

ON ANALYTIC STRUCTURE IN THE MAXIMAL IDEAL SPACE OF $H_\infty(D^n)$

BY
WAYNE CUTRER¹

Let $H_\infty(D^n)$ denote the complex Banach algebra of bounded holomorphic functions on the open unit polydisc

$$D^n = \{ (z_1, \dots, z_n) \in \mathbf{C}^n : |z_1| < 1, \dots, |z_n| < 1 \}.$$

The map $(z_1, \dots, z_n) \rightarrow f(z_1, \dots, z_n)$ imbeds D^n as an open subset of the maximal ideal space of $H_\infty(D^n)$; so we let $M(H_\infty(D^n))$ denote the closure of D^n in this space. By an analytic map into $M(H_\infty(D^n))$ we mean a function

$$F : D^m \rightarrow M(H_\infty(D^n))$$

such that $\hat{f} \circ F$ is analytic in D^m for every f in $H_\infty(D^n)$, where \hat{f} is the Gelfand extension of f to $M(H_\infty(D^n))$. The image of F is called an analytic set in $M(H_\infty(D^n))$. If F is one-one, then $F(D^m)$ is a m -dimensional analytic polydisc.

In this paper we construct various dimensional analytic polydiscs in $M(H_\infty(D^n))$ as limits of analytic maps into D^n and compare these in a natural way with the analytic structure in $M(H_\infty(D))^n$, the n -fold Cartesian product of $M(H_\infty(D))$. We also show that only points belonging to the closure of zero sets of functions in $H_\infty(D^n)$ can belong to analytic sets obtained in this manner.

The maximal ideal space of the algebra $H_\infty(D)$ has been extensively studied, beginning with I. J. Schark [13], and continuing with D. Newman [12], A. Gleason and H. Whitney [5], L. Carleson [3, 4], A. Kerr-Lawson [11], K. Hoffman [8, 10], and others. In the paper of I. J. Schark, it was shown that there exist non-trivial analytic mappings from D into $M(H_\infty(D)) \setminus D$. Angus Kerr-Lawson [11] extended the Schark idea and showed that "non-tangential" and "oricyclic" points in $M(H_\infty(D))$ lie in non-trivial analytic sets. By an algebraic argument, K. Hoffman [8] showed that each non-trivial Gleason part in $M(H_\infty(D))$ is a 1-dimensional analytic disc. Shortly thereafter Professor Hoffman [10] gave a "geometric" method for obtaining the coordinate maps for the analytic discs in $M(H_\infty(D))$.

The natural inductive vehicle for generalization to higher dimensional polydiscs is the topological tensor product $\otimes_\lambda^n H_\infty(D)$, where \otimes_λ^n is the completion of the algebraic tensor product \otimes^n in the uniform norm. However, it is now well known (see [1]) that $\otimes_\lambda^n H_\infty(D) \neq H_\infty(D^n)$. Hence, the lifting of 1-dimensional results becomes more than routine.

Received March 30, 1970.

¹ This research was supported by a National Science Foundation Graduate Fellowship and formed part of the author's Ph.D. thesis (Tulane University, 1969) under the supervision of Professor Frank T. Birtel.

I. Preliminaries

$M(H_\infty(D^n))$ is a compact Hausdorff space with topology as follows: a net $\{\varphi_\alpha\}$ converges to φ_0 if and only if $\varphi_\alpha(f)$ converges to $\varphi_0(f)$ for all f in $H_\infty(D^n)$. If $\varphi \in M(H_\infty(D^n))$, then the Gleason part containing φ , denoted $P(\varphi)$, is defined as

$$P(\varphi) = \{\psi \in M(H_\infty(D^n)) : \rho(\varphi, \psi) < 1\}$$

where

$$\rho(\varphi, \psi) = \sup \{|\hat{f}(\psi)| : f \in H_\infty(D^n), |f| \leq 1, \hat{f}(\varphi) = 0\}$$

is the pseudo-hyperbolic distance from φ to ψ . This defines an equivalence relation on $M(H_\infty(D^n))$. Parts are important in the investigation of analytic structure since any analytic set through φ is contained in the Gleason part $P(\varphi)$ (see [2, p. 130]).

Using the Schwarz inequality it is easy to show that ρ restricted to D^n has the following useful formula.

LEMMA 1.1. For $(z_1, \dots, z_n), (w_1, \dots, w_n) \in D^n$,

$$\rho((z_1, \dots, z_n), (w_1, \dots, w_n)) = \max_{1 \leq k \leq n} \left\{ \left| \frac{z_k - w_k}{1 - \bar{z}_k w_k} \right| \right\}.$$

Another application of the Schwarz inequality, in combination with the preceding lemma, gives a generalization of Pick's theorem to higher dimensions (see [7, p. 239]).

THEOREM 1.2 (Pick). If $f \in H_\infty(D^n)$ with $|f| \leq 1$, then

$$\rho(f(z_1, \dots, z_n), f(w_1, \dots, w_n)) \leq \rho((z_1, \dots, z_n), (w_1, \dots, w_n))$$

for all $(z_1, \dots, z_n), (w_1, \dots, w_n) \in D^n$.

We shall often use the resulting corollary.

