

# SPHERICAL FUNCTORS ON THE KUMMER SURFACE

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**Abstract.** We find two natural spherical functors associated to the Kummer surface and analyze how their induced twists fit with Bridgeland’s conjecture on the derived autoequivalence group of a complex algebraic K3 surface.

## §1. Introduction

Let  $\mathcal{D}(X)$  be the bounded derived category of coherent sheaves on a smooth complex projective variety  $X$ , and let  $\text{Aut}(\mathcal{D}(X))$  denote the set of isomorphism classes of exact  $\mathbb{C}$ -linear autoequivalences of  $\mathcal{D}(X)$ . Then we always have a subgroup  $\text{Aut}_{\text{st}}(\mathcal{D}(X)) \subset \text{Aut}(\mathcal{D}(X))$  of *standard* autoequivalences which is generated by pushforwards along automorphisms, twists by line bundles, and shifts. The complement of this subgroup, if nonempty, is usually very interesting and mysterious; its elements will be called *nonstandard* autoequivalences.

The most successful way to construct nonstandard autoequivalences was discovered in the groundbreaking work of Seidel and Thomas [14] on spherical objects. This was extended by Huybrechts and Thomas [8] to a notion of  $\mathbb{P}$ -objects and further still to a theory of spherical and  $\mathbb{P}$ -functors (see [13], [3], [1]).

The first example of a series of  $\mathbb{P}$ -functors was constructed by Addington [1, Theorem 2] for the Hilbert scheme  $X^{[n]}$  of  $n$  points on a K3 surface  $X$ . In particular, he showed that the natural functor  $F : \mathcal{D}(X) \rightarrow \mathcal{D}(X^{[n]})$  induced by the universal ideal sheaf on  $X \times X^{[n]}$  is a  $\mathbb{P}^{n-1}$ -functor in the sense of [1, Section 3] and thus gives rise to a nonstandard autoequivalence of  $\mathcal{D}(X^{[n]})$  for each  $n \geq 2$ . Notice that when  $n = 1$  this  $F$  is Mukai’s reflection functor (see [10, p. 362]), which coincides (up to a shift) with the spherical twist around the structure sheaf  $\mathcal{O}_X$ .

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Inspired by this example, the second author [9, Theorem 4.1] provided the analogous result for the generalized Kummer variety  $K_n \subset A^{[n+1]}$  associated to an abelian surface  $A$ . More precisely, he proved that the natural Fourier–Mukai functor  $F_K : \mathcal{D}(A) \rightarrow \mathcal{D}(K_n)$  induced by the universal ideal sheaf on  $A \times K_n$  is again a  $\mathbb{P}^{n-1}$ -functor yielding a new nonstandard autoequivalence of  $\mathcal{D}(K_n)$  for each  $n \geq 2$ .

This short note completes this theorem to the case  $n = 1$  where the generalized Kummer variety is the classical Kummer surface. The motivation to understand this particular case comes from Bridgeland’s conjecture in [5, Conjecture 1.2] on the derived autoequivalence group of a complex algebraic K3 surface; roughly speaking, it says that  $\text{Aut}(\mathcal{D}(X))$  should be generated by standard autoequivalences and twists around spherical objects.

### Summary of main results

Every abelian surface  $A$  has a natural K3 surface associated to it, namely, the *Kummer surface*  $K := K_1$ . It can either be defined as the blowup of the quotient  $A/\iota$  along the sixteen ordinary double points, where  $\iota$  denotes the involution  $a \mapsto -a$  or, equivalently, as the fiber of the Albanese map  $m : A^{[2]} \rightarrow A$  over zero. That is, we can identify  $K$  with the subvariety of the Hilbert scheme  $A^{[2]}$  consisting of those points representing length 2 subschemes of  $A$  whose weighted support sums to zero. In other words, there is a universal family  $\mathcal{Z} \subset A \times K$  giving rise to the commutative diagram

$$\begin{array}{ccc}
 & \mathcal{Z} & \\
 p \swarrow & & \searrow q \\
 A & & K \\
 \pi \searrow & & \swarrow \mu \\
 & A/\iota &
 \end{array}$$

