

## THE BOUNDARY PROBLEM FOR $L_1$ -PREDUALS

JIRÍ SPURNÝ

ABSTRACT. Let  $E$  be an  $L_1$ -predual and  $B \subset B_{E^*}$  be a boundary. We show that any bounded  $\sigma(E, B)$ -compact subset of  $E$  is weakly compact. We also present an example of an  $L_1$ -predual  $E$  that is not angelic in the  $\sigma(E, \text{ext } B_{E^*})$ -topology.

### 1. Introduction

If  $E$  is a Banach space, let  $B_E$  stand for its closed unit ball. A subset  $B$  of the closed dual unit ball  $B_{E^*}$  is called a *boundary*, if for each  $x \in E$  there exists  $b \in B$  such that  $\|x\| = b(x)$ . We consider on  $E$  the locally convex topology  $\sigma(E, B)$  generated by all functionals from  $B$ . The following open *boundary problem* was formulated by Godefroy in [13, Question V.2]:

*Let  $K \subset E$  be a bounded  $\sigma(E, B)$ -compact set. Is  $K$  weakly compact?*

Despite serious effort of many mathematicians, only partial results are known. The answer is known to be positive, if

- $B = \text{ext } B_{E^*}$ , i.e.,  $B$  consists of all extreme points of  $B_{E^*}$  (see [3, Theorem 1]),
- $K$  is convex (see [10, Sections 8, 8.1, Corollary 1]),
- $E$  does not contain  $\ell_1[0, 1]$  (see [5, Theorem D]),
- $E = \mathcal{C}(L)$  for some compact Hausdorff topological space  $L$  (see [4, Proposition 3]),
- $B$  is relatively sequentially compact in  $(B_{E^*}, \text{weak}^*)$  (see [7, Corollary C]), or
- $E = \ell_1(\Gamma)$  (see [6, Theorem 4.9]).

Among goals of our paper is to provide the positive answer for the boundary problem in case  $E$  is an  $L_1$ -predual, i.e.,  $E^*$  is isometric to  $L_1(\mu)$  for a

---

Received October 3, 2007; received in final form March 17, 2008.

The work is a part of the research project MSM 0021620839 financed by MSM and partly supported by the Grants GA ĀR 201/06/0018 and GA ĀR 201/07/0388.

2000 *Mathematics Subject Classification*. Primary 46A50. Secondary 46B50.

suitable measure  $\mu$ . The most important examples of  $L_1$ -preduals are  $\mathcal{C}(L)$  spaces and spaces of affine continuous functions on Choquet simplices (see [11, Proposition 3.23]). We refer the reader to [16] for a classification of  $L_1$ -preduals.

A more general version of the boundary problem is the following question on *angelicity* of a boundary topology:

*Let  $E$  be a Banach space and  $B \subset B_{E^*}$  be a boundary. Is  $(B_E, \sigma(E, B))$  angelic?*

(We recall that a regular topological space  $X$  is angelic, if every relatively countably compact subset  $A$  of  $X$  is relatively compact and its closure  $\overline{A}$  is made up of the limits of sequences from  $A$ .) The point of this question is that its affirmative answer would provide a positive solution for the boundary problem via the Simons lemma [18, Theorem 8]. Moreover, all known examples of boundary topologies are angelic on bounded sets. We recall a classical example of an angelic space, namely the space  $\mathcal{C}(L)$  endowed with the topology of pointwise convergence (see [12, Theorem 462B]). Hence, any Banach space is angelic in its weak topology (see [12, Theorem 462D]).

An ingenious construction of Moors and Reznichenko in [17, Section 4] provides an example of a Banach space  $E$  and its  $\sigma(E, \text{ext } B_{E^*})$ -compact subset  $K$  that is not angelic in the  $\sigma(E, \text{ext } B_{E^*})$ -topology (of course,  $K$  is not bounded in  $E$ ). This answers a question asked by Cascales and Shvydkoy in [6, Problem 4.11]. In the second part of our paper, we show that the Banach space  $E$  in their construction is even an  $L_1$ -predual. Hence, the assumption of boundedness is essential in the question of angelicity of boundary topologies even for  $L_1$ -preduals. This might be of some interest since a particular example of an  $L_1$ -predual, namely a  $\mathcal{C}(L)$  space, has the property that any boundary topology is angelic on  $\mathcal{C}(L)$  (see [4, Theorem 5]).

We summarize the results of our paper in the following theorem.

