## ON A CLASS OF DOUBLY TRANSITIVE PERMUTATION GROUPS<sup>1</sup>

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### 1. Introduction

In this paper we will study permutation groups satisfying the following conditions.

HYPOTHESIS I. G is a doubly transitive permutation group on m + 1 letters in which no nontrivial permutation leaves three letters fixed.

All known examples of permutation groups G satisfying Hypothesis I either contain a normal subgroup of order m + 1 or are contained in an exactly<sup>2</sup> triply transitive permutation group  $G_0$ , with  $[G_0:G] \leq 2$ . In the latter case it is known [10] that  $m = p^e$  for some prime p and that the Sylow p-groups of G are abelian. In view of this it seems reasonable to conjecture that the only permutation groups satisfying Hypothesis I are the ones just mentioned. In this paper we prove the following result which is a step in the direction of the conjecture.

THEOREM 1. Let G be a permutation group of order qm(m + 1) which satisfies Hypothesis I. Then either G contains a normal subgroup of order m + 1, or  $m = p^e$  for some prime p. In the latter case,  $[S_p: S'_p] < 4q^2$ , where  $S_p$  is the Sylow p-group of G, and if  $S'_p = \{1\}$ , there exists an exactly triply transitive permutation group  $G_0$  containing G such that  $[G_0:G] \leq 2$ .

Section 2 is devoted to the proof of Theorem 2 which is the main result of this paper. This theorem enables one to compute a large part of the character table for groups G which contain a subgroup M satisfying certain conditions (Hypothesis II in Section 2). The proof of Theorem 2 uses the fundamental result recently proved by J. G. Thompson [9], which together with the results of [5] and [7] show that the regular subgroup of a Frobenius group<sup>3</sup> is nilpotent. The special case of Theorem 2 in which the subgroup M is abelian was proved by R. Brauer and M. Suzuki [8] and has turned out to be a powerful tool in the study of finite linear groups<sup>4</sup> (see for example [3], [8]). Since

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<sup>&</sup>lt;sup>2</sup> An exactly k-tuply transitive permutation group is a k-tuply transitive permutation group in which only the identity element leaves k or more letters fixed.

<sup>&</sup>lt;sup>3</sup> By a Frobenius group is meant a group which contains a proper normal subgroup M, called the regular subgroup, with the property that no element in  $M - \{1\}$  commutes with any element not in M. Elementary properties of such groups can be found in [5, Section 2].

<sup>&</sup>lt;sup>4</sup> I am indebted to the authors of [3] for allowing me to see a copy of their manuscript before publication.

it may be of interest independent of its application here, Theorem 2 is proved in a more general form than is actually needed for the proof of Theorem 1.

The methods used in Section 3 to derive Theorem 1 from Theorem 2 are similar to those used in [1] and [3]. It is easily seen from the proof of Theorem 1 that if Theorem 2 could be proved for the case in which M is a non-abelian p-group with  $[M:M'] < 4q^2$ , then the above-mentioned conjecture would be proved in full.<sup>5</sup>

The object of Section 4 is to classify those groups G which satisfy Hypothesis I, are not exactly doubly transitive, but contain a normal subgroup of order m + 1. Furthermore, transitive extensions of such groups are also classified.

For any subset T of a group G, C(T), N(T), |T|, will mean respectively the centralizer, normalizer, and number of elements in T. For any complex valued functions  $\xi_1$ ,  $\xi_2$  on G, the hermitian product  $(\xi_1, \xi_2)_G$  is defined by

$$(\xi_1, \xi_2)_{\mathfrak{G}} = (1/g) \sum_{\mathfrak{G}} \xi_1(x) \xi_2(x),$$

and the norm by  $\|\xi_1\|_{\sigma}^2 = (\xi_1, \xi_1)_{\sigma}$ . The subscript G will be dropped in cases where it is clear from the context which group is involved.

## 2. A theorem on characters

LEMMA 2.1. Let P be a p-group, and let  $\rho$  be an irreducible character of P with  $\rho(1) > 1$ . Then

$$\sum 
ho_i(1)^2 \equiv 0 \pmod{
ho(1)^2},$$

where the summation ranges over all irreducible characters  $\rho_i$  of P with  $\rho_i(1) < \rho(1)$ .

*Proof.* The degree of every irreducible character of P is a power of p; hence  $\rho(1) \leq \rho_i(1)$  is equivalent to  $\rho(1) | \rho_i(1)$ . The relation  $\sum \rho_i(1)^2 = |P|$ , where  $\rho_i$  ranges over all the irreducible characters of P, implies that  $\rho_i(1)^2$  is a power of p which is less than |P|, hence  $\rho_i(1)^2 | |P|$  for all i. Consequently

$$\sum_{\rho_i(1) < \rho(1)} \rho_i(1)^2 = |P| - \sum_{\rho_i(1) \ge \rho(1)} \rho_i(1)^2 \equiv 0 \pmod{\rho(1)^2},$$

as was to be shown.

Before getting to the main result of this section it is necessary to prove some lemmas about characters of groups related to Frobenius groups.

LEMMA 2.2. Let N be a group of order qmh which contains a normal subgroup of the form  $M \times H$ , where |M| = m, |H| = h. Suppose both M and H are normal subgroups of N, and N/H is a Frobenius group whose regular subgroup is  $(M \times H)/H$ . Let  $\zeta_0, \zeta_1, \cdots$  be the irreducible characters of  $(M \times H)/H$ , and let  $\lambda_0, \lambda_1, \cdots$  be the irreducible characters of  $(M \times H)/M$ , where  $\zeta_0 = \lambda_0$ is the trivial character of  $M \times H$ . Denote  $\zeta_i \lambda_s$  by  $\zeta_{is}$ . The character of N

<sup>&</sup>lt;sup>5</sup> I was informed by Professor M. Suzuki that he and H. Zassenhaus had independently proved Theorem 1 under the additional assumption that the subgroup M of G (which is shown to exist in Lemma 3.1) is abelian.

induced by  $\zeta_{is}$  will be written as  $\tilde{\zeta}_{is}$ . Then for  $i \neq 0$ ,  $\tilde{\zeta}_{is}$  is an irreducible character of N which vanishes outside of  $M \times H$ . Define  $\zeta_{is}^{x}$  by

$$\zeta_{is}^{x}(y) = \zeta_{is}(xyx^{-1})$$

for all y in  $M \times H$ . Then  $\xi_{is}^x = \xi_{is}$  and  $\xi_{is}^x \neq \xi_{is}$  for x in  $N - (M \times H), i \neq 0$ .

*Proof.* Assume first that s = 0. Then without loss of generality it may be assumed that  $H = \{1\}$ .

Suppose that M has  $k_1 + 1$  classes of conjugate elements and N/M has  $k_2$  classes of conjugate elements. Then it is easily seen that N has  $k_1/q + k_2$  irreducible characters, and the representations of  $k_2$  of these contain M in their kernel. Furthermore it is clear that  $\tilde{\zeta}_0$  is the character of the regular representation of N/M. Hence no irreducible character of N whose representation has M in its kernel occurs in any  $\tilde{\zeta}_i$  for  $i \neq 0$ .

