

Research Article

Estimates of Invariant Metrics on Pseudoconvex Domains of Finite Type in \mathbb{C}^3

Sanghyun Cho¹ and Young Hwan You²

¹ Department of Mathematics, Sogang University, Seoul 121-742, Republic of Korea

² Department of Mathematics, Indiana University East, Richmond, IN 47374, USA

Correspondence should be addressed to Sanghyun Cho; shcho@sogang.ac.kr

Received 30 June 2014; Accepted 6 October 2014; Published 12 November 2014

Academic Editor: Sung G. Kim

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Let Ω be a smoothly bounded pseudoconvex domain in \mathbb{C}^3 and assume that $z_0 \in b\Omega$ is a point of finite 1-type in the sense of D'Angelo. Then, there are an admissible curve $\Gamma \subset \Omega \cup \{z_0\}$, connecting points $q_0 \in \Omega$ and $z_0 \in b\Omega$, and a quantity $M(z, X)$, along $z \in \Gamma$, which bounds from above and below the Bergman, Caratheodory, and Kobayashi metrics in a small constant and large constant sense.

1. Introduction

Let Ω be a smoothly bounded domain in \mathbb{C}^n and let X be a holomorphic tangent vector at a point z in Ω , and let us denote the Bergman, Caratheodory, and Kobayashi metrics at z by $B_\Omega(z; X)$, $C_\Omega(z; X)$, and $K_\Omega(z; X)$, respectively. When Ω is a strongly pseudoconvex domain in \mathbb{C}^n , the optimal boundary behavior of the above metrics is well understood. For weakly pseudoconvex domains of finite type in \mathbb{C}^n , several authors found some results about these metrics. But in each case, the lower bounds are different from the upper bounds [1–5]. In [6], Catlin got optimal estimates in a small constant and large constant sense for pseudoconvex domains of finite type in \mathbb{C}^2 . For pseudoconvex domains of finite type in \mathbb{C}^n , the first author and Herbort extended Catlin's result to the case that the Levi-form at z_0 has corank one [7, 8] or homogeneous finite diagonal type near $z_0 \in b\Omega$ [9, 10].

To estimate the above invariant metrics, we need a complete geometric analysis near $z_0 \in b\Omega$ of finite type, and then we construct a family of plurisubharmonic functions with maximal Hessian near $b\Omega$. However, this construction is really technical and known only for special types of domains mentioned above, but not for arbitrary pseudoconvex domains of finite type in \mathbb{C}^n , even for $n = 3$ case. Meanwhile, it is useful to understand the behavior of a holomorphic

function near $z_0 \in b\Omega$ if we have precise estimates of the invariant metric along some curves.

In the sequel, we let Ω be a smoothly bounded pseudoconvex domain in \mathbb{C}^3 with smooth defining function r and let $z_0 \in b\Omega$. Let $\mathcal{M}(z_0) = (1, m, m_3)$ be Catlin's multitype [11]. Thus, $m = T_{BG}(z_0)$ is the type in the sense of "Bloom-Graham." If $m_3 = \Delta_1(z_0)$, then Ω is an h -extensible domain [12] and Herbort [10] got an estimate in this case. Here, $\Delta_q(z_0)$ denotes finite q -type in the sense of D'Angelo. Thus, we assume that $m \leq m_3 < \Delta_1(z_0)$. Regular finite 1-type at $z_0 \in b\Omega$ is the maximum order of vanishing of $r \circ \gamma$ for all one complex dimensional regular curves γ , $\gamma(0) = z_0$ and $\gamma'(0) \neq 0$. We denote the regular finite 1-type at z_0 by $T_\Omega^{\text{reg}}(z_0)$. Note that $T_\Omega^{\text{reg}}(z_0)$ is a positive integer and $T_\Omega^{\text{reg}}(z_0) \leq \Delta_1(z_0)$.

Assuming that $T_\Omega^{\text{reg}}(z_0) = \eta < \infty$, there exist coordinate functions $z = (z_1, z_2, z_3)$ defined in a neighborhood V of z_0 such that $z_0 = 0$ and $|\partial r / \partial z_3| \geq c_0$ on V for a uniform constant $c_0 > 0$, and $|r(z_1, 0, 0)|$ vanishes to order η , and $(\partial r / \partial z_2)(0) = 0$ (Theorem 2.1 in [13]). With these coordinates at hand, set

$$L_k = \frac{\partial}{\partial z_k} - \left(\frac{\partial r}{\partial z_3} \right)^{-1} \frac{\partial r}{\partial z_k} \frac{\partial}{\partial z_3} := \frac{\partial}{\partial z_k} + e_k(z) \frac{\partial}{\partial z_3}, \quad k = 1, 2, \quad (1)$$

$$L_3 = \frac{\partial}{\partial z_3}.$$

Then, $e_k(0) = 0$, $k = 1, 2$, and $\{L_1, L_2, L_3\}$ form a basis of $\mathbb{C}T^{(1,0)}(V)$ provided V is sufficiently small. For any integer j , $k > 0$, set

$$\mathcal{L}_{j,k} \partial \bar{\partial} r(z) = \underbrace{L_2 \cdots L_2}_{(j-1)\text{ times}} \underbrace{\bar{L}_2 \cdots \bar{L}_2}_{(k-1)\text{ times}} \partial \bar{\partial} r(z) (L_2, \bar{L}_2)(z) \quad (2)$$

and define

$$C_l(z) = \max \left\{ \left| \mathcal{L}_{j,k} \partial \bar{\partial} r(z) \right| : j+k=l \right\}. \quad (3)$$

Let $X = a_1 L_1 + a_2 L_2 + a_3 L_3$ be a holomorphic tangent vector at $z \in \Omega$ and set

$$M(z; X) = |a_1| |r(z)|^{-1/\eta} + |a_2| \sum_{l=2}^m \left(\frac{C_l(z)}{|r(z)|} \right)^{1/l} + |a_3| |r(z)|^{-1}. \quad (4)$$

Let $\Gamma \subset \Omega \cup \{z_0\}$ be the admissible curve defined in (20). Our main result is as follows.

