

Research Article

Dirichlet Problems with an Indefinite and Unbounded Potential and Concave-Convex Nonlinearities

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We consider a parametric semilinear Dirichlet problem with an unbounded and indefinite potential. In the reaction we have the competing effects of a sublinear (concave) term and of a superlinear (convex) term. Using variational methods coupled with suitable truncation techniques, we prove two multiplicity theorems for small values of the parameter. Both theorems produce five nontrivial smooth solutions, and in the second theorem we provide precise sign information for all the solutions.

1. Introduction

Let $\Omega \in \mathbb{R}^N$ be a bounded domain with a C^2 -boundary $\partial\Omega$. In this paper we study the following parametric nonlinear Dirichlet problem:

$$\begin{aligned} -\Delta u(z) + \beta(z)u(z) &= \lambda g(z, u(z)) + f(z, u(z)) && \text{in } \Omega, \\ u|_{\partial\Omega} &= 0, \quad \lambda > 0. && \end{aligned} \quad ((P)_\lambda)$$

Here $\beta \in L^s(\Omega)$ with $s > N/2$ ($N \geq 2$) is a potential function which may change sign (indefinite potential). Also $\lambda > 0$ is a parameter, and $g, f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ are Carathéodory functions (i.e., for all $\zeta \in \mathbb{R}$, functions $z \mapsto g(z, \zeta)$ and $z \mapsto f(z, \zeta)$ are measurable and for almost all $z \in \Omega$, functions $\zeta \mapsto g(z, \zeta)$ and $\zeta \mapsto f(z, \zeta)$ are continuous). We assume that for almost all $z \in \Omega$, the function $\zeta \mapsto g(z, \zeta)$ is strictly sublinear near $\pm\infty$, while the function $\zeta \mapsto f(z, \zeta)$ is superlinear near $\pm\infty$. So, problem $((P)_\lambda)$ exhibits competing nonlinearities of

the concave-convex type. This situation was first studied with $\beta \equiv 0$ and the right hand side nonlinearity being

$$\lambda|\zeta|^{q-2}\zeta + |\zeta|^{r-2}\zeta, \quad (1.1)$$

with

$$1 < q < 2 < r < 2^* = \begin{cases} \frac{2N}{N-2} & \text{if } N > 2, \\ +\infty & \text{if } N = 2, \end{cases} \quad (1.2)$$

by Ambrosetti et al. [1]. In [1], the authors focus on positive solutions and proved certain bifurcation-type phenomena as $\lambda > 0$ varies. Further results in this direction can be found in the works of Ilyasov [2], Li et al. [3], Lubyshev [4], and Rădulescu and Repovš [5]. In all the aforementioned works $\beta \equiv 0$. We should also mention the recent work of Motreanu et al. [6], where the authors consider equations driven by the p -Laplacian, with concave term of the form $|\zeta|^{q-2}\zeta$ (where $1 < q < p$) and a perturbation exhibiting an asymmetric behaviour at $+\infty$ and at $-\infty$ ($(p-1)$ -superlinear near $+\infty$ and $(p-1)$ -sublinear near $-\infty$). They prove multiplicity results producing four nontrivial solutions with sign information.

In this work, we prove two multiplicity results for problem $((P)_\lambda)$ when the parameter $\lambda > 0$ is small. In both results we produce five nontrivial smooth solutions, and in the second we provide precise sign information for all the solutions. For the superlinear (“convex”) nonlinearity $f(z, \cdot)$, we do not employ the usual in such cases Ambrosetti-Rabinowitz condition. Instead, we use a more general condition, which incorporates in our framework also superlinear perturbations with “slow” growth near $\pm\infty$, which do not satisfy the Ambrosetti-Rabinowitz condition. We should point out that none of the works mentioned earlier provide sign information for all the solutions (in particular, none of them produced a nodal (sign changing) solution) and all use the Ambrosetti-Rabinowitz condition to express the superlinearity of the “convex” contribution in the reaction.

Our approach is variational based on the critical point theory which is combined with suitable truncation techniques. In the next section we recall the main mathematical tools we will use in the analysis of problem $((P)_\lambda)$. We also introduce the hypotheses on the terms g and f .

2. Mathematical Background and Hypotheses

Let X be a Banach space and let X^* be its topological dual. By $\langle \cdot, \cdot \rangle$ we denote the duality brackets for the pair (X^*, X) . Let $\varphi \in C^1(X)$. We say that φ satisfies the *Cerami condition*, if the following is true.

Every sequence $\{x_n\}_{n \geq 1} \subseteq X$, such that $\{\varphi(x_n)\}_{n \geq 1} \subseteq \mathbb{R}$ is bounded and

$$(1 + \|x_n\|)\varphi'(x_n) \longrightarrow 0 \quad \text{in } X^*, \quad (2.1)$$

admits a strongly convergent subsequence.

This compactness-type condition is in general weaker than the usual Palais-Smale condition. However, the Cerami condition suffices to prove a deformation theorem and from

it we derive the minimax theory for certain critical values of $\varphi \in C^1(X)$ (see Gasiński and Papageorgiou [7] and Motreanu and Rădulescu [8]). In particular, we can have the following theorem, known in the literature as the *mountain pass theorem*.

Theorem 2.1. *If X is a Banach space, $\varphi \in C^1(X)$ satisfies the Cerami condition, $x_0, x_1 \in X$, $\varrho > 0$ $\|x_1 - x_0\| > \varrho$:*

$$\begin{aligned} \max\{\varphi(x_0), \varphi(x_1)\} &< \inf\{\varphi(x) : \|x - x_0\| = \varrho\} = \eta_\varrho, \\ c &= \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} \varphi(\gamma(t)), \end{aligned} \tag{2.2}$$

where

$$\Gamma = \{\gamma \in C([0, 1]; X) : \gamma(0) = x_0, \gamma(1) = x_1\}. \tag{2.3}$$

Then $c \geq \eta_\varrho$ and c are a critical value of φ .

In the analysis of problem $((P)_\lambda)$, in addition to the Sobolev space $H_0^1(\Omega)$, we will also use the Banach space

$$C_0^1(\overline{\Omega}) = \{u \in C^1(\overline{\Omega}) : u|_{\partial\Omega} = 0\}. \tag{2.4}$$

This is an ordered Banach space with positive cone:

$$C_+ = \{u \in C_0^1(\overline{\Omega}) : u(z) \geq 0 \forall z \in \overline{\Omega}\}. \tag{2.5}$$

This cone has a nonempty interior given by

$$\text{int } C_+ = \left\{ u \in C_+ : u(z) > 0 \forall z \in \Omega, \frac{\partial u}{\partial n}(z) < 0 \forall z \in \partial\Omega \right\}, \tag{2.6}$$

where $n(\cdot)$ is the outward unit normal on $\partial\Omega$.

In the proof of the second multiplicity theorem and in order to produce a nodal (sign changing) solution, we will also use critical groups. So, let us recall their definition. Let $\varphi \in C^1(X)$ and let $c \in \mathbb{R}$. We introduce the following sets:

$$\begin{aligned} \varphi^c &= \{x \in X : \varphi(x) \leq c\}, \\ K_\varphi &= \{x \in X : \varphi'(x) = 0\}. \end{aligned} \tag{2.7}$$

Also, if (Y_1, Y_2) is a topological pair with $Y_2 \subseteq Y_1 \subseteq X$ and $k \geq 0$ is an integer, by $H_k(Y_1, Y_2)$ we denote the k th relative singular homology group for the pair (Y_2, Y_1) with integer coefficients. The critical groups of φ at an isolated critical point $x_0 \in X$ of φ with $\varphi(x_0) = c$ are defined by

$$C_k(\varphi, x_0) = H_k(\varphi^c \cap U, \varphi^c \cap U \setminus \{x_0\}) \quad \forall k \geq 0, \tag{2.8}$$

where U is a neighbourhood of x_0 , such that $K_\varphi \cap \varphi^c \cap U = \{x_0\}$. The excision property of singular homology implies that this definition is independent of the particular choice of the neighbourhood U .

Using the spectral theorem for compact self-adjoint operators, we can show that the differential operator

$$H_0^1(\Omega) \ni u \mapsto -\Delta u + \beta u \quad (2.9)$$

has a sequence of distinct eigenvalues $\{\widehat{\lambda}_k\}_{k \geq 1}$, such that

$$\widehat{\lambda}_k \longrightarrow +\infty \quad \text{as } k \longrightarrow +\infty. \quad (2.10)$$

The first eigenvalue is simple and admits the following variational characterization:

$$\widehat{\lambda}_1 = \inf \left\{ \frac{\sigma(u)}{\|u\|_2^2} : u \in H_0^1(\Omega), u \neq 0 \right\}, \quad (2.11)$$

where

$$\sigma(u) = \|\nabla u\|_2^2 + \int_{\Omega} \beta(z)u(z)^2 dz \quad \forall u \in H_0^1(\Omega). \quad (2.12)$$

Moreover, the corresponding eigenfunction $\widehat{u} \in C_0^1(\overline{\Omega})$ does not change sign, and in fact we can take $\widehat{u}(z) > 0$ for all $z \in \Omega$ (see Gasiński and Papageorgiou [9]). Using (2.11) and this property of the principal eigenfunction, we can have the following lemma (see Gasiński and Papageorgiou [9, Lemma 2.1]).

Lemma 2.2. *If $\eta \in L^s(\Omega)$, $\eta(z) \leq \widehat{\lambda}_1$ for almost all $z \in \Omega$, $\eta \neq \widehat{\lambda}_1$, then there exists $\widehat{c} > 0$, such that*

$$\sigma(u) - \int_{\Omega} \eta u^2 dz \geq \widehat{c} \|u\|^2 \quad \forall u \in H_0^1(\Omega). \quad (2.13)$$

Also, from Gasiński and Papageorgiou [9] we know that there exist $\widehat{c}_0, \widehat{c}_1 > 0$, such that

$$\|u\|^2 \leq \widehat{c}_0 (\sigma(u) + \widehat{c}_1 \|u\|_2^2) \quad \forall u \in H_0^1(\Omega), \quad (2.14)$$

with $\|u\| = \|\nabla u\|_2$, the norm of the Sobolev space $H_0^1(\Omega)$.

Next we state the hypotheses on the two components g and f of the reaction in problem $((P)_\lambda)$.

Let

$$G(z, \zeta) = \int_0^\zeta g(z, s) ds, \quad F(z, \zeta) = \int_0^\zeta f(z, s) ds. \quad (2.15)$$

H_g : $g : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is a Carathéodory function, such that $g(z, 0) = 0$ for almost all $z \in \Omega$.

(i) For every $\varrho > 0$, there exists a function $a_\varrho \in L^\infty(\Omega)_+$, such that

$$|g(z, \zeta)| \leq a_\varrho(z) \quad \text{for almost all } z \in \Omega, \text{ all } |\zeta| \leq \varrho. \quad (2.16)$$

(ii) We have

$$\lim_{\zeta \rightarrow \pm\infty} \frac{g(z, \zeta)}{\zeta} = 0 \quad \text{uniformly for almost all } z \in \Omega. \quad (2.17)$$

(iii) There exist constants $c_1, c_2 > 0$, $1 < q < \mu < 2$ and $\delta_0 > 0$, such that

$$\begin{aligned} c_1|\zeta|^q &\leq g(z, \zeta)\zeta \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \in \mathbb{R}, \\ \mu G(z, \zeta) - g(z, \zeta)\zeta &\geq c_2|\zeta|^q \quad \text{for almost all } z \in \Omega, \text{ all } |\zeta| \leq \delta_0. \end{aligned} \quad (2.18)$$

(iv) For every $\varrho > 0$, we can find $\gamma_\varrho > 0$, such that for almost all $z \in \Omega$

$$\text{the map } [-\varrho, \varrho] \ni \zeta \mapsto g(z, \zeta) + \gamma_\varrho|\zeta|^{q-2}\zeta \text{ is nondecreasing.} \quad (2.19)$$

Remark 2.3. Hypothesis H_g (ii) implies that for almost all $z \in \Omega$, the function $g(z, \cdot)$ is strictly sublinear near $\pm\infty$. Hence $g(z, \cdot)$ is the “concave” component in the reaction of $((P)_\lambda)$ (the terminology “concave” and “convex” nonlinearities is due to Ambrosetti [1]). Note that hypothesis H_g (iii) implies that for almost all $z \in \Omega$, the function $g(z, \cdot)$ has a similar growth near 0 that is, we have a concave term near zero. Hypothesis H_g (iv) is weaker than assuming the monotonicity of $g(z, \cdot)$ for almost all $z \in \Omega$.

