{-1} \subseteq (A^{-1})^{-1}$  and  $A'_1$  is not quasi-equal to  $R$ . Hence either  $A_1$  or  $A'_1$  is not quasi-equal to any finite products of prime ideals which are not quasi-equal to  $R$ . Thus we get an infinite sequence of integral  $V$ -ideals

$$(A^{-1})^{-1} \subseteq (A_1^{-1})^{-1} \subseteq (A_2^{-1})^{-1} \subseteq \cdots.$$

This is a contradiction. The uniqueness of prime ideals follows from Lemma 8.

**Lemma 10.** *Let  $A$  be an ideal of  $R$ . If  $A \sim \mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_n^{r_n}$ , then  $A^{-1} \sim \mathfrak{p}_1^{-r_1} \mathfrak{p}_2^{-r_2} \cdots \mathfrak{p}_n^{-r_n}$ .*

Proof.  $p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n} p_1^{-r_1} p_2^{-r_2} \cdots p_n^{-r_n} \sim R \sim p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n} A^{-1}$ . Hence  $p_1^{-r_1} p_2^{-r_2} \cdots p_n^{-r_n} \sim A^{-1}$ .

### 3. Main theorems

In this section, we shall obtain main results about an integral domain which is completely integrally closed and satisfies the ascending chain condition for integral  $V$ -ideals.

**Theorem 1.** *The following three conditions are equivalent to each other :*

(1)  *$R$  is completely integrally closed in  $K$  and satisfies the ascending chain condition for integral  $V$ -ideals.*

(2) *Any ideal  $A$  of  $R$  which is not quasi-equal to  $R$  satisfies a quasi-equality of the following type :*

$$A \sim p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n},$$

where  $p_i$  ( $i=1, 2, \dots, n$ ) are prime ideals of height 1 in  $R$  and  $r_i$  ( $i=1, 2, \dots, n$ ) are non-zero integers, and  $p_i, r_i$  ( $i=1, 2, \dots, n$ ) are uniquely determined, up to the order.

(3) *Any ideal  $A$  of  $R$  satisfies a quasi-equality of the following type :*

$$A \sim p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n},$$

where  $p_i$  ( $i=1, 2, \dots, n$ ) are prime ideals in  $R$  and  $r_i$  ( $i=1, 2, \dots, n$ ) are integers, and  $p_i, r_i$  ( $i=1, 2, \dots, n$ ) are uniquely determined up to the order and factors which are quasi-equal to  $R$ .

Proof. Lemma 9 shows that (2) follows from (1) in the case of an integral ideal  $A$ . Let  $A$  be an ideal not contained in  $R$ . Then there exists an element  $a$  of  $R$  such that  $aA \subseteq R$ . Hence  $aA \sim p_1^{h_1} p_2^{h_2} \cdots p_s^{h_s}$  and by Lemma 10  $a^{-1}R \sim p_1^{h'_1} p_2^{h'_2} \cdots p_t^{h'_t}$ . Hence  $A \sim p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n}$ , where  $p_i$  ( $i=1, 2, \dots, n$ ) are prime ideals of height 1 in  $R$  and  $r_i$  ( $i=1, 2, \dots, n$ ) are non-zero integers. Now we shall show that  $p_i, r_i$  ( $i=1, 2, \dots, n$ ) are uniquely determined. We suppose that we have another representation  $A \sim p_1^{r'_1} \cdots p_n^{r'_n} \cdots p_p^{r'_p}$ , where  $r'_i$  ( $i=1, 2, \dots, n$ ) are integers and  $r'_j$  ( $j=n+1, \dots, p$ ) are non-zero integers. Then  $p_1^{r'_1 - r_1} p_2^{r'_2 - r_2} \cdots p_n^{r'_n - r_n} \cdots p_p^{r'_p} \sim R$ . If  $r'_1 - r_1 < 0$ , then

