

# Stratified materials allowing asymptotically prescribed equipotentials

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## 1. Introduction

Let us consider the sequence of minimization problems:

$$P(a_n) : \inf \left\{ \frac{1}{p} \int_{\Omega} a_n^{p-1} |\nabla v|^p dx - \int_{\Omega} f v dx ; v \in W_L^{1,p}(\Omega) \right\},$$

where  $\Omega$  is a bounded domain in  $\mathbf{R}^N$ ,  $1 < p < \infty$ ,  $L$  stands for suitable Dirichlet boundary conditions and, for each  $n \in \mathbf{N}$ ,  $0 < a_n \in L^\infty(\Omega)$ . In the applications we have in mind  $\Omega$  is a conductor, the  $a_n$  represent rapidly oscillating (thermic or electric) conductivity coefficients and we are interested in the possible convergence, as  $n \rightarrow \infty$ , of the problems  $P(a_n)$  to some “homogenized” limit problem when  $a_n$  converges to some  $a \in L^\infty(\Omega)$  in a suitable sense.

In the case  $N=1$  it is well known (cf. [12] for  $p=2$ ) that if  $1/a_n, 1/a$  and  $a$  are in  $L^\infty(\Omega)$  and

$$(H) \quad \frac{1}{a_n} \rightarrow \frac{1}{a} \quad \text{in } w^*-L^\infty(\Omega),$$

then the solution  $u_n$  of  $P(a_n)$  converges in  $w\text{-}W^{1,p}(\Omega)$  to the solution  $u$  of  $P(a)$  and

$$\int_{\Omega} a_n^{p-1} |u'_n|^p dx \rightarrow \int_{\Omega} a^{p-1} |u'|^p dx,$$

which is to say that  $P(a_n)$  converges to  $P(a)$ .

In the case  $N > 1$  the situation is more complicated and the hypothesis (H) by no means implies that  $P(a_n)$  converges to  $P(a)$ . In general not very much can be said, as far as we know, but if the  $a_n$  happen to depend on only one variable,

say  $x_1$ , then it is known (cf. [11], [13] for  $p=2$  and [9] for more general situations) that if (H) holds and moreover  $a_n^{p-1} \rightarrow (a^*)^{p-1}$  in  $w^*-L^\infty(\Omega)$  for some  $a^* \in L^\infty(\Omega)$ , then  $P(a_n)$  (with  $|\nabla v|^p = \sum_{i=1}^N |\partial v / \partial x_i|^p$ ) converges to the problem

$$\inf \left\{ \frac{1}{p} \int_{\Omega} a^{p-1} \left| \frac{\partial v}{\partial x_1} \right|^p dx + \frac{1}{p} \int_{\Omega} (a^*)^{p-1} \sum_{i=2}^N \left| \frac{\partial v}{\partial x_i} \right|^p dx - \int_{\Omega} f v dx ; v \in W_L^{1,p}(\Omega) \right\}.$$

The present paper is a natural sequel to [7], [8] and is concerned with a kind of singular version of the above, namely corresponding to the case  $a^* = +\infty$ . Let  $\phi$  be a given smooth function on  $\bar{\Omega}$  and assume that the  $a_n$  depend only on  $t = \phi(x)$ , so that  $a_n(x) = \mathbf{a}_n(t)$  say. Assume also that (H) holds. In [7], [8] we proved that if, in addition to the above,  $\Omega$  contains an increasing (as  $n \rightarrow \infty$ ) number of leaves of perfect conductors which are uniformly distributed level surfaces of  $\phi$  (this corresponds to having the additional constraint “ $v = \text{constant}$  on each leaf” in  $P(a_n)$ ) then  $P(a_n)$  converges to a limit problem  $P$  whose admissible functions are constant on each level surface of  $\phi$ . In practice  $P$  then is a one-dimensional problem.

In this paper we obtain the same conclusion under more relaxed conditions, namely with the leaves of perfect conductors replaced by the assumption that  $a_n$  is very large along many of the level surfaces of  $\phi$ . Precisely, the right condition on  $a_n$  turns out to be that

$$(H') \quad \int_I \mathbf{a}_n^{p-1}(t) dt \rightarrow +\infty \quad \text{as } n \rightarrow \infty$$

for every interval  $I$  of positive length. Thus, if (H) and (H') hold, then  $P(a_n)$  converges to the same homogenized limit problem  $P$  as before, the solution of which is constant on all the level surfaces of the prescribed function  $\phi$ .

This is our main result. It contains as special cases earlier results in e.g. [4] concerning periodical reinforced structures. A typical example is when  $a_n = a$  (independent of  $n$ ) except for an increasing number of thin layers of very high conductivity. If there are  $n$  uniformly distributed layers of thickness  $\varepsilon = \varepsilon_n$  and conductivity  $\lambda = \lambda_n$  then (H), (H') hold if

$$n\varepsilon \rightarrow 0 \quad \text{and} \quad n\varepsilon\lambda^{p-1} \rightarrow \infty \quad \text{as } n \rightarrow \infty.$$

In the body of the paper we actually work with more general problems than  $P(a_n)$ , namely

$$(P_n) \quad \inf \left\{ \int_{\Omega} \frac{1}{a_n} G_n(x, a_n \nabla v) dx - \int_{\Omega} f_n v dx ; v \in W_L^{1,p}(\Omega) \right\},$$

where the functions  $G_n(x, z)$  satisfy certain natural conditions, e.g.  $G_n(x, z) = |z|^p/p$ , where  $|\cdot|$  is either the euclidean norm ( $|z|^p = (\sum_{i=1}^N |z_i|^2)^{p/2}$ ) or the  $l^p$ -norm ( $|z|^p = \sum_{i=1}^N |z_i|^p$ ). Note that problem  $P_n$  is equivalent to the weak formulation of the quasilinear boundary value problem

$$\begin{cases} -\operatorname{div} g_n(x, a_n \nabla u_n) = f_n & \text{in } \Omega, \\ u_n \in W_L^{1,p}(\Omega), \end{cases}$$

where  $g_n$  is the gradient of  $G_n$ .

