

## Global $L^2$ -boundedness of a New Class of Rough Fourier Integral Operators

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**Abstract.** In this paper, we investigate the  $L^2$  boundedness of Fourier integral operator  $T_{\phi,a}$  with rough symbol  $a \in L^\infty S_\rho^m$  and rough phase  $\phi \in L^\infty \Phi^2$  which satisfies  $|\{x : |\nabla_\xi \phi(x, \xi) - y| \leq r\}| \leq C(r^{n-1} + r^n)$  for any  $\xi, y \in \mathbb{R}^n$  and  $r > 0$ . We obtain that  $T_{\phi,a}$  is bounded on  $L^2$  if  $m < \rho(n-1)/2 - n/2$  when  $0 \leq \rho \leq 1/2$  or  $m < -(n+1)/4$  when  $1/2 \leq \rho \leq 1$ . When  $\rho = 0$  or  $n = 1$ , the condition of  $m$  is sharp. Moreover, the maximal wave operator is a special class of  $T_{\phi,a}$  which is studied in this paper. Thus, our main theorem substantially extends and improves some known results about the maximal wave operator.

### 1. Introduction and main results

A Fourier integral operator (FIO) is defined as

$$T_{\phi,a}f(x) = \int_{\mathbb{R}^n} e^{i\phi(x,\xi)} a(x,\xi) \widehat{f}(\xi) d\xi,$$

where  $a$  is the symbol and  $\phi$  is the phase function, and  $\widehat{f}$  denotes the Fourier transform of  $f$ . As we can see, all pseudo-differential operators are of this form with  $\phi(x, \xi) = x \cdot \xi$ .

In the study of FIOs, one usually assume the symbol  $a(x, \xi)$  belongs to Hörmander class  $S_{\rho,\delta}^m$  and the phase function  $\phi$  is in the class  $\Phi^2$  satisfying the strong non-degeneracy condition.

**Definition 1.1.** Let  $m \in \mathbb{R}$ ,  $0 \leq \rho, \delta \leq 1$ . A function  $a \in S_{\rho,\delta}^m$ , if  $a \in C^\infty(\mathbb{R}^n \times \mathbb{R}^n)$  and satisfies

$$\sup_{\xi \in \mathbb{R}^n} \langle \xi \rangle^{-m+\rho|\alpha|-\delta|\beta|} |\partial_x^\beta \partial_\xi^\alpha a(x, \xi)| < \infty$$

for all multi-indices  $\alpha, \beta$ , where  $\langle \xi \rangle = (1 + |\xi|^2)^{1/2}$ .

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**Definition 1.2.** A real-valued function  $\phi(x, \xi) \in \Phi^2$ , if  $\phi \in C^\infty(\mathbb{R}^n \times \mathbb{R}^n \setminus \{0\})$ , is homogeneous of order 1 in the frequency variable  $\xi$  and

$$\sup_{(x, \xi) \in \mathbb{R}^n \times \mathbb{R}^n \setminus \{0\}} |\xi|^{-1+|\alpha|} |\partial_\xi^\alpha \partial_x^\beta \phi(x, \xi)| < \infty$$

for any  $|\alpha| + |\beta| \geq 2$ .

**Definition 1.3** (Strong non-degeneracy condition). A real-valued function  $\phi \in C^2(\mathbb{R}^n \times \mathbb{R}^n \setminus \{0\})$  satisfies the strong non-degeneracy condition, if there exists a constant  $c > 0$  such that

$$\left| \det \left( \frac{\partial^2 \phi(x, \xi)}{\partial x_j \partial \xi_k} \right) \right| \geq c \quad \text{for all } (x, \xi) \in \mathbb{R}^n \times \mathbb{R}^n \setminus \{0\}.$$

Obviously, when  $x$  has compact support, if  $\phi \in \Phi^2$  and the mixed Hessian matrix  $\det \left( \frac{\partial^2 \phi}{\partial x_j \partial \xi_k} \right) \neq 0$ , then  $\phi$  satisfies the strong non-degeneracy condition.

The local  $L^2$  boundedness of FIOs with  $\phi \in \Phi^2$  and satisfying the determinant of the mixed Hessian matrix is non-zero on the support of the symbol was firstly investigated by Eskin [9] for  $a \in S_{1,0}^0$  and by Hörmander [13] for  $a \in S_{\rho,1-\rho}^0$ ,  $1/2 < \rho \leq 1$ . Later on, Beals [2] and Greenleaf–Uhlmann [11] extended Hörmander’s result to the case of  $a \in S_{1/2,1/2}^0$ . Meanwhile, there were many studies on the global  $L^2$  boundedness of FIOs, such as Fujiwara [10] and Asada–Fujiwara [1]. Recently, Dos Santos Ferreira and Staubach [8] established the global  $L^2$  boundedness with  $a \in S_{\rho,\delta}^m$ ,  $0 \leq \rho \leq 1$ ,  $0 \leq \delta < 1$  and  $m \leq \min\{0, n(\rho - \delta)/2\}$ .

For the  $L^p$  boundedness of FIOs, Seeger–Sogge–Stein [18] proved the local  $H^1 - L^1$  boundedness when  $a \in S_{1,0}^{(1-n)/2}$  by using the well-known “dyadic-parabolic” decomposition. Moreover, they got the local  $L^p$ -boundedness when  $a \in S_{1,0}^m$ ,  $m = (1 - n)|1/p - 1/2|$  and the condition of  $m$  is sharp. Later on, Ruzhansky and Sugimoto [17] proved the global  $L^p$  boundedness of FIOs with  $a \in S_{1,0}^m$ ,  $m = (1 - n)|1/p - 1/2|$ . In [3], Castro, Israelsson and Staubach established the global  $L^p$  boundedness of FIOs with  $a \in S_{\rho,\delta}^m$ ,  $0 \leq \rho \leq 1$ ,  $0 \leq \delta < 1$ ,  $m = -(n - \rho)|1/p - 1/2| - n \max\{0, (\delta - \rho)/2\}$  or  $a \in S_{\rho,1}^m$ ,  $0 \leq \rho \leq 1$ ,  $m < -n(1 - \rho) \max(1/p, 1/2) - (n - 1)|1/p - 1/2|$ . Besides, there are many results about local and global  $L^p$  boundedness of FIOs, such as [4–6, 8, 15].

