

## On a Hasse principle for $\sigma$ -conjugacy

Dedicated to Professor Ichiro Satake on his sixtieth birthday

By

Hiroshi SAITO

### § 0. Introduction

Let  $M/K$  be a cyclic extension of finite algebraic number fields of degree  $l$ , and  $\sigma$  a generator of the Galois group  $\text{Gal}(M/K)$ , which will be fixed. For an algebraic group  $G$  defined over  $K$ , we denote by  $G(M)$  the set of all points of  $G$  with coordinates in  $M$ . The action of  $\sigma$  on  $G(M)$  can be defined naturally. We denote it by  ${}^\sigma g$  for  $g \in G(M)$ . In  $G(M)$ , we define an equivalence relation  $\sim_\sigma$  by  $g \sim_\sigma g'$  if and only if  $g = h^{-1}g'\sigma h$  for some  $h \in G(M)$ . This will be called  $\sigma$ -conjugacy. It was introduced for  $GL(2)$  in the study of the twisted trace formula ([3], [4]). The purpose of this paper is to determine  $\sigma$ -conjugacy classes for  $G$  such that  $G(K) = A^\times$ , where  $A$  is a semi-simple algebra over  $K$ .

The  $\sigma$ -conjugacy has a close relation with the usual conjugacy, which will be denoted by  $\sim$ . For  $g \in G(M)$ , we define the "norm" of  $g \in G(M)$  by  $Ng = g^\sigma g^{\sigma^2} g \cdots g^{\sigma^{l-1}}$ . Then the conjugacy class of  $Ng$  depends only on the  $\sigma$ -conjugacy class of  $g$ . We denote by  $G(M)/\sim_\sigma$ ,  $G(M)/\sim$  the sets of  $\sigma$ -conjugacy classes, and usual conjugacy classes in  $G(M)$  respectively. Then  $N$  defines a map of  $G(M)/\sim_\sigma$  to  $G(M)/\sim$ . This map is fundamental in our study of  $\sigma$ -conjugacy. In fact, for  $G = A^\times$ , this map is injective, and to determine  $G(M)/\sim_\sigma$ , it is sufficient to determine the image of  $G(M)/\sim_\sigma$  by  $N$ . It is easy to see this image is contained in the set  $(G(M)/\sim)^\sigma$  consisting of conjugacy classes invariant under  $\sigma$ . To describe the image, we consider the norm at each place of  $K$ . For each place  $v$  of  $K$ , let  $K_v$  be the completion of  $K$  at  $v$  and let  $M_v = M \otimes_K K_v$ . Then the action of  $\sigma$  can be extended to  $M_v$  and  $G(M_v)$ . We can define in  $G(M_v)$   $\sigma$ -conjugacy and the norm in the same way as above. Our main result asserts that for  $G = A^\times$  a conjugacy class in  $(G(M)/\sim)^\sigma$  is contained in the image of  $G(M)$  by  $N$  if and only if it is contained in image of  $G(M_v)$  by  $N$  for all  $v$  (cf. Th. 2.1).

In § 1, we give preliminary results on  $\sigma$ -conjugacy. In § 2, we state our main result and reduce the proof to the cases of semi-simple and unipotent elements. The proofs of these two cases are given in § 3 and § 4 separately.

### § 1. $\sigma$ -conjugacy

In this section, we prove some elementary properties of  $\sigma$ -conjugacy. Let  $K$  be a field of characteristic 0 and  $G$  a linear algebraic group defined over  $K$ . We define  $\sigma$ -conjugacy for  $M$  more general than that in the Introduction. Let  $M$  be a commutative

semi-simple algebra over  $K$  of dimension  $l$ , and  $\sigma$  a  $K$ -automorphism of  $M$  such that  $M^\sigma = K$ . Here for a set  $X$  on which an automorphism  $\sigma$  is defined, we denote by  $X^\sigma$  the set of elements invariant under  $\sigma$ . Then we may assume  $M$  is the  $m$ -fold product  $M_1^m$  of a cyclic extension  $M_1$  of  $K$  of degree  $l/m$  with a generator  $\tau$  of  $\text{Gal}(M_1/K)$  for a divisor  $m$  of  $l$  and the action of  $\sigma$  on  $M$  is given by  ${}^\sigma(x_1, \dots, x_m) = (x_2, \dots, x_m, \tau x_1)$ .

