

## A GRÜSS TYPE OPERATOR INEQUALITY

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ABSTRACT. In 2001, Renaud obtained a Grüss type operator inequality involving the usual trace functional. In this article, we give a refinement of that result, and we answer positively Renaud’s open problem.

### 1. INTRODUCTION

In 1935, Grüss [6] obtained the following inequality: if  $f, g$  are integrable real functions on  $[a, b]$  and there exist real constants  $\alpha, \beta, \gamma, \delta$  such that  $\alpha \leq f(x) \leq \beta, \gamma \leq g(x) \leq \delta$  for all  $x \in [a, b]$ , then

$$\left| \frac{1}{b-a} \int_a^b f(x)g(x) dx - \frac{1}{(b-a)^2} \int_a^b f(x) dx \int_a^b g(x) dx \right| \leq \frac{1}{4}(\beta - \alpha)(\delta - \gamma),$$

and the inequality is sharp in the sense that the constant  $1/4$  cannot be replaced by a smaller constant. This inequality has been investigated, applied, and generalized by many mathematicians, including Banić, Bourin, Matharu, Moslehian, Ilišević, Renaud, and Varošanec, among others, in different areas of mathematics (see [8] and the references within).

In this work,  $\mathcal{H}$  denotes a (complex, separable) Hilbert space with inner product  $\langle \cdot, \cdot \rangle$ . Let  $(\mathbb{B}(\mathcal{H}), \|\cdot\|)$  be the  $C^*$ -algebra of all bounded linear operators acting on  $(\mathcal{H}, \langle \cdot, \cdot \rangle)$  with the uniform norm. We denote by  $\text{Id}$  the identity operator, and for any  $A \in \mathbb{B}(\mathcal{H})$ , we consider  $A^*$  its adjoint and  $|A| = (A^*A)^{1/2}$  the absolute

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value of  $A$ . For  $A \in \mathbb{B}(\mathcal{H})$ , we use  $R(A), N(A)$ , respectively, to denote the range and kernel of  $A$ .

By  $\mathbb{B}(\mathcal{H})^+$ , we denote the cone of positive operators of  $\mathbb{B}(\mathcal{H})$ ; that is,  $\mathbb{B}(\mathcal{H})^+ := \{T \in \mathbb{B}(\mathcal{H}) : \langle Th, h \rangle \geq 0 \ \forall h \in \mathcal{H}\}$ . In the case when  $\dim \mathcal{H} = n$ , we identify  $\mathbb{B}(\mathcal{H})$  with the full matrix algebra  $\mathcal{M}_n$  of all  $n \times n$  matrices with entries in the complex field  $\mathbb{C}$ . For each  $T \in \mathbb{B}(\mathcal{H})$ , we denote its spectrum by  $\sigma(T)$ ; that is,  $\sigma(T) = \{\lambda \in \mathbb{C} : T - \lambda \text{Id is not invertible}\}$  and a complex number  $\lambda \in \mathbb{C}$  is said to be in the approximate point spectrum of the operator  $T$ , and we denote by  $\sigma_{\text{ap}}(T)$  if there is a sequence  $\{x_n\}$  of unit vectors satisfying  $(T - \lambda)x_n \rightarrow 0$ .

For each operator  $T$ , we consider

$$r(T) = \sup\{|\lambda| : \lambda \in \sigma(T)\} \text{ spectral radius of } T,$$

$$W(T) = \{\langle Th, h \rangle : \|h\| = 1\} \text{ numerical range of } T,$$

and

$$w(T) = \sup\{|\lambda| : \lambda \in W(T)\} \text{ numerical radius of } T.$$

Recall, for all  $T \in \mathbb{B}(\mathcal{H})$ , that  $r(T) \leq w(T) \leq \|T\| \leq 2w(T)$ ,  $\sigma(T) \subseteq \overline{W(T)}$ , and by the Toeplitz–Hausdorff theorem,  $W(T)$  is convex.