**THEOREM 1.1.**

- (a) *Let  $E$  be an  $L_1$ -predual and  $B \subset B_{E^*}$  be a boundary. Then any bounded  $\sigma(E, B)$ -compact subset  $K$  of  $E$  is weakly compact. Moreover, the space  $(B_E, \sigma(E, B))$  is angelic.*
- (b) *There exists a subset  $K$  of an  $L_1$ -predual  $E$  such that  $K$  is a compact nonangelic space in the  $\sigma(E, \text{ext } B_{E^*})$ -topology.*

If  $E$  is a Banach space, we write weak (respectively weak<sup>\*</sup>) for the weak (respectively weak<sup>\*</sup>) topology. If  $A$  is a subset of  $E$ ,  $\text{co } A$  (respectively  $\text{span } A$ ) is the convex (respectively linear) hull of  $A$ . Throughout the paper, we consider the space  $E$  to be canonically embedded in its double dual  $E^{**}$ .

If  $X$  is a locally compact space, we write  $\mathcal{M}^+(X)$  (respectively  $\mathcal{M}^1(X)$ ) for the set of all positive (respectively probability) Radon measures on  $X$ . If  $X$  is compact, we consider  $\mathcal{M}^+(X)$  endowed with the weak<sup>\*</sup> topology given

by all continuous functions on  $X$ . We write  $\varepsilon_x$  for the Dirac measure at a point  $x \in X$ .

If  $X$  is a compact convex subset of a locally convex space, a convex set  $F \subset X$  is a *face*, if  $x, y \in F$ , whenever  $x, y \in X$  and some  $\alpha \in (0, 1)$  satisfy  $\alpha x + (1 - \alpha)y \in F$ . We write  $\mathfrak{A}(X)$  for the Banach space of all affine continuous functions on  $X$  endowed with the sup-norm.

If  $\mu$  is a probability measure on  $X$ , let  $r(\mu)$  stand for the *barycenter* of  $\mu$  (see [1, p. 12]). The convex cone of all convex continuous functions determines a partial ordering on  $\mathcal{M}^+(X)$ , namely  $\mu \preceq \nu$  if and only if  $\mu(f) \leq \nu(f)$  for any continuous convex function  $f$  on  $X$ . The set  $X$  is called a *Choquet simplex* (briefly a *simplex*) if for every point  $x \in X$  there exists a unique probability measure  $\mu$  maximal with respect to  $\preceq$  such that  $r(\mu) = x$  (see [2, Theorem 7.3]).

If  $X$  is a set and  $B$  a subset of  $X$ , we write  $\tau_B$  for the topology of pointwise convergence on  $B$  for the space  $\mathbb{R}^X$  of all functions from  $X$  to  $\mathbb{R}$ .

### 2. Boundaries of compact convex sets

A different point of view on the boundary problem is the following. Let  $X$  be a compact convex subset of a locally convex space. A set  $B \subset X$  is a boundary of  $X$ , if every function from  $\mathfrak{A}(X)$  attains its maximum on  $B$  (cf. [17, Section 2, p. 7]). Then the boundary problem can be reformulated as follows:

*Let  $K \subset \mathfrak{A}(X)$  be a bounded  $\tau_B$ -compact set. Is it  $\tau_X$ -compact?*

To see this, we notice that a boundary  $B \subset B_{E^*}$  of a Banach space  $E$  is also a boundary of the compact convex set  $(B_{E^*}, \text{weak}^*)$  in the sense mentioned above. Moreover, the topology  $\tau_B$  on  $E$  is nothing else than the topology  $\sigma(E, B)$  and  $\tau_{B_{E^*}}$  coincides on  $E$  with the weak topology.

Conversely, if  $B \subset X$  is a boundary of a compact convex set  $X$ , the dual unit ball  $B_{\mathfrak{A}(X)^*}$  can be identified with  $\text{co}(X \cup -X)$ . (We refer the reader to [1, Chapter 2, Section 2] and [2, Theorem 4.7] for proofs of this representation.) Then  $B \cup -B$  is a boundary of the Banach space  $\mathfrak{A}(X)$  and the topologies  $\tau_B$  and  $\sigma(\mathfrak{A}(X), B \cup -B)$  may be identified as well as the topology  $\tau_X$  with the weak topology on  $\mathfrak{A}(X)$ .

In this setting, Khurana proved in [14, Theorem 1] that any bounded  $\tau_{\text{ext } X}$ -compact subset of  $\mathfrak{A}(X)$  is  $\tau_X$ -compact. It also follows from his proof, or from the method of [3], that the space  $(B_{\mathfrak{A}(X)}, \tau_{\text{ext } X})$  is angelic.

As was already mentioned in the Introduction, the space  $\mathfrak{A}(X)$  is an  $L_1$ -predual for any Choquet simplex  $X$  (see [11, Proposition 3.23]). Hence, Theorem 1.1(a) yields the following corollary.