$\mathfrak{p}_1^{r_2-r_2} \cdots \mathfrak{p}_n^{r_n-r_n} \cdots \mathfrak{p}_p^{r_p} \sim \mathfrak{p}_1^{-(r_1-r_1)}$ . Hence  $\mathfrak{p}_{i_1}^{k_1} \cdots \mathfrak{p}_{i_u}^{k_u} \sim \mathfrak{p}_{j_1}^{l_1} \cdots \mathfrak{p}_{j_v}^{l_v}$  where  $\mathfrak{p}_{i_\mu} \neq \mathfrak{p}_{j_\nu}$  ( $\mu=1, 2, \dots, u; \nu=1, 2, \dots, v$ ) and  $k_i > 0, l_j > 0$  ( $i=1, 2, \dots, u; j=1, 2, \dots, v$ ). This contradicts to the uniqueness of factorization of integral ideals. Hence  $\mathfrak{p}_i, r_i$  ( $i=1, 2, \dots, n$ ) are uniquely determined. Thus (1) implies (2). (2) implies (3) obviously.

Next, we shall show that the condition (3) implies (1). We assume that there exists an  $F$ -ideal  $\mathfrak{f} (\neq R)$  of  $R$ . Then

$$\mathfrak{f} \sim \mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_n^{r_n}, \quad R/\mathfrak{f} = \tilde{R} \sim \mathfrak{p}_1^{t_1} \mathfrak{p}_2^{t_2} \cdots \mathfrak{p}_m^{t_m}.$$

Hence  $\mathfrak{f}\tilde{R} = \mathfrak{f} \sim \mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_n^{r_n} \mathfrak{p}_1^{t_1} \mathfrak{p}_2^{t_2} \cdots \mathfrak{p}_m^{t_m}$ . This is a contradiction. Since  $R$  has no  $F$ -ideal ( $\neq R$ ),  $R$  is completely integrally closed (cf. Mori [1]). Now, we assume that  $R$  does not satisfy the ascending chain condition for integral  $V$ -ideals, then there is an infinite sequence of integral  $V$ -ideals  $A_0 \subseteq A_1 \subseteq \cdots \subseteq A_n \subseteq \cdots$ . Let  $a \in A_0$  and  $aR \sim \mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_s^{r_s}, r_1 + r_2 + \cdots + r_s = m$ . By Lemma 5  $aR \sim A_1 B_1, A_1 \sim A_2 B_2, \dots, A_{m-1} \sim A_m B_m$ , where  $B_i$  ( $i=1, 2, \dots, m$ ) are integral ideals of  $R$  and not quasi-equal to  $R$ . Hence  $aR \sim B_1 B_2 \cdots B_m A_m$ , and  $A_m, B_i$  ( $i=1, 2, \dots, m$ ) are quasi-equal to finite products of prime ideals. This contradicts to the uniqueness of factorization. Hence  $R$  satisfies the ascending chain condition for integral  $V$ -ideals. Q.E.D.

**Proposition 3.** *If  $R$  is completely integrally closed in  $K$  and satisfies the ascending chain condition for integral  $V$ -ideals, then  $R = \bigcap_{\mathfrak{p}} R_{\mathfrak{p}}$  where  $\mathfrak{p}$  runs over all prime ideals of height 1 in  $R$ .*

Proof.<sup>3)</sup> Put  $\bigcap_{\mathfrak{p}} R_{\mathfrak{p}} = \tilde{R}$ . It is clear that  $\tilde{R} \supseteq R$ . Let  $\alpha \in \tilde{R}$ , then  $\alpha R \sim \mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_n^{r_n}$ , where  $\mathfrak{p}_i$  are prime ideals of height 1 in  $R$ . We consider  $\alpha R_{\mathfrak{p}_i}$ . Then  $\alpha R_{\mathfrak{p}_i} \sim \mathfrak{p}_i^{r_i} R_{\mathfrak{p}_i}$  by virtue of Lemma 2. Since  $\alpha \in \tilde{R}$ ,  $\alpha R_{\mathfrak{p}_i}$  is an integral ideal of  $R_{\mathfrak{p}_i}$ . Hence  $r_i \geq 0$ . Therefore  $\alpha R$  is an integral ideal of  $R$ . Hence  $\alpha \in R$  and  $\tilde{R} = R$ .

