

## A note on locally noetherian pairs

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All rings are assumed to be commutative integral domains with unity  $1 \neq 0$  in what follows. In order to generalize Gilmer's work [7], Wardsworth [10] defined a noetherian pair (N.P. in short) as follows:

“Let  $A$  and  $B$  be two integral domains such that  $A$  is a subring of  $B$ . Then  $(A, B)$  is said to be a noetherian pair if all the rings intermediate between  $A$  and  $B$  are noetherian.”

If  $A$  is a subring of  $B$  and  $\mathfrak{p}$  a prime ideal of  $A$  then  $B_{\mathfrak{p}}$  denotes the ring  $A_{\mathfrak{p}} \otimes_A B$  where as usual  $A_{\mathfrak{p}}$  is the localization of  $A$  at  $\mathfrak{p}$ . In Lemma 1 of his paper Wardsworth proves that if  $A$  is quasi-semi-local such that  $(A_{\mathfrak{m}}, B_{\mathfrak{m}})$  is N.P. for any maximal ideal  $\mathfrak{m}$  of  $A$ , then  $(A, B)$  itself is N.P. and then goes on to ask if the condition that  $A$  has finitely many maximal ideals can be removed. Clearly, if  $A$  is an almost Dedekind domain (equivalently,  $A_{\mathfrak{m}}$  is a rank one discrete valuation ring for any maximal ideal  $\mathfrak{m}$  of  $A$ ) which is not a Dedekind domain (for an example of such a domain see Appendix 3 [6]) and  $Q$  is the quotient field of  $A$ , then  $(A, Q)$  is not an N.P. However,  $(A_{\mathfrak{m}}, Q_{\mathfrak{m}})$  is N.P. for any maximal ideal  $\mathfrak{m}$  of  $A$ . In this note we study pairs  $(A, B)$  such that  $(A_{\mathfrak{m}}, B_{\mathfrak{m}})$  is an N.P. for any maximal ideal  $\mathfrak{m}$  of  $A$  and find in the sequel that many properties of the noetherian pairs generalize to such pairs. The notations and terminology are in general that of Nagata [9] unless stated otherwise.

### 1. Locally noetherian pairs

For the sake of convenience we make the following definitions.

**Definition 1.** A ring  $A$  is said to be locally noetherian if  $A_{\mathfrak{m}}$  is noetherian for any maximal ideal  $\mathfrak{m}$  of  $A$ .

**Definition 2.** Let  $A$  and  $B$  be two integral domains such that  $A$  is a subring of  $B$  (we shall henceforth say that  $(A, B)$  is a pair). The pair  $(A, B)$  is said to be a locally noetherian pair, locally N.P. in short, if  $(A_{\mathfrak{m}}, B_{\mathfrak{m}})$  is a noetherian pair for any maximal ideal  $\mathfrak{m}$  of  $A$ .

Thus an N.P. is locally N.P. but the converse is not true as pointed out already. The following Lemma is immediate.

**Lemma 1.1.** Let  $(A, B)$  be a pair of domains. The following are equivalent:

- (i)  $(A_{\mathfrak{m}}, B_{\mathfrak{m}})$  is N.P. for any maximal ideal  $\mathfrak{m}$  of  $A$ .
- (ii)  $(A_{\mathfrak{p}}, B_{\mathfrak{p}})$  is N.P. for any prime ideal  $\mathfrak{p}$  of  $A$ .

**Lemma 1.2.**  $(A, B)$  is an N.P. if and only if  $A$  is noetherian and for each proper ideal  $I$  of each ring  $C$  intermediate between  $A$  and  $B$ ,  $C/I$  is a finitely generated  $A$ -module.

*Proof.* See Theorem 2 [10].

The above lemma immediately yields the following characterization of locally noetherian pairs.

**Proposition 1.3.** Let  $(A, B)$  be a pair.  $(A, B)$  is locally N.P. if and only if (i)  $A$  is locally noetherian and (ii) for any intermediate ring  $C$  and a proper ideal  $I$  of  $C$ ,  $C/I \otimes_A A_{\mathfrak{m}}$  is a finitely generated  $A_{\mathfrak{m}}$ -module for any maximal ideal  $\mathfrak{m}$  of  $A$ .

*Proof.*  $(A, B)$  is locally N.P. if and only if for any maximal ideal  $\mathfrak{m}$  of  $A$ ,  $(A_{\mathfrak{m}}, B_{\mathfrak{m}})$  is a noetherian pair. Also,  $C'$  is a ring intermediate between  $A_{\mathfrak{m}}$  and  $B_{\mathfrak{m}}$  if and only if  $C' = C_{\mathfrak{m}}$  for a subring  $C$  intermediate between  $A$  and  $B$ . Now the result follows immediately on applying Lemma 1.2.

**Remark 1.** If  $(A, B)$  is locally N.P. then for any multiplicative subset  $S$  of  $A$  not containing zero  $(A_S, B_S)$  is also locally N.P.

It is well known that a locally noetherian ring  $A$  is noetherian if each nonzero element of  $A$  is contained in finitely many maximal ideals only. Following is an analogous result for locally N.P.'s.