H_f : $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is a Carathéodory function, such that $f(z, 0) = 0$ for almost all $z \in \Omega$.

(i) There exist $a \in L^\infty(\Omega)_+$, $c > 0$ and $r \in (2, 2^*)$, such that

$$|f(z, \zeta)| \leq a(z) + c|\zeta|^{r-1} \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \in \mathbb{R}. \quad (2.20)$$

(ii) We have

$$\lim_{\zeta \rightarrow \pm\infty} \frac{F(z, \zeta)}{\zeta^2} = +\infty \quad \text{uniformly for almost all } z \in \Omega. \quad (2.21)$$

(iii) There exist functions $\eta_0, \hat{\eta}_0 \in L^\infty(\Omega)_+$, such that $\eta_0(z) \leq \hat{\lambda}_1$ for almost all $z \in \Omega$, $\eta_0 \neq \hat{\lambda}_1$ and

$$\hat{\eta}_0(z) \leq \liminf_{\zeta \rightarrow 0} \frac{f(z, \zeta)}{\zeta} \leq \limsup_{\zeta \rightarrow 0} \frac{f(z, \zeta)}{\zeta} \leq \eta_0(z) \quad (2.22)$$

uniformly for almost all $z \in \Omega$.

(iv) For every $\varrho > 0$, we can find $\widehat{\gamma}_\varrho > 0$, such that for almost all $z \in \Omega$, we have

$$\text{the map } [-\varrho, \varrho] \ni \zeta \mapsto f(z, \zeta) + \widehat{\gamma}_\varrho \zeta \text{ is nondecreasing.} \quad (2.23)$$

Remark 2.4. Hypothesis H_f (ii) implies that for almost all $z \in \Omega$, the function $F(z, \cdot)$ is superquadratic near $\pm\infty$. Evidently, this is satisfied if the function $f(z, \cdot)$ is superlinear near $\pm\infty$, that is, when

$$\lim_{\zeta \rightarrow \pm\infty} \frac{f(z, \zeta)}{\zeta} = +\infty \quad \text{uniformly for almost all } z \in \Omega. \quad (2.24)$$

So, $f(z, \zeta)$ is the “convex” component of the reaction which “competes” with the “concave” component $g(z, \zeta)$.

Note that in H_f , we did not include the Ambrosetti-Rabinowitz condition to characterize the superlinearity of $f(z, \cdot)$. We recall that the Ambrosetti-Rabinowitz condition says that there exist $\tau > 2$ and $M > 0$, such that

$$0 < \tau F(z, \zeta) \leq f(z, \zeta)\zeta \quad \text{uniformly for almost all } z \in \Omega, \text{ all } |\zeta| \geq M, \quad (2.25)$$

$$\text{ess inf}_\Omega F(\cdot, M) > 0. \quad (2.26)$$

Integrating (2.25) and using (2.26), we obtain the weaker condition

$$c_3 |\zeta|^\tau \leq F(z, \zeta) \quad \text{uniformly for almost all } z \in \Omega, \text{ all } |\zeta| \geq M. \quad (2.27)$$

Therefore, for almost all $z \in \Omega$, the function

$$\zeta \mapsto \lambda G(z, \zeta) + F(z, \zeta) \quad (2.28)$$

is superquadratic with at least τ -growth near $\pm\infty$. Hence the Ambrosetti-Rabinowitz condition excludes superlinear perturbations with “slower” growth near $\pm\infty$. For this reason, here we employ a weaker condition. So, let

$$\xi_\lambda(z, \zeta) = (\lambda g(z, \zeta) + f(z, \zeta))\zeta - 2(\lambda G(z, \zeta) + F(z, \zeta)). \quad (2.29)$$

We employ the following hypothesis.

H_0 : For every $\lambda > 0$, there exists a function $\vartheta_\lambda^* \in L^1(\Omega)_+$, such that

$$\begin{aligned} \xi_\lambda(z, \zeta) &\leq \xi_\lambda(z, y) + \vartheta_\lambda^*(z) && \text{for almost all } z \in \Omega, \text{ all } 0 \leq \zeta \leq y, \\ \xi_\lambda(z, \zeta) &\leq \xi_\lambda(z, y) + \vartheta_\lambda^*(z) && \text{for almost all } z \in \Omega, \text{ all } y \leq \zeta \leq 0. \end{aligned} \quad (2.30)$$

Remark 2.5. Hypothesis H_0 is a generalized version of a condition first introduced by Li and Yang [10], where the reader can find other possible extensions of the Ambrosetti-Rabinowitz condition and comparisons between them. Hypothesis H_0 is a quasimonotonicity condition on $\xi_\lambda(z, \cdot)$, and it is satisfied if there exists $M > 0$, such that for almost all $z \in \Omega$, the function

$$\zeta \mapsto \frac{\lambda g(z, \zeta) + f(z, \zeta)}{\zeta} \tag{2.31}$$

is increasing on $[M, +\infty]$ and is decreasing on $[-\infty, -M]$ (see Li and Yang [10]).

Example 2.6. The following pairs of functions satisfy hypotheses H_g, H_f , and H_0 (for the sake of simplicity we drop the z -dependence):

$$\begin{aligned} g_1(\zeta) &= |\zeta|^{q-2}\zeta, & f_1(\zeta) &= |\zeta|^{p-2}\zeta + \eta_0\zeta, \\ g_2(\zeta) &= |\zeta|^{q-2}\zeta, & f_2(\zeta) &= |\zeta|^{p-2}\zeta \ln(1 + |\zeta|) + \eta_0\zeta, \\ g_3(\zeta) &= |\zeta|^{q-2}\zeta - |\zeta|^{\tau-2}\zeta, & f_3(\zeta) &= \begin{cases} \eta_0(\zeta - |\zeta|^{r-2}\zeta) & \text{if } |\zeta| \leq 1, \\ |\zeta|^{p-2}\zeta \ln|\zeta| & \text{if } |\zeta| > 1, \end{cases} \end{aligned} \tag{2.32}$$

with $1 < q < \tau < 2 < p < 2^*, 2 < r, \eta_0 < \widehat{\lambda}_1$.

Note that f_2 and f_3 do not satisfy the Ambrosetti-Rabinowitz condition (see (2.25)-(2.26)).

For every $u \in H_0^1(\Omega)$, we set

$$\|u\| = \|\nabla u\|_2 \tag{2.33}$$

(by virtue of the Poincaré inequality). We mention that the notation $\|\cdot\|$ will be also used to denote the \mathbb{R}^N -norm. It will always be clear from the context which norm is used. For $\zeta \in \mathbb{R}$, let $\zeta^\pm = \max\{\pm\zeta, 0\}$. Then for $u \in W_0^{1,p}(\Omega)$, we set $u^\pm(\cdot) = u(\cdot)^\pm$. We have $u^\pm \in H_0^1(\Omega)$, $|u| = u^+ + u^-$, and $u = u^+ - u^-$. For a given measurable function $h : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ (e.g., a Carathéodory function), we set

$$N_h(u)(\cdot) = h(\cdot, u(\cdot)) \quad \forall u \in W_0^{1,p}(\Omega). \tag{2.34}$$

Finally, let $A \in \mathcal{L}(H_0^1(\Omega), H^{-1}(\Omega))$ be the operator, defined by

$$\langle A(u), y \rangle = \int_\Omega (\nabla u, \nabla y)_{\mathbb{R}^N} dz \quad \forall u, y \in H_0^1(\Omega). \tag{2.35}$$

For the properties of the operator A we refer to Gasiński and Papageorgiou [11, Proposition 3.1, page 852].

3. Solutions of Constant Sign

In this section, for $\lambda > 0$ small, we generate four nontrivial smooth solutions of constant sign (two positive and two negative). To this end, we introduce the following modifications of the nonlinearities $g(z, \cdot)$ and $f(z, \cdot)$:

$$g_{\pm}(z, \zeta) = g(z, \pm\zeta^{\pm}), \quad \widehat{f}_{\pm}(z, \zeta) = f(z, \pm\zeta^{\pm}) + \widehat{c}_1(\pm\zeta^{\pm}), \quad (3.1)$$

with $\widehat{c}_1 > 0$ as in (2.14). These modifications are Carathéodory functions. We set

$$G_{\pm}(z, \zeta) = \int_0^{\zeta} g_{\pm}(z, s) ds, \quad \widehat{F}_{\pm}(z, \zeta) = \int_0^{\zeta} \widehat{f}_{\pm}(z, s) ds \quad (3.2)$$

and consider the C^1 -functionals $\widehat{\varphi}_{\lambda}^{\pm} : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\widehat{\varphi}_{\lambda}^{\pm}(u) = \frac{1}{2}\sigma(u) + \frac{\widehat{c}_1}{2}\|u\|_2^2 - \lambda \int_{\Omega} G_{\pm}(z, u(z)) - \int_{\Omega} \widehat{F}_{\pm}(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \quad (3.3)$$

Proposition 3.1. *If hypotheses H_g , H_f , and H_0 hold and $\lambda > 0$, then the functionals $\widehat{\varphi}_{\lambda}^{\pm}$ satisfy the Cerami condition.*

Proof. We do the proof for $\widehat{\varphi}_{\lambda}^+$, the proof for $\widehat{\varphi}_{\lambda}^-$ being similar.

So, let $\{u_n\}_{n \geq 1} \subseteq H_0^1(\Omega)$ be a sequence, such that

$$|\widehat{\varphi}_{\lambda}^+(u_n)| \leq M_1 \quad \forall n \geq 1, \quad (3.4)$$

for some $M_1 > 0$ and

$$(1 + \|u_n\|)(\widehat{\varphi}_{\lambda}^+)'(u_n) \rightarrow 0 \quad \text{in } H^{-1}(\Omega). \quad (3.5)$$

From (3.5), we have

$$\begin{aligned} & \left| \langle A(u_n), h \rangle + \int_{\Omega} (\beta + \widehat{c}_1)u_n h dz - \lambda \int_{\Omega} g_+(z, u_n)h dz - \int_{\Omega} \widehat{f}_+(z, u_n)h dz \right| \\ & \leq \frac{\varepsilon_n \|h\|}{1 + \|u_n\|} \quad \forall h \in H_0^1(\Omega), \end{aligned} \quad (3.6)$$

with $\varepsilon_n \searrow 0$. In (3.6) we choose $h = -u_n^- \in H_0^1(\Omega)$. Then

$$\left| \sigma(u_n^-) + \widehat{c}_1 \|u_n^-\|_2^2 \right| \leq \varepsilon_n \quad \forall n \geq 1, \quad (3.7)$$

so

$$\frac{1}{\widehat{c}_0} \|u_n^-\|_2^2 \leq \varepsilon_n \quad \forall n \geq 1 \quad (3.8)$$

(see (2.14)), and hence

$$u_n^- \longrightarrow 0 \quad \text{in } H_0^1(\Omega). \quad (3.9)$$

From (3.4) and (3.9), we have

$$\sigma(u_n^+) - \lambda \int_{\Omega} 2G_+(z, u_n^+) dz - \int_{\Omega} 2F(z, u_n^+) dz \leq M_2 \quad \forall n \geq 1, \quad (3.10)$$

for some $M_2 > 0$. Also, if in (3.6) we choose $h = u_n^+ \in H_0^1(\Omega)$, then

$$-\sigma(u_n^+) + \lambda \int_{\Omega} g(z, u_n^+) u_n^+ dz + \int_{\Omega} f(z, u_n^+) u_n^+ dz \leq \varepsilon_n \quad \forall n \geq 1. \quad (3.11)$$

Adding (3.10) and (3.11), we obtain

$$\int_{\Omega} \xi_{\lambda}(z, u_n^+) dz \leq M_3 \quad \forall n \geq 1, \quad (3.12)$$

for some $M_3 > 0$ (see (2.29) for the definition of ξ_{λ}).