Theorem 1. *Let $\Omega \subset \mathbb{C}^3$ be a smoothly bounded pseudoconvex domain and assume $z_0 \in b\Omega$ is a point of finite 1-type in the sense of D'Angelo; that is, $\Delta_1(z_0) < \infty$. Then, there exist a neighborhood V about z_0 , an admissible curve $\Gamma \subset \Omega \cup \{z_0\}$ connecting $q_0 \in \Omega$ and z_0 , and positive constants c and C such that, for all $X = a_1 L_1 + a_2 L_2 + a_3 L_3$ at $z \in V \cap \Gamma \cap \Omega$,*

$$\begin{aligned} cM(z; X) &\leq B_\Omega(z; X) \leq CM(z; X) \\ cM(z; X) &\leq C_\Omega(z; X) \leq CM(z; X) \\ cM(z; X) &\leq K_\Omega(z; X) \leq CM(z; X). \end{aligned} \quad (5)$$

To prove Theorem 1, we use special coordinates constructed in Section 2 of [13]. Thus, there is a special direction d , $|d| = 1$, so that, for each $\delta > 0$, the two-dimensional slice $D_\delta := \{(z_2, z_3); r(d\delta^{1/\eta}, z_2, z_3) < 0\}$ becomes a pseudoconvex domain of finite type in \mathbb{C}^2 , whose type is less than or equal to $m = T_{BG}(z_0)$. We then apply the method which holds for the domains of finite type in \mathbb{C}^2 as in [6]. To avoid the difficulty to push out the domain in z_1 -direction, we use a bumping theorem of Cho [14].

2. Special Coordinates

Let $\Omega \subset \mathbb{C}^3$ and $z_0 \in b\Omega$ be as in Section 1. We may assume that $z_0 = 0$. In this section, we consider special coordinates defined near $z_0 \in b\Omega$ and then construct “balls” which are of maximal size on which $r(z)$ changes by no more than some prescribed number $\delta > 0$. In the following, we let $\alpha = (\alpha_1, \alpha_2)$ and $\beta = (\beta_1, \beta_2)$ be multi-indices with respect to $z' = (z_1, z_2)$ variables. In Theorem 2.1 of [13], You constructed special coordinates which represent the local geometry of $b\Omega$ near z_0 .

Theorem 2. *Let Ω be a smoothly bounded pseudoconvex domain in \mathbb{C}^3 with smooth defining function r and assume*

$T_\Omega^{reg}(0) = \eta < \infty$, $0 \in b\Omega$. Then, there is a holomorphic coordinate system $z = (z_1, z_2, z_3)$ about 0 such that

$$\begin{aligned} (1) \quad r(z) &= \operatorname{Re} z_3 + \sum_{\substack{|\alpha|+|\beta|=m \\ |\alpha|, |\beta| > 0}}^{\eta} a_{\alpha, \beta} z'^{\alpha} \bar{z}'^{\beta} \\ &\quad + \mathcal{O}(|z_3| |z| + |z'|^{\eta+1}), \end{aligned} \quad (6)$$

$$(2) \quad |r(t, 0, 0)| \leq |t|^\eta,$$

where $z' = (z_1, z_2)$ and where

$$a_{\alpha, \beta} \neq 0 \text{ for some } \alpha, \beta \text{ with } \alpha_1 = \beta_1 = 0, \alpha_2 + \beta_2 = m. \quad (7)$$

Remark 3. (1) The second condition in (6) and the property (7) say that $r(z)$ vanishes to order η along z_1 axis and order m along z_2 axis. These properties are crucial for the construction of maximal polydiscs $Q_{\delta\delta}(z^\delta)$ contained in Ω .

(2) There are much more terms (mixed with z_1 and z_2 and their conjugates) in the summation part of (6) compared to the h -extensible domain cases.

According to Proposition 2.6 and Remark 2.7 of [13], there are pairs of integers (p_ν, q_ν) , $\nu = 1, \dots, N$, such that the terms satisfying $\alpha_1 + \beta_1 = p_\nu$ and $\alpha_2 + \beta_2 = q_\nu$ with $\alpha_2 > 0$ and $\beta_2 > 0$ are dominant terms in the summation part of (6). Also, there is a small constant $a_0 > 0$ and a fixed direction d , $|d| = 1$, in z_1 direction, such that, for each fixed $\delta > 0$ and for all z_1 satisfying $|z_1 - d\delta^{1/\eta}| < a_0\delta^{1/\eta}$, those major terms in the summation part of (6) satisfy

$$\left| \frac{\partial^{q_\nu}}{\partial z_2^{\alpha_2} \partial \bar{z}_2^{\beta_2}} r(z_1, 0, 0) \right| \approx |z_1|^{p_\nu} \approx \delta^{p_\nu/\eta}, \quad (8)$$

where $\alpha_2 + \beta_2 = q_\nu$ and where $\alpha_2 > 0$ and $\beta_2 > 0$.

Now, let us fix z_1 with $|z_1 - d\delta^{1/\eta}| < a_0\delta^{1/\eta}$ and consider the two-dimensional slice $D_{z_1} := \{(z_2, z_3) : r(z_1, z_2, z_3) < 0\}$. For each $z = (z_1, 0, z_3)$ near $b\Omega$, set $\pi(z) = (z_1, 0, e_\delta) := \bar{z}_1 \in b\Omega$, where $\pi(z)$ is the projection of z onto $b\Omega$ along z_3 direction. On D_{z_1} , following the argument in two-dimensional case as in the proof of Proposition 1.1 in [6], we construct special coordinates $\zeta = (\zeta_1, \zeta_2, \zeta_3) = (z_1, z_2, \zeta_3)$ about \bar{z}_1 so that, in terms of new coordinates, there are no pure terms in z_2 variable in the expression of $r(z)$ in (6).

