

# ON THE $C^*$ -ALGEBRA OF A ONE-PARAMETER SEMIGROUP OF ISOMETRIES

(In memory of David M. Topping)

BY

R. G. DOUGLAS

*State University of New York, Stony Brook, N.Y., U.S.A. (1) (2)*

An isometry  $V$  on the complex Hilbert space  $\mathcal{H}$  is a linear operator satisfying  $\|Vf\| = \|f\|$  for  $f$  in  $\mathcal{H}$ . If  $\Gamma$  is a subgroup of the additive reals  $\mathbf{R}$ , then the semigroup  $\Gamma^+$  of non-negative elements in  $\Gamma$  is a one-parameter semigroup and a one-parameter semigroup of isometries is a homomorphism  $\gamma \rightarrow V_\gamma$  of  $\Gamma^+$  into the collection of isometries on some  $\mathcal{H}$ , that is, a mapping such that  $V_0 = I$  and  $V_\gamma V_\delta = V_{\gamma+\delta}$  for  $\gamma$  and  $\delta$  in  $\Gamma^+$  (with no continuity assumption). In this note we are interested in the  $C^*$ -algebra  $\mathcal{A}_\Gamma(V_\gamma)$  generated by  $\{V_\gamma: \gamma \in \Gamma^+\}$  for a one-parameter semigroup of isometries.

If  $V_\gamma$  is onto for some nonzero  $\gamma$  in  $\Gamma^+$ , then all the  $V_\gamma$  are unitary and we have a unitary representation of the discrete topological abelian group  $\Gamma$  after setting  $V_\gamma = V_{-\gamma}^*$  for negative  $\gamma$  in  $\Gamma$ . Although the complete description of such a representation would involve multiplicity theory, it is fairly routine to describe the  $C^*$ -algebra  $\mathcal{A}_\Gamma(V_\gamma)$  in this case. If  $\hat{\Gamma}$  denotes the compact dual group of  $\Gamma$ , then  $\mathcal{A}_\Gamma(V_\gamma)$  is isometrically isomorphic to some quotient of the algebra  $C(\hat{\Gamma})$  of continuous complex functions on  $\Gamma$ . Moreover, the isomorphism pairs  $V_\gamma$  with the coset containing the character  $\gamma$  on  $\hat{\Gamma}$ .

In this note we show that there is only one other possibility for  $\mathcal{A}_\Gamma(V_\gamma)$ , that is, any two nonunitary one-parameter semigroups of isometries for a fixed  $\Gamma$  generate canonically isomorphic algebras. Thus one can speak of the  $C^*$ -algebra  $\mathcal{A}_\Gamma$ . Although we are unable to give an explicit description of  $\mathcal{A}_\Gamma$  we show  $\mathcal{A}_\Gamma$  is type I [8] if and only if  $\Gamma$  is isomorphic to the group of integers  $\mathbf{Z}$  and that  $\mathcal{A}_{\Gamma_1}$  and  $\mathcal{A}_{\Gamma_2}$  are isomorphic  $C^*$ -algebras if and only if the groups  $\Gamma_1$  and  $\Gamma_2$  are order isomorphic. Moreover, the commutator ideal  $\mathcal{C}_\Gamma$  in  $\mathcal{A}_\Gamma$  is shown to be a simple  $C^*$ -algebra with the quotient  $\mathcal{A}_\Gamma/\mathcal{C}_\Gamma$  canonically isomorphic to  $C(\hat{\Gamma})$ . Lastly, certain applications of these results to some problems involving a real-valued analytical index are presented.

---

(1) Partially supported by a grant from the National Science Foundation.

(2) Fellow of the Alfred E. Sloan Foundation.

If  $\Gamma$  is singly generated, then  $\mathcal{A}_\Gamma(V_\gamma)$  is the  $C^*$ -algebra generated by an isometry and the preceding results were obtained in this case by Coburn in [4]. His analysis involved the von Neumann-Wold decomposition for isometries. Similar results were obtained by Berger and Coburn [2] for  $\mathcal{A}_\mathbb{R}(V_\gamma)$  under the assumption that the mapping  $\gamma \rightarrow V_\gamma$  is strongly continuous by analyzing the isometric cogenerator. The precursor of our methods was used in [6] to establish the isomorphism of the  $C^*$ -algebras generated by the one-parameter semigroups of translation operators on  $L^2(\mathbb{R}^+)$  and  $l^2(\mathbb{R}^+)$ , respectively.

Our methods are closely related to certain analyses indigenous to prediction theory and the study of the Weyl commutation relations.

