

# Whitney's extension theorem for ultradifferentiable functions of Beurling type

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Classes of non-quasianalytic functions on  $\mathbf{R}^n$  are usually defined by imposing conditions on the derivatives of the functions. For example, if  $(M_p)_{p \in \mathbf{N}_0}$  is an appropriate sequence of positive numbers, one defines

$$\mathcal{E}^{(M_p)}(\mathbf{R}^n) := \{f \in C^\infty(\mathbf{R}^n) \mid \text{for each compact set } K \text{ in } \mathbf{R}^n \text{ and each } h > 0 \\ \sup_{\alpha \in \mathbf{N}_0^n} \sup_{x \in K} |f^{(\alpha)}(x)| (h^{|\alpha|} M_{|\alpha|})^{-1} < \infty\};$$

$\mathcal{E}^{(M_p)}(\mathbf{R}^n)$  is defined similarly (replacing the all quantifier over  $h$  by an existence quantifier). Continuing the classical work of E. Borel [5] many authors (see Bronshtein [7], Bruna [8], Carleson [9], Dzasasija [10], Ehrenpreis [11], Komatsu [15], Mityagin [22], Petzsche [24] and Wahde [30]) have investigated conditions on  $(M_p)_{p \in \mathbf{N}_0}$  and on sequences  $(a_\alpha)_{\alpha \in \mathbf{N}_0^n}$  implying the existence of  $f \in \mathcal{E}^{(M_p)}(\mathbf{R}^n)$  (resp.  $\mathcal{E}^{(M_p)}(\mathbf{R}^n)$ ) with

$$f^{(\alpha)}(0) = a_\alpha \quad \text{for all } \alpha \in \mathbf{N}_0^n.$$

In the present note we study this question and a version of Whitney's extension theorem for the non-quasianalytic classes  $\mathcal{E}_\omega(\mathbf{R}^n)$  which have been introduced by Beurling [2] and Björck [3] using the Fourier transform. Most familiar function classes, like the Gevrey classes, can be obtained by both methods ( $M_p = (p!)^s$  or  $\omega(x) = |x|^{1/s}$ ,  $s > 1$ ). However, in general, the two definitions lead to different classes.

To define  $\mathcal{E}_\omega(\mathbf{R}^n)$  we vary Beurling's approach a bit. We assume that  $\omega: \mathbf{R} \rightarrow [0, \infty]$  is a continuous function having the following properties:

$$(i) \quad \limsup_{t \rightarrow \infty} \frac{\omega(2t)}{\omega(t)} < \infty \quad \text{and} \quad \lim_{t \rightarrow \infty} \frac{\log t}{\omega(t)} = 0;$$

$$(ii) \quad \int_{-\infty}^{+\infty} \frac{\omega(t)}{1+t^2} dt < \infty;$$

$$(iii) \quad \varphi: t \mapsto \omega(e^t) \text{ is a convex function on } \mathbf{R}.$$

By  $\varphi^*$  we denote the Young conjugate of  $\varphi$  on  $[0, \infty[$ , and we put

$$\mathcal{E}_\omega(\mathbf{R}^n) := \left\{ f \in C^\infty(\mathbf{R}^n) \mid \sup_{\alpha \in \mathbf{N}_0^n} \sup_{|x| \leq m} |f^{(\alpha)}(x)| \exp\left(-m\varphi^*\left(\frac{|\alpha|}{m}\right)\right) < \infty \text{ for all } m \in \mathbf{N} \right\}.$$

Then the main result of the present paper states that the following assertions are equivalent:

- (1) For each compact convex set  $K$  in  $\mathbf{R}^n$  with  $\overset{\circ}{K} \neq \emptyset$  a Whitney field  $f = (f_\alpha)_{\alpha \in \mathbf{N}_0^n} \in C(K)^\mathbf{N}_0$  is of the form  $f = (g^{(\alpha)}|K)_{\alpha \in \mathbf{N}_0^n}$  for some  $g \in \mathcal{E}_\omega(\mathbf{R}^n)$  iff for each  $m \in \mathbf{N}$

$$\sup_{\alpha \in \mathbf{N}_0^n} \sup_{x \in K} |f_\alpha(x)| \exp\left(-m\varphi^*\left(\frac{|\alpha|}{m}\right)\right) < \infty.$$

- (2) The characterization in (1) holds for each set  $K = \bar{\Omega} \subset \mathbf{R}^n$ , where  $\Omega$  is a bounded open set with real-analytic boundary.
- (3) A sequence  $(a_\alpha)_{\alpha \in \mathbf{N}_0^n} \in \mathbf{C}^{\mathbf{N}_0^n}$  is of the form  $B_n(g) := (g^{(\alpha)}(0))_{\alpha \in \mathbf{N}_0^n}$  for some  $g \in \mathcal{E}_\omega(\mathbf{R}^n)$  iff for each  $m \in \mathbf{N}$

$$\sup_{\alpha \in \mathbf{N}_0^n} |a_\alpha| \exp\left(-m\varphi^*\left(\frac{|\alpha|}{m}\right)\right) < \infty.$$

- (4) There exist  $K > 1$  and  $t_0 > 0$  with  $\omega(Kt) \leq \frac{K}{2} \omega(t)$  for all  $t \geq t_0$ .
- (5) There exists  $C > 0$  with  $t \int_t^\infty \frac{\omega(s)}{s^2} ds \leq C\omega(t) + C$  for all  $t > 0$ .

Moreover, we show that for every continuous increasing function  $\omega: [0, \infty[ \rightarrow [0, \infty[$  with  $\omega(0) = 0$  and  $\lim_{t \rightarrow \infty} \omega(t) = \infty$  which satisfies (5), the function  $\varkappa: t \mapsto t \int_t^\infty \frac{\omega(s)}{s^2} ds$  is an increasing concave function with  $\varkappa(0) = 0$  which satisfies (5) and

$$\omega(t) \leq \varkappa(t) \leq C\omega(t) + C \text{ for all } t > 0.$$

This implies that the class  $\mathcal{E}^{(M_p)}$  coincides with a class of type  $\mathcal{E}_\omega$  as soon as the sequence  $(M_p)_{p \in \mathbf{N}_0}$  satisfies the conditions (M1), (M2) and (M3) of Komatsu [14]. As a consequence, our main theorem extends previous results of Ehrenpreis [11] and Komatsu [15].

The proof of our main result is based on the methods which were introduced by Carleson [9] and Ehrenpreis [11]. To sketch the idea, let  $\mathcal{E}_\omega(K)$  denote the space of Whitney fields on  $K$  defined by the estimates in (1). Then we use an argument of Taylor [26] and Whitney's extension theorem to show that  $\mathcal{E}_\omega(K)'_b$ , the dual space of  $\mathcal{E}_\omega(K)$  equipped with the topology of uniform convergence on the bounded subsets of  $\mathcal{E}_\omega(K)$ , is isomorphic to a weighted space  $A_{P_K}(\mathbf{C}^n)$  of entire functions

by the Fourier—Laplace transform. Since  $\mathcal{E}_\omega(\mathbf{R}^n)'_b$  is also isomorphic to a weighted space  $A_p(\mathbf{C}^n)$  of entire functions, a theorem of Dieudonné and Schwartz implies that the restriction map  $\varrho_K: \mathcal{E}_\omega(\mathbf{R}^n) \rightarrow \mathcal{E}_\omega(K)$  is surjective if and only if the inclusion map  $J: A_{p,K}(\mathbf{C}^n) \rightarrow A_p(\mathbf{C}^n)$  is an injective topological homomorphism. Because of this characterization one can use the Phragmén—Lindelöf principle and estimates of the harmonic extension  $P_\omega$  of  $\omega$  to show that  $\varrho_K$  is surjective if  $P_\omega = O(\omega)$ , which is equivalent to (4) and (5). If this condition does not hold then one can use Hörmander's  $L^2$ -estimates for the solutions of  $\bar{\partial}$ -problems to show that  $J$  is not a topological homomorphism.

To indicate a further consequence of our main theorem, assume that  $\omega$  satisfies (i)—(iii) and (4) and let  $\mathcal{E}_\omega(\{0\}, \mathbf{R}^n)$  denote the Fréchet space of all sequences  $(a_\alpha)_{\alpha \in \mathbf{N}_0^n}$  in  $\mathbf{C}^{\mathbf{N}_0^n}$  which are defined by the estimates in (3). Then one would like to know whether the Borel map  $B_n: \mathcal{E}_\omega(\mathbf{R}^n) \rightarrow \mathcal{E}_\omega(\{0\}, \mathbf{R}^n)$  admits a continuous linear right inverse  $E_n$ . Using a result of [19] we show that the condition

for each  $C > 1$  there exist  $\delta > 0$  and  $R_0 > 0$  with

$$(*) \quad \omega^{-1}(CR)\omega^{-1}(\delta R) \cong (\omega^{-1}(R))^2 \quad \text{for all } R \cong R_0$$

is necessary for the existence of  $E_n$ . In [21] we show that (\*) is also sufficient.

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### 1. Weight functions

In this section we fix some notation and introduce the weight functions  $\omega$  which will be used subsequently. Without further reference we shall use the standard notation from complex analysis (see Hörmander [12]) and from functional analysis (see e.g. Schaefer [25]).

*1.1. Weight functions  $\omega$ .* Let  $\omega: \mathbf{R} \rightarrow [0, \infty[$  be a continuous even function which is increasing on  $[0, \infty[$  and satisfies  $\omega(0) = 0$  and  $\lim_{t \rightarrow \infty} \omega(t) = \infty$ . We consider the following conditions on  $\omega$ :

$$(\alpha)' \quad 0 = \omega(0) \cong \omega(s+t) \cong \omega(s) + \omega(t) \quad \text{for all } s, t \in \mathbf{R};$$

$$(\alpha) \quad \omega(2t) = o(\omega(t)) \quad \text{as } t \text{ tends to } \infty;$$

$$(\beta) \quad \int_{-\infty}^{+\infty} \frac{\omega(t)}{1+t^2} dt < \infty;$$

( $\gamma$ )  $\log(1+|t|) = O(\omega(t))$  as  $t$  tends to  $\infty$ ;

( $\gamma'$ )  $\lim_{t \rightarrow \infty} \frac{\log t}{\omega(t)} = 0$ ;

( $\delta$ )  $\varphi: t \mapsto \omega(e^t)$  is convex on  $\mathbf{R}$ ;

( $\varepsilon$ ) there exists  $C > 0$  with  $\int_1^\infty \frac{\omega(yt)}{t^2} dt \leq C\omega(y) + C$  for all  $y \geq 0$ .