COROLLARY 1.3. Let $\{\alpha_\lambda\}$ and $\{\beta_\lambda\}$ be nets in D^n indexed by the same set and converging in $M(H_\infty(D^n))$ to φ and ψ respectively. If $\rho(\alpha_\lambda, \beta_\lambda) \rightarrow 0$, then $\varphi = \psi$.

Proof. $|f(\alpha_\lambda) - f(\beta_\lambda)| \leq 2\rho(f(\alpha_\lambda), f(\beta_\lambda))$.

In [10] the problem of determining which subsets of $M(H_\infty(D))$ support analytic structure is shown to be directly related to the concept of an interpolating sequence. A countable subset, $\{\alpha_n\}_1^\infty$, of D is called an interpolating sequence if there exists $\delta > 0$ such that

$$\prod_{k \neq n} \left| \frac{\alpha_k - \alpha_n}{1 - \alpha_k \bar{\alpha}_n} \right| = \prod_{k \neq n} \rho(\alpha_k, \alpha_n) \geq \delta$$

for all k . In particular, an interpolating sequence is a Blaschke sequence (See [9, p. 197].)

THEOREM 1.4 (Hoffman [10]). *For $\alpha \in D$, let $L_\alpha(z) = (z + \alpha)/(1 + \bar{\alpha}z)$. As a net $\{\alpha_i\}$ in D converges to a point φ in $M(H_\infty(D))$ the corresponding maps L_{α_i} converge in $M(H_\infty(D))^D$ to a map L_φ , which is analytic, and maps D onto the part $P(\varphi)$. $P(\varphi)$ is non-trivial if and only if φ belongs to the closure of an interpolating sequence. In this case L_φ is one-one and $\rho(z, w) = \rho(L_\varphi(z), L_\varphi(w))$. Finally if $\varphi \in M(H_\infty(D))$ is a point of a non-trivial part and S and T are subsets of D such that φ is an accumulation point of both, then*

$$\inf [\rho(s, t) : s \in S, t \in T] = 0.$$

We shall need the following results on interpolating sequences. The first is a special case of a more general result on Banach algebras (see [9, p. 205]). A more direct proof is indicated in [10, p. 89].

THEOREM 1.5. *Let $S = \{\alpha_n\}_1^\infty \subset D$ be an interpolating sequence for $H_\infty(D)$. Then the closure of S in $M(H_\infty(D))$ is homeomorphic to the Čech compactification of the natural numbers.*

THEOREM 1.6 (Hayman [6]). *Let $\{\alpha_n\}_1^\infty$ be an interpolating sequence for $H_\infty(D)$. Then there exists a sequence of functions $\{f_j\}_1^\infty$ in $H_\infty(D)$ and a constant $c > 0$ such that $f_j(\alpha_n) = \delta_j^n$ (Kronecker delta) and $\sum_{j=1}^\infty |f_j(z)| < c$ for all $z \in D$.*

By $\otimes_\lambda^n H_\infty(D)$ we denote the smallest closed subalgebra of $H_\infty(D^n)$ which contains all functions of the form $F(z_1, \dots, z_n) = f(z_j)$ for some j and some choice of f in $H_\infty(D)$. Since the maximal ideal space of $\otimes_\lambda^n H_\infty(D)$ is $M(H_\infty(D))^n$, there is a natural continuous map

$$\pi : M(H_\infty(D^n)) \rightarrow M(H_\infty(D))^n$$

defined by $\pi(\varphi) = \varphi$ restricted to $\otimes_{\lambda^{k=1}}^n H_\infty(D)$. It is easy to see that parts in $M(H_\infty(D))^n$ are products of parts from $M(H_\infty(D))$, and if $\psi \in P(\varphi)$, then $\pi(\psi) \in P(\pi(\varphi))$. We shall use the π map to relate $M(H_\infty(D^n))$ and $M(H_\infty(D))^n$.

II. Analytic Structure over $D^{k-1} \times M(H_\infty(D)) \times D^{n-k}$

Following the lead of Hoffman, it is natural to search for analytic maps into $M(H_\infty(D^n))$ as limits of analytic maps into D^n . Since the family of analytic functions on D^n is closed under bounded pointwise convergence, the set of all analytic maps from D^n into $M(H_\infty(D^n))$ is a closed subset of $M(H_\infty(D^n))^{D^n}$; therefore, any map obtained as a limit of such mappings is analytic.

In this section we completely settle the question of analytic structure over $D^{k-1} \times M(H_\infty(D)) \times D^{n-k}$, $1 \leq k \leq n$, with the aid of the following theorem.

THEOREM 2.1. π is one-one over $D^{k-1} \times M(H_\infty(D)) \times D^{n-k}$, $1 \leq k \leq n$.