We begin by analyzing the  $C^*$ -algebra generated by a given nonunitary one-parameter semigroup of isometries. Fix  $\Gamma$  and let  $\gamma \rightarrow V_\gamma$  be a one-parameter semigroup of isometries on  $\mathcal{H}$ . If we set  $\mathcal{H}_\gamma = V_\gamma \mathcal{H}$ , then  $\{\mathcal{H}_\gamma: \gamma \in \Gamma^+\}$  is a decreasing family of closed subspaces of  $\mathcal{H} = \mathcal{H}_0$ . Moreover, standard arguments (cf. [12]) show that the subspace  $\mathcal{H}_\infty = \bigcap_{\gamma \in \Gamma^+} \mathcal{H}_\gamma$  is a reducing subspace for the operators  $\{V_\gamma\}_{\gamma \in \Gamma^+}$  such that  $\{V_\gamma|_{\mathcal{H}_\infty}\}_{\gamma \in \Gamma^+}$  is a one-parameter semigroup of unitary operators and  $\{V_\gamma|_{\mathcal{H} \ominus \mathcal{H}_\infty}\}_{\gamma \in \Gamma^+}$  is a one-parameter semigroup of isometries for which  $\bigcap_{\gamma \in \Gamma^+} V_\gamma(\mathcal{H} \ominus \mathcal{H}_\infty) = (0)$ . Thus we have an analogue of the von Neumann-Wold decomposition into unitary and "pure" one-parameter semigroups of isometries.

We consider first the pure case and thus assume that  $\mathcal{H}_\infty = \bigcap_{\gamma \in \Gamma^+} \mathcal{H}_\gamma = (0)$ . If we define  $E_\gamma = V_\gamma V_\gamma^*$ , then  $E_\gamma$  can be seen to be the orthogonal projection onto  $\mathcal{H}_\gamma$ . Let  $\mathcal{L}(V_\gamma)$  denote the  $C^*$ -subalgebra of  $\mathcal{A}_\Gamma(V_\gamma)$  generated by  $\{E_\gamma: \gamma \in \Gamma^+\}$ . We show first that  $\mathcal{L}_\Gamma$  is unique and does not depend on the particular representation of  $\Gamma^+$ .

Let  $\text{PC}(\mathbb{R}^+)$  denote the  $C^*$ -algebra of bounded complex functions on  $\mathbb{R}^+$  given the supremum norm which are right continuous and which possess limits from the left at every point including infinity. Moreover, let  $B_\Gamma$  denote the closed subalgebra of  $\text{PC}(\mathbb{R}^+)$  generated by the characteristic functions  $\{I_{[\gamma, \infty)}: \gamma \in \Gamma^+\}$ .

**PROPOSITION 1.** *The correspondence  $E_\gamma \leftrightarrow I_{[\gamma, \infty)}$  extends to a  $*$ -isomorphism between the  $C^*$ -algebras  $\mathcal{L}_\Gamma(V_\gamma)$  and  $B_\Gamma$ .*

*Proof.* Clearly elements of the form  $\sum_{j=0}^N \alpha_j (E_{\gamma_j} - E_{\gamma_{j+1}})$  and  $\sum_{j=0}^N \alpha_j I_{[\gamma_j, \gamma_{j+1})}$ , where  $\{\alpha_j\}_{j=0}^N$  are complex numbers and  $0 = \gamma_0 < \gamma_1 < \dots < \gamma_N < \gamma_{N+1} = \infty$  is a partition of  $[0, \infty)$  with elements of  $\Gamma^+$ , are dense in  $\mathcal{L}_\Gamma(V_\gamma)$  and  $B_\Gamma$  respectively. Moreover, the linear extension of the mapping  $E_\gamma \leftrightarrow I_{[\gamma, \infty)}$  pairs these two elements and since

$$\left\| \sum_{j=0}^N \alpha_j (E_{\gamma_j} - E_{\gamma_{j+1}}) \right\| = \sup_{0 \leq j \leq N} |\alpha_j| = \left\| \sum_{j=0}^N \alpha_j I_{[\gamma_j, \gamma_{j+1})} \right\|_\infty,$$

the result follows.

Our problem now is to extend this uniqueness of the abelian subalgebra  $\mathcal{L}_\Gamma(V_\gamma)$  to all of  $\mathcal{A}_\Gamma(V_\gamma)$ .

If we extend the definition of  $E_\gamma$  to all  $\gamma$  in  $\mathbf{R}^+$  by setting  $E_\gamma = \inf \{E_\delta : \delta \geq \gamma, \delta \in \Gamma^+\}$ , then we obtain a decreasing family  $\{E_\gamma\}_{\gamma \in \Gamma^+}$  of orthogonal projections such that  $E_0 = I$  and  $\lim_{\gamma \rightarrow \infty} E_\gamma = 0$  in the strong operator topology. We can now define  $U_t = \int_0^\infty e^{i\gamma t} dE_\gamma$  for  $t$  in  $\mathbf{R}$  to obtain a strongly continuous one-parameter group  $\{U_t\}_{t \in \mathbf{R}}$  of unitary operators on  $\mathcal{H}$ . Although these operators do not belong to  $\mathcal{A}_\Gamma(V_\gamma)$ , they shall be quite important in what follows.

