

## ASYMPTOTIC BEHAVIOR OF $(a, k)$ -REGULARIZED RESOLVENT FAMILIES AT ZERO

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**Abstract.** This paper is primarily concerned with approximation at 0 of an  $(a, k)$ -regularized resolvent family  $R(\cdot)$  for Volterra integral equation. We shall consider convergence rates of some kind of local means  $Q_m(t)$ ,  $t \geq 0$ ,  $m \geq 0$ , of  $R(t)/k(t)$ . Some approximation theorems and local ergodic theorems with rates will be deduced from general approximation theorems for regularized approximation processes.

### 1. INTRODUCTION

Consider the following Volterra equation of convolution type

$$u(t) = \int_0^t a(t-s)Au(s)ds + f(t), \quad t \geq 0$$

where  $A$  is a closed linear operator on a Banach space  $X$ . Let  $B(X)$  denote the Banach algebra of all bounded linear operators on  $X$ . Let  $k \in C[0, \infty)$ ,  $a \in L_{loc}^1([0, \infty))$  be nondecreasing positive functions. A strongly continuous function  $R : [0, \infty) \rightarrow B(X)$  is called an  $(a, k)$ -regularized resolvent family with generator  $A$  if it satisfies the conditions:

- (R1)  $R(0) = k(0)I$ ;
- (R2)  $R(t)x \in D(A)$  and  $AR(t)x = R(t)Ax$  for all  $x \in D(A)$  and  $t > 0$ ;
- (R3)  $a * R(t)x \in D(A)$  and  $R(t)x = k(t)x + Aa * R(t)x$  for all  $x \in X$  and  $t \geq 0$ .

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The notion of  $(a, k)$ -regularized resolvent family has been introduced and studied in [6, 7, 8]. It contains integrated solution families ( $k(t) = t^\alpha/\Gamma(\alpha + 1)$ ) [10], resolvent families ( $k(t) \equiv 1$ ) [11], integrated semigroups ( $a \equiv 1, k(t) = t^\alpha/\Gamma(\alpha + 1)$ ) [5], and integrated cosine functions ( $a(t) = t, k(t) = t^\alpha/\Gamma(\alpha + 1)$ ) [12] as special cases.

In this paper, we study approximation properties at 0 of  $R(\cdot)$ . Denote by  $a_0$  the Dirac measure  $\delta_0$  at 0. For  $m \geq 0$ , let  $a_{m+1}(t) = a * a_m(t), t \geq 0$ , and let  $l_m(0) = 0$  and  $l_m(t) = \frac{a_{m+1} * k(t)}{a_m * k(t)}$  for  $t > 0$ . We define the operator function  $Q_m : (0, \infty) \rightarrow B(X)$  by

$$Q_m(t)x = \frac{a_m * R(t)x}{a_m * k(t)}$$

for all  $x \in X$  and  $t > 0$ . Note that  $Q_0(t) = R(t)/k(t)$  and  $Q_1(t) = \int_0^t a(t-s)R(s)ds / \int_0^t a(t-s)k(s)ds$ . We shall assume that

$$(1.1) \quad \|R(t)\| \leq Mk(t) \text{ for all } t > 0.$$

Then

$$\begin{aligned} \|Q_m(t)x\| &\leq \frac{1}{a_m * k(t)} \int_0^t a_m(t-s) \|R(s)x\| ds \\ &\leq \frac{M\|x\|}{a_m * k(t)} \int_0^t a_m(t-s)k(s)ds = M\|x\| \end{aligned}$$

for all  $x \in X$  and so

$$(1.2) \quad \|Q_m(t)\| \leq M \text{ for all } t > 0.$$

Therefore one can consider the asymptotic behavior of  $Q_m(t)$  at zero. Since it can be seen that  $\{Q_m(t); t \geq 0\}$  forms a regularized approximation process on  $X_1 := \overline{D(A)}$ , one can apply general approximation theorems for  $A$ -regularized approximation processes (cf. [13]) to deduce results on approximation of  $Q_m(t)$ . We will do this in Section 3. Before that we shall first recall in Section 2 some needed general results from [13] on approximation of  $A$ -regularized approximation processes.

## 2. REGULARIZED APPROXIMATION PROCESSES

In [13], we have obtained general results on the strong and uniform convergence of regularized approximation processes, with emphasis on their optimal and non-optimal convergence rates. This section serves as a brief review of those general results needed in Section 3.

We start with the following definition of a regularized approximation process. In the sequel, we use the notations  $D(T)$ ,  $R(T)$ , and  $N(T)$ , for the domain, range, and null space, respectively, of a linear operator  $T$ .