Let Q be a subgroup of N of order q; then for y in M,

$$\tilde{\xi}_i(y) = \sum_{x \in Q} \zeta_i(xyx^{-1}) = \sum_{x \in Q} \zeta_i^x(y).$$

This immediately yields that  $(\tilde{\xi}_i, \tilde{\xi}_j)_N = 0$  unless  $\xi_j^x = \zeta_i$  for some  $x \in Q$ ; in that case  $\tilde{\xi}_i = \tilde{\xi}_j$ . Hence there are at least  $k_1/q$  distinct  $\tilde{\xi}_i$ , and at most  $k_1/q$  irreducible characters which can occur as constituents of the  $\tilde{\xi}_i$ . As no irreducible character is a constituent of two distinct  $\tilde{\xi}_i$ , there must be exactly  $k_1/q$  distinct  $\tilde{\xi}_i$ , and for each  $i = 1, 2, \dots, k_1/q$ ,  $\tilde{\xi}_i = d_i \hat{\xi}_i$ , where  $\hat{\xi}_i, \hat{\xi}_2, \dots$  are the irreducible characters of N whose representations do not contain M in their kernel. Only the characters  $\zeta_i^x, x \in Q$ , induce a given  $\tilde{\xi}_i$ . Hence for each i, there must be q distinct characters  $\zeta_i^x, x \in Q$ , since there are  $k_1$  nontrivial characters of M and only  $k_1/q$  distinct  $\tilde{\xi}_i$ . The Frobenius reciprocity theorem now implies that each  $\zeta_i^x, x \in Q$ , occurs as a constituent of  $\hat{\xi}_i$  when restricted to M. Hence  $\hat{\xi}_i(1) \geq qz_i = \tilde{\xi}_i(1)$ . Consequently  $\tilde{\xi}_i = \hat{\xi}_i$  is irreducible.

Assume now that  $s \neq 0$ . It is clear that  $\tilde{\zeta}_{is}^x = \tilde{\zeta}_{is}$ . Since  $\zeta_{is}^x = (\zeta_i^x)(\lambda_s^x)$ , the equation  $\zeta_{is}^x = \zeta_{is}$  would imply that  $\zeta_i^x = \zeta_i$ , and we have already shown this to be false. To complete the proof it is now only necessary to show that  $\tilde{\zeta}_{is}$  is an irreducible character of N. This will be done by showing that it has norm one. Since  $\tilde{\zeta}_{is} = \sum_x \zeta_{is}^x$ , where x ranges over a system of coset representations of  $M \times H$  in N, we get

$$\begin{aligned} \| \tilde{\xi}_{is} \|_{N}^{2} &= (1/qmh) \sum_{M \times H} | \tilde{\xi}_{is}(y) | = (1/qmh) \sum_{x_{1},x_{2}} \sum_{M \times H} \{ \zeta_{is}^{x_{1}}(y) \} \{ \zeta_{is}^{x_{2}}(y) \} \\ &= (1/q) \sum_{x_{1},x_{2}} \delta(x_{1}, x_{2}) = 1, \end{aligned}$$

where  $\delta(x_1, x_2) = 1$  or 0 according to whether  $x_1 = x_2$  or  $x_1 \neq x_2$ . This completes the proof of the lemma.

**LEMMA 2.3.** Let M, N have the same meaning as in Lemma 2.2, and assume that  $H = \{1\}$ . Suppose that M is not a non-abelian p-group with  $[M:M'] < 4q^2$ . Then for any irreducible character  $\zeta$  of M of degree z > 1

$$\sum z_i^2 > 2qz$$
,

where the  $z_i$  range over the degrees of all characters  $\zeta_i$  of M,  $\zeta_i \neq \zeta_0$ , with  $z_i < z$ .

*Proof.* For any character  $\zeta$  of M of degree z > 1, let  $A(\zeta) = A(z)$  denote  $\sum z_i^2$ , where  $z_i$  ranges over the degrees of all nontrivial characters of M with  $z_i < z$ .

If M is abelian, the result is trivial, since there are no characters of degree z > 1. Hence we may assume that M is not abelian. Therefore by a well known theorem of Burnside [4, p. 172] q is odd. It follows from [5], [7], [9] that M is nilpotent. Two cases will be considered:

(I) 
$$A(z) \ge 4q^2$$
, (II)  $A(z) < 4q^2$ .

Case (I)  $A(z) \ge 4q^2$ . Let p be a prime dividing z. Since M is nilpotent,  $M = S_p \times M_0$  where  $S_p$  is a p-group and the order of  $M_0$  is not divisible by p. The character  $\zeta$  can be written as  $\zeta = \rho\lambda$ , where  $\rho$  is an irreducible character of  $M/M_0$  of degree  $\rho(1) > 1$  and  $\lambda$  is an irreducible character of  $M/S_p$ . It follows from Lemma 2.1 that

$$\sum \rho_i(1)^2 \ge \rho(1)^2,$$

where  $\rho_i$  ranges over all irreducible characters of  $M/M_0$  with  $\rho_i(1) < \rho(1)$ . The characters  $\rho_i \lambda$  are all distinct and have degree  $\rho_i(1)\lambda(1) < \rho(1)\lambda(1) = z$ ; hence

$$A(z) + 1 \ge \sum \rho_i(1)^2 \lambda(1)^2 \ge \rho(1)^2 \lambda(1)^2 = z^2.$$

Since  $A(z) \ge 4q^2$ , this implies that

$${A(z) + 1}^2 > 4q^2z^2;$$

therefore A(z) + 1 > 2qz. As A(z) is an integer, this yields that  $A(z) \ge 2qz$ .

If A(z) = 2qz, then  $2qz \ge 4q^2$ ; hence  $z \ge 2q$ . Since z divides m which is relatively prime to q, this implies that  $z \ge 2q + 1$ . Therefore

$$2qz + 1 = A(z) + 1 \ge z^2 \ge z(2q + 1).$$

This is clearly impossible as z > 1. Therefore  $A(z) \neq 2qz$ ; hence A(z) > 2qz.

Case (II)  $A(z) < 4q^2$ . The number of characters of M of degree 1 is [M:M']; hence  $[M:M'] \leq A(z) + 1 \leq 4q^2$ . Since (m, q) = 1, this implies that  $[M:M'] < 4q^2$ . Therefore by assumption M is not a p-group. Let  $p_1, p_2, \cdots, p_k$  be the distinct primes dividing m; then

$$M = S_{p_1} \times S_{p_2} \times \cdots \times S_{p_k},$$

where  $S_{p_i}$  is a  $p_i$ -group, k > 1. Furthermore

$$[M:M'] = \prod_{i=1}^{k} [S_{p_i}:S'_{p_i}].$$

It follows from [5, Lemma 2.5], that q divides  $[S_{p_i}:S'_{p_i}] - 1$ . If  $p_i$  is odd, this implies that 2q divides  $[S_{p_i}:S'_{p_i}] - 1$ , since q is odd. Hence if at least two odd primes were to divide m, it would follow that  $4q^2 < [M:M']$ , in contradiction to a previous inequality. Therefore  $M = S_2 \times S_p$ , where p is an odd prime, and neither  $S_p$  nor  $S_2$  has order one. Furthermore

$$A(z) \ge [M:M'] - 1 > 2q^2.$$

The character  $\zeta$  of M can be written in the form  $\zeta = \rho \lambda$ , where  $\rho$  is a character of  $M/S_2$ , and  $\lambda$  is a character of  $M/S_p$ . Either  $\rho(1) \neq 1$ , or  $\lambda(1) \neq 1$ . The proof will be broken up into three cases: (i)  $\lambda(1) = 1$ , (ii)  $\rho(1) = 1$ , (iii)  $\lambda(1) \neq 1 \neq \rho(1)$ .