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### 2. Statement of the main result

We shall work with domains  $\Omega$  of annulus (or shell) type (cf. however §4). Let  $\Omega = \Omega_0 \setminus \bar{\Omega}_1$  where  $\Omega_0$  and  $\Omega_1$  are bounded domains in  $\mathbf{R}^N$ , ( $N \geq 2$ ) with smooth boundaries and satisfying  $\Omega_0 \supset \bar{\Omega}_1$ . Let  $\phi \in C^1(\bar{\Omega}, \mathbf{R})$  satisfy  $\phi = 0$  on  $\partial\Omega_0$ ,  $\phi = 1$  on  $\partial\Omega_1$  and  $\nabla\phi \neq 0$  on  $\bar{\Omega}$ . It then follows that  $0 < \phi < 1$  in  $\Omega$ ; the condition  $\nabla\phi \neq 0$  also imposes topological restrictions on  $\Omega$ . The geometry we think of is that with  $\Omega_0$  and  $\Omega_1$  homeomorphic to balls, but the above assumptions also allow  $\Omega_0$  and  $\Omega_1$  to be e.g. nested tori.

Let us consider the following sequence of minimization problems

$$(P_n) \quad \inf \left\{ \int_{\Omega} \frac{1}{a_n} G_n(x, a_n \nabla v) \, dx - \int_{\Omega} f_n v \, dx ; v \in W_L^{1,p}(\Omega) \right\}$$

where

- $a_n \in L^\infty(\Omega)$ ,  $a_n(x) \geq c > 0$  for every  $n \in \mathbf{N}$  and a.e.  $x \in \Omega$ ,
- $W_L^{1,p}(\Omega) = \{v \in W^{1,p}(\Omega); v = 0 \text{ on } \partial\Omega_0, v = 1 \text{ on } \partial\Omega_1\}$ , ( $1 < p < \infty$ ),
- $f_n \in L^{p'}(\Omega)$ ,  $1/p + 1/p' = 1$ ,  $f_n \rightarrow f$  in  $w\text{-}L^{p'}(\Omega)$ ,
- $G_n$  are standard functions in the calculus of variations, that is:
  - $G_n : (x, z) \in \Omega \times \mathbf{R}^N \rightarrow G_n(x, z) \in \mathbf{R}$  is a Carathéodory function (that is, measurable with respect to  $x$ , continuous with respect to  $z$ )
  - for every  $n \in \mathbf{N}$ , for almost every  $x \in \Omega$ ,  $G_n(x, \cdot)$  is a strictly convex function which admits a gradient denoted by  $g_n(x, \cdot)$ ,
  - there exist constants  $c_1, c_2, c_4 > 0$  and  $c_3 \in L^1(\Omega)$  such that, for every  $n \in \mathbf{N}$ , for almost every  $x \in \Omega$  and for every  $z \in \mathbf{R}^N$ ,

$$(1) \quad c_1 |z|^p \leq G_n(x, z) \leq c_2 |z|^p + c_3(x);$$

$$(2) \quad |g_n(x, z)| \leq c_4(1 + |z|^{p-1}).$$

- There exists  $G$  satisfying the same properties as  $G_n$ , such that for almost every  $x \in \Omega$  and for every  $z \in \mathbf{R}^N$ ,

$$(3) \quad G_n(x, z) \rightarrow G(x, z) \quad \text{as } n \rightarrow \infty,$$

$$(4) \quad g_n(x, z) \rightarrow g(x, z) \quad \text{as } n \rightarrow \infty.$$

Clearly (cf. [10]), problem  $(P_n)$  admits a unique solution  $u_n$ , and  $u_n$  is also the unique weak solution of

$$\begin{cases} -\operatorname{div} g_n(x, a_n \nabla u_n) = f_n & \text{in } \Omega, \\ u_n \in W_L^{1,p}(\Omega). \end{cases}$$

**Theorem.** *We assume that  $(a_n)$  satisfies the following hypothesis:*

$$(5) \quad a_n = \mathbf{a}_n \circ \phi \text{ with } \mathbf{a}_n \in L^\infty(0, 1) \text{ and } \exists c > 0 : \forall n \in \mathbf{N}, \text{ a.e. } t \in ]0, 1[, c \leq \mathbf{a}_n(t),$$

$$(6) \quad \exists \mathbf{a} \in L^\infty(0, 1) : \frac{1}{\mathbf{a}_n} \rightarrow \frac{1}{\mathbf{a}} \text{ weakly* in } L^\infty(0, 1) \text{ as } n \rightarrow \infty,$$

$$(7) \quad \text{for every non degenerate interval } I \subset [0, 1], \int_I \mathbf{a}_n^{p-1}(t) dt \rightarrow +\infty.$$

Then, as  $n \rightarrow \infty$ , the solution  $u_n$  of  $(P_n)$  converges weakly in  $W^{1,p}(\Omega)$  to the solution  $u$  of

$$(P) \quad \inf \left\{ \int_\Omega \frac{1}{a} G(x, a \nabla v) dx - \int_\Omega f v dx ; v = \mathbf{v} \circ \phi, \mathbf{v} \in W_L^{1,p}(0, 1) \right\},$$

where  $a = \mathbf{a} \circ \phi$  and  $W_L^{1,p}(0, 1) = \{ \mathbf{v} \in W^{1,p}(0, 1); \mathbf{v}(0) = 0, \mathbf{v}(1) = 1 \}$ . Moreover

$$(8) \quad \int_\Omega \frac{1}{a_n} G_n(x, a_n \nabla u_n) dx \rightarrow \int_\Omega \frac{1}{a} G(x, a \nabla u) dx,$$

which is to say that the infimum of  $(P_n)$  converges to the infimum of  $(P)$ .