Recall that a Fourier–Mukai functor  $F : \mathcal{D}(Y) \rightarrow \mathcal{D}(X)$  with left adjoint  $L$  and right adjoint  $R$  is said to be *spherical* if the cotwist  $C_F := \text{cone}(\text{id} \xrightarrow{\eta} RF)$  is an autoequivalence of  $\mathcal{D}(Y)$  and we have a functorial isomorphism  $R \simeq CL$ . In particular, if  $F$  is spherical, then the *twist*  $T_F := \text{cone}(FR \xrightarrow{\epsilon} \text{id})$  is an autoequivalence of  $\mathcal{D}(X)$ . A spherical object  $\mathcal{E} \in \mathcal{D}(X)$  corresponds to the case  $F := (\_ ) \otimes \mathcal{E} : \mathcal{D}(\text{pt}) \rightarrow \mathcal{D}(X)$ .

In this article, we focus on the exact triangle  $F \rightarrow F' \rightarrow F''$  of Fourier–Mukai functors  $\Phi_{\mathcal{E}}: \mathcal{D}(A) \rightarrow \mathcal{D}(K)$  induced by the structure sequence of  $\mathcal{Z}$ :

$$F := \Phi_{\mathcal{I}_{\mathcal{Z}}}, \quad F' := \Phi_{\mathcal{O}_{A \times K}} = \mathbb{H}^*(\_) \otimes \mathcal{O}_K, \quad F'' := \Phi_{\mathcal{O}_{\mathcal{Z}}} = q_* p^*.$$

Our main result is the following.

**THEOREM 1** (Proposition 2.1 and Corollary 2.4). *Both  $F$  and  $F''$  are spherical functors with cotwists  $C_F \simeq C_{F''} \simeq \iota^*$ .*

In light of [5, Conjecture 1.2], this immediately raises the question of whether the twists  $T_F, T_{F''} \in \text{Aut}(\mathcal{D}(K))$  associated to these functors  $F, F''$  can be decomposed into twists  $T_{\mathcal{E}}$  around spherical objects  $\mathcal{E} \in \mathcal{D}(K)$ . We answer this question with the following.

**THEOREM 2** (Proposition 2.1 and Corollary 2.4). *The induced twists  $T_F, T_{F''} \in \text{Aut}(\mathcal{D}(K))$  decompose in the following way:*

$$T_{F''} \simeq \prod_i T_{\mathcal{O}_{E_i}(-1)}^{-1} \circ M_{\mathcal{O}_K(E/2)}[1] \simeq \prod_i T_{\mathcal{O}_{E_i}} \circ M_{\mathcal{O}_K(-E/2)}[1]$$

and

$$F[1] \simeq T_{\mathcal{O}_K} \circ F'' \quad \implies \quad T_F \simeq T_{\mathcal{O}_K} \circ T_{F''} \circ T_{\mathcal{O}_K}^{-1},$$

where  $E = \bigcup_i E_i$  for the exceptional curves  $E_i$  of the Hilbert–Chow morphism  $\mu$  and  $M_{\mathcal{O}_K(E/2)} := (\_) \otimes \mathcal{O}_K(E/2)$ .

It is easy to see that the squares  $T_F^2, T_{F''}^2$  of our twists act trivially on the cohomology of  $K$  (see [1, Section 1.4]). In fact, Corollary 2.5 shows that  $T_F^2 \simeq T_{F''}^2 \simeq [2]$ .

In this paper we give a different proof of Corollary 2.4 from that which could have been obtained from adapting the arguments in [9]. The advantage of our approach is that it immediately provides us with the decompositions of  $T_F$  and  $T_{F''}$  as stated above.