Case (i)  $\lambda(1) = 1$ ; therefore  $\rho(1) \neq 1$ . Lemma 2.1 implies that

$$\sum {m 
ho}_i(1)^2 \geqq {m 
ho}(1)^2 - 1 > 2 {m 
ho}(1) = 2 z_i$$

where  $\rho_i$  ranges over all the nontrivial characters of  $M/S_2$  with  $\rho_i(1) < \rho(1)$ . The second inequality follows from the fact that  $\rho(1) \ge p \ge 3$ . There are at least q distinct characters  $\mu_j$  of  $M/S_p$  of degree 1, and the characters  $\rho_i \mu_j$ are all distinct as  $\rho_i$ ,  $\mu_j$  range over characters of  $M/S_2$ ,  $M/S_p$ , respectively. Hence

$$A(z) \ge q \sum \rho_i(1)^2 > 2qz.$$

Case (ii)  $\rho(1) = 1$ ; therefore  $\lambda(1) \neq 1$ . Lemma 2.1 implies that

 $\sum \lambda_i(1)^2 \ge \lambda(1)^2 - 1 > \lambda(1) = z,$ 

where  $\lambda_i$  ranges over the characters of  $M/S_p$  with  $\lambda_i(1) < \lambda(1)$ . There are at least 2q characters of  $M/S_2$  of degree 1. By an argument similar to that used in case (i), this yields that

$$A(z) \ge 2q \sum \lambda_i(1)^2 > 2qz$$

Case (iii)  $\rho(1) \neq 1 \neq \lambda(1)$ . Lemma 2.1 now yields that

$$\sum \lambda_i(1)^2 \ge \lambda(1)^2, \qquad \sum \rho_j(1)^2 \ge \rho(1)^2$$

where  $\lambda_i$ ,  $\rho_j$  range over the characters of  $M/S_p$ ,  $M/S_2$  with degree less than  $\lambda(1)$ ,  $\rho(1)$  respectively. Therefore

$$\begin{split} \sum_{i,j} \lambda_i(1)^2 \rho_j(1)^2 &\geq \lambda(1)^2 \rho(1)^2 = z^2, \\ \sum_i \lambda_i(1)^2 \rho(1)^2 &\geq \lambda(1)^2 \rho(1)^2 = z^2, \\ \sum_j \lambda(1)^2 \rho_j(1)^2 &\geq \lambda(1)^2 \rho(1)^2 = z^2. \end{split}$$

The degree of every character which occurs in any of the three sums is less than z, and no character of M appears twice. Therefore  $A(z) + 1 \ge 3z^2$ . Since  $A(z) > 2q^2$ , it follows that

$${A(z) + 1}^2 > 6z^2q^2;$$

therefore

$$A(z) + 1 > \sqrt{6} zq > 2zq + (2/5)zq.$$

Since  $zq \ge 4$ , this implies that A(z) + 1 > 2zq + 1; hence A(z) > 2zq. This completes the proof of Lemma 2.3.

In the remainder of this section, groups satisfying special assumptions will be studied. To prevent repetition, the basic hypothesis will be stated separately with the notation to be used. HYPOTHESIS II. The group G contains a subgroup of the form  $M \times H$ ,  $M \neq \{1\}$ , satisfying the following conditions:

(i) If y is in  $M \times H - H$ , then  $C(y) \subset M \times H$ .

(ii) For every x in G which is not contained in the normalizer of  $M \times H$ ,  $(M \times H) \cap x(M \times H)x^{-1} \subset H$ .

(iii)  $N(M \times H) \neq M \times H$ , and both H and M are normal subgroups of  $N(M \times H)$ .

Let |M| = m, |H| = h,  $|N(M \times H)| = qmh$ ; hence q > 1. Let  $\zeta_0$ ,  $\zeta_1, \cdots$  be the irreducible characters of  $(M \times H)/H$ , and let  $\lambda_0, \lambda_1, \cdots$  be the irreducible characters of  $(M \times H)/M$ , where  $\zeta_0 = \lambda_0$  is the trivial character of  $M \times H$ . Let  $z_i = \zeta_i(1)$ , and denote  $\zeta_i \lambda_s$  by  $\zeta_{is}$ . The characters of G,  $N(M \times H)$  induced by  $\zeta_{is}$  will be denoted by  $\zeta_{is}^*$ ,  $\tilde{\zeta}_{is}$ , respectively.

LEMMA 2.4. If G is a group which satisfies Hypothesis II, then  $N(M \times H) = N(M)$ , and  $N(M \times H)/H$  is a Frobenius group whose regular subgroup is  $(M \times H)/H$ . Furthermore no element of H is conjugate to any element of  $M \times H - H$ , and for y in  $M \times H - H$ ,  $\xi_{is}(y) = \xi_{is}^*(y)$  for all i, s.

*Proof.* By assumption  $N(M \times H) \subset N(M)$ . If  $x \in N(M)$ , then  $xHx^{-1} \subset C(M)$ ; therefore by (i),  $xHx^{-1} \subset M \times H$ ; hence  $x(M \times H)x^{-1} \subset M \times H$ . Consequently  $N(M) \subset N(M \times H)$ .

If  $y \in M \times H - H$ , then  $y = y_1 y_2$ , where  $y_1 \in M$ ,  $y_2 \in H$ ,  $y_1 \neq 1$ . Suppose there is an  $x \in N(M \times H) - M \times H$  such that  $xyx^{-1} \equiv y \pmod{H}$ . Since H is a normal subgroup of  $N(M \times H)$ , this implies that  $xy_1x^{-1} \equiv y_1 \pmod{H}$ . As M is normal in  $N(M \times H)$ ,  $xy_1x^{-1} \in M$ ; therefore  $xy_1x^{-1} \equiv y_1$  since each coset of H in  $M \times H$  contains exactly one element of M. This contradicts condition (i) of Hypothesis II. Consequently  $(M \times H)/H$  is a normal subgroup of  $N(M \times H)/H$  with the property that no element in  $(M \times H)/H$ , other than the identity, commutes with an element of  $N(M \times H)/H$  not in  $(M \times H)/H$ . By [5, Lemma 2.1] this proves that  $N(M \times H)/H$  is a Frobenius group whose regular subgroup is H.

If  $y \in H$ ,  $xyx^{-1} \in M \times H - H$ , then x is not in  $N(M \times H)$  since H is normal in  $N(M \times H)$ . Therefore

$$xyx^{-1} \epsilon x(M \times H)x^{-1} \cap (M \times H) \subset H;$$

hence  $xyx^{-1} \epsilon H \cap (M \times H - H)$  which is clearly impossible. Therefore no element of H is conjugate to any element of  $M \times H - H$ .

If  $x_1 = 1, x_2, \cdots$  form a system of coset representatives of  $N(M \times H)$  in G, then

$$\zeta_{is}^{*}(y) = \sum_{k} \tilde{\zeta}_{is}(x_{k} y x_{k}^{-1}).$$

Therefore it is sufficient to show that for  $k \neq 1$ ,  $y \in M \times H - H$ ,  $x_k y x_k^{-1}$  is not contained in  $M \times H$ . If this were not the case, then

$$x_k y x_k^{-1} \epsilon (M \times H) \cap x(M \times H) x^{-1} \subset H,$$

and  $y \in M \times H - H$  would be conjugate to an element of H, in contradiction to what has just been proved.

We are now in a position to state and prove the main result of this section. Only the special case h = 1 will be needed in the remainder of this paper. The following theorem generalizes a special case of [8, Lemma 5], which is essentially due to R. Brauer.