Proposition 4. *For each fixed $\bar{z}_1 = (z_1, 0, e_\delta) \in V \cap b\Omega$, there exists a holomorphic coordinate system $z = \Phi_{\bar{z}_1}(\zeta) = (z_1, z_2, \Phi_3(\zeta))$, $\zeta = (\zeta_1, \zeta_2, \zeta_3) = (z_1, z_2, \zeta_3)$, where $\Phi_3(\zeta)$ is defined by*

$$\begin{aligned} \Phi_3(\zeta) &= e_\delta + \left(\frac{\partial r}{\partial z_3}(\bar{z}_1) \right)^{-1} \\ &\quad \times \left(\frac{\zeta_3}{2} - \sum_{l=2}^m c_l(\bar{z}_1) \zeta_2^l - \frac{\partial r}{\partial z_2}(\bar{z}_1) \zeta_2 \right) \\ &:= e_\delta + d_0(\bar{z}_1) \zeta_3 + \sum_{l=1}^m d_l(\bar{z}_1) \zeta_2^l, \end{aligned} \quad (9)$$

and the function ρ , given by $\rho(z_1, \zeta'') := r \circ \Phi_{\tilde{z}_1}(z_1, \zeta'')$, $\zeta'' = (\zeta_2, \zeta_3)$, satisfies

$$\rho(z_1, \zeta'') = \operatorname{Re}(\Phi_3(\zeta)) + \sum_{\substack{j+k=2 \\ j,k>0}}^m a_{j,k}(\tilde{z}_1) \zeta_2^j \bar{\zeta}_2^k + E(\zeta), \quad (10)$$

where

$$E(\zeta) = \mathcal{O}\left(|\Phi_3(\zeta)| |\zeta| + \sum_{v=1}^N |z_1|^{1+p_v} |\zeta_2|^{q_v} + |\zeta_2|^{m+1}\right). \quad (11)$$

In view of (6) and (8), the major terms in (10) are $a_{j,k}(\tilde{z}_1) \zeta_2^j \bar{\zeta}_2^k$ where $j+k = \alpha_2 + \beta_2 = q_v$ for some α_2 and β_2 with $\alpha_2 > 0$ and $\beta_2 > 0$. Also, from (8), it follows that

$$|a_{j,k}(\tilde{z}_1) \zeta_2^j \bar{\zeta}_2^k| \approx |z_1|^{p_v} |z_2|^{q_v}, \quad (12)$$

and these terms control the error terms $|z_1|^{1+p_v} |\zeta_2|^{q_v}$ in $E(\zeta)$. As in Section 1 in [6], set

$$A_l(\tilde{z}_1) = \max \left\{ |a_{j,k}(\tilde{z}_1)| : j+k=l \right\}, \quad l=2, \dots, m, \quad (13)$$

and for each sufficiently small $\delta > 0$, we set

$$\tau(\tilde{z}_1, \delta) = \min \left\{ \left(\frac{\delta}{A_l(\tilde{z}_1)} \right)^{1/l} ; 2 \leq l \leq m \right\}. \quad (14)$$

Thus, for all z_1 with $|z_1 - d\delta^{1/\eta}| < a_0\delta^{1/\eta}$, it follows from (8) and (14) that

$$\tau(\tilde{z}_1, \delta) \leq \left(\frac{\delta}{|z_1|^{p_v}} \right)^{1/q_v}, \quad v=1, \dots, N, \quad (15)$$

and hence the summation part of (10) is dominated by $C\delta$.

For each $\tilde{z} = (z_1, 0, z_3)$ near $b\Omega$, set $\tilde{\zeta} = \Phi_{\tilde{z}_1}^{-1}(\tilde{z}) = (z_1, 0, \tilde{\zeta}_3)$, where $\Phi_{\tilde{z}_1}$ is the function defined in Proposition 4. For each small $\varepsilon > 0$, set

$$R_{e\delta}(\tilde{\zeta}) = \left\{ \zeta : |\zeta_1 - z_1| < e\delta^{1/\eta}, |\zeta_2| < e\tau(\tilde{z}_1, \delta), \right. \\ \left. |\zeta_3 - \tilde{\zeta}_3| < e\delta \right\}, \quad (16)$$

$$Q_{e\delta}(\tilde{z}) = \{z : z = \Phi_{\tilde{z}_1}(\zeta), \zeta \in R_{e\delta}(\tilde{\zeta})\}.$$

For each $\sigma > 0$, let $\Omega_\sigma = \{z : r(z) < \sigma\}$ and define

$$S(\sigma) = \{z \in V : -\sigma < r(z) \leq \sigma\} \\ S^-(\sigma) = \{z \in V : -\sigma < r(z) \leq 0\}, \quad (17)$$

and set $\tilde{z}^\delta = (d\delta^{1/\eta}, 0, e_\delta) \in b\Omega$, where z_1 is replaced by $d\delta^{1/\eta}$ in $\tilde{z}_1 = (z_1, 0, e_\delta)$. The following theorem is about the existence of plurisubharmonic function with maximal Hessian. In [6], for the domains in \mathbb{C}^2 , Catlin constructed the functions with maximal Hessian on the strip $S(\delta) \cap V$. However, for regular finite type pseudoconvex domains in \mathbb{C}^3 , we show that the functions have maximal Hessian on each ball $Q_{b\delta}(\tilde{z}^\delta)$ and this will suffice to prove the boundary behavior of the invariant metrics. The proof of the following theorem can be found in Theorem 3.2 in [9].

