

# Asymptotic behaviour of monomial ideals on regular sequences

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## Abstract

Let  $R$  be a commutative Noetherian ring, and let  $\mathbf{x} = x_1, \dots, x_d$  be a regular  $R$ -sequence contained in the Jacobson radical of  $R$ . An ideal  $I$  of  $R$  is said to be a monomial ideal with respect to  $\mathbf{x}$  if it is generated by a set of monomials  $x_1^{e_1} \dots x_d^{e_d}$ . The monomial closure of  $I$ , denoted by  $\widetilde{I}$ , is defined to be the ideal generated by the set of all monomials  $m$  such that  $m^n \in I^n$  for some  $n \in \mathbb{N}$ . It is shown that the sequences  $\text{Ass}_R R/\widetilde{I}^n$  and  $\text{Ass}_R \widetilde{I}^n/I^n$ ,  $n = 1, 2, \dots$ , of associated prime ideals are increasing and ultimately constant for large  $n$ . In addition, some results about the monomial ideals and their integral closures are included.

## 1. Introduction

Let  $R$  be a commutative Noetherian ring and  $I$  an ideal of  $R$ . In [9], L. J. Ratliff Jr., conjectured about the asymptotic behaviour of  $\text{Ass}_R R/I^n$  when  $R$  is a domain. Subsequently, M. Brodmann [1] showed that if  $R$  is Noetherian and  $M$  is a finitely generated  $R$ -module, then  $\text{Ass}_R M/I^n M$  is ultimately constant for large  $n \in \mathbb{N}$ . Furthermore, in [9], L. J. Ratliff Jr. showed that the sequence  $\{\text{Ass}_R R/(I^n)_a\}_{n \in \mathbb{N}}$  is increasing and ultimately constant, where  $(I^n)_a$  denotes the classical integral closure of the ideal  $I^n$ . (We use  $\mathbb{N}$  to denote the set of positive integers.)

The results of Brodmann and Ratliff have led to a large body of research. For example, McAdam and Ratliff (see [7, 3.9 and 11.16]) showed that, if the ideal  $I$  of  $R$  contains a non-zero divisor on  $R$ , then the sequences

$$\{\text{Ass}_R R/(I^n)_a\}_{n \in \mathbb{N}} \quad \text{and} \quad \{\text{Ass}_R (I^n)_a/I^n\}_{n \in \mathbb{N}}$$

are increasing and eventually constant.

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There is considerable current interest in the concept of monomial ideal in a commutative Noetherian ring. Let  $R$  be a commutative Noetherian ring and let  $\mathbf{x} := x_1, \dots, x_d$  be a regular  $R$ -sequence which is contained in the Jacobson radical of  $R$ . An ideal  $I$  of  $R$  is called a *monomial ideal* with respect to  $\mathbf{x}$  if it can be generated by monomials  $x_1^{e_1} \dots x_d^{e_d}$ . For any monomial ideal  $I$  with respect to  $\mathbf{x}$ , the *monomial closure* of  $I$ , denoted by  $\tilde{I}$ , is defined the ideal generated by all monomials  $m$  with  $m^l \in I^l$  for some  $l \in \mathbb{N}$ .

The above-mentioned results of Brodmann, McAdam and Ratliff raise questions about asymptotic behaviour on monomial ideals on  $R$ -sequences. As the main result of the second section we shall prove the following:

**Theorem 1.1.** *Let  $I$  denote a monomial ideal of  $R$  with respect to a regular  $R$ -sequence contained in the Jacobson radical of  $R$ . Then the sequences*

$$\{\text{Ass}_R R/\tilde{I}^n\}_{n \in \mathbb{N}} \text{ and } \{\text{Ass}_R \tilde{I}^n/I^n\}_{n \in \mathbb{N}}$$

*of associated primes are increasing for large  $n$  and eventually constant.*

For any regular  $R$ -sequence  $\mathbf{x} := x_1, \dots, x_d$  contained in the Jacobson radical of  $R$ , let  $(\mathbf{x})$  be a prime ideal of  $R$ . In third section we will obtain some results about the integral closure and monomial closure of a certain monomial ideal in  $R$ . In this section, among other things, we prove the following theorem.