Claim 1. The sequence $\{u_n^+\}_{n \geq 1} \subseteq H_0^1(\Omega)$ is bounded.

Arguing by contradiction, suppose that the claim is not true. Then by passing to a subsequence if necessary, we may assume that

$$\|u_n^+\| \longrightarrow +\infty. \quad (3.13)$$

Let

$$y_n = \frac{u_n^+}{\|u_n^+\|} \quad \forall n \geq 1. \quad (3.14)$$

Then

$$\|y_n\| = 1 \quad \forall n \geq 1. \quad (3.15)$$

And so, passing to a subsequence if necessary, we may assume that

$$y_n \longrightarrow y \quad \text{weakly in } H_0^1(\Omega), \quad (3.16)$$

$$y_n \longrightarrow y \quad \text{in } L^r(\Omega). \quad (3.17)$$

If $y \neq 0$, then

$$u_n^+(z) \longrightarrow +\infty \quad \text{for almost all } z \in \Omega_+ = \{y > 0\} \quad (3.18)$$

(recall that $y \geq 0$). So, by virtue of hypotheses H_g (ii) and H_f (ii), for almost all $z \in \Omega_+$, we have

$$\begin{aligned} \lim_{n \rightarrow +\infty} \frac{G(z, u_n^+(z))}{\|u_n^+\|^2} &= \lim_{n \rightarrow +\infty} \frac{G(z, u_n^+(z))}{u_n^+(z)^2} y_n(z)^2 = 0, \\ \lim_{n \rightarrow +\infty} \frac{F(z, u_n^+(z))}{\|u_n^+\|^2} &= \lim_{n \rightarrow +\infty} \frac{F(z, u_n^+(z))}{u_n^+(z)^2} y_n(z)^2 = +\infty. \end{aligned} \quad (3.19)$$

Then Fatou's lemma implies that

$$\lim_{n \rightarrow +\infty} \left(\lambda \int_{\Omega} \frac{G(z, u_n^+)}{\|u_n^+\|^2} dz + \int_{\Omega} \frac{F(z, u_n^+)}{\|u_n^+\|^2} dz \right) = +\infty. \quad (3.20)$$

But from (3.4) and (3.9), we have

$$\lambda \int_{\Omega} G(z, u_n^+) dz + \int_{\Omega} F(z, u_n^+) dz \leq M_4 + |\sigma(u_n^+)| \quad \forall n \geq 1, \quad (3.21)$$

for some $M_4 > 0$, so

$$\lambda \int_{\Omega} \frac{G(z, u_n^+)}{\|u_n^+\|^2} dz + \int_{\Omega} \frac{F(z, u_n^+)}{\|u_n^+\|^2} dz \leq M_5 \quad \forall n \geq 1, \quad (3.22)$$

for some $M_5 > 0$ (since the sequence $\{\sigma(y_n)\}_{n \geq 1}$ is bounded in \mathbb{R}). Comparing (3.20) and (3.22), we reach a contradiction.

So, we have $y = 0$. We fix $\mu > 0$ and set

$$v_n = (2\mu)^{1/2} y_n \quad \forall n \geq 1. \quad (3.23)$$

Evidently

$$v_n \rightarrow 0 \text{ in } L^r(\Omega) \quad (3.24)$$

(see (3.17)). Hence by Krasnoselskii's theorem (see Gasiński and Papageorgiou [12, Proposition 1.4.14, page 87] and hypotheses H_g (i) and H_f (i)), we have

$$\int_{\Omega} G(z, v_n(z)) dz \rightarrow 0, \quad \int_{\Omega} F(z, v_n(z)) dz \rightarrow 0. \quad (3.25)$$

Since $\|u_n^+\| \rightarrow +\infty$, we can find $n_0 \geq 1$, such that

$$0 < (2\mu)^{1/2} \frac{1}{\|u_n^+\|} < 1 \quad \forall n \geq n_0. \quad (3.26)$$

Let $t_n \in [0, 1]$ be such that

$$\widehat{\varphi}_\lambda^+(t_n u_n^+) = \max_{0 \leq t \leq 1} \widehat{\varphi}_\lambda^+(t u_n^+). \tag{3.27}$$

By virtue of (3.26), we have

$$\begin{aligned} \widehat{\varphi}_\lambda^+(t_n u_n^+) &\geq \widehat{\varphi}_\lambda^+(v_n) \\ &= 2\mu\sigma(y_n) - \lambda \int_\Omega G(z, v_n) dz - \int_\Omega F(z, v_n) dz \\ &= 2\mu + 2\mu \int_\Omega \beta y_n^2 dz - \lambda \int_\Omega G(z, v_n) dz - \int_\Omega F(z, v_n) dz. \end{aligned} \tag{3.28}$$

Note that

$$\int_\Omega \beta y_n^2 dz \rightarrow 0. \tag{3.29}$$

This fact together with (3.25) and (3.28) implies that

$$\widehat{\varphi}_\lambda^+(t_n u_n^+) \geq \mu \quad \forall n \geq n_1, \tag{3.30}$$

for some $n_1 \geq n_0$. Since $\mu > 0$ is arbitrary, we infer that

$$\widehat{\varphi}_\lambda^+(t_n u_n^+) \rightarrow +\infty. \tag{3.31}$$

Note that

$$\widehat{\varphi}_\lambda^+(0) = 0, \quad \widehat{\varphi}_\lambda^+(u_n^+) \leq M_6 \quad \forall n \geq 1, \tag{3.32}$$

for some $M_6 > 0$ (see (3.4) and (3.9)).

Hence (3.31) implies that there exists $n_2 \geq n_1$, such that

$$t_n \in (0, 1) \quad \forall n \geq n_2. \tag{3.33}$$

And so from the choice of t_n , we have

$$\frac{d}{dt} \widehat{\varphi}_\lambda^+(t u_n^+) \Big|_{t=t_n} = 0 \quad \forall n \geq n_2, \tag{3.34}$$

so

$$\langle (\widehat{\varphi}_\lambda^+)'(t_n u_n^+), u_n^+ \rangle = 0 \quad \forall n \geq n_2, \tag{3.35}$$

thus

$$\langle (\widehat{\varphi}_\lambda^+)'(t_n u_n), t_n u_n \rangle = 0 \quad \forall n \geq n_2, \quad (3.36)$$

and hence

$$\sigma(t_n u_n^+) = \lambda \int_{\Omega} g(z, t_n u_n^+) t_n u_n^+ dz + \int_{\Omega} f(z, t_n u_n^+) t_n u_n^+ dz. \quad (3.37)$$

Hypothesis H_0 implies that

$$\int_{\Omega} \xi_\lambda(z, t_n u_n^+) dz \leq \int_{\Omega} \xi_\lambda(z, u_n^+) dz + \|\vartheta_\lambda^*\|_1 \quad \forall n \geq 1, \quad (3.38)$$

so

$$2\widehat{\varphi}_\lambda^+(t_n u_n^+) \leq \int_{\Omega} \xi_\lambda(z, u_n^+) dz + \|\vartheta_\lambda^*\|_1 \quad \forall n \geq 1 \quad (3.39)$$

(see (3.37)), and thus

$$2\widehat{\varphi}_\lambda^+(t_n u_n^+) \leq M_3 + \|\vartheta_\lambda^*\|_1 \quad \forall n \geq 1 \quad (3.40)$$

(see (3.12)).

Comparing (3.31) and (3.40), we reach a contradiction. This proves the claim.

By virtue of the claim and (3.9), we have that the sequence $\{u_n\}_{n \geq 1} \subseteq H_0^1(\Omega)$ is bounded. So, we may assume that

$$u_n \rightharpoonup u \quad \text{weakly in } H_0^1(\Omega), \quad (3.41)$$

$$u_n \rightarrow u \quad \text{in } L^r(\Omega). \quad (3.42)$$

In (3.6) we choose $h = u_n - u$, pass to the limit as $n \rightarrow +\infty$, and use (3.42). Then

$$\lim_{n \rightarrow +\infty} \langle A(u_n), u_n - u \rangle = 0, \quad (3.43)$$

so

$$\|u_n\| = \|\nabla u_n\|_2 \rightarrow \|\nabla u\|_2 = \|u\| \quad (3.44)$$

(see Gasiński and Papageorgiou [11, Proposition 3.1, page 852]), and thus

$$u_n \rightarrow u \quad \text{in } H_0^1(\Omega) \quad (3.45)$$

(by the Kadec-Klee property of Hilbert spaces). This proves that $\widehat{\varphi}_\lambda^+$ satisfies the Cerami condition.

Similarly we show that $\widehat{\varphi}_\lambda^-$ also satisfies the Cerami condition. □

Our aim is to apply Theorem 2.1 (the mountain pass theorem) to two functionals $\widehat{\varphi}_\lambda^+$ and $\widehat{\varphi}_\lambda^-$. We have checked that both functionals satisfy the Cerami condition. So, it remains to show that they satisfy the mountain pass geometry as it is described in Theorem 2.1.

The next proposition is a crucial step in satisfying the mountain pass geometry for the two functionals $\widehat{\varphi}_\lambda^+$ and $\widehat{\varphi}_\lambda^-$.

Proposition 3.2. *If hypotheses H_g and H_f hold, then there exist $\lambda_\pm^* > 0$, such that for every $\lambda \in (0, \lambda_\pm^*)$, we can find $\varrho_\lambda^\pm > 0$, such that*

$$\inf\{\widehat{\varphi}_\lambda^\pm(u) : \|u\| = \varrho_\lambda^\pm\} = \widehat{\eta}_\lambda^\pm > 0. \tag{3.46}$$

Proof. Hypotheses H_g (i) and (ii) imply that for a given $\varepsilon > 0$, we can find $c_4 = c_4(\varepsilon) > 0$, such that

$$g(z, \zeta) \leq \varepsilon\zeta + c_4\zeta^{q-1} \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \geq 0, \tag{3.47}$$

so

$$G(z, \zeta) \leq \frac{\varepsilon}{2}\zeta^2 + \frac{c_4}{q}\zeta^q \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \geq 0. \tag{3.48}$$

Similarly hypotheses H_f (i) and (iii) imply that for a given $\varepsilon > 0$, we can find $c_5 = c_5(\varepsilon) > 0$, such that

$$f(z, \zeta) \leq (\eta_0(z) + \varepsilon)\zeta + c_5\zeta^{r-1} \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \geq 0, \tag{3.49}$$

so

$$F(z, \zeta) \leq \frac{1}{2}(\eta_0(z) + \varepsilon)\zeta^2 + \frac{c_5}{r}\zeta^r \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \geq 0. \tag{3.50}$$

Then, for $u \in H_0^1(\Omega)$, we have

$$\begin{aligned} \widehat{\varphi}_\lambda^+(u) &= \frac{1}{2}\sigma(u) + \frac{\widehat{c}_1}{2}\|u\|_2^2 - \lambda \int_\Omega G_+(z, u)dz - \int_\Omega \widehat{F}_+(z, u)dz \\ &\geq \frac{1}{2}\sigma(u^+) - \frac{1}{2} \int_\Omega \eta_0(u^+)^2 dz - \frac{\varepsilon}{2}\|u^+\|_2^2 - \frac{c_5}{r}\|u^+\|_r^r \\ &\quad - \frac{\lambda\varepsilon}{2}\|u^+\|_2^2 - \frac{\lambda c_4}{q}\|u^+\|_q^q + \frac{1}{2}\sigma(u^-) + \frac{\widehat{c}_1}{2}\|u^-\|_2^2 \\ &\geq \frac{1}{2} \left(\widehat{c} - \frac{\varepsilon(\lambda + 1)}{\widehat{\lambda}_1} \right) \|u^+\|^2 + \frac{1}{2\widehat{c}_0}\|u^-\|^2 - c_6(\|u\|^r + \lambda\|u\|^q), \end{aligned} \tag{3.51}$$

for some $c_6 > 0$ (see (3.48), (3.50), (2.11), (2.14), and Lemma 2.2).