**COROLLARY 2.1.** *Let  $B \subset X$  be a boundary of a Choquet simplex  $X$ . Then*

- (a) *any bounded  $\tau_B$ -compact set  $K \subset \mathfrak{A}(X)$  is  $\tau_X$ -compact, and*
- (b)  *$(B_{\mathfrak{A}(X)}, \tau_B)$  is an angelic space.*

### 3. $L_1$ -preduals and boundary topologies

Our solution of the boundary problem in  $L_1$ -preduals starts with Lemma 3.1. It enables to use geometrical properties of separable  $L_1$ -preduals (see Lemmas 3.2 and 3.3) and employ the technique of [3]. The key Lemma 3.4 relies upon the fact that any extreme point of  $B_{E^*}$  for a separable  $L_1$ -predual space  $E$  is even weak\* exposed (see Lemma 3.3(b)). Since any weak\* exposed point of  $B_{E^*}$  is contained in any boundary  $B \subset B_{E^*}$ , we get that the set of extreme points is contained in an arbitrary boundary. As was pointed out in [4, Theorem 6], this property already implies the positive answer for the boundary problem.

LEMMA 3.1. *Let  $Y$  be a separable subspace of an  $L_1$ -predual  $E$ . Then there exists a separable  $L_1$ -predual  $Z$  such that  $Y \subset Z \subset E$ .*

*Proof.* See [15, Chapter 7, Section 23, Lemma 1]. □

If  $E$  is a Banach space and  $Y$  a locally convex space, a multivalued mapping  $\varphi : B_{E^*} \rightarrow Y$  is called *convex* if for any  $x^*, y^* \in B_{E^*}$  and  $\alpha \in [0, 1]$ ,

$$\alpha\varphi(x^*) + (1 - \alpha)\varphi(y^*) \subset \varphi(\alpha x^* + (1 - \alpha)y^*).$$

The mapping  $\varphi$  is *weak\* lower semicontinuous* if

$$\varphi^{-1}(U) = \{x^* \in B_{E^*} : \varphi(x^*) \cap U \neq \emptyset\}$$

is weak\* open in  $B_{E^*}$  for each  $U \subset Y$  open. We say that  $\varphi$  is *odd* if  $\varphi(-x^*) = -\varphi(x^*)$  for each  $x^* \in B_{E^*}$ .

A *selection* for  $\varphi$  is a mapping  $f : B_{E^*} \rightarrow Y$  such that  $f(x^*) \in \varphi(x^*)$ ,  $x^* \in B_{E^*}$ .

THEOREM 3.2. *Let  $E$  be an  $L_1$ -predual and  $Y$  be a Fréchet space. Let  $\varphi : B_{E^*} \rightarrow Y$  be a convex odd weak\* lower semicontinuous mapping with nonempty closed convex values. Let  $F \subset B_{E^*}$  be a face of  $B_{E^*}$  such that  $H = \text{co}(F \cup -F)$  is weak\* closed and  $h : H \rightarrow Y$  is a weak\* continuous odd affine selection of  $\varphi \upharpoonright_H$ .*

*Then  $\varphi$  admits an affine odd weak\* continuous selection  $f$  such that  $f \upharpoonright_H = h$ .*

*Proof.* See [16, Theorem 2.2] or [15, Chapter 7, Section 22, Theorem 2]. □

LEMMA 3.3. *Let  $E$  be a separable  $L_1$ -predual and  $x^* \in \text{ext } B_{E^*}$ .*

- (a) *If  $y^* \in \text{ext } B_{E^*} \setminus \{x^*, -x^*\}$ , then there exists an element  $x \in B_E$  such that  $x^*(x) = 1$  and  $y^*(x) = 0$ .*
- (b) *There exists  $x \in B_E$  such that  $x^*(x) = 1$  and*

$$|y^*(x)| < 1 \quad \text{for each } y^* \in B_{E^*} \setminus \text{co}(\{x^*\} \cup \{-x^*\}).$$

*Proof.* Assume that  $E$  is a separable  $L_1$ -predual space and  $x^* \in \text{ext } B_{E^*}$  is given. We denote  $H = \text{co}(\{x^*\} \cup \{-x^*\})$ . For the proof of (a), let  $y^*$  be a point of  $\text{ext } B_{E^*}$  that is distinct from  $x^*$  and  $-x^*$ .

We define a multivalued mapping  $\varphi : B_{E^*} \rightarrow [-1, 1]$  as

$$\varphi(z^*) = \begin{cases} 0, & z^* \in \{y^*, -y^*\}, \\ [-1, 1], & \text{otherwise.} \end{cases}$$

Then  $\varphi$  is weak\* lower semicontinuous odd convex mapping with nonempty closed convex values in  $\mathbb{R}$ . Further,

$$\begin{aligned} h : H &\rightarrow [-1, 1], \\ \lambda x^* + (1 - \lambda)(-x^*) &\mapsto 2\lambda - 1, \quad \lambda \in [0, 1], \end{aligned}$$

is a weak\* continuous odd affine selection of  $\varphi \upharpoonright_H$ .

