

## Injective Chromatic Number of Outerplanar Graphs

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**Abstract.** An injective coloring of a graph is a vertex coloring where two vertices with common neighbor receive distinct colors. The minimum integer  $k$  such that  $G$  has a  $k$ -injective coloring is called injective chromatic number of  $G$  and denoted by  $\chi_i(G)$ . In this paper, the injective chromatic number of outerplanar graphs with maximum degree  $\Delta$  and girth  $g$  is studied. It is shown that every outerplanar graph  $G$  has  $\chi_i(G) \leq \Delta + 2$ , and this bound is tight. Then, it is proved that for an outerplanar graph  $G$  with  $\Delta = 3$ ,  $\chi_i(G) \leq \Delta + 1$  and the bound is tight for outerplanar graphs of girth 3 and 4. Finally, it is proved that, the injective chromatic number of 2-connected outerplanar graphs with  $\Delta = 3$ ,  $g \geq 6$  and  $\Delta \geq 4$ ,  $g \geq 4$  is equal to  $\Delta$ .

### 1. Introduction

All graphs we have considered here are finite, connected and simple. A plane graph is a planar drawing of a planar graph in the Euclidean plane. The vertex set, edge set, face set, minimum degree and maximum degree of a plane graph  $G$ , are denoted by  $V(G)$ ,  $E(G)$ ,  $F(G)$ ,  $\delta(G)$  and  $\Delta(G)$ , respectively. A vertex of degree  $k$  is called a  $k$ -vertex. For vertex  $v \in V(G)$ ,  $N_G(v)$  is the set of neighbors of  $v$  in  $G$ . The girth of a graph  $G$ ,  $g(G)$ , is the length of a shortest cycle in  $G$ . If there is no confusion, we delete  $G$  in the notations. A face  $f \in F(G)$  is denoted by its boundary walk  $f = [v_1 v_2 \dots v_k]$ , where  $v_1, v_2, \dots, v_k$  are its vertices in the clockwise order. Also, the vertices  $v_1$  and  $v_k$  as end vertices of  $f$  are denoted by  $v_{L_f}$  and  $v_{R_f}$ , respectively. An outerplanar graph is a graph with a planar drawing for which all vertices belong to the outer face of the drawing. It is known that a graph  $G$  is an outerplanar graph if and only if  $G$  has no subdivision of complete graph  $K_4$  and complete bipartite graph  $K_{2,3}$ . A path  $P : v_1, v_2, \dots, v_k$  is called a simple path in  $G$  if  $v_2, \dots, v_{k-1}$  are all 2-vertices in  $G$ . The length of a path is the number of its edges. We say that a face  $f = [v_1 v_2 \dots v_k]$  is an end face of an outerplane graph  $G$ , if  $P : v_1, v_2, \dots, v_k$  is a simple path in  $G$ . An end block in graph  $G$  is a maximal 2-connected subgraph of  $G$  that contains a unique cut vertex of  $G$ .

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A proper  $k$ -coloring of a graph  $G$  is a mapping from  $V(G)$  to the set of colors  $\{1, 2, \dots, k\}$  such that any two adjacent vertices have different colors. The chromatic number,  $\chi(G)$ , is the minimum integer  $k$  that  $G$  has a proper  $k$ -coloring. A coloring  $c$  of  $G$  is called an *injective coloring* if for every two vertices  $u$  and  $v$  which have common neighbor,  $c(u) \neq c(v)$ . That means, the restriction of  $c$  to the neighborhood of any vertex is an injective function. The *injective chromatic number*,  $\chi_i(G)$ , is the least integer  $k$  such that  $G$  has an injective  $k$ -coloring. Note that an injective coloring is not necessarily a proper coloring. In fact,  $\chi_i(G) = \chi(G^{(2)})$ , where  $V(G^{(2)}) = V(G)$  and  $uv \in E(G^{(2)})$  if and only if  $u$  and  $v$  have a common neighbor in  $G$ . The square of graph  $G$ , denoted by  $G^2$ , is a graph with vertex set  $V(G)$ , where two vertices are adjacent in  $G^2$  if and only if they are at distance at most two in  $G$ . Since  $G^{(2)}$  is a subgraph of  $G^2$ , obviously,  $\chi_i(G) \leq \chi(G^2)$ . The concept of injective coloring is introduced by Hahn et al. in 2002 [7]. It is clear that for every graph  $G$ ,  $\chi_i(G) \geq \Delta$ . In general, in [7] Hahn et al. proved that  $\Delta \leq \chi_i(G) \leq \Delta^2 - \Delta + 1$ . In [13], Wegner raised the following conjecture for the chromatic number of the square of planar graphs.

**Conjecture 1.1.** [13] *If  $G$  is a planar graph with maximum degree  $\Delta$ , then*

- For  $\Delta = 3$ ,  $\chi(G^2) \leq \Delta + 2$ .
- For  $4 \leq \Delta \leq 7$ ,  $\chi(G^2) \leq \Delta + 5$ .
- For  $\Delta \geq 8$ ,  $\chi(G^2) \leq \lfloor 3\Delta/2 \rfloor + 1$ .

Since  $\chi_i(G) \leq \chi(G^2)$ , Lužar and Škrekovski in [10] proposed the following conjecture for the injective chromatic number of planar graphs.

