

GLOBAL SECTIONS OF TRANSFORMATION GROUPS

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Let (X, R) be a transformation group with phase space X and phase group R , the additive group of real numbers. Suppose further that (X, R) is minimal. Then what can be said about X ? Various answers have been given to this question, see for example [4], [5], [6], [11], [12]. In [12] Schwartzman shows that if in addition X is compact, locally pathwise connected, and if (X, R) admits a global section, then X is the base of a covering space with discrete fibers. This allows him to say something about the homotopy groups of X . In particular he shows that $\pi_1(X) \neq 0$. Recently Chu and Geraghty [5] showed that if X is compact, locally pathwise connected, and if (X, R) is minimal but not totally minimal, then $\pi_1(X) \neq 0$.

The first part of this paper is devoted to generalizing the notion of global section. The above results are considered in a more general setting, and the relation between them is studied. They are generalized to the case where R is replaced by any topological group whose underlying space is R^n .

The second part of the paper is concerned with the following problem. Suppose X is a manifold which is minimal under R ; need X be orientable? This question is answered in the negative by exhibiting an action of R on the cartesian product X of the torus with the Klein bottle such that (X, R) is minimal. The flow is constructed by first producing a homeomorphism f of $S^1 \times K$ (the circle cross the Klein bottle) such that $S^1 \times K$ is minimal under the resulting discrete flow, and then R is allowed to act on $(S^1 \times K \times I)/f$ in the standard way; here I is the unit interval and $(S^1 \times K \times I)/f$ is obtained from $S^1 \times K \times I$ by identifying $(z, 0)$ with $(f(z), 1)$ ($z \in S^1 \times K$). Since f turns out to be isotopic to the identity, the resulting space is homeomorphic to the cartesian product of the torus with the Klein bottle. This flow may be lifted to a flow on the four-torus, T^4 . From a result of Auslander and Hahn [1] this flow does not come from a one-parameter subgroup of T^4 .

For the remainder of this paper R will denote the additive group of real numbers, and Z the additive group of integers. Let (X, Z) be a transformation group with phase group Z . Then the action of Z on X is completely determined by the homeomorphism f of X onto X , where $f(x) = x1$ ($x \in X$). For this reason the transformation group (X, Z) will often be denoted (X, f) .

For a general discussion of the notions used see [9].

DEFINITION 1. A *left [right] transformation group* is a pair (G, X) [(X, G)] where X is a topological space and G is a topological group together with a continuous map $(g, x) \rightarrow gx$ [$(x, g) \rightarrow xg$] ($x \in X, g \in G$) from $G \times X \rightarrow X$

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$[X \times G \rightarrow X]$ such that

$$ex = x, \quad g_1(g_2 x) = (g_1 g_2)x \quad [xe = x, \quad (xg_1)g_2 = x(g_1 g_2)] \quad (x \in X, g_1, g_2 \in G)$$

where e is the identity element of G .

DEFINITION 2. A *bitransformation group* (G, X, T) is a triple where (G, X) is a left transformation group, (X, T) a right transformation group, and $(gx)t = g(xt)$ ($g \in G, x \in X, t \in T$); i.e., the elements of G commute with those of T . I shall not distinguish between the identity element of G and the identity element of T . Both will be denoted e .

When (G, X, T) is a bitransformation group, $[X/G, T]$ is a transformation group in a natural manner.

Let X be a topological space, T a topological group. Then $(X \times T, T)$ will denote the transformation group defined by the action $(x, t)r = (x, tr)$ ($x \in X, t, r \in T$).

DEFINITION 3. Let (X, T) be a transformation group, K a subset of X , and G a topological group. Then K is a *global section of (X, T) with respect to G* if there exists an action of G on $K \times T$ such that (i) $(G, K \times T, T)$ is a bitransformation group, (ii) the map $\varphi : K \times T \rightarrow X$, where $\varphi(k, t) = kt$ ($k \in K, t \in T$) induces an isomorphism of $((K \times T)/G, T)$ onto (X, T) . (The letter φ will retain the above meaning throughout the remainder of the paper.)

The subset K of X is a *global section of (X, T)* if there exists a syndetic subgroup S of T such that K is a global cross section of (X, T) with respect to S .

THEOREM 1. Let (X, T) be a transformation group, K a subset of X , and G a topological group. Then K is a global section of (X, T) with respect to G if and only if

- (1) $KT = X$,
- (2) there exist an action of G on K and a continuous function f from $G \times K$ into T such that

(i) $f(g_1 g_2, k) = f(g_1, g_2 k)f(g_2, k) \quad (g_1, g_2 \in G, k \in K),$

(ii) $(gk)f(g, k) = k \quad (g \in G, k \in K),$

(iii) If $kt \in K$ for some $k \in K$ and $t \in T$, then $f(g, k)t = e$ for some $g \in G$,

(iv) Given N a neighborhood of the identity of T and $k \in K$ there exists a neighborhood V of k such that $lt \in V$ for some $l \in K$ and $t \in T$ implies $f(g, l)t \in N$ for some $g \in G$.

Proof. Assume that K is a global section of (X, T) with respect to G . Then by Definition 3, φ must map $K \times T$ onto X . Thus $KT = X$.

Set $g(k, t) = (A(g, k, t), B(g, k, t))$ ($g \in G, k \in K, t \in T$). Then A and B are continuous functions from $G \times K \times T$ into K and T respectively. The relation $[g(k, t)]r = g(k, tr)$ ($g \in G, k \in K, t, r \in T$) implies that

$$A(g, k, t) = A(g, k, tr) \quad \text{and} \quad B(g, k, t)r = B(g, k, tr) \quad (g \in G, k \in K, t, r \in T).$$

Set $gk = A(g, k, e)$ and $f(g, k) = B(g, k, e)$. Then $g(k, t) = (gk, f(g, k)t)$ ($g \in G, k \in K, t \in T$).

The relations

$$e(k, e) = (k, e) \quad \text{and} \quad g_1[g_2(k, e)] = (g_1 g_2)(k, e) \quad (g_1, g_2 \in G, k \in K)$$

imply that

$$ek = k, \quad g_1(g_2 k) = (g_1 g_2)k, \quad f(g_1, g_2 k)f(g_2, k) = f(g_1 g_2, k) \\ (k \in K, g_1, g_2 \in G).$$

Thus we have defined an action of G on K and a continuous function f from $G \times K$ into T satisfying (i).