Choosing $\varepsilon \in (0, \widehat{\lambda}_1 \widehat{c}/(\lambda + 1))$, we have

$$\begin{aligned} \widehat{\varphi}_\lambda^+(u) &\geq c_7 \|u\|^2 - c_6 (\|u\|^r + \lambda \|u\|^q) \\ &= (c_7 - c_6 (\|u\|^{r-2} + \lambda \|u\|^{q-2})) \|u\|^2, \end{aligned} \quad (3.52)$$

for some $c_7 > 0$. Let

$$\mu_\lambda(t) = t^{r-2} + \lambda t^{q-2} \quad \forall t > 0. \quad (3.53)$$

Since $q < 2 < r$, we have

$$\lim_{t \rightarrow 0^+} \mu_\lambda(t) = \lim_{r \rightarrow +\infty} \mu_\lambda(t) = +\infty. \quad (3.54)$$

Also μ_λ is continuous in $(0, +\infty)$. Therefore, we can find $t_0 \in (0, +\infty)$, such that

$$\mu_\lambda(t_0) = \inf_{t>0} \mu_\lambda(t), \quad (3.55)$$

so

$$\mu'_\lambda(t_0) = 0, \quad (3.56)$$

and thus

$$t_0 = t_0(\lambda) = \left(\frac{\lambda(2-q)}{r-2} \right)^{1/(r-q)}. \quad (3.57)$$

Evidently

$$\mu_\lambda(t_0) \longrightarrow 0 \quad \text{as } \lambda \longrightarrow 0^+. \quad (3.58)$$

Hence we can find $\lambda_+^* > 0$, such that

$$\mu_\lambda(t_0) < \frac{c_7}{c_6} \quad \forall \lambda \in (0, \lambda_+^*), \quad (3.59)$$

so

$$\inf\{\widehat{\varphi}_\lambda^+(u) : \|u\| = \varrho_\lambda^+ = t_0(\lambda)\} = \widehat{\eta}_\lambda^+ > 0 \quad (3.60)$$

(see (3.52)).

Similarly, for $\widehat{\varphi}_\lambda^-$, we can find $\lambda_-^* > 0$, such that for all $\lambda \in (0, \lambda_-^*)$ there exists $\varrho_\lambda^- > 0$, such that

$$\inf\{\widehat{\varphi}_\lambda^-(u) : \|u\| = \varrho_\lambda^-\} = \widehat{\eta}_\lambda^- > 0. \tag{3.61}$$

□

With the next proposition we complete the mountain pass geometry for problem $((P)_\lambda)$.

Proposition 3.3. *If hypotheses H_g and H_f hold, $\lambda > 0$ and $\tilde{u} \in \text{int } C_+$ with $\|\tilde{u}\|_2 = 1$, then*

$$\widehat{\varphi}_\lambda^\pm(t\tilde{u}) \longrightarrow -\infty \quad \text{as } t \longrightarrow \pm\infty. \tag{3.62}$$

Proof. By virtue of hypotheses H_g (i) and (ii), for a given $\varepsilon > 0$, we can find $c_8 = c_8(\varepsilon) > 0$, such that

$$G(z, \zeta) \geq -\frac{\varepsilon}{2}\zeta^2 - c_8, \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \in \mathbb{R}. \tag{3.63}$$

Similarly, hypotheses H_f (i) and (ii) imply that for any given $\xi > 0$, we can find $c_9 = c_9(\xi) > 0$, such that

$$F(z, \zeta) \geq \frac{\xi}{2}\zeta^2 - c_9, \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \in \mathbb{R}. \tag{3.64}$$

Then, we have

$$\begin{aligned} \widehat{\varphi}_\lambda^+(t\tilde{u}) &= \frac{t^2}{2}\sigma(\tilde{u}) + \left(\frac{\lambda\varepsilon - \xi}{2}\right)t^2 + c_{10} \\ &\leq \frac{t^2}{2}\left(\|\tilde{u}\|^2 + \|\beta\|_s\|\tilde{u}\|_{2s'} + \lambda\varepsilon - \xi\right) + c_{10} \end{aligned} \tag{3.65}$$

for some $c_{10} > 0$ (see (3.63), (3.64) and recall that $\tilde{u} \in \text{int } C_+$, $\|\tilde{u}\|_2 = 1$ and $1/s + 1/s' = 1$).

Since $\xi > 0$ is arbitrary, choosing

$$\xi > \lambda\varepsilon + \|\tilde{u}\|^2 + \|\beta\|_s\|\tilde{u}\|_{2s'}, \tag{3.66}$$

from (3.65), we infer that

$$\widehat{\varphi}_\lambda^+(t\tilde{u}) \longrightarrow -\infty \quad \text{as } t \longrightarrow \pm\infty. \tag{3.67}$$

□

Now we are ready to produce the first two nontrivial smooth solutions of constant sign. In what follows, we set

$$\lambda^* = \min\{\lambda_-^*, \lambda_+^*\}. \tag{3.68}$$

Proposition 3.4. *If hypotheses H_g , H_f , and H_0 hold and $\lambda \in (0, \lambda^*]$, then problem $((P)_\lambda)$ has at least two nontrivial smooth solutions of constant sign:*

$$u_0, v_0 \in C_0^1(\overline{\Omega}), \quad \text{with } v_0(z) < 0 < u_0(z) \quad \forall z \in \Omega. \quad (3.69)$$

Proof. Propositions 3.1, 3.2, and 3.3 permit the application of the mountain pass theorem (see Theorem 2.1) for the functional $\widehat{\varphi}_\lambda^+$, and so we obtain $u_0 \in H_0^1(\Omega)$, such that

$$\widehat{\varphi}_\lambda^+(0) = 0 < \widehat{\eta}_\lambda^+ \leq \widehat{\varphi}_\lambda^+(u_0), \quad (3.70)$$

$$(\widehat{\varphi}_\lambda^+)'(u_0) = 0. \quad (3.71)$$

From (3.70), we see that $u_0 \neq 0$. From (3.71), we have

$$A(u_0) + \beta u_0 + \widehat{c}_1 u_0 = \lambda N_{g^+}(u_0) + N_{\widehat{f}^+}(u_0). \quad (3.72)$$

On (3.72) we act with $-u_0^- \in H_0^1(\Omega)$ and obtain

$$\sigma(u_0^-) + \widehat{c}_1 \|u_0^-\|_2^2 = 0, \quad (3.73)$$

so

$$\frac{1}{\widehat{c}_0} \|u_0^-\|_2^2 \leq 0 \quad (3.74)$$

(see (2.14)); hence $u_0 \geq 0$, $u_0 \neq 0$.

Therefore (3.72) becomes

$$A(u_0) + \beta u_0 = \lambda N_g(u_0) + N_f(u_0), \quad (3.75)$$

so

$$\begin{aligned} -\Delta u_0(z) + \beta(z)u_0(z) &= \lambda g(z, u_0(z)) + f(z, u_0(z)) \quad \text{in } \Omega, \\ u_0|_{\partial\Omega} &= 0. \end{aligned} \quad (3.76)$$

From the regularity theory for Dirichlet problems (see Struwe [13, pp. 217–219]), we have that $u_0 \in C_0^1(\overline{\Omega})$. Moreover, invoking the weak Harnack inequality of Pucci and Serrin [14, page 154], we have that $u_0(z) > 0$ for all $z \in \Omega$.

Similarly working with $\widehat{\varphi}_\lambda^-$, this time we obtain a nontrivial smooth negative solution $v_0 \in C_0^1(\overline{\Omega})$ with $v_0(z) < 0$ for all $z \in \Omega$. \square

We can improve the conclusion of this proposition by strengthening the condition on the potential β :

$$\underline{H}_\beta : \beta \in L^s(\Omega) \text{ with } s > N/2 \text{ and } \beta^+ \in L^\infty(\Omega)_+.$$

Remark 3.5. So, the potential function is bounded from above but in general can be unbounded from below.

Proposition 3.6. *If hypotheses $H_g, H_f, H_0,$ and H_β hold and $\lambda \in (0, \lambda^*),$ then problem $((P)_\lambda)$ has at least two nontrivial smooth solutions of constant sign:*

$$u_0 \in \text{int } C_+, \quad v_0 \in -\text{int } C_+. \tag{3.77}$$

Proof. From Proposition 3.4, we already have two solutions:

$$u_0, v_0 \in C_0^1(\overline{\Omega}), \quad \text{with } v_0(z) < 0 < u_0(z) \quad \forall z \in \Omega. \tag{3.78}$$

We have

$$\begin{aligned} -\Delta u_0(z) + \beta(z)u_0(z) &= \lambda g(z, u_0(z)) + f(z, u_0(z)) \\ &\geq f(z, u_0(z)) \text{ for almost all } z \in \Omega \end{aligned} \tag{3.79}$$

(see hypothesis H_g (iii)). Let $\varrho = \|u_0\|_\infty$ and let $\hat{\gamma}_\varrho > 0$ be as postulated by hypothesis H_f (iv). Then from (3.79), we have

$$-\Delta u_0(z) + (\beta(z) + \hat{\gamma}_\varrho)u_0(z) \geq f(z, u_0(z)) + \hat{\gamma}_\varrho u_0(z), \quad \text{for almost all } z \in \Omega, \tag{3.80}$$

so

$$\Delta u_0(z) \leq (\|\beta^+\|_\infty + \hat{\gamma}_\varrho)u_0(z) \quad \text{for almost all } z \in \Omega. \tag{3.81}$$

and thus $u_0 \in \text{int } C_+$ (see Vázquez [15] and Pucci and Serrin [14, page 120]).

Similarly for the negative solution v_0 . □

To continue and produce additional nontrivial smooth solutions of constant sign, we need to keep hypotheses H_β .

Proposition 3.7. *If hypotheses H_g, H_f, H_0 and H_β hold and $\lambda \in (0, \lambda^*),$ then problem $((P)_\lambda)$ has at least four nontrivial smooth solutions of constant sign:*

$$\begin{aligned} u_0, \hat{u} &\in \text{int } C_+, & \hat{u} - u_0 &\in \text{int } C_+, \\ v_0, \hat{v} &\in -\text{int } C_+, & v_0 - \hat{v} &\in \text{int } C_+. \end{aligned} \tag{3.82}$$

Proof. From Proposition 3.6, we already have two solutions:

$$u_0 \in \text{int } C_+, \quad v_0 \in -\text{int } C_+. \tag{3.83}$$

We introduce the following truncation perturbation of the reaction of the problem $((P)_\lambda)$:

$$h_\lambda^+(z, \zeta) = \begin{cases} \lambda g(z, u_0(z)) + f(z, u_0(z)) + \widehat{c}_1 u_0(z) & \text{if } \zeta \leq u_0(z), \\ \lambda g(z, \zeta) + f(z, \zeta) + \widehat{c}_1 \zeta & \text{if } u_0(z) < \zeta. \end{cases} \quad (3.84)$$

This is a Carathéodory function. We set

$$H_\lambda^+(z, \zeta) = \int_0^\zeta h_\lambda^+(z, s) ds \quad (3.85)$$

and consider the C^1 -functional $\varphi_\lambda^+ : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\varphi_\lambda^+(u) = \frac{1}{2} \sigma(u) + \frac{\widehat{c}_1}{2} \|u\|_2^2 - \int_\Omega H_\lambda^+(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \quad (3.86)$$

Claim 2. We have $K_{\varphi_\lambda^+} \subseteq [u_0]$, where

$$[u_0] = \left\{ u \in H_0^1(\Omega) : u_0(z) \leq u(z) \text{ for almost all } z \in \Omega \right\}. \quad (3.87)$$

Let $\tilde{u} \in K_{\varphi_\lambda^+}$. Then

$$A(\tilde{u}) + (\beta + \widehat{c}_1)\tilde{u} = N_{h_\lambda^+}(\tilde{u}). \quad (3.88)$$

On (3.88) we act with $(u_0 - \tilde{u})^+ \in H_0^1(\Omega)$. Then

$$\begin{aligned} & \langle A(\tilde{u}), (u_0 - \tilde{u})^+ \rangle + \int_\Omega (\beta + \widehat{c}_1)\tilde{u}(u_0 - \tilde{u})^+ dz \\ &= \int_\Omega h_\lambda^+(z, \tilde{u})(u_0 - \tilde{u})^+ dz \\ &= \int_\Omega (\lambda g(z, u_0) + f(z, u_0) + \widehat{c}_1 u_0)(u_0 - \tilde{u})^+ dz \\ &= \langle A(u_0), (u_0 - \tilde{u})^+ \rangle + \int_\Omega (\beta + \widehat{c}_1)u_0(u_0 - \tilde{u})^+ dz \end{aligned} \quad (3.89)$$

(see (3.84)), so

$$\langle A(u_0 - \tilde{u}), (u_0 - \tilde{u})^+ \rangle + \int_\Omega \beta(u_0 - \tilde{u})(u_0 - \tilde{u})^+ dz + \widehat{c}_1 \|(u_0 - \tilde{u})^+\|_2^2 = 0, \quad (3.90)$$

thus

$$\sigma((u_0 - \tilde{u})^+) + \widehat{c}_1 \|(u_0 - \tilde{u})^+\|_2^2 = 0, \quad (3.91)$$

hence

$$\frac{1}{\widehat{c}_0} \|(u_0 - \tilde{u})^+\|^2 \leq 0 \tag{3.92}$$

(see (2.14)), and so finally $u_0 \leq \tilde{u}$. This proves Claim 2.

