

## $\mathcal{H}$ -SUBSPACES OF $X_\lambda$

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### 1. Introduction

Throughout this paper,  $n$  is a fixed positive integer,  $p, q, s, t$  nonnegative integers and  $\alpha, \lambda$  are complex numbers related by  $\lambda = -4n^2\alpha(1 - \alpha)$ .

**1.1. Invariant Laplacian  $\tilde{\Delta}$ .**  $B$  denotes the open unit ball of  $\mathbb{C}^n$  with its boundary  $\partial B$  and  $\text{Aut}(B)$  the group of all bijective holomorphic maps of  $B$  onto itself. The invariant Laplacian  $\tilde{\Delta}$  is defined by

$$(\tilde{\Delta}f)(z) = 4(1 - |z|^2) \sum_{j,k=1}^n (\delta_{jk} - z_j \bar{z}_k) \frac{\partial^2 f}{\partial z_j \partial \bar{z}_k}(z), \quad f \in C^2(B),$$

where  $\delta_{jk}$  is the Kronecker's symbol. It is invariant under  $\text{Aut}(B)$  in the sense that

$$\tilde{\Delta}(f \circ \varphi) = (\tilde{\Delta}f) \circ \varphi, \quad \varphi \in \text{Aut}(B).$$

**1.2.  $\mathcal{H}_s$  and  $H(p, q)$ .**  $\mathcal{H}_s$  denotes the space of all homogeneous polynomials on  $\mathbb{C}^n$  of degree  $s$  that satisfy  $\Delta f = 0$  where

$$\Delta = 4 \sum_{j=1}^n \frac{\partial^2}{\partial z_j \partial \bar{z}_j}$$

is the ordinary Laplacian. The term "homogeneous" refers here to real scalars:  $f(tz) = t^s f(z)$ ,  $t > 0$ .

Being harmonic, each  $f \in \mathcal{H}_s$  is uniquely determined by its restriction on  $\partial B$ . These restrictions are so-called spherical harmonics. We shall freely identify  $\mathcal{H}_s$  with its restrictions on  $\partial B$ .

$H(p, q)$  denotes the vector space of all harmonic homogeneous polynomials on  $\mathbb{C}^n$  that have total degree  $p$  in the variables  $z_1, \dots, z_n$  and total degree  $q$  in the variables  $\bar{z}_1, \dots, \bar{z}_n$ . Some of the basic properties of  $H(p, q)$

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which we need are:

(a)  $H(p, q)$  has no proper nontrivial unitarily invariant subspace. That is  $H(p, q)$  is  $\mathscr{U}$ -minimal [R1, 12.2.8].

(b)  $\mathscr{H}_s$  is the sum of pairwise orthogonal spaces  $H(p, q)$  with  $p + q = s$  [R1, 12.2.2].

(c) The linear span of  $\cup_{s=0}^\infty \mathscr{H}_s$  is dense in  $C(\partial B)$  [R1, 12.1.3].

(d)  $L^2(\partial B)$  is the direct sum of  $H(p, q)$  with  $0 \leq p, q < \infty$  [R1, 12.2.3].

(e) For each  $(p, q)$ , the projection  $\pi_{p,q} : L^2(\partial B) \rightarrow H(p, q)$  is given by the kernel  $K_{p,q}$  defined by

$$\pi_{p,q} f(\eta) = \int_{\partial B} K_{p,q}(\eta, \zeta) f(\zeta) d\sigma(\zeta), \quad f \in L^2(\partial B) \text{ [R1, 12.2.5].}$$

Here  $\sigma$  denotes as usual the unique rotation-invariant probability measure on  $\partial B$ . For a fixed  $\zeta \in \partial B$ ,  $K_{p,q}(\cdot, \zeta)$  is a function in  $H(p, q)$ .

**1.3. Differential operator  $L_{pq}$ :** For a function  $f(z) = y(|z|^2)h(z)$  with  $y \in C^2([0, 1])$  and  $h \in H(p, q)$ ,  $\tilde{\Delta}f$  has the form

$$(\tilde{\Delta}f)(z) = (L_{pq}y)(|z|^2)h(z)$$

where

$$(L_{pq}y)(t) = 4(1 - t)\{t(1 - t)y'' + [p + q + n - (p + q + 1)t] \times y' - pqy\} \quad (0 < t < 1);$$

see [R2, Prop. 2.4]. The differential equation  $L_{pq}y = \lambda y$  has a singular point at  $t = 0$  and it is easy to check that it has a unique solution  $y = R_{p,q,\lambda}(t)$  with  $y(0) = 1$ . Thus

$$L_{pq}R_{p,q,\lambda}(t) = \lambda R_{p,q,\lambda}(t), \quad (0 < t < 1), \\ R_{p,q,\lambda}(0) = 1.$$

In particular,  $R_{p,q,0}(|z|^2) = F(p, q; p + q + n; |z|^2)$  where

$$F(a, b; c; t) = \sum_{k=0}^\infty \frac{(a)_k (b)_k}{(c)_k} \frac{t^k}{k!}$$

is the Gauss hypergeometric series [F. p. 405].  $(a)_k = \Gamma(a + k)/\Gamma(a)$  as usual.