Proof. Let $\varphi \in M(H_\infty(D^n))$ and

$$\pi(\varphi) = (z_0, m, z'_0) \in D^{k-1} \times M(H_\infty(D)) \times D^{n-k}.$$

Let $\{\alpha_\lambda\} \rightarrow m$. It suffices to show that

$$\{(z_0, \alpha_\lambda, z'_0)\} \rightarrow \varphi.$$

Let $\{(z_0, \alpha_j, z'_0)\}, j \in A$, be a converging subnet of $\{(z_0, \alpha_\lambda, z'_0)\}$ and choose

$$\{(w_1)_i, (w_2)_i, \dots, (w_n)_i\}, \quad i \in B,$$

converging to φ . By considering the product ordering on $\Omega = A \times B$, we can assume that we have a common indexing set. Then $\{(w_k)_\delta\} \rightarrow m$ and $\{\alpha_\delta\} \rightarrow m$ for $\delta \in \Omega$. Let $f \in H_\infty(D^n)$ with $\|f\| \leq 1$, and assume that $\varepsilon > 0$. Then there exists $\delta_0 \in \Omega$ such that $\delta \geq \delta_0$ implies

$$\begin{aligned} \rho((w_1)_\delta, \dots, (w_{k-1})_\delta, z_0) &< \varepsilon/6, \\ \rho((w_{k+1})_\delta, \dots, (w_n)_\delta, z'_0) &< \varepsilon/6, \\ |f(z, (w_k)_\delta, z'_0) - m(f(z_0, \cdot, z'_0))| &< \varepsilon/6, \end{aligned}$$

and

$$|m(f(z_0, \cdot, z'_0)) - f(z_0, \alpha_\lambda, z'_0)| < \varepsilon/3.$$

It follows that for $\delta \geq \delta_0$,

$$\begin{aligned} |f((w_1)_\delta, \dots, (w_n)_\delta) - f(z_0, \alpha_\delta, z'_0)| \\ \leq 2\rho(f((w_1)_\delta, \dots, (w_n)_\delta), f(z_0, (w_k)_\delta, z'_0)) + 2\varepsilon/3 \\ \leq 2\rho((w_1)_\delta, \dots, (w_{k-1})_\delta, (w_{k+1})_\delta, \dots, (w_n)_\delta, (z_0, z'_0)) + 2\varepsilon/3 \\ < \varepsilon \end{aligned}$$

by Theorem 1.2. Thus each converging subnet of $\{(z_0, \alpha_\lambda, z'_0)\}$ converges to φ ; therefore,

$$\{(z_0, \alpha_\lambda, z'_0)\} \rightarrow \varphi,$$

and π is one-one over $D^{k-1} \times M(H_\infty(D)) \times D^{n-k}$.

COROLLARY 2.2. *Each $f \in H_\infty(D^n)$ has a bounded continuous extension to*

$$D^{k-1} \times M(H_\infty(D)) \times D^{n-k}.$$

COROLLARY 2.3. *Let $\varphi \in M(H_\infty(D^n))$ and*

$$\pi(\varphi) = (z_0, m, z'_0) \in D^{k-1} \times M(H_\infty(D)) \times D^{n-k}.$$

Then $P(\varphi)$ is an n -dimensional ($(n - 1)$ -dimensional) analytic polydisc whenever $P(m)$ is non-trivial (trivial).

Proof. Assume $P(m)$ is non-trivial and let $\{\alpha_\lambda\} \rightarrow m$. Then

$$\{(z_0, \alpha_\lambda, z'_0)\} \rightarrow \varphi$$

by Theorem 2.1. Define $L_\lambda : D^{k-1} \times D \times D^{n-k} \rightarrow D^n$ by

$$L_\lambda(z, w, z') = (L_{z_0}(z), L_{\alpha_\lambda}(w), L_{z'_0}(z')),$$

where

$$L_{z_0}(z) : D^{k-1} \rightarrow D^{k-1} \quad \text{and} \quad L_{z_0'}(z') : D^{n-k} \rightarrow D^{n-k}$$

are defined in each coordinate by the maps of Theorem 1.4. Since π is one-one over

$$D^{k-1} \times M(H_\infty(D)) \times D^{n-k},$$

it follows that $L_\lambda \rightarrow L_\varphi : D^n \rightarrow M(H_\infty(D^n))$, where L_φ is analytic,

$$L_\varphi(D^n \subset P(\varphi)),$$

and L_φ is one-one since $\otimes_\lambda^n H_\infty(D)$ separates points on $L_\varphi(D^n)$. If $\psi \in P(\varphi)$ and $\pi(\psi) = (w_0, m^*, w'_0)$, then $m^* \in P(m)$ and

$$(w_0, w'_0) \in D^{k-1} \times D^{n-k}.$$

By Theorem 1.4 there exist $(z, z') \in D^{k-1} \times D^{n-k}$ and $w^* \in D$ such that $L_{z_0}(z) = w_0$, $L_{z_0'}(z') = w'_0$ and $L_{\alpha_\lambda}(w^*) \rightarrow m^*$. Hence, $L_\varphi(z, w^*, z') = \psi$ since π is one-one. Thus L_φ is onto $P(\varphi)$. In the case $P(m)$ is trivial, repeat the argument using α_λ in the k -th coordinate of L_λ .

We remark here that the L_φ maps in the preceding corollary are homeomorphisms into the metric topology of $M(H_\infty(D^n))$. From this fact it can be shown that $M(H_\infty(D^n)) \setminus D^n$ contains homeomorphic copies of $M(H_\infty(D^k))$, $k \leq n$.

III. Analytic structure over non-trivial parts

In this section we show that in general there is analytic structure over non-trivial parts in $M(H_\infty(D))^n$. In particular, it is shown that π is not one-one over non-trivial parts in $M(H_\infty(D))^n$. This results in a sheeting of analytic sets over these parts.