In [14], Kenig and Staubach introduced a class of pseudo-differential operators with the symbol belongs to rough Hörmander class was denoted by  $L^\infty S_\rho^m$ , and proved the sharp  $L^2$ -boundedness of this class of pseudo-differential operators. The specific definition of  $L^\infty S_\rho^m$  and the result are as follows.

**Definition 1.4.** Let  $m \in \mathbb{R}$  and  $0 \leq \rho \leq 1$ . A function  $a$  belongs to the rough Hörmander class  $L^\infty S_\rho^m$ , if it satisfies

$$\sup_{\xi \in \mathbb{R}^n} \langle \xi \rangle^{-m+\rho|\alpha|} \|\partial_\xi^\alpha a(\cdot, \xi)\|_{L^\infty} < \infty \quad \text{for all multi index } \alpha.$$

**Theorem 1.5.** [14, Proposition 2.3] *When  $a \in L^\infty S_\rho^m$ ,  $0 \leq \rho \leq 1$ , then the pseudo-differential operator  $T_a$  is bounded on  $L^2$  if and only if  $m < \frac{n}{2}(\rho - 1)$ .*

Inspired by the work of Kenig and Staubach [14], Dos Santos Ferreira and Staubach [8] defined the rough phase class  $L^\infty \Phi^2$  which behaves like an  $L^\infty$  function in the spatial variable  $x$  and the rough non-degeneracy condition. The specific definitions are as follows.

**Definition 1.6.** A real-valued function  $\phi$  belongs to the rough phase class  $L^\infty \Phi^2$ , if  $\phi$  is homogeneous of degree 1 in the frequency variable  $\xi$  and satisfies

$$\sup_{(x,\xi) \in \mathbb{R}^n \times \mathbb{R}^n \setminus \{0\}} |\xi|^{k-1} \|\nabla_\xi^k \phi(\cdot, \xi)\|_{L^\infty} < \infty \quad \text{for all } k \geq 2.$$

**Definition 1.7** (Rough non-degeneracy condition). A real valued phase  $\phi$  satisfies the rough non-degeneracy condition, if there exists a constant  $c > 0$  such that

$$|\nabla_\xi \phi(x, \xi) - \nabla_\xi \phi(y, \xi)| \geq c|x - y|$$

for any  $x, y \in \mathbb{R}^n$  and  $\xi \in \mathbb{R}^n \setminus \{0\}$ .

In [8], Dos Santos Ferreira and Staubach established various  $L^p$  boundedness of FIOs with  $a \in L^\infty S_\rho^m$  and  $\phi \in L^\infty \Phi^2$  satisfying the rough non-degeneracy condition. Here, we would like to mention the  $L^2$  boundedness of rough FIOs.

**Theorem 1.8.** [8, Theorem 2.8] *When  $a \in L^\infty S_\rho^m$  and  $\phi \in L^\infty \Phi^2$  satisfying the rough non-degeneracy condition,  $T_{\phi,a}$  is bounded on  $L^2$  if  $m < n(\rho - 1)/2 - (n - 1)/4$ .*

On the other hand, the wave operator defined as

$$e^{it\sqrt{-\Delta}} f(x) = \int_{\mathbb{R}^n} e^{i(x \cdot \xi + t|\xi|)} \widehat{f}(\xi) d\xi$$

which is a special class of FIO with  $a(x, \xi) = 1$ . It is well-known that for all  $f \in H^s$ , if  $s > 1/2$ ,  $e^{it\sqrt{-\Delta}} f$  converges to  $f$  almost everywhere as  $t \rightarrow 0$  (see [7]) and if  $s \leq 1/2$  the convergence fails (see [12]). The convergence is due to the following estimate of the maximal wave operator

$$(1.1) \quad \left\| \sup_{0 < t < 1} |e^{it\sqrt{-\Delta}} f| \right\|_{L^2} \leq C \|f\|_{H^s}$$

for  $s > 1/2$ .

By the definition of Sobolev space, we can see that (1.1) is equivalent to  $\|Tg\|_{L^2} \leq C \|g\|_2$ , where

$$(1.2) \quad Tg(x) = \int_{\mathbb{R}^n} e^{i(x \cdot \xi + t(x) |\xi|)} (1 + |\xi|^2)^{-s/2} \widehat{g}(\xi) d\xi$$

and  $t(x) \in L^\infty$ ,  $\widehat{g}(\xi) = (1 + |\xi|^2)^{s/2} \widehat{f}(\xi)$ . Moreover, it is easy to prove that  $(1 + |\cdot|^2)^{-s/2} \in L^\infty S_1^s \subseteq L^\infty S_\rho^s$  and  $x \cdot \xi + t(x)|\xi| \in L^\infty \Phi^2$  but does not satisfy the rough non-degeneracy condition. Motivated by these, we consider the  $L^2$  boundedness of a class of FIOs which is generalized of (1.2). The following theorem is our main result in this paper.

**Theorem 1.9.** *Let  $a \in L^\infty S_\rho^m$  and  $\phi \in L^\infty \Phi^2$  satisfying*

$$(1.3) \quad |\{x : |\nabla_\xi \phi(x, \xi) - y| \leq r\}| \leq C(r^{n-1} + r^n)$$

for any  $\xi, y \in \mathbb{R}^n$  and  $r > 0$ . Then  $T_{\phi,a}$  is bounded on  $L^2$  if  $m < \rho(n - 1)/2 - n/2$  when  $0 \leq \rho \leq 1/2$  or  $m < -(n + 1)/4$  when  $1/2 \leq \rho \leq 1$ .

*Remark 1.10.* The reason why we replace the rough non-degeneracy condition by the condition (1.3) is that for all  $t(x) \in L^\infty$ , by some direct computations, we can get that  $\phi(x, \xi) = x \cdot \xi + t(x)|\xi|$  does not satisfy rough non-degeneracy condition but satisfies (1.3). Moreover, we can prove that the strong non-degeneracy condition or rough non-degeneracy condition implies (1.3). So, our result extends the existing results substantially. Now, We show the proof of this conclusion below.