Let $k \in K, g \in G$. Then $(gk, f(g, k)) = g(k, e) \equiv (k, e) \pmod{G}$. Hence $(gk)f(g, k) = ke = k$ by Definition 3.

Let $kt \in K$ with $k \in K$ and $t \in T$. Then $kt = (kt) \cdot e$ implies by Definition 3 that $(k, t) \equiv (kt, e) \pmod{G}$. Hence there exists $g \in G$ with $f(k, g)t = e$.

Let N be a neighborhood of the identity of $T, k \in K$, and suppose (iv) not satisfied. Then there would be nets $(l_\alpha | \alpha \in I), (t_\alpha | \alpha \in I)$ with $l_\alpha t_\alpha \rightarrow k, l_\alpha \in K, t_\alpha \in T$, and $f(g, l_\alpha)t_\alpha \notin N$ ($g \in G, \alpha \in I$). Let F be the canonical map of $K \times T$ onto $(K \times T)/G$. Then by assumption $F(l_\alpha, t_\alpha) \rightarrow F(k, e)$. Since F is an open mapping, there exist $g \in G$ and $\alpha \in I$ with $g(l_\alpha, t_\alpha) \in K \times N$. But this implies that $f(g, l_\alpha)t_\alpha \in N$, a contradiction.

Now assume that conditions (1) and (2) are satisfied. Set $g(k, t) = (gk, f(g, k)t)$. Then one verifies directly that (G, K, T) is a bitransformation group.

Let $k_1 t_1 = k_2 t_2$ for some $k_1, k_2 \in K$ and $t_1, t_2 \in T$. Then $k_1 t_1 t_2^{-1} = k_2$. Hence $f(g, k_1)t_1 t_2^{-1} = e$ for some $g \in G$ by 2(iii). Then $gk_1 = (gk_1)f(g, k_1)t_1 t_2^{-1} = k_1 t_1 t_2^{-1} = k_2 t_2 t_2^{-1} = k_2$ by 2(ii). Hence $g(k_1, t_1) = (gk_1, f(g, k_1)t_1) = (k_2, t_2)$, i.e., $(k_1, t_1) \equiv (k_2, t_2) \pmod{G}$.

Conversely, let $g(k_1, t_1) = (k_2, t_2)$ for some $g \in G, k_1, k_2 \in K, t_1, t_2 \in T$. Then $gk_1 = k_2$ and $f(g, k_1)t_1 = t_2$. Hence $k_2 t_2 = gk_1 f(g, k_1)t_1 = k_1 t_1$.

Thus φ induces a continuous injective map F of $(K \times T)/G$ into X . Condition 1 implies that this induced map is onto. It remains to be shown that F^{-1} is continuous. Let $(x_\alpha | \alpha \in I)$ be a net of elements of X with $x_\alpha \rightarrow x \in X, k_\alpha t_\alpha = x_\alpha, kt = x$, with $k_\alpha, k \in K, t_\alpha, t \in T, (\alpha \in I)$. Then $k_\alpha t_\alpha t^{-1} \rightarrow k$ whence by 2(iv) (choosing a subnet if necessary) there exist $g_\alpha \in G$ ($\alpha \in I$) with $f(g_\alpha, k_\alpha)t_\alpha t^{-1} \rightarrow e$. This together with the fact that $g_\alpha k_\alpha f(g_\alpha, k_\alpha)t_\alpha t^{-1} = k_\alpha t_\alpha t^{-1} \rightarrow k$ shows that $g_\alpha k_\alpha \rightarrow k$. Let H be the canonical map of $K \times T$ onto $(K \times T)/G$. Then

$$F^{-1}(x_\alpha) = H(k_\alpha, t_\alpha) = H(g_\alpha k_\alpha, f(g_\alpha, k_\alpha)t_\alpha) \rightarrow H(k, t) = F^{-1}(x).$$

The proof is completed.

Remark 1. When $T = R$ and $G = Z$, (1) shows that $f(n, k)$ is determined by the set $[f(1, l) | l \in K]$. Thus condition (2) could be stated in terms of a function with domain K rather than $Z \times K$.

Now let (X, R) be a transformation group with compact Hausdorff phase space X , and let K be a closed subset of X such that φ is a local homeomorphism onto. Then Schwartzman [12] shows that K is a section of (X, T) with respect to Z . In this case $nk = \psi^n(k)$ ($n \in Z, k \in K$) where ψ is the homeomorphism of K into K which sends k into the first point at which K intersects the positive semiorbit of k , and $f(n, k)$ is the negative of the time of n^{th} return of the point k to K .

The most important application of Theorem 1 is to the case where G is a closed syndetic subgroup of T .

THEOREM 2. *Let (X, T) be a transformation group, K a closed subset of X , S a closed syndetic subgroup of T such that (i) $KT = X$, (ii) $KS \subset K$, (iii) if $kt \in K$ with $k \in K$ and $t \in T$, then $t \in S$. Then K is a global section of (X, T) with respect to S .*

Proof. Make (S, K) into a left transformation group by setting $sk = ks^{-1}$ ($s \in S, k \in K$), and set $f(s, k) = s$ ($s \in S, k \in K$). Then conditions (1), (2)(i), and (2)(ii) of Theorem 1 are immediately verified.

Let $kt \in K$ for some $k \in K, t \in T$. Then condition (iii) implies that $t \in S$. Hence condition 2(iii) of Theorem 1 is verified.

If condition 2(iv) of Theorem 1 did not hold, there would be nets $(l_\alpha | \alpha \in I)$ and $(t_\alpha | \alpha \in I)$ of elements of K and T respectively with $l_\alpha t_\alpha \rightarrow k \in K$ and $st_\alpha \notin N$ ($s \in S$), where N is a neighborhood of the identity. Since S is syndetic, $t_\alpha = s_\alpha c_\alpha$ ($\alpha \in I$) where $c_\alpha \in C$ ($\alpha \in I$) and C is a compact subset of T . We may assume that $c_\alpha \rightarrow c \in C$. Set $k_\alpha = l_\alpha s_\alpha$. Then $k_\alpha \in K$ ($\alpha \in I$) and $k_\alpha c_\alpha \rightarrow k$. Hence $k_\alpha \rightarrow kc^{-1}$, whence $kc^{-1} \in K$. Thus $c^{-1} \in S$. Then $c^{-1}s_\alpha^{-1}t_\alpha \rightarrow e$ and $c^{-1}s_\alpha \in S$ ($\alpha \in I$), a contradiction.

