

Remarks on the elliptic cohomology of finite groups

By

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1. Elliptic character

Let $Ell^*(?)$ be the elliptic cohomology based on the Weierstrass cubic

$$y^2 = 4x^3 - g_2x - g_3$$

over

$$Ell^* = \mathbf{Z}[1/6][g_2, g_3, \Delta^{-1}](\Delta = g_2^3 - 27g_3^2)$$

(see [3], [11]). The coefficient ring $Ell^* = Ell^*(pt)$ can be viewed as the ring of modular forms on $\Gamma(1) = SL_2(\mathbf{Z})$ over $\mathbf{Z}[1/6]$. (The grading on Ell^* is given by $-2 \times \text{weight}$.) In other words Ell^* is the ring which represents the functor

$$\{\mathbf{Z}[1/6]\text{-algebras } A\} \rightarrow \{\text{isomorphism classes of } \Gamma(1)\text{-test objects over } A\}$$

with universal test object

$$(E_{\text{univ}}, \omega_{\text{univ}}) = (y^2 = 4x^3 - g_2x - g_3, dx/y),$$

where a $\Gamma(1)$ -test object over A means a pair (E, ω) consisting of an elliptic curve E/A and a nowhere-vanishing invariant differential ω on E (see [10, Chapter II]). This identification is *natural* in the sense that the formal group law associated to Ell with canonical orientation is the formal group $\widehat{E}_{\text{univ}}$ associated to E_{univ} , with parameter $T = -2x/y$.

For $n \geq 2$ let $E_{2n} \in Ell^{-4n} \otimes \mathbf{Q}$ be the Eisenstein series given by the q -expansion

$$E_{2n}(q) = 1 - (4n/B_{2n}) \sum_{k \geq 1} \sigma_{2n-1}(k) q^k,$$

where

$$z/(e^z - 1) = 1 - z/2 + \sum_{n \geq 1} B_{2n} z^{2n} / (2n)!$$

and

$$\sigma_n(k) = \sum_{d|k} d^n.$$

Then the Tate elliptic curve $\text{Tate}(q)$ is given over $\mathbf{Z}[1/6]((q))$ by

$$y^2 = 4x^3 - \frac{1}{12}E_4(q)x + \frac{1}{216}E_6(q)$$

and there is a unique ring homomorphism

$$\lambda: \text{Ell}^* \rightarrow \mathbf{Z}[1/6]((q))$$

classifying $(\text{Tate}(q), dx/y)$. (This λ is nothing but a q -expansion homomorphism which is injective.) Since the formal group associated to $\text{Tate}(q)$, viewed as $\mathbf{G}_m/q^{\mathbf{Z}}$, is canonically isomorphic to formal multiplicative group $\widehat{\mathbf{G}}_m$ we have a canonical isomorphism of formal groups over $\mathbf{Z}[1/6]((q))$:

$$\theta: \widehat{\mathbf{G}}_m \xrightarrow{\cong} \lambda_* \widehat{E}_{\text{univ.}}$$

This isomorphism θ is actually a strict isomorphism of formal group laws, where we take local parameter $T = t - 1$ for $\mathbf{G}_m = \text{Spec } \mathbf{Z}[t, t^{-1}]$. Therefore, by using the theory of Landweber-Novikov operations, we have

Theorem 1.1 ([13]). *There is a unique natural transformation of multiplicative cohomology theories on finite CW-complexes*

$$\lambda(X): \text{Ell}^*(X) \rightarrow K^*(X)[1/6]((q))$$

such that :

$$(1) \quad \lambda(pt) = \lambda.$$

(2) *Let $\lambda(\mathbf{C}P^\infty) = \lim_n \lambda(\mathbf{C}P^n)$ and denote by x^{Ell} (resp. x^K) the canonical orientation for Ell (resp. K) then*

$$\lambda(\mathbf{C}P^\infty)(x^{\text{Ell}}) = \theta(x^K).$$

Here $K^*(?)$ is $\mathbf{Z}/(2)$ -graded complex K -theory.

