

# CO-EQUALIZERS AND FUNCTORS

BY  
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## 0. Introduction

If  $X$  and  $Y$  are objects of a category  $\mathbf{C}$ , let  $|X, Y|$  denote their associated morphism set. Similarly if  $S$  and  $T$  are functors let  $|S, T|$  denote the class (not necessarily a set) of natural transformations from  $S$  to  $T$ . Unless otherwise stated all functors will be assumed to be covariant. Let  $R : \mathbf{V} \rightarrow \mathbf{W}$  be a functor. Then  $X \in \mathbf{V}$  is a (*left*)  $R$ -object if for every  $Y \in \mathbf{V}$  the mapping function

$$R : |X, Y| \rightarrow |RX, RY|$$

is a bijection.<sup>1</sup> We shall find in various circumstances certain conditions some necessary others sufficient for  $X$  to be an  $R$ -object. It is clear that such information could be of interest, however our objective is to consider the case  $\mathbf{V} = \mathbf{V}(\mathbf{C}, \mathbf{D})$  a subcategory of the functor category  $(\mathbf{C}, \mathbf{D})$  and  $\mathbf{W} = \mathbf{W}(\mathbf{A}, \mathbf{D})$  a subcategory of  $(\mathbf{A}, \mathbf{D})$  in which  $R : \mathbf{V} \rightarrow \mathbf{W}$  is induced by a functor  $J : \mathbf{A} \rightarrow \mathbf{C}$ . Then to say that  $S \in \mathbf{V}$  is an  $R$ -object means that for every  $T \in \mathbf{V}$  and every  $u' \in |SJ, TJ|$  there exists a unique  $u \in |S, T|$  such that  $uJ = u'$ . The situation described arises frequently in connection with "uniqueness theorems". Thus to cite one celebrated example, if  $\mathbf{V}$  is the category of homology theories on the category  $\mathbf{C}$  of triangulable pairs and pair maps and if  $J$  is the functor which injects the subcategory "generated by" a single point then Eilenberg and Steenrod proved [3] that each homology theory  $S$  is an  $R$ -object in  $\mathbf{V}$ .

In this paper we shall be chiefly concerned with the case  $\mathbf{A} = \mathbf{X}$ , the subcategory of  $\mathbf{C}$  consisting of a single object  $X$  and its  $\mathbf{C}$ -endomorphisms,  $J$  being the injection functor and we shall describe an  $R$ -object  $S \in \mathbf{V}$  as an  $X$ -functor in  $\mathbf{V}$ . It follows that the  $X$ -functors are determined (up to natural equivalence in  $\mathbf{V}$ ) by their action on  $\mathbf{X}$ .

In general our basic assumption is that there exists a functor  $L : \mathbf{W} \rightarrow \mathbf{V}$  and a natural transformation  $\alpha : LR \rightarrow 1$ .  $L$  is sometimes (but not always) a left adjoint of  $R$  and then we find:

**THEOREM 0.1.** *If  $L$  is a left adjoint of  $R$  then  $X$  is an  $R$ -object if and only if  $\alpha X \in |LRX, X|$  is an isomorphism.*

One case in which 0.1 is involved is the following. Let  $\mathbf{M} = \mathbf{M}_\Delta$  denote the

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<sup>1</sup>  $X$  is an  $R$ -object if and only if  $(X, i_{RX})$  is free over  $RX$  with respect to  $R$  in the sense of A. Frei, *Freie Objekte und multiplikative structuren*, Math. Zeitschrift, vol. 93 (1966), pp. 109-141. There is some overlap in Section 1 with Frei's results. In particular Theorem 0.1 as stated is essentially not new. (See however Remark 1.1.) Our applications are quite different.

category of modules over a commutative ring  $\Lambda$  with unit. Let  $\mathbf{V} = \mathbf{V}(\mathbf{M}, \mathbf{M})$  denote the subcategory of  $\Lambda$ -linear functors and for a given  $X \in \mathbf{M}$  let the objects of  $\mathbf{W}$  be the  $\Lambda$ -linear functors from  $\mathbf{X}$  to  $\mathbf{M}_\Lambda$ . If  $G \in \mathbf{W}$ , set

$$LG = \text{Hom}_\Lambda(X, -) \otimes_\Lambda GX.$$

Then

$$\alpha SY \in |\text{Hom}_\Lambda(X, Y) \otimes_\Lambda SX, SY|$$

may be defined by lifting the evaluation of the mapping function of  $S$ . It turns out that  $L$  is a left adjoint of  $R$  and we shall prove

**THEOREM 0.2.**  *$S$  is an  $X$ -functor in  $\mathbf{V}$  if and only if  $S$  is naturally equivalent to  $\text{Hom}_\Lambda(X, -) \otimes_\Lambda N$  for some  $\Lambda$ -module  $N$ .*

0.2. does not destroy the interest in  $X$ -functors: one would still wish to find a suitable  $X$  for a given  $S$ . For example we shall prove that  $\text{Ext}^n(C, -)$  is a  $K_n$ -functor if

$$0 \rightarrow K_n \rightarrow P_1 \rightarrow P_2 \rightarrow \cdots \rightarrow P_n \rightarrow C \rightarrow 0$$

is an exact sequence such that  $P_i$  is projective ( $1 \leq i \leq n$ ).