THEOREM 2. Let G be a group satisfying Hypothesis II. Suppose that  $q \neq m-1$ , and M is not a non-abelian p-group with  $[M:M'] < 4q^2$ . Then for each  $\lambda_s$ , there exist irreducible characters  $\chi_{1s}$ ,  $\chi_{2s}$ ,  $\cdots$  of G and a sign  $\varepsilon_s = \pm 1$  such that

(1) 
$$z_j \zeta_{is}^* - z_i \zeta_{js}^* = \varepsilon_s (z_j \chi_{is} - z_i \chi_{js})$$

for all  $i, j \neq 0$ . Furthermore for  $i \neq 0$ ,

(2) 
$$\zeta_{is}^* = \varepsilon_s \chi_{is} + a_s z_i \sum_j z_j \chi_{js} + z_i \Gamma_s,$$

where  $(\Gamma_s, \chi_{js}) = 0$  for all j.

*Remark.* In the language of [8, p. 662], the classes of G which contain elements of  $M \times H - H$  are called special classes. In analogy with the definition on p. 663 of [8], we will say that  $\chi_{1s}$ ,  $\chi_{2s}$ ,  $\cdots$  are the *exceptional* characters associated with  $\xi_{1s}$ ,  $\xi_{2s}$ ,  $\cdots$ .

*Proof.* If 
$$\zeta_{is}^* \neq \zeta_{js}^*$$
, then [8, Lemma 4] implies that

(3) 
$$||z_j\zeta_{is}^* - z_i\zeta_{js}^*||^2 = z_i^2 + z_j^2$$

for  $i, j \neq 0$ . Since  $z_j \zeta_{is}^* - z_i \zeta_{js}^*$  vanishes on elements of H by Lemma 2.4, and since for any class function  $\xi$  of  $M \times H$ , Lemma 2.4 implies that for y in  $M \times H - H$ ,  $\tilde{\xi}(y) = \xi^*(y)$ , an easy computation yields that

(4) 
$$(z_j \zeta_{is}^* - z_i \zeta_{js}^*, \xi^*)_G = (z_j \tilde{\zeta}_{is} - z_i \tilde{\zeta}_{js}, \tilde{\xi})_{N(M \times H)}.$$

For  $i \neq 0$ ,  $\tilde{\xi}_{is}$  is an irreducible character of  $N(M \times H)$  by Lemma 2.2. If M is abelian, all the characters  $\tilde{\xi}_{1s}$ ,  $\tilde{\xi}_{2s}$ ,  $\cdots$  have the same degree; hence the theorem is a special case of [8, Lemma 5]. Therefore it may be assumed that M is not abelian. Since M is isomorphic to the regular subgroup of the Frobenius group  $N(M \times H)/H$ , this implies that  $q \neq 2$  by [4, p. 172]. As M is nilpotent ([5], [7], [9]), the assumptions of the theorem imply that  $[M:M'] > q^2 + 1$ . Therefore by Lemma 2.2 there are at least q > 2 distinct characters  $\xi_{1s}^*$ ,  $\xi_{2s}^*$ ,  $\cdots$  with  $z_1 = z_2 = \cdots = 1$ . Hence by [8, Lemma 5], there exist irreducible characters  $\chi_{1s}$ ,  $\chi_{2s}$ ,  $\cdots$  of G such that for  $i, j \neq 0$ ,  $z_i = z_j = 1$ ,

(5) 
$$\zeta_{is}^* - \zeta_{js}^* = \varepsilon_s(\chi_{is} - \chi_{js}),$$

where  $\varepsilon_s = \pm 1$  is independent of *i*, *j*. As there are more than two such characters,  $\varepsilon_s$  is uniquely determined, and the characters  $\chi_{is}$  are well defined.

From now on, let  $\zeta_{1s}^*$  be a fixed character with  $z_1 = 1$ . Let  $E_s$  be the set

of all characters  $\zeta_{is}^*$ ,  $i \neq 0$ , such that there exists an irreducible character  $\chi_{is}$  of G with

(6) 
$$z_i \zeta_{1s}^* - \zeta_{is}^* = \varepsilon_s (z_i \chi_{1s} - \chi_{is})$$

By (5),  $E_s$  contains all  $\zeta_{is}^*$  with  $z_i = 1$ . If  $\zeta_{is}^*$ ,  $\zeta_{js}^*$  are in  $E_s$ , then

(7)  
$$z_{j}\zeta_{is} - z_{i}\zeta_{js} = z_{j}(\zeta_{is} - z_{i}\zeta_{1s}) - z_{i}(\zeta_{js} - z_{j}\zeta_{1s})$$
$$= \varepsilon_{s}\{z_{j}(\chi_{is} - z_{i}\chi_{1s}) - z_{i}(\chi_{js} - z_{j}\chi_{1s})\}$$
$$= \varepsilon_{s}(z_{j}\chi_{is} - z_{i}\chi_{js}).$$

Furthermore, for any  $k \neq 0$ , relations (4) and (7) imply that

(8) 
$$(\xi_{ks}^*, z_j \chi_{is} - z_i \chi_{js})_G = \varepsilon_s (\xi_{ks}, z_j \xi_{is} - z_i \xi_{js})_{N(M)}$$
$$= \varepsilon_s (z_j \delta'_{ik} - z_i \delta'_{jk}),$$

where  $\delta'_{jk} = 1$  if  $\zeta^*_{js} = \zeta^*_{ks}$  and  $\delta'_{jk} = 0$  otherwise. In particular, (8) implies (9)  $\zeta^*_{1s} = \varepsilon_s \chi_{1s} + a_s \sum z_i \chi_{is} + \Gamma_{1s}$ ,

where  $\chi_{is}$  ranges over all characters associated with some  $\zeta_{is}^*$  in  $E_s$ , and  $\Gamma_{1s}$  is orthogonal to each of these  $\chi_{is}$ . The relations (7) and (9) now imply that if  $\zeta_{ks}^*$  is in  $E_s$ , then

(10) 
$$\zeta_{ks}^* = \varepsilon_s \chi_{ks} + z_k a_s \sum z_i \chi_{is} + z_k \Gamma_{1s},$$

and if  $\zeta_{ks}^*$  is not in  $E_s$ , then

(11) 
$$\zeta_{ks}^* = b_{ks} \sum z_i \chi_{is} + \Gamma_{ks}$$

where the summations in (10), (11) range over all  $\chi_{is}$  associated with  $\zeta_{is}^*$  in  $E_s$ , and  $\Gamma_{1s}$ ,  $\Gamma_{ks}$  are orthogonal to each of these  $\chi_{is}$ .

The theorem will be proved once it is shown that  $E_s$  contains all characters  $\zeta_{is}^*$ ,  $i \neq 0$ , since in that case (7) is equivalent to (1), and (10) is equivalent to (2).

Suppose that  $E_s$  does not contain all characters  $\zeta_{is}^*$  for  $i \neq 0$ . Let  $\zeta$  be a character of this form of minimum degree z such that  $\zeta^*$  is not in  $E_s$ ; then z > 1 by a previous remark. The relations (9) and (11) imply that

(12) 
$$z\zeta_{1s}^* - \zeta^* = (z\varepsilon_s + za_s - b)\chi_{1s} + (za_s - b)\sum_{i\neq 1} z_i\chi_{is} + \Gamma',$$

where  $\Gamma' = z\Gamma_{1s} - \Gamma$ . Now (3) and (12) imply

(13) 
$$z^{2} + 1 = || z \zeta_{1s}^{*} - \zeta^{*} ||^{2} \\ = z^{2} + 2\varepsilon_{s} z(za_{s} - b) + (za_{s} - b)^{2} \sum z_{i}^{2} + || \Gamma' ||^{2}.$$

The summation ranges over all values of i such that  $\zeta_{is}^*$  is in  $E_s$ . The set  $E_s$  includes all  $\zeta_{is}^*$  with  $z_i < z, i \neq 0$ . By Lemma 2.2 and Lemma 2.4 there are exactly q distinct characters of  $M \times H$  which induce  $\zeta_{is}^*$ , namely the characters  $(\zeta_i \lambda_s)^x$ , where x ranges over a system of coset representatives of  $M \times H$  in  $N(M \times H)$ . Each of these has degree  $z_i \lambda_s(1)$ . Therefore the

expression  $\sum z_i^2$  in (13) is at least (1/q)A(z), where  $A(z) = \sum z_i^2$ , and the summation ranges over all  $\zeta_j$  with  $j \neq 0$ ,  $z_j < z$ . Lemma 2.3 now implies that the expression  $\sum z_i^2$  in (13) satisfies  $\sum z_i^2 > 2z$ .