Remark 2. If in addition to the assumptions of Theorem 2, the canonical map of T onto $T/S = [St | t \in T]$ admits a local cross section, X is a fiber bundle over T/S with fiber K , and $K \times T$ is a fiber bundle over X with fiber S .

Proof. In this case T is a principal fiber bundle over T/S with structure group S and $X = K \times_S T$ is the associated fiber bundle with fiber K .

Moreover, $K \times T$ is the pullback of the bundle $(T, T/S)$ by means of map $x \rightarrow St$ of X onto T/S , where $x = kt$ for some $k \in K$.

In the situation under consideration we have two exact sequences, namely

$$\begin{aligned} &\rightarrow \pi_1(X) \rightarrow \pi_1(T/S) \rightarrow \pi_0(K) \rightarrow \pi_0(X), \\ &\rightarrow \pi_1(K \times T) \rightarrow \pi_1(X) \rightarrow \pi_0(S) \rightarrow \pi_0(K \times T). \end{aligned}$$

The results of Schwartzman and Chu-Geraghty mentioned in the introduction are obtained by putting enough conditions on the various spaces involved to deduce that $\pi_1(X) \neq 0$.

Notice further that if Q is the image in T of an open neighborhood of $\{S\}$ under the local cross section, then φ restricted to $K \times Q$ is a homeomorphism onto the open subset $KQ = KSQ$ of X . Thus all the local properties of X are transmitted to K and T/S .

The following corollaries to Theorem 2 illustrate the above remarks.

COROLLARY 1. *In addition to the assumption of Theorem 2 let K and T be connected, X locally arcwise connected, $T \rightarrow T/S$ admit a local cross section, and let S possess an open proper subgroup S_0 . Then $\pi_1(X) \neq 0$. (Note that the conditions on S and T/S are satisfied if S is a discrete nontrivial subgroup of T .)*

Proof. Let S act on $S/S_0 = [S_0 s \mid s \in S]$ on the right. Then $(T/S_0, T/S)$ may be identified with the fiber bundle with fiber S/S_0 associated with the principal bundle $(T, T/S)$. Thus we have an exact sequence

$$\pi_1(T/S) \rightarrow \pi_0(S/S_0) \rightarrow \pi_0(T/S_0).$$

By the preceding remarks T/S is locally pathwise connected, whence so is T/S_0 since S/S_0 is discrete. Now T is connected. Hence T/S_0 is connected. This implies that $\pi_0(T/S_0) = 0$. Since S/S_0 is discrete and does not reduce to a single point, $\pi_0(S/S_0) \neq 0$. Hence $\pi_1(T/S) \neq 0$.

Now consider the exact sequence $\pi_1(X) \rightarrow \pi_1(T/S) \rightarrow \pi_0(K)$ associated with the fiber bundle $(X, T/S)$. Since K is connected and locally pathwise connected, $\pi_0(K) = 0$. Hence $\pi_1(X) \neq 0$.

DEFINITION 4. Let T be a topological group. Then T is *syndetically simple* if T is connected and every proper syndetic subgroup is disconnected.

COROLLARY 2. *Let (X, T) be minimal with locally pathwise connected phase space X and syndetically simple Lie group T . Suppose there exist K , a closed connected proper subset of X , and H a syndetic invariant proper subgroup of T such that K is invariant and minimal under H . Then $\pi_1(X) \neq 0$.*

Proof. Let $S = [t \mid Kt \subset K]$. Since $H \subset S$, S is a closed syndetic subset of T . Moreover S is a semigroup. By [9, 2.06] S is a subgroup of T . Let $T = SC$, where C is a compact subset of T . Then $X = \overline{KT} = \overline{KSC} = \overline{KC} = KC \subset KT$. Now let $k \in K$, $t \in T$ with $kt \in K$. Then $Kt = \overline{kHt} = \overline{kHt} = \overline{ktH} \subset \overline{KH} = \overline{K} = K$. Thus $t \in S$. Hence the hypotheses of Theorem 2 are satisfied.

Since T is a Lie group, $T \rightarrow T/S$ admits a local cross section. The exact sequence $\pi_1(T/S) \rightarrow \pi_0(S) \rightarrow \pi_0(T)$ of the bundle $(T, T/S)$ shows that $\pi_1(T/S) \neq 0$. Again as in Corollary 1, $\pi_0(K) = 0$. Then the exact sequence $\pi_1(X) \rightarrow \pi_1(T/S) \rightarrow \pi_0(K)$ of the bundle $(X, T/S)$ shows that $\pi_1(X) \neq 0$.

Remark 3. Let T be a connected Lie group whose only compact subgroup is the identity. Then T is syndetically simple. Note that in this case T is homeomorphic to R^n for some positive integer n .

Proof. Let S be a closed, connected syndetic subgroup of T . Then T/S is a compact manifold on which T operates transitively. The stability group at the point $\{S\}$ of T/S is S itself. Hence by [10] there exists a compact subgroup C of T which operates transitively on T/S . By hypothesis $C = e$. Hence $S = T$.

THEOREM 3. *Let (X, T) be a minimal set with compact Hausdorff locally pathwise connected phase space X and syndetically simple Lie phase group T ; let H be a syndetic invariant subgroup of T , $x \in X$ with $x\overline{H} = K \neq X$. Then K and all its components are global sections of (X, T) , and $\pi_1(X) \neq 0$.*

Proof. Let $S = [t \mid Kt \subset K]$. Then S is a closed, proper, syndetic subgroup of T , and K is a global section of (X, T) with respect to S as in Corollary 2 because K is minimal under H [9]. Now K is locally pathwise connected. Hence K has only finitely many components, because it is compact. Let L be a component of K and $G = [s \mid s \in S \text{ and } Ls \subset L]$. Then G is a closed syndetic subgroup of S . Thus G is a syndetic subgroup of T .

Let $l \in L$, $t \in T$ with $lt \in L$. Then $Kt = \overline{H}t = \overline{H}t = \overline{ltH} = \overline{LH} \subset K$. Hence $t \in S$. Since $Lt \cap L \neq \emptyset$ and L is a component of K , $Lt \subset L$; i.e., $t \in G$. The proof is now completed as in Corollary 2.