Claim 3. We may assume that u_0 is a local minimizer of ψ_λ^+ .

Let $\mu \in (\lambda, \lambda^*)$, and consider problem $(P)_\mu$. As we did in the proof of Proposition 3.4, via the mountain pass theorem, we obtain a nontrivial smooth positive solution u_μ , and by virtue of the strong maximum principle, we have $u_\mu \in \text{int } C_+$ (see the proof of Proposition 3.7). Then

$$\begin{aligned} -\Delta u_\mu(z) + \beta(z)u_\mu(z) &= \mu g(z, u_\mu(z)) + f(z, u_\mu(z)) \\ &\geq \lambda g(z, u_\mu(z)) + f(z, u_\mu(z)) \quad \text{for almost all } z \in \Omega \end{aligned} \tag{3.93}$$

(see H_g (iii) and recall that $\lambda < \mu$).

We consider the following truncation perturbation of the reaction of problem $((P)_\lambda)$:

$$\gamma_\lambda^+(z, \zeta) = \begin{cases} 0 & \text{if } \zeta < 0, \\ \lambda g(z, \zeta) + f(z, \zeta) + \widehat{c}_1 \zeta & \text{if } 0 \leq \zeta \leq u_\mu(z), \\ \lambda g(z, u_\mu(z)) + f(z, u_\mu(z)) + \widehat{c}_1 u_\mu(z) & \text{if } u_\mu(z) < \zeta. \end{cases} \tag{3.94}$$

This is a Carathéodory function. We set

$$\Gamma_\lambda^+(z, \zeta) = \int_0^\zeta \gamma_\lambda^+(z, s) ds \tag{3.95}$$

and consider the C^1 -functional $\widehat{\psi}_\lambda^+ : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\widehat{\psi}_\lambda^+(u) = \frac{1}{2} \sigma(u) + \frac{\widehat{c}_1}{2} \|u\|_2^2 - \int_\Omega \Gamma_\lambda^+(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \tag{3.96}$$

From (3.94), it is clear that $\widehat{\psi}_\lambda^+$ is coercive. Also, using the Sobolev embedding theorem, we check that $\widehat{\psi}_\lambda^+$ is sequentially weakly lower semicontinuous. So, by the Weierstrass theorem, we can find $u_\lambda \in H_0^1(\Omega)$, such that

$$\widehat{\psi}_\lambda^+(u_\lambda) = \inf_{u \in H_0^1(\Omega)} \widehat{\psi}_\lambda^+(u). \tag{3.97}$$

By virtue of hypothesis H_f (iii), we can find $c_{11} > 0$ and $\widehat{\delta}_0 > 0$, such that

$$F(z, \zeta) \geq -\frac{c_{11}}{2} \zeta^2 \quad \text{for almost all } z \in \Omega, \text{ all } |\zeta| \leq \widehat{\delta}_0. \tag{3.98}$$

Let $\tilde{u} \in \text{int } C_+$ and let $t \in (0, 1)$ be small, such that

$$t\tilde{u} \leq u_\mu, \quad t\tilde{u}(z) \leq \hat{\delta}_0 \quad \forall z \in \overline{\Omega} \quad (3.99)$$

(recall that $u_\mu \in \text{int } C_+$). Then, we have

$$\begin{aligned} \hat{\varphi}_\lambda^+(t\tilde{u}) &= \frac{t^2}{2} \sigma(\tilde{u}) + \frac{t^2 \hat{c}_1}{2} \|\tilde{u}^-\|_2^2 - \lambda \int_\Omega G(z, t\tilde{u}) dz - \int_\Omega F(z, t\tilde{u}) dz \\ &\leq \frac{t^2}{2} \left(\sigma(\tilde{u}) + (\hat{c}_1 + c_{11}) \|\tilde{u}\|_2^2 \right) - \frac{\lambda t^q c_1}{q} \|\tilde{u}\|_q^q \end{aligned} \quad (3.100)$$

(see (3.94), hypothesis H_g (iii), and (3.98)). Since $q < 2$, by choosing $t \in (0, 1)$ even smaller if necessary, we have

$$\hat{\varphi}_\lambda^+(t\tilde{u}) < 0, \quad (3.101)$$

so

$$\hat{\varphi}_\lambda^+(u_\lambda) < 0 = \hat{\varphi}_\lambda^+(0) \quad (3.102)$$

(see (3.97)); hence $u_\lambda \neq 0$.

From (3.97), we have

$$(\hat{\varphi}_\lambda^+)'(u_\lambda) = 0, \quad (3.103)$$

so

$$A(u_\lambda) + (\beta + \hat{c}_1)u_\lambda = N_{Y_\lambda^+}(u_\lambda). \quad (3.104)$$

Acting on (3.104) with $-u_\lambda^- \in H_0^1(\Omega)$, we obtain that $u_\lambda \geq 0$, $u_\lambda \neq 0$. Also, acting on (3.104) with $(u_\lambda - u_\mu)^+ \in H_0^1(\Omega)$, we have

$$\begin{aligned} &\langle A(u_\lambda), (u_\lambda - u_\mu)^+ \rangle + \int_\Omega (\beta + \hat{c}_1)u_\lambda (u_\lambda - u_\mu)^+ dz \\ &= \int_\Omega \gamma_\lambda^+(z, u_\lambda) (u_\lambda - u_\mu)^+ dz \\ &= \int_\Omega (\lambda g(z, u_\mu) + f(z, u_\mu) + \hat{c}_1 u_\mu) (u_\lambda - u_\mu)^+ dz \\ &\leq \langle A(u_\mu), (u_\lambda - u_\mu)^+ \rangle + \int_\Omega (\beta + \hat{c}_1)u_\mu (u_\lambda - u_\mu)^+ dz \end{aligned} \quad (3.105)$$

(see (3.94) and (3.93)), so

$$\langle A(u_\lambda - u_\mu), (u_\lambda - u_\mu)^+ \rangle + \int_\Omega (\beta + \hat{c}_1)(u_\lambda - u_\mu) (u_\lambda - u_\mu)^+ dz \leq 0, \quad (3.106)$$

thus

$$\sigma\left((u_\lambda - u_\mu)^+\right) + \widehat{c}_1 \left\| (u_\lambda - u_\mu)^+ \right\|_2^2 \leq 0, \tag{3.107}$$

hence

$$\frac{1}{\widehat{c}_0} \left\| (u_\lambda - u_\mu)^+ \right\|_2^2 \leq 0 \tag{3.108}$$

(see (2.14)) and so finally

$$u_\lambda \leq u_\mu. \tag{3.109}$$

So, we have proved that

$$u_\lambda \in [0, u_\mu] = \left\{ u \in H_0^1(\Omega) : 0 \leq u(z) \leq u_\mu(z) \text{ for almost all } z \in \Omega \right\}. \tag{3.110}$$

Hence (3.104) becomes

$$A(u_\lambda) + \beta u_\lambda = \lambda N_g(u_\lambda) + N_f(u_\lambda) \tag{3.111}$$

(see (3.94)), so

$$\begin{aligned} -\Delta u_\lambda(z) + \beta(z)u_\lambda(z) &= \lambda g(z, u_\lambda(z)) + f(z, u_\lambda(z)) \text{ in } \Omega, \\ u_\lambda|_{\partial\Omega} &= 0, \end{aligned} \tag{3.112}$$

thus $u_\lambda \in \text{int } C_+$ (as in the proof of Proposition 3.6) and it is a solution of $((P)_\lambda)$.

If $u_\lambda \neq u_0$, then this is the desired second nontrivial positive smooth solution of $((P)_\lambda)$.

So, we may assume that $u_\lambda = u_0$ and that there is no other solution of $((P)_\lambda)$ in the order interval

$$[u_0, u_\lambda] = \left\{ u \in H_0^1(\Omega) : u_0(z) \leq u(z) \leq u_\mu(z) \text{ for almost all } z \in \Omega \right\}. \tag{3.113}$$

We introduce the following truncation of $\gamma_\lambda^+(z, \cdot)$:

$$\widetilde{\gamma}_\lambda^+(z, \zeta) = \begin{cases} \gamma_\lambda^+(z, u_0(z)) & \text{if } \zeta < u_0(z), \\ \gamma_\lambda^+(z, \zeta) & \text{if } u_0(z) \leq \zeta. \end{cases} \tag{3.114}$$

This is a Carathéodory function. We set

$$\widetilde{\Gamma}_\lambda^+(z, \zeta) = \int_0^\zeta \widetilde{\gamma}_\lambda^+(z, s) ds \tag{3.115}$$

and consider the C^1 -functional $\tilde{\psi}_\lambda^+ : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\tilde{\psi}_\lambda^+(u) = \frac{1}{2}\sigma(u) + \frac{\widehat{c}_1}{2}\|u\|_2^2 - \int_\Omega \tilde{\Gamma}_\lambda^+(z, u(z))dz \quad \forall u \in H_0^1(\Omega). \quad (3.116)$$

From (3.94) and (3.114), it follows that $\tilde{\psi}_\lambda^+$ is coercive. Also, it is sequentially weakly lower semicontinuous. Hence, we can find $\tilde{u}_\lambda \in H_0^1(\Omega)$, such that

$$\tilde{\psi}_\lambda^+(\tilde{u}_\lambda) = \inf_{u \in H_0^1(\Omega)} \tilde{\psi}_\lambda^+(u), \quad (3.117)$$

so

$$(\tilde{\psi}_\lambda^+)'(\tilde{u}_\lambda) = 0, \quad (3.118)$$

and thus

$$A(\tilde{u}_\lambda) + (\beta + \widehat{c}_1)\tilde{u}_\lambda = N_{\tilde{\gamma}_\lambda^+}(\tilde{u}_\lambda). \quad (3.119)$$

As before, acting on (3.119) with $(u_0 - \tilde{u}_\lambda)^+ \in H_0^1(\Omega)$ and with $(\tilde{u}_\lambda - u_\mu)^+ \in H_0^1(\Omega)$, we show that $\tilde{u}_\lambda \in [u_0, u_\mu]$. Then, from (3.94) and (3.114), it follows that

$$A(\tilde{u}_\lambda) + \beta\tilde{u}_\lambda = \lambda N_g(\tilde{u}_\lambda) + N_f(\tilde{u}_\lambda), \quad (3.120)$$

so $\tilde{u}_\lambda \in \text{int } C_+$ is a solution of $((P)_\lambda)$ in $[u_0, u_\mu]$, hence $\tilde{u}_\lambda = u_0$.