**Theorem 1.2.** *Let  $\mathbf{x} := x_1, \dots, x_d$  be a regular  $R$ -sequence contained in the Jacobson radical of  $R$ , let  $(\mathbf{x})$  be a prime ideal of  $R$  and let  $\tau$  be a permutation of  $\{1, \dots, d\}$ . Let  $I := (x_{\tau(1)}^{\alpha_1}, \dots, x_{\tau(s)}^{\alpha_s})$  be a monomial ideal of  $R$  where  $1 \leq s \leq d$  and  $\alpha_i \in \mathbb{N}$ . Then for any  $n \in \mathbb{N}$ ,  $I^n$  and  $\tilde{I}^n$  are  $(x_{\tau(1)}, \dots, x_{\tau(s)})$ -primary ideals and  $(I^n)_a = \tilde{I}^n$ .*

The proof of Theorem 1.2 is given in 3.4. For any unexplained notation and terminology we refer the reader to [6] and [2].

## 2. Finiteness of associated primes

In this section we show that if  $\mathbf{x} := x_1, \dots, x_d$  is a regular  $R$ -sequence contained in the Jacobson radical of  $R$ , and  $I$  is a monomial ideal of  $R$  with respect to  $\mathbf{x}$  then the sets  $\text{Ass}_R R/\tilde{I}^n$  and  $\text{Ass}_R \tilde{I}^n/I^n$  are constant for large  $n$ . The main results are Theorems 2.5 and 2.7. We begin with

**Definition 2.1.** Let  $\mathbf{x} := x_1, \dots, x_d$  be a regular  $R$ -sequence of elements of  $R$ . Then:

- (i) An element  $m$  of  $R$  is called a *monomial element w.r.t.  $\mathbf{x}$*  if there exist non-negative integers  $e_1, \dots, e_d$  such that  $m = x_1^{e_1} \dots x_d^{e_d}$ . In view of [6, Thm. 16.2] it is easy to see that  $e_1, \dots, e_d$  are determined uniquely by  $m$ .

- (ii) Suppose that  $m = x_1^{e_1} \dots x_d^{e_d}$  is a monomial w.r.t.  $\mathbf{x}$ . The *support* of  $m$ , denoted by  $\text{supp}(m)$ , is defined to be the set  $\{j \mid j \in \{1, \dots, d\} \text{ and } e_j \neq 0\}$ .
- (iii) Let  $\mathcal{M}$  denote the set of all monomials of  $R$  w.r.t.  $\mathbf{x}$ . An ideal  $I$  of  $R$  is called *monomial w.r.t.  $\mathbf{x}$*  if it is generated by elements in  $\mathcal{M}$ . It follows from this that the zero ideal and  $R$  itself are monomial ideals.
- (iv) Let  $I$  be a monomial ideal of  $R$  w.r.t.  $\mathbf{x}$ . The *monomial closure* of  $I$ , denoted by  $\tilde{I}$ , is defined to be the ideal generated by all monomials  $m$  in  $\mathcal{M}$  such that  $m^n \in I^n$  for some  $n \in \mathbb{N}$ . Let  $(I)_a$  denote the classical integral closure of  $I$  introduced by Northcott and Rees [8]. Then obviously  $I \subseteq \tilde{I} \subseteq (I)_a$ . Also, if  $J$  is a second monomial ideal of  $R$  w.r.t.  $\mathbf{x}$ , then  $\widetilde{\tilde{I}\tilde{J}} = \widetilde{I\tilde{J}}$ , and if  $I \subseteq J$  then  $\tilde{I} \subseteq \tilde{J}$ . Recall that, by [5, Proposition 1], the set of all monomials of  $R$  is closed under finite products.
- (v) Suppose that  $s$  is an integer such that  $1 \leq s \leq d$ , and let  $\tau$  be a permutation of  $\{1, \dots, d\}$  and let  $e_1, \dots, e_s$  be positive integers. The monomial ideal generated by  $x_{\tau(1)}^{e_1}, \dots, x_{\tau(s)}^{e_s}$  is called a *generalized-parameter ideal*.

Throughout this section,  $\mathbf{x} := x_1, \dots, x_d$  is a regular  $R$ -sequence contained in the Jacobson radical of  $R$  w.r.t.  $\mathbf{x}$ , unless otherwise specified.

The following lemma plays a key role in the proof of the main results.

**Lemma 2.2.** *Let  $I$  be a non-zero monomial ideal of  $R$ . Then for all large  $n$ ,*

$$(\tilde{I}^n :_R \tilde{I}^k) = (\tilde{I}^n :_R I^k) = \widetilde{I^{n-k}},$$

for every integer  $k$ .