We show first that  $\{V_\gamma\}_{\gamma \in \Gamma^+}$  and  $\{U_t\}_{t \in \mathbf{R}}$  satisfy the ‘‘Weyl commutation relations’’. Since  $V_\gamma E_\delta V_\gamma^* = V_\gamma V_\delta V_\delta^* V_\gamma^* = V_{\gamma+\delta} V_{\gamma+\delta}^* = E_{\gamma+\delta}$  for  $\gamma$  and  $\delta$  in  $\Gamma^+$ , it follows that  $V_\gamma E_\delta V_\gamma^* = E_{\gamma+\delta}$  for  $\gamma$  in  $\Gamma^+$  and  $\delta$  in  $\mathbf{R}^+$ . Therefore, we have

$$\begin{aligned} V_\gamma U_t &= V_\gamma \left( \int_0^\infty e^{i\delta t} dE_\delta \right) V_\gamma^* V_\gamma = \left\{ \int_0^\infty e^{i\delta t} d(V_\gamma E_\delta V_\gamma^*) \right\} V_\gamma, \\ &= e^{-i\gamma t} \left\{ \int_\gamma^\infty e^{i\delta t} dE_\delta \right\} V_\gamma = e^{-i\gamma t} \left\{ \int_0^\infty e^{i\delta t} dE_\delta \right\} V_\gamma = e^{-i\gamma t} U_t V_\gamma, \end{aligned}$$

where the second from last equality follows from the fact that  $\left\{ \int_0^\gamma e^{i\delta t} dE_\delta \right\} V_\gamma = 0$ . Thus we have  $U_t V_\gamma = e^{i\gamma t} V_\gamma U_t$  for  $\gamma$  in  $\Gamma^+$  and  $t$  in  $\mathbf{R}$ .

The importance of the  $\{U_t\}_{t \in \mathbf{R}}$  lies in the one parameter group of automorphisms they define on  $\mathcal{A}_\Gamma(V_\gamma)$ . If for  $T$  in  $\mathcal{L}(\mathcal{H})$  we define  $\alpha_t(T) = U_t^* T U_t$ , then  $\alpha_t$  is an automorphism on  $\mathcal{L}(\mathcal{H})$ . Moreover, since  $\alpha_t(V_\gamma) = U_t^* V_\gamma U_t = e^{-i\gamma t} U_t^* U_t V_\gamma = e^{-i\gamma t} V_\gamma$ , it follows that  $\alpha_t\{\mathcal{A}_\Gamma(V_\gamma)\}$  is contained in  $\mathcal{A}_\Gamma(V_\gamma)$ , and since  $\alpha_{-t} = \alpha_t^{-1}$  we see that  $\alpha_t$  is an automorphism on  $\mathcal{A}_\Gamma(V_\gamma)$ . Moreover,  $\{\alpha_t\}_{t \in \mathbf{R}}$  is a one-parameter group of automorphisms on  $\mathcal{A}_\Gamma(V_\gamma)$  such that  $\alpha_t(A)$  is a norm-continuous almost periodic function on  $\mathbf{R}$  for each  $A$  in  $\mathcal{A}_\Gamma(V_\gamma)$ . This follows by considering the action of  $\alpha_t$  on the generators of  $\mathcal{A}_\Gamma(V_\gamma)$ .

The automorphisms  $\{\alpha_t\}_{t \in \mathbf{R}}$  leave the elements of  $\mathcal{L}_\Gamma(V_\gamma)$  fixed since each  $U_t$  lies in the strong closure of  $\mathcal{L}_\Gamma(V_\gamma)$ . Moreover, these are the only elements of  $\mathcal{A}_\Gamma(V_\gamma)$  fixed by all of the  $\alpha_t$ . To prove this we consider the mean  $\rho$  defined on  $\mathcal{A}_\Gamma(V_\gamma)$  by  $\rho(A) = \lim_{T \rightarrow \infty} (1/2T) \int_{-T}^T \alpha_t(A) dt$  for  $A$  in  $\mathcal{A}_\Gamma(V_\gamma)$ . The fact that the limit exists in the norm topology follows from the almost periodicity of the function  $\alpha_t(A)$ . Moreover, it is clear that the fixed point subalgebra of  $\{\alpha_t\}_{t \in \mathbf{R}}$  and the range of  $\rho$  coincide. Thus it is only necessary to show that the range of  $\rho$  is  $\mathcal{L}_\Gamma(V_\gamma)$ .