Theorem 5. *There is a small constant $b > 0$ such that, for each small $\delta > 0$, there is a plurisubharmonic function $g_\delta \in C_0^\infty(Q_{2b\delta}(\tilde{z}^\delta))$ with the following properties:*

- (i) $|g_\delta(\zeta)| \leq 1$, $z \in \Omega_\delta$,
- (ii) for all $L = b_1 L_1 + b_2 L_2 + b_3 L_3$ at z , where $z \in Q_{b\delta}(\tilde{z}^\delta) \cap S(b\delta)$,

$$\partial\bar{\partial}g_\delta(L, \bar{L})(z) \geq \delta^{-2/\eta} |b_1|^2 + \tau(\tilde{z}^\delta, \delta)^{-2} |b_2|^2 + \delta^{-2} |b_3|^2, \quad (18)$$

- (iii) if $\Phi(\zeta) = (\zeta_1, \zeta_2, \Phi_3(\zeta))$, where Φ_3 is defined in (10) for \tilde{z}^δ , then

$$|\bar{D}^\alpha g_\delta \circ \Phi(\zeta)| \leq C_\alpha \delta^{-\alpha_1/\eta} \tau(\tilde{z}^\delta, \delta)^{-\alpha_2} \delta^{-\alpha_3} \quad (19)$$

holds for all $\zeta \in R_{2b\delta}(\tilde{z}^\delta)$, where $\bar{D}^\alpha = \bar{D}_1^{\alpha_1} \bar{D}_2^{\alpha_2} \bar{D}_3^{\alpha_3}$.

Let $\Gamma \subset \Omega$ be a curve defined by

$$\Gamma := \left\{ z^\delta : z^\delta = \left(d\delta^{1/\eta}, 0, e_\delta - \frac{b\delta}{2} \right), 0 \leq \delta \leq \delta_0 \right\}, \quad (20)$$

for sufficiently small $\delta_0 > 0$ and $b > 0$. In the sequel, for each $z^\delta = (d\delta^{1/\eta}, 0, e_\delta - b\delta/2) \in \Gamma$, set $\zeta^\delta := \Phi_{\tilde{z}^\delta}^{-1}(z^\delta)$ and set $\tilde{\Omega} = \Phi_{\tilde{z}^\delta}^{-1}(\Omega)$. In view of Proposition 3.4 in [9], there is a uniform small constant $c > 0$ such that $R_{c\delta}(\zeta^\delta) \subset\subset R_{b\delta}(\tilde{z}^\delta) \cap \tilde{\Omega}$, and hence

$$Q_{c\delta}(z^\delta) = \{z : z = \Phi_{\tilde{z}^\delta}(\zeta), \zeta \in R_{c\delta}(\zeta^\delta)\} \subset\subset Q_{b\delta}(\tilde{z}^\delta) \cap \Omega, \quad (21)$$

provided $c > 0$ and $\delta_0 > 0$ are sufficiently small. In particular, we have $\Gamma \subset \Omega \cup \{z_0\}$. Note that $\tau(z^\delta, \delta) \approx \tau(\tilde{z}^\delta, \delta)$, and for $z \in Q_{c\delta}(z^\delta) \subset \Omega$, we note that $|r(z)| \approx \delta$. Thus, as in Proposition 1.3 and Corollary 1.4 in [6], we obtain that

$$\tau(z^\delta, \delta)^{-1} \approx \sum_{k=2}^m \left(\frac{C_k(z)}{|r(z)|} \right)^{1/k}, \quad z \in Q_{c\delta}(z^\delta), \quad (22)$$

where $C_k(z)$ is defined in (3). In the sequel, we set $\tau_1 = \delta^{1/\eta}$, $\tau_2 = \tau(\tilde{z}^\delta, \delta)$, and $\tau_3 = \delta$. If we use the plurisubharmonic weight functions constructed in Theorem 5 and follow the method to prove Theorem 6.1 in [6], we get the following estimates of the Bergman kernel along Γ .

Theorem 6. *Let $z_0 \in b\Omega$ be a point of regular finite 1-type and $T_\Omega^{\text{reg}}(z_0) = \eta$. Then, $K_\Omega(z^\delta, z^\delta)$, the Bergman kernel function of Ω at $z^\delta \in \Gamma$, $\delta > 0$, satisfies*

$$K_\Omega(z^\delta, z^\delta) \approx \delta^{-2} \tau_1^{-2} \tau_2^{-2}. \quad (23)$$

3. Metric Estimates

In this section, we estimate the behavior of the invariant metric along Γ . In [15], Hahn got the following inequalities:

$$C_\Omega(z; X) \leq B_\Omega(z; X), \quad C_\Omega(z; X) \leq K_\Omega(z; X). \quad (24)$$

Therefore, the estimates for the lower bounds of $C_\Omega(z; X)$ will suffice for the lower bounds of $B_\Omega(z; X)$ and $K_\Omega(z; X)$. First, we recall the following bumping theorem [14].

Theorem 7 (Theorem 2.3 in [14]). *Let z_0 be a point of finite 1-type in the boundary of a pseudoconvex domain $\Omega \subset \mathbb{C}^n$, defined by $\Omega = \{z : r(z) < 0\}$. Then, there exist $V \ni z_0$ and a smooth 1-parameter family of pseudoconvex domains Ω_t , $0 \leq t < t_0$, each defined by $\Omega_t = \{z : r(z, t) < 0\}$, where $r(z, t)$ has the following properties:*

- (1) $r(z, t)$ is smooth in z for z near $b\Omega$ and in t for $0 \leq t < t_0$;
- (2) $r(z, t) = r(z)$, for $z \notin V$;
- (3) $(\partial r / \partial t)(z, t) \leq 0$;
- (4) $r(z, 0) = r(z)$;
- (5) for z in V , $\partial r / \partial t < 0$.