Let  $G(M)$  the set of  $M$ -valued points of  $G$ . Then the action of  $\sigma$  on  $G(M)$  can be defined naturally. We denote it by  ${}^\sigma x$  for  $x \in G(M)$ . In  $G(M)$ , we define an equivalence relation  $\sim_\sigma$ , which will be called  $\sigma$ -conjugacy, by

$$X \sim_\sigma Y \iff X = g^{-1} Y^\sigma g \quad \text{for } g \in G(M).$$

We denote by  $\sim$  the usual conjugacy, that is,  $x \sim y$  for  $x, y \in G(M)$  if and only if  $x = g^{-1} y g$  for  $g \in G(M)$ . For  $X \in G(M)$ , we define a "norm"  $N$  by

$$NX = X^\sigma X \dots {}^{\sigma^{l-1}} X.$$

For a divisor  $n$  of  $l$ , we define  $N_1, N_2$  by

$$\begin{aligned} N_1 X &= X^\sigma X \dots {}^{\sigma^{n-1}} X, \\ N_2 X &= X^\eta X \dots {}^{\eta^{l/n-1}} X. \end{aligned}$$

with  $\eta = \sigma^n$ . Then we have

**Proposition 1.1.** *Let  $X, Y, g \in G(M)$ . Then*

- (1)  $NX = N_2(N_1(X))$ ;
- (2)  ${}^\sigma N_1 X \sim_\eta N_1 X$ , in particular for  $n=l$ ,  ${}^\sigma NX \sim NX$ ;
- (3)  $N_1(g^{-1} X^\sigma g) = g^{-1} NX^\eta g$ , in particular for  $n=l$ ,  $N(g^{-1} X^\sigma g) = g^{-1} (NX) g$ .

*Proof.* The assertion (1) can be checked directly, and (2) and (3) follow from  $X^\sigma (N_1 X)^\eta X^{-1} = N_1 X$  and  $N_1(g^{-1} X^\sigma g) = g^{-1} X^\sigma g^\sigma (g^{-1} X^\sigma g) \dots {}^{\sigma^{n-1}} (g^{-1} X^\sigma g) = g^{-1} (N_1 X)^\eta g$ .

From this, we see easily

**Corollary 1.2.** (1) *Let  $G(M)/\sim_\sigma$  and  $G(M)/\sim$  be the sets of equivalence classes with respect to  $\sim_\sigma$  and  $\sim$  respectively. Then  $N_1$  and  $N$  induce maps*

$$\begin{aligned} N_1: G(M)/\sim_\sigma &\longrightarrow (G(M)/\sim_\eta)^\sigma, \\ N: G(M)/\sim_\sigma &\longrightarrow (G(M)/\sim)^\sigma. \end{aligned}$$

(2) *For  $X \in G(M)$ , let  $C_\sigma(X), C_\eta(X)$  be the  $\sigma$  and  $\eta$ -conjugacy classes containing  $X$  and  $C(x)$  the conjugacy class containing  $x$ . Then  $N_1$  and  $N$  induce surjective maps*

$$\begin{aligned} N_1: C_\sigma(X) &\longrightarrow C_\eta(N_1 X), \\ N: C_\sigma(X) &\longrightarrow C(NX). \end{aligned}$$

For  $h \in G(M)$ , we define the maps  $\sigma_h, N_h$  of  $G(M)$  to  $G(M)$  by

$$\begin{aligned} {}^{\sigma_h} X &= h^\sigma X h^{-1}, \\ N_h(X) &= X^{\sigma_h} X \dots {}^{\sigma_h^{l-1}} X. \end{aligned}$$

Then we have  ${}^\sigma h(Nh) = Nh$ . For  $x \in G(M)$ , let  $G_x$  denote the centralizer of  $x$  in  $G$ . Then we have

**Proposition 1.3.** *Let  $X \in G(M)$ . Then*

- (1)  $N_h(Xh^{-1}) = NX(Nh)^{-1}$ ;
- (2) if  ${}^\sigma h(NX) = NX$ ,  $Nh^{-1}$  belongs to  $G_{NX}(M)$ .

*Proof.* The assertion (1) can be verified directly, and (2) follows from  $NX = h{}^\sigma(NX)h^{-1} = hX^{-1}(NX)Xh^{-1}$ .