Remark 4. Let (X, T) be a minimal set with compact Hausdorff locally pathwise connected phase space X and syndetically simple Lie group T . Then we may paraphrase the conclusion of Theorem 3 by saying that a sufficient condition for the existence of a global section is that (X, T) not be totally minimal [9].

Let T be abelian and E the equicontinuous structure relation [8]. Then X/E is a topological group called the structure group of (X, T) [8]. Then (X, T) is totally minimal if and only if $X/E \neq [e]$.

Suppose further that $E = P$, the proximal relation [8]. Then $E \neq X \times X$ because $(x, xt) \notin P$ if $t \neq e$. Thus in this case $X/E \neq [e]$, and (X, T) admits a section. For conditions under which $E = P$ see [3].

DEFINITION 5. Theorem 1 shows that if K is a global section of (X, T) with respect to G , then G acts on K . Let (G, K) and (X, T) be transformation groups. Then (G, K) is a *global section* of (X, T) if there exists a subset L of X such that L is a global section of (X, T) with respect to G and (G, K) is isomorphic to (G, L) where (G, L) is the transformation group determined in Theorem 1.

THEOREM 4. *Let (G, K) be a transformation group with phase group G and phase space K ; let T be a topological group and f a continuous function from $G \times K$ to T such that*

- (1) $f(g_1 g_2, k) = f(g_1, g_2 k)f(g_2, k) \quad (g_1, g_2 \in G, k \in K)$,
- (2) *if $g_\alpha k_\alpha \rightarrow k$ and $f(g_\alpha, k_\alpha) \rightarrow e$, then $k_\alpha \rightarrow k$ (where (g_α) and (k_α) are nets of elements in G and K respectively and $k \in K$).*

Then there exists a transformation group (X, T) of which (G, K) is a global section.

Proof. Set $g(k, t) = (gk, f(g, k)t) \quad (g \in G, k \in K, t \in T)$. Then condition (1) ensures that $(G, K \times T, T)$ is a bitransformation group.

Let F be the canonical map of $K \times T$ onto $(K \times T)/S$. Set $X = (K \times T)/S$ and $L = F(K \times e)$. If $F(k, e) = F(l, e)$ for some

$k, l \in K$, then $gk = l$ and $f(g, k) = e$ for some $g \in G$. Condition (2) then implies that $k = l$. Hence the map $k \rightarrow F(k, e)$ is a bijective continuous map of K onto L . Now let $F(k_\alpha, e) \rightarrow F(k, e)$ where (k_α) is a net of elements of K and $k \in K$. Then condition (2) implies that $k_\alpha \rightarrow k$. Hence K is homeomorphic to L .

Now set $gl = F(gk, e)$ and $h(g, l) = f(g, k)$ ($g \in G, l \in L$), where $l = F(k, e)$. Then (G, K) is isomorphic to (G, L) , and Theorem 1 shows that L is a global section of (X, T) with respect to G .

We will identify K and L .

Remark 5. As with Theorem 1 we are mainly interested in the case where G is a subgroup of T . In this case the function $f(g, k) = g$ ($g \in G, k \in K$) defines a transformation group called the *canonical transformation group built on (G, K) and T* .

THEOREM 5. *Let (S, K) be a transformation group with Hausdorff phase space K and phase group S , which is a closed syndetic subgroup of the topological group T . Then the canonical transformation group (X, T) built on (S, K) and T has a Hausdorff phase space, X . If K is compact, then so is X .*

Proof. Let F be the canonical map of $K \times T$ onto X , $(k_\alpha), (t_\alpha)$ nets of elements of K and T respectively such that

$$F(k_\alpha, t_\alpha) \rightarrow F(k, t) \quad \text{and} \quad F(k_\alpha, t_\alpha) \rightarrow F(l, r)$$

for some $k, l \in K, t, r \in T$. Then we may assume (taking subnets if necessary) that there exist nets $(s_\alpha), (p_\alpha)$ of elements of S such that

$$s_\alpha(k_\alpha, t_\alpha) \rightarrow (k, t) \quad \text{and} \quad p_\alpha(k_\alpha, t_\alpha) \rightarrow (l, r).$$

Then $s_\alpha t_\alpha \rightarrow t$ and $p_\alpha t_\alpha \rightarrow r$ imply that $p_\alpha s_\alpha^{-1} \rightarrow rt^{-1}$. Then $rt^{-1} \in S$, and $rt^{-1}k = \lim p_\alpha s_\alpha^{-1} s_\alpha k_\alpha = \lim p_\alpha k_\alpha = l$ (since K is Hausdorff). Hence $rt^{-1}(k, t) = (l, r)$, whence $F(k, t) = F(l, r)$ and X is Hausdorff.

Let K be compact. Let M be a compact subset of T such that $T = SM$. Let $t \in T$ and $k \in K$. Then $t = sm$ for some $s \in S, m \in M$, and $f(k, t) = F(s^{-1}k, m)$. Thus $X = F(K \times T) = F(K \times M)$ is compact.

Remark 6. Under the conditions stated in Remark 1 or in [12, Theorem 1] the global section therein obtained is related to the canonical section built on K in the following manner.

Let $g(k, t) = (n + 1 - t)f(-n, k) + (t - n)f(-n - 1, k)$ where $k \in K, t \in R$ with $n \leq t \leq n + 1$ and

$$[f(-n - 1, k) - f(-n, k)]h(k, r)$$

$$= (r + f(-n, k))(n + 1) - (r + f(-n - 1, k))n$$

($k \in K, r \in R$ with $-f(-n - 1, k) \leq r \leq -f(-n, k)$). Then h is well defined because in the case under consideration the maps $n \rightarrow f(n, k)$ of Z into R are strictly decreasing functions ($k \in K$) such that $f(n, k) \rightarrow \pm \infty$ as $n \rightarrow \mp \infty$ ($k \in K$).