Proof of Theorem 1. In the sequel, let us fix $\delta > 0$ and, for each $z^\delta \in \Gamma$, set $\pi(z^\delta) = \tilde{z}^\delta = (d\delta^{1/\eta}, 0, e_\delta) \in b\Omega$ and consider the special coordinates $\zeta = (z_1, z_2, \zeta_3)$ and $\Phi_{\tilde{z}^\delta}(\zeta) = (z_1, z_2, \Phi_3(\zeta)) = z$, where Φ_3 is defined in Proposition 4. From (9), we see that $\zeta^\delta = (d\delta^{1/\eta}, 0, -b\delta/2d_0(\tilde{z}^\delta)) := (\tilde{\zeta}_1, \tilde{\zeta}_2, \tilde{\zeta}_3)$. We will estimate the metrics at ζ^δ . For all small $\delta > 0$ and for each $\zeta'' = (\zeta_2, \zeta_3)$, define

$$J_\delta(\zeta'') = \left(\delta^2 + |\zeta_3|^2 + \sum_{k=2}^m (A_k(\tilde{z}^\delta))^2 |\zeta_2|^{2k} \right)^{1/2}, \quad (25)$$

where $A_k(\tilde{z}^\delta)$ is defined in (13) with \tilde{z}_1 replaced by \tilde{z}^δ . Let $c > 0$ be the fixed constant determined in (21). Note that $\Phi_{\tilde{z}^\delta}(d\delta^{1/\eta}, 0, 0) = \tilde{z}^\delta$. Set

$$\begin{aligned} \bar{\Omega}_{a,\delta} &= \{\zeta; |\zeta_1 - d\delta^{1/\eta}| < c\delta^{1/\eta}, |\zeta_2| < a, |\zeta_3| < a, \\ &\quad \rho(\zeta_1, \zeta_2, \zeta_3) < 0\}, \end{aligned} \quad (26)$$

and, for each $\epsilon > 0$, define

$$\begin{aligned} \bar{\Omega}_{a,\delta}^\epsilon &= \{\zeta; |\zeta_1 - d\delta^{1/\eta}| < c\delta^{1/\eta}, |\zeta_2| < a, |\zeta_3| < a, \\ &\quad \rho(d\delta^{1/\eta}, \zeta'') < \epsilon J_\delta(\zeta'')\}, \end{aligned} \quad (27)$$

and for all small $\epsilon > 0$ set $B_\epsilon = R_{e\delta}(\zeta^\delta)$. By (21), we see that $\zeta^\delta \in B_\epsilon \subset \bar{\Omega}$ for all $\epsilon \leq c$. Note that the domains $\bar{\Omega}_{a,\delta}^\epsilon$ are pushed out only in ζ_2 and ζ_3 directions but not in ζ_1 direction. To avoid the difficulty to push out $\bar{\Omega}$ in ζ_1 direction, we use a bumping family of Theorem 7. Consider a bumping family of pseudoconvex domains $\{\Omega_t\}_{0 \leq t \leq t_0}$ with front V and set $D = \Omega_{t_0}$. For each $r > 0$, let $U_r(z)$ be a ball of radius $r > 0$ with center at z and set $\tilde{U}_r(\zeta) = \Phi_{\tilde{z}^\delta}^{-1}(U_r(\Phi_{\tilde{z}^\delta}(\zeta)))$. Then, there is $r_0 > 0$ such that

$$Q_{c\delta}(z^\delta) \subset \Omega_{a,\delta}^\epsilon = \Phi_{\tilde{z}^\delta}(\bar{\Omega}_{a,\delta}^\epsilon) \subset U_{r_0/4}(0) \subset U_{r_0}(0) \subset D, \quad (28)$$

for all sufficiently small $a > 0$, $\epsilon > 0$, and $\delta > 0$.

In view of the proof in Section 3 of [13], we have $\bar{\Omega}_{a,\delta} \subset \bar{\Omega}_{a,\delta}^{\epsilon/2} \subset \bar{\Omega}_{a,\delta}^\epsilon$ and there is a uniformly (independent of $\delta > 0$) bounded function $\tilde{f} = \tilde{f}(\zeta_2, \zeta_3)$ which is holomorphic on $\bar{\Omega}_{a,\delta}^\epsilon$ and satisfies

$$|Y'' \tilde{f}(\zeta^\delta)| \geq |b_2| \tau_2^{-1} + |b_3| \tau_3^{-1}, \quad (29)$$

where $Y'' = b_2(\partial/\partial\zeta_2) + b_3(\partial/\partial\zeta_3)$. Here, we may assume that $\tilde{f}(0, -b\delta/d_0(\tilde{z}^\delta)) = 0$. In the sequel, we let Y be a vector field given by $Y = b_1(\partial/\partial\zeta_1) + b_2(\partial/\partial\zeta_2) + b_3(\partial/\partial\zeta_3)$. If $|b_1|\tau_1^{-1} \geq |b_2|\tau_2^{-1} + |b_3|\tau_3^{-1}$, then set $v_\delta = \tau_1^{-1}(\zeta_1 - d\delta^{1/\eta})$. Otherwise, set $v_\delta = \tilde{f}(\zeta_2, \zeta_3)$. From (29), we note that

$$|Y v_\delta(\zeta^\delta)| \geq \sum_{i=1}^3 |b_i| \tau_i^{-1}. \quad (30)$$

Let $\psi \in C_0^\infty(U)$, where U is the unit polydisc in \mathbb{C}^3 , such that $\psi(z) = 1$ if $|z_i| \leq 1/2$, $i = 1, 2, 3$, and set

$$\psi_d(\zeta) = \psi\left(\frac{\zeta_1 - \tilde{\zeta}_1}{d\tau_1}, \frac{\zeta_2}{d\tau_2}, \frac{\zeta_3 - \tilde{\zeta}_3}{d\tau_3}\right), \quad (31)$$

and set $\beta_\delta = v_\delta \psi_d$. Then, $\beta_\delta(\zeta^\delta) = 0$. Since \tilde{f} is bounded independent of δ (and hence independent of ζ^δ), there exists a constant $C > 0$, independent of δ , such that $|\beta_\delta| \leq C$. We want to correct β_δ so that the corrected function f_δ becomes a uniformly bounded holomorphic function on $\bar{\Omega}$ satisfying the estimate (30) with β_δ replaced by f_δ . With bumped domain $D = \Omega_{t_0}$ at hand, set $\bar{D} = \Phi_{\tilde{z}^\delta}^{-1}(D)$. On \bar{D} , instead of $\bar{\Omega}$, we will employ weighted estimates of $\bar{\partial}$ that is essentially a replication of the proof of Theorem 6.1 in [6].