According to Theorem 3.2,  $\varphi$  admits a weak\* continuous odd affine selection  $f : B_{E^*} \rightarrow [-1, 1]$  such that  $f = h$  on  $H$ . Hence, there exists  $x \in B_E$  such that  $f(z^*) = z^*(x)$ ,  $z^* \in B_{E^*}$ . Then  $x^*(x) = 1$  and  $y^*(x) = 0$ . This concludes the proof of (a).

For the proof of (b), let  $y^* \in \text{ext } B_{E^*} \setminus H$  be given. Using (a), we find a point  $x_{y^*} \in B_E$  such that  $x^*(x_{y^*}) = 1$  and  $y^*(x_{y^*}) = 0$ , and a weak\* open neighbourhood  $U_{y^*}$  of  $y^*$  such that  $|u^*(x_{y^*})| < 1$  for each  $u^* \in U_{y^*}$ . Since  $\text{ext } B_{E^*} \setminus H$  is a weak\* separable metrizable space, we can select countably many points  $\{y_n^* : n \in \mathbb{N}\} \subset \text{ext } B_{E^*} \setminus H$  such that

$$\text{ext } B_{E^*} \setminus H \subset \bigcup_{n=1}^{\infty} U_{y_n^*}.$$

We set

$$x = \sum_{n=1}^{\infty} \frac{1}{2^n} x_{y_n^*}.$$

Then  $x \in B_E$ ,  $x^*(x) = 1$  and  $|u^*(x)| < 1$  for each  $u^* \in \text{ext } B_{E^*} \setminus H$ .

Let  $z^* \in B_{E^*} \setminus H$  be given. Using the Choquet representation theorem [9, Theorem 4.43], we find a measure  $\mu \in \mathcal{M}^1(B_{E^*})$  carried by  $\text{ext } B_{E^*}$  that represents  $z^*$ , i.e.,

$$\int_{\text{ext } B_{E^*}} u^*(y) d\mu(u^*) = z^*(y) \quad \text{for each } y \in E.$$

Since  $z^* \notin H$ ,  $\mu(\text{ext } B_{E^*} \setminus H) > 0$ . Thus,

$$z^*(x) = \int_{\text{ext } B_{E^*} \cap H} u^*(x) d\mu(u^*) + \int_{\text{ext } B_{E^*} \setminus H} u^*(x) d\mu(u^*) < 1.$$

By symmetry,  $(-z^*)(x) < 1$ . This finishes the proof. □

LEMMA 3.4. *Let  $E$  be an  $L_1$ -predual and  $B \subset B_{E^*}$  be a boundary. If  $K \subset B_E$  is  $\sigma(E, B)$ -relatively countably compact, then it is weakly relatively sequentially compact.*

*Proof.* If  $E$  is an  $L_1$ -predual, it follows from Lemma 3.3 that  $E$  satisfies property  $(\mathcal{S})$  from [4, Definition 2]. Thus, the proof of [4, Theorem 6] yields that any sequence in  $K$  has a weakly convergent subsequence, which is the required conclusion.  $\square$

*Proof of Theorem 1.1(a).* Let  $E$  be an  $L_1$ -predual,  $B \subset E$  be a boundary and  $K \subset E$  a bounded  $\sigma(E, B)$ -compact set. Without loss of generality, we may assume that  $B$  is symmetric and  $K \subset B_E$ .

Since  $K$  is  $\sigma(E, B)$ -countably compact,  $K$  is relatively weakly sequentially compact by Lemma 3.4. As  $K$  is  $\sigma(E, B)$ -closed, it is a weakly closed set as well. Hence,  $K$  is weakly compact by the Eberlein-Šmul'yan theorem [9, Theorem 4.47].

For the proof of the second assertion in (a), let  $A \subset B_E$  be  $\sigma(E, B)$ -relatively countably compact. According to Lemma 3.4,  $A$  is weakly relatively countably compact. Since the weak topology is angelic,  $\overline{A}^{\text{weak}}$  is a weakly compact set. Since  $\sigma(E, B)$ -topology is weaker than the weak topology,  $\overline{A}^{\text{weak}}$  is also  $\sigma(E, B)$ -compact, and hence  $\sigma(E, B)$ -closed. Thus,

$$\overline{A}^{\sigma(E, B)} \subset \overline{A}^{\text{weak}} \subset \overline{A}^{\sigma(E, B)}.$$

Since the identity mapping

$$\text{id} : (\overline{A}^{\text{weak}}, \text{weak}) \longrightarrow (\overline{A}^{\text{weak}}, \sigma(E, B))$$

is continuous, it is a homeomorphism and both topologies coincide on  $\overline{A}^{\text{weak}}$ . In particular,  $\sigma(E, B)$  is angelic on  $\overline{A}^{\text{weak}}$ , which concludes the proof.  $\square$