**Conjecture 1.2.** [10] *If  $G$  is a planar graph with maximum degree  $\Delta$ , then*

- For  $\Delta = 3$ ,  $\chi_i(G) \leq \Delta + 2$ .
- For  $4 \leq \Delta \leq 7$ ,  $\chi_i(G) \leq \Delta + 5$ .
- For  $\Delta \geq 8$ ,  $\chi_i(G) \leq \lfloor 3\Delta/2 \rfloor + 1$ .

The injective coloring of planar graphs with respect to its girth and maximum degree is studied in [1–6, 9, 11]. In [8], Lih and Wang proved upper bound  $\Delta + 2$  for the chromatic number of square of outerplanar graphs.

**Theorem 1.3.** [8] *If  $G$  is an outerplanar graph, then  $\chi(G^2) \leq \Delta + 2$ .*

Since  $\chi_i(G) \leq \chi(G^2)$ , Conjecture 1.2 is true for outerplanar graphs.

**Corollary 1.4.** *If  $G$  is an outerplanar graph, then  $\chi_i(G) \leq \Delta + 2$ .*

In Figure 1.1, an outerplanar graph with  $\Delta = 4$ ,  $g = 3$  and  $\chi_i(G) = \Delta + 2 = 6$  is shown. Therefore, the given bound in Corollary 1.4 is tight.

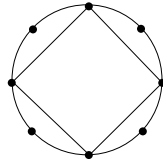


Figure 1.1: An outerplanar graph with  $\Delta = 4$ ,  $g = 3$  and  $\chi_i = 6$ .

In this paper, we study the injective chromatic number of outerplanar graphs. The main results of Section 2 are as follows. If  $G$  is an outerplanar graph with maximum degree  $\Delta$  and girth  $g$ , then

- (Theorem 2.1) For  $\Delta = 3$ ,  $\chi_i(G) \leq \Delta + 1 = 4$ .
- (Theorem 2.2) For  $\Delta = 3$  and  $g \geq 5$ , with no face of degree  $k$ ,  $k \equiv 2 \pmod{4}$ ,  $\chi_i(G) = \Delta$ .
- (Theorem 2.4) For  $\Delta = 3$  and  $g \geq 6$ ,  $\chi_i(G) = \Delta$ .
- (Theorems 2.5 and 2.8) For  $\Delta \geq 4$  and  $g \geq 4$ ,  $\chi_i(G) = \Delta$ .

## 2. Main results

First, we prove a tight bound for the injective chromatic number of outerplanar graphs with  $\Delta = 3$ . Note that if  $\Delta = 2$ , then  $G$  is an union of paths and cycles, which obviously  $\chi_i(G) \leq 3 = \Delta + 1$ . Moreover, if  $G$  is an arbitrary path or is a cycle of length  $k$ , where  $k \equiv 0 \pmod{4}$ , then  $\chi_i(G) = 2$ . Otherwise,  $\chi_i(G) = 3$  [7].

**Theorem 2.1.** *If  $G$  is an outerplanar graph with  $\Delta = 3$ , then  $G$  has a 4-injective coloring such that in every simple path of length three, at most three colors appear. Moreover, the bound is tight.*

*Proof.* We prove the theorem by the induction on  $|V(G)|$ . In Figure 2.1, all outerplanar graphs with  $\Delta = 3$  of order 4 and 5 with an injective coloring with desired property are shown. Obviously, in the left side graph,  $\chi_i(G) = 4$ . Hence, bound  $\Delta + 1$  is tight.

Now suppose that  $G$  is an outerplane graph with  $\Delta = 3$  and the statement is true for all outerplanar graphs with  $\Delta = 3$  of order less than  $|V(G)|$ . The following two cases can be caused.

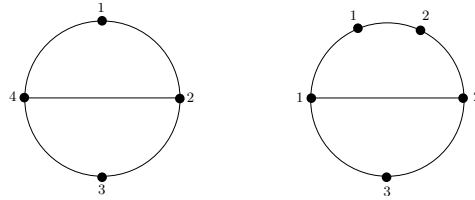


Figure 2.1: Outerplanar graphs with  $\Delta = 3$  of order 4, 5.

If an end block of  $G$  is an edge, say  $uv$ , where  $\deg(u) = 1$ , then we consider the maximal simple path  $P : (v_1 = u), (v_2 = v), v_3, \dots, v_k$  in  $G$ . Since  $P$  is a maximal simple path and  $\Delta(G) = 3$ , we have  $\deg(v_k) = 3$ . Suppose that  $N(v_k) = \{w_1, w_2, v_{k-1}\}$  and  $c$  is a 4-injective coloring of  $G \setminus \{v_1, v_2, \dots, v_{k-1}\}$  with colors  $\{\alpha, \beta, \gamma, \lambda\}$  such that every simple path of length three has at most three colors. Note that  $w_1$  and  $w_2$  have a common neighbor  $v_k$  therefore,  $c(w_1) \neq c(w_2)$ . In this case, we assign to the ordered vertices  $v_{k-1}, v_{k-2}, \dots, v_2, v_1$  of path  $P$  the ordered string  $(ssttsstt\dots)$ , where  $s \in \{\alpha, \beta, \gamma, \lambda\} \setminus \{c(v_k), c(w_1), c(w_2)\}$  and  $t = c(v_k)$ .