*Proof.* Since the rough non-degeneracy condition implies the strong non-degeneracy condition (see [8, Proposition 1.11]), we only need to prove the strong non-degeneracy condition implies (1.3). For this purpose, we consider the map  $F_\xi: x \mapsto \nabla_\xi \phi(x, \xi)$ . Since  $\phi$  satisfies the strong non-degeneracy condition, setting  $z = \nabla_\xi \phi(x, \xi)$  and by the inverse theorem, we have

$$\begin{aligned} |\{x : |\nabla_\xi \phi(x, \xi) - y| \leq r\}| &= \int_{\{x: |\nabla_\xi \phi(x, \xi) - y| \leq r\}} dx = \int_{\{z: |z - y| \leq r\}} d(F_\xi^{-1}(z)) \\ &\leq \int_{\{z: |z - y| \leq r\}} \left| \det \frac{\partial^2 \phi(x, \xi)}{\partial x_j \partial \xi_k} \right|^{-1} dz \leq C \int_{\{z: |z - y| \leq r\}} dz \\ &\leq Cr^n \leq C(r^n + r^{n-1}). \end{aligned} \quad \square$$

*Remark 1.11.* According to [12, 16], when  $\rho = 0$  or  $n = 1$ , the bound on  $m$  is sharp.

Throughout the paper, we use  $C, c$  to denote some positive constants that are independent of  $x, \xi, f$  and may vary from line to line. We denote by  $B_r$  the ball in  $\mathbb{R}^n$  with center 0 and radius  $r$ .

## 2. Proof of Theorem 1.9

Before proving the main theorem, we need the following two lemmas for the low frequency of  $T_{\phi,a}$ .

**Lemma 2.1.** [8, Lemma 1.17] *Suppose that  $u \in C_c^\infty(B_1)$  and satisfies that*

$$|\nabla^k u(x)| \leq C_k |x|^{1-k} \quad \text{for all } k \in \mathbb{N}^+,$$

*then for any  $0 \leq \mu < 1$ , we have*

$$\left| \int_{\mathbb{R}^n} e^{ix \cdot y} u(x) dx \right| \leq C \langle y \rangle^{-n-\mu}.$$

**Lemma 2.2.** *Suppose  $a$  and  $\phi$  satisfy the assumptions of Theorem 1.9, then for any  $\eta \in C_c^\infty(B_1)$ , the following operator*

$$S_{0,\phi,a} f(x) = \int_{\mathbb{R}^n} e^{i\phi(x,\xi)} a(x,\xi) \eta(\xi) f(\xi) d\xi$$

*is bounded on  $L^2$ .*

*Proof.* By standard dual argument, we have  $\|S_{0,\phi,a}\|_{L^2 \rightarrow L^2}^2 = \|S_{0,\phi,a} S_{0,\phi,a}^*\|_{L^2 \rightarrow L^2}$ , where  $S_{0,\phi,a} S_{0,\phi,a}^* f(x) = \int_{\mathbb{R}^n} k_0(x,y) f(y) dy$  and

$$k_0(x,y) = \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x,\xi) \bar{a}(y,\xi) \eta^2(\xi) d\xi.$$

By Schur’s theorem, to prove the  $L^2$  boundedness of  $S_{0,\phi,a} S_{0,\phi,a}^*$ , it suffices to show that

$$\sup_y \int_{\mathbb{R}^n} |k_0(x,y)| dx < \infty \quad \text{and} \quad \sup_x \int_{\mathbb{R}^n} |k_0(x,y)| dy < \infty.$$

By choosing some  $\xi_0 \in S^{n-1}$  and setting  $h_x(\xi) = \phi(x,\xi) - \nabla_\xi \phi(x,\xi_0) \cdot \xi$ ,  $h_y(\xi) = \phi(y,\xi) - \nabla_\xi \phi(y,\xi_0) \cdot \xi$ , we have

$$k_0(x,y) = \int_{\mathbb{R}^n} e^{i(\nabla_\xi \phi(x,\xi_0) - \nabla_\xi \phi(y,\xi_0), \xi)} e^{i(h_x(\xi) - h_y(\xi))} a(x,\xi) \bar{a}(y,\xi) \eta^2(\xi) d\xi.$$

We claim that  $h_x$  satisfies the following estimate

$$(2.1) \quad \sup_{\xi \in \mathbb{R}^n \setminus \{0\}} |\xi|^{-1+k} |\nabla_\xi^k h_x(\xi)| < \infty \quad \text{for all } k \geq 1.$$

Indeed, since  $\phi \in L^\infty \Phi^2$ , using the mean value theorem, we have

$$|\nabla_\xi h_x(\xi)| = |\nabla_\xi \phi(x,\xi) - \nabla_\xi \phi(x,\xi_0)| = |\nabla_\xi \phi(x,\xi/|\xi|) - \nabla_\xi \phi(x,\xi_0)| < \infty.$$

When  $k \geq 2$ , we have

$$|\nabla_\xi^k h_x(\xi)| = |\nabla_\xi^k \phi(x,\xi)| \leq C |\xi|^{1-k}$$

as desired. Similarly,  $h_y(\xi)$  has the same estimate.

Applying (2.1) and the fact  $a \in L^\infty S_\rho^m$ , we can get

$$\begin{aligned}
 & |\nabla_\xi^k (e^{i(h_x(\xi)-h_y(\xi))} a(x, \xi) \bar{a}(y, \xi) \eta^2(\xi))| \\
 & \leq C_k \sum_{k_1+k_2+k_3=k} |\nabla_\xi^{k_1} e^{i(h_x(\xi)-h_y(\xi))}| |\nabla_\xi^{k_2} (a(x, \xi) \bar{a}(y, \xi))| |\nabla_\xi^{k_3} \eta^2(\xi)| \\
 & \leq C_k \sum_{k_1 \leq k} |\nabla_\xi^{k_1} e^{i(h_x(\xi)-h_y(\xi))}| \\
 & \leq C_k \sum_{k_1 \leq k} \sum_{s=1}^{k_1} \sum_{\substack{t_1+\dots+t_s=k_1 \\ t_1, \dots, t_s > 0}} |\nabla_\xi^{t_1} (h_x(\xi) - h_y(\xi)) \cdots \nabla_\xi^{t_s} (h_x(\xi) - h_y(\xi))| \\
 & \leq C_k \sum_{k_1 \leq k} \sum_{s=1}^{k_1} \sum_{\substack{t_1+\dots+t_s=k_1 \\ t_1, \dots, t_s > 0}} |\xi|^{1-t_1} \cdots |\xi|^{1-t_s} \\
 & \leq C_k \sum_{k_1 \leq k} \sum_{s=1}^{k_1} \sum_{\substack{t_1+\dots+t_s=k_1 \\ t_1, \dots, t_s > 0}} |\xi|^{s-t_1-\dots-t_s} \\
 & \leq C_k |\xi|^{1-k}.
 \end{aligned}$$