## §2. Natural functors on the Kummer surface

Another way of describing  $K$  is by first blowing up the fixed points  $\tilde{A} \rightarrow A$ . Since the fixed points are  $\iota$ -invariant, the involution  $\iota$  lifts to an involution

$\tilde{\iota}$  of  $\tilde{A}$ :

$$\begin{array}{ccc}
 & \tilde{A} & \\
 p \swarrow & & \searrow q \\
 A & & K \\
 \pi \searrow & & \swarrow \mu \\
 & A/\iota &
 \end{array}$$

The quotient  $\tilde{A} \rightarrow K$  is a double cover ramified over 16 exceptional curves  $E_i$ . Moreover, the canonical bundle formula for the blowup yields  $\omega_{\tilde{A}} \simeq \mathcal{O}(\sum \tilde{E}_i)$ , where the  $\tilde{E}_i$  are the exceptional divisors in  $\tilde{A}$ . Their images  $E_i$  in  $K$  satisfy  $q^*\mathcal{O}(E_i) \simeq \mathcal{O}(2\tilde{E}_i)$  and  $q_*\mathcal{O}_{\tilde{A}} \simeq \mathcal{O}_K \oplus \mathcal{O}(-\frac{1}{2}\sum E_i)$ . (See [7, Chapter 1.1] for more details.) We set  $E := \bigcup_i E_i$  and  $\tilde{E} := \bigcup_i \tilde{E}_i$  from now on.

**PROPOSITION 2.1.** *We have that  $F'' : \mathcal{D}(A) \rightarrow \mathcal{D}(K)$  is a spherical functor with cotwist  $C_{F''} \simeq \iota^*$  and twist*

$$T_{F''} \simeq \prod_i T_{\mathcal{O}_{E_i}(-1)}^{-1} \circ M_{\mathcal{O}_K(E/2)}[1].$$

*Proof.* The pushforward along the double cover  $q_* : \mathcal{D}(\tilde{A}) \rightarrow \mathcal{D}(K)$  is a spherical functor with cotwist  $C_{q_*} \simeq M_{\mathcal{O}_{\tilde{A}}(\tilde{E})} \circ \tilde{\iota}^* \simeq S_{\tilde{A}} \circ \tilde{\iota}^*[-2]$  and twist  $T_{q_*} \simeq M_{\mathcal{O}_K(E/2)}[1]$  (see [1, Section 1.2, Examples 5 and 6]).

By [11, Theorem 4.3], we have a semiorthogonal decomposition

$$\mathcal{D}(\tilde{A}) \simeq \langle \mathcal{O}_{\tilde{E}_1}(-1), \dots, \mathcal{O}_{\tilde{E}_{16}}(-1), p^*\mathcal{D}(A) \rangle.$$

We set  $\mathcal{A} := \langle \mathcal{O}_{\tilde{E}_1}(-1), \dots, \mathcal{O}_{\tilde{E}_{16}}(-1) \rangle$  and  $\mathcal{B} := p^*\mathcal{D}(A)$  so that  $\mathcal{D}(\tilde{A}) \simeq \langle \mathcal{A}, \mathcal{B} \rangle$ . Since  $\mathcal{D}(\tilde{A}) \simeq \langle S_{\tilde{A}}\mathcal{B}, \mathcal{A} \rangle$  by [4, Proposition 3.6] and  $C_{q_*}\mathcal{B} \simeq S_{\tilde{A}}\mathcal{B}$ , we have  $\mathcal{D}(\tilde{A}) \simeq \langle C_{q_*}\mathcal{B}, \mathcal{A} \rangle$ . Thus, by [6, Theorem 4.13], the restrictions  $q_*|_{\mathcal{A}} : \mathcal{D}(A[2]) \rightarrow \mathcal{D}(K)$  (to the set  $A[2] \subset A$  of 2-torsion points) and  $q_*|_{\mathcal{B}} \simeq q_*p^* =: F'' : \mathcal{D}(A) \rightarrow \mathcal{D}(K)$  are spherical functors with  $T_{q_*} \simeq T_{q_*|_{\mathcal{A}}} \circ T_{q_*|_{\mathcal{B}}}$ . Since  $q_*\mathcal{O}_{\tilde{E}_i}(-1) \simeq \mathcal{O}_{E_i}(-1)$ , we see that  $T_{q_*|_{\mathcal{A}}} \simeq \prod_i T_{\mathcal{O}_{E_i}(-1)}$ ; hence,

$$T_{F''} \simeq T_{q_*|_{\mathcal{A}}}^{-1} \circ T_{q_*} \simeq \prod_i T_{\mathcal{O}_{E_i}(-1)}^{-1} \circ M_{\mathcal{O}_K(E/2)}[1].$$