Let g_δ be the weight function defined in Theorem 5 and set $\tilde{g}_\delta = \Phi_{\tilde{z}^\delta}^* g_\delta$. By replacing \tilde{g}_δ by $\tilde{g}_\delta + |\zeta|^2 := \phi$, we can obviously assume that ϕ is strictly plurisubharmonic on \bar{D} and $\phi(\zeta^\delta) = 0$. In view of Theorem 5, we also have

$$\begin{aligned} \partial\bar{\partial}\phi(Y, \bar{Y})(\zeta) &\geq \tau_1^{-2} |b_1|^2 + \tau_2^{-2} |b_2|^2 + \tau_3^{-2} |b_3|^2, \\ &\quad \zeta \in R_{c\delta}(\zeta^\delta). \end{aligned} \quad (32)$$

From property (iii) in Theorem 5, there is a small constant a , $0 < a \leq c$ (independent of τ_i , $i = 1, 2, 3$), so that

$$\phi(\zeta) \geq 2 \operatorname{Re} h(\zeta) + a \sum_{i=1}^3 \tau_i^{-2} |\zeta_i - \tilde{\zeta}_i|^2, \quad \zeta \in R_{c\delta}(\zeta^\delta), \quad (33)$$

where

$$\begin{aligned} h(\zeta) &= \sum_{i=1}^3 \frac{\partial\phi}{\partial\zeta_i}(\zeta^\delta) (\zeta_i - \tilde{\zeta}_i) \\ &\quad + \frac{1}{2} \sum_{i,j=1}^3 \frac{\partial^2\phi}{\partial\zeta_i\partial\zeta_j}(\zeta^\delta) (\zeta_i - \tilde{\zeta}_i) (\zeta_j - \tilde{\zeta}_j). \end{aligned} \quad (34)$$

If we set $\tilde{a} = a^3/3$, it follows, from (33), that

$$\operatorname{Re} h(\zeta) \leq -\tilde{a}, \quad \zeta \in \{\zeta; \phi(z) \leq \tilde{a}\} \cap \operatorname{supp} \bar{\partial}\psi_d. \quad (35)$$

In the sequel, we set $B_e = R_{e\delta}(\zeta^\delta)$ for each small $e > 0$. For each $s \geq 0$, set

$$\alpha_s = \bar{\partial}(\beta_\delta e^{sh}) = \nu_\delta e^{sh} \bar{\partial}\psi_d(\zeta) := \sum_{i=1}^3 \alpha_{s,i} d\bar{\zeta}_i. \quad (36)$$

Then, α_s is a $\bar{\partial}$ -closed smooth $(0,1)$ -form with $\text{supp } \alpha_s \subset R_{c\delta}(\zeta^\delta) = B_c$. Let χ be a smooth convex increasing function that satisfies $\chi(t) = 0$ for $t \leq \bar{a}/2$ and $\chi''(t) > 0$ for $t > \bar{a}/2$. Now, define

$$\lambda_s(\zeta) = \phi(\zeta) + s^2 \chi(\phi(\zeta)). \quad (37)$$

According to the weighted estimates of $\bar{\partial}$ -equation on \bar{D} (instead of $\bar{\Omega}$) and by using estimate (32) for each $s \geq 0$, there is u_s which satisfies $\bar{\partial}u_s = \alpha_s$, and

$$\|u_s\|_{\lambda_s} \leq \int_{\bar{D}-B_c} |\alpha_s|^2 e^{-\lambda_s} + \int_{B_c} \sum_{i=1}^3 \tau_i^2 |\alpha_{s,i}|^2 e^{-\lambda_s} dV. \quad (38)$$

Since $|\alpha_{s,i}| \leq e^{s \text{Re } h} \tau_i^{-1}$ and $\text{supp } \alpha_s \subset B_c$, it follows from (38) that

$$\begin{aligned} \int_{\bar{D}} |u_s|^2 e^{-\lambda_s} dV &\leq \int_{B_c} \sum_{i=1}^3 \tau_i^2 |\alpha_{s,i}|^2 e^{-\lambda_s} dV \\ &\leq \int_{\text{supp } \bar{\partial}\psi_d} e^{2s \text{Re } h - \phi - s^2 \chi(\phi)} dV. \end{aligned} \quad (39)$$

We consider the integrand of the last integral. If $\phi(z) \geq \bar{a}$, then $\chi(\phi(z)) \geq \chi(\bar{a}) > 0$, so the s^2 -term in the exponent predominates. On the other hand, if $z \in \text{supp } \bar{\partial}\psi_d$ and $\phi(z) \leq \bar{a}$, then (35) shows that the integrand tends to zero. Thus, for any $\epsilon_0 > 0$, there exist $s_0 > 0$ and a function u_{s_0} so that $\bar{\partial}u_{s_0} = \alpha_{s_0}$ and

$$\int_{\bar{D}} |u_{s_0}|^2 e^{-\lambda_{s_0}} dV \leq \int_{\text{supp } \bar{\partial}\psi_d} \epsilon_0 dV \leq \epsilon_0 \prod_{i=1}^3 \tau_i^2. \quad (40)$$

