

ON THE DENSITY OF SEQUENCE $\{n_k \xi\}$

BY
A. D. POLLINGTON

Introduction

In his paper *Problems and results in Diophantine approximations II* which appeared in [2] Erdős asked the following:

Given a sequence of integers $n_1 < n_2 < n_3 \cdots$ satisfying $n_{k+1}/n_k \geq \alpha > 1$, $k = 1, 2, \dots$, is it true that there always exists an irrational ξ for which the sequence $\{n_k \xi\}$ is not everywhere dense?

Here $\{x\}$ denotes the fractional part of x .

Strzelecki [5] has shown that if $\alpha \geq (5)^{1/3}$, and (t_k) is a sequence of positive real numbers, not necessarily integers, with $t_{k+1}/t_k > \alpha$ then there is a ξ such that $\{t_k \xi\} \in [\beta, 1 - \beta]$, $k = 1, 2, \dots$, for some $\beta > 0$.

It is the purpose of this paper to provide a complete answer to the question of Erdős by providing the following.

THEOREM. *Let (t_n) be a sequence of positive numbers such that*

$$(1) \quad q_n = t_{n+1}/t_n \geq \alpha > 1 \quad \text{for } n = 1, 2, \dots$$

and let s_0 be a real number $0 < s_0 < 1$ then there exists a real number $\beta = \beta(\alpha, s_0) > 0$ and a set T of Hausdorff dimension at least s_0 such that if $\xi \in T$ then

$$(2) \quad \{t_k \xi\} \in [\beta, 1 - \beta] \quad \text{for } k = 1, 2, \dots$$

We have the following immediate corollary.

COROLLARY. *The set of numbers ξ such that $\{t_k \xi\}$ is not dense in the unit interval has Hausdorff dimension 1.*

A similar result has recently been obtained independently by B. de Mathan [3], [4].

Proof of the Theorem. We note that it is sufficient to prove the theorem under the additional restriction that $q_n \leq \alpha^2$, for we can form a new sequence (t'_n) from (t_n) by introducing new terms between t_k and t_{k+1} if $t_{k+1}/t_k > \alpha^2$, so that $\alpha \leq t'_{n+1}/t'_n \leq \alpha^2$, $n = 1, 2, \dots$. Obviously if the assertion of the theorem holds for some sequence (t'_n) it holds for any sub-sequence (t_n) of (t'_n) .

Choose $r \in \mathbf{N}$ so large that

$$(3) \quad \alpha^r - (r + 2) > \alpha^{rs_0}$$

and put

$$(4) \quad N = \alpha^2 \quad \text{and} \quad \varepsilon = N^{-r}(r + 1)^{-1}.$$

We will show that (2) holds with

$$(4a) \quad \beta = \frac{1}{2}N^{-r}\varepsilon$$

and with ξ belonging to the intersection of a sequence of certain closed intervals. We will construct these intervals using the following lemma.

LEMMA. *There is a sequence of pairs (a_k, b_k) of real numbers satisfying:*

$$(A_{k+1}) \quad a_k q_k \leq a_{k+1} < b_{k+1} \leq b_k q_k;$$

(B) $[a_k, b_k]$ has no integer interior points;

$$(C) \quad l([a_{r_j+1}, b_{r_j+1}]) = b_{r_j+1} - a_{r_j+1} = N^{-r}, \quad j = 0, 1, 2, \dots;$$

(D_m) if $r(j - 1) + 1 \leq m \leq rj$

then

$$b_{r_j+1} \leq \frac{t_{r_j+1}}{t_m}(b_m - \beta) \quad \text{and} \quad a_{r_j+1} \geq \frac{t_{r_j+1}}{t_m}(a_m + \beta).$$

Proof. Choose $[a_1, b_1]$ to have no integer interior points and length N^{-r} .

Suppose that $a_1, b_1, \dots, a_{r_j+1}, b_{r_j+1}$ have been constructed to satisfy the conditions (A_{*i*+1}), (B), (C), (D_{*i*}), $1 \leq i \leq rj$.

Put $k = rj + 1$. We will construct $[a_{k+1}, b_{k+1}], \dots, [a_{k+r}, b_{k+r}]$ so that (A_{*k*+1}), \dots , (A_{*k+r*}), (B), (C), and (D_{*k*}), \dots , (D_{*k+r-1*}) are satisfied.