To that end we consider the collection  $\mathcal{F}$  of operators in  $\mathcal{A}_\Gamma(V_\gamma)$  of the form  $\sum_{j=1}^N Z_j V_{\gamma_j}$ , where  $Z_1, Z_2, \dots, Z_N$  are in  $\mathcal{L}_\Gamma(V_\gamma)$  and  $\gamma_1, \gamma_2, \dots, \gamma_N$  lie in  $\Gamma$  with  $V_\gamma = V_{-\gamma}^*$  for  $\gamma < 0$ . Using the fact that  $V_\delta^* \mathcal{L}_\Gamma(V_\gamma) V_\delta = \mathcal{L}_\Gamma(V_\gamma)$  for  $\delta$  in  $\Gamma$ , one sees that  $\mathcal{F}$  is a selfadjoint subalgebra of  $\mathcal{A}_\Gamma(V_\gamma)$  containing  $\{V_\gamma\}_{\gamma \in \Gamma^+}$  and hence must be dense. Moreover, since

$$\varrho(ZV_\gamma) = \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T \alpha_t(ZV_\gamma) dt = ZV_\gamma \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T e^{-t\gamma} dt,$$

it follows that 
$$\varrho(ZV_\gamma) = \begin{cases} 0 & \text{if } \gamma \neq 0 \\ Z & \text{if } \gamma = 0. \end{cases}$$

Thus  $\varrho(\mathcal{F})$  is contained in  $\mathcal{L}_\Gamma(V_\gamma)$  and since  $\varrho$  is continuous and  $\mathcal{F}$  is dense, we see that the range of  $\varrho$  is  $\mathcal{L}_\Gamma(V_\gamma)$  which is the fixed point subalgebra of  $\{\alpha_t\}_{t \in \mathbf{R}}$ .

The mapping  $\varrho$  is a conditional expectation of  $\mathcal{A}_\Gamma(V_\gamma)$  onto the subalgebra  $\mathcal{L}_\Gamma(V_\gamma)$ . Although  $\varrho$  is not one-to-one, it is on the positive elements on  $\mathcal{A}_\Gamma(V_\gamma)$  for the same reason that a nonnegative scalar almost periodic function on  $\mathbf{R}$  having mean zero must vanish identically.

We now use the mapping  $\varrho$  to lift the uniqueness of the abelian subalgebra  $\mathcal{L}_\Gamma(V_\gamma)$  to that of  $\mathcal{A}_\Gamma(V_\gamma)$ .

Let  $\{V_\gamma\}_{\gamma \in \Gamma^+}$  and  $\{V'_\gamma\}_{\gamma \in \Gamma^+}$  be two pure isometric representations of  $\Gamma^+$ . Forming the direct sum we obtain a further pure isometric representation  $\{V_\gamma \oplus V'_\gamma\}_{\gamma \in \Gamma^+}$ . An easy argument shows that the mapping  $\Phi$  defined  $\Phi(V_\gamma \oplus V'_\gamma) = V_\gamma$  extends to a \*-homomorphism from  $\mathcal{A}_\Gamma(V_\gamma \oplus V'_\gamma)$  to  $\mathcal{A}_\Gamma(V_\gamma)$ . If  $\{\alpha_t\}_{t \in \mathbf{R}}$  denotes the one-parameter group of automorphisms defined on  $\mathcal{A}_\Gamma(V_\gamma)$  and  $\{\alpha'_t\}_{t \in \mathbf{R}}$  the corresponding group on  $\mathcal{A}_\Gamma(V_\gamma \oplus V'_\gamma)$ , then  $\Phi \circ \alpha'_t = \alpha_t \circ \Phi$  for  $t$  in  $\mathbf{R}$ . Thus we obtain  $\varrho \circ \Phi = \Phi_0 \circ \varrho'$ , where  $\varrho'$  and  $\varrho$  are the means on  $\mathcal{A}_\Gamma(V_\gamma \oplus V'_\gamma)$  and  $\mathcal{A}_\Gamma(V_\gamma)$ , respectively, and  $\Phi_0$  is the restriction of  $\Phi$  to a mapping from  $\mathcal{L}_\Gamma(V_\gamma \oplus V'_\gamma)$  to  $\mathcal{L}_\Gamma(V_\gamma)$  which is an isomorphism by Proposition 1. Now if  $\Phi$  were not an isomorphism, then there would exist  $T$  in  $\mathcal{A}_\Gamma(V_\gamma \oplus V'_\gamma)$  such that  $\Phi(T) = 0$ . Setting  $Q = T^*T$  we obtain a nonnegative element of  $\mathcal{A}_\Gamma(V_\gamma \oplus V'_\gamma)$  for which  $(\Phi \circ \varrho')(Q) = (\varrho \circ \Phi)(Q) = 0$ . Since  $\Phi_0$  is an isomorphism, we have  $\varrho'(Q) = 0$  which must by our preceding remarks imply that  $Q = 0$ . Thus  $\Phi$  is an isomorphism. Since the mapping  $\Phi'(V_\gamma \oplus V'_\gamma) = V'_\gamma$  must also extend to an isomorphism, it follows that the correspondence  $V_\gamma \leftrightarrow V'_\gamma$  extends to a \*-isomorphism of  $\mathcal{A}_\Gamma(V_\gamma)$  onto  $\mathcal{A}_\Gamma(V'_\gamma)$ . Thus we have proved

**PROPOSITION 2.** *If  $\{V_\gamma\}_{\gamma \in \Gamma^+}$  and  $\{V'_\gamma\}_{\gamma \in \Gamma^+}$  are two pure one-parameter semigroups of isometries, then the correspondence  $V_\gamma \leftrightarrow V'_\gamma$  extends to a \*-isomorphism between the  $C^*$ -algebras  $\mathcal{A}_\Gamma(V_\gamma)$  and  $\mathcal{A}_\Gamma(V'_\gamma)$ .*

The bulk of the work has been done. It now remains to consider the case when there is a unitary part. For this we need to recall a result proved in [5] for a special pure one-parameter semigroup of isometries.