Let  $e(\alpha)$  be a positive function tending to 0. A net  $\{T_\alpha\}$  of bounded linear operators on  $X$  is called an *A-regularized approximation process of order  $O(e(\alpha))$*  on  $X$  if it is uniformly bounded, i.e.,  $\|T_\alpha\| \leq M$  for some  $M > 0$  and all  $\alpha$ , and satisfies

- (A1) there are a (necessarily densely defined) closed linear operator  $A$  on  $X$  and a uniformly bounded approximation process  $\{S_\alpha\}$  on  $X$  such that

$$R(S_\alpha) \subset D(A) \text{ and } S_\alpha A \subset AS_\alpha = (e(\alpha))^{-1}(T_\alpha - I) \text{ for all } \alpha.$$

In this case, the process  $\{S_\alpha\}$  is called a *regularization process* associated with  $\{T_\alpha\}$ .

In the following,  $\{T_\alpha\}$  denotes an *A-regularized approximation process of order  $O(e(\alpha))$*  with regularization process  $\{S_\alpha\}$ .

**Lemma 2.1.** [13]

- (i)  $x \in D(A)$  and  $y = Ax$  if and only if  $y = \lim_\alpha (e(\alpha))^{-1}(T_\alpha - I)x$ .
- (ii)  $D(A)$  is dense in  $X$ , and  $\|T_\alpha x - x\| \rightarrow 0$  for all  $x \in X$ .
- (iii) If  $A$  is bounded, then  $\|T_\alpha - I\| = O(e(\alpha)) \rightarrow 0$ .
- (iv)  $\|T_\alpha - I\| \rightarrow 0$  implies  $A \in B(X)$  if either  $R(T_\alpha) \subset D(A)$  for all  $\alpha$ , or  $S_\alpha$  and  $T_\alpha$  satisfy the following condition:

- (A2)  $\|T_\alpha - I\| \rightarrow 0$  implies  $\|S_\alpha - I\| \rightarrow 0$ .

A Banach space  $X$  is called a *Grothendieck space* if every weakly\* convergent sequence in  $X^*$  is weakly convergent, and is said to have the *Dunford-Pettis property* if  $\langle x_n, x_n^* \rangle \rightarrow 0$  whenever  $x_n \rightarrow 0$  weakly in  $X$  and  $x_n^* \rightarrow 0$  weakly in  $X^*$ . The spaces  $L^\infty$ ,  $H^\infty$ , and  $B(S, \Sigma)$  are particular examples of Grothendieck spaces with the Dunford-Pettis property (see [9]). A common phenomenon in such spaces is that strong operator convergence often implies uniform operator convergence. The following is a theorem of this type for regularized approximation processes.

**Theorem 2.2.** [13] *Let  $\{T_\alpha\}$  be an A-regularized approximation process of order  $O(e(\alpha))$  on a Grothendieck space  $X$  with the Dunford-Pettis property. If  $R(T_\alpha) \subset D(A)$  for all  $\alpha$ , then  $A \in B(X)$  and  $\|T_\alpha - I\| = O(e(\alpha))$ .*

As usual the rates of convergence will be characterized by means of *K-functional* and *relative completion*, which we recall now.

**Definition 2.3.** Let  $X$  be a Banach space with norm  $\|\cdot\|_X$ , and  $Y$  a submanifold with seminorm  $\|\cdot\|_Y$ . The  $K$ -functional is defined by

$$K(t, x) := K(t, x, X, Y, \|\cdot\|_Y) = \inf_{y \in Y} \{\|x - y\|_X + t\|y\|_Y\}.$$

If  $Y$  is also a Banach space with norm  $\|\cdot\|_Y$ , then the *completion of  $Y$  relative to  $X$*  is defined as

$$\tilde{Y}^X := \{x \in X : \exists \{x_n\} \subset Y \text{ such that } \lim_{n \rightarrow \infty} \|x_n - x\|_X = 0 \text{ and } \sup_n \|x_n\|_Y < \infty\}.$$

It is known [1] that  $K(t, x)$  is a bounded, continuous, monotone increasing and subadditive function of  $t$  for each  $x \in X$ , and  $K(t, x, X, Y, \|\cdot\|_Y) = O(t)$  if and only if  $x \in \tilde{Y}^X$ . With these terminologies we now state some theorems from [13] on convergence rates. The following is an optimal convergence (saturation) theorem.