If the minimum degree of every end block of  $G$  is at least two in  $G$ , then we consider an end face  $f = [v_i v_{i+1} \dots v_j]$  in an end block  $B$  of  $G$  in clockwise order, where  $v_1$  is the vertex cut of  $G$  belongs to  $B$ . Note that, since  $\Delta(G) = 3$ , if  $G$  is a block, then  $G$  has an end face  $f = [v_i v_{i+1} \dots v_j]$ . Let  $H$  be the induced subgraph of  $G$  on 2-vertices of  $f$ . If  $\Delta(G \setminus H) = 2$ , then we color the ordered vertices  $v_j, v_{j+1}, \dots, v_{i-1}, v_i$  of  $G \setminus H$  by ordered string  $(\alpha\beta\gamma\lambda\alpha\beta\gamma\lambda\dots)$ . If  $|V(G \setminus H)| \equiv 2 \pmod{4}$ , then change the color of  $v_{i-1}$  and  $v_i$  to  $\beta$  and  $\alpha$ , respectively. If  $\Delta(G \setminus H) = 3$ , then by the induction hypothesis  $G \setminus H$  has a 4-injective coloring  $c$  with colors  $\{\alpha, \beta, \gamma, \lambda\}$ , such that every simple path of length three has at most three colors. Hence, in  $G \setminus H$  at most three colors are used for vertices  $v_{i-1}, v_i, v_j, v_{j+1}$ . Now we extend  $c$  to an injective coloring of  $G$  with the desired property.

If  $c(v_i) = c(v_j)$ , then we assign to the ordered vertices  $v_{i+1}, v_{i+2}, \dots, v_{j-1}$  the ordered string  $(ssttsstt\dots)$ , where  $s \in \{\alpha, \beta, \gamma, \lambda\} \setminus \{c(v_{i-1}), c(v_i) = c(v_j), c(v_{j+1})\}$  and  $t \in \{\alpha, \beta, \gamma, \lambda\} \setminus \{c(v_i) = c(v_j), c(v_{j+1}), s\}$ .

If  $c(v_i) \neq c(v_j)$ , then we assign to the ordered vertices  $v_{i+1}, v_{i+2}, \dots, v_{j-1}$  the ordered string  $(ssttsstt\dots)$ , where  $s \in \{\alpha, \beta, \gamma, \lambda\} \setminus \{c(v_{i-1}), c(v_i), c(v_j), c(v_{j+1})\}$ . If  $j - i - 1 \equiv 1, 2 \pmod{4}$ , then  $t \in \{\alpha, \beta, \gamma, \lambda\} \setminus \{c(v_j), s\}$ . If  $j - i - 1 \equiv 0, 3 \pmod{4}$ , then  $t \in \{\alpha, \beta, \gamma, \lambda\} \setminus \{c(v_i), c(v_{j+1}), s\}$ . In the case  $j - i - 1 \equiv 0 \pmod{4}$ , if  $t = c(v_j)$ , then change the color of  $v_{j-2}$  to  $t' \in \{\alpha, \beta, \gamma, \lambda\} \setminus \{c(v_j) = t, s\}$ . Note that, since by the induction hypothesis  $|\{c(v_{i-1}), c(v_i), c(v_j), c(v_{j+1})\}| \leq 3$ , in each cases the colors  $s$  and  $t$  exist. It can be easily seen that the given coloring is a 4-injective coloring for  $G$  such that every simple path of length three in  $G$  has at most three colors as well. □

Graph  $G$  in Figure 2.2 is an outerplanar graph of girth 4 with maximum degree three

and injective chromatic number 4. Since each pair of set  $\{u, v, w\}$  have a common neighbor, in every injective coloring of  $G$ , they must have three different colors. In the similar way, we need three different colors for the vertices  $\{x, y, z\}$ . Without loss of generality, color the vertices  $u, v, w$  with color  $\alpha, \beta$  and  $\gamma$ , respectively. Now by devoting any permutation of these colors to vertices  $x, y$  and  $z$ , it can be checked that in each case we need a new color for the other vertices. Therefore, bound  $\Delta + 1$  in Theorem 2.1 is tight for outerplanar graphs with  $\Delta = 3, g = 4$  and  $g = 3$  (see also Figure 2.1).

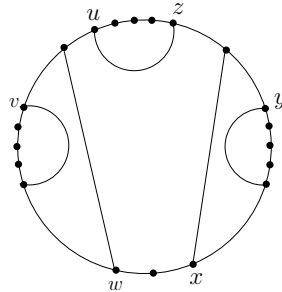


Figure 2.2: An outerplanar graph with  $\Delta = 3, g = 4$  and  $\chi_i = 4$ .

In the next theorems, we improve bound  $\Delta + 1$  to  $\Delta$  for outerplanar graphs with  $\Delta = 3$  of girth greater than 4.

**Theorem 2.2.** *If  $G$  is a 2-connected outerplanar graph with  $\Delta = 3, g \geq 5$  and no face of degree  $k$ , where  $k \equiv 2 \pmod{4}$ , then  $G$  has a 3-injective coloring such that in every simple path of length three, exactly three colors appear.*

*Proof.* We prove it by the induction on  $|V(G)|$ . In Figure 2.3, the 2-connected outerplanar graphs with  $\Delta = 3$  and  $g \geq 5$  of order at most 10 with an injective coloring with desired property are shown.