Renaud [11] gave a bounded linear operator analogue of the Grüss inequality by replacing integrable functions by operators and the integration by a trace function as follows. Let  $A, T \in \mathbb{B}(\mathcal{H})$ , and suppose that  $W(A)$  and  $W(T)$  are contained in disks of radii  $R_A$  and  $R_T$ , respectively. Then, for any positive trace class operator,  $P$  with  $\text{tr}(P) = 1$  holds

$$|\text{tr}(PAT) - \text{tr}(PA)\text{tr}(PT)| \leq 4R_A R_T, \tag{1.1}$$

and if  $A$  and  $T$  are normal (i.e.,  $T^*T = TT^*$ ), the constant 4 can be replaced by 1. We can easily see that, if  $A = \alpha \text{Id}$  or  $T = \beta \text{Id}$  with  $\alpha, \beta \in \mathbb{C}$ , then the left-hand side is equal to zero. In the same article, Renaud proposed the following open problem: to characterize  $k(A, T)$  such that

$$|\text{tr}(PAT) - \text{tr}(PA)\text{tr}(PT)| \leq k(A, T)R_A R_T \tag{1.2}$$

with  $1 \leq k(A, T) \leq 4$ , in particular, whether it depends on  $A$  and  $T$  separately, (i.e., whether we can write  $k(A, T) = h(A)h(T)$ ), where  $h(A), h(T)$  are suitably defined constants.

In this paper we give a positive answer to the open problem proposed by Renaud, and we obtain an explicit formula for  $k(A, T) = h(A)h(T)$ . Also, we generalize the inequality (1.1) for normal to transloid operators.

## 2. PRELIMINARIES

Let us begin with the notation and necessary definitions. The set of compact operators in  $\mathcal{H}$  is denoted by  $B_0(\mathcal{H})$ . If  $T \in B_0(\mathcal{H})$ , then we denote by  $\{s_n(T)\}$  the sequence of singular values of  $T$ , that is, the eigenvalues of  $|T|$  (decreasingly ordered). The notion of unitary invariant norms can be defined also for operators on Hilbert spaces, a norm  $\|\cdot\|$  that satisfies the invariance property  $\|UXV\| = \|X\|$  for a pair of unitary operators  $U, V$ . Recall that each unitarily invariant norm is defined on a natural subclass  $\mathcal{J}_{\cdot,1}$  of  $B_0(\mathcal{H})$  called the *norm ideal associated*

with the norm  $\|\cdot\|$ . There is a one-to-one correspondence between symmetric gauge functions defined on sequences of real numbers and unitarily invariant norms defined on norm ideals of operators. More precisely, if  $\|\cdot\|$  is a unitarily invariant norm, then there is a unique symmetric gauge function  $g$  such that  $\|T\| = g(\{s_n(T)\})$  for any  $T \in \mathcal{J}_{1,1}$ . If  $\dim R(T) = 1$ , then  $\|T\| = s_1(T)g(e_1) = g(e_1)\|T\|$ . By convention, we assume that  $g(e_1) = 1$ . If  $x, y \in \mathcal{H}$ , then we denote  $x \otimes y$  the rank 1 operator defined on  $\mathcal{H}$  by  $(x \otimes y)(z) = \langle z, y \rangle x$ . Then  $\|x \otimes y\| = \|x\|\|y\| = \|x \otimes y\|$ .

The best-known examples of unitary invariant norms are called *Schatten  $p$ -norms*. For  $1 \leq p < \infty$ , let

$$\|T\|_p^p = \sum_n s_n(T)^p = \operatorname{tr} |T|^p,$$

and let

$$B_p(\mathcal{H}) = \{T \in \mathcal{H} : \|T\|_p < \infty\},$$

called the  *$p$ -Schatten class* of  $\mathbb{B}(\mathcal{H})$ . This is the subset of compact operators with singular values in  $l_p$ . The positive operators with trace 1 are called *density operators* (or states), and we denote this set by  $\mathcal{S}(\mathcal{H})$ . The ideal  $B_2(\mathcal{H})$  is called the *Hilbert–Schmidt class*, and it is a Hilbert space with the inner product  $\langle S, T \rangle_2 = \operatorname{tr}(ST^*)$ . (For a reference on the theory of norm ideals and their associated unitarily invariant norms, see [5].)

An operator  $A \in \mathbb{B}(\mathcal{H})$  is called *normaloid* if  $r(A) = \|A\| = \omega(A)$ . If  $A - \mu \operatorname{Id}$  is normaloid for all  $\mu \in \mathbb{C}$ , then  $A$  is called *transloid*.