**Theorem 2.4.** [13] *Let  $\{T_\alpha\}$  be an  $A$ -regularized approximation process of order  $O(e(\alpha))$ , and let  $D(A)$  be equipped with the graph norm  $\|\cdot\|_{D(A)}$ . For  $x \in X$ , we have:*

(i)  $\|T_\alpha x - x\| = o(e(\alpha))$  if and only if  $x \in N(A)$ , if and only if  $T_\alpha x = x$  for all  $\alpha$ .

(ii) *The following are equivalent:*

(a)  $\|T_\alpha x - x\| = O(e(\alpha));$

(b)  $x \in \widetilde{D(A)}^X;$

(c)  $x \in D(A)$  in the case that  $X$  is reflexive.

The next theorem is about non-optimal convergence.

**Theorem 2.5.** [13] *Let  $0 \leq e(\alpha) \leq f(\alpha) \rightarrow 0$ . If  $K(e(\alpha), x, X, D(A), \|\cdot\|_{D(A)}) = O(f(\alpha))$ , then  $\|T_\alpha x - x\| = O(f(\alpha))$ . The converse statement is also true under the following assumption:*

(A3)  $\|S_\alpha x - x\| = O(f(\alpha))$  whenever  $\|T_\alpha x - x\| = O(f(\alpha))$ .

To consider the sharpness of approximation, we need the following theorem.

**Theorem 2.6.** [13] *Suppose an  $A$ -regularized approximation process  $\{T_\alpha\}$  and its regularization process  $\{S_\alpha\}$  satisfy condition (A2). Then  $A$  is unbounded if and only if for each/some  $f(\alpha)$  with  $0 \leq e(\alpha) < f(\alpha) \rightarrow 0$  and  $f(\alpha)/e(\alpha) \rightarrow \infty$  there exists  $x_f \in X$  such that*

$$\|T_\alpha x_f - x_f\| \begin{cases} = O(f(\alpha)); \\ \neq o(f(\alpha)). \end{cases}$$

3. APPROXIMATION PROPERTIES OF REGULARIZED SOLUTION FAMILIES

In this section, we apply the general theorems in Section 2 to deduce approximation theorems for regularized solution families. Note that  $a_m(t)$  and  $a_m * k(t)$  are nondecreasing and positive functions of  $t$ . Therefore

$$(3.1) \quad l_m(t) = \frac{1}{a_m * k(t)} \int_0^t a(t-s)(a_m * k)(s)ds \leq \int_0^t a(s)ds \rightarrow 0$$

as  $t \rightarrow 0$ .

**Lemma 3.1.** *Let  $R(\cdot)$  be an  $(a, k)$ -regularized resolvent family generated by  $A$  such that  $\|R(t)\| \leq Mk(t)$  for all  $t \geq 0$ , and let  $A_1$  be the part of  $A$  in  $X_1 := \overline{D(A)}$ . Then*

$$(3.2) \quad Q_0(t)D(A) \subset D(A) \text{ and } Q_0(t)Ax = AQ_0(t)x \text{ for } x \in D(A);$$

$$(3.3) \quad Q_{m+1}(t)X \subset D(A) \text{ and } Q_{m+1}(t)A \subset AQ_{m+1}(t) = \frac{1}{l_m(t)}(Q_m(t) - I);$$

$$(3.4) \quad Q_0(t)D(A_1) \subset D(A_1) \text{ and } Q_0(t)A_1x = A_1Q_0(t)x \text{ for } x \in D(A_1);$$

$$(3.5) \quad \begin{aligned} &Q_{m+1}(t)X_1 \subset D(A_1) \text{ and } Q_{m+1}(t)A_1 \subset A_1Q_{m+1}(t) |_{X_1} \\ &= \frac{1}{l_m(t)}(Q_m(t) - I) |_{X_1} \text{ for all } m \geq 0 \text{ and } t > 0. \end{aligned}$$

*Proof.* Since  $Q_0(t) = \frac{1}{k(t)}R(t)$ , (3.2) follows from (R2). It implies  $Q_0(t)X_1 \subset X_1$ . To show (3.4), let  $x \in D(A_1)$ . Then  $x \in D(A)$ ,  $Ax \in X_1$ , and  $A_1x = Ax$ . By (3.2) we have  $Q_0(t)x \in D(A)$  and  $AQ_0(t)x = Q_0(t)Ax = Q_0(t)A_1x \in Q_0(t)X_1 \subset X_1$ , so that  $Q_0(t)x \in D(A_1)$  and  $A_1Q_0(t)x = AQ_0(t)x = Q_0(t)A_1x$ . To show (3.3) for  $m \geq 0$ , write