A result similar to 0.2 is available in the category  $\mathbf{T}$  of topological spaces and maps, but in the category  $\mathbf{Tb}$  of based spaces and based maps the analogue of  $L$  is not a left adjoint of  $R$ . What is to hand is a natural transformation  $e: RL \rightarrow 1$  such that

$$(0.3) \quad eR = R\alpha \in |RLR, R|$$

and we still have a commutative diagram

$$\begin{array}{ccc} LRLR & \xrightarrow{LR\alpha} & LR \\ \downarrow \alpha LR & & \downarrow \alpha \\ LR & \xrightarrow{\alpha} & 1. \end{array}$$

It now becomes important to consider co-equalizers of  $LR\alpha X$  and  $\alpha LRX$ . We shall prove (in general)

**THEOREM 0.4.** *If  $\alpha X$  is a co-equalizer of  $LR\alpha X$  and  $\alpha LRX$  and if  $R\alpha X$  is epic then  $X$  is an  $R$ -object. If  $X$  is an  $R$ -object, if  $R\alpha X$  is a co-equalizer of  $RLR\alpha X$  and  $R\alpha LRX$ , and if  $\alpha LRX$  or  $LR\alpha X$  is epic then  $\alpha X$  is a co-equalizer of  $LR\alpha X$  and  $\alpha LRX$ .*

Section 2 introduces the concept of a valuable functor for categories with a suitably enriched structure and Theorems 0.1 and 0.4 are applied. In a final section we show that the based topological product, smash, join, wedge, suspension and cone functors are all  $P$ -functors, where  $P$  is a 0-sphere (or an  $n$ -tuple of 0-spheres). I hope to consider in a subsequent paper the homotopy theory of  $P$ -functors. I am grateful to the referee for making a number of

helpful suggestions and wish also to acknowledge several interesting conversations with Kenneth Hughes.

### 1. $R[-]$ -objects

In this section will be proved Theorems 0.1 and 0.4. For details concerning co-equalizers the reader is referred to [5] and [2]. Recall  $L$  is a left adjoint of  $R$  if there exist  $\alpha \in |LR, 1|$ ,  $\beta \in |1, RL|$  such that the compositions

$$L \xrightarrow{L\beta} LRL \xrightarrow{\alpha L} L, \quad R \xrightarrow{\beta R} RLR \xrightarrow{R\alpha} R$$

are the identities  $i_L$  and  $i_R$  respectively.

*Proof of 0.1.* Suppose that  $X$  is an  $R$ -object. Then there exists a unique  $v \in |X, LRX|$  such that  $Rv = \beta RX$ . Then  $R(\alpha X \cdot v) = R\alpha X \cdot \beta RX = i_{RX} = R(i_X)$  which implies that  $\alpha X \cdot v = i_X$  and we have

$$v \cdot \alpha X = \alpha LRX \cdot LRv = \alpha LRX \cdot L\beta RX = i_{LRX},$$

as required. Conversely, suppose that  $\alpha X$  is an isomorphism and let  $u \in |RX, RY|$ . Then

$$v = \alpha Y \cdot Lu \cdot \alpha X^{-1} \in |X, Y|$$

is such that  $Rv = R\alpha Y \cdot RLu \cdot R\alpha X^{-1} = u \cdot R\alpha X \cdot R\alpha X^{-1} = u$ . Moreover for any  $w \in |X, Y|$  such that  $Rw = u$ , we have  $w \cdot \alpha X = \alpha Y \cdot LRw = \alpha Y \cdot Lu$  so that  $w = v$ .

*Remark 1.1.* We have not used the full force of the equality  $\alpha L \cdot L\beta = i_L$ . It would have sufficed to assume the existence of  $\gamma \in |R, RLR|$  such that  $R\alpha \cdot \gamma = i_R$  and  $\alpha LR \cdot L\gamma = i_{LR}$ .

*Proof of 0.4.* Suppose that  $R\alpha X$  is epic and that  $\alpha X$  is a co-equalizer of  $LR\alpha X$  and  $\alpha LRX$  and let  $u \in |RX, RY|$ . Then we have a doubly-commutative diagram

$$\begin{array}{ccc} LRLRX & \xrightarrow{LRLu} & LRLRY \\ \downarrow \begin{array}{l} \alpha LRX \\ LeRX = LR\alpha X \end{array} & & \downarrow \begin{array}{l} \alpha LRY \\ LeRY = LR\alpha Y \end{array} \\ LRX & \xrightarrow{Lu} & LRY. \end{array}$$

That is to say we have

$$LR\alpha Y \cdot LRLu = Lu \cdot LR\alpha X \quad \text{and} \quad \alpha LRY \cdot LRLu = Lu \cdot \alpha LRX.$$

Since  $\alpha Y \cdot \alpha LRY = \alpha Y \cdot LR\alpha Y$  we find easily that  $\alpha Y \cdot Lu \cdot \alpha LRX = \alpha Y \cdot Lu \cdot LR\alpha X$ . Hence there exists a unique  $w \in |X, Y|$  such that  $w \cdot \alpha X = \alpha Y \cdot Lu$ . Then we have

$$Rw \cdot R\alpha X = R\alpha Y \cdot RLu = u \cdot R\alpha X$$

which implies  $Rw = u$ . Moreover if  $Rv = u$  then

$$v \cdot \alpha X = \alpha Y \cdot LRv = \alpha Y \cdot Lu$$

so that  $v = w$ . Conversely let  $X$  be an  $R$ -object and let  $w \in |LRX, Y|$  be such that  $w \cdot \alpha LRX = w \cdot LR\alpha X$ . Then  $Rw \cdot R\alpha LRX = Rw \cdot RLR\alpha X$  and if  $R\alpha X$  is a co-equalizer of  $RLR\alpha X$  and  $R\alpha LRX$  there exists a unique  $u \in |RX, RY|$  such that  $u \cdot R\alpha X = Rw$ . Let  $v \in |X, Y|$  be the unique morphism such that  $Rv = u$ . Then

$$\begin{aligned} w \cdot \alpha LRX &= \alpha Y \cdot LRw = \alpha Y \cdot LRv \cdot LR\alpha X = \alpha Y \cdot LR\alpha Y \cdot LRLRv \\ &= \alpha Y \cdot \alpha LRY \cdot LRLRv = \alpha Y \cdot LRv \cdot \alpha LRX. \end{aligned}$$

If  $\alpha LRX$  is epic it follows that  $w = \alpha Y \cdot Lu$  and a similar calculation yields the same result if  $LR\alpha X$  is epic. Moreover if  $u' \cdot \alpha X = w$  then  $Ru' \cdot R\alpha X = Rw = Ru \cdot R\alpha X$ . Hence  $Ru' = Ru$  which implies  $u' = u$ , completing the proof.