#### 4. An example of an $L_1$ -predual

The aim of this section is a proof of Theorem 1.1(b), i.e., the proof of the assertion that *there exist an  $L_1$ -predual  $E$  and its subset  $K$  such that the topological space  $(K, \sigma(E, \text{ext } B_{E^*}))$  is compact and nonangelic.*

For its proof, we recall an ingenious construction by Moors and Reznichenko in [17, Section 4]. They presented a general construction that produces compact convex sets with various interesting properties. In [17, Example 4.8], they found a compact convex set  $X$  and a  $\tau_{\text{ext } X}$ -compact set  $K \subset \mathfrak{A}(X)$  that is not angelic.

We briefly remind their construction and show that the set  $X$  is moreover a simplex. Thus,  $\mathfrak{A}(X)$  is an  $L_1$ -predual and  $K$  is its  $\tau_{\text{ext } X}$ -compact nonangelic set. According to Section 2, Theorem 1.1(b) follows.

GENERAL CONSTRUCTION 4.1. Let  $X, Y$  be a couple of compact convex sets such that  $\text{ext } Y$  is closed. Let  $y_\infty \in \text{ext } Y$  be fixed and  $\varphi : \text{ext } Y \setminus \{y_\infty\} \rightarrow X \setminus \text{ext } X$  be continuous and injective. We define the following subsets of  $X \times Y$  as

$$A = \text{ext } X \times \{y_\infty\} \quad \text{and} \quad B = \{(\varphi(y), y) \in X \times Y : y \in \text{ext } Y \setminus \{y_\infty\}\}.$$

Let

$$Z = \overline{\text{co}}(A \cup B).$$

LEMMA 4.2. *Let  $Z$  be constructed as above. Then the following assertions hold.*

- (a)  $\text{ext } Z = A \cup B$ .
- (b)  $B = \overline{B} \setminus (X \times \{y_\infty\})$ , in particular,  $B$  is a locally compact space and a Borel subset of  $Z$ .
- (c) If  $X, Y$  are simplices, then  $Z$  is a simplex as well.

*Proof.* For the proof of (a), we refer the reader to [17, Theorem 4.1].

To verify (b), we notice that this easily follows from the compactness of  $\text{ext } Y$ .

Thus, we have to prove (c). First, we notice that  $X$  may be identified with  $X \times \{y_\infty\}$ .

CLAIM 4.2.1. *If  $\lambda$  is a maximal measure on  $Z$ , then  $\lambda$  is carried by  $(X \times \{y_\infty\}) \cup B$ .*

*Proof.* Given a maximal measure  $\lambda$ , [2, Theorem 6.8] yields that  $\lambda$  is carried by

$$\overline{\text{ext } Z} = \overline{A \cup B} = \overline{A} \cup \overline{B}.$$

Hence, the assertion follows from (b). □

CLAIM 4.2.2. *If  $\lambda$  is a maximal measure on  $Z$  such that  $\lambda \upharpoonright_{X \times \{y_\infty\}}$  is nonzero, then  $\lambda \upharpoonright_{X \times \{y_\infty\}}$  is maximal on  $X \times \{y_\infty\}$ .*

*Proof.* Let  $\lambda \in \mathcal{M}^1(Z)$  be a maximal measure. We write  $\lambda = \lambda_1 + \lambda_2$ , where  $\lambda_1 \in \mathcal{M}^+(X \times \{y_\infty\})$  and  $\lambda_2$  is carried by  $Z \setminus (X \times \{y_\infty\})$ . Using [1, Lemma I.4.7] we find a measure  $\omega \in \mathcal{M}^+(X \times \{y_\infty\})$  such that  $\lambda_1 \preceq \omega$  and  $\omega$  is maximal with respect to  $\preceq$  (here the ordering  $\preceq$  is considered on the set  $X \times \{y_\infty\}$ ).

Given any convex continuous function  $f$  on  $Z$ , we have

$$\begin{aligned} \lambda(f) &= \int_{X \times \{y_\infty\}} f(s, t) d\lambda_1(s, t) + \int_B f(s, t) d\lambda_2 \\ &\leq \int_{X \times \{y_\infty\}} f(s, t) d\omega(s, t) + \int_B f(s, t) d\lambda_2. \end{aligned}$$

Since  $\lambda$  is maximal,  $\lambda = \omega + \lambda_2$  and  $\lambda_1 = \omega$  is maximal on  $X \times \{y_\infty\}$ . □

Let  $(x, y) \in Z$  be given. We are going to show that there exists a unique maximal measure on  $Z$  whose barycenter is  $(x, y)$ .