$$\begin{aligned} Q_{m+1}(t)x &= \frac{1}{a_{m+1} * k(t)}[a_m * (a * R)](t)x \\ &= \frac{1}{a_{m+1} * k(t)} \int_0^t a_m(t-s)(a * R)(s)xds \end{aligned}$$

for all  $x \in X$ . Since the integral

$$\begin{aligned} \int_0^t Aa_m(t-s)(a * R)(s)xds &= \int_0^t a_m(t-s)A(a * R)(s)xds \\ &= \int_0^t a_m(t-s)[R(s) - k(s)]xds \end{aligned}$$

exists, the closedness of  $A$  implies that  $[a_m * (a * R)](t)x \in D(A)$  and

$$\begin{aligned} A[a_m * (a * R)](t)x &= \int_0^t Aa_m(t-s)(a * R)(s)x ds \\ &= [a_m * A(a * R)](t)x = a_m * R(t)x - a_m * k(t)x. \end{aligned}$$

Hence  $Q_{m+1}(t)x \in D(A)$  and

$$AQ_{m+1}(t)x = \frac{1}{a_{m+1} * k(t)} [a_m * R(t)x - a_m * k(t)x] = \frac{1}{l_m(t)} [Q_m(t)x - x]$$

for all  $x \in X$ . Moreover, if  $x \in D(A)$  then by (R2) and (R3) we have

$$\begin{aligned} AQ_{m+1}(t)x &= \frac{1}{a_{m+1} * k(t)} [a_m * A(a * R)](t)x \\ &= \frac{1}{a_{m+1} * k(t)} [a_m * (a * R)](t)Ax = Q_{m+1}(t)Ax. \end{aligned}$$

This shows (3.3). To show (3.5), let  $x \in X_1$  and let  $\{x_n\} \subset D(A)$  converge to  $x$ . (3.3) implies  $Q_{m+1}(t)x \in D(A)$ . Since  $A$  is closed,  $AQ_{m+1}(t)$  is bounded, so that  $AQ_{m+1}(t)x = \lim_{n \rightarrow \infty} AQ_{m+1}(t)x_n = \lim_{n \rightarrow \infty} Q_{m+1}(t)Ax_n \in \overline{D(A)} = X_1$ . This and (3.3) show that  $Q_{m+1}(t)x \in D(A_1)$  and  $A_1Q_{m+1}(t)x = AQ_{m+1}(t)x = \frac{1}{l_m(t)}(Q_m(t) - I)x$  for all  $x \in X_1$ . When  $x \in D(A_1)$ , we have  $x \in D(A)$ ,  $Ax \in X_1$ , and  $A_1x = Ax$  so that  $Q_{m+1}(t)A_1x = Q_{m+1}(t)Ax = AQ_{m+1}(t)x = A_1Q_{m+1}(t)x$ . This completes the Proof.

**Lemma 3.2.** *Let  $R(\cdot)$  be an  $(a, k)$ -regularized resolvent family with generator  $A$  such that  $\|R(t)\| \leq Mk(t)$  for all  $t \geq 0$ .*

- (i) *For  $m \geq 0$ ,  $\|Q_m(t)x - x\| \rightarrow 0$  as  $t \rightarrow 0^+$  if and only if  $Q_m(t)x \rightarrow x$  weakly as  $t \rightarrow 0^+$ , if and only if there is a sequence  $\{t_n\}$  such that  $Q_m(t_n)x \rightarrow x$  weakly for the case  $m \geq 1$ , if and only if  $x \in X_1$ .*
- (ii) *If  $k(t) \rightarrow k(0) \neq 0$  as  $t \rightarrow 0^+$ , then  $A$  is densely defined in  $X$ .*

*Proof.*

- (i) It follows from (3.1), (1.2), (3.3) that for all  $m \geq 0$

$$\|Q_m(t)x - x\| \leq l_m(t)\|Q_{m+1}(t)\| \|Ax\| \leq l_m(t)M \|Ax\| \rightarrow 0$$

as  $t \rightarrow 0^+$  for all  $x \in D(A)$ , and hence  $Q_m(t)x \rightarrow x$  for all  $x \in X_1$ , by