## 2. Valuable functors

Let  $\mathbf{E}$  denote the category of sets and functions. We recall that a *concrete* category, in the sense of Kelly [4], is a category  $\mathbf{D}$  and a faithful functor from  $\mathbf{D}$  to  $\mathbf{E}$  denoted  $X \rightarrow |X|, f \rightarrow |f|$ . "Faithful" means that  $|f| = |g|$  implies that  $f = g$ . If  $\mathbf{D}$  is concrete then  $f \in |X, Y|$  is an *identification* if  $|f| : |X| \rightarrow |Y|$  is onto and if, given any function  $k : |Y| \rightarrow |Z|$  and any  $h \in |X, Z|$  such that  $|h| = k \cdot |f|$ , there exists  $g \in |Y, Z|$  such that  $|g| = k$  (and  $g \cdot f = h$ ). Note that for every object  $X$  of  $\mathbf{D}$  the identity morphism  $i_X$  is an identification.

A *concrete product*  $(\otimes, r)$  in a concrete category  $\mathbf{D}$  is a bifunctor

$$(X, Y) \rightarrow X \otimes Y, \quad (f, g) \rightarrow f \otimes g$$

and a natural transformation

$$r : |X| \times |Y| \rightarrow |X \otimes Y|$$

satisfying the condition  $|h| \cdot r = |k| \cdot r$  implies  $h = k$ . We also require that  $(\otimes, r)$  should admit natural associativity and commutativity isomorphisms  $\gamma$  and  $\tau$  compatible with the associativity and commutativity bijections  $c$  and  $t$  in  $\mathbf{E}$ . That is to say the following diagrams are commutative:

$$\begin{array}{ccc} |X| \times (|Y| \times |Z|) & \xrightarrow{c} & (|X| \times |Y|) \times |Z| \\ \downarrow |i_X| \times r & & \downarrow r \times |i_Z| \\ |X| \times |Y \otimes Z| & & |X \otimes Y| \times |Z| \\ \downarrow r & & \downarrow r \\ |X \otimes (Y \otimes Z)| & \xrightarrow{|\gamma|} & |(X \otimes Y) \otimes Z| \\ & & \begin{array}{ccc} |X| \times |Y| & \xrightarrow{t} & |Y| \times |X| \\ \downarrow r & & \downarrow r \\ |X \otimes Y| & \xrightarrow{|\tau|} & |Y \otimes X| \end{array} \end{array} \quad (2.1)$$

$(\otimes, r)$  admits sections if for all  $X, Y \in \mathbf{D}$  and all  $x \in |X|$  there exists  $\theta_x \in |Y, X \otimes Y|$  such that  $|\theta_x|(y) = r(x, y)$  ( $y \in |Y|$ ).

Let  $\mathbf{C}$  be a  $\mathbf{D}$ -category in the sense of Kelly [4, p. 21]. We recall that this means that there is a functor

$$(-, -) : \mathbf{C}^{\text{op}} \times \mathbf{C} \rightarrow \mathbf{D}$$

with the property that  $|(X, Y)| = |X, Y|$  for all  $X, Y \in \mathbf{C}$ . If  $S : \mathbf{C} \rightarrow \mathbf{D}$  is a functor let

$$E_S XY : |X, Y| \times |SX| \rightarrow |SY|$$

be the function such that

$$(2.2) \quad E_S XY(f, x) = |Sf|(x) \quad (x \in |SX|, f \in |X, Y|).$$

Let  $\mathbf{A}$  be a sub-category of  $\mathbf{C}$ .  $S$  is  $\mathbf{A}$ -valuable if for every  $X \in \mathbf{A}$  and every  $Y \in \mathbf{C}$  there exists a (necessarily unique) morphism

$$e_S XY \in |(X, Y) \otimes SX, SY|$$

such that

$$(2.3) \quad |e_S XY| \cdot r = E_S XY.$$

$S$  is  $\mathbf{X}$ -constructive if  $e_S XX$  is an identification. We denote by  $\mathbf{V}$  the full sub-category of  $(\mathbf{C}, \mathbf{D})$  whose objects are  $\mathbf{A}$ -valuable functors.

For the remainder of this section let  $X$  be a fixed object of  $\mathbf{A}$  and let  $\Omega$  denote the functor  $(X, -) : \mathbf{C} \rightarrow \mathbf{D}$ . Notice that

$$E_\Omega ZY : |Z, Y| \times |X, Z| \rightarrow |X, Y|$$

is simply the composition function. It will be assumed that  $\Omega$  is  $\mathbf{A}$ -valuable. Now let  $\mathbf{W}$  be the category of *valuable*  $X$ -germs: that is to say the full sub-category of  $(\mathbf{X}, \mathbf{D})$  whose objects are  $\mathbf{X}$ -valuable functors. Let  $R : \mathbf{V} \rightarrow \mathbf{W}$  be defined by restriction. If  $G \in \mathbf{W}$ , let

$$LG = (X, -) \otimes GX : \mathbf{C} \rightarrow \mathbf{D}$$

and set  $\alpha SY = e_S XY$ . We have

**THEOREM 2.4.** *If  $r$  is surjective or if  $(\otimes, r)$  admits sections then  $L$  is a functor from  $\mathbf{W}$  to  $\mathbf{V}$  and  $\alpha : LR \rightarrow 1$  is a natural transformation. Moreover if indeed  $(\otimes, r)$  admits sections,  $L$  is a left adjoint of  $R$ .*