**PROPOSITION 3.** *If  $\{V_\gamma\}_{\gamma \in \Gamma^+}$  is a pure one-parameter semigroup of isometries and  $\mathcal{C}_\Gamma(V_\gamma)$  is the commutator ideal in  $\mathcal{A}_\Gamma(V_\gamma)$ , then the correspondence  $\gamma \leftrightarrow V_\gamma + \mathcal{C}_\Gamma(V_\gamma)$  extends to a \*-isomorphism between the  $C^*$ -algebras  $C(\widehat{\Gamma})$  and  $\mathcal{A}_\Gamma(V_\gamma)/\mathcal{C}_\Gamma(V_\gamma)$ .*

We can now prove our principal result.

THEOREM 1. *The  $C^*$ -algebra  $\mathcal{A}_\Gamma$  generated by a nonunitary one-parameter semigroup of isometries is canonically unique.*

*Proof.* It is sufficient in view of Proposition 2 to show that the correspondence  $V_\gamma \leftrightarrow V_\gamma \oplus U_\gamma$  extends to a  $*$ -isomorphism between the  $C^*$ -algebras  $\mathcal{A}_\Gamma(V_\gamma)$  and  $\mathcal{A}_\Gamma(V_\gamma \oplus U_\gamma)$ , where  $\{V_\gamma\}_{\gamma \in \Gamma^+}$  is a pure one-parameter semigroup of isometries and  $\{U_\gamma\}_{\gamma \in \Gamma^+}$  is a one-parameter group of unitaries. To do this it is sufficient to show that the mapping  $\psi(V_\gamma) = U_\gamma$  extends to a  $*$ -homomorphism from  $\mathcal{A}_\Gamma(V_\gamma)$  to  $\mathcal{A}_\Gamma(U_\gamma)$ . Since the mapping  $\psi$  may be factored  $\psi = \psi_1 \psi_2$ , where  $\psi_2$  is defined from  $\mathcal{A}_\Gamma(V_\gamma)$  to  $C(\hat{\Gamma})$  by  $\psi_2(V_\gamma) = \gamma$  and  $\psi_1$  is defined from  $C(\hat{\Gamma})$  to  $\mathcal{A}_\Gamma(U_\gamma)$  by  $\psi_1(\gamma) = U_\gamma$  and both maps extend to  $*$ -homomorphisms by our previous remarks, the result follows.

COROLLARY. *If  $\Gamma$  is a one-parameter group, then the commutator ideal  $\mathcal{C}_\Gamma$  in  $\mathcal{A}_\Gamma$  is a simple  $C^*$ -algebra and the quotient  $\mathcal{A}_\Gamma/\mathcal{C}_\Gamma$  is isomorphic to  $C(\hat{\Gamma})$ .*

*Proof.* The fact that  $\mathcal{A}_\Gamma/\mathcal{C}_\Gamma$  is isomorphic to  $C(\hat{\Gamma})$  follows from the special result in [5] and the theorem. To show that  $\mathcal{C}_\Gamma$  is simple, let  $\mathcal{I}$  be a closed two-sided ideal in  $\mathcal{A}_\Gamma$  and let  $\pi$  be a faithful representation of the  $C^*$ -algebra  $\mathcal{A}_\Gamma/\mathcal{I}$  into the bounded operators  $\mathcal{L}(\mathcal{H})$  on some Hilbert space  $\mathcal{H}$ . Then  $\{\pi(V_\gamma + \mathcal{I})\}_{\gamma \in \Gamma^+}$  is a one-parameter semigroup of isometries on  $\mathcal{H}$  and there are two possibilities. Either they are non-unitary in which case  $V_\gamma \mapsto \pi(V_\gamma + \mathcal{I})$  extends to an isomorphism and  $\mathcal{I} \equiv \{0\}$  or they are unitary in which case  $\pi(V_\gamma + \mathcal{I})$  generates an abelian algebra and hence  $\mathcal{I}$  contains  $\mathcal{C}_\Gamma$ . Thus  $\mathcal{C}_\Gamma$  is a simple  $C^*$ -algebra.