(1.2). Conversely, from the estimate,

$$\begin{aligned}
& | \langle Q_{m+1}(t)x - x, x^* \rangle | \\
&= \frac{1}{a_{m+1} * k(t)} \left| \left\langle \int_0^t a(t-s)(a_m * R(s)x) ds \right. \right. \\
(3.6) \quad & \left. \left. - \int_0^t a(t-s)(a_m * k)(s)x ds, x^* \right\rangle \right| \\
&\leq \frac{1}{a_{m+1} * k(t)} \int_0^t a(t-s)(a_m * k)(s) | \langle Q_m(s)x - x, x^* \rangle | ds \\
&\leq \sup\{ | \langle Q_m(s)x - x, x^* \rangle | ; 0 \leq s \leq t \}, x \in X, x^* \in X^*,
\end{aligned}$$

one sees that if  $Q_m(t)x \rightarrow x$  weakly, then  $Q_{m+1}(t)x \rightarrow x$  weakly, which and the fact that  $R(Q_{m+1}(t)) \subset D(A)$  show that  $x \in X_1$ . When  $m \geq 1, R(Q_m(t_n)) \subset D(A)$ , and so  $x = w - \lim Q_m(t_n)x \in X_1$ .

(ii) When  $k(t) \rightarrow k(0) \neq 0$  as  $t \rightarrow 0^+$ , since  $Q_0(t) = R(t)/k(t) \rightarrow I$  strongly as  $t \rightarrow 0^+$ , (3.6) implies that

$$\|Q_1(t)x - x\| \leq \sup\{\|Q_0(s)x - x\|; 0 \leq s \leq t\} \rightarrow 0$$

for all  $x \in X$ . Then we have  $X_1 = X$ , by the fact that  $Q_1(t)X \subset D(A)$ . That is,  $A$  is densely defined.

Thus, from (3.2), (3.5) and Lemma 3.2, we see that  $X_1$  is invariant under  $Q_m(t)$  for each  $m \geq 0$ , and  $\{T_t := Q_m(t) |_{X_1}\}$  is an  $A_1$ -regularized approximation process on  $X_1$  with the regularization process  $\{S_t := Q_{m+1}(t) |_{X_1}\}$  and with the optimal order  $O(l_m(t))(t \rightarrow 0^+)$ . In particular,  $D(A_1)$  is dense in  $X_1$ . Moreover, by Lemma 3.1 we have  $T_t D(A_1) \subset D(A_1)$  if  $m = 0$  and  $R(T_t) \subset D(A_1)$  if  $m \geq 1$ .

**Lemma 3.3.** *The above pair  $(\{T_t\}, \{S_t\})$  satisfies (A2). If  $l_m(t)$  is nondecreasing for  $t$  near 0, then (A3) with  $f(t) = (l_m(t))^\beta (0 < \beta \leq 1)$  also holds.*

*Proof.* From (3.6) one can see that  $\|S_t - I\|_{X_1} \leq \sup\{\|T_s - I\|_{X_1}; 0 \leq s \leq t\}$ , which shows (A2). Moreover, if  $\|T_t x - x\| \leq M(k_m(t))^\beta$  for all  $t \in [0, 1]$ , then  $\|S_t x - x\| \leq M \sup\{(l_m(s))^\beta; 0 \leq s \leq t\} \leq M(l_m(t))^\beta$  for all  $t \in [0, 1]$ , showing (A3).

From Lemmas 2.1 and 3.3 and Theorem 2.2 we deduce the following uniform convergence theorem.

**Theorem 3.4.** *Let  $R(\cdot)$  be an  $(a, k)$ -regularized resolvent family with generator  $A$  such that  $\|R(t)\| \leq Mk(t)$  for all  $t \geq 0$ .*

- (i) For  $m \geq 0$ ,  $\|Q_m(t) - I\| \rightarrow 0$  as  $t \rightarrow 0^+$  if and only if  $A \in B(X)$ . In this case,  $\|Q_m(t) - I\| = O(l_m(t))(t \rightarrow 0^+)$ .
- (ii) When  $X_1$  is a Grothendieck space with the Dunford-Pettis property,  $A$  must be bounded on  $X$ , and consequently  $\|R(t) - k(t)I\| = O(a * k(t))(t \rightarrow 0^+)$ .

*Proof.*

- (i) This follows from Lemmas 2.1 and 3.3.
- (ii) Applying Theorem 2.2 to  $\{T_t := Q_1(t) |_{X_1}\}$  yields that  $A_1$  is bounded on  $X_1$ , so that  $\|Q_1(t) |_{X_1} - I|_{X_1}\| \leq l_1(t)\|A_1\|\|Q_2(t)\| \leq l_1(t)\|A_1\| M \rightarrow 0$  as  $t \rightarrow 0^+$ . Hence  $Q_1(t) |_{X_1}$  is invertible on  $X_1$  for small  $t$ . Then by (3.3) we have  $X_1 = R(Q_1(t) |_{X_1}) \subset R(Q_1(t)) \subset D(A)$ , which shows that  $D(A)$  is closed and  $A$  is bounded. Due to Lemma 3.3, (iii) and (iv) of Lemma 2.1 together imply that  $A \in B(X)$ . By (i),  $\|Q_m(t) - I\| = O(l_m(t))(t \rightarrow 0^+)$ , and in particular,  $\|R(t) - k(t)I\| = O(a * k(t))(t \rightarrow 0^+)$ .