The formula (1) was remarked by Hijikata. If  $h{}^\sigma xh^{-1} = x$ ,  $Nh \in G_x(M)$  and  $\sigma_h$  gives rise to an automorphism of  $G_x(M)$ . Furthermore, if  $Nh$  belongs to the center of  $G_x$ , in particular, if  $Nh = x$ , the order of the automorphism is finite, and when  $M$  is a field,  $G_x$  has a  $K$ -structure so that  $x, Nh \in G_x(K)$ . The automorphism  $\sigma_h$  is nothing but the action of  $\sigma \in \text{Gal}(M/K)$  with respect to this  $K$ -structure, and  $N_h$  is the norm for this action.

**Proposition 1.4.** *Assume  $x = NX$ , and let  $g$  be the group of automorphisms of  $G_x(M)$  generated by  $\sigma_x$ . Then the set of  $\sigma$ -conjugacy classes in  $N^{-1}(C(x))$  is in one to one correspondence with  $H^1(g, G_x(M))$ .*

*Proof.* If  $NX \sim NY$ , that is,  $NX = g^{-1}(NY)g$  for  $g \in G(M)$ , then  $NX = N(g^{-1}Y{}^\sigma g)$ . Hence the inclusion induces a bijection  $\{Y \mid YN = x\} / \sim_\sigma \rightarrow N^{-1}(C(x)) / \sim_\sigma$ . Since  ${}^\sigma x x = x$ ,  $NY = x$  if and only if  $YX^{-1} \in G_x(M)$  and  $N_x(YX^{-1}) = 1$  by Prop. 1.3. For  $Y_1, Y_2$  in  $\{Y \mid NY = x\}$ , we see if  $Y_1 = g^{-1}Y_2{}^\sigma g$  for  $g \in G(M)$ , then  $g \in G_x(M)$ , and  $Y_1 \sim_\sigma Y_2$  if and only if  $Y_1 X^{-1} = g^{-1}(Y_2 X^{-1}){}^\sigma g$  for  $g \in G_x(M)$ . This proves the proposition.

**Corollary 1.5.** *If  $H^1(g, G_x(M)) = 1$  for all  $x \in G(M)$ , then the map  $N: G(M) / \sim_\sigma \rightarrow (G(M) / \sim)^\sigma$  is injective.*

**Proposition 1.6.** *Let  $M_1, m, \tau$  be as above, and let  $n = m$  in the definition of  $N_1, N_2$ , and  $\eta$ . Then the following assertions hold.*

- (1) *The norm  $N_1$  induces a bijection*

$$N_1: G(M) / \sim_\sigma \longrightarrow (G(M) / \sim_\eta)^\sigma,$$

*and if  $m = l$ ,  $N$  induces a bijection*

$$N: G(M) / \sim_\sigma \longrightarrow (G(M) / \sim)^\sigma.$$

- (2) *The diagonal embedding  $\Delta: x \rightarrow (x, \dots, x)$  of  $M_1$  into  $M$  induces bijections*

$$\Delta: (G(M_1) / \sim_\tau) \longrightarrow (G(M) / \sim_\eta)^\sigma,$$

$$\Delta: (G(M_1) / \sim)^\tau \longrightarrow (G(M) / \sim)^\sigma.$$

*Proof.* (1) We note that if  $n = m$ ,  ${}^\tau(x_1, x_2, \dots, x_m) = ({}^\tau x_1, {}^\tau x_2, \dots, {}^\tau x_m)$  for  $(x_1, x_2, \dots, x_m) \in G(M) = G(M_1)^m$ . First we prove the surjectivity. For  $x = (x_1, x_2, \dots, x_m) \in G(M)$ , assume  ${}^\sigma x = g^{-1}x{}^\tau g$  for  $g = (g_1, g_2, \dots, g_m) \in G(M)$ . Then we have  $x_2 = g_1^{-1}x_1{}^\tau g_1$ ,