We point out that the preceding corollary contains the well-known result that the algebra of compact operators is simple. Moreover, since the compact operators in  $\mathcal{A}_\Gamma$  (in any representation) obviously form an ideal, it follows that the zero operator is the only one if  $\Gamma$  is not singly generated and hence  $\mathcal{A}_\Gamma$  is not a type I  $C^*$ -algebra. Although we conjecture that two algebras  $\mathcal{C}_{\Gamma_1}$  and  $\mathcal{C}_{\Gamma_2}$  are isomorphic if and only if  $\Gamma_1$  and  $\Gamma_2$  are order isomorphic, we have been unable to prove it. Further, one form of the Bott Periodicity Theorem states that the homotopy groups  $\pi_k$  of the invertible elements in  $I + \mathcal{C}_\mathbf{Z}$  are  $0, \mathbf{Z}, 0, \mathbf{Z}, \dots$ . The analogous results would be of interest for  $I + \mathcal{C}_\Gamma$ . Atiyah and Singer [1] have shown that the homotopy groups of the invertibles in a certain algebra closely related to  $I + \mathcal{C}_\mathbf{R}$  are  $0, \mathbf{R}, 0, \mathbf{R}, \dots$

Although we are unable to prove that the commutator ideal determines the ordered group, we can establish that the algebra does. The simplification of this proof was obtained in conversation with Singer.

THEOREM 2. *If  $\Gamma_1$  and  $\Gamma_2$  are one-parameter groups, then  $\mathcal{A}_{\Gamma_1}$  and  $\mathcal{A}_{\Gamma_2}$  are isomorphic  $C^*$ -algebras if and only if  $\Gamma_1$  and  $\Gamma_2$  are order isomorphic.*

*Proof.* In one direction the result follows from Theorem 1. Thus assume that  $\pi$  is an isomorphism from  $\mathcal{A}_{\Gamma_1}$  onto  $\mathcal{A}_{\Gamma_2}$ . Since  $\pi$  must respect commutator ideals, we have that  $\pi$  induces an isomorphism between  $\mathcal{A}_{\Gamma_1}/\mathcal{C}_{\Gamma_1}$  and  $\mathcal{A}_{\Gamma_2}/\mathcal{C}_{\Gamma_2}$ , implying that  $C(\hat{\Gamma}_1)$  is isomorphic to  $C(\hat{\Gamma}_2)$ . A result of Bohr and van Kampen (cf. [6]) implies that each component of the group of invertible elements in  $C(\hat{\Gamma}_1)$  and  $C(\hat{\Gamma}_2)$ , respectively, contains a character and hence we obtain an isomorphism  $\pi_*$  between  $\Gamma_1$  and  $\Gamma_2$ . Moreover, since an element  $\gamma$  in  $\Gamma_1$  is positive if and only if some element in the coset  $V_\gamma + \mathcal{C}_{\Gamma_1}$  has a left inverse, and this latter property is preserved by  $\pi$ , it follows that  $\pi_*$  is order preserving which completes the proof.

Let us consider briefly now some examples and applications. If  $\alpha$  is a real number, then  $\{(m, n) \in \mathbf{Z}^2: m + n\alpha \geq 0\}$  determines a semigroup  $\Sigma_\alpha$  of  $\mathbf{Z}^2$ . If  $\mathcal{T}_\alpha$  denotes the  $C^*$ -algebra generated by translations in the direction of  $\Sigma_\alpha$  on  $l^2(\Sigma_\alpha)$ , then for  $\alpha$  irrational  $\mathcal{T}_\alpha$  is one of the class of  $C^*$ -algebras studied in this note. In particular,  $\mathcal{T}_\alpha$  is the  $C^*$ -algebra associated with  $\Gamma_\alpha = \{m + n\alpha: (m, n) \in \mathbf{Z}^2\}$  and thus the problem of determining the isomorphism classes of these algebras for irrational  $\alpha$  can be solved. After a few computations we find that  $\mathcal{T}_\alpha$  and  $\mathcal{T}_\beta$  are isomorphic for  $\alpha$  and  $\beta$  irrational if and only if there exists integers  $i, j, k, l$  such that

$$\begin{pmatrix} i & j \\ k & l \end{pmatrix} \begin{pmatrix} 1 \\ \alpha \end{pmatrix} = \begin{pmatrix} 1 \\ \beta \end{pmatrix} \quad \text{and } il - jk = 1.$$

Among other things this implies that  $\mathcal{T}_{\sqrt{2}}$  and  $\mathcal{T}_{\sqrt{3}}$  are not isomorphic. For  $\alpha$  rational the algebras  $\mathcal{T}_\alpha$  have a center, and are, in fact, all isomorphic to the type I  $C^*$ -algebra  $C(\mathbf{T}) \otimes \mathcal{A}_{\mathbf{Z}}$ . There are obvious analogues of these examples in higher dimensions but the possible degeneracies would be quite complicated.

The results of this note can be used to simplify certain of the proofs in [6]. In particular, to obtain a faithful representation of  $\mathcal{A}_\Gamma$ , it is sufficient to map  $\{V_\gamma\}_{\gamma \in \Gamma^+}$  to a one-parameter semigroup of isometries, and not to verify any further estimates.