Let $\varrho = \|u_0\|_\infty$ and let $\gamma_\varrho > 0$ and $\widehat{\gamma}_\varrho > 0$ be as postulated by hypotheses $H_g(\text{iv})$ and $H_f(\text{iv})$, respectively. We have

$$\begin{aligned} & -\Delta u_0(z) + (\beta(z) + \widehat{\gamma}_\varrho)u_0(z) + \lambda\gamma_\varrho u_0(z)^{q-1} \\ & = \lambda g(z, u_0(z)) + \lambda\gamma_\varrho u_0(z)^{q-1} + f(z, u_0(z)) + \widehat{\gamma}_\varrho u_0(z) \\ & \leq \lambda g(z, u_\mu(z)) + \lambda\gamma_\varrho u_\mu(z)^{q-1} + f(z, u_\mu(z)) + \widehat{\gamma}_\varrho u_\mu(z) \\ & \leq \mu g(z, u_\mu(z)) + \mu\gamma_\varrho u_\mu(z)^{q-1} + f(z, u_\mu(z)) + \widehat{\gamma}_\varrho u_\mu(z) \\ & = -\Delta u_\mu(z) + (\beta(z) + \widehat{\gamma}_\varrho)u_\mu(z) + \mu\gamma_\varrho u_\mu(z)^{q-1} \text{ for almost all } z \in \Omega \end{aligned} \quad (3.121)$$

(see $H_g(\text{iv})$ and (iii), $H_f(\text{iv})$ and recall that $u_0 \leq u_\mu$ and $\lambda < \mu$), so there exists $c_{12} > 0$, such that

$$\Delta(u_\mu - u_0)(z) \leq (\|\beta^+\|_\infty + \widehat{\gamma}_\varrho)(u_\mu - u_0)(z) + \mu\gamma_\varrho c_{12}(u_\mu - u_0)(z), \quad (3.122)$$

for almost all $z \in \Omega$ (recall that the function $\zeta \mapsto \zeta^{q-1}$ is locally Lipschitz); thus

$$u_\mu - u_0 \in \text{int } C_+ \quad (3.123)$$

(see Struwe [13] and Pucci and Serrin [14, page 120]). So, we have that

$$u_\lambda = u_0 \in \operatorname{int}_{C_0^1(\overline{\Omega})} [0, u_\mu]. \quad (3.124)$$

Note that

$$\tilde{\varphi}_\lambda^+|_{[0, u_\mu]} = \varphi_\lambda^+|_{[0, u_\mu]} \quad (3.125)$$

(see (3.94) and (3.114)), so

$$u_0 \text{ is alocal } C_0^1(\overline{\Omega})\text{-minimizer of } \varphi_\lambda^+ \quad (3.126)$$

(see (3.124)), and thus

$$u_0 \text{ is alocal } H_0^1(\Omega)\text{-minimizer of } \varphi_\lambda^+ \quad (3.127)$$

(Brézis and Nirenberg [16]). This proves Claim 3.

By virtue of Claim 3, as in Gasiński and Papageorgiou [17, proof of Theorem 3.4], we can find $\varrho_\lambda \in (0, 1)$ small, such that

$$\varphi_\lambda^+(u_0) < \inf\{\varphi_\lambda^+(u) : \|u - u_0\| = \varrho_\lambda\} = \eta_\lambda^+. \quad (3.128)$$

As in Proposition 3.4, for $\tilde{u} \in \operatorname{int} C_+$ with $\|\tilde{u}\|_2 = 1$, we have

$$\varphi_\lambda^+(t\tilde{u}) \longrightarrow -\infty \quad \text{as } t \longrightarrow +\infty. \quad (3.129)$$

Note that $\hat{\varphi}_\lambda^+ = \varphi_\lambda^+ - \xi_\lambda^+$ with $\xi_\lambda^+ \in \mathbb{R}$. Hence by virtue of Proposition 3.1, φ_λ^+ satisfies the Cerami condition. This fact together with (3.128) and (3.129) permits the use of the mountain pass theorem (see Theorem 2.1). So, we can find $\hat{u} \in H_0^1(\Omega)$, such that

$$\varphi_\lambda^+(u_0) < \eta_\lambda^+ \leq \varphi_\lambda^+(\hat{u}), \quad (3.130)$$

$$(\varphi_\lambda^+)'(\hat{u}) = 0. \quad (3.131)$$

From (3.130) we have $\hat{u} \neq u_0$. From (3.131) and Claim 2, we have that

$$u_0 \leq \hat{u}. \quad (3.132)$$

Hence

$$A(\hat{u}) + \beta\hat{u} = \lambda N_g(\hat{u}) + N_f(\hat{u}) \quad (3.133)$$

(see (3.84)), and so $\hat{u} \in \operatorname{int} C_+$ solves problem $((P)_\lambda)$.

Moreover, as before, using the strong maximum principle (see Vázquez [15] and Pucci and Serrin [14, page 120]), we have

$$\hat{u} - u_0 \in \text{int } C_+. \quad (3.134)$$

In a similar way, using $v_0 \in -\text{int } C_+$, we define

$$h_\lambda^-(z, \zeta) = \begin{cases} \lambda g(z, \zeta) + f(z, \zeta) + \hat{c}_1 \zeta & \text{if } \zeta < v_0(z), \\ \lambda g(z, v_0(z)) + f(z, v_0(z)) + \hat{c}_1 v_0(z) & \text{if } v_0(z) \leq \zeta. \end{cases} \quad (3.135)$$

This is a Carathéodory function. We set

$$H_\lambda^-(z, \zeta) = \int_0^\zeta h_\lambda^-(z, s) ds \quad (3.136)$$

and consider the C^1 -functional $\psi_\lambda^- : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\psi_\lambda^-(u) = \frac{1}{2} \sigma(u) + \frac{\hat{c}_1}{2} \|u\|_2^2 - \int_\Omega H_\lambda^-(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \quad (3.137)$$

Reasoning as above, using this time ψ_λ^- , we obtain a second negative smooth solution $\hat{v} \in -\text{int } C_+$ of problem $((P)_\lambda)$, such that

$$v_0 - \hat{v} \in \text{int } C_+. \quad (3.138)$$

□

4. Five Solutions

In this section, we prove two multiplicity theorems, establishing five nontrivial smooth solutions when $\lambda \in (0, \lambda^*)$. In the second multiplicity theorem, we provide sign information for all the solutions (i.e., we show that the fifth solution is actually nodal).

Theorem 4.1. *If hypotheses H_g , H_f , H_0 , and H_β hold and $\lambda \in (0, \lambda^*)$, then problem $((P)_\lambda)$ has at least five nontrivial smooth solutions:*

$$\begin{aligned} u_0, \hat{u} \in \text{int } C_+, \quad \hat{u} - u_0 \in \text{int } C_+, \quad v_0, \hat{v} \in -\text{int } C_+, \quad v_0 - \hat{v} \in \text{int } C_+ \\ y_0 \in C_0^1(\overline{\Omega}), \quad u_0 - y_0 \in \text{int } C_+, \quad y_0 - v_0 \in \text{int } C_+. \end{aligned} \quad (4.1)$$

Proof. From Proposition 3.7, we already have four nontrivial smooth solutions of constant sign:

$$u_0, \hat{u} \in \text{int } C_+, \quad \hat{u} - u_0 \in \text{int } C_+, v_0, \quad \hat{v} \in -\text{int } C_+, \quad v_0 - \hat{v} \in \text{int } C_+. \quad (4.2)$$

We consider the following truncation perturbation of the reaction of problem $((P)_\lambda)$:

$$h_\lambda^*(z, \zeta) = \begin{cases} \lambda g(z, v_0(z)) + f(z, v_0(z)) + \widehat{c}_1 v_0(z) & \text{if } \zeta < v_0(z), \\ \lambda g(z, \zeta) + f(z, \zeta) & \text{if } v_0(z) \leq \zeta \leq u_0(z), \\ \lambda g(z, u_0(z)) + f(z, u_0(z)) + \widehat{c}_1 u_0(z) & \text{if } u_0(z) < \zeta. \end{cases} \quad (4.3)$$

This is a Carathéodory function. We set

$$H_\lambda^*(z, \zeta) = \int_0^\zeta h_\lambda^*(z, s) ds \quad (4.4)$$

and consider the C^1 -functional $\psi_\lambda^* : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\psi_\lambda^*(u) = \frac{1}{2} \sigma(u) + \frac{\widehat{c}_1}{2} \|u\|_2^2 - \int_\Omega H_\lambda^*(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \quad (4.5)$$

From (4.3), it follows that ψ_λ^* is coercive. Also, it is sequentially weakly lower semicontinuous. So, we can find $y_0 \in H_0^1(\Omega)$, such that

$$\psi_\lambda^*(y_0) = \inf_{u \in H_0^1(\Omega)} \psi_\lambda^*(u). \quad (4.6)$$

As in the proof of Proposition 3.7, using (3.98), we show that

$$\psi_\lambda^*(y_0) < 0 = \psi_\lambda^*(0), \quad (4.7)$$

hence $y_0 \neq 0$. From (4.6), we have

$$(\psi_\lambda^*)'(y_0) = 0, \quad (4.8)$$

so

$$A(y_0) + (\beta + \widehat{c}_1)y_0 = N_{h_\lambda^*}(y_0). \quad (4.9)$$

On (4.9) we act with $(y_0 - u_0)^+ \in H_0^1(\Omega)$. Then

$$\begin{aligned} & \left\langle A(y_0), (y_0 - u_0)^+ \right\rangle + \int_\Omega (\beta + \widehat{c}_1)y_0(y_0 - u_0)^+ dz \\ &= \int_\Omega (\lambda g(z, u_0) + f(z, u_0) + \widehat{c}_1 u_0)(y_0 - u_0)^+ dz \\ &= \left\langle A(u_0), (y_0 - u_0)^+ \right\rangle + \int_\Omega (\beta + \widehat{c})u_0(y_0 - u_0)^+ dz \end{aligned} \quad (4.10)$$

(see (4.3)), so

$$\left\langle A(y_0 - u_0), (y_0 - u_0)^+ \right\rangle + \int_{\Omega} (\beta + \widehat{c}_1)(y_0 - u_0)(y_0 - u_0)^+ dz = 0, \quad (4.11)$$

thus

$$\sigma\left((y_0 - u_0)^+\right) + \widehat{c}_1 \left\| (y_0 - u_0)^+ \right\|_2^2 = 0, \quad (4.12)$$

and hence

$$\frac{1}{\widehat{c}_0} \left\| (y_0 - u_0)^+ \right\|^2 \leq 0 \quad (4.13)$$

(see (2.14)); hence $y_0 \leq u_0$.

Similarly, acting on (4.9) with $(v_0 - y_0)^+ \in H_0^1(\Omega)$, we show that $v_0 \leq y_0$. Therefore,

$$y_0 \in [v_0, u_0] = \left\{ u \in H_0^1(\Omega) : v_0(z) \leq u(z) \leq u_0(z) \text{ for almost all } z \in \Omega \right\}, \quad (4.14)$$

and so (4.9) becomes

$$A(y_0) + \beta y_0 = \lambda N_g(y_0) + N_f(y_0) \quad (4.15)$$

(see (4.3)); thus

$$y_0 \in C_0^1(\overline{\Omega}) \quad (4.16)$$

(regularity theory; see Struwe [13]), and it solves problem $((P)_\lambda)$.

Moreover, as in the proof of Proposition 3.7, using hypotheses $H_g(\text{iv})$ and $H_f(\text{iv})$, we also show that

$$u_0 - y_0 \in \text{int } C_+, \quad y_0 - v_0 \in \text{int } C_+. \quad (4.17)$$

□

Next we will improve the conclusion of Theorem 4.1 and show that the fifth solution y_0 is nodal (sign changing). To do this we need to strengthen a little bit the hypotheses on $f(z, \cdot)$.

The new hypotheses of f are the following:

$\underline{H'_f}$: $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is a Carathéodory function, such that $f(z, 0) = 0$ for almost all $z \in \Omega$,

(i), (iii), (iv) are the same as the corresponding hypotheses $H_f(\text{i}), (\text{iii}), (\text{iv})$,

(ii) we have

$$\lim_{\zeta \rightarrow \pm\infty} \frac{f(z, \zeta)}{\zeta} = +\infty \quad \text{uniformly for almost all } z \in \Omega. \quad (4.18)$$

Remark 4.2. Hypothesis H'_f is a slight restricted version of hypothesis H_f . Note that if

$$\widehat{\xi}(z, \zeta) = f(z, \zeta)\zeta - 2F(z, \zeta) \quad (4.19)$$

and there exists a function $\widehat{\vartheta}^* \in L^1(\Omega)_+$, such that

$$\widehat{\xi}(z, \zeta) \leq \widehat{\xi}(z, y) + \widehat{\vartheta}^*(z) \quad \text{for almost all } z \in \Omega, \text{ all } 0 \leq \zeta \leq y \text{ or } y \leq \zeta \leq 0, \quad (4.20)$$

then (4.20) and H_f (ii) imply H'_f (ii) (see Li and Yang [10]). Also, note that hypotheses H_f (i), (ii), and (iii) imply that there exists $c_* > 0$, such that

$$f(z, \zeta)\zeta + c_*\zeta^2 \geq 0 \quad \text{for almost all } z \in \Omega, \text{ all } \zeta \in \mathbb{R}. \quad (4.21)$$

We consider the following auxiliary Dirichlet problem:

$$\begin{aligned} -\Delta u(z) + \beta(z)u(z) &= \lambda c_1 |u(z)|^{q-2}u(z) - c_*u(z) \quad \text{in } \Omega, \\ u|_{\partial\Omega} &= 0. \end{aligned} \quad ((Q)_\lambda)$$

Here $c_1 > 0$ and $q \in (1, 2)$ are as in hypothesis H_g (iii) and $c_* > 0$ is as in (4.21).