Finally, for  $A, T \in \mathbb{B}(\mathcal{H})$  and  $P \in \mathcal{S}(\mathcal{H})$ , we introduce the following notation:

$$V_P(A, T) = \operatorname{tr}(PAT) - \operatorname{tr}(PA)\operatorname{tr}(PT).$$

In the particular case  $T = A^*$ , we get the variance of  $A$  with respect to  $P$ . More precisely, Audenaert in [1] considered the following notion: given  $A, P \in \mathcal{M}_n$ ,  $P \geq 0$ ,  $\operatorname{tr}(P) = 1$ , the variance of  $A$  with respect to the matrix  $P$  is

$$V_P(A) = \operatorname{tr}(|A|^2 P) - |\operatorname{tr}(AP)|^2 = V_P(A, A^*).$$

Note that  $V_P(A - \lambda \operatorname{Id}) = V_P(A)$ . Furthermore, he showed that, if  $A \in \mathcal{M}_n$ , then

$$\max\{\operatorname{tr}(|A|^2 P) - |\operatorname{tr}(AP)|^2 : P \in \mathcal{M}_n^+, \operatorname{tr}(P) = 1\} = \operatorname{dist}(A, \mathbb{C} \operatorname{Id})^2, \quad (2.1)$$

and the maximization over  $P$  on the left-hand side can be restricted to density matrices of rank 1.

### 3. DISTANCE FORMULAS AND RENAUD'S INEQUALITY

Let  $A$  and  $T$  be linear bounded operators acting on  $\mathcal{H}$ ; the vector-function  $A - \lambda T$  is known as the *pencil* generated by  $A$  and  $T$ . Evidently, there is at least one complex number  $\lambda_0$  such that

$$\|A - \lambda_0 T\| = \inf_{\lambda \in \mathbb{C}} \|A - \lambda T\|.$$

The number  $\lambda_0$  is unique if  $0 \notin \sigma_{\text{ap}}(T)$  (or, equivalently, if  $\inf\{\|Tx\| : \|x\| = 1\} > 0$ ). Different authors, following [12], called this unique number the *center of mass*

of  $A$  with respect to  $T$ , and we denote it by  $c(A, T)$ . When  $T = \text{Id}$ , we write  $c(A)$ . Following Paul, for  $A, T \in \mathbb{B}(\mathcal{H})$  such that  $0 \notin \sigma_{\text{ap}}(T)$ , we consider

$$M_T(A) = \sup_{\|x\|=1} \left[ \|Ax\|^2 - \frac{|\langle Ax, Tx \rangle|^2}{\langle Tx, Tx \rangle} \right]^{1/2} = \sup_{\|x\|=1} \left\| Ax - \frac{\langle Ax, Tx \rangle}{\langle Tx, Tx \rangle} Tx \right\|. \quad (3.1)$$

In [9], Paul proved that  $M_T(A) = \text{dist}(A, \mathbb{C}T)$ . The unique minimizer is characterized by the following conditions: there exists a sequence of unit vectors  $\{x_n\}$  such that

$$\|(A - \lambda_0 T)x_n\| \rightarrow \|A - \lambda_0 T\| \quad \text{and} \quad \langle (A - \lambda_0 T)x_n, x_n \rangle \rightarrow 0.$$

In [4], Gevorgyan proved that

$$c(A, T) = \lim_{n \rightarrow \infty} \frac{\langle Ay_n, Ty_n \rangle}{\langle Ty_n, Ty_n \rangle}, \quad (3.2)$$

where  $\{y_n\}$  is a sequence of unit vectors which approximate the supremum in (3.1). In the particular case that  $T = \text{Id}$  and  $A$  is a Hermitian operator, then it is easy to see that

$$\min_{\lambda \in \mathbb{C}} \|A - \lambda \text{Id}\| = \frac{\lambda_{\max}(A) - \lambda_{\min}(A)}{2}, \quad (3.3)$$

where  $\lambda_{\max}(A)$  (resp.,  $\lambda_{\min}(A)$ ) denotes the maximum (resp., minimum) eigenvalue of  $A$ . Observe that the minimum is