THEOREM 3.1. *Let $\varphi \in M(H_\infty(D^n))$ and $\pi(\varphi) = (m_1, \dots, m_n)$ where k of the parts $P(m_1), \dots, P(m_n)$ are non-trivial. Then $P(\varphi)$ contains a k -dimensional analytic polydisc.*

Proof. Let $\{((\alpha_1)_\lambda, (\alpha_2)_\lambda, \dots, (\alpha_n)_\lambda)\} \rightarrow \varphi$ and suppose $P(m_1), P(m_2), \dots, P(m_k)$ are non-trivial. Define

$$L_\lambda(z_1, \dots, z_k) = (L_{(\alpha_1)_\lambda}(z_1), \dots, L_{(\alpha_k)_\lambda}(z_k)),$$

where $L_{(\alpha_j)_\lambda} \rightarrow L_{m_j}$ as discussed in Theorem 1.4. By choosing an appropriate subnet, there exists

$$L_\varphi : D^k \rightarrow P(\varphi)$$

with L_φ analytic and $L_\varphi = \lim_\lambda L_\lambda$. We see that L_φ is one-one by considering the tensor algebra $\otimes_\lambda^n H_\infty(D)$ on $L_\varphi(D^k)$.

THEOREM 3.2. *Let $\varphi \in M(H_\infty(D^n))$ and $\pi(\varphi) = (m_1, m_2, \dots, m_n)$ where each part $P(m_k)$ is non-trivial. Then if $m_k \in \text{cl} \{(\alpha_k)_n\}_{n=1}^\infty$, with each sequence*

$\{(\alpha_k)_n\}_1^\infty$ interpolating, it follows that φ belongs to the closure of

$$\{((\alpha_1)_{i_1}, \dots, (\alpha_n)_{i_2})\},$$

where $(l_1, \dots, l_n) \in Z_+^n$ and Z_+ denotes the set of all nonnegative integers.

Proof. Let

$$\{((\gamma_1)_\lambda, \dots, (\gamma_n)_\lambda)\} \rightarrow \varphi.$$

Then $\{(\gamma_k)_\lambda\} \rightarrow m_k$ and $m_k \in \text{cl} \{(\alpha_k)_n\}_1^\infty$ with $\{(\alpha_k)_n\}_1^\infty$ interpolating. By Theorem 1.4 we can choose a subnet $\{(\alpha_k)_\lambda\} \rightarrow m_k$ such that $\rho((\alpha_k)_\lambda, (\gamma_k)_\lambda) \rightarrow 0$ for each k . Then

$$\rho(((\alpha_1)_\lambda, \dots, (\alpha_n)_\lambda), ((\gamma_1)_\lambda, \dots, (\gamma_n)_\lambda)) = \max_k \rho((\alpha_k)_\lambda, (\gamma_k)_\lambda) \rightarrow 0.$$

Hence, $\{((\alpha_1)_\lambda, (\alpha_2)_\lambda, \dots, (\alpha_n)_\lambda)\} \rightarrow \varphi$ by Corollary 1.3.

In order to show that π is not one-one over (m_1, m_2, \dots, m_n) where at least two parts $P(m_1), P(m_2), \dots, P(m_n)$ are non-trivial, we turn our attention to sets of the form

$$\{((\alpha_1)_{i_1}, (\alpha_2)_{i_2}, \dots, (\alpha_n)_{i_n})\}$$

where $(l_1, l_2, \dots, l_n) \in Z_+^n$ and each sequence $\{(\alpha_k)_n\}_{n=1}^\infty$ is interpolating. The following theorem is a higher dimensional analogue of Theorem 1.5.

THEOREM 3.3. *If $\{(\alpha_k)_l\}_{l=1}^\infty$ is an interpolating sequence in D for each $k = 1, \dots, n$, then the closure of $\{((\alpha_1)_{i_1}, (\alpha_2)_{i_2}, \dots, (\alpha_n)_{i_n})\}$ in $M(H_\infty(D^n))$ is homeomorphic to the Cech compactification of Z_+^n .*

Proof. It suffices to show that disjoint subsets of

$$\{((\alpha_1)_{i_1}, (\alpha_2)_{i_2}, \dots, (\alpha_n)_{i_n})\}$$

have disjoint closures in $M(H_\infty(D^n))$. To show this let S be any subset of

$$\{((\alpha_1)_{i_1}, (\alpha_2)_{i_2}, \dots, (\alpha_n)_{i_n})\}.$$

By Theorem 1.6 there exist sequences $\{(f_k)_n\}_{n=1}^\infty$ in $H_\infty(D)$ such that $(f_k)_n(\alpha_j) = \delta_j^n$ for each $k = 1, \dots, n$ and $\sum_{n=1}^\infty |(f_k)_n(z)| < c_k$ for all $z \in D$. Now if $\mu : Z_+^n \rightarrow \mathbf{C}$ is any bounded function, then

$$f(z_1, \dots, z_n) = \sum_{l \in Z_+^n} \mu(l) (f_1)_{l_1}(z_1) \cdots (f_n)_{l_n}(z_n)$$

belongs to $H_\infty(D^n)$ since bounded pointwise convergence gives uniform convergence on compact subsets of D^n . But

$$f((\alpha_1)_{i_1}, \dots, (\alpha_n)_{i_n}) = \mu(l_1, \dots, l_n).$$

Therefore by a suitable choice of μ , f is 0 on S and 1 on the complement of S . Hence, S and $\{((\alpha_1)_{i_1}, \dots, (\alpha_n)_{i_n})\} \setminus S$ have disjoint closures in $M(H_\infty(D^n))$.