1.2. Remark. (a) The conditions 1.1( $\alpha'$ ), ( $\beta$ ) and ( $\gamma$ ) are basically those which are used in Björck [3] (see also Beurling [2]) to develop a theory of ultradifferentiable functions and ultradistributions.

(b) Note that a function  $\omega$  which satisfies the general conditions in 1.1 and 1.1( $\beta$ ) has the property  $\lim_{t \rightarrow \infty} \frac{\omega(t)}{t} = 0$ , since for  $t \geq 1$

$$\frac{\omega(t)}{t} = \int_t^\infty \frac{\omega(t)}{s^2} ds \leq \int_t^\infty \frac{\omega(s)}{s^2} ds.$$

1.3. Proposition. Let  $\omega: [0, \infty[ \rightarrow [0, \infty[$  be a continuous increasing function with  $\omega(0) = 0$  and  $\lim_{t \rightarrow \infty} \omega(t) = \infty$ . Then the following conditions are equivalent:

(1)  $\lim_{\varepsilon \rightarrow 0} \limsup_{t \rightarrow \infty} \frac{\varepsilon \omega(t)}{\omega(\varepsilon t)} = 0$ ;

(2) there exists  $K > 1$  with  $\limsup_{t \rightarrow \infty} \frac{\omega(Kt)}{\omega(t)} < K$ ;

(3) there exists  $C > 0$  with  $\int_1^\infty \frac{\omega(yt)}{t^2} dt \leq C\omega(y) + C$  for all  $y > 0$ ;

(4) there exists an increasing concave function  $\varkappa: [0, \infty[ \rightarrow [0, \infty[$  with  $\varkappa(0) = 0$  and

(i)  $\omega(y) \leq \varkappa(y) \leq C\omega(y) + C$ ;

(ii)  $\int_1^\infty \frac{\varkappa(yt)}{t^2} dt \leq C\varkappa(y) + C$ .

Proof. (1) $\Rightarrow$ (2): By (1) there is  $0 < \varepsilon < 1$  with  $\limsup_{t \rightarrow \infty} \frac{\varepsilon \omega(t)}{\omega(\varepsilon t)} < 1$ . Hence

(2) holds with  $K = \frac{1}{\varepsilon}$ .

(2) $\Rightarrow$ (3): From (2) we get the existence of  $T > 0$  and  $0 < \varepsilon < 1$  with

$$\omega(Kt) \leq (K - \varepsilon)\omega(t) \quad \text{for all } t \geq T.$$

This implies that for each  $y \geq T$  we have

$$\begin{aligned} \int_1^\infty \frac{\omega(yt)}{t^2} dt &= \sum_{j=0}^\infty \int_{K^j}^{K^{j+1}} \frac{\omega(yt)}{t^2} dt \leq \sum_{j=0}^\infty \frac{\omega(K^{j+1}y)}{K^{2j}} (K^{j+1} - K^j) \\ &\leq K(K-1)\omega(y) \sum_{j=0}^\infty \left(\frac{K-\varepsilon}{K}\right)^{j+1} = \frac{1}{\varepsilon} K(K-1)(K-\varepsilon)\omega(y). \end{aligned}$$

Hence (3) holds.

(3) $\Rightarrow$ (4): We define  $\kappa$  by

$$\kappa(y) := \int_1^\infty \frac{\omega(yt)}{t^2} dt = y \int_y^\infty \frac{\omega(s)}{s^2} ds.$$

Then (i) and (ii) follow easily from (3) and the fact that  $\omega$  is increasing. It is also obvious that  $\kappa$  is increasing and satisfies  $\kappa(0)=0$ .

To show that  $\kappa$  is concave, note that  $\kappa$  is differentiable on  $]0, \infty[$  with  $\kappa'(y) = \int_y^\infty \frac{\omega(s)}{s^2} ds - \frac{\omega(y)}{y} = \int_y^\infty \frac{d\omega(s)}{s}$ . Hence  $\kappa'$  is decreasing, so  $\kappa$  is concave.

(4) $\Rightarrow$ (1): Because of (4) (i) it suffices to show  $\lim_{\varepsilon \downarrow 0} \limsup_{t \rightarrow \infty} \frac{\varepsilon \kappa(t)}{\kappa(\varepsilon t)} = 0$ . To

prove this, note that  $\kappa$  satisfies  $\frac{\kappa(2y)}{2} \leq \kappa(y)$  and hence

$$\frac{\kappa(2^n y)}{2^n} \leq \frac{\kappa(2^{n-1} y)}{2^{n-1}}$$

for each  $n \in \mathbb{N}$  and each  $y \geq 0$ . Since  $\kappa$  is increasing, this implies by (4) (ii)

$$\begin{aligned} n \frac{\kappa(2^n y)}{2^n} &\leq \sum_{j=1}^n \frac{\kappa(2^j y)}{2^j} \leq 4 \sum_{j=1}^n \int_{2^j}^{2^{j+1}} \frac{\kappa(ty)}{t^2} dt \\ &\leq 4 \int_1^\infty \frac{\kappa(yt)}{t^2} dt \leq 4C(\kappa(y) + 1) \leq 8C\kappa(y) \end{aligned}$$

for  $y \geq y_0$ . Next let  $0 < \varepsilon \leq \frac{1}{2}$  be given and choose  $n \in \mathbb{N}$  with  $\frac{1}{2^{n+1}} \leq \varepsilon < \frac{1}{2^n}$ .

Then we have for all large  $t > 0$  and  $t = 2^{n+1}y$

$$\frac{\varepsilon \kappa(t)}{\kappa(\varepsilon t)} \leq \frac{\frac{1}{2^n} \kappa(t)}{\kappa\left(\frac{1}{2^{n+1}} t\right)} = \frac{2\kappa(2^{n+1}y)}{2^{n+1}\kappa(y)} \leq \frac{16C}{n},$$

which proves  $\lim_{\varepsilon \downarrow 0} \limsup_{t \rightarrow \infty} \frac{\varepsilon \kappa(t)}{\kappa(\varepsilon t)} = 0$ .

*Remark.* If in Proposition 1.3 the function  $\omega$  has the additional property that  $t \rightarrow \omega(e^t)$  is convex on  $\mathbf{R}$  then the function  $\varkappa$  in 1.3 (4) has this property, too.

**1.4. Corollary.** *If  $\omega$  satisfies one of the equivalent conditions in 1.3, then there exists  $0 < \alpha < 1$  with  $\omega(t) = O(t^\alpha)$ .*

*Proof.* By 1.3 (1) there exist  $K > 1$  and  $t_0 > 0$  with

$$\frac{\omega(Kt)}{\omega(t)} < \frac{K}{2} \text{ for all } t \geq t_0.$$

Hence there exist  $0 < \alpha < 1$  and  $m \geq 0$  with

$$\frac{\omega(Kt)}{\omega(t)} \leq K^\alpha \text{ for all } t \geq K^m.$$

This implies for all  $n \in \mathbf{N}_0$

$$\omega(K^{m+n}) \leq K^{\alpha n} \omega(K^m) = K^{\alpha(m+n)} \frac{\omega(K^m)}{K^{\alpha m}}.$$

Since  $\omega$  is increasing, we get from this for all  $t \in [K^{m+n}, K^{m+n+1}]$

$$\omega(t) \leq \omega(K^{m+n+1}) \leq K^{\alpha(m+n+1)} \frac{\omega(K^m)}{K^{\alpha m}} = K^{(m+n)\alpha} \frac{\omega(K^m)}{K^{\alpha(m-1)}} \leq t^\alpha \frac{\omega(K^m)}{K^{\alpha(m-1)}}.$$

**1.5. Definition.** Assume that  $\omega$  satisfies the general conditions in 1.1 as well as 1.1(β).

(a) The harmonic extension  $P_\omega: \mathbf{C} \rightarrow [0, \infty[$  of  $\omega$  is defined by

$$P_\omega(x + iy) := \begin{cases} \frac{|y|}{\pi} \int_{-\infty}^{+\infty} \frac{\omega(t)}{(t-x)^2 + y^2} dt & \text{for } |y| > 0; \\ \omega(x) & \text{for } y = 0. \end{cases}$$

(b) The radial extension  $\tilde{\omega}: \mathbf{C}^n \rightarrow [0, \infty[$  of  $\omega$  is defined by  $\tilde{\omega}(z) = \omega(|z|)$ .

**1.6. Remark.** (a) It is well-known that  $P_\omega$  is continuous on  $\mathbf{C}$  and harmonic in the (open) upper and lower half plane.

(b) If  $\omega$  satisfies the general conditions in 1.1 as well as 1.1(δ) then  $\tilde{\omega}$  is a continuous plurisubharmonic function on  $\mathbf{C}^n$ . In this case we have  $\tilde{\omega} \leq P_\omega$  for  $n = 1$ , provided that  $\omega$  satisfies 1.1(β), too.

**1.7. Proposition.** *Assume that  $\omega$  satisfies the general conditions of 1.1 as well as 1.1(β). Then the conditions (1)–(4) in 1.3 are equivalent to*

(\*) 
$$P_\omega(z) = O(\tilde{\omega}(z)) \text{ as } |z| \text{ tends to } \infty.$$

*Proof.* If (\*) holds then there exists  $C > 0$  with

$$P_\omega(z) \leq C\tilde{\omega}(z) + C \text{ for all } z \in \mathbf{C}.$$

Since  $\omega$  is increasing on  $[0, \infty[$ , this implies for  $y > 0$

$$\begin{aligned} C + C\omega(y) &= C + C\tilde{\omega}(iy) \leq P_\omega(iy) \leq \frac{y}{\pi} \int_{|t| \geq y} \frac{\omega(t)}{t^2 + y^2} dt \\ &= \frac{2}{\pi} \int_1^\infty \frac{\omega(yt)}{t^2 + 1} dt \leq \frac{1}{\pi} \int_1^\infty \frac{\omega(yt)}{t^2} dt. \end{aligned}$$

Hence  $\omega$  satisfies 1.3(3).