*Remark 1.* The assumptions in the theorem are actually slightly excessive. In (5) we could allow  $c > 0$  to depend on  $n$ . This would still guarantee that  $1/\mathbf{a}_n \in$

$L^\infty(0, 1)$  and then it would follow from (6) and the uniform boundedness principle that  $c$  actually could be taken independent of  $n$ .

Conversely, with (5) as it is, (6) could be replaced by the weaker condition that

$$\int_I \frac{dt}{\mathbf{a}_n(t)} \rightarrow \int_I \frac{dt}{\mathbf{a}(t)}$$

for every interval  $I \subset [0, 1]$  (making (6) more similar to (7)). In fact, by (5) the sequence  $(1/\mathbf{a}_n)$  is bounded in  $L^\infty(0, 1)$  and then it is enough to have the convergence

$$\int_0^1 \frac{1}{\mathbf{a}_n(t)} \psi(t) dt \rightarrow \int_0^1 \frac{1}{\mathbf{a}(t)} \psi(t) dt$$

for a dense set of functions  $\psi \in L^1(0, 1)$ , e.g. for all step functions.

*Remark 2.* The limit problem  $(P)$  of  $(P_n)$  is the same as that obtained for a foliated material with leaves of a perfect conductor in [8] and by Lemma 2.2 of [8],  $(P)$  can also be formulated

$(P)$

$$\inf \left\{ \int_\Omega \frac{1}{a} G(x, a \nabla v) dx - \int_\Omega f v dx ; v \in W_L^{1,p}(\Omega), \forall t \in ]0, 1[, v = \text{constant on } \Gamma_t \right\},$$

where  $\Gamma_t$  is the level surface  $\{\phi = t\}$ .

Actually  $(P)$  is a one dimensional problem (cf. [8], §3.b, where the coarea formula of [6] is used). More precisely, let

- $\mathbf{G}(t, z) = \int_{\Gamma_t} \frac{G(x, z \nabla \phi)}{|\nabla \phi|} d\gamma,$
- $f(t) = \int_{\Gamma_t} \frac{f}{|\nabla \phi|} d\gamma,$
- $(\mathbf{P}) : \inf \left\{ \int_0^1 \frac{1}{\mathbf{a}} \mathbf{G}(t, \mathbf{a} \mathbf{v}') dt - \int_0^1 \mathbf{f} \mathbf{v} dt ; \mathbf{v} \in W^{1,p}L(0, 1) \right\},$
- $\mathbf{u}$  the solution of  $(\mathbf{P})$ .

Then  $u = \mathbf{u} \circ \phi$  and

$$\int_\Omega \frac{1}{a} G(x, a \nabla u) dx - \int_\Omega f u dx = \int_0^1 \frac{1}{\mathbf{a}} \mathbf{G}(t, \mathbf{a} \mathbf{u}') dt - \int_0^1 \mathbf{f} \mathbf{u} dt.$$

*Remark 3.* In [9] we investigate a case when  $\int_I \mathbf{a}_n^{p-1}(t) dt$  is bounded; more precisely we determine the limit problem of  $(P_n)$  assuming  $\int_I \mathbf{a}_n^{p-1}(t) dt \rightarrow \int_I a^{*p-1}(t) dt$  where  $a^* \in L^\infty(0, 1)$  instead of hypothesis (7).

*Example. Stratified annulus containing numerous thin layers of very high conductivity which are uniformly distributed in  $\Omega$ .*

For each  $n \in \mathbf{N}$ , let  $T_n = \{t_{i,n}; 0 \leq i \leq n\}$  where  $(t_{i,n})_i$  is a sequence of points in  $[0, 1]$  such that  $0 = t_{0,n} < t_{1,n} < \dots < t_{n,n} = 1$ . Let  $\varepsilon = \varepsilon_n$  such that

$$0 < \varepsilon < \frac{1}{2} \min\{t_{i,n} - t_{i-1,n}; 1 \leq i \leq n\}$$

and let  $\Sigma_{i,n,\varepsilon}$  be the layer located between the two level surfaces of  $\phi$  of values  $t_{i,n} - \varepsilon$  and  $t_{i,n} + \varepsilon$ , that is

$$\Sigma_{i,n,\varepsilon} = \{x \in \Omega; t_{i,n} - \varepsilon < \phi(x) < t_{i,n} + \varepsilon\}, 1 \leq i \leq n-1 \quad \text{and} \quad \text{set } \Sigma_{n,\varepsilon} = \bigcup \Sigma_{i,n,\varepsilon}.$$

Let us suppose that this stratified annulus  $\Omega$  (which contains the  $n-1$  thin layers  $\Sigma_{i,n,\varepsilon}$ ) has a conductivity coefficient  $a_n$  such that

$$a_n = \begin{cases} b_n & \text{in } \Omega \setminus \Sigma_{n,\varepsilon}, \\ \lambda_n & \text{in } \Sigma_{n,\varepsilon}, \end{cases}$$

where  $b_n = \mathbf{b}_n \circ \phi$ ,  $\mathbf{b}_n \in L^\infty(0, 1)$ ,  $\lambda_n = \boldsymbol{\lambda}_n \circ \phi$ ,  $\boldsymbol{\lambda}_n \in L^\infty(0, 1)$ ,  $\boldsymbol{\lambda}_n(t) \geq \Lambda_n > 0$  and  $\Lambda_n \rightarrow \infty$  as  $n \rightarrow \infty$ .