$$c(A) = \frac{\lambda_{\max}(A) + \lambda_{\min}(A)}{2}.$$

We recall other formulas that express the distance from  $A$  to the one-dimensional subspace  $\mathbb{C}T$ . Then

$$\text{dist}(A, \mathbb{C}T) = \sup \{ |\langle Ax, y \rangle| : \|x\| = \|y\| = 1, \langle Tx, y \rangle = 0 \} \quad (3.4)$$

if  $A, T \in \mathbb{B}(\mathcal{H})$  and  $0 \notin \sigma_{\text{ap}}(T)$ . In the particular case where  $T = \text{Id}$ , we get

$$\begin{aligned} \text{dist}(A, \mathbb{C} \text{Id}) &= \frac{1}{2} \sup \{ \|AX - XA\| : X \in \mathbb{B}(\mathcal{H}), \|X\| = 1 \} \\ &= \sup \{ \|(\text{Id} - Q)AQ\| : Q \text{ is a rank one projection} \} \\ &= \sup \{ \|(\text{Id} - Q)AQ\| : Q \text{ is a projection} \}. \end{aligned} \quad (3.5)$$

In the following statement we present a new proof of the relation between the variance of  $A$  with respect to  $P$  and the distance from  $A$  to the unidimensional subspace  $\mathbb{C} \text{Id}$ .

**Proposition 3.1.** *Let  $A \in \mathbb{B}(\mathcal{H})$ , and let  $P \in \mathcal{S}(\mathcal{H})$ . Then*

$$\begin{aligned} \text{tr}(|A|^2 P) - |\text{tr}(AP)|^2 &= \|AP^{1/2}\|_2^2 - |\langle AP^{1/2}, P^{1/2} \rangle_2|^2 \\ &= \|AP^{1/2} - \langle AP^{1/2}, P^{1/2} \rangle_2 P^{1/2}\|_2^2 \\ &= \min_{\lambda \in \mathbb{C}} \|AP^{1/2} - \lambda P^{1/2}\|_2^2 \leq \min_{\lambda \in \mathbb{C}} \|A - \lambda \text{Id}\|. \end{aligned}$$

*Proof.* These inequalities are simple consequences from the following general statement for any Hilbert space  $\mathcal{H}$ : let  $x, y \in \mathcal{H}$  with  $y \neq 0$ ; then

$$\inf_{\lambda \in \mathbb{C}} \|x - \lambda y\|^2 = \frac{\|x\|^2 \|y\|^2 - |\langle x, y \rangle|^2}{\|y\|^2}. \quad \square$$

The following statement is an extension of Audenaert's formula to infinite dimension.

*Remark 3.2.* We show that the equality (2.1) holds in the infinite-dimensional context; that is, for  $A \in \mathbb{B}(\mathcal{H})$ , we have

$$\sup \{ [\operatorname{tr}(|A|^2 P) - |\operatorname{tr}(AP)|^2]^{1/2} : P \in \mathcal{S}(\mathcal{H}) \} = \operatorname{dist}(A, \mathbb{C} \operatorname{Id}). \quad (3.6)$$

First, we obtain this equality from Prasanna's result in [10]. Indeed, note that

$$\begin{aligned} \operatorname{dist}(A, \mathbb{C} \operatorname{Id})^2 &= \sup_{\|x\|=1} \|Ax\|^2 - |\langle Ax, x \rangle|^2 \\ &\leq \sup \{ \operatorname{tr}(|A|^2 P) - |\operatorname{tr}(AP)|^2 : P \in \mathcal{S}(\mathcal{H}) \} \\ &\leq \operatorname{dist}(A, \mathbb{C} \operatorname{Id})^2. \end{aligned}$$

On the other hand, another way to prove (3.6) is to reduce the problem to a finite dimension and use the classical Audenaert formula. Now we give the idea of this proof.