From Theorems 2.4, 2.5, 2.6 and Lemma 3.3 we can deduce the next theorem.

**Theorem 3.5.** Let  $R(\cdot)$  be as assumed in Theorem 3.4 and let  $m \geq 0$ ,  $0 < \beta \leq 1$ , and  $x \in X_1 = \overline{D(A)}$ .

- (i)  $\|Q_m(t)x - x\| = o(l_m(t))(t \rightarrow 0^+)$  if and only if  $x \in N(A_1) = N(A)$ .
- (ii)  $\|Q_m(t)x - x\| = O(l_m(t))(t \rightarrow 0^+)$  if and only if  $x \in \widetilde{D(A_1)}^{X_1} (= D(A_1))$ , if  $X$  is reflexive).
- (iii) If  $K(l_m(t), x, X_1, D(A_1), \|\cdot\|_{D(A_1)}) = O((l_m(t))^\beta)(t \rightarrow 0^+)$ , then  $\|Q_m(t)x - x\| = O((l_m(t))^\beta)(t \rightarrow 0^+)$ . The converse is also true if  $l_m(t)$  is nondecreasing for  $t$  near 0.
- (iv)  $A$  is unbounded if and only if for some/each  $0 < \beta < 1$  and  $m \geq 0$  there exist  $x_{m,\beta}^* \in X_1 = \overline{D(A)}$  such that

$$\|Q_m(t)x_{m,\beta}^* - x_{m,\beta}^*\| \begin{cases} = O((l_m(t))^\beta) \\ \neq o((l_m(t))^\beta) \end{cases} \quad (t \rightarrow 0^+).$$

Next, we assume that the nondecreasing positive functions  $a, k \in L_{loc}^1([0, \infty))$  are Laplace transformable, i.e., there is  $\omega \geq 0$  such that  $\hat{a}(\lambda) = \int_0^\infty e^{-\lambda t} a(t) dt < \infty$  and  $\hat{k}(\lambda) < \infty$  for all  $\lambda > \omega$ . Then it is easy to see that  $\hat{a}(\lambda) \rightarrow 0$  as  $\lambda \rightarrow \infty$ .

**Lemma 3.6.** Suppose  $\hat{a}(\lambda) < \infty$  for all  $\lambda > \omega$ , and let  $R(\cdot)$  be an  $(a, k)$ -regularized resolvent family with generator  $A$  such that  $\|R(t)\| \leq Mk(t)$  for all  $t \geq 0$ . Then  $(\hat{a}(\lambda))^{-1} \in \rho(A)$ ,  $((\hat{a}(\lambda))^{-1} - A)^{-1} = \hat{k}(\lambda)^{-1} \hat{a}(\lambda) \hat{R}(\lambda)$ , and  $\|(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A)^{-1}\| \leq M$  for all  $\lambda > \omega$ .



*Proof.* Under the assumption (1.1) we can take Laplace transform of the equation in (R3) to obtain

$$\hat{R}(\lambda)x = \begin{cases} \hat{k}(\lambda)x + \hat{a}(\lambda)\hat{R}(\lambda)Ax, & x \in D(A), \\ \hat{k}(\lambda)x + A\hat{a}(\lambda)\hat{R}(\lambda)x, & x \in X, \end{cases}$$

for all  $\lambda > \omega$ . Thus

$$\hat{k}(\lambda)^{-1}\hat{a}(\lambda)\hat{R}(\lambda)((\hat{a}(\lambda))^{-1} - A) \subset ((\hat{a}(\lambda))^{-1} - A)\hat{k}(\lambda)^{-1}\hat{a}(\lambda)\hat{R}(\lambda) = I,$$

that is,  $(\hat{a}(\lambda))^{-1} \in \rho(A)$  and  $((\hat{a}(\lambda))^{-1} - A)^{-1} = \hat{k}(\lambda)^{-1}\hat{a}(\lambda)\hat{R}(\lambda)$  for  $\lambda > \omega$ . Moreover, (1.1) implies

$$\|(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A)^{-1}\| = \|\hat{k}(\lambda)^{-1}\hat{R}(\lambda)\| = \|\hat{k}(\lambda)^{-1} \int_0^\infty e^{-\lambda t} R(t) dt\| \leq M.$$