The problem  $(P_n)$  can be written

$$\inf \left\{ \int_{\Omega \setminus \Sigma_{n,\varepsilon}} \frac{1}{b_n} G_n(x, b_n \nabla v) \, dx + \int_{\Sigma_{n,\varepsilon}} \frac{1}{\lambda_n} G_n(x, \lambda_n \nabla v) \, dx - \int_{\Omega} f_n v \, dx; v \in W_L^{1,p}(\Omega) \right\}.$$

**Corollary.** *Let us assume that*

- $\exists c > 0: \forall n \in \mathbf{N}$ , a.e.  $t \in ]0, 1[$ ,  $c \leq \mathbf{b}_n(t)$ ,
- $\exists \mathbf{b} \in L^\infty(0, 1): 1/\mathbf{b}_n \rightarrow 1/\mathbf{b}$  weakly\* in  $L^\infty(0, 1)$  as  $n \rightarrow \infty$ ,
- $\exists \beta > 0: \forall n \in \mathbf{N}$ ,  $\forall 1 \leq i \leq n$ ,  $t_{i,n} - t_{i-1,n} \leq \beta/n$ ,
- $n\varepsilon \rightarrow 0$  and  $n\varepsilon \Lambda_n^{p-1} \rightarrow \infty$  as  $n \rightarrow \infty$ .

Then, the solution  $u_n$  of  $(P_n)$  converges weakly in  $W^{1,p}(\Omega)$  to the solution  $u$  of

$$\inf \left\{ \int_{\Omega} \frac{1}{b} G(x, b \nabla v) \, dx - \int_{\Omega} f v \, dx; v = \mathbf{v} \circ \phi, \mathbf{v} \in W_L^{1,p}(0, 1) \right\}$$

where  $b = \mathbf{b} \circ \phi$ . Moreover,

$$\int_{\Omega \setminus \Sigma_{n,\varepsilon}} \frac{1}{b_n} G_n(x, b_n \nabla u_n) \, dx + \int_{\Sigma_{n,\varepsilon}} \frac{1}{\lambda_n} G_n(x, \lambda_n \nabla u_n) \, dx \rightarrow \int_{\Omega} \frac{1}{b} G(x, b \nabla u) \, dx.$$

*Proof.* We have to prove that the sequence  $(a_n)$  has the properties (5), (6), and (7) of the theorem. It is clear that (5) holds. As to property (6), we have  $1/\mathbf{a}_n \rightarrow 1/\mathbf{b}$  weakly\* in  $L^\infty(0, 1)$ , since  $1/\mathbf{b}_n \rightarrow 1/\mathbf{b}$  weakly\* in  $L^\infty(0, 1)$ ,  $n\varepsilon \rightarrow 0$  and  $\Lambda_n \rightarrow \infty$ .

To verify (7) finally, let  $I$  be a subinterval of  $[0, 1]$  and denote by  $k$  the number of intervals  $[t_{i-1,n}, t_{i,n}]$  which meet  $I$ . We have  $|I| \leq k\beta/n$ . The number of intervals  $[t_{i,n} - \varepsilon, t_{i,n} + \varepsilon]$  contained in  $I$  is at least  $k - 3$ . Hence we get

$$\int_I \mathbf{a}_n^{p-1}(t) dt \geq (k - 3)2\varepsilon\Lambda_n^{p-1} \geq 2\left(\frac{1}{\beta}n|I| - 3\right)\varepsilon\Lambda_n^{p-1} \rightarrow +\infty.$$

*Remark 4.* Periodical reinforced structures have been studied in [2], [3] and [4]. In [4],  $p=2$ ,  $a_n=1$  in  $\Omega \setminus \Sigma_{n,\varepsilon}$ ,  $a_n=\lambda$  in  $\Sigma_{n,\varepsilon}$ ,  $\Gamma_t$  are hyperplanes,  $G$  is “less general” and the limit behavior of  $(P_n)$  was obtained if  $n\varepsilon\lambda \rightarrow k \in [0, +\infty]$ . The previous example extends the case  $n\varepsilon\lambda \rightarrow +\infty$ , that is the case of “very high” conductivity. The case  $n\varepsilon\lambda \rightarrow k \in [0, +\infty[$  of “high” conductivity is a particular case of the results of [9].