Then the map F of $K \times R$ into $K \times R$ such that $F(k, t) = (k, -g(k, t))$ ($k \in K, t \in R$) is a homeomorphism onto, its inverse being the map

$$(k, t) \rightarrow (k, -h(k, t)) \quad (k \in K, t \in R).$$

Let $(Z, K \times R)_1 [(Z, K \times R)_2]$ be the transformation group with phase space $K \times R$ and phase group Z where the action of Z is given by

$$n(k, t) = (nk, n + t) \quad [n(k, t) = (nk, f(n, k) + t)] \quad (n \in Z, k \in K, t \in R).$$

Let $k \in K, t \in R, m \in Z$. Let $n \leq t \leq n + 1$ for some $n \in Z$. Then

$$f(mk, m + t) = (mk, -g(mk, m + t)).$$

Now

$$g(mk, m + t) = (n + 1 - t)f(-n - m, mk) + (t - n)f(-n - m - 1, mk)$$

because $n + m \leq m + t \leq n + m + 1$. Furthermore

$$f(-n - m, mk) = f(-n, mk) + f(-m, mk)$$

and

$$f(-n - m - 1, mk) = f(-n - 1, mk) + f(-m, mk)$$

by relation (1) of Theorem 1. Also $f(-m, mk) + f(m, k) = f(0, k) = 0$. Hence

$$F(mk, m + t) = (mk, f(m, k) - g(k, t)).$$

Thus F is an isomorphism of the transformation group $(Z, K \times R)_1$ onto $(Z, K \times R)_2$ and therefore induces a homeomorphism of the canonical transformation group built on (Z, K) and R onto the original transformation group (X, R) . Hence, when only topological considerations are involved, we may assume that the transformation group (X, R) is the canonical one built on (Z, K) .

In what follows we adhere to the notation of [2]; the coefficient group for cohomology is arbitrary. For additional information concerning the concepts involved see [6].

In the remainder of this paper all the phase spaces involved are assumed to be locally compact Hausdorff.

DEFINITION 6. Let (G, Y, T) be a bitransformation group. Then (G, Y, T) is *locally n -coherent* if given $y \in Y$ there exists an open neighborhood V of y such that

$$(*) \quad \begin{array}{ccc} & & H_c^n(V) \\ & \nearrow & \uparrow \\ H_c^n(V \cap gVt) & & g^* \uparrow t^* \\ & \searrow & \downarrow \\ & & H_c^n(gVt) \end{array}$$

is commutative for all $g \in G$ and $t \in T$. A diagram such as $(*)$ will be denoted $(V \cap gVT, V, gVT)$.

THEOREM 6. *Let (G, Y, T) be a bitransformation group such that Y/G is locally compact Hausdorff, $\dim Y \leq n$, and the canonical map F of Y onto Y/G is a local homeomorphism. Then (G, Y, T) is locally n -coherent if and only if $(Y/G, T)$ is locally n -coherent.*

Proof. Assume $(Y/G, T)$ locally n -coherent. Let $y \in Y$. Pick N an open neighborhood of y such that F is a homeomorphism of N onto $F(N) = U$ where U is an n -coherent open subset of Y/G . Let $g \in G, t \in T$. Then F maps $N \cap gNt$ homeomorphically onto an open subset W of $U \cap Ut$. Consider the commutative diagram

$$\begin{CD} H_c^n(W) @>t^*>> H_c^n(U) @<<H_c^n(W) \\ @V{F^*}VV @V{F^*}VV @V{F^*}VV @V{F^*}VV \\ H_c^n(N \cap gNt) @>{g^*, t^*}>> H_c^n(gNt) @<<H_c^n(N) @<<H_c^n(N \cap gNt), \end{CD}$$

and let $u \in H_c^n(N \cap gNt)$. Then there exists $v \in H_c^n(W)$ with $F^*v = u$. Now $(v | Ut)t^* = v | U$ since U is n -coherent. Thus

$$\begin{aligned} g^*(u | gNt)t^* &= g^*(F^*v | gNt)t^* = g^*(F^*(v | Ut))t^* \\ &= F^*((v | Ut)t^*) = F^*(v | U) = F^*v | N = u | N. \end{aligned}$$

Thus $(N \cap gNt, N, gNt)$ is commutative.

Now assume that (G, Y, T) is locally n -coherent. Let N and U be as above except that now N is n -coherent. I must show that this implies that U is n -coherent.

Let $t \in T$. For $g \in G$ set $W_g = F(N \cap gNt)$. Let $v \in H_c^n(W_g)$. Then $F^*v = u \in H_c^n(N \cap gNt)$. By assumption $g^*(u | gNt)t^* = u | N$. Then $F^*((v | Ut)t^*) = F^*(v | U)$, whence

$$(v | Ut)t^* = v | U.$$

Thus (W_g, U, Ut) is commutative for all $g \in G$. Since $\dim Y \leq n$, a simple inductive argument then shows that $(\cup[W_g | g \in A], U, Ut)$ is commutative for all finite subsets A of G . Since

$$H_c^n(\cup[W_g | g \in G]) = \text{ind lim } H_c^n(\cup[W_g | g \in A])$$

where ind lim is taken over the finite subsets of G , $(\cup[W_g | g \in G], U, Ut)$ is commutative. Now $\cup[W_g | g \in G] = U \cap Ut$. The proof is completed.

THEOREM 7. *Let (Z, K) be a transformation group with locally compact Hausdorff phase space K with $\dim K \leq n - 1$; let (X, R) be the canonical transformation group built on (Z, K) and R . Then X is locally compact Hausdorff, $\dim X \leq n$, and (X, R) is locally n -coherent if and only if (Z, K) is locally $(n - 1)$ -coherent.*

Proof. Let F be the canonical map of $K \times R$ onto X . If we identify

K with $F(K)$, then Z and K satisfy the assumptions of Theorem 2. Hence F is a local homeomorphism, and X is locally compact Hausdorff by Theorem 5.

Let V be an open subset of K , J an open interval of R , $n \in Z$, and $r \in R$. Then

$$(V \times J) \cap n(V \times J)r = (V \cap nV) \times J \cap (n + r + J)$$

and

$$H_c^n(V \times J) = H_c^{n-1}(V) \otimes H_c^1(J),$$

with this latter isomorphism commuting with maps, show that $(Z, K \times R, R)$ is locally n -coherent if and only if (Z, K) is locally $(n - 1)$ -coherent. Theorem 7 now follows from Theorem 6.

COROLLARY 1. *Let (X, R) be minimal and locally n -coherent where X is compact Hausdorff with $\dim X = n$; let K be a closed global section of (X, R) with respect to Z , such that φ is a local homeomorphism. Then $H_c^{n-1}(X) \neq 0$.*

Proof. By [6], X is locally connected; hence so is K . Since each component of K yields a global section with the desired properties [12], we may assume K connected.