Proposition 4.3. *For every $\lambda > 0$, problem $((Q)_\lambda)$ has a unique nontrivial positive solution $\underline{u}_\lambda \in \text{int } C_+$, and by oddness, we have that $-\underline{u}_\lambda = \overline{v}_\lambda \in -\text{int } C_+$ is the unique negative solution of $((Q)_\lambda)$.*

Proof. Let $k_\lambda^+ : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ be the Carathéodory function, defined by

$$k_\lambda^+(z, \zeta) = \begin{cases} 0 & \text{if } \zeta \leq 0, \\ \lambda c_1 \zeta^{q-1} - (\widehat{c}_1 - c_*)\zeta & \text{if } \zeta > 0. \end{cases} \quad (4.22)$$

Clearly, we can always assume that $c_* \geq \widehat{c}_1$ (see (4.21)). Let

$$K_\lambda^+(z, \zeta) = \int_0^\zeta k_\lambda^+(z, s) ds, \quad (4.23)$$

and consider the C^1 -functional $\theta_\lambda^+ : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\theta_\lambda^+(u) = \frac{1}{2}\sigma(u) + \frac{\widehat{c}_1}{2}\|u\|_2^2 - \int_\Omega K_\lambda^+(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \quad (4.24)$$

Using (2.14) and (4.22), we have

$$\theta_\lambda^+(u) \geq \frac{1}{2\widehat{c}_0} \|u\|^2 + \frac{c_* - \widehat{c}_1}{2} \|u^+\|_2^2 - \frac{\lambda c_1}{q} \|u^+\|_q^q. \quad (4.25)$$

Since $q < 2$, from (4.25), we infer that θ_λ^+ is coercive. Also, it is sequentially weakly lower semicontinuous (recall that $c_* \geq \widehat{c}_1$). So, by the Weierstrass theorem, we can find $\underline{u}_\lambda \in H_0^1(\Omega)$, such that

$$\theta_\lambda^+(\underline{u}_\lambda) = \inf_{u \in H_0^1(\Omega)} \theta_\lambda^+(u). \quad (4.26)$$

As before (see the proof of Proposition 3.7), since $q < 2$, we have

$$\theta_\lambda^+(\underline{u}_\lambda) < 0 = \theta_\lambda^+(0), \quad (4.27)$$

hence $\underline{u}_\lambda \neq 0$. From (4.26), we have

$$(\theta_\lambda^+)'(\underline{u}_\lambda) = 0, \quad (4.28)$$

so

$$A(\underline{u}_\lambda) + (\beta + \widehat{c}_1)\underline{u}_\lambda = N_{k_\lambda^+}(\underline{u}_\lambda). \quad (4.29)$$

Acting on (4.29) with $-\underline{u}_\lambda^- \in H_0^1(\Omega)$, we show that $\underline{u}_\lambda \geq 0$, $\underline{u}_\lambda \neq 0$ (see (2.14)). Then (4.29) becomes

$$A(\underline{u}_\lambda) + (\beta + c_*)\underline{u}_\lambda = \lambda c_1 \underline{u}_\lambda^{q-1}, \quad (4.30)$$

so

$$-\Delta \underline{u}_\lambda(z) + (\beta(z) + c_*)\underline{u}_\lambda(z) \geq 0 \quad \text{for almost all } z \in \Omega, \quad (4.31)$$

thus

$$\Delta \underline{u}_\lambda(z) \leq (\|\beta^+\|_\infty + c_*)\underline{u}_\lambda(z) \quad \text{for almost all } z \in \Omega \quad (4.32)$$

(see hypothesis H_β), and hence

$$\underline{u}_\lambda \in \text{int } C_+ \quad (4.33)$$

(see Vázquez [15] and Pucci and Serrin [14, page 120]).

We claim that this solution is the unique nontrivial positive solution of $((Q)_\lambda)$. To this end, let $u, v \in \text{int } C_+$ be two positive solutions of $((Q)_\lambda)$. We have

$$\begin{aligned}
 & \int_{\Omega} \frac{\lambda c_1 u^{q-1} - c_* u}{u} (u^2 - v^2) dz \\
 &= \int_{\Omega} (\lambda c_1 u^{q-1} - c_* u) \left(u - \frac{v^2}{u} \right) dz \\
 &= \int_{\Omega} (-\Delta u) \left(u - \frac{v^2}{u} \right) dz + \int_{\Omega} \beta (u^2 - v^2) dz \\
 &= \int_{\Omega} \left(\nabla u, \nabla u - \nabla \left(\frac{v^2}{u} \right) \right)_{\mathbb{R}^N} dz + \int_{\Omega} \beta (u^2 - v^2) dz \\
 &= \|\nabla u\|_2^2 - \int_{\Omega} \left(\nabla u, \frac{2v}{u} \nabla v - \frac{v^2}{u^2} \nabla u \right)_{\mathbb{R}^N} dz + \int_{\Omega} \beta (u^2 - v^2) dz \\
 &= \|\nabla u\|_2^2 - \int_{\Omega} \frac{2v}{u} (\nabla u, \nabla v)_{\mathbb{R}^N} dz \\
 &\quad + \int_{\Omega} \frac{v^2}{u^2} \|\nabla u\|^2 dz + \int_{\Omega} \beta (u^2 - v^2) dz.
 \end{aligned} \tag{4.34}$$

Interchanging the roles of u and v in the above argument, we also have

$$\begin{aligned}
 & \int_{\Omega} \frac{\lambda c_1 v^{q-1} - c_* v}{v} (v^2 - u^2) dz \\
 &= \|\nabla v\|_2^2 - \int_{\Omega} \frac{2u}{v} (\nabla v, \nabla u)_{\mathbb{R}^N} dz + \int_{\Omega} \frac{u^2}{v^2} \|\nabla v\|^2 dz + \int_{\Omega} \beta (v^2 - u^2) dz.
 \end{aligned} \tag{4.35}$$

Adding (4.34) and (4.35), we obtain

$$\int_{\Omega} \left(\frac{\lambda c_1 u^{q-1} - c_* u}{u} - \frac{\lambda c_1 v^{q-1} - c_* v}{v} \right) (u^2 - v^2) dz = \left\| \nabla u - \frac{u}{v} \nabla v \right\|_2^2 + \left\| \nabla v - \frac{v}{u} \nabla u \right\|_2^2 \geq 0. \tag{4.36}$$

Since $q < 2$, the function $\zeta \mapsto (\lambda c_1 \zeta^{q-1} - c_* \zeta) / \zeta$ is strictly decreasing on $(0, +\infty)$. Hence, from (4.36), we infer that $u = v$. This proves the uniqueness of the nontrivial positive solution $\underline{u}_\lambda \in \text{int } C_+$ of problem $((Q)_\lambda)$.

The oddness of problem $((Q)_\lambda)$ implies that $\bar{v}_\lambda = -\underline{u}_\lambda \in -\text{int } C_+$ is the unique nontrivial negative solution of $((Q)_\lambda)$. \square

Using Proposition 4.3, we can show that problem $((P)_\lambda)$ (for $\lambda \in (0, \lambda^*)$) has extremal constant sign solutions; that is, it has a smallest nontrivial positive solution and a biggest nontrivial negative solution.

Proposition 4.4. *If hypotheses H_g , H'_f , H_0 , and H_β hold and $\lambda \in (0, \lambda^*)$, then problem $((P)_\lambda)$ has a smallest nontrivial positive solution $u_+^\lambda \in \text{int } C_+$ and a biggest nontrivial negative solution $v_-^\lambda \in \text{int } -C_+$.*

Proof. Let \bar{u} be a nontrivial positive solution of $((P)_\lambda)$. From the proof of Proposition 3.6, we know that $\bar{u} \in \text{int } C_+$. We have

$$-\Delta \bar{u}(z) + \beta(z)\bar{u}(z) = \lambda g(z, \bar{u}(z)) + f(z, \bar{u}(z)) \geq \lambda c_1 \bar{u}(z)^{q-1} - c_* \bar{u}(z), \quad (4.37)$$

for almost all $z \in \Omega$ (see hypothesis H_g (iii) and (4.21)).

We consider the following Carathéodory function:

$$\widehat{k}_\lambda^+(z, \zeta) = \begin{cases} 0 & \text{if } \zeta \leq 0, \\ \lambda c_1 \zeta^{q-1} + (\widehat{c}_1 - c_*)\zeta & \text{if } 0 \leq \zeta \leq \bar{u}, \\ \lambda c_1 \bar{u}(z)^{q-1} + (\widehat{c}_1 - c_*)\bar{u}(z) & \text{if } \bar{u} < \zeta. \end{cases} \quad (4.38)$$

Let

$$\widehat{K}_\lambda^+(z, \zeta) = \int_0^\zeta \widehat{k}_\lambda^+(z, s) ds, \quad (4.39)$$

and consider the C^1 -functional $\xi_\lambda^+ : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\xi_\lambda^+(u) = \frac{1}{2}\sigma(u) + \frac{\widehat{c}_1}{2}\|u\|_2^2 - \int_\Omega \widehat{K}_\lambda^+(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \quad (4.40)$$

From (4.38) and (2.14), it is clear that ξ_λ^+ is coercive. Also ξ_λ^+ is sequentially weakly lower semicontinuous. Thus we can find $w_0 \in H_0^1(\Omega)$, such that

$$\xi_\lambda^+(w_0) = \inf_{u \in H_0^1(\Omega)} \xi_\lambda^+(u). \quad (4.41)$$

As before, the presence of the “concave” term $\lambda c_1 \zeta^{q-1}$ implies that

$$\xi_\lambda^+(w_0) < 0 = \xi_\lambda^+(0), \quad (4.42)$$

that is, $w_0 \neq 0$. From (4.41), we have

$$(\xi_\lambda^+)'(w_0) = 0, \quad (4.43)$$

so

$$A(w_0) + (\beta + \widehat{c}_1)w_0 = N_{\widehat{K}_\lambda^+}(w_0). \quad (4.44)$$

On (4.44) we act with $-w_0^- \in H_0^1(\Omega)$ and obtain

$$\sigma(w_0^-) + \widehat{c}_1 \|w_0^-\|_2^2 = 0 \quad (4.45)$$

(see (4.38)), so

$$\frac{1}{\widehat{c}_0} \|w_0^-\|^2 \leq 0 \quad (4.46)$$

(see (2.14)), and hence $w_0 \geq 0$, $w_0 \neq 0$.