For the sake of clarity, we denote

$$m := \min_{\lambda \in \mathbb{C}} \|A - \lambda \operatorname{Id}\|$$

and

$$M := \sup \{ [\operatorname{tr}(|A|^2 P) - |\operatorname{tr}(AP)|^2]^{1/2} : P \in \mathcal{S}(\mathcal{H}) \}.$$

By Proposition 3.1, we have that  $M \leq m$ . Suppose by contradiction that  $M < m$ . Then there exists  $\epsilon > 0$  such that

$$M < \|A - \lambda \operatorname{Id}\| - \epsilon \quad (3.7)$$

for any  $\lambda \in \mathbb{C}$ . By the equality (3.2), we have that  $c(A) \in \overline{W(A)}$ , and then  $|c(A)| \leq w(A)$ . As any closed ball in the complex plane is a compact set, we can find  $\lambda_1, \dots, \lambda_m \in \mathbb{C}$  such that

$$B(0, w(A)) \subseteq \bigcup_{j=1}^m \left\{ \lambda \in \mathbb{C} : |\lambda - \lambda_j| < \frac{\epsilon}{2} \right\}.$$

Now, we choose unit vectors  $h_1, \dots, h_m \in \mathcal{H}$  with the following property:  $\|(A - \lambda_j \operatorname{Id})h_j\| > \|A - \lambda_j \operatorname{Id}\| - \frac{\epsilon}{2}$ . Let  $\mathcal{H}' = \operatorname{span}\{h_1, \dots, h_m, Ah_1, \dots, Ah_m\}$  and  $n = \dim \mathcal{H}'$ . Applying (2.1) to the compressions of  $A$  and  $\operatorname{Id}$ , respectively, we get

$$\begin{aligned} \operatorname{dist}(A', \mathbb{C} \operatorname{Id}_n) &= \max \{ [\operatorname{tr}(|A'|^2 P') - |\operatorname{tr}(A'P')|^2]^{1/2} : P' \in \mathcal{M}_n^+, \operatorname{tr}(P') = 1 \} \\ &= M'. \end{aligned} \quad (3.8)$$

One easily verifies that, if  $\lambda \in B(0, \omega(A))$ , then there exists  $j \in \{1, \dots, m\}$  such that

$$\begin{aligned} \|A' - \lambda \text{Id}_n\| &> \|A' - \lambda_j \text{Id}_n\| - \frac{\epsilon}{2} \geq \|(A' - \lambda_j \text{Id}_n)h_j\| - \frac{\epsilon}{2} \\ &= \|(A - \lambda_j \text{Id})h_j\| - \frac{\epsilon}{2} > \|A - \lambda_j \text{Id}\| - \epsilon. \end{aligned} \quad (3.9)$$

Thus, combining (3.7) and (3.9), we get

$$\min_{\lambda \in \mathbb{C}} \|A' - \lambda \text{Id}_n\| > M \geq M', \quad (3.10)$$

and we have here a contradiction with (3.8), and therefore  $m = M$ .

The following two results give upper bounds for  $V_P(A, T)$ .

**Lemma 3.3.** *Let  $A, T \in \mathbb{B}(\mathcal{H})$ , and let  $P \in \mathcal{S}(\mathcal{H})$ . Then, for any  $\lambda, \mu \in \mathbb{C}$  holds*

$$|V_P(A, T)| \leq \|A - \lambda \text{Id}\| \|T - \mu \text{Id}\| - |\text{tr}(P(A - \lambda \text{Id})) \text{tr}(P(T - \mu \text{Id}))|.$$

*Proof.* Define the following semi-inner product for  $X, Y \in \mathbb{B}(\mathcal{H})$  and  $P \in \mathcal{S}(\mathcal{H})$ :

$$(X, Y)_{2,P} = \langle P^{1/2}X, P^{1/2}Y \rangle_2.$$

Following the proof given by Dragomir in [3, Theorem 2], we obtain for any  $E \in \mathbb{B}(\mathcal{H})$  such that  $(E, E)_{2,P} = 1$ :

$$\begin{aligned} |(X, Y)_{2,P} - (X, E)_{2,P}(E, Y)_{2,P}| &\leq (X, X)_{2,P}^{1/2}(Y, Y)_{2,P}^{1/2} - |(X, E)_{2,P}(E, Y)_{2,P}| \\ &= (X, X)_{2,P}^{1/2}(Y, Y)_{2,P}^{1/2} - G_E(X, Y). \end{aligned}$$