Let $(Z, K \times R, R)$ be the bitransformation group where $n(k, r) = (nk, n + r)$ ($n \in Z, k \in K, r \in R$), and let $Y = (K \times R)/Z$. Then Y is homeomorphic to X (Remark 5), and $lr \in L$ with $l \in L$ and $r \in R$ implies $r \in Z$, where L is the image of $K \times 0$ under the canonical map.

Let U be an open connected subset of X . Then the canonical map

$$H_c^n(U) \rightarrow H_c^n(X)$$

is an isomorphism onto, and $H_c^n(X) \neq 0$ [6]. Let $J = (-\frac{1}{4}, \frac{1}{4})$. Then $K \times J$ is homeomorphic to LJ , an open connected subset of Y . Hence $0 \neq H_c^{n-1}(K) = H_c^{n-1}(L)$. Then $Y - L = L \cdot (0, 1)$ is connected. The exactness of the sequence

$$H_c^{n-1}(Y) \rightarrow H_c^{n-1}(L) \rightarrow H_c^n(Y - L) \xrightarrow{j} H_c^n(Y)$$

together with the facts that j is an isomorphism and $H_c^{n-1}(L) \neq 0$ shows that $H_c^{n-1}(Y) \neq 0$. The proof is completed.

COROLLARY 2. *Let (Z, K) be minimal, where K is a compact connected $(n - 1)$ -dimensional manifold; let (X, R) be the canonical transformation group built on (Z, K) and R . Then X is a compact n -dimensional manifold, (X, R) is minimal, and X is orientable if and only if K is orientable and the map $k \rightarrow 1k$ ($k \in K$) of K into K is orientation-preserving.*

Proof. Theorem 5 implies that X is compact Hausdorff. Since φ is a local homeomorphism, X is an n -dimensional manifold.

If we consider K as a subset of X , it is a global section of (X, R) with respect to Z . Let $x \in X$. Then $xr \in K$ for some $r \in R$. Hence $K \subset \overline{xR}$. Thus $X = KR \subset \overline{xR}$ and (X, R) is minimal.

The manifold X is orientable if and only if X is locally n -coherent [6]. By Theorem 7, X is locally n -coherent if and only if K is locally $(n - 1)$ -coherent. Finally K is locally $(n - 1)$ -coherent if and only if K is orientable and Z acts trivially on $H_c^{n-1}(K)$ [6]. The proof is completed.

The remainder of this article is devoted to the construction of a compact connected nonorientable manifold M and a homeomorphism f of M onto M such that (f, M) is minimal. This result together with Corollary 2 above allows one to construct a nonorientable manifold N together with an action of R on N such that (N, R) is minimal.

The following notations will be used throughout the remainder of the paper: (Z, K) is a minimal, distal [9] transformation group such that X is infinite and compact Hausdorff. This implies in particular that if $nx = x$ for some $n \in Z$ and $x \in X$, then $n = 0$.

G will denote the topological group whose underlying space is $R \times C$ and $(r, \alpha)(s, \beta) = (r + s, \alpha + e^{i\pi r}\beta)$ ($r, s \in R, \alpha, \beta \in C$) where C is the additive group of complex numbers.

LEMMA 1. Let $H = [(m, n + ir) \mid m, n \in Z, r \in R]$. Then H is a closed subgroup of G and $G/H = [Hg \mid g \in G]$ is homeomorphic to the Klein bottle.

Proof. One verifies directly that H is a closed subgroup of G . Let F be the canonical map of G onto G/H , and let

$$D = [(x, y + i0) \mid x, y \in R, 0 \leq x, y \leq 1].$$

Then D is a closed subset of G , and F maps D onto G/H . An examination of the equivalence relation induced by F and G/H on D shows that G/H is indeed homeomorphic to the Klein bottle.

LEMMA 2. The transformation group $(G/H, G)$ is distal.

Proof. Let $Hag_n \rightarrow Hc$ and $Hbg_n \rightarrow Hc$ where $a, b, c, g_n \in G$. Then there exist sequences $(h_n), (l_n)$ in H with $h_n ag_n \rightarrow c$ and $l_n bg_n \rightarrow c$. Then $h_n ab^{-1}l_n^{-1} \rightarrow e$. Let

$$h_n = (p_n, q_n + ir_n)l_n^{-1} = (j_n, k_n + is_n), \quad ab^{-1} = (t, u + iv)$$

where $p_n, q_n, j_n, k_n \in Z, s_n, r_n, t, u, v \in R$. Then

$$h_n ab^{-1}l_n$$

$$= (p_n + t + j_n, q_n + ir_n + (u + iv) \exp(\pi i p_n) + (k_n + is_n) \exp(\pi i(p_n + t))).$$

Since $p_n + r + j_n \rightarrow 0$ and $p_n, j_n \in Z, t \in Z$. Hence $\exp(\pi i(p_n + t)) = \pm 1$, and since

$$q_n + u \exp(\pi i p_n) + k_n \exp(\pi i(p_n + t)) \rightarrow 0 \quad \text{with } q_n,$$

$k_n \exp(\pi i(p_n + t)) \in Z, u \in Z$. Thus $ab^{-1} \in H$ and $Ha = Hb$. The proof is completed.

LEMMA 3. Let f be a continuous function from X into G , let \bar{f} be the map of $X \times G/H$ into $X \times G/H$ such that $\bar{f}(x, Ha) = (1 \cdot x, Haf(x))$ ($x \in X, a \in G$). Then \bar{f} is a homeomorphism onto, and

$$\begin{aligned} \bar{f}^n(x, Ha) &= (nx, Haf(x) \cdot f(1x) \cdots f((n-1)x))\bar{f}^{-n}(x, Ha) \\ &= ((-n)x, Ha(f(-1x))^{-1}(f(-2x))^{-1} \cdots (f(-nx))^{-1}) \end{aligned}$$

($x \in X, a \in G, n \in Z$ with $n \geq 1$).

Proof. Let $\bar{f}(x, Ha) = \bar{f}(y, Hb)$ with $x, y \in X, a, b \in G$. Then $1x = 1y$, whence $x = y$. Also $Haf(x) = Hbf(y)$ whence $Ha = Hb$. Thus \bar{f} is injective.

Let $y \in X, b \in G$. Then set $x = (-1)y$ and $a = b(f(x))^{-1}$. Then $\bar{f}(x, Ha) = (y, Hb)$. Thus f is surjective. Since

$$\bar{f}^{-1}(x, Ha) = ((-1)x, Haf(-1x)^{-1}) \quad (x \in X, a \in G),$$

f is a homeomorphism.