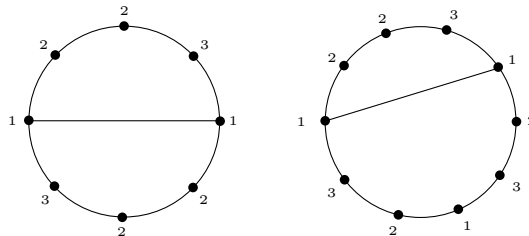


Figure 2.3: Outerplanar graphs with  $\Delta = 3$  and  $g \geq 5$  of order 8 and 10.

Now suppose that  $G$  is a 2-connected outerplane graph with  $\Delta = 3, g \geq 5$  and no face of degree  $k$ , where  $k \equiv 2 \pmod{4}$  and the statement is true for all such 2-connected outerplanar graphs of order less than  $|V(G)|$ .

Let  $f = [v_i v_{i+1} \dots v_j]$  be an end face of  $G$  in clockwise order and  $H$  be the induced subgraph of  $G$  on 2-vertices of  $f$ . If  $\Delta(G \setminus H) = 3$ , then by the induction hypothesis  $G \setminus H$  has a 3-injective coloring  $c$  with colors  $\{\alpha, \beta, \gamma\}$ , such that every simple path of length three has exactly three colors.

If  $\Delta(G \setminus H) = 2$ , then we color the vertices of  $G \setminus H$  as follows. If  $G \setminus H = C_t$ , where  $t > 5$  and  $t \equiv 0, 1 \pmod{3}$ , then color the ordered vertices  $v_{i-1}, v_i, v_j, v_{j+1}, \dots, v_{i-2}$  with the ordered string  $(\alpha\beta\gamma\alpha\beta\gamma\dots)$ . If  $t > 5$  and  $t \equiv 2 \pmod{3}$ , then color the ordered vertices  $v_{i-1}, v_i, v_j, v_{j+1}, \dots, v_{i-5}$  with the ordered string  $(\alpha\beta\gamma\alpha\beta\gamma\dots)$ . Then color the vertices  $v_{i-4}, v_{i-3}$  and  $v_{i-2}$  with colors  $\beta, \gamma$  and  $\alpha$ , respectively. One can check that every simple path of length three in  $G \setminus H$  has exactly three colors. If  $G \setminus H = C_5$ , then since  $|V(G)| > 10$ ,  $f = [v_i v_{i+1} \dots v_j]$  is a cycle of length at least 8. In this case, we consider the end face  $f' = [v_j v_{j+1} \dots v_i]$  and follow the above proof when  $H$  is induced subgraph of  $G$  on 2-vertices of  $f'$ . In the following, we extend injective coloring  $c$  of  $G \setminus H$  to an injective coloring of  $G$  with the desired property.

If  $c(v_i) = c(v_j)$ , then we assign to the ordered vertices  $v_{i+1}, v_{i+2}, \dots, v_{j-1}$  the ordered string  $(s_1 s_2 s_3 s_4 s_1 s_2 s_3 s_4 \dots)$ , where  $s_1 = c(v_{j+1})$ . Since  $G$  has no face of degree  $k$  where  $k \equiv 2 \pmod{4}$ , we have following cases. If  $j - i - 1 \equiv 1 \pmod{4}$ , then let  $s_2 = c(v_{i-1})$ ,  $s_3 = s_4 = c(v_i) = c(v_j)$  and change the color of vertices  $v_{j-2}$  and  $v_{j-1}$  to  $c(v_{j+1})$  and  $c(v_{i-1})$ , respectively. If  $j - i - 1 \equiv 2 \pmod{4}$ , then let  $s_2 = s_1 = c(v_{j+1})$ ,  $s_3 = c(v_{i-1})$  and  $s_4 = c(v_i) = c(v_j)$  and change the color of  $v_{j-1}$  to  $c(v_{i-1})$ . If  $j - i - 1 \equiv 3 \pmod{4}$ , then let  $s_2 = s_1 = c(v_{j+1})$ ,  $s_3 = c(v_{i-1})$  and  $s_4 = c(v_i) = c(v_j)$ .

If  $c(v_i) \neq c(v_j)$  and  $c(v_{i-1}) = c(v_{j+1})$ , then we assign to the ordered vertices  $v_{i+1}, v_{i+2}, \dots, v_{j-1}$  the ordered string  $(s_1 s_2 s_3 s_4 s_1 s_2 s_3 s_4 \dots)$ , where  $s_1 = c(v_i)$ . If  $j - i - 1 \equiv 1, 2 \pmod{4}$ , then  $s_2 = c(v_j)$  and  $s_3 = s_4 = c(v_{i-1}) = c(v_{j+1})$ . In the case  $j - i - 1 \equiv 1 \pmod{4}$ , we change the color of vertices  $v_{j-2}$  and  $v_{j-1}$  to  $c(v_i)$  and  $c(v_j)$ , respectively. If  $j - i - 1 \equiv 3 \pmod{4}$ , then let  $s_2 = c(v_{i-1}) = c(v_{j+1})$  and  $s_3 = s_4 = c(v_j)$ .