Put

$$\Delta = [a_k, b_k]$$

$$\Delta(1) = q_k \Delta = [a_k q_k, b_k q_k]$$

$$\Delta(2) = q_{k+1} \Delta(1)$$

⋮

⋮

$$\Delta(r) = q_{k+r-1} \Delta(r - 1)$$

Now $l(\Delta(1)) < l(\Delta(2)) < \dots < l(\Delta(r)) \leq 1$ since

$$l(\Delta(r)) = N^{-r} q_k \cdots q_{k+r-1} \leq N^{-r} \cdot N^r = 1.$$

Hence each of the intervals $\Delta(i)$ contains at most one integer interior point, N_i say. (If there is no integer in $\Delta(i)$ choose N_i arbitrarily in $\Delta(i)$.)

In $\Delta(i)$ order the points

$$a_k q_k \cdots q_{k+i-1}, N_1 q_{k+1} \cdots q_{k+i-1}, \\ N_2 q_{k+2} \cdots q_{k+i-1}, \dots, N_i, b_k q_k \cdots q_{k+i-1}$$

and relabel them $P_0^{(i)} \leq P_1^{(i)} \leq \dots \leq P_{i+1}^{(i)}$, $1 \leq i \leq r$. Clearly $[P_j^{(i)}, P_{j+1}^{(i)}]$ has no integer interior points and for all i, j there is an $l = l(i, j)$ such that

$$(5) \quad [P_j^{(i)}, P_{j+1}^{(i)}] \subset q_{k+i-1} [P_l^{(i-1)}, P_{l+1}^{(i-1)}].$$

Put

$$J(r) = [P_0^{(r)} + \varepsilon/2, P_1^{(r)} - \varepsilon/2] \cup \dots \cup [P_r^{(r)} + \varepsilon/2, P_{r+1}^{(r)} - \varepsilon/2]$$

where we take $[a, b] = \emptyset$ if $a > b$. Then $J(r)$ is the union of at most $r + 1$ intervals and has measure $m(J(r)) \geq l(\Delta(r)) - (r + 1)\varepsilon$. Let

$$l[P_i^{(r)} + \varepsilon/2, P_{i+1}^{(r)} - \varepsilon/2] = l_i N^{-r}.$$

Then $m(J(r)) = \sum_{i=0}^r l_i N^{-r}$ and so

$$\begin{aligned} \sum_{i=0}^r [l_i] &> \frac{l(\Delta(r))}{N^{-r}} - \frac{(r+1)\varepsilon}{N^{-r}} - (r+1) \\ &= \frac{l(\Delta(r))}{N^{-r}} - (r+2) \quad \text{by (4)}. \end{aligned}$$

Hence we can find at least $l(\Delta(r))/N^{-r} - (r + 2)$ disjoint sub-intervals of $\Delta(r)$ of length N^{-r} whose distance from any point $P_i^{(r)}$ is at least $\varepsilon/2$.

Choose one of these arbitrarily to be $[a_{k+r}, b_{k+r}]$ then

$$(6) \quad [a_{k+r}, b_{k+r}] \subset [P_i^{(r)}, P_{i+1}^{(r)}] \quad \text{for some } i.$$

Now suppose that $[a_{k+j}, b_{k+j}] \subset [P_i^{(j)}, P_{i+1}^{(j)}]$; then, by (5),

$$(7) \quad [a_{k+j}, b_{k+j}] \subset q_{k+j-1} [P_l^{(j-1)}, P_{l+1}^{(j-1)}], \quad l = l(i, j).$$

Put

$$(8) \quad a_{k+g-1} = P_l^{(g-1)} \quad \text{and} \quad b_{k+g-1} = P_{l+1}^{(g-1)}.$$

Thus starting with $[a_{k+r}, b_{k+r}]$ define $[a_{k+r-1}, b_{k+r-1}], \dots, [a_{k+1}, b_{k+1}]$. Clearly (A_m), (B) and (C) are satisfied for $[a_m, b_m]$, $1 \leq m \leq k + r = (j + 1)r + 1$. We now have to show that (D_m) is satisfied for $rj + 1 \leq m \leq r(j + 1)$. Now by (6), (7) and (8), $b_{k+r} + \frac{1}{2}\varepsilon \leq b_{k+r-1} q_{k+r-1}$ and $b_{k+j} \leq b_{k+j-1} q_{k+j-1}$, $1 \leq j < r$. Thus by (1), (4) and (4a),

$$\begin{aligned} b_{k+r} &\leq b_m \frac{t_{k+r}}{t_m} - \frac{\varepsilon}{2} \\ &\leq \frac{t_{k+r}}{t_m} \left(b_m - \frac{\varepsilon}{2q_m \cdots q_{k+r-1}} \right) \\ &\leq \frac{t_{k+r}}{t_m} (b_m - \beta). \end{aligned}$$

Similarly $a_{k+r} \geq (t_{k+r}/t_m)(a_m + \beta)$. Hence $(A_{k+1}), \dots, (A_{k+r}), (B), (C), (D_k), \dots, (D_{k+r-1})$ are satisfied as required.