Since  $(\text{Id}, \text{Id})_{2,P} = 1$ , we have

$$\begin{aligned} |V_P(A, T)| &= |V_P(A - \lambda \text{Id}, T - \mu \text{Id})| \\ &= |(A - \lambda \text{Id}, (T - \mu \text{Id})^*)_{2,P} - (A - \lambda \text{Id}, \text{Id})_{2,P}(\text{Id}, (T - \mu \text{Id})^*)_{2,P}| \\ &\leq (A - \lambda \text{Id}, A - \lambda \text{Id})_{2,P}^{1/2}(T^* - \bar{\mu} \text{Id}, T^* - \bar{\mu} \text{Id})_{2,P}^{1/2} - G_{\text{Id}}(A - \lambda \text{Id}, T^* - \bar{\mu} \text{Id}) \\ &= \text{tr}(P|(A - \lambda \text{Id})^*|^2)^{1/2} \text{tr}(P|T - \mu \text{Id}|^2)^{1/2} - G_{\text{Id}}(A - \lambda \text{Id}, T^* - \bar{\mu} \text{Id}) \\ &\leq \| |(A - \lambda \text{Id})^*|^2 \|^{1/2} \| |T - \mu \text{Id}|^2 \|^{1/2} - |\text{tr}(P(A - \lambda \text{Id})) \text{tr}(P(T - \mu \text{Id}))| \\ &= \|A - \lambda \text{Id}\| \|T - \mu \text{Id}\| - |\text{tr}(P(A - \lambda \text{Id})) \text{tr}(P(T - \mu \text{Id}))|. \quad \square \end{aligned}$$

**Proposition 3.4.** *Let  $A, T \in \mathbb{B}(\mathcal{H})$ , and let  $P \in \mathcal{S}(\mathcal{H})$ . Then*

$$\begin{aligned} |V_P(A, T)| &\leq \sup_{\tilde{P} \in \mathcal{S}(\mathcal{H})} |\text{tr}(\tilde{P}AT) - \text{tr}(\tilde{P}A) \text{tr}(\tilde{P}T)| \\ &\leq \text{dist}(A, \mathbb{C} \text{Id}) \text{dist}(T, \mathbb{C} \text{Id}). \end{aligned} \quad (3.11)$$

*Proof.* By Lemma 3.3, we have

$$|V_P(A, T)| \leq \|A - \lambda \text{Id}\| \|T - \mu \text{Id}\| - |\text{tr}(P(A - \lambda \text{Id})) \text{tr}(P(T - \mu \text{Id}))|$$

for  $A, T \in \mathbb{B}(\mathcal{H})$ ,  $P \in \mathcal{S}(\mathcal{H})$ , and any  $\lambda, \mu \in \mathbb{C}$ . Therefore,

$$\begin{aligned} |V_P(A, T)| &\leq \sup_{\tilde{P} \in \mathcal{S}(\mathcal{H})} |\operatorname{tr}(\tilde{P}AT) - \operatorname{tr}(\tilde{P}A) \operatorname{tr}(\tilde{P}T)| \\ &\leq \operatorname{dist}(A, \mathbb{C} \operatorname{Id}) \operatorname{dist}(T, \mathbb{C} \operatorname{Id}). \end{aligned} \quad \square$$

*Remark 3.5.* If we define  $V_P : \mathbb{B}(\mathcal{H}) \times \mathbb{B}(\mathcal{H}) \rightarrow \mathbb{C}$ ,  $V_P(A, T) := \operatorname{tr}(PAT) - \operatorname{tr}(PA) \operatorname{tr}(PT)$ , then  $V_P$  is a bilinear function, and by (3.11) a continuous mapping with  $\|V_P\| \leq 1$ .

Now, we give a new proof and a refinement of (1.1).