Next assume that  $\omega$  satisfies one of the equivalent conditions (1)–(4) of Proposition 1.3. Then it follows easily from 1.3(2) that  $\omega$  satisfies condition 1.1( $\alpha$ ). This and 1.3(3) implies the existence of positive numbers  $C_1$  and  $C_2$  such that for  $z = x + iy$  with  $x \geq 0, y \geq 0$  and  $x + y \geq 1$  we have

$$\begin{aligned} P_\omega(z) &= \frac{y}{\pi} \int_{|t| \leq x+y} \frac{\omega(t)}{(t-x)^2 + y^2} dt + \frac{y}{\pi} \int_{|t| > x+y} \frac{\omega(t)}{(t-x)^2 + y^2} dt \\ &\leq \omega(x+y) + \frac{2}{\pi} \int_1^\infty \frac{\omega(x+sy)}{1+s^2} ds \leq \omega(x+y) + \frac{2}{\pi} \int_1^\infty \frac{\omega(s(x+y))}{1+s^2} ds \\ &\leq \omega(x+y) + C_1(1 + \omega(x+y)) \leq C_2(1 + \tilde{\omega}(z)). \end{aligned}$$

By the symmetry properties of  $\omega$  and the Poisson kernel, this implies (\*).

*1.8. Examples.* (a) It is easy to check that the following functions  $\omega$  satisfy the general conditions in 1.1 and 1.1( $\alpha$ ), ( $\gamma$ ), ( $\delta$ ) and ( $\varepsilon$ ) (after a suitable change on  $[-A, A]$  for some  $A > 0$ ).

By Proposition 1.3 they are equivalent (in the sense of 1.3(4) (i)) to an increasing concave function having the same properties

- (1)  $\omega(t) = (\log(1 + |t|))^q, \quad s \geq 1;$
- (2)  $\omega(t) = (\log(1 + |t|))^q \exp((\log(1 + |t|))^p), \quad 0 < p < 1, \quad 0 \leq q < \infty;$
- (3)  $\omega(t) = |t|^p (\log(1 + |t|))^q, \quad 0 < p < 1, \quad 0 \leq q < \infty.$

(b) For  $a > 1$  there exists  $\omega$  satisfying the general conditions of 1.1 as well as 1.1( $\alpha'$ ), ( $\beta$ ), ( $\gamma'$ ) and ( $\delta$ ) such that for all large  $|t|$  we have  $\omega(t) = |t|(\log |t|)^{-a}$ . By Corollary 1.4 this function does not satisfy condition 1.2( $\varepsilon$ ).

In Section 2 we shall use the following lemma:

**1.9. Lemma.** *For  $\omega$  as in 1.1 assume that 1.1( $\alpha$ ) and ( $\beta$ ) are satisfied. Then there exists  $A > 0$  such that for all  $z \in \mathbf{C}$  we have*

$$P_\omega(z+w) \leq AP_\omega(z) + A \text{ for all } w \in \mathbf{C} \text{ with } |w| \leq 1.$$

*Proof.* It is easy to check that 1.1(α) implies the existence of  $K \geq 1$  with

$$(1) \quad \omega(t+x) \leq K(1+\omega(t)+\omega(x)) \quad \text{for all } t, x \in \mathbf{R}$$

(see Braun, Meise and Taylor [6], 1.2). Hence there exists  $K_1 \geq 1$  with  $\omega(t+x) \leq K_1(1+\omega(x))$  for all  $x \in \mathbf{R}$  and  $t \in \mathbf{R}$  with  $|t| \leq 1$ . By the properties of the Poisson kernel, this implies

$$(2) \quad P_\omega(z+t) \leq K_1 P_\omega(z) + K_1 \quad \text{for all } z \in \mathbf{C} \quad \text{and all } t \in \mathbf{R}, \quad |t| \leq 1.$$

Furthermore, (1) implies for all  $y \neq 0$

$$P_\omega(x+iy) = \frac{|y|}{\pi} \int_{-\infty}^{+\infty} \frac{\omega(t+x)}{t^2+y^2} dt \leq K(1+\omega(x)) + K P_\omega(iy).$$

Then there exists  $K_2 \geq 1$  with

$$(3) \quad P_\omega(z+iy) \leq K_2 P_\omega(z) + K_2 \quad \text{for all } z \in \mathbf{C} \quad \text{and all } y \in \mathbf{R}, \quad |y| \leq 1.$$

Now the result is an obvious consequence of (2) and (3).

## 2. Spaces of entire functions

In this section we prove the main results of the present article, formulated in terms of entire functions. To state them in an appropriate way, we first introduce the (DF)-spaces which we are going to use. In doing this, we denote by  $A(\mathbf{C}^n)$  the algebra of all entire functions on  $\mathbf{C}^n$ .

*2.1. Definition.* Let  $\mathbf{P}=(p_j)_{j \in \mathbf{N}}$  be an increasing sequence of continuous functions on  $\mathbf{C}^n$  with  $\lim_{|z| \rightarrow \infty} (p_{j+1}(z) - p_j(z)) = \infty$  for all  $j \in \mathbf{N}$ . Then we put

$$A_{\mathbf{P}}(\mathbf{C}^n) := \{f \in A(\mathbf{C}^n) \mid \text{there exists } j \in \mathbf{N} \text{ with } \|f\|_j := \sup_{z \in \mathbf{C}^n} |f(z)| \exp(-p_j(z)) < \infty\},$$

and we endow  $A_{\mathbf{P}}(\mathbf{C}^n)$  with its natural inductive limit topology. If  $\mathbf{P}=(jp)_{j \in \mathbf{N}}$  for some function  $p$  on  $\mathbf{C}^n$ , then we write  $A_p(\mathbf{C}^n)$  instead of  $A_{\mathbf{P}}(\mathbf{C}^n)$ .

*Remark.* From Montel's theorem it follows easily that  $A_{\mathbf{P}}(\mathbf{C}^n)$  is a (DFS)-space, i.e. the strong dual of a Fréchet—Schwartz space.

**2.2. Proposition.** *For  $\omega$  as in 1.1 assume that 1.1(α) and (β) are satisfied. Let  $g, h: \mathbf{R}^n \rightarrow [0, \infty[$  be continuous positive homogeneous functions with  $h \leq Mg$  for some  $M > 0$  and define  $p$  and  $\mathbf{P}=(p_j)_{j \in \mathbf{N}}$  by*

$$p(z) := g(\text{Im } z) + \tilde{\omega}(z), \quad p_j(z) := h(\text{Im } z) + j\tilde{\omega}(z), \quad j \in \mathbf{N}, \quad z \in \mathbf{C}^n.$$



If  $P_\omega(z) = O(\tilde{\omega}(z))$  then the inclusion map

$$J: A_P(\mathbb{C}^n) \rightarrow A_p(\mathbb{C}^n)$$

is a topological homomorphism, i.e.  $J$  is a linear topological isomorphism between  $A_P(\mathbb{C}^n)$  and  $\text{im}(J)$ .

*Proof.* Since  $h \leq Mg$ , it follows easily that  $J$  is a continuous linear map. Since  $A_P(\mathbb{C}^n)$  and  $A_p(\mathbb{C}^n)$  are (DFS)-spaces, the lemma of Baernstein [1], p. 29, implies that  $J$  is a topological homomorphism iff for each bounded set  $B$  in  $A_p(\mathbb{C}^n)$  the set  $J^{-1}(B)$  is bounded in  $A_P(\mathbb{C}^n)$ . To show that this holds, let  $B$  be fixed. Without loss of generality we can assume that for suitable  $A, D > 0$  we have

$$(1) \quad B = \{f \in A_p(\mathbb{C}^n) \mid |f(z)| \leq A \exp(Dg(\text{Im } z) + D\tilde{\omega}(z)) \text{ for all } z \in \mathbb{C}^n\}.$$

$J^{-1}(B)$  will be bounded in  $A_P(\mathbb{C}^n)$  if we show that there are positive numbers  $A'$  and  $D'$  with

$$(2) \quad J^{-1}(B) \subset \{f \in A_P(\mathbb{C}^n) \mid |f(z)| \leq A' \exp(h(\text{Im } z) + D'\tilde{\omega}(z)) \text{ for all } z \in \mathbb{C}\}.$$

To prove (2) let  $f \in J^{-1}(B) \subset A_P(\mathbb{C}^n)$  be given. Then there exist positive numbers  $A_f$  and  $D_f$  with

$$(3) \quad |f(z)| \leq A_f \exp(h(\text{Im } z) + D_f\tilde{\omega}(z)) \text{ for all } z \in \mathbb{C}^n.$$

Next fix  $z = (z_1, \dots, z_n) = (x_1 + iy_1, \dots, x_n + iy_n) \in \mathbb{C}^n$  with  $\text{Im } z = (y_1, \dots, y_n) \neq 0$ . Then choose an orthonormal basis  $\{e_1, \dots, e_n\}$  of  $\mathbb{R}^n$  with  $(y_1, \dots, y_n) = \eta e_n$  for some  $\eta > 0$  and note that  $(x_1, \dots, x_n) = \sum_{j=1}^{n-1} c_j e_j + \xi e_n$ . Now put  $a := \sum_{j=1}^{n-1} c_j e_j$ ,  $b := e_n$ ,  $\zeta := \xi + i\eta$  and note that

$$F: \mathbb{C} \rightarrow \mathbb{C}, \quad F(w) := f(a + wb)$$

is an entire function of exponential type and that

$$F(\zeta) = f(a + \zeta b) = f(z).$$

The definition of  $a$  and  $b$  implies

$$|a + wb|^2 = |a|^2 + |w|^2 = \sum_{j=1}^{n-1} c_j^2 + |w|^2 := c^2 + |w|^2.$$

Since  $\omega$  satisfies 1.1( $\alpha$ ), we can assume w.l.o.g. that  $\omega(2t) \leq K\omega(t)$  for all  $t > 0$ . Then the definition of  $\tilde{\omega}$  implies

$$\tilde{\omega}(a + wb) = \omega((c^2 + |w|^2)^{1/2}) \leq \omega(c + |w|) \leq K(\omega(c) + \tilde{\omega}(w)).$$

Hence the choice of  $a$  and  $b$  and the properties of  $h$  and  $g$  together with (1) and (3) imply that  $F$  satisfies the following estimates for all  $w \in \mathbb{C}$  with  $\text{Im } w > 0$ :

$$(4) \quad |F(w)| \leq A \exp[D(\text{Im } w)g(b) + DK\omega(c) + DK\tilde{\omega}(w)].$$

$$(5) \quad |F(w)| \leq A_f \exp[(\text{Im } w)h(b) + D_f K\omega(c) + D_f K\tilde{\omega}(w)].$$

Since  $\omega$  satisfies 1.1( $\beta$ ) and 1.2(b), the Phragmén—Lindelöf principle (see Boas [4], 6.5.4) implies

$$\begin{aligned} (6) \quad \log |F(u+iv)| &\cong \frac{v}{\pi} \int_{-\infty}^{+\infty} \frac{\log |F(t)|}{(u-t)^2+v^2} dt + vd \\ &\cong \frac{v}{\pi} \int_{-\infty}^{+\infty} \frac{DK\omega(t) + DK\omega(c) + \log A}{(u-t)^2+v^2} dt + vd \\ &= DKP_\omega(u+iv) + DK\omega(c) + \log A + vd, \end{aligned}$$

where

$$\begin{aligned} (7) \quad d &= \lim_{r \rightarrow \infty} \frac{2}{\pi} \frac{1}{r} \int_0^\pi \log |F(re^{i\theta})| \sin \theta d\theta \\ &\cong \lim_{r \rightarrow \infty} \frac{2}{\pi} \frac{1}{r} \int_0^\pi (\log A_f + h(b)r \sin \theta + D_f K(\omega(c) + \omega(r))) \sin \theta d\theta \\ &= \lim_{r \rightarrow \infty} \left( \frac{2}{\pi} h(b) \int_0^\pi \sin^2 \theta d\theta + \frac{2}{\pi} \frac{D_f K\omega(r)}{r} \int_0^\pi \sin \theta d\theta \right) = h(b), \end{aligned}$$

because of 1.2(b).