The above $\lambda(?)$ is called elliptic character.

2. Modularity of elliptic character for finite groups

Let G be a finite group and BG be its classifying space. Since $\lim^1 K^*(BG_i) = 0$ for a filtration $\{BG_i\}$ on BG consisting of finite subcomplexes we have elliptic character

$$\lambda(BG): \text{Ell}^*(BG) \rightarrow K^*(BG)[1/6]((q)).$$

Using Atiyah's isomorphism $K^*(BG) \cong \widehat{R}(G)$ ([1]), we have a natural ring homomorphism

$$Ell^*(BG) \rightarrow \widehat{R}(G)[1/6]((q)),$$

where $\widehat{R}(G)$ denotes the completion of the complex representation ring of G at the ideal consisting of the virtual representations of dimension 0.

For a prime p let $G_{1,p} = \{g \in G : g^{p^N} = 1, N \gg 0\}$, $R_p(G) = (\widehat{R}(G))_p^\wedge$ and denote by \mathbb{C}_p the completion of the algebraic closure of \mathbb{Q}_p . Then there is a character map

$$\chi_p(G) : R_p(G) \rightarrow \text{Map}_C(G_{1,p}, \mathbb{C}_p)$$

which is a p -adic analogue of the usual group character (see [6]). Thus for a prime $p \geq 5$ (from now on we fix a prime $p \geq 5$) we have a natural ring homomorphism

$$\lambda_p(G) : Ell^*(BG) \rightarrow \text{Map}_C(G_{1,p}, \mathbb{C}_p((q))).$$

We shall study modularity property of this $\lambda_p(G)$. Before stating our result we give a brief account of p -adic theory of modular forms.

Let $V(n) = V(\mathbb{Z}_p[\zeta_{p^n}], \Gamma(1))$ be the ring of $\Gamma(1)$ -generalized p -adic modular functions over $B_n = \mathbb{Z}_p[\zeta_{p^n}]$, where ζ_{p^n} is a primitive p^n -th root of unity (see [10, Chapter V], [5, Chapter I]). The ring $V(n)$ represents the functor

$$\{p\text{-adic } B_n\text{-algebras } A\} \rightarrow \{\text{isomorphism classes of trivialized elliptic curves over } A\}.$$

Here a p -adic B_n -algebra means a B_n -algebra which is complete Hausdorff in its p -adic topology and a trivialized elliptic curve over A means a pair (E, φ) consisting of an elliptic curve E/A and a trivialization φ of it, i.e., an isomorphism of formal groups over A :

$$\varphi : \widehat{E} \xrightarrow{\cong} \widehat{\mathbf{G}}_m.$$

There is an obvious inclusion

$$V(n) \hookrightarrow V(n+1)$$

for every $n \geq 0$.

The Tate curve $\text{Tate}(q)$, viewed over $\widehat{B_n((q))} = (B_n((q)))_p^\wedge$, has a canonical trivialization

$$\varphi_{\text{can}} = \theta^{-1} : \widehat{\text{Tate}(q)} \xrightarrow{\cong} \widehat{\mathbf{G}}_m.$$

Then evaluation on $(\text{Tate}(q), \varphi_{\text{can}})$ gives an injective q -expansion homomorphism

$$\tilde{\lambda} : V(n) \rightarrow \widehat{B_n((q))}.$$

For any $a \in \mathbf{Z}_p^\times$ and $f \in V(n)$ we define $[a]f \in V(n)$ by the formula

$$[a]f(E, \varphi) = f(E, a^{-1}\varphi),$$

where a^{-1} acts on φ via an automorphism of $\widehat{\mathbf{G}}_m$. This gives the action of \mathbf{Z}_p^\times on $V(n)$. Let

$$V^k(n) = \{f \in V(n) : [a]f = a^k f (\forall a \in \Gamma_n)\}$$

and

$$V^*(n) = \bigoplus_k V^k(n),$$

where $\Gamma_n = \{a \in \mathbf{Z}_p^\times : a \equiv 1 (p^n)\}$. Note that there is an obvious inclusion

$$V^k(n) \hookrightarrow V^k(n+1)$$

since $\Gamma_{n+1} \subset \Gamma_n$.