If  $c(v_i) \neq c(v_j)$  and  $c(v_{i-1}) = c(v_i)$ , then we assign to the ordered vertices  $v_{i+1}, v_{i+2}, \dots, v_{j-1}$  the ordered string  $(s_1 s_2 s_3 s_4 s_1 s_2 s_3 s_4 \dots)$ , where  $s_1 = c(v_{j+1})$ . If  $j - i - 1 \equiv 1 \pmod{4}$ , then let  $s_2 = c(v_j)$ ,  $s_3 = c(v_i) = c(v_{i-1})$ ,  $s_4 = s_1$  and change the color of vertex  $v_{j-1}$  to  $c(v_j)$ . If  $j - i - 1 \equiv 2 \pmod{4}$ , then let  $s_2 = c(v_j)$  and  $s_3 = s_4 = c(v_{i-1}) = c(v_i)$ . If  $j - i - 1 \equiv 3 \pmod{4}$ , then we assign to the ordered vertices  $v_{j-1}, v_{j-2}, \dots, v_{i+1}$  the ordered string  $(s_1 s_2 s_3 s_4 s_1 s_2 s_3 s_4 \dots)$ , where  $s_1 = c(v_j)$ ,  $s_2 = c(v_{j+1})$ ,  $s_3 = s_4 = c(v_i) = c(v_{i-1})$  and change the colors of  $v_{i+1}$  to  $c(v_{j+1})$ .

If  $c(v_i) \neq c(v_j)$  and  $c(v_j) = c(v_{j+1})$ , then we assign to the ordered vertices  $v_{j-1}, v_{j-2}, \dots, v_{i+1}$  the ordered string  $(s_1 s_2 s_3 s_4 s_1 s_2 s_3 s_4 \dots)$ , where  $s_1 = c(v_{i-1})$ . If  $j - i - 1 \equiv 1 \pmod{4}$ , then  $s_2 = c(v_i)$ ,  $s_3 = c(v_{j+1}) = c(v_j)$ ,  $s_4 = s_1$  and change the color of  $v_{i+1}$  to  $c(v_i)$ . If  $j - i - 1 \equiv 2 \pmod{4}$ , then  $s_2 = c(v_i)$  and  $s_3 = s_4 = c(v_{j+1})$ . If  $j - i - 1 \equiv 3 \pmod{4}$ , then  $s_2 = c(v_i)$  and  $s_3 = s_4 = c(v_{j+1})$ .

(mod 4), then we assign to the ordered vertices  $v_{i+1}, v_{i+2}, \dots, v_{j-1}$  the ordered string  $(s_1 s_2 s_3 s_4 s_1 s_2 s_3 s_4 \dots)$ , where  $s_1 = c(v_i)$ ,  $s_2 = c(v_{i-1})$  and  $s_3 = s_4 = c(v_j) = c(v_{j+1})$  and change the color of  $v_{j-1}$  to  $c(v_{i-1})$ . It can be seen that the given coloring is a 3-injective coloring for  $G$  such that every simple path of length three in  $G$  has exactly three colors.  $\square$

In Theorem 2.4, we improve bound  $\Delta + 1$  in Theorem 2.1 to  $\Delta$  for outerplanar graph with  $\Delta = 3$  and  $g \geq 6$ . First, we need the following theorem.

**Theorem 2.3.** [12] *Let  $G$  be a connected graph and  $L$  be a list-assignment to the vertices, where  $|L(v)| \geq \deg(v)$  for each  $v \in V(G)$ . If*

- (1)  $|L(v)| > \deg(v)$  for some vertex  $v$ , or
- (2)  $G$  contains a block which is neither a complete graph nor an induced odd cycle,

*then  $G$  admits a proper coloring such that the color assign to each vertex  $v$  is in  $L(v)$ .*

**Theorem 2.4.** *If  $G$  is an outerplanar graph with  $\Delta = 3$  and  $g \geq 6$ , then  $\chi_i(G) = \Delta$ .*

*Proof.* Since  $\chi_i(G) \geq \Delta$ , it is enough to show that  $\chi_i(G) \leq \Delta$ . Let  $G$  be a minimal counterexample for this statement. That means  $G$  is an outerplane graph with  $\Delta = 3$ ,  $g \geq 6$  and  $\chi_i(G) \geq \Delta + 1$ , such that every proper subgraph of  $G$  has a  $\Delta$ -injective coloring. Obviously  $\delta(G) \geq 2$ . Now consider an end face  $f = [v_i v_{i+1} \dots v_j]$  in an end block  $B$  of  $G$  in clockwise order, where  $v_1$  is the vertex cut of  $G$  belonging to  $B$ . Since  $\Delta = 3$  and  $g \geq 6$ , the degree of face  $f$  is at least 6 and the degree of  $v_i$  and  $v_j$  are three. Let  $H$  be the induced subgraph of  $G$  on 2-vertices of  $f$ . If  $\Delta(G \setminus H) = 3$ , then by the minimality of  $G$ , we have  $\chi_i(G \setminus H) \leq \Delta(G \setminus H) \leq \Delta(G)$ . Also, if  $G \setminus H$  is a cycle, then  $\chi_i(G \setminus H) \leq 3 = \Delta$ .