Before stating the next claim, we recall that given a continuous mapping  $\phi : W_1 \rightarrow W_2$  of a locally compact space  $W_1$  onto a locally compact space  $W_2$ ,  $\phi(\omega) \in \mathcal{M}^+(W_2)$  denotes the image of a measure  $\omega \in \mathcal{M}^+(W_1)$  (we refer the reader to [12, Theorem 418I] for more information on images of Radon measures).

CLAIM 4.2.3. *Let  $\pi : Z \rightarrow Y$  denote the restriction of the projection of  $X \times Y$  onto  $Y$  and let  $\psi : \text{ext } Y \setminus \{y_\infty\} \rightarrow B$  be defined as  $\psi(y) = (\varphi(y), y)$ . Then for any measure  $\lambda \in \mathcal{M}^+(Z)$  carried by  $B$ , it holds  $\psi(\pi(\lambda)) = \lambda$ .*

*Proof.* Let  $C \subset B$  be a Borel set. Then

$$\psi(\pi(\lambda))(C) = \pi(\lambda)(\psi^{-1}(C)) = \lambda(\pi^{-1}(\psi^{-1}(C))) = \lambda(C).$$

This concludes the proof. □

CLAIM 4.2.4. *If  $\mu, \nu \in \mathcal{M}^1(Z)$  are maximal measures with  $r(\mu) = r(\nu) = (x, y)$ , then  $\mu \upharpoonright_B = \nu \upharpoonright_B$ .*

*Proof.* Given  $\mu, \nu$  as in the premise, we write

$$(4.1) \quad \mu = \mu_1 + \mu_2, \quad \nu = \nu_1 + \nu_2,$$

where  $\mu_1, \nu_1$  are carried by  $X \times \{y_\infty\}$  and  $\mu_2, \nu_2$  are carried by  $B$  (here we use Claim 4.2.1). According to Claim 4.2.3, it is enough to show that

$$(4.2) \quad \pi(\mu_2) = \pi(\nu_2).$$

First, we notice that  $\pi(\omega)$  is a measure carried by  $\text{ext } Y \setminus \{y_\infty\}$  for any  $\omega \in \mathcal{M}^+(Z)$  carried by  $B$ . Thus, for verification of (4.2), it suffices to check  $\pi(\mu_2)(f) = \pi(\nu_2)(f)$  for any  $f \in \mathcal{C}(\text{ext } Y)$  with  $f(y_\infty) = 0$ .

Let  $f$  be such a function. Since  $Y$  is a simplex, by [1, Theorem II.4.3] there exists a function  $h \in \mathfrak{A}(Y)$  such that  $h = f$  on  $\text{ext } Y$ . We set

$$\begin{aligned} 1 \otimes h : X \times Y &\longrightarrow \mathbb{R}, \\ (s, t) &\mapsto h(t). \end{aligned}$$

Then  $1 \otimes h$  is an affine continuous function on  $Z$  such that  $1 \otimes h = 0$  on  $X \times \{y_\infty\}$ . Hence,

$$\begin{aligned} \pi(\mu_2)(f) &= \mu_2(f \circ \pi) = \mu_2(1 \otimes h) \\ &= \mu_1(1 \otimes h) + \mu_2(1 \otimes h) \\ &= \mu(1 \otimes h) = h(y) = \nu(1 \otimes h) \\ &= \dots = \pi(\nu_2)(f). \end{aligned}$$

This proves (4.2) and concludes the proof. □

CLAIM 4.2.5. *If  $\mu, \nu \in \mathcal{M}^1(Z)$  are maximal measures with  $r(\mu) = r(\nu) = (x, y)$ , then  $\mu \upharpoonright_{X \times \{y_\infty\}} = \nu \upharpoonright_{X \times \{y_\infty\}}$ .*



*Proof.* Let  $\mu, \nu$  be decomposed as in (4.1). We show first that

$$(4.3) \quad \mu_1(h) = \nu_1(h)$$

for any continuous affine function  $h$  on  $X \times \{y_\infty\}$ . Given such a function, we define  $h \otimes 1 \in \mathfrak{A}(Z)$  similarly as above. Then by Claim 4.2.4,

$$\begin{aligned} \mu_1(h) &= \mu_1(h \otimes 1) = \mu(h \otimes 1) - \mu_2(h \otimes 1) \\ &= (h \otimes 1)(x, y) - \mu_2(h \otimes 1) \\ &= (h \otimes 1)(x, y) - \nu_2(h \otimes 1) \\ &= \dots = \nu_1(h). \end{aligned}$$

If  $\mu_1, \nu_1$  are nonzero, Claim 4.2.1 yields that both  $\mu_1$  and  $\nu_1$  are maximal measures on  $X \times \{y_\infty\}$ . Since  $X \times \{y_\infty\}$  is a simplex, equality (4.3) yields  $\mu_1 = \nu_1$ . This concludes the proof.  $\square$

Since Claims 4.2.1, 4.2.4, and 4.2.5 yield assertion (c), the proof is finished.  $\square$

Now, we remind Example 4.8 of [17] that provides the desired simplex.