**1.4.  $\mathscr{H}$ -spaces.** For  $\lambda \in \mathbb{C}$ ,  $X_\lambda$  denotes the space of all  $f \in C^2(B)$  that satisfy  $\tilde{\Delta}f = \lambda f$ . These eigenspaces  $X_\lambda$  are infinite dimensional, they are

closed in the topology of uniform convergence on compact subsets of  $B$  and they are Moebius invariant: If  $f \in X_\lambda$  and  $\varphi \in \text{Aut}(B)$  then  $f \circ \varphi \in X_\lambda$ .  $X_\lambda$  contains  $H(p, q, \lambda)$  the space of all functions of the form

$$f(z) = R_{pq\lambda}(|z|^2)h(z), \quad h \in H(p, q).$$

If  $\Omega$  is a set of lattice points  $(p, q)$  with  $p \geq 0$  and  $q \geq 0$ ,  $Y(\Omega, \lambda)$  denotes the closed linear span of the spaces  $H(p, q, \lambda)$  with  $(p, q) \in \Omega$ . W. Rudin [R2] characterized all  $\mathcal{M}$ -subspaces (closed Moebius invariant subspaces) of  $X_\lambda$  as follows:

(a) If  $\lambda = 4m(m + n)$  for some integer  $m \geq 0$ , then the  $\mathcal{M}$ -subspaces of  $X_\lambda$  are  $\{0\}$ ,  $X_\lambda$  and  $Y_j = Y(\Omega_j, \lambda)$  where

$$\begin{aligned} \Omega_1 &= \{(p, q) : 0 \leq p < \infty, 0 \leq q \leq m\}, \\ \Omega_2 &= \{(p, q) : 0 \leq p \leq m, 0 \leq q < \infty\}, \\ \Omega_3 &= \Omega_1 \cap \Omega_2, \\ \Omega_4 &= \Omega_1 \cup \Omega_2. \end{aligned}$$

(b) For all other  $\lambda \in \mathbb{C}$ ,  $\{0\}$  and  $X_\lambda$  are the only  $\mathcal{M}$ -subspaces of  $X_\lambda$ .

For the case  $\lambda = 0$ ,  $Y_1$  is the space of all holomorphic functions on  $B$ ,  $Y_2$  the space of all conjugate-holomorphic functions,  $Y_3$  the space of all constants and  $Y_4$  the space of all pluriharmonic functions.

**1.5. Integral  $P^\alpha[\mu]$ .** For a complex Borel measure  $\mu$  on  $\partial B$  we define

$$P^\alpha[\mu](z) = \int_{\partial B} P^\alpha(z, \zeta) d\mu(\zeta), \quad z \in B,$$

where

$$P(z, \zeta) = (1 - |z|^2)^n / |1 - \langle z, \zeta \rangle|^{2n}$$

is the Poisson-Szegö kernel for  $B$  and

$$P^\alpha(z, \zeta) = \exp\{\alpha \log P(z, \zeta)\}$$

is the principal branch. It is known that  $P^\alpha[\mu] \in X_\lambda$  [R1, 4.2.2]. We denote by  $\mathcal{M}_\alpha$  the vector space of all  $P^\alpha[\mu]$ 's where  $\mu$  is a complex Borel measure on  $\partial B$ .

**1.6. Results.** We first determine the solution  $R_{pq\lambda}(t)$  as a hypergeometric series and get the spherical harmonic expansion of  $P^\alpha(z, \zeta)$  in Section 2. The case  $\alpha = 1$  was obtained in [F]. As an application, we obtain an  $L^2$ -growth

condition for a function in  $X_\lambda$  to be in  $Y_4$  extending the corresponding result in [R3, AR] for  $X_0$  in Section 4. In the process, we also prove a necessary and sufficient condition for a function  $g \in X_\lambda$  to be represented by  $P^\alpha[G]$  for some  $G \in L^2(\partial B)$  when  $\alpha > \frac{1}{2}$  in Section 3. Finally, we give a description of  $Y_3$  in terms of  $\mathcal{H}_\alpha$  when  $\lambda = 4m(m + n)$ ,  $m = 0, 1, 2, \dots$ , in Section 5.

**2. Spherical harmonic expansion of  $P^\alpha$**

2.1. LEMMA. *If  $f \in H(p, q)$  then*

$$\int_{\partial B} \langle z, \zeta \rangle^s \langle \zeta, z \rangle^t f(\zeta) d\sigma(\zeta) = \begin{cases} \frac{s!t!(n-1)!}{(s-p)!(n+s+q-1)!} |z|^{2(s-p)} f(z), & s+q = t+p, p \leq s, q \leq t, \\ 0, & \text{otherwise.} \end{cases}$$

*Proof.* If  $f(\zeta) = \zeta_1^p \bar{\zeta}_2^q$ , then the equality follows from the multinomial expansion of  $\langle z, \zeta \rangle^s$  and  $\langle \zeta, z \rangle^t$  and by using the orthogonality relations of [R1, 1.4.8, 1.4.9]. Since  $H(p, q)$  is generated by functions obtained by unitary changes of variables of  $\zeta_1^p \bar{\zeta}_2^q$ , the lemma follows from the unitary invariance of  $d\sigma$ .