Further, we can now throw a little light on an example mentioned in [6]. We shall adopt certain notation from [6] with a minimum of detail. Let  $H^2$  denote the closed subspace of  $L^2$  with respect to Lebesgue measure on  $\mathbf{R}$  consisting of those functions possessing analytic extensions into the upper half plane and let  $P$  be the orthogonal projection of  $L^2$  onto  $H^2$ . If  $AP$  denotes the algebra of almost periodic functions on  $\mathbf{R}$  and  $C_0$  the algebra of continuous functions on  $\mathbf{R}$  which vanish at infinity, then  $AP + C_0$  is the algebra of asymptotic almost periodic functions,  $C_0$  is an ideal in  $AP + C_0$  and we have the short exact sequence  $(0) \rightarrow C_0 \xrightarrow{i} AP + C_0 \rightarrow AP \rightarrow (0)$ , where  $i$  is the inclusion map. For  $\varphi$  in  $AP + C_0$  let  $W_\varphi$  be the operator on  $H^2$  defined by  $W_\varphi f = P(\varphi f)$  for  $f$  in  $H^2$ . Then  $W_\varphi$  is a Wiener-Hopf operator and we are interested in the  $C^*$ -algebra  $\mathcal{T}(AP + C_0)$  generated by

$\{W_\varphi: \varphi \in AP + C_0\}$ . A moment's reflection will reveal that  $\mathcal{T}(AP)$  is just  $\mathcal{A}_R$ , while  $\mathcal{T}(C_0) + I$  is  $\mathcal{A}_Z$ .

Classical results state that an operator  $I + W_\varphi$  for  $\varphi$  in  $C_0$  is a Fredholm operator if and only if  $1 + \varphi \neq 0$  and the index of  $I + W_\varphi$  is minus the winding number of the curve determined by  $1 + \varphi$ . In [6] this result was extended to  $W_\varphi$  for  $\varphi$  in  $AP$  with the notion of Fredholm being replaced by that due to Breuer [3] in an appropriate  $\Pi_\infty$ -factor representation of  $\mathcal{T}(AP)$ . The corresponding result for  $\varphi$  in  $AP + C_0$  is unknown and is of particular interest since the index would presumably lie in  $\mathbf{R} \oplus \mathbf{Z}$ .

If  $\mathcal{C}(AP + C_0)$  denotes the commutator ideal in  $\mathcal{T}(AP + C_0)$ , then it is quite easy to show that the quotient  $\mathcal{T}(AP + C_0)/\mathcal{C}(AP + C_0)$  is isomorphic to  $AP + C_0$ . One would like to be able to think of the function  $\sigma(T)$  in  $AP + C_0$  as the "symbol" of an operator  $T$  in  $\mathcal{T}(AP + C_0)$ . A minimum condition for this would be for the invertibility of the operator to imply that the symbol has index zero and this we prove and more.

The key to the proof is observing that  $\mathcal{T}(C_0)$  is an ideal in  $\mathcal{T}(AP + C_0)$  and identifying the quotient. Using the fact that  $\mathcal{T}(C_0)$  contains the compact operators and that  $W_\varphi W_\psi - W_{\varphi\psi}$  and  $W_\psi W_\varphi - W_{\psi\varphi}$  are both compact for  $\varphi$  in  $C_0$  and  $\psi$  in  $AP + C_0$  (cf. [9], Chapter 7), we see that  $\mathcal{T}(C_0)$  is a closed two-sided ideal in  $\mathcal{T}(AP + C_0)$ . Moreover, since the operators  $\{W_{e^{i\lambda t}}\}_{\lambda \geq 0}$  and  $\mathcal{T}(C_0)$  clearly generate  $\mathcal{T}(AP + C_0)$ , it follows that  $\mathcal{T}(AP + C_0)/\mathcal{T}(C_0)$  is generated by the one-parameter semigroup of isometries  $\{W_{e^{i\lambda t}} + \mathcal{T}(C_0)\}_{\lambda \geq 0}$  and hence the inclusion mapping of  $\mathcal{T}(AP)$  into  $\mathcal{T}(AP + C_0)/\mathcal{T}(C_0)$  is an isomorphism. Therefore we have the commutative diagram

$$\begin{array}{ccccccc}
 & & (0) & & (0) & & (0) \\
 & & \downarrow & & \downarrow & & \downarrow \\
 (0) & \longrightarrow & \mathcal{C}(C_0) & \xrightarrow{i} & \mathcal{C}(AP + C_0) & \xrightarrow{\pi} & \mathcal{C}(AP) \longrightarrow (0) \\
 & & \downarrow i & & \downarrow i & & \downarrow i \\
 (0) & \longrightarrow & \mathcal{T}(C_0) & \xrightarrow{i} & \mathcal{T}(AP + C_0) & \xrightarrow{\pi} & \mathcal{T}(AP) \longrightarrow (0) \\
 & & \downarrow \sigma & & \downarrow \sigma & & \downarrow \sigma \\
 (0) & \longrightarrow & C_0 & \xrightarrow{i} & AP + C_0 & \xrightarrow{\pi} & AP \longrightarrow (0) \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & (0) & & (0) & & (0)
 \end{array}$$

with short exact rows and columns.