We have constructed a sequence of intervals $([a_n, b_n])$ satisfying $q_n a_n \leq a_{n+1} < b_{n+1} \leq q_n b_n$. Thus by (1),

$$\frac{a_n}{t_n} \leq \frac{a_{n+1}}{t_{n+1}} < \frac{b_{n+1}}{t_{n+1}} \leq \frac{b_n}{t_n}.$$

So $([a_n/t_n, b_n/t_n])$ forms a sequence of closed nested intervals. Consequently there is a number ξ belonging to all the intervals of this sequence. We now have to verify that $\{\xi t_m\} \in [\beta, 1 - \beta], m = 1, 2, \dots$. By the condition $(D_m), rj + 1 \leq m < r(j + 1)$,

$$\frac{1}{t_{rj+1}} \frac{t_{rj+1}}{t_m} (a_m + \beta) < \frac{a_{rj+1}}{t_{rj+1}} < \xi < \frac{1}{t_{rj+1}} \frac{t_{rj+1}}{t_m} (b_m - \beta),$$

thus $a_m + \beta \leq t_m \xi \leq b_m - \beta$. But by (B), $[a_m, b_m]$ has no integer interior points. Hence $\{t_m \xi\} \in [\beta, 1 - \beta], m = 1, 2, \dots$.

To show that there are uncountably many such ξ we only have to note that at each stage in the construction there are two disjoint choices for $[a_{rj+1}, b_{rj+1}], j = 0, 1, 2, \dots$, and consequently for $[a_{rj+1}/t_{rj+1}, b_{rj+1}/t_{rj+1}], j = 0, 1, 2, \dots$.

We will now use a result due to H. G. Eggleston [1] to show that the set of ξ satisfying the above conditions has Hausdorff dimension, at least s_0 .

THEOREM (Eggleston). *Let A_k be a set of intervals, N_k in number, each of length δ_k . Let each interval contain $n_{k+1} > 0$ disjoint intervals of length $\delta_{k+1} (A_{k+1})$. Suppose that $0 < s_0 \leq 1$ and that for all $s < s_0$ the sum*

$$\sum_k \frac{\delta_{k-1}}{\delta_k} (N_k (\delta_k)^s)^{-1}$$

converges. Then $P = \bigcap_{k=1}^\infty A_k$ has dimension greater than or equal to s_0 .

We apply this theorem with

$$A_k = \left\{ \text{set of possible intervals } \left[\frac{a_{rk+1}}{t_{rk+1}}, \frac{b_{rk+1}}{t_{rk+1}} \right] \text{ after } [a_1, b_1] \text{ has been selected} \right\}.$$

Then $P \subset T, N_k \geq \prod_{i=1}^k (q_{r(i-1)i} \cdots q_{ri} - (r+2))$, and

$$\delta_k = N^{-r} (q_1 \cdots q_{rk+1})^{-1}.$$

Now $\alpha^r - (r+2) > \alpha^{rs_0}$ by (3) and so since $q_{(i-1)r+1} \cdots q_{ir} > \alpha^r$ by (1) then

$$q_{(i-1)r+1} \cdots q_{ir} - (r+2) > q_{(i-1)r+1} \cdots q_{ir}$$

and so $N_k > (q_1 q_2 \cdots q_{rk})^{s_0}$.

Let $0 < s < s_0$. Then

$$\begin{aligned} \sum_k \frac{\delta_k - 1}{\delta_k} (N_k(\delta_k)^s)^{-1} &\leq \alpha^{2r+2rs} \sum_k (q_1 \cdots q_{rk})^{s-s_0} \\ &\leq \alpha^{2r+2rs} \sum_k (\alpha^{r(s-s_0)})^k \end{aligned}$$

which converges and so T has dimension at least s_0 .

REFERENCES

1. H. G. EGGLESTON, *Sets of fractional dimension which occur in some problems of number theory*, Proc. London Math. Soc., vol. 54 (1951–52), pp. 42–93.
2. P. ERDÖS, *Repartition modulo 1*, Lecture Notes in Mathematics Vol. 475, Springer Verlag, New York, 1975.
3. B. DE MATHAN, *Sur un problème de densité modulo 1*, C. R. Acad. Sc. Paris Series A, t. 287 (1978), pp. 277–279.
4. ———, *numbers contravening a condition in density modulo 1*, to appear.
5. E. STRZELECKI, *On sequences $\{\xi_n \pmod{1}\}$* , Canad. Math. Bull., vol. 18, (1975), pp. 727–738.

ILLINOIS STATE UNIVERSITY
NORMAL, ILLINOIS