2.2. LEMMA. *If  $f \in H(p, q)$  then*

$$P^\alpha[f](z) = R(|z|^2)f(z), \tag{1}$$

where

$$R(t) = (1 - t^2)^{n\alpha} \sum_{j=0}^\infty \frac{(n\alpha)_{j+p} (n\alpha)_{j+q} \Gamma(n)}{\Gamma(p+q+n+j)} \frac{t^j}{j!} \tag{2}$$

*Proof.* Apply term-by-term integration on the binomial expansion of  $P^\alpha(z, \zeta)$  and use Lemma 2.1.

2.3. THEOREM. *If  $f \in H(p, q)$  then*

$$P^\alpha[f](z) = A_{p,q,\alpha} R_{p,q,\lambda}(|z|^2)f(z), \quad z \in B, \tag{3}$$

where

$$A_{p,q,\alpha} = \frac{(n\alpha)_p (n\alpha)_q \Gamma(n)}{\Gamma(n+p+q)}. \tag{4}$$

*Proof.* By Lemma 2.2,  $R(|z|^2)f(z) = P^\alpha[f](z) \in X_\lambda$ . Therefore

$$\tilde{\Delta}(R(|z|^2)f(z)) = \lambda R(|z|^2)f(z).$$

As noted in 1.3,  $R(t)$  satisfies the differential equation  $L_{pq}R(t) = \lambda R(t)$ . Therefore

$$\begin{aligned} R_{p,q,\lambda}(t) &= R(t)/R(0) \quad \text{and} \quad R(0) = (n\alpha)_p(n\alpha)_q\Gamma(n)/\Gamma(n+p+q) \\ &= A_{p,q,\alpha}. \end{aligned}$$

2.4. COROLLARY.

(a)

$$\begin{aligned} R_{p,q,\lambda}(t) &= (1-t)^{n\alpha} F(n\alpha+p, n\alpha+q; n+p+q; t) \\ &= (1-t)^{n(1-\alpha)} F(n(1-\alpha)+p, n(1-\alpha)+q; n+p+q; t) \end{aligned}$$

(b)  $P^\alpha[f]/(n\alpha)_p(n\alpha)_q = P^{1-\alpha}[f]/(n-n\alpha)_p(n-n\alpha)_q$ ,  $f \in H(p, q)$ , unless one of the denominators is zero.

In particular,  $P^\alpha[1] = P^{1-\alpha}[1]$ .

*Proof.* (a) The first equality follows from

$$\begin{aligned} R_{p,q,\lambda}(t) &= R(t)/A_{p,q,\alpha} \\ &= (1-t)^{n\alpha} \frac{\Gamma(n+p+q)}{(n\alpha)_p(n\alpha)_q\Gamma(n)} \sum_0^\infty \frac{(n\alpha)_{j+p}(n\alpha)_{j+q}\Gamma(n)}{\Gamma(n+p+q+j)} \frac{t^j}{j!} \\ &= (1-t)^{n\alpha} \sum_{j=0}^\infty \frac{(n\alpha+p)_j(n\alpha+q)_j}{(n+p+q)_j} \frac{t^j}{j!} \\ &= (1-t)^{n\alpha} F(n\alpha+p, n\alpha+q; n+p+q; t). \end{aligned}$$

The second equality follows from the identity (9.5.3) of [L].

(b) For  $f \in H(p, q)$ , we have, from Theorem 2.3,

$$\begin{aligned} A_{p,q,1-\alpha} P^\alpha[f](z) &= A_{p,q,1-\alpha} A_{p,q,\alpha} R_{p,q,\lambda}(|z|^2)f(z) \\ &= A_{p,q,\alpha} P^{1-\alpha}[f](z) \end{aligned}$$

Therefore (b) follows from (4). Finally if we take  $p = q = 0$  and  $f \equiv 1 \in H(0, 0)$ , we have  $P^\alpha[1](z) = P^{1-\alpha}[1](z)$ .

2.5. THEOREM. For  $\alpha \in \mathbb{C}$ ,

$$P^\alpha(z, \zeta) = \sum_{p, q=0}^{\infty} G_{p, q, \alpha}(r) K_{p, q}(\eta, \zeta), \quad z = r\eta \in B, \zeta \in \partial B, \quad (5)$$

where  $G_{p, q, \alpha}(r) = A_{p, q, \alpha} R_{p, q, \lambda}(r^2) r^{p+q}$ . The series on the right of (5) converges absolutely and uniformly for  $\eta, \zeta \in \partial B$  and  $0 \leq r \leq \rho$  for each  $\rho < 1$ .