Thus  $A$  is a generalized Hille-Yosida operator. Since

$$((\hat{a}(\lambda))^{-1} - A_1)^{-1}A_1 \subset A_1((\hat{a}(\lambda))^{-1} - A_1)^{-1} = (\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A_1)^{-1} - I,$$

$\{(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A_1)^{-1}\}$  is an  $A_1$ -regularized approximation process of order  $O(\hat{a}(\lambda))(\lambda \rightarrow \infty)$  on  $X_1$ , having itself as a regularization process. Then we can deduce the following local Abelian ergodic theorem, which follows from the general results in Section 2.

**Theorem 3.7.** *Let  $a \in L^1_{loc}([0, \infty))$  be nondecreasing, positive, and Laplace transformable, and let  $R(\cdot)$  be an  $(a, k)$ -regularized resolvent family with generator  $A$  such that  $\|R(t)\| \leq Mk(t)$  for all  $t \geq 0$ .*

- (i)  $\|(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A)^{-1}x - x\| \rightarrow 0$  as  $\lambda \rightarrow \infty$  if and only if  $x \in X_1$ .
- (ii)  $\|(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A)^{-1} - I\| \rightarrow 0$  as  $\lambda \rightarrow \infty$  if and only if  $A \in B(X)$ .  
In this case,  $\|(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A)^{-1} - I\| = O(\hat{a}(\lambda))(\lambda \rightarrow \infty)$ .
- (iii) For  $x \in X_1$ ,  $\|(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A)^{-1}x - x\| = o(\hat{a}(\lambda))(\lambda \rightarrow \infty)$  if and only if  $x \in N(A)$ .
- (iv) For  $0 < \beta \leq 1$  and  $x \in X_1$ ,  $\|(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A)^{-1}x - x\| = O((\hat{a}(\lambda))^\beta)(\lambda \rightarrow \infty)$  if and only if  $K(t, x, X, D(A), \|\cdot\|_{D(A)}) = O(t^\beta)(t \rightarrow 0^+)$ , if and only if  $x \in \widetilde{D(A_1)}^{X_1}$  in the case that  $\beta = 1$ , if and only if  $x \in D(A_1)$  in the case that  $\beta < 1$  and  $X$  is reflexive.
- (v)  $A$  is unbounded if and only if for each  $0 < \beta < 1$  there exists  $x_\beta^* \in X_1$  such that

$$\|(\hat{a}(\lambda))^{-1}((\hat{a}(\lambda))^{-1} - A)^{-1}x_{\beta}^* - x_{\beta}^*\| \begin{cases} = O((\hat{a}(\lambda))^{\beta}) \\ \neq o((\hat{a}(\lambda))^{\beta}) \end{cases} \quad (\lambda \rightarrow \infty).$$

If one takes  $k(t) = j_r(t) := \frac{t^r}{\Gamma(r+1)}$ ,  $r \geq 0$ , then  $l_0(t) = \frac{a^*j_r(t)}{j_r(t)}$ ,  $l_1(t) = \frac{a^*a^*j_r(t)}{a^*j_r(t)}$ ,  $Q_0 = \frac{R(t)}{j_r(t)}$ , and  $Q_1 = \frac{a^*R(t)}{a^*j_r(t)}$ . In this case,  $R(t)$  become an  $r$ -times integrated resolvent family with generator  $A$ . Then a combination of applications of Theorems 3.4 and 3.5 to  $Q_0(t)$  and  $Q_1(t)$  and of Theorem 3.7 leads to the following approximation and local ergodic theorem.

**Lemma 3.8.** *Let  $T(\cdot)$  be an  $r$ -times integrated resolvent family with generator  $A$  and satisfying  $\|T(t)\| \leq M \frac{t^r}{\Gamma(r+1)}$ ,  $r > 0$ , for all  $t \geq 0$ .*