Let $dT/(1+T)$ be the standard invariant differential on $\widehat{\mathbf{G}}_m$. Then for any trivialized elliptic curve (E, φ) we have an invariant differential $\varphi^*(dT/(1+T))$ on \widehat{E} which uniquely extends to a nowhere vanishing invariant differential on E . Thus for any $f \in \text{Ell}^{2k}$ we get an element $\tilde{f} \in V^{-k} = V^{-k}(0)$ defined by

$$\tilde{f}(E, \varphi) = f(E, \varphi^*(dT/(1+T))).$$

Therefore there is a ring homomorphism

$$\text{Ell}^* \rightarrow V$$

which preserves q -expansions and hence is injective. When we regard Ell^* as a subring of V via this homomorphism $E_{\text{univ}} \otimes V$ admits a trivialization φ_{univ} given by

$$\varphi_{\text{univ}}(T) = \exp_{\mathfrak{e}_n}(\log_{\widehat{E}_{\text{univ}}}(T)) \in V[[T]].$$

For $(E_{\text{univ}} \otimes V, dx/y)$ is clearly isomorphic to $(E, \varphi^*(dT/(1+T)))$ as $\Gamma(1)$ -test objects for any universal trivialized elliptic curve (E, φ) over V and

$$dx/y = d \log_{\widehat{E}_{\text{univ}}}(T) (T = -2x/y)$$

and

$$\varphi^*(dT/(1+T)) = d \log_{\mathfrak{e}_n}(\varphi(T)).$$

Theorem 2.1. *For any $x \in \text{Ell}^{2k}(BG)$ and $g \in G$ of order p^n there is a (necessarily unique) element $f \in V^{-k}(n)$ such that*

$$[\lambda_p(G)(x)](g) = f(\text{Tate}(g), \varphi_{\text{can}}).$$

Proof. First consider the case $G = \mathbf{Z}/p^n\mathbf{Z}$ and $g = g_n$ (the canonical generator of $\mathbf{Z}/p^n\mathbf{Z}$).

Let

$$f_n = \varphi_{\text{univ}}^{-1}(\zeta_{p^n} - 1) \in pV(n).$$

Then f_n has weight -1 over Γ_n , i.e.,

$$f_n \in pV(n) \cap V^{-1}(n) = pV^{-1}(n)$$

since, for any $a \in \Gamma_n$,

$$\begin{aligned} [a]f_n &= [a](\varphi_{\text{univ}}^{-1}(\zeta_{p^n} - 1)) \\ &= [a](\text{exp}_{\tilde{E}_{\text{univ}}} \log_{\tilde{G}_n})(\zeta_{p^n} - 1) \\ &= (\text{exp}_{[a]_* \tilde{E}_{\text{univ}}} \log_{\tilde{G}_n})(\zeta_{p^n} - 1) \\ &= ((a^{-1} \text{exp}_{\tilde{E}_{\text{univ}}} a) \log_{\tilde{G}_n})(\zeta_{p^n} - 1) \\ &= a^{-1}(\text{exp}_{\tilde{E}_{\text{univ}}} \log_{\tilde{G}_n})(\zeta_{p^n}^a - 1) \\ &= a^{-1}f_n. \end{aligned}$$

Now the q -expansion of f_n is given by

$$\begin{aligned} f_n(\text{Take}(q), \varphi_{\text{can}}) &= \tilde{\lambda}(f_n) \\ &= \tilde{\lambda}((\text{exp}_{\tilde{E}_{\text{univ}}} \log_{\tilde{G}_n})(\zeta_{p^n} - 1)) \\ &= (\text{exp}_{\lambda_* \tilde{E}_{\text{univ}}} \log_{\tilde{G}_n})(\zeta_{p^n} - 1) \\ &= \theta(\zeta_{p^n} - 1). \end{aligned}$$