*Proof.* For  $p, q \geq n|\alpha|$ , the following estimate of  $F(n\alpha + p, n\alpha + q; n + p + q; r^2)$  follows easily from the formulas (9.5.3) and (9.3.4) in [L] for the hypergeometric functions:

$$\begin{aligned} &|F(n\alpha + p, n\alpha + q; n + p + q; r^2)| \\ &\leq F(n|\alpha| + p, n|\alpha| + q; n + p + q; r^2) \\ &\leq F(n|\alpha| + p + n, n|\alpha| + q; n + p + q; r^2) \\ &= (1 - r^2)^{-2n|\alpha|} F(q - n|\alpha|, n(1 - |\alpha|) + p; n + p + q; r^2) \\ &\leq (1 - r^2)^{-2n|\alpha|} F(q - n|\alpha|, n(1 - |\alpha|) + p; n + p + q; r^1) \\ &= (1 - r^2)^{-2n|\alpha|} \frac{\Gamma(n + p + q)\Gamma(2n|\alpha|)}{\Gamma(n + n|\alpha| + p)\Gamma(n|\alpha| + q)}. \end{aligned} \quad (6)$$

From (4), (6) and Corollary 2.4 (a), we have the following estimate for  $G_{p, q, \alpha}$ :

$$\begin{aligned} |G_{p, q, \alpha}(r)| &\leq \left| \frac{(n\alpha)_p (n\alpha)_q \Gamma(n)}{\Gamma(n + p + q)} R_{p, q, \lambda}(r^2) \right| \\ &\leq \frac{(n|\alpha|)_p (n|\alpha|)_q \Gamma(n)}{\Gamma(n + p + q)} (1 - r^2)^{\operatorname{Re} \alpha} \\ &\quad \times |F(n\alpha + p, n\alpha + q; n + p + q; r^2)| \\ &\leq (1 - r^2)^{\operatorname{Re} \alpha - 2n|\alpha|} \frac{\Gamma(n|\alpha| + p)\Gamma(n|\alpha| + q)\Gamma(n)}{\Gamma(n|\alpha|)^2 \Gamma(n + p + q)} \\ &\quad \times \frac{\Gamma(2n|\alpha|)}{\Gamma(n + n|\alpha| + p)\Gamma(n|\alpha| + q)} \\ &= (1 - r^2)^{\operatorname{Re} \alpha - 2n|\alpha|} \frac{\Gamma(n)\Gamma(2n|\alpha|)}{\Gamma(n|\alpha|)^2} \frac{\Gamma(n|\alpha| + p)}{\Gamma(n + p + n|\alpha|)} \\ &\leq (1 - r^2)^{\operatorname{Re} \alpha - 2n|\alpha|} \frac{\Gamma(n)\Gamma(2n|\alpha|)}{\Gamma(n|\alpha|)^2}. \end{aligned} \quad (7)$$

Now, since  $K_{p,q}(\eta, \zeta)$  is uniformly dominated by  $(p + q + 1)^{2n}$  times a constant depending only on  $n$ , it follows from (7) that

$$\begin{aligned} & \sum_{p, q > n|\alpha|} |G_{p,q,\alpha}(r)K_{p,q}(\eta, \zeta)| \\ & \leq C(n, \alpha)(1 - r^2)^{\operatorname{Re} \alpha - 2n|\alpha|} \sum_{k > 2n|\alpha|} r^2(k + 1)^{2n} \end{aligned}$$

for some positive constant  $C(n, \alpha)$  depending only on  $n$  and  $\alpha$ . Therefore the series (5) converges absolutely and uniformly for  $\zeta, \eta \in \partial B$  and  $r \leq \rho < 1$ .

Now, fix  $r < 1$ . Let  $f \in \mathcal{H}_s$ . Then  $f = \sum_{p+q=s} f_{p,q}$  where  $f_{p,q} = \pi_{p,q} f \in H(p, q)$  [R1, 12.2.2]. Hence, by Theorem 2.3,

$$\begin{aligned} P^\alpha[f](z) &= \int_{\partial B} P^\alpha(r\eta, \zeta)f(\zeta) d\sigma(\zeta) \\ &= \sum_{p+q=s} \int_{\partial B} P^\alpha(r\eta, \zeta)f_{p,q}(\zeta) d\sigma(\zeta) \\ &= \sum_{p+q=s} A_{p,q,\alpha}R_{p,q,\lambda}(r^2)f_{p,q}(r\eta) \\ &= \sum_{p+q=s} G_{p,q,\alpha}(r)f_{p,q}(\eta). \end{aligned} \tag{8}$$

Since

$$f_{p,q}(\eta) = (\pi_{p,q}f)(\eta) = \int_{\partial B} K_{p,q}(\eta, \zeta)f(\zeta) d\sigma(\zeta),$$

(8) has the following form

$$\begin{aligned} P^\alpha[f](r\eta) &= \int_{\partial B} \sum_{p+q=s} G_{p,q,\alpha}(r)K_{p,q}(\eta, \zeta)f(\zeta) d\sigma(\zeta) \\ &= \int_{\partial B} \sum_{p,q=0}^\infty G_{p,q,\alpha}(r)K_{p,q}(\eta, \zeta)f(\zeta) d\sigma(\zeta) \end{aligned} \tag{9}$$

for  $f \in \mathcal{H}_s$ . Since the linear span of  $\cup_{s=0}^\infty \mathcal{H}_s$  is dense in  $C(\partial B)$ , (9) is true for any  $f \in C(\partial B)$ . Therefore we have (5).