Then by Lemma 2.1, for any  $y \in \mathbb{R}^n$  and  $0 \leq \mu < 1$ , we have

$$\begin{aligned}
 \int_{\mathbb{R}^n} |k_0(x, y)| dx & \leq C \int (1 + |\nabla_\xi \phi(x, \xi_0) - \nabla_\xi \phi(y, \xi_0)|^2)^{-(n+\mu)/2} \\
 & \leq C \left( \int_{\{x: |\nabla_\xi \phi(x, \xi_0) - \nabla_\xi \phi(y, \xi_0)| < 1\}} + \int_{\{x: |\nabla_\xi \phi(x, \xi_0) - \nabla_\xi \phi(y, \xi_0)| \geq 1\}} \right) \\
 & \quad (1 + |\nabla_\xi \phi(x, \xi_0) - \nabla_\xi \phi(y, \xi_0)|^2)^{-(n+\mu)/2} \\
 & = I + II.
 \end{aligned}$$

For  $I$ , by (1.3), we have

$$I \leq |\{x : |\nabla_\xi \phi(x, \xi_0) - \nabla_\xi \phi(y, \xi_0)| < 1\}| < \infty.$$

For  $II$ , we have

$$\begin{aligned}
 II & = \sum_{s=1}^\infty \int_{\{x: 2^{s-1} \leq |\nabla_\xi \phi(x, \xi_0) - \nabla_\xi \phi(y, \xi_0)| < 2^s\}} (1 + |\nabla_\xi \phi(x, \xi_0) - \nabla_\xi \phi(y, \xi_0)|^2)^{-(n+\mu)/2} \\
 & \leq C \sum_{s=1}^\infty 2^{-(s-1)(n+\mu)} |\{x : |\nabla_\xi \phi(x, \xi_0) - \nabla_\xi \phi(y, \xi_0)| < 2^s\}| \\
 & \leq C \sum_{s=1}^\infty 2^{-(s-1)(n+\mu)} (2^{s(n-1)} + 2^{sn}) < \infty.
 \end{aligned}$$

By the same method, we can also prove  $\sup_y \int_{\mathbb{R}^n} |k_0(x, y)| dy < \infty$ . Then it follows that  $S_{0,\phi,a}$  is bounded on  $L^2$ . □

Now we turn to prove Theorem 1.9.

*Proof of Theorem 1.9.* First, we write  $T_{\phi,a}$  as  $T_{\phi,a} = S_{\phi,a}\mathcal{F}$ , where

$$S_{\phi,a}f(x) = \int_{\mathbb{R}^n} e^{i\phi(x,\xi)} a(x, \xi) f(\xi) d\xi$$

and  $\mathcal{F}(f) = \widehat{f}$ . By Plancherel’s theorem, it is enough to prove the  $L^2$  boundedness of  $S_{\phi,a}$ .

Decomposing  $S_{\phi,a}$  as

$$\begin{aligned} S_{\phi,a}f(x) &= \int_{\mathbb{R}^n} e^{i\phi(x,\xi)} a(x, \xi) \chi_0(\xi) f(\xi) d\xi + \int_{\mathbb{R}^n} e^{i\phi(x,\xi)} a(x, \xi) (1 - \chi_0(\xi)) f(\xi) d\xi \\ &= S_{0,\phi,a}f(x) + S_{1,\phi,a}f(x), \end{aligned}$$

where  $\chi_0 \in C_c^\infty(B_2)$  and  $\chi_0 = 1$  in  $B_1$ .

We can get the  $L^2$  boundedness of  $S_{0,\phi,a}f$  directly from Lemma 2.2. So, it remains to prove the  $L^2$  boundedness of  $S_{1,\phi,a}f$ . By standard dual argument, we only need to prove  $L^2$  boundedness of  $S_{1,\phi,a}S_{1,\phi,a}^*$ , where  $S_{1,\phi,a}S_{1,\phi,a}^*f(x) = \int_{\mathbb{R}^n} k_1(x, y) f(y) dy$  and

$$k_1(x, y) = \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x, \xi) \bar{a}(x, \xi) (1 - \chi_0(\xi))^2 d\xi.$$

By the well-known Littlewood–Paley decomposition, we can obtain that  $(1 - \chi_0(\xi))^2 = \sum_{j=1}^\infty \chi_j(\xi)$ , where

$$\chi_j \in C_c^\infty(B_{2^{j+1}} \setminus B_{2^{j-1}}), \quad |\nabla_\xi^k \chi_j(\xi)| \leq C_k 2^{-jk} \quad \text{for all } k \in \mathbb{N}.$$

Then  $k_1(x, y)$  can be decomposed as

$$\begin{aligned} k_1(x, y) &= \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x, \xi) \bar{a}(x, \xi) (1 - \chi_0(\xi))^2 d\xi \\ &= \sum_{j=1}^\infty \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x, \xi) \bar{a}(x, \xi) \chi_j(\xi) d\xi \\ &= \sum_{j=1}^\infty k_{1,j}(x, y). \end{aligned}$$

Next, we will show that

$$(2.2) \quad \sup_y \int_{\mathbb{R}^n} |k_1(x, y)| dx < \infty, \quad \sup_x \int_{\mathbb{R}^n} |k_1(x, y)| dy < \infty.$$

Then by (2.2) and Schur’s theorem, we have

$$\|T_{1,\phi,a}\|_{L^2 \rightarrow L^2} = \|S_{1,\phi,a}\|_{L^2 \rightarrow L^2} = \|S_{1,\phi,a}S_{1,\phi,a}^*\|_{L^2 \rightarrow L^2}^{1/2}.$$

Case 1:  $0 \leq \rho \leq 1/2$ . For any  $j \in \mathbb{N}$ ,  $B_j^\nu$  denote a ball  $B(\xi_j^\nu, 2^{j(1-\rho)})$  with  $2^{j-1} \leq |\xi_j^\nu| < 2^{j+1}$ . We can observe that there are no more than  $J = C2^{j\rho m}$  points  $\xi_j^\nu \in B_{2^{j+1}} \setminus B_{2^{j-1}}$  and cut-off functions  $\psi_j^\nu \in C_c^\infty(B_j^\nu)$  such that

$$(2.3) \quad \sum_{\nu=1}^J \psi_j^\nu(\xi) = 1, \quad |\nabla_\xi^k \psi_j^\nu(\xi)| \leq C_k 2^{-jk(1-\rho)} \quad \text{for all } k \in \mathbb{N}.$$