**Proposition 1.4.** Let  $(A, B)$  be a locally N.P. If each nonzero element of  $A$  is contained in only finitely many maximal ideals of  $A$  then  $(A, B)$  is an N.P.

*Proof.* First of all as remarked above,  $A$  is noetherian. If  $A$  is a field there is nothing to prove. So we assume that  $A$  is not a field. Let  $C$  be a ring intermediate between  $A$  and  $B$ . Consider an ideal  $I$  of  $C$ . Let  $J = A \cap I$ . Then by Theorem 4 [10],  $J \neq 0$ . Now  $C_{\mathfrak{m}}/(JC)_{\mathfrak{m}}$  is a finitely generated  $A_{\mathfrak{m}}$ -module. Since  $J$  is contained in only finitely many maximal ideals of  $A$ , there is a finitely generated ideal  $I'$  of  $C$  such that  $I \supset I' \supset JC$  and  $(I/JC)_{\mathfrak{m}} = (I'/JC)_{\mathfrak{m}}$  for each maximal ideal  $\mathfrak{m}$  of  $A$ . Thus  $I$  is finitely generated so that  $C$  is noetherian. Hence  $(A, B)$  is N.P.

It is easily observed that if  $(A, B)$  is locally N.P. then every ring  $C$  intermediate between  $A$  and  $B$  is locally noetherian. Now we prove the converse of this statement.

The following lemma is immediate.

**Lemma 1.5.** Let  $(A, B)$  be a pair. Let  $I$  be an ideal of  $A$  such that  $IB \neq B$  and let  $C = A + IB$  and  $S = 1 + IB$ . Then

- (i)  $S$  is a multiplicative closed set of the ring  $C$ .
- (ii)  $C_S = A + IB_S$
- (iii)  $IB_S \subset \text{rad } C_S$ .

In the following  $Q(D)$  denotes the quotient field of the integral domain  $D$ .

**Proposition 1.6.** *Let  $(A, \mathfrak{m})$  be a local domain with  $\dim A > 0$ . Let  $(A, B)$  be a pair. Suppose  $B$  is not noetherian. Then there exists a ring  $C$  intermediate between  $A$  and  $B$  such that  $C_{\mathfrak{n}}$  is not noetherian for some maximal ideal  $\mathfrak{n}$  of  $C$ .*

*Proof.* Case I. When  $Q(B)$  is algebraic over  $Q(A)$ , let  $J$  be an ideal of  $B$  which is not finitely generated. Put  $I = J \cap A$ . Clearly,  $I \neq 0$ . Let  $a$  be a nonzero element of  $I$ . Put  $C = A + aB$  and  $S = 1 + aB$ .  $B_S$  is not noetherian. Hence by Lemma 1.5,  $C_S (= A + aB_S)$  is a non-noetherian quasi-local ring.

Case II. When  $Q(B)$  is not algebraic over  $Q(A)$ , take a transcendental element  $x$  of  $B$ . Let  $a$  be a nonzero element of  $\mathfrak{m}$ . Put  $C = A + aA[x]$  and  $S = 1 + aA[x]$ . Then Lemma 1.5 and the argument used in the proof of Theorem 2 in [10] imply that  $C_S (= A + aA[x]_S)$  is a non-noetherian quasi-local ring.

**Theorem 1.7.** *Let  $(A, B)$  be a pair. Suppose every  $C$  intermediate between  $A$  and  $B$  is locally noetherian. Then  $(A, B)$  is a locally noetherian pair.*

*Proof.* Case I. When  $A$  is not a field, it is sufficient to show that, for any maximal ideal  $\mathfrak{m}$  of  $A$ ,  $(A_{\mathfrak{m}}, B_{\mathfrak{m}})$  is a noetherian pair. Now any ring intermediate between  $A_{\mathfrak{m}}$  and  $B_{\mathfrak{m}}$  is also locally noetherian. Thus  $A_{\mathfrak{m}}$  is a local ring and  $(A_{\mathfrak{m}}, B_{\mathfrak{m}})$  satisfies the assumption of the theorem. Hence, Proposition 1.6 implies the conclusion.

Case II. Let  $A$  be a field. The conclusion is clear if  $B$  is algebraic over  $A$ . We, therefore, assume that  $Q(B)$  is not algebraic over  $A$ . Take a transcendental element  $x$  of  $B$  and let  $A' = A[x]$ . Then  $(A', B)$  is locally noetherian pair by Case I. Thus,  $Q(B)$  is a finite algebraic extension of  $Q(A')$  (Lemma 3, [10]). Krull-Akizuki Theorem implies that  $(A', B)$  is a noetherian pair. Also  $(A, A')$  is a noetherian pair (Corollary 5, [10]). Therefore,  $(A, B)$  is a noetherian pair. This completes the proof of the theorem.

An example of a locally noetherian pair which is not a noetherian pair was mentioned in the introduction. We end this section with another such example.