Now note that by hypothesis there exists  $E \cong 1$  with

$$(8) \quad P_\omega(w) \cong E\tilde{\omega}(w) + E \quad \text{for all } w \in \mathbf{C}.$$

Hence we get from (6), (7) and (8)

$$\begin{aligned} |F(w)| &\cong A \exp((\operatorname{Im} w)h(b) + DKE\tilde{\omega}(w) + DKE + DK\omega(c)) \\ &\cong Ae^{DKE} \exp(h(\operatorname{Im}(a+wb)) + DKE(\tilde{\omega}(w) + \omega(c))) \\ &\cong Ae^{DKE} \exp(h(\operatorname{Im}(a+wb)) + 2DEK\tilde{\omega}(a+wb)) \end{aligned}$$

and consequently

$$|f(z)| \cong A' \exp(h(\operatorname{Im} z) + B'\tilde{\omega}(z)),$$

where  $A' := Ae^{DKE}$  and  $D' := 2DEK$ . This proves that (2) holds and completes the proof.

**2.3. Proposition.** For  $\omega$  as in 1.1 assume that 1.1( $\alpha$ ), ( $\beta$ ), ( $\gamma$ ), ( $\delta$ ) are satisfied. Let  $h: \mathbf{R}^n \rightarrow [0, \infty[$  be a continuous positive homogeneous function and define  $p$  and  $\mathbf{P} = (p_j)_{j \in \mathbf{N}}$  by

$$p(z) := |\operatorname{Im} z| + \tilde{\omega}(z), \quad p_j(z) := h(\operatorname{Im} z) + j\tilde{\omega}(z), \quad j \in \mathbf{N}, \quad z \in \mathbf{C}^n.$$

If  $\sup \left\{ \frac{P_\omega(z)}{\tilde{\omega}(z)} \mid z \in \mathbf{C}, |z| \cong 1 \right\} = \infty$  then the continuous inclusion map

$$J: A_{\mathbf{P}}(\mathbf{C}^n) \rightarrow A_p(\mathbf{C}^n)$$

is not a topological homomorphism.

*Proof.* Obviously, the result follows from:

- (1) There exists an unbounded sequence  $(g_j)_{j \in \mathbb{N}}$  in  $A_{\mathbf{P}}(\mathbb{C}^n)$  for which  $(J(g_j))_{j \in \mathbb{N}}$  is bounded in  $A_p(\mathbb{C}^n)$ .

To prove this, we use the hypothesis to find a sequence  $(a_j)_{j \in \mathbb{N}}$  in  $\mathbb{C}$  with  $\lim_{j \rightarrow \infty} \frac{P_\omega(a_j)}{\tilde{\omega}(a_j)} = \infty$ . Without loss of generality we can assume that  $\text{Im } a_j > 0$  for all  $j \in \mathbb{N}$ . We claim that the following holds:

*Claim.* There exist a sequence  $(f_j)_{j \in \mathbb{N}}$  in  $\mathbb{C}[z]$  and  $C, D > 0$  with

- (2) 
$$\sup_{j \in \mathbb{N}} \sup_{z \in \mathbb{C}} |f_j(z)| \exp(-DP_\omega(z)) = C < \infty.$$
- (3) 
$$f_j(a_j) = \exp(P_\omega(a_j)) \text{ for each } j \in \mathbb{N}.$$

To show that our claim implies (1), we assume without loss of generality that  $h(1, 0, \dots, 0) = \min \{h(y) \mid y \in \mathbb{R}^n, |y| = 1\}$ . Then, for  $j \in \mathbb{N}$ , we define  $g_j \in A(\mathbb{C}^n)$  by

$$g_j(z_1, \dots, z_n) := f_j(z_1) \exp(-h(1, 0, \dots, 0)iz_1).$$

By Braun, Meise and Taylor [6], 2.2, there exists  $A \cong 1$  with

$$P_\omega(z) \cong |\text{Im } z| + A\tilde{\omega}(z) \text{ for all } z \in \mathbb{C}.$$

Hence (2) implies for each  $j \in \mathbb{N}$  and all  $z \in \mathbb{C}^n$

$$\begin{aligned} |g_j(z)| &\cong C \exp((D+1+h(1, 0, \dots, 0))|\text{Im } z| + AD\tilde{\omega}(z)) \\ &\cong C \exp(AD'p(z)), \end{aligned}$$

which proves that  $(J(g_j))_{j \in \mathbb{N}}$  is bounded in  $A_p(\mathbb{C}^n)$ .

To see that  $g_j$  is in  $A_{\mathbf{P}}(\mathbb{C}^n)$  for all  $j \in \mathbb{N}$ , note that  $f_j$  is a polynomial and that our choice of the direction  $(1, 0, \dots, 0)$  implies

$$|\exp(-h(1, 0, \dots, 0)iz_1)| \cong \exp(h(\text{Im } z_1, \dots, \text{Im } z_n))$$

for all  $z = (z_1, \dots, z_n) \in \mathbb{C}^n$ . Next note that (3) and our choice of the sequence  $(a_j)_{j \in \mathbb{N}}$  imply that for each  $m \in \mathbb{N}$  and all sufficiently large  $j \in \mathbb{N}$  we have

$$\begin{aligned} &|g_j(a_j, 0, \dots, 0)| \exp(-p_m(a_j, 0, \dots, 0)) \\ &= |f_j(a_j)| \exp(-m\tilde{\omega}(a_j)) = \exp(P_\omega(a_j) - m\tilde{\omega}(a_j)) \\ &\cong \exp\left(P_\omega(a_j) \left(1 - \frac{m\tilde{\omega}(a_j)}{P_\omega(a_j)}\right)\right) \cong \exp\left(\frac{1}{2}P_\omega(a_j)\right). \end{aligned}$$

This shows that  $(g_j)_{j \in \mathbb{N}}$  is unbounded in  $A_{\mathbf{P}}(\mathbb{C}^n)$ , since  $\lim_{j \rightarrow \infty} |a_j| = \infty$ .

*Proof of the claim.* Since the function  $t \rightarrow \omega(e^t)$  is convex and since  $\omega$  satisfies 1.1( $\beta$ ) by hypothesis, we can choose an increasing sequence  $(R_j)_{j \in \mathbb{N}}$  in  $]0, \infty[$

as well as sequences  $(A_j)_{j \in \mathbb{N}}$  in  $]0, \infty[$  and  $(B_j)_{j \in \mathbb{N}}$  in  $\mathbb{R}$  such that the following conditions (4) and (5) are satisfied.

- (4) For each  $j \in \mathbb{N}$  the function  $t \rightarrow \omega_j(e^t)$  is continuous, strictly increasing and convex and satisfies  $\omega_j \leq \omega$ , where  $\omega_j: \mathbb{R} \rightarrow [0, \infty[$  is defined by

$$\omega_j(t) := \begin{cases} \omega(t) & \text{if } |t| \leq R_j \\ A_j \log |t| + B_j & \text{if } |t| > R_j. \end{cases}$$

- (5) For each  $j \in \mathbb{N}$  we have

$$\sup_{|z-a_j| \leq 1} |P_\omega(z) - P_{\omega_j}(z)| \leq \frac{1}{j}.$$

Then we choose  $\varphi \in \mathcal{D}(\mathbb{C})$  with  $\text{supp } \varphi \subset \{z \in \mathbb{C} \mid |z| \leq 1\}$ ,  $0 \leq \varphi \leq 1$  and  $\varphi(z) = 1$  for  $|z| \leq \frac{1}{2}$  and we define  $u_j \in \mathcal{D}(\mathbb{C})$  by

(6) 
$$u_j(z) := \left(1 - \frac{z}{a_j}\right)^{-1} \exp(P_\omega(a_j)) \bar{\partial} \varphi(z - a_j).$$

Since  $\omega$  satisfies 1.1(γ) we get from (5) and Lemma 1.9: There exist  $L \geq 1$  and  $M > 0$  such that for all  $j \in \mathbb{N}$

(7) 
$$\int_{|z-a_j| \leq 1} |u_j(z)|^2 \exp(-2LP_{\omega_j}(z)) d\lambda(z) \leq M.$$

Now note that  $w_j: \mathbb{C} \rightarrow [0, \infty[$ , defined by

(8) 
$$w_j(z) := \begin{cases} P_{\omega_j}(z) & \text{if } \text{Im } z > 0; \\ \tilde{\omega}_j(z) & \text{if } \text{Im } z \leq 0; \end{cases}$$

is a continuous subharmonic function because of (4). From Lemma 1.9 it follows that we can assume w.l.o.g. that  $\text{Im } a_j \geq 1$  for all  $j \in \mathbb{N}$ . Hence (6) and (8) imply by (7)

(9) 
$$\int_{\mathbb{C}} |u_j(z)|^2 \exp(-2Lw_j(z)) d\lambda(z) \leq M \quad \text{for all } j \in \mathbb{N}.$$

Since  $\bar{\partial} u_j = 0$ , it follows from Hörmander [12], 4.4.2, that there exist  $v_j \in C^\infty(\mathbb{C})$  with  $\bar{\partial} v_j = u_j$  satisfying the estimate

(10) 
$$\int_{\mathbb{C}} |v_j(z)|^2 \exp(-2Lw_j(z) - 2 \log(1 + |z|^2)) d\lambda(z) \leq \frac{M}{2} \quad \text{for all } j \in \mathbb{N}.$$

Now note that the function  $f_j: \mathbb{C} \rightarrow \mathbb{C}$ , defined by

$$f_j(z) := \varphi(z - a_j) \exp(P_\omega(a_j)) - \left(1 - \frac{z}{a_j}\right) v_j(z)$$

is holomorphic and that (10) implies:

There exists  $D' \geq 1$  and  $M' > 0$  such that for all  $j \in \mathbb{N}$

$$(11) \quad \int_{\mathbb{C}} |f_j(z)|^2 \exp(-2D'w_j(z) - 5 \log(1 + |z|^2)) d\lambda(z) \leq M'.$$

Since  $w_j = O(\log(1 + |z|^2))$  it follows by standard arguments that  $f_j$  is a polynomial. By (4) and 1.6(b) we have  $w_j \leq P_\omega$  for each  $j \in \mathbb{N}$ . Hence it follows from (11) and Lemma 1.9 that  $(f_j)_{j \in \mathbb{N}}$  satisfies condition (2). Since  $f_j(a_j) = \exp(P_\omega(a_j))$ , condition (3) also holds.