Then  $k_{1,j}$  can be decomposed as

$$\begin{aligned} k_{1,j}(x, y) &= \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x, \xi) \bar{a}(x, \xi) \chi_j(\xi) d\xi \\ &= \sum_{\nu=1}^J \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x, \xi) \bar{a}(x, \xi) \chi_j(\xi) \psi_j^\nu(\xi) d\xi \\ &= \sum_{\nu=1}^J k_{1,j,\nu}(x, y). \end{aligned}$$

By setting  $h_x(\xi) = \phi(x, \xi) - \nabla_\xi \phi(x, \xi_j^\nu) \cdot \xi$ ,  $h_y(\xi) = \phi(y, \xi) - \nabla_\xi \phi(y, \xi_j^\nu) \cdot \xi$  and  $b_j^\nu(x, y, \xi) = e^{i(h_x(\xi) - h_y(\xi))} a(x, \xi) \bar{a}(x, \xi) \chi_j(\xi) \psi_j^\nu(\xi)$ , we can rewrite  $k_{1,j,\nu}(x, y)$  as

$$\begin{aligned} k_{1,j,\nu}(x, y) &= \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x, \xi) \bar{a}(x, \xi) \chi_j(\xi) \psi_j^\nu(\xi) d\xi \\ &= \int_{\mathbb{R}^n} e^{i\langle \nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu), \xi \rangle} b_j^\nu(x, y, \xi) d\xi. \end{aligned}$$

Since  $\phi \in L^\infty \Phi^2$ ,  $\xi \in B_j^\nu$ , using the mean value theorem, we have

$$(2.4) \quad |\nabla_\xi h_x(\xi)| \leq C|\xi - \xi_j^\nu| \sup_{\zeta \in B_j^\nu} |\nabla_\xi^2 \phi(x, \zeta)| \leq C2^{j(1-\rho)} 2^{-j} = C2^{-j\rho}.$$

For  $k \geq 2$ , since  $0 \leq \rho \leq 1/2$ , we get

$$(2.5) \quad |\nabla_\xi^k h_x(\xi)| = |\nabla_\xi^k \phi(x, \xi)| \leq C2^{j(1-k)} \leq C2^{-j\rho k}.$$

Obviously,  $h_y$  has the same estimates as (2.4) and (2.5).

In addition, since  $a \in L^\infty S_p^m$  and  $\psi_j^\nu$  satisfies (2.3), we obtain that

$$(2.6) \quad \begin{aligned} &|\nabla_\xi^k (a(x, \xi) \bar{a}(x, \xi) \chi_j(\xi) \psi_j^\nu(\xi))| \\ &\leq \sum_{k_1 + \dots + k_4 = k} |\nabla_\xi^{k_1} a(x, \xi)| |\nabla_\xi^{k_2} \bar{a}(x, \xi)| |\nabla_\xi^{k_3} \chi_j(\xi)| |\nabla_\xi^{k_4} \psi_j^\nu(\xi)| \\ &\leq C \sum_{k_1 + \dots + k_4 = k} 2^{j(2m - \rho(k_1 + k_2))} 2^{-jk_3} 2^{-j(1-\rho)k_4} \\ &\leq C2^{j(2m - \rho k)}. \end{aligned}$$

In the last inequality, we use the fact  $1 - \rho \geq \rho$  when  $0 \leq \rho \leq 1/2$ .



Next, we define a self adjoint operator  $L$  as

$$L = I - 2^{2j\rho} \nabla_\xi^2.$$

For any  $N \in \mathbb{N}$ , by (2.4), (2.5) and (2.6), we have

$$\begin{aligned} & |L^N b_j^\nu(x, y, \xi)| \\ & \leq C \sum_{N_1+N_2 \leq 2N} 2^{j\rho(N_1+N_2)} |\nabla_\xi^{N_1} [a(x, \xi) \bar{a}(x, \xi) \psi_j^\nu(\xi) \chi_j(\xi)]| |\nabla_\xi^{N_2} e^{i(h_x(\xi) - h_y(\xi))}| \\ & \leq C \sum_{N_1+N_2 \leq 2N} 2^{j\rho(N_1+N_2)} 2^{j(2m-\rho N_1)} \\ & \quad \times \sum_{t=1}^{N_2} \sum_{\substack{k_1+\dots+k_t=N_2 \\ k_1, \dots, k_t > 0}} |\nabla_\xi^{k_1}(h_x(\xi) - h_y(\xi)) \cdots \nabla_\xi^{k_t}(h_x(\xi) - h_y(\xi))| \\ & \leq C \sum_{N_1+N_2 \leq 2N} 2^{j(2m+\rho N_2)} \sum_{t=1}^{N_2} \sum_{\substack{k_1+\dots+k_t=N_2 \\ k_1, \dots, k_t > 0}} 2^{-jk_1\rho} \cdots 2^{-jk_t\rho} \\ & \leq C 2^{2jm}. \end{aligned}$$

Since  $L$  is self adjoint and  $\xi$ -support of  $b_j^\nu$  is contained in  $B_j^\nu$ , for any  $N \in \mathbb{N}$ , we have

$$\begin{aligned} |k_{1,j,\nu}(x, y)| &= \left| \int_{\mathbb{R}^n} e^{i(\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu), \xi)} b_j^\nu(x, y, \xi) d\xi \right| \\ &= (1 + 2^{2j\rho} |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)|^2)^{-N} \\ & \quad \times \left| \int_{\mathbb{R}^n} e^{i(\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu), \xi)} L^N b_j^\nu(x, y, \xi) d\xi \right| \\ & \leq C (1 + 2^{2j\rho} |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)|^2)^{-N} 2^{j(2m+n(1-\rho))}. \end{aligned}$$