Now, we extend the  $\Delta$ -injective coloring of  $G \setminus H$  to a  $\Delta$ -injective coloring of  $G$ , which contradicts our assumption. Each of the vertices  $v_i$  and  $v_j$  has at most  $\Delta - 1 = 2$  neighbors except  $v_{i+1}$  and  $v_{j-1}$ , respectively. Hence, for each of vertices  $v_{i+1}$  and  $v_{j-1}$  there is at least one available color. Also, among the colored vertices in  $G \setminus H$ , the only forbidden colors for vertices  $v_{i+2}$  and  $v_{j-2}$  are colors of the vertices  $v_i$  and  $v_j$ , respectively. The other vertices have three available colors. Now consider induced subgraph of  $G^{(2)}$  on the vertices of  $H$ , denoted by  $G^{(2)}[H]$ , and list of available colors for each vertex of  $H$ . The components of  $G^{(2)}[H]$  are some paths satisfying the assumption of Theorem 2.3. Thus, we have a proper  $\Delta$ -coloring for  $G^{(2)}[H]$  using the available colors which is a  $\Delta$ -injective coloring of  $H$  as desired.  $\square$

Now, we are ready to determine the injective chromatic number of 2-connected outerplanar graphs with maximum degree and girth greater than three. We prove this fact by two different methods for the cases  $\Delta = 4$  and  $\Delta \geq 5$ .

**Theorem 2.5.** *If  $G$  is a 2-connected outerplanar graph with  $\Delta = 4$  and  $g \geq 4$ , then  $G$  has a 4-injective coloring  $c$  such that for every adjacent vertices  $v$  and  $u$  of degree three with  $N(v) = \{u, v_1, v_2\}$  and  $N(u) = \{v, u_1, u_2\}$ ,  $\{c(u), c(v_1), c(v_2)\} \neq \{c(v), c(u_1), c(u_2)\}$ .*

*Proof.* We prove it by the induction on  $|V(G)|$ . In Figure 2.4, the 2-connected outerplanar graphs with  $\Delta = 4$  and  $g \geq 4$  of order 8 and 9 with an injective coloring of desired property are shown.

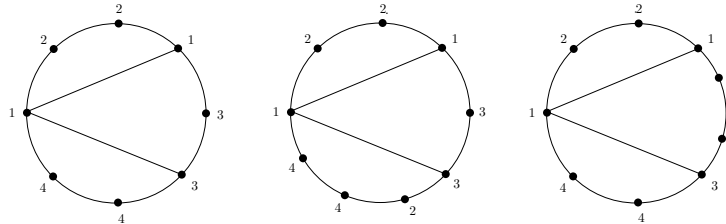


Figure 2.4: 2-connected outerplanar graphs with  $\Delta = 4$  and  $g \geq 4$  of order 8 and 9.

Now suppose that  $G$  is a 2-connected outerplane graph with  $\Delta = 4$ ,  $g \geq 4$  and the statement is true for all 2-connected outerplanar graphs with  $\Delta = 4$  and  $g \geq 4$  of order less than  $|V(G)|$ .

Let  $f = [v_i v_{i+1} \dots v_j]$  be an end face of  $G$  in clockwise order. If  $\deg(v_i) = \deg(v_j) = 3$ , then consider induced subgraph  $H$  on 2-vertices of face  $f$ . Thus,  $G \setminus H$  is a 2-connected outerplane graph with  $\Delta(G \setminus H) = 4$  and  $g(G \setminus H) \geq 4$ . Hence, by the induction hypothesis,  $G \setminus H$  has a 4-injective coloring such that for every adjacent vertices  $v$  and  $u$  of degree three with  $N(v) = \{u, v_1, v_2\}$  and  $N(u) = \{v, u_1, u_2\}$ ,  $\{c(u), c(v_1), c(v_2)\} \neq \{c(v), c(u_1), c(u_2)\}$ . If there are exactly four colors in  $\{c(v_{i-1}), c(v_i), c(v_j), c(v_{j+1})\}$ , then consider graph  $G^{(2)}[H]$  and list of available colors for each vertex of  $H$ . Graph  $G^{(2)}[H]$  satisfy the assumption of Theorem 2.3. Thus, we have a  $\Delta$ -coloring for  $G^{(2)}[H]$  which is a  $\Delta$ -injective coloring of  $H$ . If there are at most three colors in  $\{c(v_{i-1}), c(v_i), c(v_j), c(v_{j+1})\}$ , then color  $v_{i+1}$  with one of its colors not in  $\{c(v_{i-1}), c(v_i), c(v_j), c(v_{j+1})\}$  and color  $v_{j-1}$  with one of its available colors such that  $c(v_{i+1}) \neq c(v_{j-1})$ . Then color the other vertices of  $H$  with one of their available colors similar to above. It can be easily seen that for every adjacent vertices  $v$  and  $u$  of degree three with  $N(v) = \{u, v_1, v_2\}$  and  $N(u) = \{v, u_1, u_2\}$ ,  $\{c(u), c(v_1), c(v_2)\} \neq \{c(v), c(u_1), c(u_2)\}$ .

Now suppose that each face of  $G$  has an end vertex of degree 4. We have two following cases.

*Case 1.* There is an end face  $f$  with one end vertex of degree 4 and the other one of degree less than 4.