### 3. Integral representations of functions in $X_\lambda$

For a function  $f$  continuous on  $B$  and  $0 \leq r < 1$ , we let  $f_r$  denote the function defined on  $\partial B$  by

$$f_r(\zeta) = f(r\zeta) \quad (\zeta \in S)$$

and we define  $\tilde{\pi}_{pq}f$  by

$$(\tilde{\pi}_{pq}f)(z) = (\pi_{pq}f_r)(\zeta) \quad (z = r\zeta).$$

For  $f \in L^2(\partial B)$ , we denote, as usual,  $\int_{\partial B} |f(\zeta)|^2 d\sigma(\zeta)$  by  $\|f\|_2^2$ . For  $\alpha > \frac{1}{2}$ , we have the following characterization of functions of the form  $g = P^\alpha[G]$  for  $G \in L^2(\partial B)$ .

**3.1. PROPOSITION.** *Let  $\alpha > \frac{1}{2}$ . Then  $g = P^\alpha[G]$  for some  $G \in L^2(\partial B)$  if and only if  $g \in X_\lambda$  and*

$$\sup_{0 \leq r < 1} \int_{\partial B} |(1 - r^2)^{n(\alpha-1)} g(r\zeta)|^2 d\sigma(\zeta) < \infty. \tag{1}$$

*Proof.* Suppose  $g = P^\alpha[G]$  and  $G \in L^2(\partial B)$ . It is known that  $g \in X_\lambda$ . We recall that if  $\alpha > \frac{1}{2}$  then

$$\int_{\partial B} P^\alpha(r\zeta, \eta) d\sigma(\eta) = \int_{\partial B} \frac{(1 - r^2)^{n\alpha}}{|1 - r\eta_1|^{2n\alpha}} d\sigma(\eta) \approx (1 - r^2)^{n(1-\alpha)}.$$

We denote the integral on the left by  $\Delta(n, \alpha, r)$  for convenience. We use Jensen's inequality to get

$$\begin{aligned} & \int_{\partial B} |g(r\zeta)|^2 d\sigma(\zeta) \\ &= \int_{\partial B} \Delta(n, \alpha, r)^2 \left| \frac{1}{\Delta(n, \alpha, r)} \int_{\partial B} P^\alpha(r\zeta, \eta) G(\eta) d\sigma(\eta) \right|^2 d\sigma(\zeta) \\ &\leq \Delta(n, \alpha, r)^2 \frac{1}{\Delta(n, \alpha, r)} \int_{\partial B} |G(\eta)|^2 d\sigma(\eta) \int_{\partial B} P^\alpha(r\zeta, \eta) d\sigma(\zeta) \\ &= \Delta(n, \alpha, r)^2 \|G\|_2^2 \approx (1 - r^2)^{2n(1-\alpha)} \|G\|_2^2. \end{aligned}$$

Therefore (1) follows.

Suppose  $g \in X_\lambda$  and (1) holds. It follows from [R2, Theorem 2.6] that

$$(\tilde{\pi}_{pq}g)(z) = R_{p,q,\lambda}(|z|^2)g_{pq}(z) \quad (z \in B)$$

for some  $g_{pq} \in H(p, q)$ . Since  $g$  is real-analytic in  $B$ ,  $g$  lies in the closed linear span of  $\tilde{\pi}_{pq}g$  [R2, Theorem 2.3]. Hence

$$g(z) = \lim_{N \rightarrow \infty} \sum_{p+q \leq N} R_{p,q,\lambda}(|z|^2)g_{pq}(z) \tag{2}$$



in the topology of uniform convergence on compact subsets of  $B$ . In particular, (2) holds pointwise.

We will show that the following defines a function  $G$  in  $L^2(\partial B)$ :

$$G(\zeta) = \sum_{p,q} A_{p,q,\alpha}^{-1} g_{pq}(\zeta) \quad (\zeta \in \partial B) \tag{3}$$

From (1) and (2), we have

$$\begin{aligned} \infty > C &\geq \int_{\partial B} (1 - r^2)^{2n(\alpha-1)} |g(r\zeta)|^2 d\sigma(\zeta) \\ &= \sum_{p,q=0}^{\infty} (1 - r^2)^{2n(\alpha-1)} R_{p,q,\lambda}(r^2)^2 r^{2(p+q)} \|g_{p,q}\|_2^2. \end{aligned} \tag{4}$$