Now, we estimate  $\int_{\mathbb{R}^n} |k_{1,j,\nu}(x, y)| dx$ . For any  $y \in \mathbb{R}^n$ , we have

$$\begin{aligned} & \int_{\mathbb{R}^n} (1 + 2^{2j\rho} |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)|^2)^{-N} dx \\ &= \left( \int_{\{x: |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)| < 2^{-j\rho}\}} + \int_{\{x: |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)| \geq 2^{-j\rho}\}} \right) \\ & \quad (1 + 2^{2j\rho} |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)|^2)^{-N} dx \\ &= I + II. \end{aligned}$$

For  $I$ , by (1.3), we have

$$I \leq |\{x : |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)| < 2^{-j\rho}\}| \leq C(2^{-j\rho(n-1)} + 2^{-j\rho n}) \leq C 2^{-j\rho(n-1)}.$$

For  $II$ , choosing  $N > n/2$ , we can get

$$\begin{aligned}
 II &= \int_{\{x: |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)| \geq 2^{-j\rho}\}} (1 + 2^{2j\rho} |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)|^2)^{-N} dx \\
 &= \sum_{s=1}^\infty \int_{\{x: 2^{s-1} 2^{-j\rho} \leq |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)| < 2^s 2^{-j\rho}\}} (1 + 2^{2j\rho} |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)|^2)^{-N} dx \\
 &\leq \sum_{s=1}^\infty 2^{-2N(s-1)} |\{x : |\nabla_\xi \phi(x, \xi_j^\nu) - \nabla_\xi \phi(y, \xi_j^\nu)| < 2^s 2^{-j\rho}\}| \\
 &\leq C \sum_{s=1}^\infty 2^{-2N(s-1)} [(2^s 2^{-j\rho})^{n-1} + (2^s 2^{-j\rho})^n] \\
 &\leq C 2^{-j\rho(n-1)}.
 \end{aligned}$$

So  $\int_{\mathbb{R}^n} |k_{1,j,\nu}(x, y)| dx \leq C 2^{j(2m+n(1-\rho))} 2^{-j\rho(n-1)}$ . Therefore, for any  $y \in \mathbb{R}^n$ , since  $J = C 2^{jm}$ , when  $m < \rho(n-1)/2 - n/2$ , we obtain

$$\begin{aligned}
 \int_{\mathbb{R}^n} |k_1(x, y)| dx &\leq \sum_{j=1}^\infty \sum_{\nu=1}^J \int_{\mathbb{R}^n} |k_{1,j,\nu}(x, y)| dx \leq C \sum_{j=1}^\infty \sum_{\nu=1}^J 2^{j(2m+n(1-\rho))} 2^{-j\rho(n-1)} \\
 &\leq C \sum_{j=1}^\infty 2^{j(2m+n-\rho(n-1))} < \infty.
 \end{aligned}$$

By the same method, we also can get  $\sup_x \int_{\mathbb{R}^n} |k_1(x, y)| dy < \infty$  when  $m < \rho(n-1)/2 - n/2$ . So if  $m < \rho(n-1)/2 - n/2$  when  $0 \leq \rho \leq 1/2$ ,  $T_{\phi,a}$  is bounded on  $L^2$ .

*Case 2:*  $1/2 \leq \rho \leq 1$ . First, let us recall the well-known ‘‘dyadic-parabolic’’ decomposition [18]. For  $j \in \mathbb{N}$ , fix a collection  $\{\xi_j^\nu\}_\nu$  of unit vectors, that satisfy

- (i)  $|\xi_j^{v_1} - \xi_j^{v_2}| \geq 2^{-j/2}$ ,  $v_1 \neq v_2$ ;
- (ii) if  $\xi \in \mathbb{S}^{n-1}$ , then there exists an  $\xi_j^\nu$  so that  $|\xi - \xi_j^\nu| < 2^{-j/2}$ .

For each  $j \in \mathbb{N}$ , set  $\Gamma_j^\nu = \{\xi : |\xi|/|\xi| - \xi_j^\nu| \leq 2 \cdot 2^{-j/2}\}$ . Then we can construct an associated partition of unity given by  $\psi_j^\nu$ , such that each  $\psi_j^\nu$  is homogeneous of degree 0, supported in  $\Gamma_j^\nu$  and satisfies that

$$(2.7) \quad \sum_{\nu=1}^J \psi_j^\nu(\xi) \equiv 1 \text{ for all } \xi \neq 0 \quad \text{and} \quad |\nabla_\xi^k \psi_j^\nu(\xi)| \leq C_k |\xi|^{-k} 2^{jk/2}, \quad k \in \mathbb{N}.$$

Then we can decompose  $k_1(x, y)$  as

$$\begin{aligned}
 k_1(x, y) &= \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x, \xi) \bar{a}(x, \xi) (1 - \chi_0(\xi))^2 d\xi \\
 &= \sum_{j=1}^\infty \sum_{\nu=1}^J \int_{\mathbb{R}^n} e^{i(\phi(x,\xi) - \phi(y,\xi))} a(x, \xi) \bar{a}(x, \xi) \chi_j(\xi) \psi_j^\nu(\xi) d\xi
 \end{aligned}$$

$$\begin{aligned}
 &= \sum_{j=1}^{\infty} \sum_{\nu=1}^J k_{1,j,\nu}(x, y) \\
 &= \sum_{j=1}^{\infty} \sum_{\nu=1}^J \int_{\mathbb{R}^n} e^{i(\nabla_{\xi} \phi(x, \xi_j^{\nu}) - \nabla_{\xi} \phi(y, \xi_j^{\nu}), \xi)} b_j^{\nu}(x, y, \xi) d\xi,
 \end{aligned}$$

where  $b_j^{\nu}(x, y, \xi) = e^{i(h_x(\xi) - h_y(\xi))} a(x, \xi) \bar{a}(x, \xi) \chi_j(\xi) \psi_j^{\nu}(\xi)$  and  $h_x(\xi) = \phi(x, \xi) - \nabla_{\xi} \phi(x, \xi_j^{\nu}) \cdot \xi$ ,  $h_y(\xi) = \phi(y, \xi) - \nabla_{\xi} \phi(y, \xi_j^{\nu}) \cdot \xi$ .