The remainder of Lemma 3 follows directly by induction.

Let $C(X, G)$ denote the space of continuous functions from X to G provided with the topology of uniform convergence. Let $f \in C(X, G)$. Then $(\bar{f}, X \times G/H)$ will denote the transformation group determined by the homeomorphism \bar{f} .

LEMMA 4. Let $f \in C(X, G)$. Then the transformation group $(\bar{f}, X \times G/H)$ is distal.

Proof. Let $\bar{f}^{n\alpha}(x, Ha) \rightarrow (z, Hc)$ and $\bar{f}^{n\alpha}(y, Hb) \rightarrow (z, Hc)$ for some $x, y, z \in X$ and $a, b, c \in G$. Then $n_\alpha x \rightarrow z$ and $n_\alpha y \rightarrow z$. Since (Z, X) is distal, $x = y$. Then $HaF^{n\alpha}(x) \rightarrow Hc$ and $HbF^{n\alpha}(x) \rightarrow Hc$ where $F^{n\alpha}(x)$ is the appropriate element of G given by Lemma 3. Hence $Ha = Hb$ by Lemma 2. The proof is completed.

For the remainder of the paper x_0 will denote a fixed element of X . Let $f \in C(X, G)$. Then $0(f)$ will denote the set $[\bar{f}^n(x_0, H) \mid n \in Z]$.

LEMMA 5. Let U be open in X, V open in G/H , and let

$$A(U, V) = [f \mid f \in C(X, G) \text{ with } 0(f) \cap U \times V \neq \emptyset].$$

Then $A(U, V)$ is an open subset of $C(X, G)$.

Proof. Let $f \in A(U, V)$. Then there exists $n \in Z$ with $\bar{f}^n(x_0, H) \in U \times V$; i.e. $n x_0 \in U$ and $H F^n(x_0) \in V$ where $F^n(x_0)$ is given by Lemma 3. If $p \in C(X, G)$ is close to f , then $P^n(x_0)$, the corresponding product for p , is close to $F^n(x_0)$ for fixed n . Hence $p \in A(U, V)$ if p is close to f .

The following lemmas are aimed at proving that $A(U, V)$ is dense in $C(X, G)$.

Let $a, b, \epsilon \in R$ with $a > 0 < b$. Then

$$I(r, a) = [t \mid t \in R, |r - t| < a],$$

$$\text{Sq}(\alpha, a) = [\gamma \mid \gamma \in C, \gamma = \gamma_1 + i\gamma_2 \text{ and } |\alpha_j - \gamma_j| < a, j = 1, 2]$$

where $\alpha = \alpha_1 + i\alpha_2$,

$$S(\alpha, a) = [\gamma \mid \gamma \in C, |\alpha - \gamma| < a],$$

$$D(r, \alpha; a, b) = I(r, a) \times \text{Sq}(\alpha, b),$$

$$L(r, \alpha; a, b) = I(r, a) \times S(\alpha, b).$$

The proof of the following lemma is straightforward and is omitted.

- LEMMA 6. 1. $I(r, a) + I(s, b) \supset I(r + s, a + b)$,
 2. $\text{Sq}(\alpha, a) + \text{Sq}(\beta, b) \supset \text{Sq}(\alpha + \beta, a + b)$,
 3. $\text{Sq}(\alpha, a) \supset S(\alpha, a)$,
 4. $S(\alpha, a) \supset \text{Sq}(\alpha, a/\sqrt{2})$,
 5. $D(r, \alpha; a, b) \supset L(r, \alpha; a, b) \supset D(r, \alpha; a, b/\sqrt{2})$ where $r, s, a, b \in R$, $\alpha, \beta \in C$, and $a > 0 < b$.

LEMMA 7. Let $t, s, a, b \in R, \alpha \in C, a, b > 0$. Then

1. $(t, 0)L(s, \alpha; a, b) = L(t + s, \alpha e^{\pi it}; a, b)$, and
2. $L(s, \alpha; a, b)(t, 0) = L(t + s, \alpha; a, b)$.

Proof. 1. Let $r \in R, \beta \in C$, and let $(r, \beta) \in L(s, \alpha; a, b)$. Then $|r - s| < a$ and $|\alpha - \beta| < b$. Also $(t, 0)(r, \beta) = (t + r, e^{\pi it}\beta)$. Hence $|t + s - (t + r)| < a$ and $|\alpha e^{\pi it} - e^{\pi it}\beta| = |\alpha - \beta| < b$.

Now suppose $(r, \beta) \in L(t + s, \alpha e^{\pi it}; a, b)$. Then $|(r - t) - s| < a$, and $|\beta e^{-\pi it} - \alpha| < b$. Hence $(r - t, \beta e^{-\pi it}) \in L(s, \alpha; a, b)$ and

$$(t, 0)(r - t, \beta e^{-\pi it}) = (r, \beta).$$

Statement 2 is proved similarly.

LEMMA 8. Let $t, s, a, b, c \in R$ with $a, b, c > 0$, and let $\alpha, \beta \in C$. Then

1. $D(0, \beta; a, c) \cdot D(t, \alpha; a, b) \supset D(t, \alpha + \beta; a, b + c)$.
2. $L(s, \beta; a, b) \cdot L(t, \alpha; a, b) \supset L(t + s, \alpha e^{\pi is} + \beta; a, \sqrt{2} b)$.

Proof. 1. Let $r \in R, \gamma \in C$ with $(r, \gamma) \in D(t, \alpha + \beta; a, b + c)$. Then $r \in I(t, a)$ and $\gamma \in \text{Sq}(\alpha + \beta; b + c)$. By Lemma 6,

$$\gamma = \gamma_1 + \gamma_2 \text{ with } \gamma_1 \in \text{Sq}(\alpha, b), \gamma_2 \in \text{Sq}(\beta, c).$$

Then $(0, \gamma_2) \in D(0, \beta; a, c)$ and $(r, \gamma_1) \in D(t, \alpha; a, b)$, and

$$(0, \gamma_2)(r, \gamma_1) = (r, \gamma_1 + \gamma_2).$$

2. Set $L_1 = L(s, \beta; a, b), L_2 = L(t, \alpha; a, b)$. Then

$$L_1 = (s, 0) \cdot L(0, \beta e^{-\pi is}; a, b)$$

by Lemma 7. Thus $L_1 \supset (s, 0) \cdot D(0, \beta e^{-\pi is}; a, b/\sqrt{2})$ by Lemma 6. Hence

$$L_1 \cdot L_2 \supset (s, 0)D(0, \beta e^{-\pi is}; a, b/\sqrt{2})D(t, \alpha; a, b/\sqrt{2})$$

$$\supset (s, 0)D(t, \alpha + \beta e^{-\pi is}; a, \sqrt{2} b) \supset (s, 0)L(t, \alpha + \beta e^{-\pi is}; a, \sqrt{2} b)$$

$$= L(s + t, \alpha e^{\pi is} + \beta; a, \sqrt{2} b)$$

by 1 above and Lemma 6.