**Example.** Let  $R$  denote a noetherian integral domain whose derived normal ring is not a finite  $R$ -module and that for each prime ideal  $\mathfrak{p}$  the derived normal ring of  $R_{\mathfrak{p}}$  is a finite  $R_{\mathfrak{p}}$ -module (Example 8, p. 211, [9]). Set  $S = 1 + xR[x]$  and  $A = R[x]_S$ . Let  $B = \bar{A}$ , the derived normal ring of  $A$ .

Clearly  $(A, B)$  is locally N.P. Now  $A$  is noetherian and  $x$  is in  $\text{rad } A$ . Thus,  $B/xB$  is not a finitely generated  $A$ -module. Hence  $(A, B)$  is not an N.P.

## 2. Construction of locally noetherian pairs

Several of the results proved in [10] can be generalized suitably to the case of locally noetherian pairs. The following remark is immediate (cf. Corollary 5, [10]).

**Remark 2.** Let  $A$  be an integral domain and  $x$  an indeterminate over  $A$ . The

following are equivalent:

- (i)  $A$  is a field.
- (ii)  $(A, A[x])$  is a noetherian pair.
- (iii)  $(A, A[x])$  is a locally noetherian pair.

The following generalizes Corollary 6 in [10] and Gilmer's classification of domains all of whose subrings are noetherian [7].

**Proposition 2.1.** *Let  $B$  be a domain all of whose subrings are locally noetherian. Let  $P$  be the prime ring of  $B$ . Then  $(P, B)$  is a noetherian pair. Further,*

- (i) *If  $\text{ch } B = 0$  then  $B$  is contained in a finite algebraic extension of the field of rational numbers.*
- (ii) *If  $\text{ch } B \neq 0$  then either  $B$  is algebraic over  $P$  or  $B$  is contained in a finitely generated field  $F$  such that  $\text{tr deg}_p F = 1$ .*

*Proof.* By Theorem 1.7  $(P, B)$  is locally N.P. Then Proposition 1.4 implies that  $(P, B)$  is in fact a noetherian pair. The rest follows on applying Corollary 6 in [10] itself.

Next we wish to construct locally noetherian pairs. This construction is on lines similar to that of the construction of noetherian pairs. Let us recall the following from [10].

**Definition 3.** A maximal ideal  $\mathfrak{m}$  of a ring  $R$  is said to be low (resp. high) maximal ideal according as  $\text{ht } \mathfrak{m} = 1$  (resp.  $\text{ht } \mathfrak{m} > 1$ ).

**Notation** Denote  $\tilde{A} = \bigcap \{A_{\mathfrak{m}} : \mathfrak{m} \text{ is high maximal ideal of } A\}$ .

**Lemma 2.2.** *If  $A$  is a noetherian ring, then  $(A, \tilde{A})$  is a noetherian pair.*

*Proof.* See Theorem 8 [10].

**Lemma 2.3.** *Let  $A$  be a locally noetherian ring. Then  $(A, \tilde{A})$  is locally noetherian pair.*

*Proof.* If  $\mathfrak{m}$  is a high maximal ideal of  $A$ , then  $\tilde{A}_{\mathfrak{m}} = A_{\mathfrak{m}}$ . If, however,  $\mathfrak{m}$  is a low maximal ideal then  $A_{\mathfrak{m}}$  is a one dimensional noetherian ring. Thus it follows that  $(A_{\mathfrak{m}}, \tilde{A}_{\mathfrak{m}})$  is an N.P. Hence  $(A, \tilde{A})$  is a locally noetherian pair.

**Proposition 2.4.** *Let  $(A, B)$  be a locally noetherian pair. Let  $C$  be a ring intermediate between  $A$  and  $B$ . Then  $(C, B)$  is also a locally noetherian pair.*

*Proof.*  $C$  is locally noetherian. Let  $C \subset T \subset B$  and  $\mathfrak{m}$  a maximal ideal of  $C$ . Put  $\mathfrak{p} = \mathfrak{m} \cap A$ . Then  $T_{\mathfrak{m}}$  is a ring of quotients of  $T_{\mathfrak{p}}$  and therefore noetherian. Hence  $(C, B)$  is locally N.P.

**Remark 3.** Theorem 10 in [10] is also generalized as follows:

“Suppose  $(A, B)$  is locally N.P. Let  $T$  be the integral closure of  $A$  and  $B$ . Then  $B \subset \tilde{T}$ . If, however,  $A$  is noetherian then  $\dim B = \dim A$ . In case  $A$  has no low

maximal ideals,  $B$  is integral over  $A$ ."

The following which is a generalization of Theorem 13 in [10], helps construct new locally N.P.'s from known ones.

**Proposition 2.5.** *Let  $R$  be locally noetherian and  $R \subset A \subset T$ , where  $A$  is a finite integral extension of  $R$ . If  $(A, T)$  is locally noetherian pair then  $(R, T)$  is also a locally noetherian pair.*

*Proof.* Let  $B$  be a ring intermediate between  $R$  and  $T$ . Since  $(A, T)$  is locally noetherian pair,  $C = B[A]$  is locally noetherian and a finite integral extension of  $B$ . Using Theorem 2 in [5],  $B$  must be locally noetherian. An application of Theorem 1.7 now completes the proof of the proposition.

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