Without loss of generality, by rotating coordinate axes, we can assume that  $\xi_j^{\nu} = (1, 0, \dots, 0)$ . If we denote  $\xi' = (0, \xi_2, \dots, \xi_n)$ , then we have

**Lemma 2.3.** [19, pp. 406–407] *For  $\phi \in L^{\infty} \Phi^2$  and  $\xi \in \Gamma_j^{\nu} \cap \{\xi : 2^{j-1} < |\xi| \leq 2^{j+1}\}$ , we have*

$$\begin{aligned}
 |\partial_{\xi_1}^N \nabla_{\xi'}^M (h_x(\xi) - h_y(\xi))| &\leq C 2^{-j(N+M/2)} && \text{if } N, M \geq 0 \text{ with } N + M \geq 1, \\
 |\partial_{\xi_1}^N \nabla_{\xi'}^M \psi_j^{\nu}(\xi)| &\leq C 2^{-j(N+M/2)} && \text{if } N, M \geq 0.
 \end{aligned}$$

By Lemma 2.3, for any  $k, l \geq 0$ , we have

$$\begin{aligned}
 &|\partial_{\xi_1}^k \nabla_{\xi'}^l e^{i(h_x(\xi) - h_y(\xi))}| \\
 &\leq C \sum_{t=1}^{k+l} \sum_{\substack{k_1 + \dots + k_t = k \\ l_1 + \dots + l_t = l \\ k_1 + l_1, \dots, k_t + l_t > 0}} |\partial_{\xi_1}^{k_1} \nabla_{\xi'}^{l_1} (h_x(\xi) - h_y(\xi)) \dots \partial_{\xi_1}^{k_t} \nabla_{\xi'}^{l_t} (h_x(\xi) - h_y(\xi))| \\
 (2.8) \quad &\leq C \sum_{t=1}^{k+l} \sum_{\substack{k_1 + \dots + k_t = k \\ l_1 + \dots + l_t = l \\ k_1 + l_1, \dots, k_t + l_t > 0}} 2^{-j(k_1 + l_1/2)} \dots 2^{-j(k_t + l_t/2)} \\
 &\leq C \sum_{t=1}^{k+l} 2^{-j(k+l/2)} \leq C 2^{-j(k+l/2)}.
 \end{aligned}$$

Now we define an operator as

$$L = I - 2^{2j\rho} \partial_{\xi_1}^2 - 2^j \nabla_{\xi'}^2.$$

Since  $a \in L^{\infty} S_{\rho}^m$ ,  $1/2 \leq \rho \leq 1$ , applying (2.7) and (2.8), we have

$$\begin{aligned}
 &|L^N b_j^{\nu}(x, y, \xi)| \\
 &\leq C \sum_{N_1 + N_2 \leq N} 2^{2j\rho N_1} 2^{jN_2} |\partial_{\xi_1}^{2N_1} \nabla_{\xi'}^{2N_2} (e^{i(h_x(\xi) - h_y(\xi))} a(x, \xi) \bar{a}(y, \xi) \chi_j(\xi) \psi_j^{\nu}(\xi))| \\
 &\leq C \sum_{N_1 + N_2 \leq N} 2^{2j\rho N_1} 2^{jN_2} \\
 &\quad \times \sum_{\substack{k_1 + k_2 + k_3 = 2N_1 \\ l_1 + l_2 + l_3 = 2N_2}} |\partial_{\xi_1}^{k_1} \nabla_{\xi'}^{l_1} e^{i(h_x(\xi) - h_y(\xi))}| |\partial_{\xi_1}^{k_2} \nabla_{\xi'}^{l_2} (a(x, \xi) \bar{a}(y, \xi) \chi_j(\xi))| |\partial_{\xi_1}^{k_3} \nabla_{\xi'}^{l_3} \psi_j^{\nu}(\xi)|
 \end{aligned}$$

$$\begin{aligned} &\leq C \sum_{N_1+N_2 \leq N} 2^{2j\rho N_1} 2^{jN_2} \sum_{\substack{k_1+k_2+k_3=2N_1 \\ l_1+l_2+l_3=2N_2}} 2^{-j(k_1+l_1/2)} 2^{j(2m-\rho(k_2+l_2))} 2^{-j(k_3+l_3/2)} \\ &\leq C \sum_{N_1+N_2 \leq N} 2^{2j\rho N_1} 2^{jN_2} \sum_{\substack{k_1+k_2+k_3=2N_1 \\ l_1+l_2+l_3=2N_2}} 2^{j(2m-\rho(k_1+k_2+k_3)-(l_1+l_2+l_3)/2)} \leq C 2^{jm}. \end{aligned}$$

In the second to last line of above estimate, we use the condition  $1/2 \leq \rho \leq 1$  to estimate the power term. It is easy to see that  $|\{\xi \mid (x, y, \xi) \in \text{supp } b_j^\nu \text{ for some } (x, y) \in \mathbb{R}^n \times \mathbb{R}^n\}| \leq C 2^{j(n+1)/2}$ . Then for any  $N \in \mathbb{N}$ , we have

$$\begin{aligned} &|k_{1,j,\nu}(x, y)| \\ &= (1 + 2^{2j\rho} |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)|^2 + 2^j |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)|^2)^{-N} \\ &\quad \times \left| \int_{\mathbb{R}^n} e^{i(\nabla_{\xi} \phi(x, \xi_j^\nu) - \nabla_{\xi} \phi(y, \xi_j^\nu), \xi)} L^N b_j^\nu(x, y, \xi) d\xi \right| \\ (2.9) \quad &\leq C (1 + 2^{2j\rho} |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)|^2 + 2^j |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)|^2)^{-N} \\ &\quad \times \int_{\mathbb{R}^n} |L^N b_j^\nu(x, y, \xi)| d\xi \\ &\leq C (1 + 2^{2j\rho} |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)|^2 + 2^j |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)|^2)^{-N} \\ &\quad \times 2^{j(2m+(n+1)/2)}. \end{aligned}$$

Now we begin to estimate  $\int_{\mathbb{R}^n} |k_{1,j,\nu}(x, y)| dx$ . First, we denote

$$\begin{aligned} E_1 &= \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| \leq 2^{-j\rho}, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \leq 2^{-j/2}\}, \\ E_2 &= \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| \geq 2^{-j\rho}, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \leq 2^{-j/2}\}, \\ E_3 &= \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| \leq 2^{-j\rho}, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \geq 2^{-j/2}\}, \\ E_4 &= \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| \geq 2^{-j\rho}, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \geq 2^{-j/2}\}. \end{aligned}$$