*Proof.* To see that  $LG \in \mathbf{V}$ , set

$$e_{LG} ZY = (e_\Omega ZY \otimes i_{GX}) \cdot \gamma.$$

Then if  $g \in |Z, Y|$ ,  $f \in |X, Z|$  and  $x \in |GX|$  we have

$$|e_{LG} ZY| \cdot r(g, r(f, x)) = (|e_\Omega ZY| \cdot r(g, f), x) = r(g \cdot f, x),$$

while

$$E_{LG} ZY(g, r(f, x)) = |\Omega g \otimes i_{GX}| \cdot r(f, x) = r(g \cdot f, x).$$

Hence 2.3 is satisfied if  $r$  is surjective. On the other hand if  $(\otimes, r)$  admits sections then the calculation shows that  $|e_{LG} ZY \cdot \theta_\theta| \cdot r = |LGg| \cdot r$  which implies that  $e_{LG} ZY \cdot \theta_\theta = LGg$ . Hence for all  $x' \in |(X, Z) \otimes GX|$  we have  $E_{LG} ZY(g, x') = |LGg|(x') = |e_{LG} ZY \cdot \theta_\theta|(x') = |e_{LG} ZY| \cdot r(g, x')$ , verifying 2.3. Given  $u \in \mathbf{W}$ ,  $u : G \rightarrow H$  we understand that  $(Lu)Y = i_{(X, r)} \otimes uX$  and the functorial relations for  $L$  clearly hold. Now we have  $LRSY = (X, Y) \otimes SX$ . Thus we must show that for every  $S, T \in \mathbf{V}$ ,  $u : S \rightarrow T$  and  $y \in |Y, Z|$  the following diagrams are commutative:

$$\begin{array}{ccc} (X, Y) \otimes SX & \xrightarrow{e_S XY} & SY \\ \downarrow \Omega g \otimes i_{SX} & & \downarrow Sg \\ (X, Z) \otimes SX & \xrightarrow{e_S XZ} & SZ \end{array} \quad \begin{array}{ccc} (X, Y) \otimes SX & \xrightarrow{e_S XY} & SY \\ \downarrow i_{(X, r)} \otimes uX & & \downarrow uY \\ (X, Y) \otimes TX & \xrightarrow{e_T XY} & TY. \end{array}$$

It is sufficient to prove that

$$|e_S XZ| \cdot |\Omega g \otimes i_{SX}| \cdot r = |Sg| \cdot |e_S XY| \cdot r$$

and that

$$|uY| \cdot |e_S XY| \cdot r = |e_T XY| \cdot |i_{(X, r)} \otimes uX| \cdot r,$$

however the first equality simply expresses the functorial property of  $S$  and the second the naturality of  $u$ .

Now suppose that  $(\otimes, r)$  admits sections and let

$$\beta G = \beta GX \in |GX, (X, X) \otimes GX|$$

be the section such that  $|\beta GX|(x) = r(i_X, x)$  ( $x \in |GX|$ ,  $G \in \mathbf{W}$ ). Then if  $g \in |X, Y|$ ,  $x \in |GX|$  we have

$$|\alpha LGY| \cdot |L\beta GY| \cdot r(g, x) = |e_{LG} XY| \cdot r(g, r(i_X, x)) = r(g, x)$$

which implies that  $\alpha L \cdot L\beta = i_L$ . Finally if  $x \in |SX|$  we have

$$|R\alpha S| \cdot |\beta RS|(x) = |\alpha SX| \cdot r(i_X, x) = |Si_X|(x) = x$$

which implies  $R\alpha \cdot \beta R = i_R$ , completing the proof.

Combining 2.4 and 0.1 we have

**THEOREM 2.5.** *If  $(\otimes, r)$  admits sections then  $S$  is an  $X$ -functor in  $\mathbf{V}$  if and only if  $\alpha S \in |LRS, S|$  is a natural equivalence.*

If  $G \in \mathbf{W}$ , let  $eG = e_{LG} XX \in |RLGX, GX|$ .  $G$  is a constructive  $X$ -germ if  $eG$  is an identification.

**LEMMA 2.6.**  *$e : RL \rightarrow 1$  is a natural transformation,*

$$eR = R\alpha : RLR \rightarrow R,$$

*$eGX$  and  $LeGX$  are epic. If  $(\otimes, r)$  admits sections, or if  $r$  is surjective and  $G$  is constructive, then  $eG$  is a co-equalizer of  $RLeG$  and  $eRLG$ .*

*Proof.* The naturality of  $e$  follows by a special case of an argument already given and clearly  $eR = R\alpha$ . Suppose that  $u, v \in |GX, W|$  are such that  $u \cdot eG = v \cdot eG$ . Then if  $x \in |GX|$ ,

$$|u|(x) = |u| \cdot |eG| \cdot r(i_x, x) = |v| \cdot |eG| \cdot r(i_x, x) = |v|(x),$$

so that  $u = v$ . Thus  $eGX$  is epic. Now suppose that

$$u, v \in |(X, X) \otimes GX, W|$$

are such that  $u \cdot LeGX = v \cdot LeGX$ . Then if  $g \in |X, X|$  and  $x \in |GX|$  we have

$$\begin{aligned} |u| \cdot r(g, x) &= |u| \cdot r(g, |eGX| \cdot r(i_x, x)) = |u| \cdot |LeGX| \cdot r(g, r(i_x, x)) \\ &= |v| \cdot |LeGX| \cdot r(g, r(i_x, x)) = |v| \cdot r(g, x), \end{aligned}$$