### 3. Proof of the theorem

Since the convergence of minimization problems is related to the  $\Gamma$ -convergence of the functionals we want to minimize (cf. [5] and also [1]), the theorem will be easily deduced from the following three lemmas:

**Lemma 1.** *Under conditions (5) and (6), for every  $v = \mathbf{v} \circ \phi$  with  $\mathbf{v} \in W_L^{1,p}(0, 1)$  there exists a sequence  $v_n \in W_L^{1,p}(\Omega)$  such that  $v_n$  converges to  $v$  in  $w-W^{1,p}(\Omega)$  and*

$$\limsup \int_\Omega \frac{1}{a_n} G_n(x, a_n \nabla v_n) dx \leq \int_\Omega \frac{1}{a} G(x, a \nabla v) dx.$$

**Lemma 2.** *Under conditions (5) and (6), if  $v_n$  converges to  $v$  in  $w-W^{1,p}(\Omega)$ , then*

$$\liminf \int_\Omega \frac{1}{a_n} G_n(x, a_n \nabla v_n) dx \geq \int_\Omega \frac{1}{a} G(x, a \nabla v) dx.$$

**Lemma 3.** *Under conditions (5) and (7), if  $v_n \in W_L^{1,p}(\Omega)$  and converges to  $v$  in  $w-W^{1,p}(\Omega)$  and if  $\int_\Omega a_n^{p-1} |\nabla v_n|^p dx$  is bounded, then there exists  $\mathbf{v} \in W_L^{1,p}(0, 1)$  such that  $v = \mathbf{v} \circ \phi$ .*

Before proving these lemmas we establish the theorem:

*Proof of the theorem.* Let  $u_n$  be the unique solution of  $(P_n)$ . Let  $v = \mathbf{v} \circ \phi$  with  $\mathbf{v} \in W_L^{1,p}(0, 1)$ . By Lemma 1, there exist  $v_n \in W_L^{1,p}(\Omega)$  such that  $v_n$  converges to  $v$  in  $w\text{-}W^{1,p}(\Omega)$  (therefore in  $L^p(\Omega)$ ) and

$$\begin{aligned}
 (9) \quad \int_{\Omega} \frac{1}{a} G(x, a \nabla v) \, dx - \int_{\Omega} f v \, dx &\geq \limsup \left( \int_{\Omega} \frac{1}{a_n} G_n(x, a_n \nabla v_n) \, dx - \int_{\Omega} f_n v_n \, dx \right) \\
 &\geq \limsup \left( \int_{\Omega} \frac{1}{a_n} G_n(x, a_n \nabla u_n) \, dx - \int_{\Omega} f_n u_n \, dx \right) \\
 &\geq \limsup \left( \int_{\Omega} c_1 c^{p-1} |\nabla u_n|^p \, dx - \int_{\Omega} f_n u_n \, dx \right).
 \end{aligned}$$

Using Poincaré’s inequality, we deduce that  $(u_n)$  is bounded in  $W^{1,p}(\Omega)$  and that  $\int_{\Omega} a_n^{-1} G_n(x, a_n \nabla u_n) dx$  is bounded. Hence a subsequence of  $u_n$ , say  $u_n$  again, converges to some  $u$  in  $w\text{-}W_L^{1,p}(\Omega)$  and in  $L^p(\Omega)$  and due to hypothesis (1),  $\int_{\Omega} a_n^{p-1} |\nabla u_n|^p dx$  is bounded. By Lemma 3, there exists  $\mathbf{u} \in W_L^{1,p}(0, 1)$  such that  $u = \mathbf{u} \circ \phi$  and, by Lemma 2,

$$\int_{\Omega} \frac{1}{a} G(x, a \nabla u) \, dx - \int_{\Omega} f u \, dx \leq \liminf \left( \int_{\Omega} \frac{1}{a_n} G_n(x, a_n \nabla u_n) \, dx - \int_{\Omega} f_n u_n \, dx \right).$$

Consequently, by (9), for all  $v = \mathbf{v} \circ \phi$  with  $\mathbf{v} \in W_L^{1,p}(0, 1)$ , we have

$$\int_{\Omega} \frac{1}{a} G(x, a \nabla v) \, dx - \int_{\Omega} f v \, dx \leq \int_{\Omega} \frac{1}{a} G(x, a \nabla v) \, dx - \int_{\Omega} f v \, dx.$$

Therefore,  $u$  is the unique solution of  $(P)$ , the whole sequence  $(u_n)$  converges to  $u$  in  $w\text{-}W_L^{1,p}(\Omega)$  and in  $L^p(\Omega)$  and

$$\int_{\Omega} \frac{1}{a_n} G_n(x, a_n \nabla u_n) \, dx \rightarrow \int_{\Omega} \frac{1}{a} G(x, a \nabla u) \, dx.$$

*Proof of Lemma 1.* Let  $v = \mathbf{v} \circ \phi$  with  $\mathbf{v} \in W_L^{1,p}(0, 1)$ . Let  $v_n$  be defined by

$$\mathbf{v}_n(t) = \frac{1}{\delta_n} \int_0^t \frac{\mathbf{a}}{\mathbf{a}_n} \mathbf{v}' \, ds, \quad \text{where} \quad \delta_n = \int_0^1 \frac{\mathbf{a}}{\mathbf{a}_n} \mathbf{v}' \, ds,$$

and let  $v_n = \mathbf{v}_n \circ \phi$ . Then  $v_n \in W_L^{1,p}(\Omega)$ ,  $v_n \rightarrow v$  in  $w\text{-}W^{1,p}(\Omega)$  and in  $L^p(\Omega)$  (cf. [8], Lemma 2.4 where the same functions were used), and

$$G_n(x, a_n \nabla v_n) = G_n \left( x, \frac{1}{\delta_n} a \nabla v \right).$$



Let us write

$$G_n(x, a_n \nabla v_n) - G(x, a \nabla v) = G_n\left(x, \frac{1}{\delta_n} a \nabla v\right) - G_n(x, a \nabla v) + G_n(x, a \nabla v) - G(x, a \nabla v).$$