COROLLARY 3.4. *Let $(m_1, \dots, m_n) \in M(H_\infty(D))^n$ with at least two parts $P(m_1), \dots, P(m_n)$ non-trivial and outside of D . Then π is not one-one over (m_1, \dots, m_n) .*

Proof. Suppose $P(m_1)$ and $P(m_2)$ are non-trivial with $m_1 \in \text{cl} \{ \alpha_n \}_1^\infty$, $m_2 \in \text{cl} \{ \beta_n \}_1^\infty$ where both sequences are interpolating. Let $\{ \alpha_n \} \rightarrow m_1$ with $\lambda \in \Gamma$ and $\{ \beta_m \} \rightarrow m_2$ with $\gamma \in \Lambda$. It suffices to show that $\{ (\alpha_n, \beta_m) \}, (\lambda, \gamma) \in \Gamma \times \Lambda$, does not converge in $M(H_\infty(D^2))$. Since

$$\text{cl} \{ \alpha_n \}_1^\infty \cong \beta N, \quad \text{cl} \{ \beta_n \}_1^\infty \cong \beta N$$

by Theorem 1.5, and $\text{cl} \{ (\alpha_n, \beta_m) \}_{N \times N} \cong \beta(N \times N)$ by Theorem 3.3, this amounts to showing that $\{ (n_\lambda, m_\gamma) \}, (\lambda, \gamma) \in \Gamma \times \Lambda$, does not converge in $\beta(N \times N)$. To see this it suffices to exhibit two subnets of $\{ (n_\lambda, m_\gamma) \}$ which have disjoint closures in $\beta(N \times N)$. For the subnets take

$$S = \{ (n_\lambda, m_\gamma) : (\lambda, \gamma) \in \Gamma \times \Lambda \text{ but } n_\lambda > m_\gamma \}$$

and

$$T = \{ (n_\lambda, m_\gamma) : (\lambda, \gamma) \in \Gamma \times \Lambda \text{ but } n_\lambda < m_\gamma \}.$$

Then $T \cap S = \emptyset$, and hence S and T have disjoint closures in $\beta(N \times N)$. But the limit of any converging subnet of $\{ (\alpha_n, \beta_m) \}$ maps under π to (m_1, m_2) .

Theorem 3.1 showed that we always have analytic structure over non-trivial parts in $M(H_\infty(D))^n$. The preceding corollary shows that for parts $Q = P(m_1) \times \dots \times P(m_n)$ of dimension $k \geq 2$, where at least two non-trivial parts are outside of D , there are many analytic polydiscs P of dimension k with $\pi(P) = Q$. It is a conjecture that these analytic polydiscs are actually parts in $M(H_\infty(D^n))$.

The following theorem will show that in general π is not one-one over one-dimensional parts in $M(H_\infty(D))^n$.

THEOREM 3.5. *Let $\varphi \in M(H_\infty(D^n))$ with φ belonging to the closure of the sequence $\{ ((\alpha_1)_i, \dots, (\alpha_n)_i) \}_{i=1}^\infty$ where at least one of the sequences $\{ (\alpha_i)_i \}_{i=1}^\infty$ is interpolating in D . Then $P(\varphi)$ contains an n -dimensional analytic polydisc.*

Proof. Let $\{ ((\alpha_1)_\lambda, \dots, (\alpha_n)_\lambda) \} \rightarrow \varphi$ and suppose $\{ (\alpha_1)_i \}_{i=1}^\infty$ is interpolating. Define $L_\lambda : D^n \rightarrow D^n$ by

$$\begin{aligned} L_\lambda(z_1, \dots, z_n) &= (L_{(\alpha_1)_\lambda}(z_1), \dots, L_{(\alpha_n)_\lambda}(z_n)) \\ &= \left(\frac{z_1 + (\alpha_1)_\lambda}{1 + \overline{(\alpha_1)_\lambda} z_1}, \dots, \frac{z_n + (\alpha_n)_\lambda}{1 + \overline{(\alpha_n)_\lambda} z_n} \right). \end{aligned}$$

We can assume that Γ is such that

$$L_\lambda \rightarrow L_\varphi : D^n \rightarrow M(H_\infty(D^n)).$$

Then $L_\varphi = \lim_\lambda L_\lambda$ is analytic with $L_\varphi(D^n) \subset P(\varphi)$. It is clear that L_φ is one-one in the first coordinate because the tensor algebra separates points in this coordinate. Thus fix $z_1 = w$. Then it is easy to check that $L_{(\alpha_1)_i}(w)$ is interpolating and by Theorem 1.6, there exists a sequence $\{ f_i \}_1^\infty$ in $H_\infty(D)$ such that

$$f_i(L_{(\alpha_1)_i}(w)) = \delta_i^n \quad \text{and} \quad \sum_{i=1}^\infty |f_i(z)| < c,$$

for all $z \in D$. Define

$$h_k(z_1, \dots, z_n) = \sum_{i=1}^{\infty} f_i(z_1) \left(\frac{z_k - (\alpha_k)_i}{1 - (\alpha_k)_i z_k} \right).$$

Then $h_k \in H_{\infty}(D^n)$ and

$$h_k(L_{(\alpha_1)_\lambda}(w), \dots, L_{(\alpha_k)_\lambda}(z_k), \dots, L_{(\alpha_n)_\lambda}(z_n)) = \frac{L_{(\alpha_k)_\lambda}(z_k) - (\alpha_k)_\lambda}{1 - (\alpha_k)_\lambda L_{(\alpha_k)_\lambda}(z_k)} = z_k.$$

Hence, L_φ is one-one.