Then

$$\begin{aligned} &\int_{\mathbb{R}^n} (1 + 2^{2j\rho} |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)|^2 + 2^j |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)|^2)^{-N} dx \\ &= \left( \int_{E_1} + \int_{E_2} + \int_{E_3} + \int_{E_4} \right) \\ &\quad (1 + 2^{2j\rho} |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)|^2 + 2^j |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)|^2)^{-N} dx \\ &= I_1 + I_2 + I_3 + I_4. \end{aligned}$$

Fix  $y \in \mathbb{R}^n$ ,  $r > 0$ , we observe that the rectangle  $\{z : |z_1 - \partial_{\xi_1} \phi(y, \xi_j^\nu)| \leq 2^{-j\rho} r, |z' - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \leq 2^{-j/2} r\}$  can be covered by no more than  $C 2^{j(n-1)(\rho-1/2)}$  balls with radius  $2^{-j\rho} r$ . Then, since  $\phi$  satisfies (1.3), we obtain that

$$\begin{aligned} (2.10) \quad &|\{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| \leq 2^{-j\rho} r, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \leq 2^{-j/2} r\}| \\ &\leq C 2^{j(n-1)(\rho-1/2)} [(2^{-j\rho} r)^{n-1} + (2^{-j\rho} r)^n]. \end{aligned}$$

By (2.10), for  $I_1$ , we have

$$I_1 \leq \left| \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| \leq 2^{-j\rho}, ; |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \leq 2^{-j/2} \} \right| \\ \leq C 2^{j(n-1)(\rho-1/2)} (2^{-j\rho(n-1)} + 2^{-j\rho n}) \leq C 2^{-j(n-1)/2}.$$

For  $I_2$ , since  $E_2 \subseteq \bigcup_{s=1}^{\infty} E_s$ , where

$$E_s = \{x : 2^{s-1} 2^{-j\rho} \leq |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| < 2^s 2^{-j\rho}, \\ |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \leq 2^{-j/2} \},$$

then when  $N > n/2$ , we have

$$I_2 \leq \sum_{s=1}^{\infty} \int_{E_s} (1 + 2^{2j\rho} |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)|^2)^{-N} \\ \leq \sum_{s=1}^{\infty} 2^{-2N(s-1)} \\ \times \left| \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| < 2^s 2^{-j\rho}, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| \leq 2^s 2^{-j/2} \} \right| \\ \leq C \sum_{s=1}^{\infty} 2^{-2N(s-1)} 2^{j(n-1)(\rho-1/2)} [(2^s 2^{-j\rho})^{n-1} + (2^s 2^{-j\rho})^n] \\ \leq C \sum_{s=1}^{\infty} 2^{-s(2N-n)} 2^{-j(n-1)/2} \leq C 2^{-j(n-1)/2}.$$

The estimate for  $I_3$  is similar to  $I_2$ , we omit the details here.

For  $I_4$ , since  $E_4 \subseteq \bigcup_{t=1}^{\infty} \bigcup_{s=1}^{\infty} E_{t,s}$ , where

$$E_{t,s} = \{x : 2^{s-1} 2^{-j\rho} \leq |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| < 2^s 2^{-j\rho}, \\ 2^{t-1} 2^{-j/2} \leq |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| < 2^t 2^{-j/2} \}.$$

Then when  $N > n$ , we get that

$$I_4 \leq \sum_{s=1}^{\infty} \sum_{t=1}^{\infty} 2^{-N(s-1)} 2^{-N(t-1)} \\ \times \left| \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| < 2^s 2^{-j\rho}, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| < 2^t 2^{-j/2} \} \right| \\ \leq \sum_{s=1}^{\infty} \sum_{t \leq s} 2^{-N(s-1)} 2^{-N(t-1)} \\ \times \left| \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| < 2^s 2^{-j\rho}, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| < 2^s 2^{-j/2} \} \right| \\ + \sum_{s=1}^{\infty} \sum_{t \geq s} 2^{-N(s-1)} 2^{-N(t-1)} \\ \times \left| \{x : |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)| < 2^t 2^{-j\rho}, |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)| < 2^t 2^{-j/2} \} \right|$$

$$\begin{aligned} &\leq C \sum_{s=1}^{\infty} \sum_{t \leq s}^{\infty} 2^{-N(s-1)} 2^{-N(t-1)} 2^{j(n-1)(\rho-1/2)} [(2^s 2^{-j\rho})^{n-1} + (2^s 2^{-j\rho})^{n-1}] \\ &\quad + \sum_{s=1}^{\infty} \sum_{t \geq s}^{\infty} 2^{-N(s-1)} 2^{-N(t-1)} 2^{j(n-1)(\rho-1/2)} [(2^t 2^{-j\rho})^{n-1} + (2^t 2^{-j\rho})^{n-1}] \\ &\leq C 2^{-j(n-1)/2}. \end{aligned}$$

Hence

$$\begin{aligned} &\int_{\mathbb{R}^n} (1 + 2^{2j\rho} |\partial_{\xi_1} \phi(x, \xi_j^\nu) - \partial_{\xi_1} \phi(y, \xi_j^\nu)|^2 + 2^j |\nabla_{\xi'} \phi(x, \xi_j^\nu) - \nabla_{\xi'} \phi(y, \xi_j^\nu)|^2)^{-N} dx \\ (2.11) \quad &\leq C 2^{-j(n-1)/2}, \\ &\int_{\mathbb{R}^n} |k_{1,j,\nu}(x, y)| dx \leq C 2^{j(2m+1)}. \end{aligned}$$

For any  $y \in \mathbb{R}^n$ , by (2.9), (2.11) and with the fact  $J = C 2^{(n-1)/2}$ , then when  $m < -(n+1)/4$ , we can get

$$\int_{\mathbb{R}^n} |k_1(x, y)| dx \leq \sum_{j=1}^{\infty} \sum_{\nu=1}^J \int |k_{1,j,\nu}(x, y)| dx \leq C \sum_{j=1}^{\infty} 2^{j(2m+(n+1)/2)} < \infty.$$

By symmetry, it is easy to get that for any  $x \in \mathbb{R}^n$ , when  $m < -(n+1)/4$ ,  $\int_{\mathbb{R}^n} |k_1(x, y)| dy < \infty$ . So when  $1/2 \leq \rho \leq 1$ , if  $m < -(n+1)/4$ , then  $T_{\phi,a}$  is bounded on  $L^2$ . □

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