Notice that the cotwist of  $F'' \simeq q_*|_{\mathcal{B}}$  is given by  $S_A \circ \iota^*[-2] \simeq \iota^*$ .  $\square$

REMARK 2.2. We can use (1) below to rewrite this decomposition as

$$T_{F''} \simeq \prod_i T_{\mathcal{O}_{E_i}} \circ M_{\mathcal{O}_K(-E/2)}[1].$$

LEMMA 2.3. *We have the following isomorphism of functors*

$$F[1] \simeq T_{\mathcal{O}_K} \circ F''.$$

*Proof.* Consider the following exact triangles of functors:

$$\mathrm{Hom}^*(\mathcal{O}_K, F'') \otimes \mathcal{O}_K \rightarrow F'' \rightarrow T_{\mathcal{O}_K} \circ F'' \quad \text{and} \quad F' \rightarrow F'' \rightarrow F[1].$$

Then it is enough to show that  $\mathrm{Hom}^*(\mathcal{O}_K, F'') \otimes \mathcal{O}_K \simeq F' \simeq \mathrm{H}^*(A, \_)\otimes \mathcal{O}_K$ . In other words, it is sufficient to show that  $\mathrm{H}^*(K, F''(\_)) \simeq \mathrm{H}^*(A, \_)$ , but this follows from the fact that  $p$  is a blowup. Indeed, we have

$$\begin{aligned} \mathrm{H}^*(K, F''(\_)) &\simeq \mathrm{H}^*(K, q_*p^*(\_)) \simeq \mathrm{H}^*(\tilde{A}, p^*(\_)) \\ &\simeq \mathrm{H}^*(A, p_*p^*(\_)) \simeq \mathrm{H}^*(A, \_). \end{aligned} \quad \square$$

COROLLARY 2.4. *We have that  $F : \mathcal{D}(A) \rightarrow \mathcal{D}(K)$  is a spherical functor with cotwist  $C_F \simeq \iota^*$  and twist*

$$T_F \simeq T_{\mathcal{O}_K} \circ T_{F''} \circ T_{\mathcal{O}_K}^{-1}.$$

*Proof.* Recall that if  $F : \mathcal{D}(Z) \rightarrow \mathcal{D}(Y)$  is a spherical functor and  $\Phi : \mathcal{D}(Y) \xrightarrow{\sim} \mathcal{D}(X)$  is an equivalence of categories, then  $\Phi \circ F : \mathcal{D}(Z) \rightarrow \mathcal{D}(X)$  is also a spherical functor with the same cotwist and  $T_{\Phi \circ F} \simeq \Phi \circ T_F \circ \Phi^{-1}$ . In particular, we see immediately from Lemma 2.3 that  $F$  is a spherical functor with cotwist  $C_F \simeq \iota^*$  and twist

$$T_F \simeq T_{F[1]} \simeq T_{\mathcal{O}_K} \circ T_{F''} \circ T_{\mathcal{O}_K}^{-1}. \quad \square$$

COROLLARY 2.5. *The squares of the spherical twists are given by*

$$T_F^2 \simeq T_{F''}^2 \simeq [2].$$

*In particular,  $T_F^2, T_{F''}^2$  act trivially on cohomology.*

*Proof.* Let  $j : E \rightarrow K$  denote the inclusion of the exceptional divisor. Since  $E$  is smooth, we can apply [1, Section 1.2, Example 5] to see that  $j_* : \mathcal{D}(E) \rightarrow \mathcal{D}(K)$  is spherical with cotwist  $C_{j_*} \simeq M_{\mathcal{O}_E(E)}[-1] \simeq S_E[-2]$  and twist  $T_{j_*} \simeq M_{\mathcal{O}_K(E)}$ .