**Proposition 3.6.** *Let  $A, T \in \mathbb{B}(\mathcal{H})$ , and we suppose that  $W(A), W(T)$  are contained in closed disk  $D(\lambda_0, R_A), D(\mu_0, R_T)$ , respectively. Then, for any  $P \in \mathcal{S}(\mathcal{H})$ ,*

$$\begin{aligned} |\operatorname{tr}(PAT) - \operatorname{tr}(PA) \operatorname{tr}(PT)| &\leq \sup_{\tilde{P} \in \mathcal{S}(\mathcal{H})} |\operatorname{tr}(\tilde{P}AT) - \operatorname{tr}(\tilde{P}A) \operatorname{tr}(\tilde{P}T)| \\ &\leq \operatorname{dist}(A, \mathbb{C} \operatorname{Id}) \operatorname{dist}(T, \mathbb{C} \operatorname{Id}) \\ &\leq \|A - \lambda_0 \operatorname{Id}\| \|T - \mu_0 \operatorname{Id}\| \\ &\leq 4w(A - \lambda_0 \operatorname{Id})w(T - \mu_0 \operatorname{Id}) \\ &\leq 4R_A R_T. \end{aligned} \quad (3.12)$$

In particular, if  $A$  and  $T$  are normal operators, then we have

$$\begin{aligned} |\operatorname{tr}(PAT) - \operatorname{tr}(PA) \operatorname{tr}(PT)| &\leq \sup_{\tilde{P} \in \mathcal{S}(\mathcal{H})} |\operatorname{tr}(\tilde{P}AT) - \operatorname{tr}(\tilde{P}A) \operatorname{tr}(\tilde{P}T)| \\ &\leq \operatorname{dist}(A, \mathbb{C} \operatorname{Id}) \operatorname{dist}(T, \mathbb{C} \operatorname{Id}) = r_{AT}, \end{aligned} \quad (3.13)$$

where  $r_S$  denotes the radius of the unique smallest disc containing  $\sigma(S)$  for any  $S \in \mathbb{B}(\mathcal{H})$ .

*Proof.* The inequalities are consequences of (3.11). In the last inequality, we use the fact that  $W(A - \lambda_0 \operatorname{Id}) \subset D(0, R_A)$  and  $W(T - \mu_0 \operatorname{Id}) \subset D(0, R_T)$ , respectively. On the other hand, Björck and Thomée [2] have shown that, for a normal operator  $A$ ,

$$\operatorname{dist}(A, \mathbb{C} \operatorname{Id}) = \sup_{\|x\|=1} (\|Ax\|^2 - |\langle Ax, x \rangle|^2)^{1/2} = r_A, \quad (3.14)$$

and this completes the proof.  $\square$

*Remark 3.7.* From (3.13), if we let  $A$  be a positive invertible operator,  $T = A^{-1}$  and  $P = x \otimes x$  with  $x \in \mathcal{H}$  with  $\|x\| = 1$ , then

$$\begin{aligned} |\operatorname{tr}(PAT) - \operatorname{tr}(PA) \operatorname{tr}(PT)| &= |1 - \langle Ax, x \rangle \langle A^{-1}x, x \rangle| \\ &\leq \operatorname{dist}(A, \mathbb{C} \operatorname{Id}) \operatorname{dist}(A^{-1}, \mathbb{C} \operatorname{Id}) = r_A r_{A^{-1}}; \end{aligned}$$

that is, we obtain the Kantorovich inequality for an operator  $A$  acting on an infinite-dimensional Hilbert space  $\mathcal{H}$  with  $0 < m \leq A \leq M$ .

In 1972, Istratescu [7] generalized the equality (3.14) to the transloid class operators. Then we have the following statement.

**Proposition 3.8.** *Let  $A, T \in \mathbb{B}(\mathcal{H})$  with  $A$  and  $T$  transloid operators. Then*

$$\begin{aligned} |\operatorname{tr}(PAT) - \operatorname{tr}(PA) \operatorname{tr}(PT)| &\leq \sup_{\tilde{P} \in \mathcal{S}(\mathcal{H})} |\operatorname{tr}(\tilde{P}AT) - \operatorname{tr}(\tilde{P}A) \operatorname{tr}(\tilde{P}T)| \\ &\leq \operatorname{dist}(A, \mathbb{C} \operatorname{Id}) \operatorname{dist}(T, \mathbb{C} \operatorname{Id}) = r_{AT}. \end{aligned} \quad (3.15)$$

*Proof.* It follows from the same arguments in the proof of inequality (3.13).  $\square$

The previous proposition generalizes Renaud's result for normal operators since the classes of transloid and normal operators are related by the inclusion as follows:

$$\text{normal} \subseteq \text{quasinormal} \subseteq \text{subnormal} \subseteq \text{hyponormal} \subseteq \text{transloid},$$

where at least the first inclusion is proper.