Using hypotheses (3), (1) and Lebesgue's theorem, we get  $G_n(x, a \nabla v) \rightarrow G(x, a \nabla v)$  in  $L^1(\Omega)$ . Moreover,

$$G_n\left(x, \frac{1}{\delta_n} a \nabla v\right) - G_n(x, a \nabla v) = \int_1^{1/\delta_n} a \nabla v \cdot g_n(x, ta \nabla v) dt;$$

since  $\delta_n \rightarrow 1$  and using (2), (1) and Lebesgue's theorem, we deduce that

$$G_n\left(x, \frac{1}{\delta_n} a \nabla v\right) - G_n(x, a \nabla v) \rightarrow 0 \quad \text{in } L^1(\Omega).$$

Consequently,

$$G_n(x, a_n \nabla v_n) \rightarrow G(x, a \nabla v) \quad \text{in } L^1(\Omega).$$

Since, by hypothesis (6) and Lemma 2.1 of [8], we have  $1/a_n \rightarrow 1/a$  in  $w^*-L^\infty(\Omega)$ , it follows that

$$\int_\Omega \frac{1}{a_n} G_n(x, a_n \nabla v_n) dx \rightarrow \int_\Omega \frac{1}{a} G(x, a \nabla v) dx.$$

*Proof of Lemma 2.* Let  $v_n \rightarrow v$  in  $w-W^{1,p}(\Omega)$ . Since  $G_n(x, \cdot)$  is convex,

$$\begin{aligned} \int_\Omega \frac{1}{a_n} G_n(x, a_n \nabla v_n) dx &\geq \int_\Omega \frac{1}{a_n} G_n(x, a \nabla v) dx + \int_\Omega \frac{1}{a_n} g_n(x, a \nabla v) \cdot (a_n \nabla v_n - a \nabla v) dx. \end{aligned}$$

We have

$$\int_\Omega \frac{1}{a_n} G_n(x, a \nabla v) dx \rightarrow \int_\Omega \frac{1}{a} G(x, a \nabla v) dx$$

since  $G_n(x, a \nabla v) \rightarrow G(x, a \nabla v)$  in  $L^1(\Omega)$  and  $1/a_n \rightarrow 1/a$  in  $w^*-L^\infty(\Omega)$ . Moreover,

$$\int_\Omega \frac{1}{a_n} g_n(x, a \nabla v) \cdot (a_n \nabla v_n - a \nabla v) dx = \int_\Omega g_n(x, a \nabla v) \cdot \left(\nabla v_n - \frac{a}{a_n} \nabla v\right) dx \rightarrow 0$$

since  $g_n(x, a \nabla v) \rightarrow g(x, a \nabla v)$  in  $s-L^{p'}(\Omega)$  (using hypotheses (4), (2) and Lebesgue's theorem),  $\nabla v_n \rightarrow \nabla v$  in  $w-L^p(\Omega)$  and  $(a/a_n) \nabla v \rightarrow \nabla v$  in  $w-L^p(\Omega)$ . Therefore,

$$\liminf \int_\Omega \frac{1}{a_n} G_n(x, a_n \nabla v_n) dx \geq \int_\Omega \frac{1}{a} G(x, a \nabla v) dx.$$

*Proof of Lemma 3.* Let  $v_n \in W_L^{1,p}(\Omega)$  and  $v_n \rightarrow v$  in  $w\text{-}W_L^{1,p}(\Omega)$ . Suppose that

$$\int_{\Omega} a_n^{p-1} |\nabla v_n|^p dx \leq C.$$

In order to simplify the computations, we switch to “cylindrical” coordinates on  $\Omega$ : it is easy to see that  $\bar{\Omega}$  is  $C^1$ -diffeomorphic with (e.g.)  $[0, 1] \times \Gamma_0$  by

$$D = (\phi, \psi): x \in \bar{\Omega} \rightarrow (t, y) \in [0, 1] \times \Gamma_0,$$

where  $t = \phi(x)$  and  $y = \psi(x)$  e.g., can be defined to be the point of  $\Gamma_0$  which lies on the orthogonal trajectory to the level surface  $\Gamma_t = \{\phi(x) = t\}$  which passes through  $x$  (cf. [8], Appendix).

Let  $V_n = v_n \circ D^{-1}$  and  $V = v \circ D^{-1}$ . We have  $V_n \rightarrow V$  in  $w\text{-}W^{1,p}([0, 1] \times \Gamma_0)$ . We will prove that  $\nabla_y V = 0$  a.e.; therefore  $V(t, y) = V(t)$  for a.e.  $t \in [0, 1]$  and then  $v = \mathbf{v} \circ \phi$  with  $\mathbf{v} \in W_L^{1,p}(0, 1)$  and  $\mathbf{v} = V$ .

For that purpose, let us approximate the functions  $V_n(t, y)$  by the functions  $W_{m,n}(t, y)$  (which are step functions with respect to  $t$ ) defined as follows: given  $m \in \mathbf{N}$ , let  $I_k = [(k-1)/m, k/m]$ , for  $k = 1, \dots, m$  and let

$$W_{m,n}(t, y) = \int_{I_k} V_n(s, y) \frac{\mathbf{a}_n(s)^{p-1}}{\int_{I_k} \mathbf{a}_n^{p-1}} ds, \quad \text{for } t \in I_k \text{ and } y \in \Gamma_0,$$

that is, for  $t \in [0, 1]$  and  $y \in \Gamma_0$

$$W_{m,n}(t, y) = \sum_{k=1}^m X_{I_k}(t) \int_{I_k} V_n(s, y) d\mu_{n,k}(s),$$

where  $X_{I_k} = 1$  on  $I_k$  and  $X_{I_k} = 0$  elsewhere and  $d\mu_{n,k}(s) = (\mathbf{a}_n(s)^{p-1}) / (\int_{I_k} \mathbf{a}_n^{p-1}) ds$  on  $I_k$ . Observe that  $\mu_{n,k}$  is a probability measure on  $I_k$ .