### 3. Extension of ultradifferentiable functions

In this section we introduce the classes  $\mathcal{E}_\omega(\mathbb{R}^n)$  (resp.  $\mathcal{E}_\omega(K)$ ) of  $\omega$ -ultradifferentiable functions of Beurling type on  $\mathbb{R}^n$  (resp. on a compact subset of  $\mathbb{R}^n$ ). Then we use the results of the preceding section to derive necessary and sufficient conditions on  $\omega$  for the restriction map  $\varrho_K: \mathcal{E}_\omega(\mathbb{R}^n) \rightarrow \mathcal{E}_\omega(K)$  to be surjective.

*3.1. Definition.* (a) A function  $\omega: \mathbb{R} \rightarrow [0, \infty[$  will be called a weight function if it satisfies the general conditions in 1.1 as well as 1.1( $\alpha$ ), ( $\beta$ ), ( $\gamma$ )' and ( $\delta$ ).

(b) For a weight function  $\omega$  let  $\varphi$  denote the function defined by 1.1( $\delta$ ). We define its Young conjugate  $\varphi^*: [0, \infty[ \rightarrow [0, \infty[$  by

$$\varphi^*(x) := \sup \{xy - \varphi(y) \mid y \geq 0\}.$$

*3.2. Definition.* Let  $\omega$  be a weight function and let  $\Omega \subset \mathbb{R}^n$  be open. Then we define

$$\mathcal{E}_\omega(\Omega) := \{f \in C^\infty(\Omega) \mid \text{for each } m \in \mathbb{N} \text{ and each compact set } K \subset \Omega:$$

$$p_{K,m}(f) := \sup_{\alpha \in \mathbb{N}_0^n} \sup_{x \in K} |f^{(\alpha)}(x)| \exp\left(-m\varphi^*\left(\frac{|\alpha|}{m}\right)\right) < \infty\},$$

where  $f^{(\alpha)}$  denotes the  $\alpha$ -th derivative of  $f$ . We endow  $\mathcal{E}_\omega(\Omega)$  with the l.c. topology which is given by the system of seminorms  $\{p_{K,m} \mid K \subset \subset \Omega, m \in \mathbb{N}\}$ .

Furthermore we define

$$\mathcal{D}_\omega(\Omega) := \underset{K \subset \subset \Omega}{\text{ind}} \mathcal{D}_\omega(K),$$

where for a compact set  $K$  in  $\mathbb{R}^n$  we put

$$\mathcal{D}_\omega(K) := \{f \in \mathcal{E}_\omega(\mathbb{R}^n) \mid \text{supp}(f) \subset K\},$$

endowed with the induced topology.

3.3. *Remark.* Note that in Braun, Meise and Taylor [6], the following was shown:

- (1)  $\mathcal{E}_\omega(\Omega)$  and  $\mathcal{D}_\omega(K)$  are nuclear Fréchet spaces and  $\mathcal{D}_\omega(\Omega)$  is non-trivial.
- (2) For  $p: \mathbf{C}^n \rightarrow [0, \infty]$ ,  $p(z) := |\operatorname{Im} z| + \tilde{\omega}(z)$ , the Fourier—Laplace transform  $\mathcal{F}: \mathcal{E}_\omega(\mathbf{R}^n)'_b \rightarrow A_p(\mathbf{C}^n)$ ,  $\mathcal{F}(\mu) = \hat{\mu}: z \rightarrow \langle \mu_x, e^{-i\langle x|z \rangle} \rangle$ , is a linear topological isomorphism.
- (3) If the weight function  $\omega$  satisfies also 1.1( $\alpha$ )' then the spaces  $\mathcal{D}_\omega(\Omega)$  and  $\mathcal{E}_\omega(\Omega)$  in 3.2 coincide with those which were introduced by Beurling [2] and Björck [3].
- (4) Without restriction we can assume that for all  $\alpha \in \mathbf{N}_0^n$  and all  $m \in \mathbf{N}$  we have 
$$nm\varphi^* \left( \frac{|\alpha|}{nm} \right) \cong m \sum_{j=1}^n \varphi^* \left( \frac{\alpha_j}{m} \right) \cong m\varphi^* \left( \frac{|\alpha|}{m} \right).$$

3.4. *Definition.* Let  $\omega$  be a weight function and  $K \subset \mathbf{R}^n$  a compact set.

- (a) If  $K$  is the closure of its interior, then we define

$$\begin{aligned} \mathcal{E}_\omega(K) &:= \{f = (f_\alpha)_{\alpha \in \mathbf{N}_0^n} \in C(K)^{\mathbf{N}_0^n} \mid f_{(0)}|_{\mathring{K}} \in C^\infty(\mathring{K}), \\ & (f_{(0)}|_{\mathring{K}})^{(\alpha)} = f_\alpha|_{\mathring{K}} \text{ for each } \alpha \in \mathbf{N}_0^n \text{ and for each } m \in \mathbf{N}: \\ & |f|_m := \sup_{\alpha \in \mathbf{N}_0^n} \sup_{x \in K} |f_\alpha(x)| \exp(-m\varphi^*(|\alpha|/m)) < \infty \}. \end{aligned}$$

- (b) If  $K = \{a\}$  then we define

$$\begin{aligned} \mathcal{E}_\omega(\{a\}) &:= \{f = (f_\alpha)_{\alpha \in \mathbf{N}_0^n} \in \mathbf{C}^{\mathbf{N}_0^n} \mid |f|_m := \sup_{\alpha \in \mathbf{N}_0^n} |f_\alpha| \exp(-m\varphi^*(|\alpha|/m)) < \infty \\ & \text{for each } m \in \mathbf{N} \}. \end{aligned}$$

Both spaces are endowed with the l.c. topology which is induced by the norm-system  $(\| \cdot \|_m)_{m \in \mathbf{N}}$ . From 3.3(4) it follows easily, that  $(\| \cdot \|_m)_{m \in \mathbf{N}}$  is equivalent to  $(\| \cdot \|_m)_{m \in \mathbf{N}}$ , where

$$\|f\|_m := \sup_{\alpha \in \mathbf{N}_0^n} \sup_{x \in K} |f_\alpha(x)| \exp(-m \sum_{j=1}^n \varphi^*(\alpha_j/m)).$$

- (c) For  $K$  as in (a) or (b) we define the restriction map

$$\varrho_K := \mathcal{E}_\omega(\mathbf{R}^n) \rightarrow \mathcal{E}_\omega(K) \quad \text{by} \quad \varrho_K(f) := (f^{(\alpha)}|_K)_{\alpha \in \mathbf{N}_0^n}.$$

Obviously,  $\varrho_K$  is continuous and linear.

3.5. **Lemma.** *Let  $\omega$  be a weight function and let  $K$  be a compact convex subset of  $\mathbf{R}^n$  with  $\mathring{K} \neq \emptyset$ . Then  $\varrho_K: \mathcal{E}_\omega(\mathbf{R}^n) \rightarrow \mathcal{E}_\omega(K)$  has dense range.*

*Proof.* Without loss of generality we can assume  $0 \in K$ . Then for each  $0 \neq f \in \mathcal{E}_\omega(K)$  and  $0 < t < 1$  we define  $f_t: x \mapsto f_{(0)}(tx)$  for  $x \in \frac{1}{t} \mathring{K}$ . By the definition of

$\mathcal{E}_\omega(K)$  we have for each  $\alpha \in \mathbb{N}^n$

$$(1) \quad f_t^{(\alpha)}(x) = t^{|\alpha|} f_{(0)}^{(\alpha)}(tx) = t^{|\alpha|} f_\alpha(tx), \quad x \in \frac{1}{t} \overset{\circ}{K},$$

which implies  $f_t \in \mathcal{E}_\omega\left(\frac{1}{t} \overset{\circ}{K}\right)$ . By Braun, Meise and Taylor [6], there exists  $\chi_t \in \mathcal{D}_\omega\left(\frac{1}{t} \overset{\circ}{K}\right)$  with  $\chi_t|_K \equiv 1$  so that  $\chi_t f_t \in \mathcal{E}_\omega(\mathbb{R}^n)$ . This implies that  $(f_t^{(\alpha)}|_K)_x$  is in  $\text{im } \varrho_K$  for each  $0 < t < 1$ . Hence it suffices to show  $\mathcal{E}_\omega(K) - \lim_{t \uparrow 1} f_t|_K = f$ .