which implies that  $u = v$  and hence that  $LeGX$  is epic. Let

$$w \in |(X, X) \otimes GX, W|$$

be such that  $w \cdot RLeG = w \cdot eRLG$ . If  $(\otimes, r)$  admits sections then  $w \cdot \beta GX$  is the necessarily unique morphism  $k$  such that  $k \cdot eG = w$ . Alternatively if  $r$  is surjective and  $G$  is constructive, let

$$k' : |GX| \rightarrow |W|$$

be such that  $k'(x) = |w| \cdot r(i_x, x)$  ( $x \in |GX|$ ). Then by a calculation similar to one already performed we find that  $k' \cdot |eG| \cdot r = |w| \cdot r$  and hence  $k' \cdot |eG| = |w|$ . Since  $eG$  is an identification there exists  $k$  with  $|k| = k'$  and having the desired property.

Combining 2.6 and 0.4 we obtain

**THEOREM 2.7.** *If  $S \in \mathbf{V}$  and  $\alpha S$  is a co-equalizer of  $LR\alpha S$  and  $\alpha LRS$  then  $S$  is an  $X$ -functor in  $\mathbf{V}$ . If  $S$  is an  $X$ -functor in  $\mathbf{V}$ , if  $\alpha SX$  is an identification and if  $r$  is surjective then  $\alpha S$  is a co-equalizer of  $LR\alpha S$  and  $\alpha LRS$ .*

As an application of 2.7 we have

**THEOREM 2.8.** *If  $(r, \theta)$  admits sections then  $\Omega$  is an  $X$ -functor in  $\mathbf{V}$ .*

For it suffices to show that  $\alpha\Omega Y = e_\Omega XY$  is a co-equalizer of  $LR\alpha\Omega Y$  and  $\alpha LR\Omega Y$  ( $Y \in \mathbf{C}$ ). Accordingly, suppose that

$$w \in |(X, Y) \otimes (X, X), Z|$$

is such that  $w \cdot LR\alpha\Omega Y = w \cdot \alpha LR\Omega Y$  and let

$$\theta \in |(X, Y), (X, Y) \otimes (X, X)|$$

be the section such that  $|\theta|(g) = r(g, i_x)$ . Then if  $g \in |X, Y|$ ,  $f \in |X, X|$  we have

$$|w \cdot \theta \cdot e_\Omega XY| \cdot r(g, f) = |w \cdot \theta|(g \cdot f)$$

$$\begin{aligned}
 &= |w| \cdot r(g \cdot f, i_x) = |w| \cdot |\alpha L R \Omega Y| \cdot r(g, r(f, i_x)) \\
 &= |w| \cdot |L R \alpha \Omega Y| \cdot r(g, r(f, i_x)) = |w| \cdot r(g, f)
 \end{aligned}$$

which implies that  $w \cdot \theta \cdot e_\Omega XY = w$ . On the other hand if  $k \in (X, Y)$ ,  $Z$  is such that  $k \cdot e_\Omega XY = w$  we have  $|k|(g) = |k \cdot e_\Omega XY| \cdot r(g, i_x) = |w| \cdot r(g, i_x) = |w \cdot \theta|(g)$ , so that  $k = w \cdot \theta$ , which completes the proof.

If  $G \in \mathbf{W}$ , one may well ask: under what circumstances will there exist an  $X$ -functor  $S$  such that  $RS = G$ ? Suppose that  $\mathbf{D}$  is right-complete, and let  $wY \in |LY, TY|$  be a co-equalizer of  $LeGY, \alpha LGY \in |LRLGY, LGY|$  for each  $Y \in \mathbf{C}$ . It is easy to see that a mapping function can be chosen in a unique way to make  $T$  a functor from  $\mathbf{C}$  to  $\mathbf{D}$  and  $w$  a natural transformation. If  $\otimes$  preserves co-equalizers (and  $\mathbf{D}$  is right-complete) a standard argument shows that  $\mathbf{V}$  is right-complete, so that we have  $T \in \mathbf{V}$ . Suppose that  $(\otimes, r)$  admits sections, or that  $r$  is surjective and  $G$  is constructive. We have

**THEOREM 2.9.** *If  $\mathbf{A} = \mathbf{X}$  or if  $\otimes$  preserves co-equalizers then  $T$  is an  $X$ -functor in  $\mathbf{V}$  and there exists a natural equivalence  $v : RT \rightarrow G$ .*

*Proof.* By 2.6, both  $eGX$  and  $wX$  are co-equalizers of  $RLeGX = LeGX$  and  $eRLGX = \alpha LGX$ . Hence there is an equivalence  $v \in |TX, GX|$  such that  $eGX = v \cdot wX$ .  $T$  is certainly  $\mathbf{X}$ -valuable for we may set

$$e_T XY = wY \cdot (i_{(X, r)} \otimes v).$$

Then if  $x \in (X, X) \otimes GX$ ,  $g \in |X, Y|$ , we have

$$\begin{aligned}
 &|e_T XY| \cdot r(g, |wX|(x)) \\
 &= |wY| \cdot r(g, |v \cdot wX|(x)) \\
 &= |wY| \cdot r(g, |eGX|(x)) = |wY| \cdot |LeGY| \cdot r(g, x) \\
 &= |wY| \cdot |\alpha LGY| \cdot r(g, x) = |wY| \cdot |LGg|(x) = |Tg| \cdot |wX|(x).
 \end{aligned}$$

Since  $|wX| = |v^{-1} \cdot eGX|$  is surjective, 2.3 is satisfied with  $S = T$  and  $Z = X$ . It follows easily that  $v$  is a natural equivalence  $RT \rightarrow G$ , that  $e_T XY = wY \cdot Lv$  and hence in view of the doubly-commutative diagram

$$\begin{array}{ccc}
 LRLRT & \xrightarrow{LRLv} & LRLG \\
 \downarrow \begin{smallmatrix} LR\alpha T = LeRT \\ \alpha LRT \end{smallmatrix} & & \downarrow \begin{smallmatrix} Leg \\ \alpha LG \end{smallmatrix} \\
 LRT & \xrightarrow{Lv} & LG
 \end{array}$$

that  $\alpha T$  is a co-equalizer of  $LR\alpha T$  and  $\alpha LRT$ . 2.7 implies that  $T$  is an  $X$ -functor.