Suppose $P(\psi)$ is trivial, $\psi \in \text{cl} \{ \beta_m \}_1^\infty$, and $\{ \beta_\lambda \} \rightarrow \psi$. If $\{ \alpha_m \}_1^\infty$ is any interpolating sequence, then $\{ (\alpha_\lambda, \beta_\lambda, \beta_\lambda \dots, \beta_\lambda) \}$ is a subnet of $\{ (\alpha_m, \beta_m, \dots, \beta_m) \}_1^\infty$. Let Φ be any cluster point of $\{ (\alpha_m, \beta_m, \dots, \beta_m) \}$. Then the previous theorem applies to show that $P(\Phi)$ contains a n -dimensional polydisc. In particular, the map

$$(z_2, \dots, z_n) \rightarrow \lim_\lambda (\alpha_\lambda, L_{\beta_\lambda}(z_2), \dots, L_{\beta_\lambda}(z_n))$$

is one-one and $\pi(\lim_\lambda (\alpha_\lambda, L_{\beta_\lambda}(z_2), \dots, L_{\beta_\lambda}(z_n))) = (\varphi, \psi, \psi, \dots, \psi)$, where $\{ \alpha_\lambda \} \rightarrow \varphi$. Thus π is in general not one-one over one-dimensional parts in $M(H_\infty(D))^n$, and in this particular example π collapses an n -dimensional analytic polydisc onto a one-dimensional analytic disc. In fact, since every neighborhood of ψ contains a disc whose hyperbolic radius can be made arbitrarily large (see [11, p. 754]), it is not difficult to see that there is a sheeting of n -dimensional analytic polydiscs over this one-dimensional part.

IV. Analytic structure over trivial parts

In this section we give a condition for analyticity in $M(H_\infty(D^n))$ which permits the construction of a one-dimensional analytic disc whose projection under π is a point in $M(H_\infty(D))^n$.

THEOREM 4.1. *If $\{ \beta_n \}_1^\infty$ is a sequence in D and $\beta_n \rightarrow e^{i\theta}$, $\theta \neq \pm\pi/2$, then every φ belonging to the closure of*

$$\{ ((\alpha_1)_i, \dots, \beta_i, \dots, \bar{\beta}_i, \dots, (\alpha_n)_i) \}_{i=1}^\infty$$

belongs to a one-dimensional analytic disc.

Proof. Assume that the sequences $\{ \beta_i \}_1^\infty$ and $\{ \bar{\beta}_i \}_1^\infty$ are in the u and v coordinate positions respectively. Let

$$\{ ((\alpha_1)_\lambda, \dots, \beta_\lambda, \dots, \bar{\beta}_\lambda, \dots, (\alpha_n)_\lambda) \}$$

converge to φ . Since $(z_1, \dots, z_n) \rightarrow_{z_u z_v}$ is holomorphic on D^n , $\{ \beta_\lambda \bar{\beta}_\lambda = |\beta_\lambda|^2 \}$ converges in $M(H_\infty(D))$, to say ψ . In [11] it is shown that such an angle of approach to the unit circle requires that $P(\psi)$ be non-trivial. Thus, there exists an interpolating sequence $\{ \gamma_n \}_1^\infty$ such that $\psi \in \text{cl} \{ \gamma_n \}_1^\infty$. Let

$$A(z) = \prod_{n=1}^{\infty} \frac{\bar{\gamma}_n}{|\gamma_n|} \frac{\gamma_n - z}{1 - \bar{\gamma}_n z}, \quad f(z_1, \dots, z_n) = A(z_u z_v)$$

and

$$L_m(z) = ((\alpha_1)_m, \dots, L_{\beta_m}(z), \dots, L_{\bar{\beta}_m}(z), \dots, (\alpha_n)_m).$$

Then

$$\begin{aligned} [f \circ L_\lambda(z)]'(0) &= A'(|\beta_\lambda|^2)(L_{\beta_\lambda}(z)L_{\bar{\beta}_\lambda}(z))'(0) \\ &= A'(|\beta_\lambda|^2)[(\beta_\lambda + \bar{\beta}_\lambda)/2]2(1 - |\beta_\lambda|^2). \end{aligned}$$

We proceed to show that this expression is bounded away from zero independent of λ . We have $L_{\gamma_n}(z) = (z + \gamma_n)/(1 + \bar{\gamma}_n z)$. Let $f_n(z) = A \circ L_{\gamma_n}(z)$. Then

$$\{\gamma_{n(\lambda)}\} \rightarrow \psi \quad \text{and} \quad L_{\gamma_{n(\lambda)}} \rightarrow L_\psi.$$