In the following statement, we obtain a parametric refinement of (1.1).

**Theorem 3.9.** *Let  $A, T \in \mathbb{B}(\mathcal{H})$  with  $A, T \notin \mathbb{C} \operatorname{Id}$ , and suppose that  $W(A), W(T)$  are contained in the closed disk  $D(\lambda_0, R_A)$  and  $D(\mu_0, R_T)$ , respectively. Thus, for any  $P \in \mathcal{S}(\mathcal{H})$ , we get*

$$\begin{aligned} |\operatorname{tr}(PAT) - \operatorname{tr}(PA) \operatorname{tr}(PT)| &\leq \sup_{\tilde{P} \in \mathcal{S}(\mathcal{H})} |\operatorname{tr}(\tilde{P}AT) - \operatorname{tr}(\tilde{P}A) \operatorname{tr}(\tilde{P}T)| \\ &\leq \operatorname{dist}(A, \mathbb{C} \operatorname{Id}) \operatorname{dist}(T, \mathbb{C} \operatorname{Id}) \\ &\leq h_\lambda(A) h_\mu(T) \omega(A - \lambda_0 \operatorname{Id}) \omega(T - \mu_0 \operatorname{Id}) \\ &\leq h_\lambda(A) h_\mu(T) R_A R_T, \end{aligned} \quad (3.16)$$

where

$$h_\lambda(A) = 2(1 - \lambda) + \lambda \frac{\|A - c(A) \operatorname{Id}\|}{w(A - \lambda_0 \operatorname{Id})}, \quad h_\mu(T) = 2(1 - \mu) + \mu \frac{\|T - c(T) \operatorname{Id}\|}{w(T - \mu_0 \operatorname{Id})},$$

and  $1 \leq h_\lambda(A) h_\mu(T) \leq 4$  for any  $\lambda, \mu \in [0, 1]$ .

*Proof.* Let  $\lambda \in [0, 1]$ . Then

$$\begin{aligned} \|A - c(A) \operatorname{Id}\| &\leq \lambda \|A - c(A) \operatorname{Id}\| + (1 - \lambda) \|A - \lambda_0 \operatorname{Id}\| \\ &\leq \lambda \|A - c(A) \operatorname{Id}\| + 2(1 - \lambda) w(A - \lambda_0 \operatorname{Id}) \\ &= w(A - \lambda_0 \operatorname{Id}) \left( 2(1 - \lambda) + \lambda \frac{\|A - c(A) \operatorname{Id}\|}{w(A - \lambda_0 \operatorname{Id})} \right) \\ &= w(A - \lambda_0 \operatorname{Id}) h_\lambda(A), \end{aligned}$$

where  $1 \leq h_\lambda(A) \leq 2$  since  $\|A - c(A) \operatorname{Id}\| \leq \|A - \lambda_0 \operatorname{Id}\| \leq 2w(A - \lambda_0 \operatorname{Id})$ . This inequality completes the proof.  $\square$

Note that the previous result gives a positive answer to Renaud's open question (1.2).



**Corollary 3.10.** *Under the same notation as in Theorem 3.9, if  $A - \lambda_0 \text{Id}$  and  $T - \mu_0 \text{Id}$  are normaloid operators, then, for any  $\lambda, \mu \in [0, 1]$ ,*

$$\begin{aligned} |\text{tr}(PAT) - \text{tr}(PA) \text{tr}(PT)| &\leq \sup_{\tilde{P} \in \mathcal{S}(\mathcal{H})} |\text{tr}(\tilde{P}AT) - \text{tr}(\tilde{P}A) \text{tr}(\tilde{P}T)| \\ &\leq \text{dist}(A, \mathbb{C} \text{Id}) \text{dist}(T, \mathbb{C} \text{Id}) \\ &\leq (2 - \lambda)(2 - \mu)\omega(A - \lambda_0 \text{Id})\omega(T - \mu_0 \text{Id}) \\ &\leq (2 - \lambda)(2 - \mu)R_A R_T. \end{aligned}$$

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