$x_3 = g_2^{-1} x_2^{-1} g_2, \dots, x_m = g_{m-1}^{-1} x_{m-1}^{-1} g_{m-1}$ , and  $x \sim_{\eta} (x_1, x_1, \dots, x_1)$ . Since  $N_1((1, 1, \dots, x_1)) = (x_1, x_1, \dots, x_1)$ ,  $N_1$  is surjective. For  $X = (X_1, X_2, \dots, X_m)$ , let  $g_1 = 1, g_2 = X_1^{-1}, g_3 = (X_1 X_2)^{-1}, \dots, g_m = (X_1 X_2 \dots X_{m-1})^{-1}$  and  $g = (g_1, g_2, \dots, g_m)$ . Then we have  $g^{-1} X^{\sigma} g = (1, \dots, 1, X_1 X_2 \dots X_m)$ . To prove the injectivity, it is enough to show  $N_1 X = N_1 Y$  implies  $X \sim_{\sigma} Y$  for  $X = (1, \dots, 1, \dots, X_1)$  and  $Y = (1, 1, \dots, Y_1)$ . But this is obvious, because  $N_1 X = (X_1, \dots, X_1)$  and  $N_1 Y = (Y_1, \dots, Y_1)$ .

(2) The injectivity is obvious. The surjectivity of the first map follows from the proof of (1). Assume  ${}^{\sigma} X = g^{-1} X g$  for  $X = (X_1, X_2, \dots, X_m)$  and  $g = (g_1, \dots, g_m)$ . Then we have  $X_2 = g_1^{-1} X_1 g_1, X_3 = g_2^{-1} X_2 g_2, \dots, X_m = g_{m-1}^{-1} X_{m-1} g_{m-1}$ , and  $X \sim (X_1, \dots, X_1)$ . This completes the proof.

In the rest of this section, we assume  $M$  is a cyclic extension of  $K$ .

**Proposition 1.7.** *For a unipotent element  $x$  in  $G(K)$ , there exists  $X \in G(K)$  such that  $X^{\sigma} = x$ , and  $x$  is contained in  $N(G(M))$ .*

*Proof.* We may assume  $x \neq 1$ . Then the Zariski closure of the group generated by  $x$  is isomorphic to  $G_u$  (cf. Remark in §7.4 of [1]). Our assertion follows from this.

For  $x \in G(M)$ , we denote by  $x_s, x_u$  the semi-simple, unipotent parts of  $x$  in the Jordan decomposition.

**Proposition 1.8.** *Let  $x = x_s x_u$  be the Jordan decomposition of  $x$ . Then the following assertions hold.*

- (1) *If  $x \in N(G(M))$ , then  $x_s \in N(G(M))$ .*
- (2) *Assume  $x_s = N Y$  for  $Y \in G(M)$ . Then  $x \in N(G(M))$  if and only if  $x_u \in N_Y(G_{x_s}(M))$ .*

*Proof.* Let  $x = N X$  for  $X \in G(M)$ . Then  ${}^{\sigma} x = x$ , and  ${}^{\sigma} x_s = x_s, {}^{\sigma} x_u = x_u$  by the uniqueness of the Jordan decomposition. Hence  $x_s$  and  $x_u$  are contained in the set  $G_x(K)$  of  $K$ -valued points of  $G_x$ . By Prop. 1.7, there exists  $Y \in G_x(K)$  such that  $N_X(Y) = x_u^{-1}$ . By (1) of Prop. 1.3, we see  $N(Y X^{-1}) = N_X(Y X X^{-1}) N X = x_u^{-1} x = x_s$ . This proves (1).

(2) If  $x_u = N_Y(Z)$  for  $Z \in G_{x_s}(M)$ , then  $N(Z Y) = N_Y(Z) N Y = x_u x_s = x$ . Conversely, if  $x = N X$ , then  ${}^{\sigma} x = N Y$ , and  $Y X^{-1} \in G_{x_s}(M)$ , therefore  $X Y^{-1} \in G_{x_s}(M)$  by (2) of Prop. 1.3. We see  $N_Y(X Y^{-1}) = N X (N Y)^{-1} = x x_s^{-1} = x_u$ . This completes the proof.

## §2. Hasse principle for $\sigma$ -conjugacy

Let  $K$  be a finite algebraic number field, and  $M$  a cyclic extension of  $K$  of degree  $l$ . Let  $A$  be a semi-simple algebra over  $K$  and  $G$  the algebraic group over  $K$  such that  $G(K) = A^{\times}$ . We fix a generator  $\sigma$  of  $\text{Gal}(M/K)$ , and consider the  $\sigma$ -conjugacy and the norm  $N$  in  $G(M)$ .