Suppose  $(za_s - b) \neq 0$ . Then (13) yields

(14) 
$$1 > 2\varepsilon_s z(za_s - b) + 2z(za_s - b)^2 + ||\Gamma'||^2 = 2z\{(za_s - b)^2 + \varepsilon_s(za - b)\} + ||\Gamma'||^2.$$

It is easily seen that  $(za_s - b)^2 + \varepsilon_s(za_s - b) \ge 0$ ; clearly  $\|\Gamma'\|^2 \ge 0$ . Hence (14) implies that both these expressions vanish. Therefore  $\Gamma' = 0$ ,  $(za_s - b) = -\varepsilon_s$ . Substituting these values into (12) leads to

(15) 
$$z\zeta_{1s}^* - \zeta^* = \varepsilon_s\{(z-1)\chi_{1s} - \sum_{i\neq 1} z_i \chi_{is}\}.$$

Since the left-hand side of (15) has degree zero, so does the right-hand side. It follows from (6) that  $\chi_{is}(1) = z_i \chi_{1s}(1)$ ; hence

$$(z-1)\chi_{1s}(1) = \sum_{i\neq 1} z_i^2 \chi_{1s}(1).$$

Consequently

$$2z < \sum z_i^2 = (z - 1) + 1 = z,$$

which is impossible. Hence  $(za_s - b) = 0$ .

Now (13) implies that  $\|\Gamma'\|^2 = 1$ . Hence  $\Gamma' = \varepsilon \chi$ , where  $\varepsilon = \pm 1$  and  $\chi$  is an irreducible character of G. When these values are substituted in (12), we get

(16) 
$$z\zeta_{1s}^* - \zeta^* = \varepsilon_s(z\chi_{1s} + \varepsilon\varepsilon_s \chi).$$

As the degree of the left-hand side of (16) is zero, this is also the case for the right-hand side; therefore  $\varepsilon \varepsilon_s = -1$ . Consequently  $\zeta^*$  is in  $E_s$ , contradicting our assumption. Therefore every character  $\zeta_{is}^*$  is in  $E_s$ , and this suffices to prove the theorem.

COROLLARY 2.1. Let G satisfy the assumptions of Theorem 2. If  $\chi_{is}$ ,  $\chi_{js}$  are exceptional characters associated with  $\tilde{\zeta}_{is}$ ,  $\tilde{\zeta}_{js}$ , then  $z_j \chi_{is} - z_i \chi_{js}$  vanishes on elements which are not conjugate to some element of  $M \times H - H$ .

*Proof.* This is an immediate consequence of (1).

COROLLARY 2.2. Let G satisfy the assumptions of Theorem 2. Suppose  $\lambda_1$ ,  $\lambda_2$  are distinct characters of  $(M \times H)/M$ ; then a character  $\chi$  of G cannot be an exceptional character that is associated with both  $\xi_{i1}$  and  $\xi_{j2}$ , where  $\xi_i$ ,  $\xi_j$  are any two nontrivial characters of  $(M \times H)/H$ .

*Proof.* Suppose the statement is false. Let  $\zeta_1$  be a nontrivial character of  $(M \times H)/H$  of degree  $z_1 = 1$  with  $\xi_i \neq \xi_1$ . Then by (1) we get

$$z_i \zeta_{11}^* - \zeta_{i1}^* = \varepsilon_1 (z_i \chi_{11} - \chi),$$
  
$$z_j \zeta_{k2}^* - z_k \zeta_{j2}^* = \varepsilon_2 (z_j \chi_{12} - z_k \chi),$$

where k = 1 if  $\zeta_j^* \neq \zeta_1^*$ , k = i if  $\zeta_j^* = \zeta_1^*$ . This yields

(17) 
$$\varepsilon_1 z_k (z_i \zeta_{11}^* - \zeta_{i1}^*) - \varepsilon_2 (z_j \zeta_{k2}^* - z_k \zeta_{j2}^*) = z_i z_k \chi_{11} - z_j \chi_{12}$$

By using (4) and Lemma 2.2 the norm of the left-hand side is easily computed to be  $z_i^2 z_k^2 + z_k^2 + z_j^2 + z_k^2$ . This is strictly larger than  $z_i^2 z_k^2 + z_j^2$  which is larger than, or equal to, the norm of the right-hand side of (17). Hence (17) is impossible, and this proves the corollary.

COROLLARY 2.3. Let G satisfy the assumptions of Theorem 2. Then for y in  $M \times H - H$ 

$$\chi_{is}(y) = \varepsilon_s \, \tilde{\zeta}_{is}(y) + z_i \big\{ \sum_s a_s \sum_{j \neq 0} z_j \, \zeta_{js}(y) + \sum_s c_s \, \zeta_{0s}(y) \big\}.$$

If  $\chi$  is a character of G distinct from all the  $\chi_{is}$ , then

$$\chi(y) = \sum_{s} a_{s} \sum_{j \neq 0} z_{j} \zeta_{js}(y) + \sum_{s} c_{s} \zeta_{0s}(y).$$

*Proof.* This is an immediate consequence of the Frobenius reciprocity theorem applied to (1), (2), and Corollary 2.2.

COROLLARY 2.4. Let G satisfy the assumptions of Theorem 2, and suppose h = 1. There exists a rational integer c such that if  $\chi_i = \chi_{i0}$  is an exceptional character associated with  $\xi_i$ , then for any element y in  $M - \{1\}$ ,

$$\chi_i(y) = \varepsilon \tilde{\zeta}_i(y) + z_i c.$$

If  $\chi$  is not an exceptional character, then the restriction of  $\chi$  to  $M - \{1\}$  is a constant.

*Proof.* Since h = 1, Corollary 2.3 yields that if  $\chi_i$  is the exceptional character associated with  $\xi_i$ , then for y in  $M - \{1\}$ 

$$\chi_i(y) = \varepsilon \xi_i(y) + z_i a_0 \sum_j z_j \zeta_j(y) + z_i (c_0 - a_0) \zeta_0(y).$$

Since  $\sum z_j \zeta_j$  is the character of the regular representation of M, it vanishes on  $M - \{1\}$ . This implies the desired result. A similar argument applied to the nonexceptional character  $\chi$  shows that  $\chi$  is a constant on  $M - \{1\}$ .

# 3. The proof of Theorem 1

LEMMA 3.1. Suppose that G is a group of order g which satisfies Hypothesis I. Then g = qm(m + 1), and q divides m - 1. If  $q \neq 1$ , then G contains a subgroup M of order m which satisfies conditions (i), (ii), (iii) of Hypothesis II where h = 1, |N(M)| = qm. Furthermore for any subgroup Q of N(M)of order q,

$$yQy^{-1} \cap Q = Q \text{ or } \{1\}$$

for every y in G, and  $|N(Q)| \leq 2q$ . Hence for x in  $Q - \{1\}, C(x) \subset N(Q)$ .