By Corollary 2.4,

$$(1 - r^2)^{n(\alpha-1)} R_{p,q,\lambda}(r^2) = F(n(1 - \alpha) + p, n(1 - \alpha) + q; n + p + q; r^2),$$

which increases to

$$B_{p,q,\alpha} \equiv \frac{\Gamma(n + p + q)\Gamma(2n\alpha - n)}{\Gamma(n\alpha + p)\Gamma(n\alpha + q)}$$

as  $r \nearrow 1$  since  $\alpha > \frac{1}{2}$ . Therefore if we take limit as  $r \nearrow 1$  in (4) we get

$$\sum B_{p,q,\alpha} \|g_{p,q}\|_2^2 < \infty.$$

Since

$$A_{p,q,\alpha} \cdot B_{p,q,\alpha} = \frac{\Gamma(n)\Gamma(2n\alpha - n)}{\Gamma(n\alpha)^2}$$

is a constant depending only on  $n$  and  $\alpha$ , (3) and (5) imply  $G \in L^2(\partial B)$ . If we let

$$G_N(\zeta) = \sum_{p+q \leq N} A_{p,q,\alpha}^{-1} g_{pq}(\zeta) \quad (\zeta \in \partial B) \tag{6}$$

and fix  $z \in B$ , it is easy to see, via Schwarz inequality and the fact that  $G_N \rightarrow G$  in  $L^2(\partial B)$ , that

$$\lim_{N \rightarrow \infty} P^\alpha[G_N](z) = P^\alpha[G](z). \tag{7}$$

Therefore by (7), (6) and Theorem 2.3, we have

$$P^\alpha[G](z) = \lim_{N \rightarrow \infty} \sum_{p+q \leq N} R_{p,q,\lambda}(|z|^2) g_{p,q}(z) = g(z).$$

This completes the proof.

#### 4. The $\mathcal{H}$ -subspace $Y_4$

If  $f$  is real-analytic in  $B$  then  $f$  has a homogeneous expansion

$$f(z) = \sum_{k=0}^{\infty} P_k(z, \bar{z})$$

where  $P_k$  is a homogeneous polynomial in  $z_1, \dots, z_n$  and  $\bar{z}_1, \dots, \bar{z}_n$  of total degree  $k$ . Let  $\beta > 0$  be real. We define the radial derivative  $\mathcal{D}^\beta f$  of  $f$  of order  $\beta$  by

$$\mathcal{D}^\beta f(z) = \sum_k (k + 1)^\beta P_k(z, \bar{z}).$$

We give a sufficient condition for a function  $f$  in  $X_\lambda$  to be in  $Y_4$ . When  $\alpha = 1$ , this reduces to a result in [AR, R3], which gives a sufficient condition for an  $\mathcal{H}$ -harmonic function to be pluriharmonic.

4.1. THEOREM. *Let  $\alpha > \frac{1}{2}$  and let  $f \in X_\lambda$ . If*

$$\int_{\partial B} |\mathcal{D}^{n(2\alpha-1)}(1 - r^2)^{n(\alpha-1)} f(r\zeta)|^2 d\sigma(\zeta) = o\left(\log^2 \frac{1}{1-r}\right) \tag{1}$$

as  $r \rightarrow 1$ , then  $f \in Y_4$ . In fact, if  $\alpha = 1 + m/n$ , or if  $\lambda = 4m(n + m)$ ,  $m = 0, 1, 2, \dots$ , then  $f = P^\alpha[F]$  for some  $F = \sum_{\Omega_1 \cup \Omega_2} F_{p,q} \in L^2(\partial B)$  where  $F_{p,q} \in H(p, q)$  and  $\Omega_1, \Omega_2$  are as in 1.4; if  $\alpha \neq 1 + m/n$ , or if  $\lambda \neq 4m(n + m)$ ,  $m = 0, 1, 2, \dots$ , then  $f \equiv 0$ .

*Proof.* We first note that (1) implies that  $f$  satisfies the hypothesis of Proposition 3.1. In fact, if we let  $u(z) = (1 - |z|^2)^{n(\alpha-1)} f(z)$  and  $h(z) = \mathcal{D}^{n(2\alpha-1)} u(z)$ , then

$$u(z) = \frac{1}{\Gamma(n(2\alpha - 1))} \int_0^1 \left(\log \frac{1}{t}\right)^{n(2\alpha-1)-1} h(tz) dt.$$

Therefore  $\int_{\partial B} |u(r\xi)|^2 d\sigma(\xi)$  is bounded if

$$\int_{\partial B} d\sigma(\xi) \left\{ \int_0^1 (1-t)^{n(2\alpha-1)-1} |h(tr\xi)| dt \right\}^2 \tag{2}$$

is bounded. (2) is, by Minkowski inequality, at most

$$\left\{ \int_0^1 (1-t)^{n(2\alpha-1)-1} dt \left( \int_{\partial B} |h(tr\xi)|^2 d\sigma(\xi) \right)^{1/2} \right\}^2,$$

which is bounded by

$$\left( \int_0^1 (1-t)^{n(2\alpha-1)-1} \log \frac{1}{1-t} dt \right)^2 < \infty$$

uniformly on  $r$  by (1).