In this case, suppose that  $G$  has an end face  $f = [v_i v_{i+1} \dots v_j]$ , where  $\deg(v_i) = 4$  and  $\deg(v_j) = 3$ . Consider induced subgraph  $H$  on 2-vertices of face  $f$ . If  $\Delta(G \setminus H) = 4$ ,



then by the induction hypothesis,  $G \setminus H$  has a 4-injective coloring such that for every adjacent vertices  $v$  and  $u$  of degree three with  $N(v) = \{u, v_1, v_2\}$  and  $N(u) = \{v, u_1, u_2\}$ ,  $\{c(u), c(v_1), c(v_2)\} \neq \{c(v), c(u_1), c(u_2)\}$ . Now we extend the 4-injective coloring of  $G \setminus H$  to  $G$ . If  $\deg(v_{j+1}) = 3$ , then suppose that  $v_s$  is the other neighbor of  $v_{j+1}$  except  $v_j$  and  $v_{j+2}$ . If there are exactly three colors in  $\{c(v_i), c(v_j), c(v_{j+1}), c(v_{j+2}), c(v_s)\}$ , then color vertex  $v_{j-1}$  with one of its colors not in  $\{c(v_i), c(v_j), c(v_{j+1}), c(v_{j+2}), c(v_s)\}$  and color the other vertices of  $H$  with one of their available colors as explained in above. If  $|\{c(v_i), c(v_j), c(v_{j+1}), c(v_{j+2}), c(v_s)\}| = 4$  or  $\deg(v_{j+1}) \neq 3$ , then by Theorem 2.3 color the vertices of  $H$  with one of their available colors such that obtained coloring is a 4-injective coloring of  $G$ .

If  $\Delta(G \setminus H) = 3$ , then  $G \setminus H$  also contains an end face. Moreover, by the assumption, each face of  $G$  has an end vertex of degree 4. Therefore, there is another end face, say  $f'$ , with a common neighbor with  $f$ . Consider induced subgraph  $H'$  on 2-vertices of face  $f$  and  $f'$ . Thus,  $G \setminus H'$  is a cycle and  $\chi_i(G \setminus H') \leq 3$ . Now each vertices of  $H'$  has at least two available colors. Hence, by applying Theorem 2.3, we obtain a 4-injective coloring of  $G$ . Note that, since  $g(G) \geq 4$ , in this case there is no two adjacent vertices of degree three.

*Case 2.* For each end face  $f$ , its two end vertices are of degree 4.

In this case, consider the induced subgraph  $H$  on 2-vertices of  $f = [v_i v_{i+1} \dots v_j]$ , where  $\deg(v_i) = \deg(v_j) = 4$ . Since  $\deg(v_i) = 4$ ,  $G \setminus H$  has an end face  $f'$  with two ends of degree 4. Hence,  $\Delta(G \setminus H) = 4$  and by the induction hypothesis,  $G \setminus H$  has a 4-injective coloring such that for every adjacent vertices  $v$  and  $u$  of degree three with  $N(v) = \{u, v_1, v_2\}$  and  $N(u) = \{v, u_1, u_2\}$ ,  $\{c(u), c(v_1), c(v_2)\} \neq \{c(v), c(u_1), c(u_2)\}$ . Now by Theorem 2.3, color the vertices of  $H$  with their available colors such that obtained coloring is a 4-injective coloring of  $G$ . Obviously, for every adjacent vertices  $v$  and  $u$  of degree three with  $N(v) = \{u, v_1, v_2\}$  and  $N(u) = \{v, u_1, u_2\}$ ,  $\{c(u), c(v_1), c(v_2)\} \neq \{c(v), c(u_1), c(u_2)\}$ .  $\square$

Now we consider 2-connected outerplanar graphs with  $\Delta = 5$  and  $g \geq 4$ . First, we need to prove the following theorem on the structure of 2-connected outerplanar graphs.

**Theorem 2.6.** *If  $G$  is a 2-connected outerplanar graph, then  $G$  has an end face  $f = [v_i v_{i+1} \dots v_j]$ , where either  $\deg(v_i) < 5$  or  $\deg(v_j) < 5$ .*

*Proof.* First replace every simple path in boundary of each end face of  $G$  with a path of length two and name this graph  $G'$ . Graph  $G'$  is also a 2-connected outerplane graph that each end face of  $G'$  is of degree three (for example see Figure 2.5). If  $G'$  is a cycle, then we are done. Now, let  $\Delta(G') \geq 3$  and  $C : v_1 v_2 \dots v_n$  be a Hamilton cycle of  $G'$  in clockwise order. Also, let  $f = [v_i v_{i+1} v_{i+2}]$  be an end face of  $G'$ . If  $\deg(v_{i+2})$  is at least 5, then we present an algorithm that find an end face of  $G'$  such that the degree of at least one of its end vertices is less than 5. Since by assumption  $\deg(v_{i+2}) \geq 5$ ,  $v_{i+2}$  has at least two other

neighbors except  $v_i, v_{i+1}$  and  $v_{i+3}$ , named  $v_{i'}$  and  $v_{j'}$  such that the number of vertices between  $v_{i+3}$  and  $v_{i'}$  in clockwise order is less than the number of vertices between  $v_{i+3}$  and  $v_{j'}$  in clockwise order.

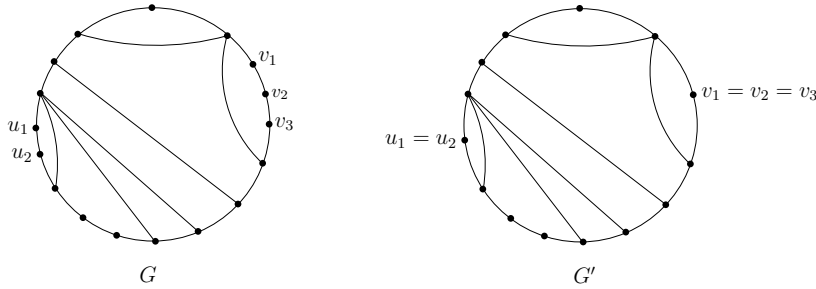


Figure 2.5: Two graphs  $G$  and  $G'$ .