*Proof.* Let N be the subgroup of G consisting of those permutations which leave a given letter fixed. Then by a theorem of Frobenius [4, p. 334], N contains a normal subgroup M of order m which consists of the identity and the

permutations in N which leave only the given letter fixed. If |N| = mq, then q divides m - 1, and g = qm(m + 1). The group M is a normal subgroup of N and has m + 1 conjugates in G; therefore N = N(M). Any element x which commutes with y in  $M - \{1\}$  must leave the same letter fixed as y does; hence x is in N(M). If x is not in M, then it leaves exactly two letters fixed; hence so does y, which is impossible. Therefore x is in M. This verifies condition (i) of Hypothesis II. Condition (ii) is easily seen to be true, since any element in  $M \cap xMx^{-1}$  must leave two letters fixed unless  $xMx^{-1} = M$ . The only element in M leaving at least two letters fixed is the identity. If  $q \neq 1$ ,  $N(M) \neq M$ ; thus condition (iii) is also verified.

The subgroup Q of N(M) is the set of all permutations fixing two given letters; hence if  $yQy^{-1} \neq Q$ , the only element in  $yQy^{-1} \cap Q$  is the identity by assumption. The group N(Q) is a permutation group on the two letters left fixed by the elements of Q; hence  $|N(Q)| \leq 2q$ .

Before proceeding to the proof of Theorem 1 we need some other lemmas. Groups satisfying the following conditions will be considered.

HYPOTHESIS III. G is a group of order qm(m + 1) which satisfies Hypothesis II with h = 1. Furthermore q is odd, G does not contain a normal subgroup of order m + 1, and the normal subgroup generated by M is G.

LEMMA 3.2. To prove Theorem 3, it suffices to prove the following statement: If G is a group satisfying Hypothesis III, then  $q \ge (m-1)/2$ , or M is a nonabelian p-group with  $[M:M'] < 4q^2$ .

*Proof.* Suppose the statement has been proved. Let G satisfy the assumptions of Theorem 1. By [10, Theorem 19], only the case that q is odd needs to be considered. Let  $G_1$  be the normal subgroup generated by M. Since M has m + 1 conjugates in G,  $G_1$  has order  $q_1 m(m + 1)$  where  $q_1$  divides q. If  $q_1 = 1$ , then ([4, p. 181]) $G_1$  contains a normal subgroup of order m + 1; this subgroup is characteristic in  $G_1$ , and hence normal in G. If  $q_1 > 1$ , then by Lemma 3.1,  $G_1$  satisfies Hypothesis II with h = 1, and therefore also Hypothesis III unless  $G_1$ , and therefore G, contains a normal subgroup of order m + 1. Consequently  $q \ge q_1 \ge (m - 1)/2$  or M is a non-abelian p-group with  $[M:M'] < 4q_1^2 < 4q^2$ . The conclusion of Theorem 1 now follows from [10, pp. 37–38].

Throughout the remainder of this section we will assume that G is a group which satisfies Hypothesis III.

LEMMA 3.3. |N(Q)| = 2q, Q is cyclic, and no element of  $Q - \{1\}$  commutes with any element not in Q.<sup>6</sup>

*Proof.* Since q is odd, it follows from [4, p. 335] that every Sylow group

<sup>&</sup>lt;sup>6</sup> I am indebted to Professor M. Suzuki for suggesting this proof. My original method for handling the case in which some element of  $Q - \{1\}$  commutes with an element not in Q was more complicated than the method used in the text.

of Q is cyclic. As  $|N(Q)| \leq 2q$ , this is also the case for N(Q). Hence by [11, p. 175], N(Q)' and N(Q)/N(Q)' are cyclic groups, and

$$([N(Q):N(Q)'], [N(Q)':1]) = 1.$$

Suppose an odd prime p divides [N(Q):N(Q)'], and let  $S_p$  be a Sylow pgroup of N(Q). It follows from Lemma 3.1 that  $N(S_p) \subset N(Q)$ . It is easily seen that this implies that  $S_p$  is in the center of  $N(S_p)$ ; hence a theorem of Burnside [4, p. 327] implies that G contains a normal subgroup of index p. This normal subgroup must contain M, contradicting Hypothesis III. Therefore [N(Q):N(Q)'] is a power of 2. Since q is odd and  $Q \neq Q'$ , this implies that  $N(Q) \neq Q$ . Therefore by Lemma 3.1, |N(Q)| = 2q; hence N(Q)' = Q, and Q is cyclic.

Suppose an element x in  $Q - \{1\}$  commutes with some element not in Q. By Lemma 3.1,  $C(x) \subset N(Q)$ . Therefore there exists an involution<sup>7</sup> t which commutes with x. Hence there is some element  $x_1$  of prime order p which commutes with t. Let  $x_0$  be a generator of the Sylow p-group  $S_p$  of N(Q). If  $tx_0 t = x_0^{-1}$ , then  $tx_1 t = x_1^{-1}$ , which is not the case; therefore  $tx_0 t = x_0$ . Consequently  $S_p$  is in the center of N(Q); hence by Burnside's theorem [4, p. 327], p divides [N(Q):N(Q)'], which contradicts what we have just shown and completes the proof of the lemma.

**LEMMA** 3.4. G contains only one class of involutions. If m is even, there are q(m + 1) involutions in G; if m is odd, there are mq. In both cases the only elements of  $M - \{1\}$  which are products of two involutions are involutions.

*Proof.* Suppose *m* is even. Let  $M_1$  be a subgroup of *G* conjugate to *M*, but distinct from *M*. Let  $u, u_1$  be involutions, u in  $M, u_1$  in  $M_1$ . It is easily seen that no involution commutes with both u and  $u_1$ . Therefore by [2, Lemma 3A], u is conjugate to  $u_1$ . Since u was an arbitrary involution in *M*, every involution in *M* is conjugate to  $u_1$ ; hence any two involutions of *M* are conjugate. Since every involution in *G* is conjugate to some involution in *M*, this implies that *G* contains only one class of involutions. As *M* is nilpotent, there is an involution u in the center of *M*; hence C(u) = M. The number of involutions in *G* is [G:C(u)] = q(m + 1); furthermore every involution such that  $u_1 u_2$  is in *M*; then  $u_1(u_1 u_2)u_1^{-1} = u_2 u_1 = (u_1 u_2)^{-1}$ . Hence  $u_1 u_2$  is contained in  $u_1 M u_1^{-1} \cap M$ ; therefore  $u_1 M u_1^{-1} = M$ . Thus  $u_1$  is in N(M); hence  $u_1$  is in *M* since *M* is a normal subgroup of N(M) and [N(M):M] is odd. Consequently  $u_2$  is in *M*; hence they are both in the center of *M*. Therefore  $u_1 u_2$  is an involution.

Suppose *m* is odd. If for some *y* in  $M - \{1\}$ , there exists an *x* such that  $xyx^{-1} = y^{-1}$ , then *y* is in  $M \cap xMx^{-1}$ ; hence *x* is in N(M). This is impossible as N(M) has odd order. Therefore  $M - \{1\}$  contains no real elements;<sup>8</sup>

<sup>&</sup>lt;sup>7</sup> An involution is an element of order two; see [2].

<sup>&</sup>lt;sup>8</sup> An element is said to be real if it is conjugate to its inverse; see [2].

hence [2, Corollary 2B] no element of  $M - \{1\}$  is the product of two involutions. If  $u_1, u_2$  are involutions,  $u_1 M = u_2 M$  implies  $u_1 u_2$  is in M; therefore  $u_1 u_2 = 1$ ; hence  $u_1 = u_2$ . No coset of M contains more than one involution, and N(M) contains no involutions; hence there are at most q(m + 1) - q = qm involutions in G. If u is an involution, then any element which commutes with u must have order dividing m + 1; therefore |C(u)| divides m + 1. Consequently the number of elements conjugate to u is [G:C(u)], which is a multiple of qm. Hence [G:C(u)] = qm, and every involution in G is conjugate to u.