Thus

$$f_\lambda(z) \rightarrow f(z) = \hat{A} \circ L_\psi(z),$$

and

$$|f'_n(0)| = (1 - |\gamma_n|^2)|A'(\gamma_n)| = \prod_{k \neq n} |(\gamma_n - \gamma_k)/(1 - \bar{\gamma}_n \gamma_k)| = \prod_{k \neq n} \rho(\gamma_n, \gamma_k) \geq \delta$$

since $\{\gamma_n\}_1^\infty$ is interpolating. It follows that

$$|f'(0)| = |\lim_\lambda f'_\lambda(0)| \geq \delta > 0.$$

Now choose a disc $V = \{z : |z| < \varepsilon^*\}$ such that $|f'(z)| \geq \delta/2$ and $f'_\lambda \rightarrow f'$ uniformly on V . Therefore, there exists λ_0 such that $\lambda \geq \lambda_0$ implies $|f'(z) - f'_\lambda(z)| < \delta/4$ for all $z \in V$. It follows that $|f'_\lambda(z)| \geq \delta/4$ for all $z \in V$ and $\lambda \geq \lambda_0$. Now consider

$$U = \{m : |\hat{A}(m)| < \varepsilon\} \quad \text{where } \varepsilon < \varepsilon^*.$$

In [10, p. 86] it is shown that $\{z : |A(z)| < \varepsilon\} \subset U$ is the union of pairwise disjoint domains R_1, R_2, R_3, \dots with A mapping R_n biholomorphically onto the disc of radius ε about the origin. Also

$$R_n \subset \Delta(\gamma_n; \eta) = \{z : \rho(z, \gamma_n) < \eta\}$$

where $\eta < (\delta - \eta)/(1 - \delta\eta)$. Thus choosing $\eta < \varepsilon^*$ implies

$$R_n \subset \Delta(\lambda_n; \varepsilon^*) = L_{\lambda_n}(D_{\varepsilon^*}).$$

But U is a neighborhood of ψ . Therefore, for large λ , $|\beta_\lambda|^2 \in U$. In particular, $|\beta_\lambda|^2 \in R_{n(\lambda)}$. This means there exists $z_\lambda \in D_{\varepsilon^*}$ such that

$$L_{\gamma_{n(\lambda)}}(z_\lambda) = |\beta_\lambda|^2.$$

Then

$$|f'_{n(\lambda)}(z_\lambda)| = |A'(|\beta_\lambda|^2)| \frac{1 - |\gamma_{n(\lambda)}|^2}{|1 + \gamma_{n(\lambda)} z_\lambda|^2} \geq \delta/4.$$

It follows that

$$|(f \circ L_\lambda(z))'(0)| \geq \frac{|1 + \bar{\gamma}_{n(\lambda)} z_\lambda|^2}{1 - |\gamma_{n(\lambda)}|^2} \cdot \frac{\delta}{4} \cdot \frac{\beta_\lambda + \bar{\beta}_\lambda}{2} \cdot 2(1 - |\beta_\lambda|^2).$$

Now $|z_\lambda| < \varepsilon^*$ and $|\beta_\lambda|^2 = L_{\gamma_n(\lambda)}(z_\lambda)$ gives

$$\frac{1 - |\beta_\lambda|^2}{1 - |\gamma_n(\lambda)|} \geq \frac{1 - \frac{|z_\lambda| + |\gamma_n(\lambda)|}{1 + |\gamma_n(\lambda)| |z_\lambda|}}{1 - |\gamma_n(\lambda)|} \geq \frac{1 - \varepsilon^*}{2}.$$

Therefore,

$$|(\hat{f} \circ L_\varphi(z))'(0)| \geq c^* > 0$$

which means that L_φ is one-one in a neighborhood of the origin.

THEOREM 4.2. *Suppose $\{\beta_\lambda\}_{\lambda \in \Gamma} \rightarrow \psi = P(\psi)$ and Γ is such that $\{\bar{\beta}_\lambda\} \rightarrow \varphi$. Then $\{L_{\bar{\beta}_\lambda}(z)\} \rightarrow \varphi$ for all $z \in D$. In particular, if $\psi \in \partial(H_\infty(D))$ (Šilov boundary of $H_\infty(D)$), then $\varphi \in \partial(H_\infty(D))$.*

Proof. If $\{L_{\bar{\beta}_\lambda}(z)\} \rightarrow \varphi$ for some $z \in D$, then $\{L_{\bar{\beta}_\lambda}(z)\} \rightarrow \varphi$ for all $z \in D \setminus \{0\}$. Thus there exists $f \in H_\infty(D)$ such that $\{f(\bar{\beta}_\lambda)\} \rightarrow r$ and $\{f(L_{\bar{\beta}_\lambda}(\frac{1}{2}))\} \rightarrow s$ where $r \neq s$. Let

$$F(z) = \overline{f(\bar{z})}.$$

Then $F \in H_\infty(D)$ and $\{F(\beta_\lambda)\} \rightarrow \bar{r}$ and $\{F(L_{\beta_\lambda}(\frac{1}{2}))\} \rightarrow \bar{s}$. This contradicts the fact that $\{L_{\beta_\lambda}(z)\} \rightarrow \psi$ for all $z \in D$. In [9, p. 179] it is shown that $\psi \in \partial(H_\infty(D))$ if and only if $\psi(B) \neq 0$ for every Blaschke product B . From the above construction, it is clear that $\varphi(B) \neq 0$ for every Blaschke product B ; hence, $\varphi \in \partial(H_\infty(D))$. Notice that this procedure also gives the result that $\{\bar{\beta}_\lambda\}$ converges without taking a subnet.