- (i)  $\|(\Gamma(r+1)/t^r)T(t)x - x\| \rightarrow 0$  as  $t \rightarrow 0^+$  if and only if  $\|\frac{a^*T(t)}{(a^*t^r/\Gamma(r+1))}x - x\| \rightarrow 0$  as  $t \rightarrow 0^+$ , if and only if  $\|\lambda(\lambda - A)^{-1}x - x\| \rightarrow 0$  as  $\lambda \rightarrow \infty$ , if and only if  $x \in X_1$ .
- (ii)  $\|(\Gamma(r+1)/t^r)T(t) - I\| \rightarrow 0$  as  $t \rightarrow 0^+$ , if and only if  $\|\frac{a^*T(t)}{(a^*t^r/\Gamma(r+1))} - I\| \rightarrow 0$  as  $t \rightarrow 0^+$ , if and only if  $\|\lambda(\lambda - A)^{-1} - I\| \rightarrow 0$  as  $\lambda \rightarrow \infty$ , if and only if  $A \in B(X)$ . In this case,  $\|\frac{\Gamma(r+1)}{t^r}T(t) - I\| = O(\frac{a^*(t^r/\Gamma(r+1))}{t^r/\Gamma(r+1)})(t \rightarrow 0^+)$ , if and only if  $\|\frac{a^*T(t)}{(a^*t^r/\Gamma(r+1))} - I\| = O(\frac{a^*a^*(t^r/\Gamma(r+1))}{a^*(t^r/\Gamma(r+1))})(t \rightarrow 0^+)$ , if and only if  $\|\lambda(\lambda - A)^{-1} - I\| = O(\lambda^{-1})(\lambda \rightarrow \infty)$ .
- (iii) For  $x \in X_1$ ,  $\|(\Gamma(r+1)/t^r)T(t)x - x\| = o(\frac{a^*(t^r/\Gamma(r+1))}{t^r/\Gamma(r+1)})(t \rightarrow 0^+)$ , if and only if  $\|\frac{a^*T(t)}{(a^*t^r/\Gamma(r+1))}x - x\| = o(\frac{a^*a^*(t^r/\Gamma(r+1))}{a^*(t^r/\Gamma(r+1))})(t \rightarrow 0^+)$ , if and only if  $\|\lambda(\lambda - A)^{-1}x - x\| = o(\lambda^{-1})(\lambda \rightarrow \infty)$ , if and only if  $x \in N(A_1) = N(A)$ .
- (iv) For  $0 < \beta \leq 1$  and  $x \in X_1$ , the following are equivalent:
- $\|\frac{\Gamma(r+1)}{t^r}T(t)x - x\| = O((\frac{a^*(t^r/\Gamma(r+1))}{t^r/\Gamma(r+1)})^{\beta})(t \rightarrow 0^+)$ ;
  - $\|\frac{a^*T(t)}{(a^*t^r/\Gamma(r+1))}x - x\| = O((\frac{a^*a^*(t^r/\Gamma(r+1))}{a^*(t^r/\Gamma(r+1))})^{\beta})(t \rightarrow 0^+)$ ;
  - $\|\lambda(\lambda - A)^{-1}x - x\| = O(\lambda^{-\beta})(\lambda \rightarrow \infty)$ ;
  - $K(\frac{a^*(t^r/\Gamma(r+1))}{t^r/\Gamma(r+1)}, x, X, D(A), \|\cdot\|_{D(A)}) = O((\frac{a^*(t^r/\Gamma(r+1))}{t^r/\Gamma(r+1)})^{\beta})(t \rightarrow 0^+)$ ;
  - $x \in \widetilde{D(A_1)}^{X_1}$  in the case that  $\beta = 1$ ;
  - $x \in D(A_1)$  in the case that  $\beta = 1$  and  $X$  is reflexive.
- (v)  $A$  is unbounded if and only if for some (each)  $0 < \beta < 1$  there exist  $x_{1,\beta}^*$ ,  $x_{2,\beta}^*$ ,  $x_{3,\beta}^* \in X_1 = \overline{D(A)}$  such that

$$\|(\Gamma(r + 1)/t^r)T(t)x_{1,\beta}^* - x_{1,\beta}^*\| \begin{cases} = O((\frac{a * t^r}{t^r})^\beta) \\ \neq o((\frac{a * t^r}{t^r})^\beta) \end{cases} \quad (t \rightarrow 0^+),$$

$$\|\frac{a * T(t)}{(a * t^r/\Gamma(r + 1))}x_{2,\beta}^* - x_{2,\beta}^*\| \begin{cases} = O((\frac{a * t^r}{t^r})^\beta) \\ \neq o((\frac{a * t^r}{t^r})^\beta) \end{cases} \quad (t \rightarrow 0^+),$$

and

$$\|\lambda(\lambda - A)^{-1}x_{3,\beta}^* - x_{3,\beta}^*\| \begin{cases} = O(\lambda^{-\beta}) \\ \neq o(\lambda^{-\beta}) \end{cases} \quad (\lambda \rightarrow \infty).$$

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