To do this, first note that 1.1( $\alpha$ ) implies the existence of  $A \in \mathbb{N}$  with  $\omega(x) \equiv A\omega\left(\frac{x}{e}\right)$  for all large  $x > 0$ . Hence we have  $\varphi(t) \equiv A\varphi(t-1)$  for large  $t > 0$  and consequently

$$\varphi^*(y) \equiv y + A\varphi^*\left(\frac{y}{A}\right) \quad \text{for all large } y > 0.$$

This implies:

For each  $m \in \mathbb{N}$  there exist  $l \in \mathbb{N}$  and  $C > 0$  such that for all  $j \in \mathbb{N}_0$ :

$$(2) \quad \exp\left(-m\varphi^*\left(\frac{j}{m}\right)\right) \equiv Ce^{-j} \exp\left(-l\varphi^*\left(\frac{j}{l}\right)\right).$$

Since  $K$  is convex and contains the origin it follows easily from (1) that  $f_t$  converges to  $f$  on  $K$  with all its derivatives as  $t$  tends to 1. Hence (2) implies that for each  $m \in \mathbb{N}$  and  $j \in \mathbb{N}$  we have

$$\begin{aligned} \lim_{t \uparrow 1} |f - f_t|_m &\equiv \lim_{t \uparrow 1} \sup_{|\alpha| \equiv j} \sup_{x \in K} |f_\alpha(x) - f_t^{(\alpha)}(x)| \exp\left(-m\varphi^*\left(\frac{|\alpha|}{m}\right)\right) \\ &\equiv Ce^{-j} |f - f_t|_l \equiv 2Ce^{-j} |f|_l, \end{aligned}$$

which completes the proof.

**3.6. Proposition.** *Let  $\omega$  be a weight function and let  $K$  be a compact convex subset of  $\mathbb{R}^n$  with  $0 \in \overset{\circ}{K}$ . Let  $H_K: \mathbb{R}^n \rightarrow [0, \infty[$*

$$H_K(x) = \sup \{ \langle x | \xi \rangle \mid \xi \in K \}$$

*denote the support functional of  $K$  and put  $\mathbf{P} := (p_m)_{m \in \mathbb{N}}$ , where  $p_m: \mathbb{C}^n \rightarrow [0, \infty[$  is defined by  $p_m(z) := H_K(\text{Im } z) + m\tilde{\omega}(z)$ . Then the map  $\mathcal{G}: \mathcal{E}'_\omega(K)_b \rightarrow A_{\mathbf{P}}(\mathbb{C}^n)$  defined by*

$$\mathcal{G}(\mu): z \mapsto \langle \mu_x, \varrho_K(e^{-i\langle x|z \rangle}) \rangle,$$

*is a linear topological isomorphism.*

*Proof.* It is easy to check that  $\mathcal{G}(\mu)$  is in  $A_{\mathbf{P}}(\mathbb{C}^n)$  for each  $\mu \in \mathcal{E}'_\omega(K)$  (see Braun, Meise and Taylor [6]) and that  $\mathcal{G}$  is a continuous linear map. Lemma 3.5 implies the injectivity of  $\mathcal{G}$ . Hence the result follows from the open mapping theorem provided that we prove the surjectivity of  $\mathcal{G}$ . To do this, we use the idea of proof of Taylor [26],

2.8. If  $g \in A_P(\mathbb{C}^n)$  is given then there exist  $m \in \mathbb{N}$  with

$$(1) \quad |g(z)| \leq \exp(H_K(\text{Im } z) + m\tilde{\omega}(z)) \quad \text{for all } z \in \mathbb{C}^n.$$

Since  $\omega$  satisfies 1.1( $\alpha$ ) and ( $\gamma$ )' it is easy to check that a slight variation of the proof of Hörmander [12], 4.4.3, can be used to prove the existence of  $A > 0$ ,  $l, \nu \in \mathbb{N}$  and of a function  $G \in A(\mathbb{C}^n \times \mathbb{C}^n)$  which has the following properties:

$$(2) \quad G(z, z) = g(z) \quad \text{for all } z \in \mathbb{C}^n;$$

$$(3) \quad |G(z, w)| \leq A(1 + |z|^2)^\nu \exp(H_K(\text{Im } z) + l \sum_{j=1}^n \tilde{\omega}(w_j))$$

for all  $(z, w) \in \mathbb{C}^n \times \mathbb{C}^n$ .

If we fix  $z \in \mathbb{C}^n$  then  $G(z, \cdot)$  is in  $A(\mathbb{C}^n)$ . Hence we have

$$(4) \quad G(z, w) = \sum_{\alpha \in \mathbb{N}_0^n} Q_\alpha(z) w^\alpha,$$

where

$$(5) \quad Q_\alpha(z) = \left( \frac{1}{2\pi i} \right)^n \int_{|\zeta_1|=r_1} \dots \int_{|\zeta_n|=r_n} \frac{G(z, \zeta)}{\zeta_1^{\alpha_1+1} \dots \zeta_n^{\alpha_n+1}} d\zeta_1 \dots d\zeta_n, \alpha \in \mathbb{N}_0^n$$

for  $r = (r_1, \dots, r_n) \in \mathbb{R}_+^n$ . Estimating (5) by (3) we get for each  $\alpha \in \mathbb{N}_0^n$

$$(6) \quad |Q_\alpha(z)| \leq A(1 + |z|^2)^\nu \exp(H_K(\text{Im } z)) \inf_r \exp \left( l \sum_{j=1}^n \left( \tilde{\omega}(r_j) - \frac{\alpha_j}{l} \log r_j \right) \right)$$

$\leq A(1 + |z|^2)^\nu \exp(H_K(\text{Im } z)) \exp(-l \sum_{j=1}^n \varphi^*(\alpha_j/l)).$

By the theorem of Paley—Wiener—Schwartz, (6) implies that for each  $\alpha \in \mathbb{N}_0^n$  there exists  $T_\alpha \in C^\infty(\mathbb{R}^n)'$  with  $\text{supp } (T_\alpha) \subset K$  such that the Fourier—Laplace transform  $\hat{T}_\alpha$  of  $T_\alpha$  satisfies  $(-i)^{|\alpha|} \hat{T}_\alpha(z) = Q_\alpha(z) \exp(l \sum_{j=1}^n \varphi^*(\alpha_j/l))$ . Moreover, (6) implies the existence of  $t \in \mathbb{N}$  and  $D > 0$  such that:

For each  $\alpha \in \mathbb{N}_0^n$  and each  $f \in C^\infty(\mathbb{R}^n)$ :

$$(7) \quad |\langle T_\alpha, f \rangle| \leq D \sup_{|\beta| \leq t-1} \sup_{x \in 2K} |f^{(\beta)}(x)|.$$

Next note that by Whitney's extension theorem (see Malgrange [16], I.3) there exists a continuous linear extension map  $R: C^t(K) \rightarrow C^t(\mathbb{R}^n)$ . Hence (7) and the proof of Hörmander [13], 1.5.4, imply:

There exists  $E > 0$  such that for each  $\alpha \in \mathbb{N}_0^n$  there exists

$$(8) \quad \mu_\alpha \in C^t(K)' \quad \text{with} \quad |\langle \mu_\alpha, f \rangle| \leq E \sup_{|\beta| \leq t} \sup_{x \in K} |f_\beta(x)| \quad \text{for each}$$

$$f \in C^t(K) \quad \text{and} \quad \langle \mu_\alpha, \varrho_K(f) \rangle = \langle T_\alpha, f \rangle \quad \text{for all } f \in C^\infty(\mathbb{R}^n).$$



Next we use 3.5(2) to choose  $k > 1$  and  $M > 0$  such that

$$(9) \quad \sum_{j=0}^{\infty} \exp\left(-l\varphi^*\left(\frac{j}{l}\right) + k\varphi^*\left(\frac{j}{k}\right)\right) = M < \infty.$$

Then we note that the multiplication operator  $M_z: A_{\tilde{\omega}}(\mathbf{C}) \rightarrow A_{\tilde{\omega}}(\mathbf{C})$ ,  $M_z(f): z \mapsto zf(z)$  is continuous. Hence its adjoint is also continuous. By Meise [17], 2.4, and Meise and Taylor [18], 1.10,  $A_{\tilde{\omega}}(\mathbf{C})'_b$  can be identified with the sequence space  $\mathcal{E}_{\omega}(\{0\})$  ( $0 \in \mathbf{R}$ ). With this identification  $M_z^t$  equals the backward shift operator. It is easy to check that the continuity of this operator implies:

There exist  $p \in \mathbf{N}$  and  $L > 0$  such that for each  $j \in \mathbf{N}_0$  and

$$(10) \quad 1 \cong \tau \cong t \quad \text{we have} \quad \exp\left(-k\varphi^*\left(\frac{j}{k}\right)\right) \cong L \exp\left(-p\varphi^*\left(\frac{j+\tau}{p}\right)\right).$$

Hence we get from (8), (9) and (10) and each  $f = (f_{\alpha})_{\alpha \in \mathbf{N}_0^n} \in \mathcal{E}_{\omega}(K)$ :

$$\begin{aligned} & \sum_{\alpha \in \mathbf{N}_0^n} \left| \langle \mu_{\alpha} \exp\left(-l \sum_{j=1}^n \varphi^*\left(\frac{\alpha_j}{l}\right)\right), f_{\alpha} \rangle \right| \\ & \cong \sum_{\alpha \in \mathbf{N}_0^n} E \sup_{|\beta| \cong t} \sup_{x \in K} |f_{\alpha+\beta}(x)| \exp\left(-l \sum_{j=1}^n \varphi^*\left(\frac{\alpha_j}{l}\right)\right) \\ & \cong \sum_{\alpha \in \mathbf{N}_0^n} E \exp\left(-l \sum_{j=1}^n \varphi^*\left(\frac{\alpha_j}{l}\right)\right) \\ & + k \sum_{j=1}^n \varphi^*\left(\frac{\alpha_j}{k}\right) L \sup_{|\beta| \cong t} \sup_{x \in K} |f_{\alpha+\beta}(x)| \exp\left(-p \sum_{j=1}^n \varphi^*\left(\frac{\alpha_j + \beta_j}{p}\right)\right) \cong EL^n M^n \|f\|_p. \end{aligned}$$

This proves that  $\mu: f \mapsto \sum_{\alpha \in \mathbf{N}_0^n} \langle \mu_{\alpha}, f_{\alpha} \rangle \exp\left(-1 \sum_{j=1}^n \varphi^*\left(\frac{\alpha_j}{1}\right)\right)$  is a continuous linear form on  $\mathcal{E}_{\omega}(K)$ . By the definition of  $\hat{T}_{\alpha}$  we get from (8), (4) and (2)

$$\begin{aligned} \mathcal{G}(\mu)[z] &= \sum_{\alpha \in \mathbf{N}_0^n} \langle \mu_{\alpha, x}, \varrho_K(e^{-i\langle x, z \rangle}) \rangle \exp\left(-l \sum_{j=1}^n \varphi^*\left(\frac{\alpha_j}{l}\right)\right) (-iz)^{\alpha} \\ &= \sum_{\alpha \in \mathbf{N}_0^n} \hat{T}_{\alpha}(z) \exp\left(-l \sum_{j=1}^n \varphi^*(\alpha_j/l)\right) (-iz)^{\alpha} = \sum_{\alpha \in \mathbf{N}_0^n} Q_{\alpha}(z) z^{\alpha} = G(z, z) = g(z). \end{aligned}$$

This shows that  $\mathcal{G}$  is surjective and completes the proof.