Statement 2 and induction on n yield the following.

COROLLARY 1. *Let $L_j = L(t_j, \alpha_j; a, b), j = 1, \dots, 2^n, L = L_1 \cdot L_2 \cdots L_{2^n}$. Then $L \supset L(\sum t_j, \alpha; a, (\sqrt{2})^n b)$ where $t_j, a, b \in R$ with $a > 0 < b$ and $\alpha_j, \alpha \in C, j = 1, \dots, 2^n$.*

LEMMA 9. *Let $b > 1, a > 0, t \in R, \alpha \in C$. Then $(n + t, \gamma) \in HL(t, \alpha; a, b)$ for all $n \in Z, \gamma \in C$.*

Proof. Let $v + iu = \gamma - e^{-\pi in} \alpha$ and $v = m + y$ with $m \in Z$ and $|y| < 1$. Set $\delta = e^{-\pi in} y + \alpha$. Then $(t, \delta) \in L(t, \alpha; a, b), (n, m + iu) \in H$, and $(n, m + iu)(t, \delta) = (n + t, m + iu + e^{\pi in} \delta)$

$$= (n + t, m + y + iu + e^{\pi in} \alpha) = (n + t, \gamma).$$

LEMMA 10. *Let $L_j = L(t_j, \alpha_j; a, b), t_j, a, b \in R, a > 0 < b, \alpha_j \in C, j = 1, \dots$; let $na > 1$ and $(\sqrt{2})^k b > 1$. Then $G = H \cdot L_1 \cdots L_p$ if $p > n + 2^k$.*

Proof. Let $(r, \gamma) \in G$. Set $t = \sum_{j=1}^{p-n-1} t_j$. Then

$$L_1 \cdots L_{p-n-1} \supset L(t, \alpha; a, c)$$

where $\alpha \in C$ and $c > 1$ by the corollary to Lemma 8.

Since $na > 1$, there exists $(s, \delta) \in L_{p-n} \cdots L_p$ such that $s + t = r + m$ with $m \in Z$ (use 1 of Lemma 6). Let $\beta = \gamma - e^{\pi i(-m)} \delta$. Then

$$(t - m, \beta) \in HL(t, \alpha; a, c)$$

by Lemma 9. Finally $(r, \gamma) = (t - m, \beta)(s, \delta) \in HL_1 \cdots L_p$.

LEMMA 11. *Let U be open in X, V open in G/H ,*

$$A(U, V) = [f \mid 0(f) \cap U \times V \neq \emptyset].$$

Then $A(U, V)$ is dense in $C(X, G)$.

Proof. Let $f \in C(X, G)$ and $\varepsilon > 0$. We must find $u \in C(X, G)$ with $u \in A(U, V)$ and $d(u(x), f(x)) < \varepsilon (x \in X)$.

Since X is compact, so is $f(X)$. Hence there exist finitely many $t_j \in R, \alpha_j \in C, j = 1, \dots, n$ and $a > 0 < b$ such that $\bigcup_{j=1}^n L(t_j, \alpha_j; a, b) \supset f(X)$ and such that the diameter of $L(t_j, \alpha_j; a, b) < \varepsilon/2$.

Let $ma > 1$ and $(\sqrt{2})^k b > 1$. Since (Z, X) is minimal, there exists $p \in Z$ with $p - 1 > m + 2^k$ and $px_0 \in U$.

Let L_j be that one of the above L 's which contains $f(jx_0), j = 0, \dots, p - 1$. Then $HL_0 \cdots L_{p-1} = G$ by Lemma 10. Since G acts transitively on G/H , there exists $g \in G$ with $Hg \in V$. Let $l_j \in L_j, j = 0, \dots, p - 1$ with $l_0 \cdots l_{p-1} \in Hg$. Then since the points $jx_0, j = 0, \dots, p - 1$ are all distinct, there exists $u \in C(X, G)$ with $d(u(x), f(x)) < \varepsilon (x \in X)$ and $u(jx) = l_j, j = 0, \dots, p - 1$. Then

$$\bar{u}^p(x_0, H) = (px_0, Hu(x)u(1x) \cdots u((p - 1)x)) = (px_0, Hg) \in U \times V;$$

i.e., $u \in A(U, V)$.

Now suppose further that X is second countable. Then there exists $f \in \cap [A(U, V) \mid \text{where } U \text{ runs over a base for } X \text{ and } V \text{ runs over a base for } G]$. This implies that the orbit of (x_0, H) under the group generated by \bar{f} is dense. Since $(\bar{f}, X \times G/H)$ is distal, every orbit is dense [7], i.e., $(\bar{f}, X \times G/H)$ is minimal.

If we take X to be the circle group and $1 \cdot x$ to be a rotation of x through one radian ($x \in X$), X satisfies all the conditions imposed. In this way we have produced a compact connected nonorientable manifold $X \times G/H$ and a homeomorphism \bar{f} of $X \times G/H$ onto itself such that $(\bar{f}, X \times G/H)$ is minimal and distal.

Remark 7. If X is taken to be the circle and $1 \cdot x$ to be a rotation of x through one radian ($x \in X$), the resulting phase space of the canonical transformation group built on $(\bar{f}, X \times G/H)$ is homeomorphic to the cartesian product of the torus with the Klein bottle.

Proof. It suffices to show that \bar{f} is isotopic to the identity. To this end identify X with the set of complex numbers of modulus 1. Then the map $x \rightarrow 1 \cdot x$ is just $x \rightarrow e^i \cdot x$ ($x \in X$) (complex multiplication).

Let $F(x, Ha, t) = (e^{it}x, Ha(tfx))$ ($x \in X, a \in G, t \in [0, 1]$). Then F is the desired isotopy.

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