Therefore for any $x = \sum_i a_i (x^{E_{1i}})^i$ of degree $2k$ in

$$\begin{aligned} Ell^*(B\mathbf{Z}/p^n\mathbf{Z}) &= Ell^*[[x^{E_{1i}}]]/([p^n]_{\tilde{E}_{\text{univ}}}(x^{E_{1i}})), \\ [\lambda_p(\mathbf{Z}/p^n\mathbf{Z})(x)](g_n) &= \sum_i \lambda(a_i) [\theta(\chi_p(\mathbf{Z}/p^n\mathbf{Z})(x^K))^i](g_n) \\ &= \sum_i \lambda(a_i) \theta(\zeta_{p^n} - 1)^i \\ &= (\text{Take}(q), \varphi_{\text{can}}) \end{aligned}$$

for $f = \sum_i a_i f_n^i \in V^{-k}(n)$. This proves the result for $G = \mathbf{Z}/p^n\mathbf{Z}$ and $g = g_n$.

Now for a general G and $g \in G$ of order p^n there is a unique homomorphism

$$\alpha : \mathbf{Z}/p^n\mathbf{Z} \rightarrow G$$

which sends g_n to g . Hence for any $x \in Ell^{2k}(BG)$

$$\begin{aligned} [\lambda_p(G)(x)](g) &= [\lambda_p(G)(x)]\alpha(g_n) \\ &= [\lambda_p(\mathbf{Z}/p^n\mathbf{Z})(\alpha^*x)](g_n) \\ &= f(\text{Tate}(q), \varphi_{\text{can}}) \end{aligned}$$

for some $f \in V^{-k}(n)$.

Remark 2.2. The above result asserts that every element in $\text{Im } \lambda_p(G)$ is p -adically Thompson series.

3. Relations between elliptic character and HKR character

Throughout this section we denote simply by Ell the p -adic completion of Ell . (Recal that p is a fixed prime ≥ 5 .)

Let \mathcal{O}_p be the valuation ring of \mathbf{C}_p and \mathfrak{p}_p be the valuation ideal. Let \mathfrak{m} be a maximal homogeneous ideal of Ell^* ($=\mathbf{Z}_p[g_2, g_3, \Delta^{-1}]$) containing (p, E_{p-1}) and choose a local homomorphism

$$\psi : (Ell^*)_{\mathfrak{m}}^{\wedge} \rightarrow \mathcal{O}_p.$$

The restriction of ψ on Ell^* classifies an elliptic curve over \mathcal{O}_p whose mod \mathfrak{p}_p reduction is supersingular (see [18, Chapter V §§3-4] and [9, §§2.0-1]).

Theorem 3.1[7], [8], [6]. *Let $G_{2,p} = \{(g, h) \in G^2 : [g, h] = 1, g^{p^N} = h^{p^N} = 1, N \gg 0\}$. Then there is a generalized character map*

$$(Ell_{\mathfrak{m}}^{\wedge})^*(BG) \rightarrow \text{Map}_G(G_{2,p}, \mathbf{C}_p)$$

which extends to the isomorphism

$$(Ell_{\mathfrak{m}}^{\wedge})^*(BG) \otimes_{(Ell^*)_{\mathfrak{m}}^{\wedge}} \mathbf{C}_p \xrightarrow{\cong} \text{Map}_G(G_{2,p}, \mathbf{C}_p).$$

To relate the above character map to elliptic character we need to specify an exponential isomorphism

$$(\mathbf{Q}_p/\mathbf{Z}_p)^2 \xrightarrow{\cong} \widehat{E}_{\text{univ}(\mathfrak{p}_p)_{\text{tors}}}$$

as described in [6]. This requires some facts about elliptic curves and modular forms.