For a place  $v$  of  $K$ , let  $K_v$  be the completion of  $K$  at  $v$  and  $M_v = M \otimes_K K_v$ . Then we can extend  $\sigma$  to  $M_v$  by  $\sigma \otimes id$ . The field  $K_v$  and the  $K_v$ -algebra  $M_v$  satisfy the condition for  $K$  and  $M$  in §1. Hence we can define the  $\sigma$ -conjugacy and the norm in

$G(M_v)$ . We denote them by  $\sim_{\sigma, v}$  and the same letter  $N$  as in the global case. The usual conjugacy in  $G(M_v)$  will be denoted by  $\sim_v$ . A class in  $G(M)/\sim$  determines a class in  $G(M_v)/\sim_v$  by the inclusion, and we have an injection  $G(M)/\sim \rightarrow \prod_v G(M_v)/\sim_v$ . In these notations, our main result is

**Theorem 2.1.** *The norm induces a bijection*

$$N: G(M)/\sim \longrightarrow (G(M)/\sim)^\sigma \cap (\prod_v N(G(M_v))/\sim_v),$$

where the product is extended over all places of  $K$ .

By (2) of Cor. 1.2, we obtain

**Corollary 2.2.** *For  $x \in G(M)$ , if  ${}^\sigma x \sim x$  and  $x \in N(G(M_v))$  for all places  $v$  of  $K$ , there exists  $X \in G(M)$  such that  $NX = x$ .*

As in the case of the usual conjugacy, a class in  $G(M)/\sim$  determines a class in  $G(M_v)/\sim_{\sigma, v}$  for each  $v$ , and the diagram

$$\begin{array}{ccc} G(M)/\sim_\sigma & \xrightarrow{N} & G(M)/\sim \\ \downarrow & & \downarrow \\ \prod_v (G(M_v)/\sim_{\sigma, v}) & \xrightarrow{N} & \prod_v (G(M_v)/\sim_v) \end{array}$$

is commutative. Since both of the maps  $N: G(M)/\sim_\sigma \rightarrow G(M)/\sim$  and  $G(M)/\sim \rightarrow \prod_v (G(M_v)/\sim_v)$  are injective, we obtain another type of Hasse principle for  $\sigma$ -conjugacy.

**Proposition 2.3.** *The natural map*

$$G(M)/\sim_\sigma \longrightarrow \prod_v (G(M_v)/\sim_{\sigma, v})$$

is injective. Namely, for  $X, Y \in G(M)$ ,  $X \sim_\sigma Y$  if and only if  $X \sim_v Y$  for all places  $v$  of  $K$ .

The proof of the injectivity in Th. 2.1 is easy. Let  $A_M = A \otimes_K M$ . Then  $G(M) = A_M^*$ . For  $x \in G(M)$ , we put

$$A_x^M = \{z \in A_M \mid xz = zx\}.$$

Then  $A_x^M$  is an  $M$ -algebra and  $G_x(M) = (A_x^M)^\times$ . If  $x = NX$  for  $X \in G(M)$ ,  $A_x = (A_x^M)^\sigma x$  is a  $K$ -algebra and  $A_x^M = A_x \otimes_K M$ . It is well known that  $H^1(\mathfrak{g}, (A_x \otimes_K M)^\times) = 1$ , where  $\mathfrak{g}$  is the group generated by  $\sigma_x$ . This proves the injectivity by Cor. 1.5.

We reduce the proof of the surjectivity to the special cases where  $x$  is semi-simple or unipotent. Let  $x = x_s x_u$  be the Jordan decomposition and assume the conjugacy class of  $x$  is contained in the image of the norm for all places of  $K$ . Then by (2) of Cor. 1.2,  $x \in N(G(M_v))$  for all places  $v$  of  $K$ . Let  $M_v = M_1^m$  for a field  $M_1$  and an integer  $m$ . We denote by  $\tau$  the element of  $\text{Gal}(M_1/K_v)$  induced by  $\sigma^m$ . Let  $\eta, N_1$  and  $N_2$  be those defined for  $n = m$ . Then the following diagram is commutative.

$$\begin{array}{ccccc}
 G(M_v)/\sim & \xrightarrow{N_1} & G(M_v)/\eta & \xrightarrow{N_2} & G(M_v)/\sim \\
 & & \uparrow \wr & & \uparrow \wr \\
 & & G(M_1)/\sim & \longrightarrow & G(M_1)/\sim
 \end{array}$$