**Proof.** As  $\widetilde{I^{n-k}I^k} \subseteq \widetilde{I^{n-k}\tilde{I}^k} \subseteq \tilde{I}^n$ , it is enough to show that  $(\tilde{I}^n :_R I^k) \subseteq \widetilde{I^{n-k}}$ . To this end, it will suffice to show that  $(\widetilde{I^{n+1}} :_R I) \subseteq \tilde{I}^n$  for all large  $n$ . Let  $x \in R$  be such that  $Ix \subseteq \widetilde{I^{n+1}}$  and let  $I$  be generated by  $s$  elements. Then there exists an integer  $l \geq 1$  such that  $x^l I^{sl} \subseteq I^{(n+s)l}$ . Hence  $x^l \in (I^{nl+sl} :_R I^{sl})$ , and so in view of [7, Lemma 8.1],  $x^l \in I^{nl}$ . Therefore by definition  $x \in \tilde{I}^n$ , as desired. ■

Before bringing the next result we fix some notations. Let  $U$  be an arbitrary subset of  $\{1, \dots, d\}$ . We use  $\mathfrak{q}_U$  to denote the ideal of  $R$  generated by the set  $\{x_i : i \in U\}$  and  $\mathcal{P}_U$  to denote the set  $\text{Ass}_R R/\mathfrak{q}_U$ . In particular, if  $U = \{1, \dots, d\}$  then we write,

$$\mathfrak{q} := \mathfrak{q}_U \text{ and } \mathcal{P} := \text{Ass}_R R/\mathfrak{q}.$$

The following lemma is originally shown by K. Kiyek and J. Stückrad in [5, Lemma 1], we give a direct proof by using the important notion of the generalized-parameter monomial ideals introduced by W. Heinzer *et al.* [3].

**Lemma 2.3.** *Let  $I$  be a monomial ideal of  $R$  generated by monomials  $m_1, \dots, m_r$ . Then*

$$\text{Ass}_R R/I \subseteq \bigcup \{ \mathcal{P}_U : U \subseteq \text{supp}(m_1) \cup \dots \cup \text{supp}(m_r) \}.$$

**Proof.** Since  $\text{Ass}_R R = \mathcal{P}_\emptyset$ , it is enough to consider  $I \neq 0$ . Then by [5, Lemma 3] and [3, Theorem 4.9]  $I$  is an irredundant finite intersection of generalized-parameter monomial ideals which is unique up to the order of the factors. Hence without loss of generality we may assume that  $I$  is a generalized-parameter monomial ideal w.r.t.  $\mathbf{x}$ . Because  $\mathbf{x}$  is contained in the Jacobson radical of  $R$ , we can assume  $I = (x_1^{e_1}, \dots, x_s^{e_s})$  where  $1 \leq s \leq d$  and  $e_i \in \mathbb{N}$  for all  $i = 1, \dots, s$ . If  $I = (x_1, \dots, x_s)$  then the assertion follows. Now, let  $e_i \geq 2$  for some  $i = 1, \dots, s$ . As  $\mathbf{x}$  is contained in the Jacobson radical of  $R$ , we may assume that  $i = 1$ . Consider  $J = (x_1^{e_1-1}, x_2^{e_2}, \dots, x_s^{e_s})$  and let  $\mu : R/J \rightarrow R/I$  denote the multiplication homomorphism by  $x_1$ . One can easily see that  $\mu$  is a monomorphism, and then from the exact sequence,

$$0 \rightarrow R/J \rightarrow R/I \rightarrow R/(x_1, x_2^{e_2}, \dots, x_s^{e_s}) \rightarrow 0$$

we have

$$\text{Ass}_R R/I \subseteq \text{Ass}_R R/J \cup \text{Ass}_R R/(x_1, x_2^{e_2}, \dots, x_s^{e_s}).$$

Hence the assertion follows by induction. ■

**Lemma 2.4.** *Let  $I$  be a non-zero monomial ideal of  $R$ . Then for all large  $n$ ,*

$$\text{Ass}_R R/\tilde{I}^n = \text{Ass}_R \widetilde{I^{n-1}}/\tilde{I}^n.$$

**Proof.** For large  $n$ , let  $\mathfrak{p} \in \text{Ass}_R R/\tilde{I}^n$ . Then there exists  $x \in R \setminus \tilde{I}^n$  such that  $\mathfrak{p} = (\tilde{I}^n :_R x)$ . Since  $I \subseteq \mathfrak{p}$ , it follows that  $x \in (\tilde{I}^n :_R I)$ . Hence by Lemma 2.2,  $x \in \widetilde{I^{n-1}}$  and so  $\mathfrak{p} \in \text{Ass}_R \widetilde{I^{n-1}}/\tilde{I}^n$ . This completes the proof. ■

We can now state and prove our the main results, which concern the asymptotic stability of  $\text{Ass}_R R/\tilde{I}^n$  and  $\text{Ass}_R \tilde{I}^n/I^n$ .