Now, by Proposition 3.1, there is an  $F \in L^2(\partial B)$  such that  $f = P^\alpha[F]$ . From 1.2.(d),

$$F(\xi) = \sum_{p,q} F_{p,q}(\xi)$$

in  $L^2(\partial B)$ , where  $F_{p,q} \in H(p, q)$ . Let

$$F_N = \sum_{p+q \leq N} F_{p,q}$$

and let  $f_N(z) = P^\alpha[F_N]$ . Then

$$f_N(z) = \sum_{p+q \leq N} A_{p,q,\alpha} R_{p,q,\lambda}(|z|^2) F_{pq}(z) \quad (z \in B). \tag{3}$$

On the other hand, since  $F_N \rightarrow F$  in  $L^2(\partial B)$ , the difference

$$\begin{aligned} & \mathcal{D}^{n(2\alpha-1)}u(r\eta) - \mathcal{D}^{n(2\alpha-1)}(1-r^2)^{n(\alpha-1)}f_N(r\eta) \\ &= \int_{\partial B} \mathcal{D}^{n(2\alpha-1)} \left[ (1-r^2)^{n(\alpha-1)} P^\alpha(r\eta, \xi) \right] (F - F_N)(\xi) d\sigma(\xi) \end{aligned}$$

tends to 0 in  $L^2(\partial B)$  once  $r$  is fixed. Hence, by the orthogonality of  $\{F_{p,q}\}$  and by (3), we have

$$\begin{aligned} & \int_{\partial B} |\mathcal{D}^{n(2\alpha-1)}u(r\xi)|^2 d\sigma(\xi) \\ &= \sum_{p,q} |A_{p,q,\alpha}|^2 \|F_{p,q}\|_2^2 \left| \mathcal{D}^{n(2\alpha-1)} \left[ (1-r^2)^{n(\alpha-1)} R_{p,q,\lambda}(r^2) r^{p+q} \right] \right|^2. \tag{4} \end{aligned}$$

Now, by Corollary 2.4,

$$\begin{aligned}
 & \mathcal{D}^{n(2\alpha-1)} \left[ (1-r^2)^{n(\alpha-1)} R_{p,q,\lambda}(r^2) r^{p+q} \right] \\
 &= \mathcal{D}^{n(2\alpha-1)} \left[ F(n(1-\alpha) + p, n(1-\alpha) + q; n + p + q; r^2) r^{p+q} \right] \\
 &= \sum_k \frac{(n - n\alpha + p)_k (n - n\alpha + q)_k}{(n + p + q)_k \cdot k!} (2k + p + q + 1)^{n(2\alpha-1)} r^{2k+p+q}.
 \end{aligned} \tag{5}$$

We note that if neither  $n - n\alpha + p$  nor  $n - n\alpha + q$  is a nonpositive integer then

$$\frac{(n - n\alpha + p)_k (n - n\alpha + q)_k}{(n + p + q)_k k!} (2k + p + q + 1)^{n(2\alpha-1)} \approx \frac{1}{k},$$

as  $k \rightarrow \infty$ ; so that (5)  $> C \log(1/1 - r)$  for some positive constant  $C = C(n, \alpha, p, q)$ . The hypothesis (1) now implies by (4) and (5) that  $F_{pq} = 0$  unless either  $n - n\alpha + p$  or  $n - n\alpha + q$  is nonpositive integer. Therefore if  $\alpha \neq 1 + m/n$ ,  $m = 0, 1, 2, \dots$  then  $f \equiv 0$  and if  $\alpha = 1 + m/n$ ,  $m = 0, 1, 2, \dots$  then  $F_{pq} = 0$  unless either  $0 \leq p \leq m$  or  $0 \leq q \leq m$ ; so  $f \in Y_4$ . This completes the proof.

4.2. Remark. The function  $f(z) = R_{p,q,\lambda}(|z|^2) z_1^p \bar{z}_2^q$  belongs to  $X_\lambda$  but

$$\int_{\partial B} |\mathcal{D}^{n(2\alpha-1)}(1-r^2)^{n(\alpha-1)} f(r\xi)|^2 d\sigma \approx \left( \log^2 \frac{1}{1-r} \right)$$

as  $r \rightarrow 1$  for large  $p$  and  $q$ . Since such  $f$  is not in  $Y_4$ , we can say that the growth condition (1) is best possible.

### 5. $\mathcal{M}$ -subspace $Y_3$

Finally, we have the following characterization of  $Y_3$  for the case  $\lambda = 4m(m + n)$  or  $\alpha = -m/n$ ,  $m = 0, 1, 2, \dots$