Since $\phi(\zeta^\delta) = 0$, it follows, from the property (iii) of Theorem 5, that there is $e > 0$, independent of ζ^δ , such that $\psi_d(z) = 1$ and $\phi(z) < \bar{a}/2$ for all $z \in B_e$. Note that λ_s is independent of s for $z \in B_e$, and u_{s_0} is holomorphic in B_e . By mean value theorem, we have

$$\left| \frac{\partial u_{s_0}}{\partial \zeta_k}(\zeta^\delta) \right|^2 \leq \tau_k^{-2} \prod_{i=1}^3 \tau_i^{-2} \int_{B_e} |u_{s_0}|^2 e^{-\lambda_{s_0}} dV \leq \epsilon_0 \tau_k^{-2}, \quad (41)$$

$$k = 1, 2, 3,$$

and hence it follows that

$$|Yu_{s_0}(\zeta^\delta)| \leq \sqrt{\epsilon_0} \max\{|b_k| \tau_k^{-1}\}. \quad (42)$$

Now, set $f_\delta = \beta_\delta e^{s_0 h} - u_{s_0}$. Then, f_δ is holomorphic on $\bar{D} = \Phi_{\bar{\zeta}^\delta}^{-1}(D)$. Since $\beta_\delta(\zeta^\delta) = h(\zeta^\delta) = 0$, it follows, from (30) and (42), that f_δ satisfies

$$|Yf_\delta(\zeta^\delta)| \geq \sum_{i=1}^3 |b_i| \tau_i^{-1}, \quad (43)$$

provided ϵ_0 is sufficiently small.

We want to show that $\sup_{\bar{\Omega}} |f_\delta| \leq C$, where $C > 0$ is independent of δ . Recall that $s_0 > 0$ is fixed. Thus, from the property (iii) of Theorem 5, there is a uniform constant $C_0 > 0$ such that $|\beta_\delta e^{s_0 h}| \leq C_0$. Let $r_0 > 0$ be the constant satisfying (28) and assume that $\zeta \in \bar{U}_{r_0/2}(0) = \Phi_{\bar{\zeta}^\delta}^{-1}(U_{r_0/2}(0))$. Since f_δ is holomorphic on \bar{D} , it follows, by (40) and mean value theorem, that there exists a constant $C_1 > 0$, independent of $\delta > 0$, such that

$$|f_\delta(\zeta)|^2 \leq r_0^{-6} \int_{\bar{U}_{r_0/2}(\zeta)} |f_\delta|^2 dV \leq C_1. \quad (44)$$

We need to show the boundedness of f_δ outside $\bar{U}_{r_0/2}(0)$. Let χ_1 and χ_2 be smooth cutoff functions with

$$\begin{aligned} \text{(i)} \quad \chi_1(z) &= 1 \quad \text{if } |z| \geq \frac{r_0}{2}, \quad \chi_2(z) = 1 \quad \text{if } z \in \text{supp } \chi_1 \\ \text{(ii)} \quad \chi_2(z) &= 0 \quad \text{if } |z| \leq \frac{r_0}{4}, \end{aligned} \quad (45)$$

and set $\tilde{\chi}_i = \Phi_{\bar{\zeta}^\delta}^*(\chi_i)$, $i = 1, 2$. By Kohn's theorem on global regularity for the $\bar{\partial}$ -equation, the following estimate for the solution of $\bar{\partial}u = \alpha$,

$$\|\tilde{\chi}_1 u_{s_0}\|_4^2 \leq \|\tilde{\chi}_2 \alpha_{s_0}\|_4^2 + \|u_{s_0}\|^2, \quad (46)$$

holds on D provided $s_0 > 0$ is sufficiently large. Note that $\tilde{\chi}_2 \alpha_{s_0} = 0$ because $\text{supp } \alpha_{s_0} \subset R_{c\delta}(\zeta^\delta) \subset \bar{U}_{r_0/4}(0)$ for all sufficiently small $\delta > 0$. Thus, we conclude from (40), (46), and the Sobolev lemma that

$$\sup_{\bar{D}} |\tilde{\chi}_1 u_{s_0}| \leq \|\tilde{\chi}_1 u_{s_0}\|_4^2 \leq \|u_{s_0}\|^2 \leq C_2, \quad (47)$$

where C_2 is independent of δ .

Combining (44) and (47) and by the fact that $|\beta_\delta e^{s_0 h}| \leq C_0$, we conclude that

$$\sup_{\bar{D}} |f_\delta| \leq C, \quad (48)$$

where C is independent of ζ^δ and δ . Therefore, it follows from (43) and (48) that

$$C_{\bar{\Omega}}(\zeta^\delta; Y) \geq C_{\bar{D}}(\zeta^\delta; Y) \geq \sum_{i=1}^3 |b_i| \tau_i^{-1}. \quad (49)$$

On the other hand, the polydisc $B_c = R_{c\delta}(\zeta^\delta)$ about ζ^δ lies in $\bar{\Omega}$. So one obtains that

$$C_{\bar{\Omega}}(\zeta^\delta; Y) \leq C_{B_c}(\zeta^\delta; Y) = \max\{|b_k| (c\tau_k)^{-1} : k = 1, 2, 3\}. \quad (50)$$

Thus, one concludes from (49) and (50) that

$$C_{\bar{\Omega}}(\zeta^\delta; Y) \approx \sum_{i=1}^3 |b_i| \tau_i^{-1}. \quad (51)$$

Set $L'_k = (d\Phi_{\bar{\zeta}^\delta}^{-1})L_k$, $k = 1, 2, 3$, where L_k 's are defined in (1) in terms of z -coordinates defined in Theorem 1.