Also, on (4.44) we act with $(w_0 - \bar{u})^+ \in H_0^1(\Omega)$. Then

$$\begin{aligned} & \langle A(w_0), (w_0 - \bar{u})^+ \rangle + \int_{\Omega} (\beta + \widehat{c}_1) w_0 (w_0 - \bar{u})^+ dz \\ &= \int_{\Omega} \widehat{k}_{\lambda}^+(z, w_0) (w_0 - \bar{u})^+ dz \\ &= \int_{\Omega} (\lambda c_1 \bar{u}^{q-1} - c_* \bar{u}) (w_0 - \bar{u})^+ dz \\ &\leq \langle A(\bar{u}), (w_0 - \bar{u})^+ \rangle + \int_{\Omega} \beta (w_0 - \bar{u})^+ dz + \widehat{c}_1 \| (w_0 - \bar{u})^+ \|^2 \end{aligned} \quad (4.47)$$

(see (4.38) and (4.37)), so

$$\sigma((w_0 - \bar{u})^+) + \widehat{c}_1 \| (w_0 - \bar{u})^+ \|^2 \leq 0, \quad (4.48)$$

thus

$$\frac{1}{\widehat{c}_0} \| (w_0 - \bar{u})^+ \|^2 \leq 0 \quad (4.49)$$

(see (2.14)), and hence

$$w_0 \leq \bar{u}. \quad (4.50)$$

So, we have proved that

$$w_0 \in [0, \bar{u}] = \left\{ u \in H_0^1(\Omega) : 0 \leq u(z) \leq \bar{u}(z) \text{ for almost all } z \in \Omega \right\}. \quad (4.51)$$

This means that (4.44) becomes

$$A(w_0) + \beta w_0 = \lambda c_1 w_0^{q-1} - c_* w_0, \quad (4.52)$$

so $w_0 \in \text{int } C_+$ (regularity theory of Struwe [13] and strong maximum principle due to Vázquez [15] and Pucci Serrin [14, page 120]) and it solves problem $((Q)_\lambda)$. Thus

$$w_0 = \underline{u}_\lambda \quad (4.53)$$

(see Proposition 4.3), and

$$\underline{u}_\lambda \leq \underline{u}. \quad (4.54)$$

This shows that every nontrivial positive solution u of $((P)_\lambda)$ satisfies

$$\underline{u}_\lambda \leq u. \quad (4.55)$$

Similarly, we show that every nontrivial negative solution v of problem $((P)_\lambda)$ satisfies

$$v \leq \bar{v}_\lambda = -\underline{u}_\lambda. \quad (4.56)$$

Let $S_+(\lambda)$ (resp., $S_-(\lambda)$) be the set of nontrivial positive (resp., negative) solutions of problem $((P)_\lambda)$. Let $C \subseteq S_+(\lambda)$ be a chain (i.e., a totally ordered subset of $S_+(\lambda)$). From Dunford and Schwartz [18, page 336], we can find a sequence $\{u_n\}_{n \geq 1} \subseteq C$, such that

$$\inf C = \inf_{n \geq 1} u_n. \quad (4.57)$$

Lemma 1.5 of Heikkilä and Lakshmikantham [19, page 15] implies that we can have the sequence $\{u_n\}_{n \geq 1} \subseteq C$ to be decreasing. Then we have

$$A(u_n) + \beta u_n = \lambda N_g(u_n) + N_f(u_n), \quad \underline{u}_\lambda \leq u_n \leq u_1 \quad \forall n \geq 1, \quad (4.58)$$

so

$$\text{the sequence } \{u_n\}_{n \geq 1} \subseteq H_0^1(\Omega) \text{ is bounded.} \quad (4.59)$$

Hence by passing to a suitable subsequence if necessary, we may assume that

$$u_n \rightharpoonup u_* \text{ weakly in } H_0^1(\Omega), \quad (4.60)$$

$$u_n \rightarrow u_* \text{ in } L^{2s'}(\Omega) \text{ and in } L^r(\Omega). \quad (4.61)$$

So, passing to the limit as $n \rightarrow +\infty$ in (4.58) and using (4.61), we obtain

$$A(u_*) + \beta u_* = \lambda N_g(u_*) + N_f(u_*), \quad \underline{u}_\lambda \leq u_*, \quad (4.62)$$

so

$$\inf C = u_* \in S_+(\lambda). \tag{4.63}$$

Since C was an arbitrary chain, invoking the Kuratowski-Zorn lemma, we infer that $S_+(\lambda)$ has a minimal element $u_+^\lambda \in \text{int } C_+$. From Gasiński and Papageorgiou [17, Lemma 4.2, page 5763], we know that $S_+(\lambda)$ is downward directed (i.e., if $u_1, u_2 \in S_+(\lambda)$; then we can find $u \in S_+(\lambda)$, such that $u \leq u_1$ and $u \leq u_2$). So, it follows that u_+^λ is the smallest nontrivial positive solution of problem $((P)_\lambda)$.

Similarly, we introduce the biggest nontrivial negative solution $v_-^\lambda \in -\text{int } C_+$ of problem $((P)_\lambda)$. Note that $S_-(\lambda)$ is upward directed (i.e., if $v_1, v_2 \in S_-(\lambda)$, then we can find $v \in S_-(\lambda)$, such that $v_1 \leq v$ and $v_2 \leq v$; see Gasiński and Papageorgiou [17, Lemma 4.3, page 5764]). □

Now that we have these extremal constant sign solutions, we can produce a nodal solution of problem $((P)_\lambda)$ (with $\lambda \in (0, \lambda^*)$).

Theorem 4.5. *If hypotheses $H_g, H'_f, H_0,$ and H_β hold and $\lambda \in (0, \lambda^*)$, then problem $((P)_\lambda)$ has at least five nontrivial smooth solutions:*

$$\begin{aligned} u_0, \hat{u} \in \text{int } C_+, \quad \hat{u} - u_0 \in \text{int } C_+, \quad v_0, \hat{v} \in -\text{int } C_+, \quad v_0 - \hat{v} \in \text{int } C_+ \\ y_0 \in C_0^1(\overline{\Omega}) \text{ nodal with } u_0 - y_0 \in \text{int } C_+, \quad y_0 - v_0 \in \text{int } C_+. \end{aligned} \tag{4.64}$$

Moreover, problem $((P)_\lambda)$ has a smallest nontrivial positive solution and a biggest negative solution.

Proof. The existence of extremal nontrivial constant sign solutions is guaranteed by Proposition 4.4. Let $u_+^\lambda \in \text{int } C_+$ and $v_-^\lambda \in -\text{int } C_+$ be these two extremal solutions.

We introduce the following truncation perturbation of the reaction of problem $((P)_\lambda)$:

$$\gamma_\lambda(z, \zeta) = \begin{cases} \lambda g(z, v_-^\lambda(z)) + f(z, v_-^\lambda(z)) + \hat{c}_1 v_-^\lambda(z) & \text{if } \zeta < v_-^\lambda(z), \\ \lambda g(z, \zeta) + f(z, \zeta) + \hat{c}_1 \zeta & \text{if } v_-^\lambda(z) \leq \zeta \leq u_+^\lambda(z), \\ \lambda g(z, u_+^\lambda(z)) + f(z, u_+^\lambda(z)) + \hat{c}_1 u_+^\lambda(z) & \text{if } u_+^\lambda(z) < \zeta. \end{cases} \tag{4.65}$$

This is a Carathéodory function. We set

$$\Gamma_\lambda(z, \zeta) = \int_0^\zeta \gamma_\lambda(z, s) ds \tag{4.66}$$

and consider the C^1 -functional $\chi_\lambda : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\chi_\lambda(u) = \frac{1}{2} \sigma(u) + \frac{\hat{c}_1}{2} \|u\|_2^2 - \int_\Omega \Gamma_\lambda(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \tag{4.67}$$

Also, we introduce

$$\gamma_\lambda^\pm(z, \zeta) = \gamma_\lambda(z, \pm\zeta^\pm), \quad \Gamma_\lambda^\pm(z, \zeta) = \int_0^\zeta \gamma_\lambda^\pm(z, s) ds \quad (4.68)$$

and consider the C^1 -functionals $\chi_\lambda^\pm : H_0^1(\Omega) \rightarrow \mathbb{R}$, defined by

$$\chi_\lambda^\pm(u) = \frac{1}{2}\sigma(u) + \frac{\widehat{c}_1}{2}\|u\|_2^2 - \int_\Omega \Gamma_\lambda^\pm(z, u(z)) dz \quad \forall u \in H_0^1(\Omega). \quad (4.69)$$

As in the proof of Theorem 4.1, we show that

$$K_{\chi_\lambda} \subseteq [v_-^\lambda, u_+^\lambda], \quad K_{\chi_\lambda^+} \subseteq [0, u_+^\lambda], \quad K_{\chi_\lambda^-} \subseteq [v_-^\lambda, 0] \quad (4.70)$$

(see (4.65)). The extremality of the solutions v_-^λ and u_+^λ implies that

$$K_{\chi_\lambda} \subseteq [v_-^\lambda, u_+^\lambda], \quad K_{\chi_\lambda^+} = \{0, u_+^\lambda\}, \quad K_{\chi_\lambda^-} = \{v_-^\lambda, 0\}. \quad (4.71)$$

Claim 4. Solutions u_+^λ and v_-^λ are both local minimizers of χ_λ .

Evidently χ_λ^+ is coercive (see (4.65)) and sequentially weakly lower semicontinuous. So, we can find $u_+ \in H_0^1(\Omega)$, such that

$$\chi_\lambda^+(u_+) = \inf_{u \in H_0^1(\Omega)} \chi_\lambda^+(u). \quad (4.72)$$

As before (see the proof of Proposition 3.7), the presence of the “concave” term implies that

$$\chi_\lambda^+(u_+) < 0 = \chi_\lambda^+(0), \quad (4.73)$$

hence $u_+ \neq 0$, and so $u_+ = u_+^\lambda$ (see (4.71)). Since

$$\chi_\lambda|_{C_+} = \chi_\lambda^+|_{C_+}, \quad (4.74)$$

it follows that $u_+ = u_+^\lambda \in \text{int } C_+$ is local $C_0^1(\overline{\Omega})$ -minimizers of χ_λ ; hence by Brézis and Nirenberg [16], it is also local $H_0^1(\Omega)$ -minimizers of χ_λ .

Similarly this is for v_-^λ using this time the functional χ_λ^- . This proves the claim.

Without any loss of generality, we may assume that

$$\chi_\lambda(v_-^\lambda) \leq \chi_\lambda(u_+^\lambda). \quad (4.75)$$

The analysis is similar, if the opposite inequality holds. Because of the claim, we can find $\varrho \in (0, 1)$ small, such that

$$\chi_\lambda(v_-^\lambda) \leq \chi_\lambda(u_+^\lambda) < \inf\{\chi_\lambda(u) : \|u - u_+^\lambda\| = \varrho\} = \eta_\lambda \tag{4.76}$$

(see Gasiński and Papageorgiou [17, proof of Theorem 3.4]).

Since χ_λ is coercive (see (4.65)), it satisfies the Cerami condition. This fact and (4.76) permit the use of the mountain pass theorem (see Theorem 2.1). So, we can find $y_0 \in K_{\chi_\lambda} \subseteq [v_-^\lambda, u_+^\lambda]$ (see (4.71)), such that

$$\eta_\lambda \leq \chi_\lambda(y_0), \tag{4.77}$$

so

$$y_0 \notin \{v_-^\lambda, u_+^\lambda\} \tag{4.78}$$

(see (4.76)).

Since y_0 is a critical point of χ_λ of mountain pass type, we have

$$C_1(\chi_\lambda, y_0) \neq 0 \tag{4.79}$$

(see e.g., Chang [20]). On the other hand, hypothesis H_f (iii) implies that we can find $\hat{\xi}_1 > 0$, such that

$$f(z, \zeta)\zeta - \mu F(z, \zeta) \leq \hat{\xi}_1 \zeta^2 \quad \text{for almost all } z \in \Omega, \text{ all } |\zeta| \leq \delta_1, \tag{4.80}$$

for some $\delta_1 \leq \delta_0$. This combined with hypothesis H_g (iii) implies that

$$\begin{aligned} \mu \lambda G(z, \zeta) + \mu F(z, \zeta) &\geq \lambda g(z, \zeta)\zeta + f(z, \zeta)\zeta \\ &> 0 \quad \text{for almost all } z \in \Omega, \text{ all } |\zeta| \leq \delta_2, \end{aligned} \tag{4.81}$$

for some $\delta_2 \leq \delta_1$ and

$$\operatorname{ess\,sup}_\Omega \lambda G(\cdot, \delta_2) + F(\cdot, \delta_2) > 0. \tag{4.82}$$

Hence invoking Proposition 2.1 of Jiu and Su [21], we infer that

$$C_k(\chi_\lambda, 0) = 0 \quad \forall k \geq 0. \tag{4.83}$$

Combining (4.79) and (4.83), we have that $y_0 \neq 0$. Since $y_0 \in [v_-^\lambda, u_+^\lambda]$, the extremality of v_-^λ and u_+^λ implies that y_0 must be a nodal solution of problem $((P)_\lambda)$, and the regularity theory (see Struwe [13]) implies that $y_0 \in C_0^1(\bar{\Omega})$. □

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