We have

$$\begin{aligned}
 & \int_0^1 |W_{m,n}(t, y) - V_n(t, y)|^p dt \\
 &= \sum_{k=1}^m \int_{I_k} |W_{m,n}(t, y) - V_n(t, y)|^p dt \\
 &= \sum_{k=1}^m \int_{I_k} \left| \int_{I_k} (V_n(s, y) - V_n(t, y)) d\mu_{n,k}(s) \right|^p dt \\
 &\leq \sum_{k=1}^m \int_{I_k} \int_{I_k} |V_n(s, y) - V_n(t, y)|^p d\mu_{n,k}(s) dt \\
 &= \sum_{k=1}^m \int_{I_k} \int_{I_k} \left| \int_s^t \frac{\partial V_n}{\partial \tau}(\tau, y) d\tau \right|^p d\mu_{n,k}(s) dt \\
 &\leq \sum_{k=1}^m \int_{I_k} \int_{I_k} \left( \int_{I_k} \left| \frac{\partial V_n}{\partial \tau}(\tau, y) \right| d\tau \right)^p d\mu_{n,k}(s) dt \\
 &\leq \sum_{k=1}^m \int_{I_k} \int_{I_k} |I_k|^{p-1} \int_{I_k} \left| \frac{\partial V_n}{\partial \tau}(\tau, y) \right|^p d\tau d\mu_{n,k}(s) dt \\
 &= \sum_{k=1}^m |I_k| |I_k|^{p-1} \int_{I_k} \left| \frac{\partial V_n}{\partial \tau}(\tau, y) \right|^p d\tau \\
 &= \frac{1}{m^p} \int_0^1 \left| \frac{\partial V_n}{\partial t}(t, y) \right|^p dt \\
 &\leq \frac{1}{m^p} \int_0^1 |\nabla V_n(t, y)|^p dt.
 \end{aligned}$$

Thus, integrating with respect to  $y \in \Gamma_0$ ,

$$\int_0^1 \int_{\Gamma_0} |W_{m,n}(t, y) - V_n(t, y)|^p dt d\gamma(y) \leq \frac{1}{m^p} \int_0^1 \int_{\Gamma_0} |\nabla V_n(t, y)|^p dt d\gamma(y) \leq \frac{C}{m^p},$$

since  $V_n$  is bounded in  $W^{1,p}([0, 1] \times \Gamma_0)$ . Consequently  $W_{m,n} \in L^p([0, 1] \times \Gamma_0)$  and

$$(10) \quad \|W_{m,n} - V_n\|_{L^p([0, 1] \times \Gamma_0)} \leq \frac{C}{m}$$

with  $C$  independent of  $n$  and  $m$ .

Next, for  $t \in [0, 1]$  and  $y \in \Gamma_0$

$$\nabla_y W_{m,n}(t, y) = \sum_{k=1}^m X_{I_k}(t) \int_{I_k} \nabla_y V_n(s, y) d\mu_{n,k}(s),$$

$$\begin{aligned}
 \int_0^1 |\nabla_y W_{m,n}(t, y)|^p dt &= \sum_{k=1}^m \int_{I_k} \left| \int_{I_k} \nabla_y V_n(s, y) d\mu_{n,k}(s) \right|^p dt \\
 &\leq \sum_{k=1}^m \int_{I_k} \int_{I_k} |\nabla_y V_n(s, y)|^p d\mu_{n,k}(s) dt \\
 &= \sum_{k=1}^m |I_k| \int_{I_k} |\nabla_y V_n(s, y)|^p d\mu_{n,k}(s) \\
 &= \sum_{k=1}^m |I_k| \int_{I_k} \frac{\mathbf{a}_n^{p-1}(s)}{\int_{I_k} \mathbf{a}_n^{p-1}} |\nabla_y V_n(s, y)|^p ds \\
 &\leq \frac{1}{m} \frac{1}{\min_k \int_{I_k} \mathbf{a}_n^{p-1}} \int_0^1 \mathbf{a}_n^{p-1}(s) |\nabla V_n(s, y)|^p ds.
 \end{aligned}$$

Thus, integrating with respect to  $y \in \Gamma_0$ , we deduce that

$$\begin{aligned}
 \int_0^1 \int_{\Gamma_0} |\nabla_y W_{m,n}(t, y)|^p dt d\gamma(y) &\leq \frac{1}{m} \frac{1}{\min_k \int_{I_k} \mathbf{a}_n^{p-1}} \int_0^1 \int_{\Gamma_0} \mathbf{a}_n^{p-1}(t) |\nabla V_n(t, y)|^p dt d\gamma(y) \\
 &\leq \frac{C}{m} \frac{1}{\min_k \int_{I_k} \mathbf{a}_n^{p-1}},
 \end{aligned}$$

since  $\int_{\Omega} \mathbf{a}_n^{p-1} |\nabla v_n|^p dx$  is bounded.