Suppose that  $\mathbf{A} = \mathbf{X}$  or that  $\otimes$  preserves co-equalizers. Combining 2.7 and 2.9 yields the following corollary.

**COROLLARY 2.10.** *If  $(\otimes, r)$  admits sections there is a one-to-one correspond-*



ence between the family of natural equivalence classes of valuable  $\mathbf{X}$ -germs and the family of natural equivalence classes of  $X$ -functors in  $\mathbf{V}$ . If  $r$  is surjective then there is a one-to-one correspondence between the family of natural equivalence classes of constructive  $\mathbf{X}$ -germs and the family of natural equivalence classes of  $\mathbf{X}$ -constructive  $X$ -functors in  $\mathbf{V}$ .

### 3. $\Lambda$ -modules

In the category of modules  $\mathbf{M} = \mathbf{M}_\Lambda$ , the tensor product  $(\otimes_\Lambda, r)$  is concrete:  $r$  denotes the function  $(a, b) \rightarrow a \otimes b$ . A functor  $S : \mathbf{M} \rightarrow \mathbf{M}$  is  $\Lambda$ -linear if for all  $A, B \in \mathbf{M}$ , all  $f_1, f_2 \in |A, B| = |\text{Hom}_\Lambda(A, B)|$  and all  $\lambda_1, \lambda_2 \in \Lambda$  we have

$$S(\lambda_1 \cdot f_1 + \lambda_2 \cdot f_2) = \lambda_1 \cdot Sf_1 + \lambda_2 \cdot Sf_2 \in |SA, SB|.$$

It follows easily that  $S$  is  $\mathbf{M}$ -valuable if and only if  $S$  is  $\Lambda$ -linear. Let  $\mathbf{V}$  be the full subcategory of  $\mathbf{M}$ -valuable functors and let  $X \in \mathbf{M}$ . Then the bilinearity of composition implies that  $\Omega = (X, -) = \text{Hom}_\Lambda(X, -)$  belongs to  $\mathbf{V}$ . Since  $(\otimes, r)$  admits sections, 2.5 states that  $S$  is an  $X$ -functor in  $\mathbf{V}$  if and only if  $\alpha S : (X, -) \otimes SX \rightarrow S$  is a natural equivalence. One of the assertions of Theorem 0.2 is thus proved. 2.8 implies that  $\Omega$  is an  $X$ -functor in  $\mathbf{V}$ . If we now let  $T = (X, -) \otimes N$  it is easily verified that  $\alpha TY$  is equivalent to  $\alpha \Omega Y \otimes i_N$  and that  $LR\alpha TY$  and  $\alpha LRTY$  are equivalent to  $LR\alpha \Omega Y \otimes i_N$  and  $\alpha LR\Omega Y \otimes i_N$  respectively. It follows that  $\alpha TY$  is a coequalizer of  $LR\alpha TY$  and  $\alpha LRTY$  and hence that  $T$  is an  $X$ -functor, which completes the proof of 0.2.

Let  $C \in \mathbf{M}$ ,  $n \geq 1$  and let

$$0 \rightarrow K_n \rightarrow P_n \rightarrow \cdots \rightarrow P_2 \rightarrow P_1 \rightarrow C \rightarrow 0$$

be an exact sequence in which  $P_i$  is projective ( $1 \leq i \leq n$ ). We have

**THEOREM 3.1.**  $\text{Ext}^n(C, -)$  is a  $K_n$ -functor in  $\mathbf{V}$ .

*Proof.* In view of 0.2 we need only establish a natural isomorphism

$$(3.2) \quad \text{Ext}^n(C, Y) \approx \text{Hom}_\Lambda(K_n, Y) \otimes_\Lambda \text{Ext}^n(C, K_n).$$

There is certainly a natural isomorphism  $\text{Ext}^n(C, Y) \approx \text{Ext}^1(K_{n-1}, Y)$  [6, p. 102] and so we need only consider the case  $n = 1$ . Accordingly let  $0 \rightarrow K \rightarrow P \rightarrow C \rightarrow 0$  be exact with  $P$  projective and let  $S$  denote  $\text{Ext}^1(C, -)$ . Then  $LRSY = (K, Y) \otimes SK$  and we find easily that  $\alpha SY(a \otimes E) = aE$  ( $a \in (K, Y)$ ,  $E \in SK$ ), where  $aE$  denotes the composite extension obtained by completing the diagram

$$\begin{array}{ccccccc} E: & 0 & \rightarrow & K & \rightarrow & M & \rightarrow & C & \rightarrow & 0 \\ & & & \downarrow a & & \downarrow \vdots & & \parallel & & \\ aE: & 0 & \rightarrow & Y & \cdots & ? & \cdots & C & \rightarrow & 0. \end{array}$$