Let $M^*(n) = M^*(B_n, \Gamma(p^n)^{\text{arith}})$ (resp. $M_1^*(n) = M^*(B_n, \Gamma_1(p^n)^{\text{arith}})$) be the ring of $\Gamma(p^n)^{\text{arith}}$ (resp. $\Gamma_1(p^n)^{\text{arith}}$)-modular forms over $B_n = \mathbf{Z}_p[\zeta_{p^n}]$ (see [10, Chapter II]). These rings represent the functors

$$\{B_n\text{-algebras } A\} \rightarrow \{\text{isomorphism classes of } \Gamma(p^n)^{\text{arith}}\text{-test objects over } A\}$$

and

$$\{B_n\text{-algebras } A\} \rightarrow \{\text{isomorphism classes of } \Gamma_1(p^n)^{\text{arith}}\text{-test objects over } A\}$$

respectively. Here a $\Gamma(p^n)^{\text{arith}}$ (resp. $\Gamma_1(p^n)^{\text{arith}}$)-test object over A means a triple (E, ω, β) (resp. (E, ω, ι)) consisting of an elliptic curve E/A , a nowhere vanishing invariant differential ω on E , and a $\Gamma(p^n)^{\text{arith}}$ (resp. $\Gamma_1(p^n)^{\text{arith}}$)-structure β (resp. ι) on E which is an isomorphism (resp. inclusion) of A -group schemes :

$$\beta : \mu_{p^n} \times \mathbf{Z}/p^n\mathbf{Z} \xrightarrow{\cong} E[p^n]$$

and

$$\iota : \mu_{p^n} \hookrightarrow E[p^n],$$

where $E[p^n]$ denotes the kernel of multiplication by p^n on E .

For every $n \geq 0$ there are natural inclusions, which do *not* preserve q -expansions,

$$M^*(n) \hookrightarrow M^*(n+1)$$

and

$$M_1^*(n) \hookrightarrow M^*(n)$$

since a $\Gamma(p^n)^{\text{arith}}$ -structure β gives a $\Gamma(p^{n-1})^{\text{arith}}$ -structure $\beta|_{\mu_{p^{n-1}} \times p\mathbf{Z}/p^n\mathbf{Z}}$ and a $\Gamma_1(p^n)^{\text{arith}}$ -structure $\beta|_{\mu_{p^n}}$. The first inclusion has the effect $q \mapsto q^p$ on the q -expansions and the second one has the effect $q \mapsto q^{p^n}$. There is also a q -expansion preserving natural inclusion

$$M_1^*(n) \hookrightarrow M_1^*(n+1)$$

which is compatible with the above ones.

Any trivialized elliptic curve (E, φ) over A has a $\Gamma_1(p^n)^{\text{arith}}$ -structure given by the trivialization

$$\varphi^{-1}|_{\mu_{p^n}} : \mu_{p^n} \hookrightarrow E[p^n].$$

Therefore we have a $\Gamma_1(p^n)^{\text{arith}}$ -test object $(E, \varphi^*(dT/(1+T)), \varphi^{-1}|_{\mu_{p^n}})$ over A and a q -expansion preserving ring homomorphism

$$M_1^*(n) \rightarrow V^*(n)$$

which is necessarily injective.

Let

$$\beta(n)_{\text{univ}} : \mu_{p^n} \times \mathbf{Z}/p^n\mathbf{Z} \xrightarrow{\cong} (E_{\text{univ}} \otimes M^*(n))[p^n]$$

be a universal $\Gamma(p^n)^{\text{arith}}$ -structure. Let $Ell^*(n)$ be the integral closure of Ell^* in $M^*(n)$ ($M^*(n)[1/p]$ is itself integral over $Ell^*[1/p]$), m_n be a maximal ideal of $Ell^*(n)$ containing $mEll^*(n)$, and $\widehat{m}_n = m_n(Ell^*(n))_{\widehat{m}_n}$. Then the isomorphism