Now, given any  $m$ , we can choose  $M = M(m)$  so large that  $\min_k \int_{I_k} \mathbf{a}_n^{p-1} \geq 1$  (e.g.) whenever  $n \geq M(m)$  (this is by assumption (7) in the theorem). Thus

$$(11) \quad \int_0^1 \int_{\Gamma_0} |\nabla_y W_{m,n}(t, y)|^p dt d\gamma(y) \leq \frac{C}{m} \quad \text{whenever } n \geq M(m).$$

For each  $m$ , we choose an  $n$  such that  $n \geq m$ ,  $n \geq M(m)$ . Then, it follows from (10) and (11) that  $W_{m,n} \rightarrow V$  in  $s\text{-}L^p(]0, 1[ \times \Gamma_0)$  as  $m \rightarrow \infty$  and that  $\nabla_y W_{m,n} \rightarrow 0$  in  $s\text{-}L^p(]0, 1[ \times \Gamma_0)$  as  $m \rightarrow \infty$ . Thus we have  $\nabla_y V = 0$  a.e. as desired.

The proof of the theorem is now complete.

*Correctors.* The convergence of  $u_n$  to  $u$  in  $w\text{-}W^{1,p}(\Omega)$  can be made more precise, introducing correctors. Let  $r_n$  be defined by  $\nabla u_n = \delta_n^{-1} a a_n^{-1} \nabla u + r_n$ . Assume that the operators  $g_n$  are uniformly strongly monotone, that is there exists  $\alpha > 0$  such that for every  $n \in \mathbf{N}$ ,  $x \in \Omega$ ,  $z_1, z_2 \in \mathbf{R}^N$ ,

$$\alpha |z_1 - z_2|^p \leq (g_n(x, z_1) - g_n(x, z_2)) \cdot (z_1 - z_2).$$

Assume also that either  $G_n$  is positively homogeneous of degree  $p$  or  $G_n = G$ . Then  $r_n \rightarrow 0$  in  $s\text{-}L^p(\Omega)$ .

*Proof.* Let  $\mathbf{v}_n(t) = \delta_n^{-1} \int_0^t \mathbf{a}\mathbf{a}_n^{-1} \mathbf{u}' ds$  where  $\delta_n = \int_0^1 \mathbf{a}\mathbf{a}_n^{-1} \mathbf{u}' ds$  and let  $v_n = \mathbf{v}_n \circ \phi$ . Then  $\nabla v_n = \delta_n^{-1} a a_n^{-1} \nabla u$ . Since the operators  $g_n$  are strongly monotone, we get

$$\begin{aligned} \alpha c^{p-1} \int_{\Omega} |\nabla u_n - \nabla v_n|^p dx &\leq \int_{\Omega} (g_n(x, a_n \nabla u_n) - g_n(x, a_n \nabla v_n)) \cdot (\nabla u_n - \nabla v_n) dx \\ &\leq \int_{\Omega} f_n(u_n - v_n) dx - \int_{\Omega} g_n\left(x, \frac{1}{\delta_n} a \nabla u\right) \cdot (\nabla u_n - \nabla v_n) dx. \end{aligned}$$

Since  $u_n - v_n \rightarrow 0$  in  $w\text{-}W^{1,p}(\Omega)$  and in  $s\text{-}L^p(\Omega)$ , it follows that

$$\int_{\Omega} |\nabla u_n - \nabla v_n|^p dx \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

Hence  $\nabla u_n - \delta_n^{-1} a a_n^{-1} \nabla u = r_n \rightarrow 0$  in  $s\text{-}L^p(\Omega)$ .

#### 4. Some generalizations

Other geometric settings can be considered with practically no change in the proof. In fact, we never used the assumption that  $\Gamma = \Gamma_0$  (or  $\Gamma_1$ ) was the boundary of a domain  $\Omega_0$  ( $\Omega_1$  respectively). Therefore  $\Gamma$  could as well be any bounded smooth hypersurface (with or without boundary) in  $\mathbf{R}^N$  and  $\Omega$  could be any domain for which we have, as in the proof of Lemma 3, a diffeomorphism  $D = (\phi, \psi): x \in \bar{\Omega} \rightarrow (t, y) \in [0, 1] \times \Gamma$ . In this case  $\Gamma_t \subset \bar{\Omega}$  ( $0 \leq t \leq 1$ ) is to be the inverse image under  $D$  of  $\{t\} \times \Gamma$  and  $\Gamma_0$  and  $\Gamma_1$  now just make up part of the boundary  $\partial\Omega$  of  $\Omega$  (in general). Thus e.g.  $\Omega$  could be any kind of deformed rectilinear box with  $\Gamma_0$  and  $\Gamma_1$  being two opposite faces.

The proof goes through as in the case  $\Omega = \Omega_0 \setminus \bar{\Omega}_1$  with  $W_L^{1,p}(\Omega)$  now defined as  $\{v \in W^{1,p}(\Omega); v = 0 \text{ on } \Gamma_0, v = 1 \text{ on } \Gamma_1\}$ . The minimization problem  $(P_n)$  will be equivalent to (the weak formulation of):

$$\begin{cases} -\operatorname{div} g_n(x, a_n \nabla u_n) = f_n & \text{in } \Omega, \\ u_n = 0 & \text{on } \Gamma_0, \\ u_n = 1 & \text{on } \Gamma_1, \\ g_n(x, a_n \nabla u_n) \cdot \nu = 0 & \text{on } \partial\Omega \setminus (\Gamma_0 \cup \Gamma_1), \end{cases}$$

where  $\nu$  denotes the outward normal vector of  $\partial\Omega$ .

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