**Algorithm 2.7.** 1.  $k = 0$ .

2.  $f_0 = [v_i v_{i+1} v_{i+2}]$ .

3. If  $f_k = [v_t v_{t+1} v_{t+2}]$  is an end face of  $G'$ , then do steps 4 to 7, respectively.

4. Suppose that  $v_{L_{f_k}} = v_t$  and  $v_{R_{f_k}} = v_{t+2}$ . Let  $v_{i'_k}$  and  $v_{j'_k}$  be another neighbors of  $v_{t+2}$  except  $v_t, v_{t+1}$  and  $v_{t+3}$  such that the number of vertices between  $v_{R_{f_k}}$  and  $v_{i'_k}$  in clockwise order is less than the number of vertices between  $v_{R_{f_k}}$  and  $v_{j'_k}$  in clockwise order.

5. If  $\deg(v_{L_{f_k}}) \leq 4$  or there is no  $v_{i'_k}$  or  $v_{j'_k}$ , then stop the algorithm and give the face  $f_k$  as output of the algorithm.

6.  $k = k + 1$ .

7.  $f_k = [v_{R_{f_{k-1}}} v_{R_{f_{k-1}}} + 1 \dots v_{i'_{k-1}}]$  and go to step three.

8. If  $f_k$  is not an end face of  $G'$ , then there exists an end face  $f$  in  $f_k$ . Do steps 9 and 10, respectively.

9.  $k = k + 1$ .

10.  $f_k = f$  and go to step three.

Note that, the neighbors of all vertices  $v_{R_{f_k}}$  are between  $v_{i+2}$  and  $v_{j'_0}$  in clockwise order; otherwise there is a subdivision of  $K_4$  on  $G'$  and it is a contradiction with the assumption that  $G'$  is an outerplanar graph. Therefore, the algorithm terminates. Moreover, if  $f_k = [v_k v_{k+1} v_{k+2}]$  is the output of the algorithm, then by line 5 of the algorithm, the degree of

$v_{k+2}$  is less than 5. Finally, by returning the contracted paths to  $G'$ ; we have an end face of  $G$  that one of its ends is of degree less than 5.  $\square$

**Theorem 2.8.** *If  $G$  is a 2-connected outerplanar graph with  $\Delta \geq 5$  and  $g \geq 4$ , then  $\chi_i(G) = \Delta$ .*

*Proof.* Since  $\chi_i(G) \geq \Delta(G)$ , it is enough to show that  $\chi_i(G) \leq \Delta(G)$ . We prove it by the induction on  $|V(G)|$ . In Figure 2.6, the 2-connected outerplanar graphs with  $\Delta \geq 5$  and  $g \geq 4$  of order 10 and 11 with a  $\Delta$ -injective coloring are shown.

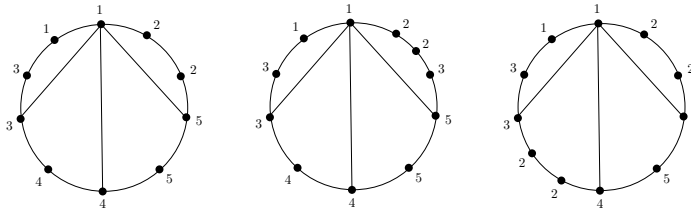


Figure 2.6: 2-connected outerplanar graphs with  $\Delta \geq 5$  and  $g \geq 4$  of order 10 and 11.

Now suppose that  $G$  is a 2-connected outerplane graph with  $\Delta \geq 5$ ,  $g \geq 4$  and the statement is true for all 2-connected outerplanar graphs with  $\Delta \geq 5$  and  $g \geq 4$  of order less than  $|V(G)|$ . By Theorem 2.6,  $G$  has an end face  $f$  of degree at least 4 such that at least one of its end vertices is of degree at most 4. Now consider the induced subgraph  $H$  on 2-vertices of end face  $f$ . If  $\Delta(G \setminus H) \geq 5$ , then by induction hypothesis,  $\chi_i(G \setminus H) = \Delta(G \setminus H) \leq \Delta(G)$ . If  $\Delta(G \setminus H) = 4$ , then by Theorem 2.5,  $G \setminus H$  has a 4-injective coloring. Now consider the end face  $f = [v_i v_{i+1} \dots v_j]$  and suppose that  $\deg(v_i) \leq \Delta$  and  $\deg(v_j) \leq 4$ . Since  $\Delta \geq 5$ , the vertices  $v_{i+1}$  and  $v_{j-1}$  have at least one and two available colors, respectively. The other vertices of  $H$  has at least three available colors. Now consider the graph  $G^{(2)}[H]$  and list of available colors for each vertex of  $H$ . It can be easily seen that  $G^{(2)}[H]$  is union of paths and isolated vertices, which satisfy the assumption of Theorem 2.3. Hence,  $G^{(2)}[H]$  can be colored by at most  $\Delta$  colors and the obtained coloring is a  $\Delta$ -injective coloring of  $H$ .  $\square$

*Remark 2.9.* Applying the same idea and by laboriously proof, the results of Theorems 2.2, 2.5 and 2.8 can be generalized for the outerplanar graphs containing some cut vertices.

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