$$(\mathbf{Z}/p^n\mathbf{Z})^2 \xrightarrow{\cong} E_{\text{univ}}[p^n](M^*(n))$$

given by

$$(a, b) \mapsto \beta(n)_{\text{univ}}(\zeta_{p^n}^a, b)$$

induces an isomorphism

$$\widehat{\beta(n)}_{\text{univ}} : (\mathbf{Z}/p^n\mathbf{Z})^2 \xrightarrow{\cong} \widehat{E}_{\text{univ}}[p^n](\widehat{m}_n)$$

since $E_{\text{univ}} \otimes Ell^*/\mathfrak{m}$ is supersingular (cf. [18, Theorem V.3.1 and Proposition VII.2.2]). Here we may assume that

$$\widehat{\beta(n)}_{\text{univ}}(a, 0) = \varphi_{\text{univ}}^{-1}(\zeta_{p^n}^a - 1) (\forall a \in \mathbf{Z}/p^n\mathbf{Z}).$$

Therefore we have an exponential isomorphism given by

$$(a, b) \mapsto \tilde{\psi}(\widehat{\beta(n)}_{\text{univ}}(p^n a, p^n b)) \left(\forall (a, b) \in \left(\frac{1}{p^n}\mathbf{Z}/\mathbf{Z}\right)^2 \right)$$

and the generalized character map factors as

$$(Ell_m^\wedge)^*(BG) \rightarrow \text{Map}_C(G_{2,p}, \widehat{Ell^*(\infty)}) \rightarrow \text{Map}_C(G_{2,p}, \mathbf{C}_p),$$

where $\widehat{Ell^*(\infty)} = \bigcup_n Ell^*(n)_{\widehat{m}_n}$ and

$$\tilde{\psi} : \widehat{Ell^*(\infty)} \rightarrow \mathcal{O}_p$$

is an extension of ψ . (We assume that $m_n \supset m_{n-1} Ell^*(n) (\forall n \geq 1)$.)

Let

$$\chi_{2,p}(G) : Ell^*(BG) \rightarrow \text{Map}_C(G_{2,p}, \widehat{Ell(\infty)})$$

denote the composition

$$Ell^*(BG) \rightarrow (Ell_m^\wedge)^*(BG) \rightarrow \text{Map}_C(G_{2,p}, \widehat{Ell^*(\infty)}).$$

Theorem 3.2. For any $x \in Ell^*(BG)$ and $g \in G$ of order p^n , $\chi_{2,p}(x)(g, 1)$ lies in $(Ell^*(n) \cap M_1^*(n))_{\widehat{\mathfrak{p}}}(\hookrightarrow V(n))$ and

$$\lambda_p(x)(g) = [\chi_{2,p}(x)(g, 1)](\text{Tate}(q), \varphi_{\text{can}}).$$

Proof. By using naturality, as in the proof of 2.1, it is enough to show the result for $G = \mathbf{Z}/p^n\mathbf{Z}$ and $g = g_n$. By the construction of HKR character and our choice of an exponential isomorphism, for any $x = \sum_i a_i (x^{Ell})^i \in Ell^*(B\mathbf{Z}/p^n\mathbf{Z})$,

$$\begin{aligned} \chi_{2,p}(x)(g_n, 1) &= \sum_i a_i \widehat{\beta(n)}_{\text{univ}}(1, 0)^i \\ &= \sum_i a_i \varphi_{\text{univ}}^{-1}(\zeta_{p^n} - 1)^i \end{aligned}$$

$$= \sum_i a_i f_n^i.$$

Therefore we have

$$\lambda_p(x)(g_n) = \chi_{2,p}(x)(g_n, 1)(\text{Tate}(q), \varphi_{\text{can}}).$$

Remark 3.3. The above relations between λ_p and $\chi_{2,p}$ are analogous to relations between moonshine and generalized moonshine.

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