**Lemma 11.** *Let  $R$  be completely integrally closed in  $K$  and satisfy the ascending chain condition for integral  $V$ -ideals, and let  $\mathfrak{p}$  be a prime ideal of height 1 in  $R$ . Then  $\mathfrak{p}R_{\mathfrak{p}}$  is a principal ideal of*

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3) We shall prove this proposition by a method of Prof. Nagata.



$R_{\mathfrak{p}}$ , and  $R_{\mathfrak{p}}$  is a principal ideal domain. If  $0 \neq a \in R$ , then there are only a finite number of prime divisors  $\mathfrak{p}$  of  $aR$  such that height  $\mathfrak{p} = 1$ .

Proof. Put  $R_{\mathfrak{p}} = R'$  and  $\mathfrak{p}R' = \mathfrak{p}'$ . Then any integral principal ideal of  $R'$  is quasi-equal to  $\mathfrak{p}''$  where  $r$  is a positive integer. Let  $\mathfrak{C}$  be a set of all principal ideals contained in  $\mathfrak{p}'$ , and  $A'$  be a maximal element of  $\mathfrak{C}$ . Let  $p \in \mathfrak{p}'$  then  $pR' \subseteq A'$ . Hence  $\mathfrak{p}' = A'$ , hence  $R'$  is a principal ideal domain. A prime ideal  $\mathfrak{p}$  of height 1 in  $R$  is a prime divisor of  $aR$  if and only if  $\mathfrak{p}$  happens to appear in the factorization  $aR \sim \mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_n^{r_n}$ , as is easily seen by considering  $aR_{\mathfrak{p}}$ . Therefore the last half is also proved.

**Theorem 2.** *An integral domain  $R$  is a Krull ring if and only if  $R$  is completely integrally closed in  $K$  and satisfies the ascending chain condition for integral  $V$ -ideals.*

Proof. At first, we assume that  $R$  is completely integrally closed in  $K$  and satisfies the ascending chain condition for integral  $V$ -ideals. Then we see that  $R$  is a Krull ring, by Proposition 3 and Lemma 11 (cf. Nagata [2], (33.3)).

Next, we shall assume that  $R$  is a Krull ring. It is clear that  $R$  is completely integrally closed in  $K$ . We assume that  $R$  does not satisfy the ascending chain condition for integral  $V$ -ideals. Let  $A_0 \subsetneq A_1 \subsetneq \cdots \subsetneq A_j \subsetneq \cdots$  be an infinite sequence of integral  $V$ -ideals in  $R$ . Let  $a \in A_0$ , and let  $\mathfrak{p}_1, \mathfrak{p}_2, \cdots, \mathfrak{p}_n$  be prime ideals of height 1 which contain  $aR$ . Since  $R_{\mathfrak{p}_i}$  is Noetherian, integrally closed,  $aR_{\mathfrak{p}_i} = (\mathfrak{p}_i R_{\mathfrak{p}_i})^{r_i}$  by Lemma 11. Hence  $aR = \mathfrak{p}_1^{(r_1)} \cap \mathfrak{p}_1^{(r_2)} \cap \cdots \cap \mathfrak{p}_n^{(r_n)}$ . Let  $A = \mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_n^{r_n}$ ,  $r_1 + r_2 + \cdots + r_n = m$ . Then

$$A \subseteq aR \subseteq A_0 \subsetneq A_1 \subsetneq \cdots \subsetneq A_j \subsetneq \cdots .$$

By the same way as the proof of Theorem 1,  $A \sim B_1 B_2 \cdots B_m A_m$ , where  $B_1, B_2, \cdots, B_m, A_m$  are integral ideals and not quasi-equal to  $R$ . Hence  $\mathfrak{p}_1^{r_1} \mathfrak{p}_2^{r_2} \cdots \mathfrak{p}_n^{r_n} \sim B_1 B_2 \cdots B_m A_m$ . This is a contradiction by virtue of Lemma 6 and Lemma 8. Hence  $R$  satisfies the ascending chain condition for integral  $V$ -ideals. Q.E.D.