By Prop. 1.6 and (1) of Prop. 1.8,  $x_s \in N(G(M_v))$  for all  $v$ . By Prop. 3.1 (proved in §3), there exists  $Y \in G(M)$  such that  $x_s = NY$ . By the proof of Prop. 1.8, we see  $x_u \in N_Y(G_{x_s}(M_v))$  for all  $v$ . Since  $x_s$  is semi-simple,  $G_{x_s}(K) = A_{x_s}^*$  for a semi-simple algebra  $A_{x_s}$  over  $K$ . By Prop. 4.1 (proved in §4), we see there exists  $Z \in G_{x_s}(M)$  such that  $N_Y(Z) = x_u$  and again by (2) of Prop. 1.8, there exists  $X \in G(M)$  such that  $NX = x$ . Thus the proof will be completed.

§3. Semi-simple case

Let the notation be as in §2. Throughout this section, we assume  $x$  is semi-simple, and we will prove the following special case of Th. 2.1.

**Proposition 3.1.** *For  $x = x_s \in G(M)$ , assume  ${}^\sigma x \sim x$  and  $x \in N(G(M_v))$  for all places  $v$  of  $K$ . Then one has  $x \in N(G(M))$ .*

We reduce the proof to the case where  $x$  is a regular element.

**Lemma 3.2.** *Assume  ${}^\sigma x \sim x$ . Then there exists  $Y \in G(M)$  such that  $Y^\sigma x Y^{-1} = x$ , and  $NY$  and  $x(NY)^{-1}$  are regular semi-simple elements.*

*Proof.* Let  $h$  be an element of  $G(M)$  satisfying  $h^\sigma x h^{-1} = x$  and let

$$Z_\sigma = \{g \in G \mid g^\sigma x g^{-1} = x\}.$$

Then we have  $Z_\sigma = G_x h = h^\sigma G_x$ . Since  $G_x$  and  $R_{M/K}(G_x)$  are connected,  $R_{M/K}(G_x)(K)$  is Zariski dense in  $R_{M/K}(G_x)$ . Hence  $R_{M/K}(Z_\sigma)(K)$  is Zariski dense in  $R_{M/K}(Z_\sigma)$ . We define a morphism  $\tilde{N}$  of  $R_{M/K}(Z_\sigma)$  to  $G$  as the composite of  $R_{M/K}(Z_\sigma) \cong Z_\sigma \times {}^\sigma Z_\sigma \times \dots \times {}^{\sigma^{l-1}} Z_\sigma \hookrightarrow G \times G \times \dots \times G$  (the product of  $l$  copies of  $G$ )  $\rightarrow G$ . The last morphism is given by the multiplication in  $G$ . Then  $\tilde{N}$  is defined over  $M$ , and its image is contained in  $G_x$ , because the condition  $y_1 {}^\sigma x y_1^{-1} = x, y_2 {}^{\sigma^2} x y_2^{-1} = {}^\sigma x, \dots, y_l {}^{\sigma^l} x y_l^{-1} = {}^{\sigma^{l-1}} x$  implies  $y_1 y_2 \dots y_l x (y_1 y_2 \dots y_l)^{-1} = x$ . Hence  $\tilde{N}$  gives rise to a morphism of  $R_{M/K}(Z_\sigma)$  to  $G_x$ . For  $z \in G_x$ , put  $\tilde{z} = (z(Nh)^{-1}h, {}^\sigma h, \dots, {}^{\sigma^{l-1}}h)$ . Then  $\tilde{z} \in Z_\sigma \times {}^\sigma Z_\sigma \times \dots \times {}^{\sigma^{l-1}} Z_\sigma$ . Let  $\tilde{z}'$  be the point of  $R_{M/K}(Z_\sigma)$  corresponding to  $\tilde{z}$  by the above isomorphism. Then  $\tilde{N}(\tilde{z}') = z$ . This shows  $\tilde{N}$  is surjective. We note that if  $z' \in R_{M/K}(Z_\sigma)(K)$  corresponds to  $z \in Z_\sigma(M)$  under the morphism  $R_{M/K}(Z_\sigma) \rightarrow Z_\sigma$ , then  $\tilde{N}(z') = N(z)$ , and  $\tilde{N}(R_{M/K}(Z_\sigma)(K)) = N(Z_\sigma(M))$ .