Theorems 4.1 and 4.2 show how to construct a one-dimensional analytic disc in $M(H_\infty(D^n))$ which maps under π to a point in $M(H_\infty(D))^n$ with a trivial part. Moreover, we can choose this point to lie in the Šilov boundary $\partial(M(H_\infty(D))^n) = (\partial(H_\infty(D)))^n$.

V. A necessary condition

In the preceding sections we saw examples of analytic sets in $M(H_\infty(D^n))$ obtained as the limit of analytic mappings into D^n . We present here a necessary condition for a point of $M(H_\infty(D^n))$ to belong to an analytic set obtained in this manner. This is a modification of an argument employed in both [10] and [11].

THEOREM. *Let F be any non-constant map from D^m into $M(H_\infty(D^n))$ which lies in the closure of the set of analytic maps from D^m into D^n . Then each point in the range of F lies in the closure of the zero set of a function in $H_\infty(D^n)$.*

Proof. Let $\varphi = F(0)$ and $\{F_\lambda\}$ be a net of analytic maps from D^m into D^n such that $\lim_\lambda F_\lambda = F$. Then F is analytic and hence $F(D^m) \subset P(\varphi)$. Since F is non-constant, there exists $f \in H_\infty(D^n)$ such that $\hat{f}(\varphi) = 0$ and $\hat{f} \circ F \neq 0$. Let

$$U = \{\psi \in M(H_\infty(D^n)) : |\hat{f}_j(\psi)| < \varepsilon, j = 1, \dots, l \text{ and } \hat{f}_j(\varphi) = 0\},$$

where $f_j \in H_\infty(D^n)$. Then there exists r , $0 < r < 1$, and net index λ_0 such that

$$F_\lambda(D_r^m) \subset U \quad \text{for all } \lambda \geq \lambda_0$$

and

$$D_r^m = \{(z_1, \dots, z_m) : |(z_1, \dots, z_m)| < r\}.$$

Choose $(z_1^0, \dots, z_m^0) \in D_r^m$ with $z_1^0 \neq 0$ and $\hat{f} \circ F(z_1^0, \dots, z_m^0) \neq 0$. Let

$$T(z) = \left(z, \frac{z}{z_1^0} z_2^0, \dots, \frac{z}{z_1^0} z_m^0 \right)$$

and

$$V = \{z \in D : T(z) \in D_r^m\}.$$

Then V is an open connected subset of D with $f \circ F_\lambda \circ T$ converging uniformly to $\hat{f} \circ F \circ T$ on compact subsets of V . Then since $\hat{f} \circ F \circ T$ has a zero at 0 and is not identically zero, it must be that $f \circ F_\lambda \circ T$ has a zero on V for all sufficiently large indices λ . The image of these zeros are zeros of f and they lie in U which is a basic neighborhood of φ .

REFERENCES

1. F. BIRTEL AND E. DUBINSKY, *Bounded analytic functions of two complex variables*, Math. Zeitschr., vol. 93 (1966), pp. 299-310.
2. A. BROWDER, *Introduction to function algebras*, W. A. Benjamin, New York, 1969.
3. L. CARLESON, *An interpolation problem for bounded analytic functions*, Amer. J. Math., vol. 80 (1958), pp. 921-930.
4. ———, *Interpolations by bounded analytic functions and the corona problem*, Ann. of Math., vol. 76 (1962), pp. 547-559.
5. A. GLEASON AND H. WHITNEY, *The extension of linear functionals defined on H^∞* , Pacific J. Math., vol. 12 (1962), pp. 163-182.
6. W. HAYMAN, *Sur l'interpolation par des fonctions bornées*, Ann. L'Inst. Fourier (Grenoble), vol. 8 (1958), pp. 277-290.
7. E. HILLE, *Analytic function theory*, vol. 2 Ginn, New York, 1962.
8. K. HOFFMAN, *Analytic functions and logmodular Banach algebras*, Acta Math., vol. 108 (1962), pp. 271-317.
9. ———, *Banach spaces of analytic functions*, Prentice-Hall, Englewood Cliffs, N. J., 1962.
10. ———, *Bounded analytic functions and Gleason parts*, Ann. of Math., vol. 86 (1967), pp. 74-111.
11. A. KERR-LAWSON, *A filter description of the homomorphisms of H^∞* , Canad. J. Math., vol. 17 (1965), pp. 734-757.
12. D. NEWMAN, *Some remarks on the maximal ideal structure of H^∞* , Ann. of Math., vol. 70 (1959), pp. 438-445.
13. I. J. SCHARK, *Maximal ideals in an algebra of bounded analytic functions*, J. Math. Mech., vol. 10 (1961), pp. 735-746.

UNIVERSITY OF GEORGIA
ATHENS, GEORGIA