At last, we shall show an example of an integral domain which is integrally closed and satisfies the ascending chain condition for

integral  $V$ -ideals, and which is not completely integrally closed.

**Example.** Let  $K$  be a field and let  $x, y$  be independent variables over  $K$ . Then the polynomial ring  $K[x, y]$  is Noetherian, integrally closed and unique factorization ring. Put  $R = K + xK[x, y]$ . Since  $R/K[x, y] = xK[x, y]$ ,  $K[x, y]$  is the complete integral closure of  $R$  in its quotient field. Hence  $R$  is not completely integrally closed. Let  $z$  be integral over  $R$  and  $z \notin R$ , then we can write

$$\begin{aligned} z &= y^m + b_1 y^{m-1} + \cdots + b_{m-1} y + b_m, \\ &\quad \text{with } b_i \in K \ (i = 1, 2, \dots, m-1), \ b_m \in R, \text{ and} \\ z^n + g_1 z^{n-1} + \cdots + g_n &= 0, \quad \text{with } g_i \in R. \text{ Hence} \\ y^{mn} + h_1 y^{m(n-1)} + \cdots + h_{mn} &\equiv 0 \pmod{x}, \text{ with } h_i \in K. \end{aligned}$$

This is a contradiction. Hence  $z \in R$ , and  $R$  is integrally closed. Next, we shall show that  $R$  satisfies the ascending chain condition for integral  $V$ -ideals. Let  $A = \{f_\lambda\}$  be an integral  $V$ -ideal of  $R$  and  $d (\in K[x, y])$  be a maximal common divisor of all elements of  $A$ . Put  $f_\lambda = d f'_\lambda$ .

(i) If  $\{f'_\lambda\} \subseteq R$ , then  $A \cdot \frac{R}{d} \subseteq R$ . Let  $\alpha \in A^{-1}$ , then  $\alpha = \frac{r}{d} = \frac{r_1}{f_1} = \cdots = \frac{r_\lambda}{f_\lambda}$ , where  $r \in K[x, y]$ ,  $r_i \in R$ . Hence  $r f'_\lambda \in R$  and  $r \in R$ . Hence  $A^{-1} = \frac{R}{d}$ . Therefore  $A = dR$ .

(ii) If  $\{f'_\lambda\} \not\subseteq R$ , then  $A \cdot \frac{xK[x, y]}{d} \subseteq R$ . Let  $\alpha \in A^{-1}$ , then  $\alpha = \frac{r}{d} = \frac{r_1}{f_1} = \cdots = \frac{r_\lambda}{f_\lambda}$ , where  $r \in K[x, y]$ ,  $r_i \in R$ . Hence  $r f'_\lambda \in R$  and  $r \in xK[x, y]$ . Hence  $A^{-1} = \frac{xK[x, y]}{d}$ . Therefore  $A = dx^{-1}(R/K[x, y]) = dK[x, y]$ .

Hence there exists a correspondence between  $A$  and  $dK[x, y]$ . Since  $K[x, y]$  is Noetherian,  $R$  satisfies the ascending chain condition for integral  $V$ -ideals.

**Remark.** Moreover, this example shows that the following two conditions are not equivalent to each other :

(1) An integral domain  $R$  satisfies the ascending chain condition for integral  $V$ -ideals.

(2) Every integral  $V$ -ideal of  $R$  is a finite  $R$ -module.

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