LEMMA 3.5. There are at least q nontrivial characters of G which are not exceptional characters associated with M.

*Proof.* It is sufficient to show that G has at least q conjugate classes, none of which contain any elements of M. Let k be the number of conjugate classes which contain no elements whose order divides two, but in which every element is a product of two involutions. By Lemma 3.4, it is sufficient to show that  $k \ge q$ . Lemma 3.4 implies that G contains at least qm involutions. Hence by [2, Theorem 2J],<sup>9</sup>

$$k \ge qm(qm+1)/g = (qm+1)/(m+1) = q - (q-1)/(m+1);$$

hence k > q - 1; therefore  $k \ge q$ , as was to be shown.

We are now in a position to prove Theorem 1. Suppose G satisfies Hypothesis III, M is not a non-abelian p-group with  $[M:M'] < 4q^2$ , and q < m - 1. By Lemma 3.2 it is sufficient to show that  $q \ge (m - 1)/2$ ; we will now do this.

Let  $\eta_0$ ,  $\eta_1$ ,  $\cdots$ ,  $\eta_{q-1}$  be the irreducible characters of N(M)/M, where  $\eta_0$  is the trivial character of N(M), and  $\eta_{i+(q-1)/2} = \bar{\eta}_i$  for  $i = 1, \cdots, (q-1)/2$ . Let  $\eta_i^*$  denote the character of G induced by  $\eta_i$ . With the help of Lemmas 3.1 and 3.3 the values of  $\eta_i^*$  can be computed; the results are given by

(18) 
$$\eta_i^*(x) = \begin{cases} m+1 & \text{if } x = 1, \\ 1 & \text{if } x^m = 1, x \neq 1, \\ \eta_i(x) + \overline{\eta_i(x)} & \text{if } x \text{ is in } Q - \{1\}, \\ 0 & \text{if } x^{mq} \neq 1. \end{cases}$$

This implies that for  $1 \leq i, j \leq (q-1)/2$ 

$$(\eta_{i}^{*}, \eta_{j}^{*}) = \frac{1}{g} \bigg[ (m+1)^{2} + \frac{g}{mq} (m-1) \\ + \frac{g}{2q} \sum_{q \in \{1\}} \big\{ \eta_{i}(x) + \overline{\eta_{i}(x)} \big\} \big\{ \overline{\eta_{j}(x)} + \eta_{j}(x) \big\} \bigg] \\ = \frac{2}{q} + \frac{1}{2q} \sum_{q \in \{1\}} \big\{ \eta_{i}(x) + \overline{\eta_{i}(x)} \big\} \big\{ \overline{\eta_{j}(x)} + \eta_{j}(x) \big\} \\ = \frac{1}{2} \big( \eta_{i} + \overline{\eta_{i}}, \eta_{j} + \overline{\eta_{j}} \big)_{q} = \delta_{ij}.$$

<sup>&</sup>lt;sup>9</sup> The statement of the theorem quoted is not quite strong enough. However the same proof can be used to get the inequality needed here.

Equation (19) implies that  $\eta_1^*, \dots, \eta_{(q-1)/2}^*$  are distinct irreducible characters of G.

Since M is nilpotent, there exists a nontrivial irreducible character  $\zeta_1$  of M of degree  $z_1 = 1$ . Lemma 2.2 and Theorem 2 imply that

(20)  
$$\|\xi_{1}^{*}\|^{2} = \frac{1}{g} \left[ q^{2}(m+1)^{2} + \frac{g}{qm} \sum_{M=\{1\}} |\tilde{\xi}_{1}(y)|^{2} \right]$$
$$= \frac{1}{g} \left[ q^{2}(m+1)^{2} - \frac{q^{2}g}{qm} + \frac{g}{qm} \sum_{M} |\tilde{\xi}_{1}(y)|^{2} \right]$$
$$= q(m+1)/m - q/m + 1 = q + 1.$$

By Hypothesis III, the representation of no nontrivial character of G contains M in its kernel. By Corollary 2.4, this implies that any nontrivial nonexceptional character, when restricted to M, has  $\zeta_1$  as a constituent. Hence by the Frobenius reciprocity theorem, every nontrivial nonexceptional character is a constituent of  $\zeta_1^*$ . By Lemma 3.5 there are at least q nontrivial nonexceptional characters. At least one exceptional character occurs as a constituent of  $\zeta_1^*$ . Therefore (20) implies that  $\varepsilon = 1$  and

(21) 
$$\zeta_1^* = \chi_1 + \Gamma,$$

where  $(\chi_i, \Gamma) = 0$  for every exceptional character  $\chi_i$ . Hence by Theorem 2, for  $i \neq 0$ ,

(22) 
$$\zeta_i^* = \chi_i + z_i \, \Gamma.$$

It is easily seen that  $\zeta_0^* = \sum_{i=0}^{q-1} \eta_i^*$ . By (19) and Corollary 2.4,  $\chi_1$  cannot occur as a constituent of  $\zeta_0^*$ . In view of this, (21), (22), and the Frobenius reciprocity theorem imply that for all y in M,

(23) 
$$\chi_1(y) = \tilde{\xi}_1(y);$$

in particular

$$\chi_1(1) = q.$$

Let  $\chi_2$  be an exceptional character associated with  $\tilde{\zeta}_2$ , where  $\tilde{\zeta}_1 \neq \tilde{\zeta}_2$ . Corollary 2.1 implies that  $z_2 \chi_1 - \chi_2$  vanishes on elements not conjugate to an element of  $M - \{1\}$ . By (18),  $\eta_i^* - 1$  vanishes on elements conjugate to an element of  $M - \{1\}$ ; hence

(25) 
$$(z_2 \chi_1 - \chi_2)(\eta_i^* - 1) = 0$$
 for  $i = 1, \dots, (q-1)/2$ .

This leads to

(26) 
$$z_2 \chi_1 \eta_i^* + \chi_2 = \chi_2 \eta_i^* + z_2 \chi_1.$$

Hence  $\chi_1$  is a constituent of  $\chi_1 \eta_i^*$ . Therefore

(27) 
$$\sum_{g \chi_1(x) \overline{\chi_1(x)}} \eta_i^*(x) \neq 0;$$

hence  $\eta_i^*$  is a constituent<sup>10</sup> of  $\chi_1 \overline{\chi_1}$ , for  $i = 1, \dots (q-1)/2$ . Clearly  $\chi_0$  is a constituent of  $\chi_1 \overline{\chi_1}$ . Since by (18),  $\eta_i^*(1) = m + 1$ , (24) implies that

(28) 
$$q^2 = \chi_1(1)\overline{\chi_1(1)} \ge 1 + \frac{1}{2}(q-1)(m+1)$$

Therefore  $2(q + 1) \ge m + 1$ ; hence  $2q \ge m - 1$ , as was to be shown. This completes the proof of Theorem 1.