Let  $S$  be the set of regular semi-simple elements in  $G$ . Then  $G_x \cap S \cap Sx$  is a Zariski open subset of  $G_x$  defined over  $M$  (cf. [5], [7]). Let  $T$  be a maximal torus of  $G$  containing  $x$ . Then  $T \subset G_x$  and for  $y \in T, y \in G_x \cap S \cap Sx$  if and only if  $\alpha(y) \neq 1, \alpha(yx^{-1}) \neq 1$  for every root  $\alpha$  relative to  $T$  (cf. Prop. 3 in 3.5 of [7]). The set of such  $y$  is not empty. Hence  $G_x \cap S \cap Sx$  is a non-empty open subset of  $G_x$ , and  $\tilde{N}^{-1}(G_x \cap S \cap Sx)$  is also a non-empty open subset of  $R_{M/K}(Z_\sigma)$ . Since  $R_{M/K}(Z_\sigma)(K)$  is dense in  $R_{M/K}(Z_\sigma)$ ,

there exists  $Y \in Z_\sigma(M)$  such that  $NY \in S$  and  $NY \in Sx$ . This  $Y$  satisfies the condition in our Lemma.

We note this lemma holds if  $G_x$  is connected, for example, if  $G_{\text{der}}$  is simply connected (cf. [2], [6]).

Let  $Y$  be as in Lemma 3.2, and let  $y = NY$ . By the remark after Prop. 1.3,  $G_y$  has a  $K$ -structure such that  $y \in G_y(K)$ . We see  $x \in G_y(K)$ , because  ${}^\sigma y x = Y {}^\sigma x Y^{-1} = x$ .

Now we prove

**Lemma 3.3.** *Let  $x, Y$ , and  $y$  be as above. Then the following assertions hold.*

(1) *There exists  $X \in G(M)$  such that  $NX = x$  if and only if there exists  $Z \in G_y(M)$  such that  $N_Y(Z) = xy^{-1}$ .*

(2) *For a place  $v$  of  $K$ , there exists  $X_v \in G(M_v)$  such that  $NX_v = x$  if and only if there exists  $Z_v \in G_y(M_v)$  such that  $N_Y(Z_v) = xy^{-1}$ .*

*Proof.* We give a proof for (1). The assertion (2) can be proved in the same way. Let  $NX = x$  for  $X \in G(M)$ . Then by Prop. 1.3, we have  $N_Y(Z) = xy^{-1}$  for  $Z = XY^{-1}$ . We show  $Z \in G_y(M)$ . Since  $N_Y(Z) = xy^{-1}$  and  ${}^\sigma Y(xy^{-1}) = xy^{-1}$ ,  $xy^{-1} = N_Y(Z) = Z {}^\sigma Y(N_Y(Z))Z^{-1} = Z {}^\sigma Y(xy^{-1})Z^{-1} = Zxy^{-1}Z^{-1}$ . We see also that  $ZxZ^{-1} = x$ , because  $Y {}^\sigma x Y^{-1} = x$  and  $X {}^\sigma x X^{-1} = x$ . Hence we have  $Zy^{-1}Z^{-1} = y^{-1}$  and  $Z \in G_y(M)$ . This completes the proof.

We note this lemma holds without any assumptions on  $G$ .

Let  $y$  be as above and put

$$A_y = \{a \in A \otimes_K M \mid ay = ya, {}^\sigma ya = a\}.$$

Then  $A_y$  is a commutative semi-simple algebra over  $K$  and  $G_y(K) = A_y^\times$ . By the above lemma, to prove Prop. 3.1, it is enough to show it in the case where  $A$  is a commutative semi-simple algebra over  $K$ . Such  $A$  is a direct product of finite extensions of  $K$ . Hence the proof of Prop. 3.1 is reduced to the following lemma.

**Lemma 3.4.** *Let  $S$  be a finite extension of  $K$ , and for  $x \in (S \otimes_K M)^\times$  (resp.  $(S \otimes_K M_v)^\times$ ), put  $Nx = \prod_{i=1}^l \sigma^{i-1} x$ , where  ${}^\sigma x = s \otimes {}^\sigma m$  for  $s \otimes m \in S \otimes_K M$  (resp.  $S \otimes_K M_v$ ). Let  $x \in S^\times$ . If  $x \in (S \otimes_K M_v)^\times$  for all places  $v$  of  $K$ , then  $x \in N(S \otimes_K M)^\times$ .*