(For details see [6, p. 66].) In view of 2.7 it will be sufficient to verify that  $SY$  is the difference cokernel of  $LR\alpha SY$  and  $\alpha LRSY$ . Now  $\alpha SY$  is certainly an epimorphism, for  $P$  is projective and hence the following diagram can always be completed:

$$\begin{array}{ccccccc} F: & 0 & \rightarrow & K & \xrightarrow{X} & P & \rightarrow C \rightarrow 0 \\ & & & \downarrow & & \downarrow & \parallel \\ & 0 & \rightarrow & Y & \rightarrow & N & \rightarrow C \rightarrow 0. \end{array}$$

Thus we have only to prove that if  $\sum (1 \leq i \leq n) a_i E_i = 0$ ,  $a_i \in (K, Y)$  then

$$\sum (1 \leq i \leq n) a_i \otimes E_i = (\alpha LRSY - LR\alpha SY)(t),$$

where  $t \in (K, Y) \otimes ((K, K) \otimes SK)$ . Let  $b_i \in (K, K)$  be obtained by completing the following diagram ( $1 \leq i \leq n$ ):

$$\begin{array}{ccccccc} F: & 0 & \rightarrow & K & \xrightarrow{X} & P & \rightarrow C \rightarrow 0 \\ & & & \downarrow b_i & & \downarrow & \parallel \\ E_i: & 0 & \rightarrow & K & \rightarrow & M_i & \rightarrow C \rightarrow 0. \end{array}$$

Then  $\sum a_i E_i = 0$  implies that there exists  $h \in (P, Y)$  such that  $hX = \sum a_i \cdot b_i$ . Let  $\oplus K$  denote the direct sum of  $n$  copies of  $K$  and  $\pi_i \in (\oplus K, K)$  the projection onto the  $i^{\text{th}}$  summand ( $1 \leq i \leq n$ ).  $P$  being projective there exists  $g \in (P, \oplus K)$  such that  $(\sum a_i \cdot \pi_i) \cdot g = h$ , for without loss of generality we may assume that  $\sum a_i \cdot \pi_i \in (\oplus K, Y)$  is an epimorphism. If we now let

$$t = \sum \{a_i \otimes ((b_i - \pi_i \cdot g \cdot X) \otimes F)\},$$

the desired equality is easily verified.

We conclude this section with the observation that multifunctors in  $\mathbf{M}$  of whatever variance may be studied. Thus for example a functor contravariant in one argument and covariant in another may be regarded as a covariant functor from  $\mathbf{M}^{\text{op}} \times \mathbf{M}$  to  $\mathbf{M}$  and if we set

$$((X_1, X_2), (Y_1, Y_2)) = \text{Hom}_{\Lambda}(Y_1, X_1) \oplus \text{Hom}_{\Lambda}(X_2, Y_2),$$

we convert  $\mathbf{M}^{\text{op}} \times \mathbf{M}$  into an  $\mathbf{M}$ -category.

#### 4. Spaces

The categories  $\mathbf{T}$  and  $\mathbf{Tb}$  are certainly concrete. We have in fact a commutative diagram of forgetful functors:

$$\begin{array}{ccc} \mathbf{Tb} & \xrightarrow{F} & \mathbf{T} \\ \parallel \searrow & & \swarrow \parallel \\ & \mathbf{E} & \end{array}$$

[1, 1.2] shows that a morphism of  $\mathbf{T}$  is an identification if and only if it is an identification map in the usual sense and the following lemma is easily proved.

LEMMA 4.1.  *$f \in \mathbf{Tb}$  is an identification if and only if  $Ff$  is an identification in  $\mathbf{T}$ .*

In  $\mathbf{T}$  or  $\mathbf{Tb}$  let  $(X, Y)$  denote  $|X, Y|$  enriched with the compact-open topology. Specifically, when appropriate, the base point of  $(X, Y)$  is the constant map at the base point of  $Y$ . Many different concrete products offer themselves, however let  $(\times, r)$  denote the topological product in  $\mathbf{T}$  and the based topological product in  $\mathbf{Tb}$ ,  $r$  being the identity function. Then  $(\times, r)$  admits sections in  $\mathbf{T}$  but *not* in  $\mathbf{Tb}$ . In  $\mathbf{Tb}$  the smash product is concrete and it admits sections but it imposes severe restrictions on the valuable functors.

Let  $\mathbf{A}$  denote the sub-category of  $\mathbf{T}$  or of  $\mathbf{Tb}$  whose spaces are locally-compact and Hausdorff and let  $X \in \mathbf{T}$  or  $\mathbf{Tb}$ . We have

LEMMA 4.2.  *$(X, -)$  is  $\mathbf{A}$ -valuable relative to  $(\times, r)$ .*

*Proof.* The composition function  $\theta : (Z, Y) \times (X, Z) \rightarrow (X, Y)$  respects base points, thus we have only to prove that  $\theta$  is continuous provided that  $Z$  is locally compact and Hausdorff. Given  $U$  (open)  $\subseteq Y$  and  $C$  (compact)  $\subseteq X$  it will be sufficient to show that  $\theta^{-1}[C, U]$  is open, where

$$[C, U] = \{f \in |X, Y| \mid f(C) \subseteq U\}.$$

Suppose  $(g, h) \in \theta^{-1}[C, U]$  so that  $g \cdot h(C) \subseteq U$ . If  $z \in h(C)$  let  $V(z)$  be an open set such that  $z \in V(z)$  and  $\text{Cl}(V(z))$  is compact ( $\text{Cl} = \text{closure}$ ). Since  $Z$  is regular and  $g(z) \in U$ , we may also require that  $\text{Cl}(V(z)) \subseteq g^{-1}(U)$ . But  $h(C)$  is compact, hence there exist  $z_i \in h(C)$  ( $1 \leq i \leq n$ ) such that  $h(C) \subseteq \bigcup (1 \leq i \leq n) V(z_i) = V(\text{say})$ . Then

$$\text{Cl}(V) = \bigcup (1 \leq i \leq n) \text{Cl}(V(z_i))$$

is compact and since

$$(g, h) \in [\text{Cl}(V), U] \times [C, V] \subseteq \theta^{-1}[C, U],$$

$\theta$  is continuous.