**Theorem 2.5.** *Let  $I$  be a monomial ideal of  $R$ . Then the sequence*

$$\{ \text{Ass}_R R/\tilde{I}^n \}_{n \geq 1}$$

*of associated prime ideals, is increasing for large  $n$  and eventually constant.*

**Proof.** For large  $n$ , let  $\mathfrak{p} \in \text{Ass}_R R/\tilde{I}^n$ . Then there exists  $x \in R \setminus \tilde{I}^n$  such that  $\mathfrak{p} = (\tilde{I}^n :_R x)$ . Hence in view of Lemma 2.2,  $\mathfrak{p} = (\widetilde{I^{n+1}} :_R Ix)$ . Therefore  $\mathfrak{p} \in \text{Ass}_R R/\widetilde{I^{n+1}}$ . It follows that the sequence  $\{\text{Ass}_R R/\tilde{I}^n\}_{n \geq 1}$  is increasing for all large  $n$ . On the other hand by Lemma 2.3, the set  $\bigcup_{n \geq 1} \text{Ass}_R R/\tilde{I}^n$  is finite. Consequently the assertion now follows. ■

An immediate consequence of Theorem 2.5 is the following.

**Corollary 2.6.** *Let  $I$  be a monomial ideal of  $R$ . Then the sequences*

$$\{\text{Ass}_R(I^n)_a/\tilde{I}^n\}_{n \geq 1} \text{ and } \{\text{Ass}_R \widetilde{I^{n-1}}/\tilde{I}^n\}_{n \geq 1}$$

*of associated primes are increasing for large  $n$  and eventually constant.*

**Theorem 2.7.** *Let  $I$  be a monomial ideal of  $R$ . Then the sequence*

$$\{\text{Ass}_R \tilde{I}^n/I^n\}_{n \geq 1}$$

*is increasing for large  $n$  and ultimately constant.*

**Proof.** In view of Brodmann's result [1], it is enough to show that the sequence  $\{\text{Ass}_R \tilde{I}^n/I^n\}_{n \geq 1}$  is increasing for large  $n$ . To do this, by [7, Lemma 8.1] for all large  $n$  we have  $(I^{n+1} :_R I) = I^n$ . Now for large  $n$ , let  $\mathfrak{p} \in \text{Ass}_R \tilde{I}^n/I^n$  and we write  $\mathfrak{p} = (I^n :_R x)$  for some  $x \in \tilde{I}^n \setminus I^n$ . Then we have

$$\mathfrak{p} = ((I^{n+1} :_R I) :_R x) = (I^{n+1} :_R Ix).$$

Since  $Ix \subseteq \widetilde{I^{n+1}}$ , it follows that there exists  $y \in \widetilde{I^{n+1}}$  such that  $\mathfrak{p} = (I^{n+1} :_R y)$ . This shows that  $\mathfrak{p} \in \text{Ass}_R \widetilde{I^{n+1}}/I^{n+1}$  for all large  $n$ , and so the assertion follows. ■

### 3. Some results about monomial ideals

Recall that  $\mathbf{x} := x_1, \dots, x_d$  is a regular  $R$ -sequence contained in the Jacobson radical of  $R$ .

**Remark 3.1.** Let  $\mathfrak{q} := (\mathbf{x})$  be a prime ideal of  $R$ . Then it follows from [2, Theorem 1.1.8] that the associated graded ring  $\bigoplus_{n \geq 0} \mathfrak{q}^n/\mathfrak{q}^{n+1}$  is a domain. As  $\mathfrak{q}$  is contained in the Jacobson radical of  $R$ , we have  $\bigcap_{n \geq 1} \mathfrak{q}^n = 0$ , and hence  $R$  is also a domain. Indeed if  $x$  and  $y$  are non-zero elements of  $R$  with  $xy = 0$ , we may choose integers  $m, n$  such that  $x \in \mathfrak{q}^m \setminus \mathfrak{q}^{m+1}$  and  $y \in \mathfrak{q}^n \setminus \mathfrak{q}^{n+1}$ . Then  $(x + \mathfrak{q}^{m+1})(y + \mathfrak{q}^{n+1}) = 0$  and this is a contradiction. Moreover, since  $\mathfrak{q}$  is a prime ideal of  $R$  generated by *height*  $\mathfrak{q}$  elements it follows that  $R_{\mathfrak{q}}$  is a regular local ring. So that, if  $U \subseteq \{1, \dots, d\}$  and  $\mathfrak{q}_U$  is an ideal of  $R$  generated by  $\{x_i : i \in U\}$ , then  $\mathfrak{q}_U R_{\mathfrak{q}}$  is a prime ideal of  $R_{\mathfrak{q}}$ .