Our problem is to show the Fredholmness of  $T$  in  $\mathcal{T}(AP + C_0)$  implies the invertibility of  $\sigma(T)$  in  $AP + C_0$ . Since  $\mathcal{C}(C_0)$  is the compact operators and the sequence

$$(0) \longrightarrow \mathcal{C}(C_0) \xrightarrow{i} \mathcal{T}(AP + C_0) \xrightarrow{\pi \oplus \sigma} \mathcal{T}(AP) \oplus AP + C_0$$

is exact at  $\mathcal{T}(AP + C_0)$  by ([10], Prop. 4), we see that  $T$  is a Fredholm operator if and only if  $\pi(T)$  is invertible in  $\mathcal{T}(AP)$  and  $\sigma(T)$  is invertible in  $AP + C_0$ . Thus we have proved

**THEOREM 3.** *An operator  $T$  in  $\mathcal{T}(AP + C_0)$  is a Fredholm operator if and only if  $\sigma(T)$  is invertible in  $AP + C_0$  and  $\pi(T)$  is invertible in  $\mathcal{T}(AP)$ . Moreover, the index of such a  $T$  is equal to minus the topological index of  $\sigma(T)$ .*

The only thing needing comment is the moreover statement and since  $\pi(T)$  is invertible it follows that the topological index of  $\sigma(T)$  in  $\mathbf{R} \oplus \mathbf{Z}$  must have first component zero and an elementary computation completes the proof.

Without going into detail we point out that the range of  $\pi \oplus \sigma$  consists of the pairs  $W \oplus \varphi$  for which  $\sigma(W) = \pi(\varphi)$  by the exactness of the diagram using [7], and thus these pairs form the “symbol space” for  $\mathcal{T}(AP + C_0)$ . Hence one could say that a  $T$  in  $\mathcal{T}(AP + C_0)$  is a “generalized Fredholm operator” if  $\sigma(T)$  is invertible and  $\pi(T)$  is a generalized Fredholm operator in  $\mathcal{T}(AP)$  and using [6] this follows if  $\sigma(T)$  is invertible. A reasonable analytical index can be defined which would agree with the negative of the topological index. We hope to present further details and justification in another paper.

We conclude with a couple of remarks. Firstly, the analysis of the  $W^*$ -algebras generated by a one-parameter semigroup of isometries is considered in a recent paper of Muhly [11] which as one might expect is considerably more complex. Secondly, the one-parameter group of automorphisms  $\{\alpha_t\}_{t \in \mathbf{R}}$  crucial in the proof of Theorem 1 are what are called “weak-inner”, that is, they are unitarily implemented by unitary operators in the weak closure of  $\mathcal{C}_\Gamma$  in any of its representations. Since  $\mathcal{C}_\mathbf{Z}$  contains the compact operators, all of its automorphisms are weak-inner. It is probably too much to expect the same to be true of  $\mathcal{C}_\Gamma$ .

*Added in proof:* Barry Johnson has given an example of an automorphism of  $\mathcal{C}_\Gamma$  which is not weak-inner for  $\Gamma \neq \mathbf{Z}$ .

### References

- [1]. SINGER, I. M., Homotopy groups of operator groups.
- [2]. BERGER, C. A. & COBURN, L. A., One parameter semigroups of isometries. *Bull. Amer. Math. Soc.*, 76 (1970), 1125–1129.
- [3]. BREUER, M., Fredholm theories in von Neumann algebras, I and II. *Math. Ann.*, 178 (1968) 243–254 and 180 (1969), 313–325.

- [4]. COBURN, L. A., The  $C^*$ -algebra generated by an isometry, I and II. *Bull. Amer. Math. Soc.*, 13 (1967), 722–726, and *Trans. Amer. Math. Soc.*, 137 (1969), 211–217.
- [5]. COBURN, L. A. & DOUGLAS, R. G., Translation operators on the half-line. *Proc. Nat. Acad. Sci. U.S.A.*, 62 (1969), 1010–1013.
- [6]. COBURN, L. A., DOUGLAS, R. G., SCHAEFFER, D. G. & SINGER, I. M., On  $C^*$ -algebras of operators on a half-space, II: Index theory. *Publ. I.H.E.S.*
- [7]. COBURN, L. A., DOUGLAS, R. G. & SINGER, I. M., An index theorem for Wiener-Hopf operators on the discrete quarter-plane.
- [8]. DIXMIER, J., *Les  $C^*$ -algèbres et leurs représentations*. Gauthier-Villars, Paris, 1964.
- [9]. DOUGLAS, R. G., *Banach algebra techniques in operator theory*. Academic Press, New York, 1972.
- [10]. DOUGLAS, R. G. & HOWE, R., On the  $C^*$ -algebra of Toeplitz operators on the quarter-plane. *Trans. Amer. Math. Soc.*, 158 (1971), 203–217.
- [11]. MUHLY, P., A structure theory for isometric representations of a class of semigroups.
- [12]. SUCIU, I., On the semi-groups of isometries. *Studia Math.*, 30 (1968), 101–110.

*Received July 22, 1971*