*Proof.* There exists a cyclic extension  $T$  of  $S$  of degree  $l/m$  for a divisor  $m$  of  $l$  such that  $S \otimes_K M$  is isomorphic to the  $m$ -fold product of  $T$ , and the action of  $\sigma$  is given by  ${}^\sigma(x_1, x_2, \dots, x_m) = (x_2, x_3, \dots, x_m, \tau x_1)$  for a generator  $\tau$  of  $\text{Gal}(T/S)$ . Under this isomorphism, the subset  $S$  of  $S \otimes_K M$  can be identified with the set  $\{(x, x, \dots, x) \mid x \in S\}$ . For a place  $v$  of  $K$ ,  $S \otimes_K M_v$  is isomorphic to the  $m$ -fold product of  $T_v = T \otimes_K K_v$  and the action of  $\sigma$  is given by the same formula as above. The assumption implies that there exists  $X_{1,v}, X_{2,v}, \dots, X_{m,v} \in T_v$  such that  $N((X_{1,v}, X_{2,v}, \dots, X_{m,v})) = (N_{T/S}(X_{1,v} \cdots X_{m,v}), \dots, N_{T/S}(X_{1,v} \cdots X_{m,v}))$ , where  $N_{T/S}$  denotes the norm for  $T/S$  and its extension to  $T_v/S_v$ . The Hasse principle for the cyclic extension  $T/S$  asserts that there exists  $X_1 \in T^\times$  such that  $N_{T/S}(X_1) = x$ . Define an element  $X$  of  $S \otimes_K M$  by  $X = (X_1, 1, \dots, 1)$ . Then we see  $NX = x$ . This completes the proof of Lemma 3.4 and that of Prop. 3.1.

#### § 4. Unipotent case

Let the notation be as in § 2. In this section, we will prove the following special case of Th. 2.1 and completes the proof of Th. 2.1.

**Proposition 4.1.** *Let  $x = x_u$ , and assume  $x \sim^u x$  and  $x \in N(G(M_v))$  for all places  $v$  of  $K$ . Then one has  $x \in N(G(M))$ .*

*Proof.* Let  $h$  be an element of  $G(M)$  such that  $h^u x h^{-1} = x$ . Let  $y = Nh$ . Then  $H = G_y$  has a  $K$ -structure, and  $x, y \in G_y(K)$ . Hence  $H_x$  is also defined over  $K$ , and  $x, y \in H_x(K)$ . Let  $N(X_v) = x$  for  $X_v \in G(M_v)$ . Then  $N_h(X_v h^{-1}) = x y^{-1}$  by Prop. 1.3. In the same way as in the proof of Lemma 3.3, we see  $X_v h^{-1} \in G_y(M_v) \cap G_x(M_v) = H_x(M_v)$  and  $x y^{-1} \in N_h(H_x(M_v))$ . Let  $y = y_s y_u$  be the Jordan decomposition of  $y$ . Then  $x y_u^{-1}$  is unipotent and  $x y^{-1} = y_s^{-1} (x y_u^{-1})$  gives the Jordan decomposition of  $x y^{-1}$ . Let  $H_x = L \cdot R_u(H_x)$  be a Levi decomposition of  $H_x$  with a reductive group  $L$ . Then  $x_u y_u^{-1} \in R_u(H_x)(K)$  and we may take  $L$  so that  $y_s^{-1} \in L(K)$ . Since  $y_s^{-1} (x_u y_u^{-1}) \in N(H_x(M_v))$ ,  $y_s^{-1} \in N(L(M_v))$ . Now  $L(K) = C^*$  for a semi-simple algebra  $C$  over  $K$ . By Prop. 3.1, there exists  $Y_s \in L(M)$  such that  $N_h(X_s) = y_s^{-1}$ . On the other hand, the element  $y_u^{-1} x_u$  is contained in the center of  $H_x$  and is unipotent. Hence there exists  $Y_u$  in the center of  $H_x$  such that  ${}^u h(Y_u) = Y_u$  and  $Y_u^h = y_u^{-1} x_u$ . Let  $Y = Y_s Y_u$ . Then  $N_h(Y) = N_h(Y_s) N_h(Y_u) = x y^{-1}$  and  $N(Yh) = N_h(Y)Nh = x y^{-1} y = x$ . This completes the proof.

DEPARTMENT OF MATHEMATICS  
COLLEGE OF GENERAL EDUCATION  
KYOTO UNIVERSITY

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