## 4. The case where G contains a normal subgroup of order m + 1

Let F be the field containing exactly  $2^{q}$  elements. Let  $A_{q}$  denote the group of all transformations of F of the form  $\omega \to a\omega^{\tau} + b$ , where a, b are in  $F, a \neq 0$ , and  $\tau$  is an automorphism of F. Let  $P_{q}$  denote the group of all transformations of the projective line over F of the form

$$\omega \to (a\omega^{\tau} + b)/(c\omega^{\tau} + d),$$

where a, b, c, d are in F,  $ad - bc \neq 0$ ,  $\tau$  is an automorphism of F, and  $\infty^{\tau} = \infty$ .<sup>11</sup>

LEMMA 4.1. If q is a prime, then  $A_q$  considered as a permutation group on F satisfies Hypothesis I and contains a normal subgroup of order  $2^q = m + 1$ . Conversely, a permutation group which satisfies Hypothesis I and contains a normal subgroup of order m + 1 is either exactly doubly transitive or is isomorphic to  $A_q$  for some prime q. In the latter case,  $m + 1 = 2^q$ .

*Proof.* Since  $A_q$  contains the affine group, it is certainly doubly transitive as a group of permutations of F. Suppose the mapping  $\omega \to a\omega^{\tau} + b$  leaves three letters fixed; it may be assumed that 0, 1 are two of the fixed letters. Hence a = 1, b = 0, but then the fixed field of  $\tau$  must contain at least three elements. Since q is a prime, this implies that F is the fixed field of  $\tau$ ; hence  $\tau$  is the trivial automorphism. Therefore the transformation is the identity.

Suppose G satisfies Hypothesis I, is not exactly doubly transitive, and contains a normal subgroup H of order m + 1. By Lemma 3.1, G satisfies Hypothesis II. If m is even, a Sylow 2-subgroup of M contains a unique element of order two [4, p. 335] since MH is a Frobenius group. By conditions (i), (ii) of Hypothesis II, M is nilpotent ([5], [7], [9]); therefore M contains a unique element of order two which must necessarily be in the center of N(M), contradicting condition (i) of Hypothesis II. Therefore m is odd, M is nilpotent, and every Sylow subgroup of M is cyclic [4, p. 335]; hence M is cyclic. Therefore the near field F associated to MH is a field containing m + 1 elements (see [11]); hence  $m + 1 = 2^a$  since m + 1 is even. The group Q acts as a group of automorphisms on MH, none of which can leave more than two elements fixed by Lemma 3.1. This can be interpreted as a group of automorphisms of the field F. Since the fixed field of no nontrivial automorphism can contain more than two elements, it follows that q is a prime,

<sup>&</sup>lt;sup>10</sup> An argument of this type was first used by R. Brauer in [1, p. 426].

<sup>&</sup>lt;sup>11</sup>  $A_q$  is the automorphism group of the one-dimensional affine group over F;  $P_q$  is the automorphism group of  $PSL(2, 2^q)$ .

LEMMA 4.2. If q is a prime, then  $P_q$  considered as a permutation group on the projective line over F is triply transitive, and no nontrivial permutation leaves four letters fixed. Conversely, a triply transitive permutation group G on m + 2letters in which no nontrivial permutation leaves four letters fixed, and in which the subgroup  $G_1$ , consisting of those permutations leaving a given letter fixed, contains a normal subgroup of order m + 1, is either exactly triply transitive or is isomorphic to  $P_q$  for some prime q.

*Proof.* Since  $P_q$  contains the projective linear group over F, it is triply transitive. The subgroup of  $P_q$ , consisting of those permutations leaving  $\infty$  fixed, is  $A_q$ . Hence by Lemma 4.1 no nontrivial permutation in  $P_q$  leaves four letters fixed.

Conversely, by Lemma 4.1,  $G_1$  is isomorphic to  $A_q$  for some prime q. Thus G can be considered as a permutation group on the projective line over F, where  $A_q$  is the subgroup consisting of those permutations which leave  $\infty$  fixed. The order of G is  $g = q(2^q - 1)2^q(2^q + 1)$ , where q is a prime. If q = 2, then G and  $P_2$  are both isomorphic to the symmetric group on five letters, and the result is clearly true. Hence it may be assumed that q is odd.

*G* contains a permutation  $\sigma$  with the property that  $\sigma(0) = \infty$ ,  $\sigma(\infty) = 0$ ,  $\sigma(1) = 1$ . Since no element of *G* of even order leaves three letters fixed,  $\sigma^2$  has odd order. Hence some power of  $\sigma$  has order two and acts the same way as  $\sigma$  on 0, 1,  $\infty$ . Therefore it may be assumed that  $\sigma^2 = 1$ . By checking the values  $\omega = 0, 1, \infty$ , it is easily seen that

$$\sigma(a\omega^{\tau} + b) + c = \sigma(a'\omega^{\tau'} + b') + c'$$

only if  $a = a', b = b', c = c', \tau = \tau'$ . Hence the transformations which send  $\omega$  into  $a\omega^r + b$  or  $\sigma(a\omega^r + b) + c$  are all distinct. It is easily seen that there are  $q(2^q - 1)2^{q}2^{q} + q(2^q - 1)2^{q} = g$  of these. Consequently every permutation in G is of one of these types.

The Sylow 2-group of  $A_q$  has order  $m + 1 = 2^q$  and is a Sylow 2-group of G. Hence no permutation in G of order two leaves more than one letter fixed. Therefore  $\sigma(\omega) \neq \omega$  unles  $\omega = 1$ .

Let  $\gamma$  be a primitive element of the field F; the mapping which sends  $\omega$  into  $\gamma\sigma(\omega)$  is in G; hence  $\gamma\sigma(\omega) = \sigma(a\omega^{\tau} + b) + c$  for some  $a, b, c, \tau$ . By setting  $\omega = 0, \infty$ , we get that b = c = 0; hence  $\gamma\sigma(\omega) = \sigma(a\omega^{\tau})$ . Let  $\omega = 1$ ; then  $\gamma = \sigma(a)$ ; hence  $a = \sigma(\gamma)$ . Repeated application of this relation yields that

$$\sigma(aa^{\tau}\cdots a^{\tau^{q-1}}) = \gamma\sigma(aa^{\tau}\cdots a^{\tau^{q-2}}) = \cdots = \gamma^{q}.$$

If  $\tau \neq 1$ ,  $aa^{\tau} \cdots a^{\tau^{q-1}}$  is a nonzero element of F which is a norm; therefore it must be 1; hence  $\gamma^q = \sigma(1) = 1$ , which is impossible by the choice of  $\gamma$ . Thus  $\tau = 1$ ,  $\gamma\sigma(\omega) = \sigma(a\omega)$ . Repeated application of this identity yields that  $\sigma(a^k\omega) = \gamma^k \sigma(\omega)$ , for all integers k, where  $\omega$  is any element in F. Conse-

quently  $\sigma$  induces an automorphism of order two on the multiplicative group of the field F which leaves only 1 fixed. Therefore  $\sigma(\omega) = \omega^{-1}$  for all  $\omega$  in F. It is now an easy matter to verify that G contains the projective linear group over F; hence G contains  $P_q$ . Since  $P_q$  has the same order as G, this implies that  $G = P_q$ .

THEOREM 3. Let G be a permutation group of order g = qm(m + 1) which satisfies Hypothesis I. Suppose q > 1 and G contains a normal subgroup of order m + 1; then q is prime, and G is isomorphic to  $A_{\sigma}$ . If G is the subgroup of a k-tuply transitive permutation group K on m + k - 1 letters, consisting of those permutations which leave a given set of k - 2 letters fixed, then either K is the symmetric group, or k = 2 or 3 and K is isomorphic to  $A_q$  or  $P_q$ .

*Proof.* By Lemmas 4.1 and 4.2 only the case  $k \ge 4$  needs to be considered. If q = 2, then G is the symmetric group on four letters; hence m = 3, and K is the symmetric group on k + 2 letters. If q is odd, then it follows from a theorem of M. Hall [6] that  $k \le 3$ .

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