At $\zeta^\delta = (d\delta^{1/\eta}, 0, -b\delta/d_0(\bar{z}^\delta))$, from the holomorphic coordinate change of $\Phi_{\bar{z}^\delta}$ in Proposition 4, we see that

$$\begin{aligned} L'_1 &= \frac{\partial}{\partial \zeta_1} + e_1(z^\delta) d_0(\bar{z}^\delta) \frac{\partial}{\partial \zeta_3} := \frac{\partial}{\partial \zeta_1} + \tilde{e}_1(z^\delta) \frac{\partial}{\partial \zeta_3}, \\ L'_2 &= \frac{\partial}{\partial \zeta_2} + [d_1(\bar{z}^\delta) + e_2(z^\delta)] \frac{\partial}{\partial \zeta_3} \\ &:= \frac{\partial}{\partial \zeta_2} + \tilde{e}_1(z^\delta) \frac{\partial}{\partial \zeta_3}, \end{aligned} \quad (52)$$

and that

$$L'_3 = d_0(\bar{z}^\delta) \frac{\partial}{\partial \zeta_3},$$

where $d_0(\bar{z}^\delta) = (1/2)((\partial r/\partial z_3)(\bar{z}^\delta))^{-1}$ and $d_1(\bar{z}^\delta) = -((\partial r/\partial z_3)(\bar{z}^\delta))^{-1}(\partial r/\partial z_2)(\bar{z}^\delta)$ and where $e_i = -(\partial r/\partial z_3)^{-1}(\partial r/\partial z_i)$, $i = 1, 2$. Since $(\partial r/\partial z_i)(0) = 0$, $i = 1, 2$, and $|\partial r/\partial z_3| \approx 1$, independent of δ , it follows that $|\tilde{e}_i| \leq \delta$, $i = 1, 2$. Thus, if the vector $Y = \sum_{i=1}^3 b_i(\partial/\partial \zeta_i)$ is written as $Y = \sum_{i=1}^3 a_i L'_i$, then it follows that

$$\max(|b_i| \tau_i^{-1}) \approx \sum_{i=1}^3 |a_i| \tau_i^{-1}. \quad (53)$$

Let us write $X = \sum_{i=1}^3 a_i L_i$, and $Y = (\Phi_{\bar{z}^\delta}^{-1})_* X = \sum_{i=1}^3 a_i L'_i = \sum_{i=1}^3 b_i(\partial/\partial \zeta_i)$. From (51), (53), and the invariance property of the metric, it follows that

$$C_\Omega(z^\delta; X) = C_{\bar{\Omega}}(\zeta^\delta; Y) \approx \sum_{i=1}^3 |a_i| \tau_i^{-1}. \quad (54)$$

To obtain an upper bound for the Bergman metric, we note that $R_{c\delta}(\zeta^\delta) \subset \bar{\Omega}$. Thus, by elementary estimates, for any $f \in A^2(\bar{\Omega}) := L^2(\bar{\Omega}) \cap A(\bar{\Omega})$, we obtain that

$$\left| \frac{\partial f}{\partial \zeta_k}(\zeta^\delta) \right|^2 \leq \tau_k^{-2} \prod_{j=1}^3 \tau_j^{-2} \|f\|_{L^2(\bar{\Omega})}^2, \quad (55)$$

for $k = 1, 2, 3$. Therefore, it follows that

$$b_{\bar{\Omega}}(\zeta^\delta; Y) \leq \left(\sum_{k=1}^3 |b_k| \tau_k^{-1} \right) \prod_{j=1}^3 \tau_j^{-1}, \quad (56)$$

where

$$\begin{aligned} b_{\bar{\Omega}}(\zeta^\delta; Y) &= \sup \{ |Yf(\zeta^\delta)| : f \in A^2(\bar{\Omega}), f(z) = 0, \|f\|_{L^2(\bar{\Omega})} \leq 1 \}. \end{aligned} \quad (57)$$

Combining (23) and (56), one concludes that

$$B_{\bar{\Omega}}(\zeta^\delta; Y) = \frac{b_{\bar{\Omega}}(\zeta^\delta; Y)}{K_{\bar{\Omega}}(\zeta^\delta, \zeta^\delta)^{1/2}} \leq \sum_{k=1}^3 |b_k| \tau_k^{-1}. \quad (58)$$

To estimate the upper bound of the Kobayashi metric, set

$$R = \min \{ c\tau_k |b_k|^{-1} : k = 1, 2, 3 \}. \quad (59)$$

Then,

$$f(t) = \left(b_1 t, b_2 t, -\frac{b\delta}{2} + b_3 t \right) \quad (60)$$

defines a map $f : D_R \subset \mathbb{C} \rightarrow B_c = R_{c\delta}(\zeta^\delta) \subset \bar{\Omega}$ with $f_*((\partial/\partial t)|_0) = Y = \sum_{k=1}^3 b_k(\partial/\partial \zeta_k)$. Hence,

$$\begin{aligned} K_{\bar{\Omega}}(\zeta^\delta; Y) &\leq K_{B_c}(\zeta^\delta; Y) \leq R^{-1} \\ &\leq \max \{ |b_k| (c\tau_k)^{-1} : k = 1, 2, 3 \} \\ &\leq \sum_{k=1}^3 |b_k| \tau_k^{-1}. \end{aligned} \quad (61)$$

Combining (51), (58), and (61), we obtain that

$$C_{\bar{\Omega}}(\zeta^\delta; Y) \approx B_{\bar{\Omega}}(\zeta^\delta; Y) \approx K_{\bar{\Omega}}(\zeta^\delta; Y) \approx \sum_{i=1}^3 |b_i| \tau_i^{-1}, \quad (62)$$

and hence the invariance property implies that

$$C_\Omega(z^\delta; X) \approx B_\Omega(z^\delta; X) \approx K_\Omega(z^\delta; X) \approx \sum_{i=1}^3 |a_i| \tau_i^{-1}. \quad (63)$$

If we combine (3), (4), (22), and (63), a proof of Theorem 1 is completed. \square

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

Acknowledgment

This study was partially supported by Sogang University Research Grant of 2012.

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