*Remark.* From the proof of Proposition 3.6 one can easily derive the following result on the local structure of ultradistributions in  $\mathcal{D}'_{\omega}(\mathbf{R}^n)$ , which corresponds to Komatsu [14], 8.1: For every  $T \in \mathcal{D}'_{\omega}(\mathbf{R}^n)$  and each compact convex subset  $K \subset \mathbf{R}^n$  there exist  $m \in \mathbf{N}$  and a family  $(\mu_{\alpha})_{\alpha \in \mathbf{N}_0^n}$  in  $C(K)'$  with

$$\sup_{\alpha \in \mathbf{N}_0^n} \|\mu_{\alpha}\|_{C(K)'} \exp\left(-m \sum_{j=1}^n \varphi^*(\alpha_j/m)\right) < \infty$$

such that for each  $f \in \mathcal{D}_\omega(K)$  we have

$$\langle T, f \rangle = \sum_{\alpha \in \mathbb{N}_0^n} \langle \mu_\alpha, f^{(\alpha)} \rangle.$$

**3.7. Proposition.** *Let  $\omega$  be a weight function which satisfies 1.1(ε). Then the restriction map  $\varrho_K: \mathcal{E}_\omega(\mathbb{R}^n) \rightarrow \mathcal{E}_\omega(K)$  is a surjective topological homomorphism for each compact convex set  $K$  in  $\mathbb{R}^n$  which is either a singleton or has non-empty interior.*

*Proof.* It suffices to prove this for  $0 \in \overset{\circ}{K}$  (resp.  $K = \{0\}$ ). Assuming this, we define  $p: \mathbb{C}^n \rightarrow [0, \infty[$  by  $p(z) = |\operatorname{Im} z| + \tilde{\omega}(z)$  and  $\mathbf{P} = (p_m)$ , where  $p_m(z) = H_K(\operatorname{Im} z) + m\tilde{\omega}(z)$ . Then we have the following commutative diagram

$$(1) \quad \begin{array}{ccc} \mathcal{E}_\omega(K)'_b & \xrightarrow{\varrho'_K} & \mathcal{E}_\omega(\mathbb{R}^n)'_b \\ \mathcal{G} \downarrow & & \downarrow \mathcal{F} \\ A_{\mathbf{P}}(\mathbb{C}^n) & \xrightarrow{J} & A_{\mathbf{P}}(\mathbb{C}^n) \end{array}$$

where  $\mathcal{F}$  denotes the Fourier–Laplace transform,  $J$  denotes the inclusion and where  $\mathcal{G}$  is defined in 3.6 if  $\overset{\circ}{K} \neq \emptyset$ . If  $K = \{0\}$ , then we identify  $\mathcal{E}_\omega(\{0\})'_b$  in the usual way with the sequence space  $\lambda(A, \mathbb{N}_0^n)'_b$ , where

$$\lambda(A, \mathbb{N}_0^n) := \left\{ (y_\alpha)_{\alpha \in \mathbb{N}_0^n} \in \mathbb{C}^{\mathbb{N}_0^n} \mid \|y\|_m := \sum_{\alpha \in \mathbb{N}_0^n} |y_\alpha| \exp\left(-m \sum_{j=1}^n \varphi^*(\alpha_j/m)\right) < \infty \right. \\ \left. \text{for each } m \in \mathbb{N} \right\}$$

and we define  $\mathcal{G}: \lambda(A, \mathbb{N}_0^n)'_b \rightarrow A_{\mathbf{P}}(\mathbb{C}^n) = A_{\tilde{\omega}}(\mathbb{C}^n)$  by

$$\mathcal{G}((y_\alpha)_{\alpha \in \mathbb{N}})[z] := \sum_{\alpha \in \mathbb{N}_0^n} y_\alpha (-iz)^\alpha.$$

It is easy to check that  $\mathcal{G}$  is a linear topological isomorphism and that the diagram (1) is also commutative in this case. Since  $\mathcal{G}$  and  $\mathcal{F}$  are linear topological isomorphisms it is obvious that  $\varrho'_K$  is an injective topological homomorphism if and only if  $J$  has this property. Since  $\omega$  satisfies condition 1.1(ε), Proposition 1.7 implies that the hypotheses of Proposition 2.2 are satisfied, which proves that  $\varrho'_K$  is an injective topological homomorphism. This implies that  $\varrho_K$  is a surjective topological homomorphism (see e.g. Schaefer [25], IV, 7.8).

**3.8. Corollary.** *Let  $\omega$  be a weight function which satisfies the conditions 1.1(ε) and let  $\Omega \subset \mathbb{R}^n$  be an open bounded set with a real analytic boundary. Then the restriction map*

$$\varrho_\Omega: \mathcal{E}_\omega(\mathbb{R}^n) \rightarrow \mathcal{E}_\omega(\bar{\Omega})$$

*is a surjective topological homomorphism.*

*Proof.* First we treat a special case: Put  $Q := ]-1, 1[$  and assume that  $U$  is an open set in  $\mathbb{R}^n$  for which there exists a real-analytic diffeomorphism  $\varphi: Q \rightarrow U$

with

$$(*) \quad \begin{cases} U \cap \Omega = \varphi(\{(\xi_1, \dots, \xi_n) \in Q \mid \xi_n > 0\}) = \varphi(\Omega_+) \\ U \cap \partial\Omega = \varphi(\{(\xi_1, \dots, \xi_n) \in Q \mid \xi_n = 0\}). \end{cases}$$

Furthermore, assume that for  $g \in \mathcal{E}_\omega(\bar{\Omega})$  there exists a compact set  $L \subset U$  such that  $g_\alpha(x) = 0$  for all  $\alpha \in \mathbb{N}_0^n$  and all  $x \in L \cap \bar{\Omega}$ . Since  $\varphi$  is real-analytic, there exists  $f \in \mathcal{E}_\omega(\bar{\Omega}_+)$  with  $f_{(0)} = g_{(0)} \circ \varphi$  by Braun, Meise and Taylor [6]. Since  $\bar{\Omega}_+$  is a compact convex set with non-empty interior, Proposition 3.7 implies the existence of  $F \in \mathcal{E}_\omega(\mathbb{R}^n)$  with  $\varrho_{\bar{\Omega}_+}(F) = f$ . Of course we can assume that  $\text{supp}(F)$  is contained in a compact subset of  $Q$ . Then the function  $G: \mathbb{R}^n \rightarrow \mathbb{C}$ , defined by

$$G(x) := \begin{cases} 0 & \text{if } x \notin U; \\ F(\varphi^{-1}(x)) & \text{if } x \in U; \end{cases}$$

is in  $\mathcal{E}_\omega(\mathbb{R}^n)$  and satisfies  $\varrho_{\bar{\Omega}}(G) = g$ .

For the general case let  $g = (g_\alpha) \in \mathcal{E}_\omega(\bar{\Omega})$  be given. Then the compactness of  $\partial\Omega$  implies the existence of  $N \in \mathbb{N}$  so that for  $1 \leq j \leq N$  we can find open sets  $U_j$  in  $\mathbb{R}^n$ , maps  $\varphi_j: Q \rightarrow U_j$  and functions  $\varphi_j \in \mathcal{D}_\omega(U_j)$  which have the following properties

- (1)  $\partial\Omega \subset \bigcup_{j=1}^N U_j$ ;
- (2)  $\sum_{j=1}^N \varphi_j(x) = 1$  for all  $x$  in some open neighbourhood  $V$  of  $\partial\Omega$ ;
- (3)  $\varphi_j$  is a real-analytic diffeomorphism which satisfies (\*) if  $U$  in (\*) is replaced by  $U_j$ .

Then it is easy to check that  $\varphi_j g := \left( \sum_{\beta \leq \alpha} \binom{\alpha}{\beta} \varphi_j^{(\alpha-\beta)} g_\beta \right)_{x \in \mathbb{N}_0^n}$  is in  $\mathcal{E}_\omega(\bar{\Omega})$  and has the properties which we required in the special case. Hence there exists  $G_j \in \mathcal{E}_\omega(\mathbb{R}^n)$  with  $\varrho_{\bar{\Omega}}(G_j) = \varphi_j g$  for  $1 \leq j \leq N$ . Because of (2), the function  $G_0 := (1 - \sum_{j=1}^N \varphi_j) g_0$  has compact support in  $\Omega$ . Hence it can be considered as a function in  $\mathcal{D}_\omega(\Omega) \subset \mathcal{E}_\omega(\mathbb{R}^n)$ . Then  $G := \sum_{j=0}^N G_j$  is in  $\mathcal{E}_\omega(\mathbb{R}^n)$  and satisfies

$$\varrho_{\bar{\Omega}}(G)[x] = \sum_{j=0}^N \varrho_{\bar{\Omega}}(G_j)[x] = (1 - \sum_{j=1}^N \varphi_j(x)) g_0(x) + \sum_{j=1}^N \varphi_j(x) g_0(x) = g_0(x)$$

for each  $x \in \Omega$ , which implies  $\varrho_{\bar{\Omega}}(G) = g$ .

*Remark.* The proof of Corollary 3.8 shows that the following more general version of 3.8 holds, too: Let  $\Omega$  be an open set in  $\mathbb{R}^n$  with a real-analytic boundary, which means that for each  $x \in \partial\Omega$  there exists an open neighbourhood  $U$  of  $x$  and a real-analytic diffeomorphism  $\varphi: Q \rightarrow U$  which satisfies 3.8(\*). Then the restriction map  $\varrho_{\bar{\Omega}}: \mathcal{E}_\omega(\mathbb{R}^n) \rightarrow \mathcal{E}_\omega(\bar{\Omega})$  is surjective, where we extend Definition 3.3 in an obvious way to the present situation.