Set  $\mathcal{A}_1 := \langle \mathcal{O}_{E_1}(-1), \dots, \mathcal{O}_{E_{16}}(-1) \rangle$  and  $\mathcal{A}_2 := \mathcal{A}_1 \otimes \mathcal{O}_E(1)$  to be subcategories of  $\mathcal{D}(E)$ . Then, by [11, Theorem 2.6], we have a semiorthogonal decomposition

$$\mathcal{D}(E) \simeq \langle \mathcal{A}_1, \mathcal{A}_2 \rangle.$$

Thus, using Kuznetsov's trick in [2, Theorem 11] (which is a special case of [6, Theorem 4.13]), we see that the restriction  $j_\ell := j_*|_{\mathcal{A}_\ell} : \mathcal{D}(A[2]) \rightarrow \mathcal{D}(K)$  is spherical for each  $\ell = 1, 2$ , and the twists satisfy  $T_{j_1} \circ T_{j_2} \simeq T_{j_*}$ . That is,

$$(1) \quad \prod_i T_{\mathcal{O}_{E_i}(-1)} \circ \prod_i T_{\mathcal{O}_{E_i}} \simeq M_{\mathcal{O}_K(E)}.$$

Furthermore, we have  $j_1 \simeq M_{\mathcal{O}_K(E/2)} \circ j_2$  since  $\mathcal{O}_{E_i}(E/2) \simeq \mathcal{O}_{E_i}(-1)$ , and so

$$T_{j_1} \simeq T_{M_{\mathcal{O}_K(E/2)} \circ j_2} \simeq M_{\mathcal{O}_K(E/2)} \circ T_{j_2} \circ M_{\mathcal{O}_K(-E/2)},$$

which, after taking inverses, equates to

$$(2) \quad \prod_i T_{\mathcal{O}_{E_i}(-1)}^{-1} \circ M_{\mathcal{O}_K(E/2)} \simeq M_{\mathcal{O}_K(E/2)} \circ \prod_i T_{\mathcal{O}_{E_i}}^{-1}.$$

This expression allows us to reduce the formula for  $T_{F''}^2$  in the following way:

$$\begin{aligned} T_{F''}^2 &\simeq \prod_i T_{\mathcal{O}_{E_i}(-1)}^{-1} \circ M_{\mathcal{O}_K(E/2)} \circ \prod_i T_{\mathcal{O}_{E_i}(-1)}^{-1} \circ M_{\mathcal{O}_K(E/2)}[2] \\ &\simeq M_{\mathcal{O}_K(E/2)} \circ \prod_i T_{\mathcal{O}_{E_i}}^{-1} \circ \prod_i T_{\mathcal{O}_{E_i}(-1)}^{-1} \circ M_{\mathcal{O}_K(E/2)}[2] \\ &\simeq M_{\mathcal{O}_K(E/2)} \circ M_{\mathcal{O}_K(-E)} \circ M_{\mathcal{O}_K(E/2)}[2] \\ &\simeq [2], \end{aligned}$$

where the second and third lines follow from (2) and (1), respectively.

The fact that  $T_F^2 \simeq [2]$  now follows immediately from Corollary 2.4.  $\square$

**COROLLARY 2.6.** *The collections  $\text{im } F$  and  $\text{im } F''$  are spanning classes for  $\mathcal{D}(K)$ .*

*Proof.* For any spherical functor  $F : \mathcal{D}(Y) \rightarrow \mathcal{D}(X)$ , we have a natural spanning class for  $\mathcal{D}(X)$  given by  $\text{im } F \cup (\text{im } F)^\perp \simeq \text{im } F \cup \ker R$  (see [1, Section 1.4]). However, in our case we have  $\ker R = 0$ . Indeed, let  $\mathcal{E} \in \ker R$ . Then the defining triangle for the twist  $FR(\mathcal{E}) \rightarrow \mathcal{E} \rightarrow T_F(\mathcal{E})$  shows that  $T_F(\mathcal{E}) \simeq \mathcal{E}$ . But by Corollary 2.5 we have  $\mathcal{E} \simeq T_F^2(\mathcal{E}) \simeq \mathcal{E}[2]$ , which implies that  $\mathcal{E} \simeq 0$ ; a similar argument works for  $F''$ .  $\square$

REMARK 2.7. This should be contrasted to the object case where every spherical object  $\mathcal{E}$  is expected to have a nonempty perpendicular  $\mathcal{E}^\perp$  (see [12, Question 1.25]).

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