CONSTRUCTION 4.3. We set

$$X = \overline{\text{co}}(\{0\} \cup \{e_n : n \in \mathbb{N}\}) \subset (\mathbb{R}^{\mathbb{N}}, \tau_{\mathbb{N}}),$$

where  $e_n, n \in \mathbb{N}$ , is the characteristic function of  $\{n\}$ . Then  $X$  is a metrizable simplex with  $\text{ext } X = \{0\} \cup \{e_n : n \in \mathbb{N}\}$  being a closed set.

Further, let  $\mathcal{A}$  be a maximal almost disjoint family of infinite subsets of  $\mathbb{N}$ . Let  $\hat{Y} = \mathcal{A}$  be endowed with the discrete topology,  $\alpha(\hat{Y})$  be its Alexandroff compactification and  $y_\infty$  be the point in infinity (see [8, p. 170]). Setting  $Y = \mathcal{M}^1(\alpha(\hat{Y}))$ , we get a simplex such that  $\alpha(\hat{Y})$  can be identified with  $\text{ext } Y$  via the canonical embedding (see [1, Corollary II.4.2]). We define

$$\begin{aligned} f : \text{ext } Y \setminus \{y_\infty\} &\longrightarrow X \setminus \text{ext } X, \\ f(M)(n) &= \begin{cases} 2^{-n}, & n \in M, \\ 0, & n \notin M, \end{cases} \quad M \in \mathcal{A}. \end{aligned}$$

Let  $Z$  be defined as in Construction 4.1. According to Lemma 4.2(c),  $Z$  is a simplex, and thus  $\mathfrak{A}(Z)$  is an  $L_1$ -predual.

Let  $\hat{K} = \mathcal{A} \cup \mathbb{N}$  with a base of the topology defined as

$$\mathcal{B} = \{\{n\} : n \in \mathbb{N}\} \cup \{\{M\} \cup (M \setminus F) : M \in \mathcal{A}, F \text{ a finite subset of } \mathbb{N}\}.$$

Then  $\hat{K}$  is a locally compact space. Let  $\alpha(\hat{K})$  be the Alexandroff compactification of  $\hat{K}$  and  $\hat{k}_\infty$  be the point in infinity.

Let  $\pi : \alpha(\widehat{K}) \longrightarrow \mathfrak{A}(Z)$  be defined as

$$\pi(\widehat{k})(x, \mu) = \begin{cases} 2^{\widehat{k}}x(\widehat{k}), & \widehat{k} \in \mathbb{N}, \\ \mu(\{\widehat{k}\}), & \widehat{k} \in \mathcal{A}, \\ 0, & \widehat{k} = \widehat{k}_\infty. \end{cases}$$

Let  $K = \pi(\widehat{K})$ .

LEMMA 4.4. *Let  $Z$  and  $K$  be as in Construction 4.3. Then the mapping  $\pi : \alpha(\widehat{K}) \longrightarrow (K, \tau_{\text{ext } Z})$  is a homeomorphism and  $(K, \tau_{\text{ext } Z})$  is a compact nonangelic space.*

*Proof.* The fact that  $\pi$  is a homeomorphism is proved in [17, Example 4.8]. It is easy to see that  $\widehat{k}_\infty$  is contained in the closure of  $\mathbb{N}$  and it cannot be obtained as the limit of a sequence from  $\mathbb{N}$ . Hence,  $\widehat{K}$  is not an angelic space. Thus,  $K$  is a  $\tau_{\text{ext } X}$ -compact nonangelic space.  $\square$

QUESTION 4.5. The example constructed above shows that there exist a simplex  $X$  and a  $\tau_{\text{ext } X}$ -countably compact set  $A \subset \mathfrak{A}(X)$  such that  $\overline{A}^{\tau_{\text{ext } X}}$  is  $\tau_{\text{ext } X}$ -compact but not all the points of the closure can be obtained as the limit of a sequence from  $A$ . This violates the second condition required in the definition of angelicity. However, this example does not answer the following question:

*Let  $X$  be a compact convex set and  $A \subset \mathfrak{A}(X)$  be  $\tau_{\text{ext } X}$ -relatively countably compact. Is  $\overline{A}^{\tau_{\text{ext } X}}$  compact in the topology  $\tau_{\text{ext } X}$ ?*