5.1. THEOREM. If  $\lambda = 4m(m + n)$  or  $\alpha = -m/n$ ,  $m = 0, 1, 2, \dots$  then  $Y_3 = \mathcal{M}_\alpha$ .

5.2. LEMMA.  $\mathcal{M}_\alpha$  is a subspace of  $X_\lambda$  which is invariant under  $\text{Aut}(B)$ .

Proof. We have seen that  $\mathcal{M}_\alpha$  is a subspace of  $X_\lambda$  in 1.5. For  $\psi \in \text{Aut}(B)$  and

$$f(z) = \int_{\partial B} P^\alpha(z, \xi) d\mu(\xi), \quad z \in B,$$

where  $\mu$  is a complex Borel measure on  $\partial B$ , we shall show that  $f \circ \varphi \in \mathcal{M}_\alpha$ . Let  $\psi(a) = 0$  with  $|a| < 1$ . Then  $\psi = U\varphi_a$  where  $U$  is a unitary transformation of  $\mathbf{C}^n$  and

$$\varphi_a(z) = \frac{a - |a|^{-2}\langle z, a \rangle a - \sqrt{1 - |a|^2}(z - |a|^{-2}\langle z, a \rangle a)}{1 - \langle z, a \rangle} \quad (a \neq 0)$$

and  $\varphi_a(z) = -z(a = 0)$ . By a familiar calculation as in [R1], we have, for  $\eta = \varphi_a(U^{-1}\zeta)$ ,

$$\begin{aligned} (f \circ \psi)(z) &= (f \circ U\varphi_a)(z) = \int_{\partial B} P^\alpha(U\varphi_a(z), \zeta) d\mu(\zeta) \\ &= \int_{\partial B} P^\alpha(\varphi_a(z), U^{-1}\zeta) d\mu(\zeta) \\ &= \int_{\partial B} \left( \frac{1 - |\varphi_a(z)|^2}{|1 - \langle \varphi_a(z), U^{-1}\zeta \rangle|^2} \right)^{n\alpha} d\mu(\zeta) \\ &= \int_{\partial B} \left( \frac{1 - |\varphi_a(z)|^2}{|1 - \langle \varphi_a(z), \varphi_a(\eta) \rangle|^2} \right)^{n\alpha} d\mu(U\varphi_a(\eta)) \\ &= \int_{\partial B} \left( \frac{1 - |z|^2}{|1 - \langle z, \eta \rangle|^2} \right)^{n\alpha} \left( \frac{|1 - \langle a, \eta \rangle|^2}{1 - |a|^2} \right)^{n\alpha} d\mu(U\varphi_a(\eta)) \\ &= \int_{\partial B} P^\alpha(z, \eta) \left( \frac{|1 - \langle a, \eta \rangle|^2}{1 - |a|^2} \right)^{n\alpha} d\mu(U\varphi_a(\eta)). \end{aligned}$$

We used the identities in Theorem 2.2.2 of [R1]. We note for  $\eta \in S$ ,

$$1 - |a| \leq |1 - \langle a, \eta \rangle| \leq 1 + |a|.$$

Therefore if  $a \in B$  is fixed then

$$\left| \left( \frac{|1 - \langle a, \eta \rangle|^2}{1 - |a|^2} \right)^{n\alpha} \right|$$

is uniformly bounded on  $\partial B$ . Now we define

$$(\mu \circ \psi)(E) = \int_E \left( \frac{|1 - \langle a, \eta \rangle|^2}{1 - |a|^2} \right)^{n\alpha} d\mu(U\varphi_a(\eta)), \quad E \subset S,$$

then  $\mu \circ \psi$  is a complex Borel measure on  $\partial B$ . Thus  $f \circ \varphi \in \mathcal{M}_\alpha$ .

*Proof of Theorem 5.1.* Since  $\alpha = -m/n$ , we have

$$\begin{aligned} \int_{\partial B} P^\alpha(z, \zeta) d\mu(\zeta) &= \int_{\partial B} \left( \frac{1 - |z|^2}{|1 - \langle z, \eta \rangle|^2} \right)^{-m} d\mu(\zeta) \\ &= \frac{1}{(1 - |z|^2)^m} \int_{\partial B} (1 - \langle z, \zeta \rangle)^m (1 - \langle \zeta, z \rangle)^m d\mu(\zeta) \\ &= \frac{1}{(1 - |z|^2)^m} \int_{\partial B} \sum_{j, k=0}^m \binom{m}{j} \binom{m}{k} \\ &\quad \times (-1)^{j+k} \langle z, \zeta \rangle^j \langle \zeta, z \rangle^k d\mu(\zeta) \\ &= \frac{1}{(1 - |z|^2)^m} \sum_{|\alpha|, |\beta|=0}^m C(\alpha, \beta) z^\alpha \bar{z}^\beta \int_{\partial B} \bar{\zeta}^\alpha \zeta^\beta d\mu(\zeta) \\ &= \frac{1}{(1 - |z|^2)^m} \sum_{|\alpha|, |\beta|=0}^m C'(\alpha, \beta) z^\alpha \bar{z}^\beta \end{aligned}$$

where  $C(\alpha, \beta)$  and  $C'(\alpha, \beta)$  are constants depending on the multiindices  $\alpha$  and  $\beta$ . This shows that  $\mathcal{M}_\alpha$  is a finite dimensional subspace of  $X_\lambda$  which is invariant under  $\text{Aut}(B)$ . Therefore it is also closed. Hence  $\mathcal{M}_\alpha = Y_3$  from 1.4.

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