Let  $\mathbf{C}^n$  denote the category of  $n$ -tuples of members of the category  $\mathbf{C}$  and let  $X \in \mathbf{C}^n$  denote  $(X_1, X_2, \dots, X_n)$  where  $X_i \in \mathbf{C}$ ,  $1 \leq i \leq n$ . Then  $\mathbf{T}^n$  becomes a  $\mathbf{T}$ -category if we define  $(X, Y)$  to be the topological product  $\prod (1 \leq i \leq n)(X_i, Y_i)$ . A similar definition provides  $\mathbf{Tb}^n$  with the structure of a  $\mathbf{Tb}$ -category. The product of continuous functions being continuous we have

LEMMA 4.3. *The functor  $(X, -)$  from  $\mathbf{T}^n$  to  $\mathbf{T}$  or from  $\mathbf{Tb}^n$  to  $\mathbf{Tb}$  is  $\mathbf{A}^n$ -valuable.*

Let  $P_i \in \mathbf{Tb}$  be a discrete space with exactly two points:  $p_i$  and the base

point  $*$  ( $1 \leq i \leq n$ ), and let  $\mathbf{V}$  be the category of  $\mathbf{P}$ -valuable functors from  $\mathbf{Tb}^n$  to  $\mathbf{Tb}$ . The remainder of this paper is devoted to the study of the  $P$ -functors in  $\mathbf{V}$ . In view of 2.10 the interest centers on the constructive  $\mathbf{P}$ -germs. We have

LEMMA 4.4. *Every functor  $G : \mathbf{P} \rightarrow \mathbf{Tb}$  is  $\mathbf{P}$ -constructive.*

*Proof.*  $(P, P)$  is a discrete semi-group with identity element  $*$  generated by the  $n$  commuting idempotents  $\phi_i$ , where

$$\begin{aligned} (\phi_i(x))_j &= x_j \quad (j \neq i) \\ &= * \quad (j = i) \end{aligned} \quad (x \in P).$$

$(P, P) \times GP$  consists of  $2^n$  copies of  $GP$  each of which is mapped continuously by  $E_G$ , one copy being mapped identically. Thus

$$eG \in |(P, P) \times GP, GP|$$

is well defined and is moreover an identification.

A morphism  $f \in \mathbf{Tb}$  is a *projection* if  $f \cdot f = f$ . We remark that a functor  $G : \mathbf{P} \rightarrow \mathbf{Tb}$  can be regarded simply as a pair  $(W, f)$  where  $W = GP$  and  $f$  is an  $n$ -tuple of commuting projections  $f_i = G\phi_i$  ( $1 \leq i \leq n$ ). The pairs  $(W, f)$  and  $(W', f')$  are *equivalent* if there exists an equivalence  $h \in |W, W'|$  such that  $h \cdot f_i = f'_i \cdot h$  ( $1 \leq i \leq n$ ). 2.10 and 4.4 now imply

THEOREM 4.5. *There is a one-to-one correspondence between the family of equivalence classes of pairs  $(W, f)$  and the family of natural equivalence classes of  $\mathbf{P}$ -functors in  $\mathbf{V}$ .*

If  $(W, f)$  is a pair, let us construct the  $P$ -functor  $T$  (determined up to natural equivalence) corresponding to  $(W, f)$ . We first observe that the functor  $\Omega = (P, -)$  can be replaced by the based topological product functor  $\Pi : \mathbf{Tb}^n \rightarrow \mathbf{Tb}$ , for there is a natural equivalence  $\lambda : (P, -) \rightarrow \Pi$  given by the rule

$$\lambda Y(g) = (g_1(p_1), g_2(p_2), \dots, g_n(p_n)) \quad (g \in (P, Y)).$$

Then if  $G$  is the  $\mathbf{P}$ -germ corresponding to  $(W, f)$ ,  $LGY$  is equivalent to  $\Pi Y \times W$  and  $LRLGY$  to  $\Pi Y \times (P, P) \times W$ . Moreover  $LeGY$  and  $\alpha LGY$  are described by the rules

$$LeGY(y, x, w) = (y, eG(x, w))$$

$$\alpha LGY(y, x, w) = (y \cdot x, w).$$

Now  $y \rightarrow y \cdot \phi_i$  is simply the projection  $\pi_i : \Pi Y \rightarrow \Pi Y$  which replaces the  $i^{\text{th}}$  coordinate by the basepoint. Since  $(P, P)$  is generated by  $\phi_i$  ( $1 \leq i \leq n$ ), it follows that  $vY \in |\Pi Y \times W, TY|$  will be a co-equalizer of  $LeGY$  and  $\alpha LGY$  if  $vY$  is the quotient map and  $TY$  the space obtained from  $\Pi Y \times W$

by performing the identification

$$(4.6) \quad (\pi_i(y), w) = (y, f_i(w)) \quad (y \in \Pi Y, w \in W, i = 1, 2, \dots, n).$$

It may now be verified that the based topological product  $\Pi$ , the smash  $Y_1 * Y_2$ , the wedge functors (thin, fat or indifferent), various join, suspension and cone functors are all  $P$ -functors since they are (or are naturally equivalent to) functors obtained by the above construction. To test whether a given  $S : \mathbf{Tb}^n \rightarrow \mathbf{Tb}$  is a  $P$ -functor one simply chooses  $W = SP$ ,  $f_i = S\phi_i$  ( $1 \leq i \leq n$ ) and examines whether  $S$  is naturally equivalent to the resulting  $T$ .

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