The following observation is due to Moors. If  $A$  is assumed to be  $\tau_{\text{ext } X}$ -countably compact,  $A$  is  $\tau_{\text{ext } X}$ -compact. Indeed, for each  $n \in \mathbb{N}$ , the set  $A \cap nB_{\mathfrak{A}(X)}$  is  $\tau_{\text{ext } X}$ -countably compact. As was mentioned in Section 2,  $A \cap nB_{\mathfrak{A}(X)}$  is angelic in the topology  $\tau_{\text{ext } X}$ , and hence  $A \cap nB_{\mathfrak{A}(X)}$  is  $\tau_{\text{ext } X}$ -compact. Hence,  $A = \bigcup_n A \cap nB_{\mathfrak{A}(X)}$  is Lindelöf in the topology  $\tau_{\text{ext } X}$ . Since  $A$  is  $\tau_{\text{ext } X}$ -countably compact,  $A$  is  $\tau_{\text{ext } X}$ -compact (see [8, Theorem 3.10.1]).

**Acknowledgments.** The author would like to express his gratitude to his colleagues at the Faculty of Mathematics and Physics of Charles University who helped him substantially during the work on the paper. In particular, he would like to thank Professors P. Holický and O. Kalenda for fruitful discussions and remarks on the subject. The author would also like to thank Professor Warren Moors for his helpful comments and the referee for suggestions leading to a significant improvement of the paper.

## REFERENCES

- [1] E. M. Alfsen, *Compact convex sets and boundary integrals*, Springer-Verlag, New York, 1971. MR 0445271
- [2] L. Asimow and A. J. Ellis, *Convexity theory and its applications in functional analysis*, Academic Press, London, 1980. MR 0623459

- [3] J. Bourgain and M. Talagrand, *Compacité extrême*, Proc. Amer. Math. Soc. **80** (1980), 68–70. MR 0574510
- [4] B. Cascales and G. Godefroy, *Angelicity and the boundary problem*, Mathematika **45** (1998), 105–112. MR 1644346
- [5] B. Cascales, G. Manjabacas and G. Vera, *A Krein–Šmulian type result in Banach spaces*, Quart. J. Math. Oxford Ser. (2) **48** (1997), 161–167. MR 1458576
- [6] B. Cascales and R. Shvydkoy, *On the Krein–Šmulian theorem for weaker topologies*, Illinois J. Math. **47** (2003), 957–976. MR 2036985
- [7] B. Cascales and G. Vera, *Topologies weaker than the weak topology of a Banach space*, J. Math. Anal. Appl. **182** (1994), 41–68. MR 1265882
- [8] R. Engelking, *General topology*, Heldermann, Berlin, 1989. MR 1039321
- [9] M. Fabian, P. Habala, P. Hájek, V. Montesinos, J. Pelant and V. Zizler, *Functional analysis and infinite-dimensional geometry*, CMS books in mathematics/Ouvrages de Mathématiques de la SMC, vol. 8, Springer-Verlag, New York, 2001. MR 1831176
- [10] K. Floret, *Weakly compact sets*, Lectures held at S.U.N.Y., Buffalo, in Spring 1978, Lecture Notes in Mathematics, vol. 801, Springer, Berlin, 1980. MR 0576235
- [11] V. P. Fonf, J. Lindenstrauss and R. R. Phelps, *Infinite dimensional convexity*, Handbook of the geometry of Banach spaces, vol. I, North-Holland, Amsterdam, 2001, pp. 599–670. MR 1863703
- [12] D. H. Fremlin, *Topological measure spaces*, Torres Fremlin, England, 2003. MR 2462372
- [13] G. Godefroy, *Boundaries of a convex set and interpolation sets*, Math. Ann. **277** (1987), 173–184. MR 0886417
- [14] S. S. Khurana, *Pointwise compactness on extreme points*, Proc. Amer. Math. Soc. **83** (1981), 347–348. MR 0624928
- [15] H. E. Lacey, *The isometric theory of classical Banach spaces*, Die Grundlehren der mathematischen Wissenschaften, vol. 208, Springer-Verlag, New York–Heidelberg, 1974. MR 0493279
- [16] A. Lazar and J. Lindenstrauss, *Banach spaces whose duals are  $L_1$  spaces and their representing matrices*, Acta Math. **126** (1971), 165–193. MR 0291771
- [17] W. B. Moors and E. A. Reznichenko, *Separable subspaces of affine function spaces on convex compact sets*, to appear in Topology Appl., available at <http://www.math.auckland.ac.nz/Research/Reports/>. MR 2423968
- [18] S. Simons, *A convergence theorem with boundary*, Pacific J. Math. **40** (1972), 703–708. MR 0312193

JIŘÍ SPURNÝ, FACULTY OF MATHEMATICS AND PHYSICS, CHARLES UNIVERSITY, SOKOLOVSKÁ 83, 186 75 PRAHA 8, CZECH REPUBLIC

*E-mail address:* [spurny@karlin.mff.cuni.cz](mailto:spurny@karlin.mff.cuni.cz)