**3.9. Proposition.** *Let  $\omega$  be a weight function and assume that the restriction map  $\varrho_K: \mathcal{E}_\omega(\mathbb{R}^n) \rightarrow \mathcal{E}_\omega(K)$  is surjective for some compact convex set  $K$  in  $\mathbb{R}^n$  with  $\mathring{K} \neq \emptyset$  or for some singleton  $K$ . Then  $\omega$  satisfies 1.1(ε).*

*Proof.* Since  $\mathcal{E}_\omega(\mathbb{R}^n)$  and  $\mathcal{E}_\omega(K)$  are Fréchet spaces, the surjectivity of  $\varrho_K$  implies that  $\varrho_K$  is a surjective topological homomorphism. Hence  $\varrho_K^t$  is an injective topological homomorphism. By the commutative diagram 3.7(1) this implies that  $J: A_P(\mathbb{C}^n) \rightarrow A_P(\mathbb{C}^n)$  is an injective topological homomorphism. Hence the result follows from Proposition 2.3 and Proposition 1.7.

**3.10. Theorem.** *Let  $\omega$  be a weight function. Then the following assertions are equivalent:*

- (1) *For each  $n \in \mathbb{N}$  and each compact convex set  $K \subset \mathbb{R}^n$  with  $\mathring{K} \neq \emptyset$  the restriction map  $\varrho_K: \mathcal{E}_\omega(\mathbb{R}^n) \rightarrow \mathcal{E}_\omega(K)$  is surjective.*
- (2) *For each  $n \in \mathbb{N}$  and each bounded open set  $\Omega \subset \mathbb{R}^n$  with real-analytic boundary the map  $\varrho_\Omega: \mathcal{E}_\omega(\mathbb{R}^n) \rightarrow \mathcal{E}_\omega(\bar{\Omega})$  is surjective.*
- (3) *There exists  $n \in \mathbb{N}$  and a compact convex set  $K \subset \mathbb{R}^n$  with  $\mathring{K} \neq \emptyset$  such that  $\varrho_K$  is surjective.*
- (4) *For each  $n \in \mathbb{N}$  the Borel map  $B_n: \mathcal{E}_\omega(\mathbb{R}^n) \rightarrow \mathcal{E}_\omega\{0\}$ ,  $B_n(f) := (f^{(\alpha)}(0))_{\alpha \in \mathbb{N}_0^n}$ , is surjective.*
- (5) *There exists  $n \in \mathbb{N}$  such that  $B_n$  is surjective.*
- (6) *There exists  $C > 0$  with  $\int_1^\infty \frac{\omega(yt)}{t^2} dt \leq C\omega(y) + C$  for all  $y > 0$ .*
- (7)  $\lim_{\varepsilon \downarrow 0} \limsup_{t \rightarrow \infty} \frac{\varepsilon\omega(t)}{\omega(\varepsilon t)} = 0$ .
- (8) *There exists  $K > 1$  with  $\limsup_{t \rightarrow \infty} \frac{\omega(Kt)}{\omega(t)} < K$ .*
- (9) *There exists a weight function  $\varkappa$  which satisfies 1.1(ε), which is concave on  $[0, \infty[$  and which is equivalent to  $\omega$  in the sense of 1.3(4) (i).*

*Proof.* The implications (1)  $\Rightarrow$  (3), (2)  $\Rightarrow$  (3) and (4)  $\Rightarrow$  (5) hold trivially. By Proposition 3.9 we have the implications (3)  $\Rightarrow$  (6) and (5)  $\Rightarrow$  (6). The implications (6)  $\Rightarrow$  (1) and (6)  $\Rightarrow$  (4) hold by Proposition 3.7, while (6)  $\Rightarrow$  (2) holds by Corollary 3.8. The equivalence of (6), (7), (8) and (9) was proved in 1.3 and the remark following 1.3.

**3.11. Remark.** Let  $(M_j)_{j \in \mathbb{N}_0}$  be a sequence of positive numbers which has the following properties:

- (M1)  $M_j^2 \leq M_{j-1}M_{j+1}$  for all  $j \in \mathbb{N}$ ;
- (M2) there exist  $A, H > 1$  with  $M_n \leq AH^n \min_{0 \leq j \leq n} M_j M_{n-j}$  for all  $n \in \mathbb{N}$ ;
- (M3) there exists  $A > 0$  with  $\sum_{q=j+1}^\infty \frac{M_{q-1}}{M_q} \leq Aj \frac{M_j}{M_{j+1}}$  for all  $j \in \mathbb{N}$ ; and define

$\omega_M: \mathbf{R} \rightarrow [0, \infty[$  by

$$\omega_M(t) = \begin{cases} \sup_{j \in \mathbf{N}_0} \log \frac{|t|^j M_0}{M_j} & \text{for } |t| > 0 \\ 0 & \text{for } t = 0. \end{cases}$$

Then  $\omega_M$  is a continuous even function with  $\omega_M(0)=0$  and  $\lim_{t \rightarrow \infty} \omega_M(t)=\infty$ , which satisfies 1.1( $\gamma$ )' and 1.1( $\delta$ ). By Komatsu [14], 4.4, (M3) implies that  $\omega_M$  satisfies 1.1( $\varepsilon$ ). Hence Proposition 1.3 implies the existence of a weight function  $\varkappa$  which satisfies 1.1( $\varepsilon$ ), which is concave on  $[0, \infty[$  and which satisfies

(1)  $\omega_M(t) \leq \varkappa(t) \leq C\omega_M(t) + C$  for some  $C > 0$  and all  $t > 0$ .

Since  $\varkappa$  is subadditive, this implies

(2)  $\omega_M(2t) \leq \varkappa(2t) \leq 2\varkappa(t) \leq 2C\omega_M(t) + 2C$  for all  $t > 0$ .

By Komatsu [14], 3.6, (M2) implies the existence of  $D > 0$  with

(3)  $2\omega_M(t) \leq \omega_M(Dt) + D$  for all  $t > 0$ .

From (1)–(3) it follows that for each open set  $\Omega$  (resp. compact set  $K$ ) in  $\mathbf{R}^n$  we have

$$\mathcal{E}_\varkappa(\Omega) = \mathcal{E}^{(M_p)}(\Omega) = \left\{ f \in C^\infty(\Omega) \mid \sup_{\alpha \in \mathbf{N}_0^n} \sup_{x \in K} \frac{|f^{(\alpha)}(x)|}{h^{|\alpha|} M_{|\alpha|}} < \infty \right.$$

for each  $h > 0$  and each  $K \subset \Omega$  compact}

and  $\mathcal{E}_\varkappa(K) = \mathcal{E}^{(M_p)}(K)$ , where  $\mathcal{E}^{(M_p)}(K)$  is defined similarly as in 3.4.

Because of this, Theorem 3.10 extends the results of Ehrenpreis [11], p. 447, and Komatsu [15], 4.5.

*Remark.* It is an interesting question to know if Whitney's extension theorem holds for the classes  $\mathcal{E}_\omega$  and arbitrary compact subsets  $K$  of  $\mathbf{R}^n$  when  $\omega$  is a weight function satisfying 3.10(6). For the classes  $\mathcal{E}^{(M_p)}$  Bruna [8] has shown this to be the case, provided that the sequence  $(M_p)_{p \in \mathbf{N}_0}$  satisfies some conditions which are stronger than (M1), (M2) and (M3). We suspect it is also the case here. However, our use of Fourier transform methods makes it difficult to treat the case of arbitrary compact sets.

By Theorem 3.10 we know that the maps  $\varrho_K$  and  $B_n$  are surjective in many cases. In these cases one would like to know whether they also admit a continuous linear right inverse, i.e. whether one can do the extension with a continuous linear operator. For the ordinary  $C^\infty$ -functions on  $\mathbf{R}^n$  it is known that  $B_n$  does not admit a continuous linear right inverse (see Mityagin [23]) and that a continuous linear extension operator exists for compact convex sets  $K \subset \mathbf{R}^n$  with  $\overset{\circ}{K} \neq \emptyset$  (see Tidten [27], 4.6). For the present classes of functions we shall treat this question in [21] (see the announcement [20]). Here we use a result of our paper [19] to derive a necessary

condition for the existence of a continuous linear right inverse of the Borel map  $B_n$ . In [21] we prove that this condition is also sufficient.

**3.12. Corollary.** *Let  $\omega$  be a weight function. If the Borel map  $B_n: \mathcal{E}_\omega(\mathbf{R}^n) \rightarrow \mathcal{E}_\omega(\{0\})$  admits a continuous linear right inverse then  $\omega$  has the following property:*

(\*) *For each  $C > 1$  there exist  $\delta > 0$  and  $R_0 > 0$  with*

$$\omega^{-1}(CR)\omega^{-1}(\delta R) \cong (\omega^{-1}(R))^2 \text{ for all } R \cong R_0.$$

*Proof.* Let  $\mathcal{E}_\omega^{2\pi}(\mathbf{R}^n)$  denote the closed linear subspace of  $\mathcal{E}_\omega(\mathbf{R}^n)$  consisting of all functions which are periodic with respect to hypercube  $[-\pi, \pi]^n$ . Since  $\mathcal{E}_\omega^{2\pi}(\mathbf{R}^n)$  is the kernel of a system of difference equations it follows from Meise [17], 3.7, that  $\mathcal{E}_\omega^{2\pi}(\mathbf{R}^n)$  is isomorphic to a power series space of infinite type (for subadditive weight functions  $\omega$  see Vogt [29], 7.7). If  $B_n$  admits a continuous linear right inverse, then  $\tilde{B}_n := B|_{\mathcal{E}_\omega^{2\pi}(\mathbf{R}^n)}$  has this property too, and hence  $\mathcal{E}_\omega(\{0\})$  is isomorphic to a linear topological subspace of  $\mathcal{E}_\omega^{2\pi}(\mathbf{R}^n)$ . This implies that  $\mathcal{E}_\omega(\{0\})$  has the property (DN) of Vogt [23]. In the proof of Proposition 3.7 we have already remarked that  $\mathcal{E}_\omega(\{0\})'_b \cong A_\omega(\mathbf{C}^n)$ . Hence  $\omega$  satisfies (\*) by [19], 3.1.

**3.13. Example.** For  $1 < s < \infty$  define  $\omega_s: t \rightarrow (\max(0, \log |t|))^s$ . Then  $\omega$  is a weight function which satisfies 1.1( $\varepsilon$ ). It is easy to check that  $\omega$  does not satisfy 3.12(\*). Hence the Borel map  $B_n: \mathcal{E}_\omega(\mathbf{R}^n) \rightarrow \mathcal{E}_\omega(\{0\})$  is surjective but does not admit a continuous linear right inverse.

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