Thus  $\mathfrak{q}_U$  will be a prime ideal of  $R$ . Now, let  $\tau$  be a permutation on  $\{1, \dots, d\}$  and  $I := (x_{\tau(1)}^{e_1}, \dots, x_{\tau(s)}^{e_s})$  a generalized-parameter monomial ideal. Then  $\text{Ass}_R R/I = \{(x_{\tau(1)}, \dots, x_{\tau(s)})\}$  by Lemma 2.3. As  $(x_{\tau(1)}, \dots, x_{\tau(s)})$  is a prime ideal it follows that  $I$  is a  $(x_{\tau(1)}, \dots, x_{\tau(s)})$ -primary monomial ideal of  $R$ . Furthermore, since  $I$  is generated by a regular  $R$ -sequence it follows from [4, Thm. 125 and Ex. 13] that  $\text{Ass}_R R/I^n = \text{Ass}_R R/I$  for any  $n \in \mathbb{N}$ , and so  $I^n$  is also a  $(x_{\tau(1)}, \dots, x_{\tau(s)})$ -primary monomial ideal of  $R$ .

**Proposition 3.2.** *Let  $\mathfrak{q} = (\mathbf{x})$  be as in Remark 3.1 and let  $I$  be a non-zero monomial ideal of  $R$ . Then  $I$  has a primary decomposition each primary component of which is monomial ideal.*

**Proof.** In view of [3, Theorem 4.9]  $I$  has a unique generalized-parametric monomial decomposition. Now since  $\mathfrak{q} = (\mathbf{x})$  is a prime ideal it follows that from Remark 3.1 that the mentioned decomposition is the desired primary decomposition of  $I$ . ■

**Lemma 3.3.** *Let  $\mathfrak{q} = (\mathbf{x})$  and let  $I := (x_{\tau(1)}^{e_1}, \dots, x_{\tau(s)}^{e_s})$  be as in Remark 3.1. Then  $\tilde{I}$  is a primary ideal of  $R$ .*

**Proof.** Let  $\mathfrak{p} := (x_{\tau(1)}, \dots, x_{\tau(s)})$ . Then by Remark 3.1 we have  $\text{Ass}_R R/I = \{\mathfrak{p}\}$ . Let  $\tilde{I} := (m_1, \dots, m_r)$  where  $m_i \in \mathcal{M}$  for all  $i = 1, \dots, r$ . In view of Lemma 2.3 and Remark 3.1, it is enough to show that  $\text{supp}(m_i) \subseteq \{\tau(1), \dots, \tau(s)\}$  for each  $1 \leq i \leq r$ . Assume the contrary. Then there exists  $e \in \mathbb{N}$ ,  $1 \leq j \leq r$  and  $1 \leq t \leq d$  such that  $m_j = x_t^e m'$  where  $m' \in \mathcal{M}$ ,  $\text{supp}(m') \subseteq \{\tau(1), \dots, \tau(s)\}$ ,  $m' \notin \tilde{I}$  and  $t \notin \{\tau(1), \dots, \tau(s)\}$ . Then there is an integer  $k$  such that  $m_j^k \in I^k$ . Hence  $x_t^{ek} \in (I^k :_R m'^k)$ . As  $m'^k \notin I^k$ , it follows that  $x_t^{ek} \in \mathfrak{p}$ . So that  $x_t \in \mathfrak{p}$ , which is contradiction. ■

The following result, which was shown by K. Kiyek and J. Stuckrad in [5, Proposition 7], extends the original argument that the integral closure of a monomial ideal in a polynomial ring over a field in a finite number of indeterminates is a monomial ideal (see [11, section 6.6, Ex. 6.6.1]).

**Theorem 3.4.** *Let  $\mathfrak{q} := (\mathbf{x})$  be a prime ideal of  $R$  and let  $\tau$  be a permutation on  $\{1, \dots, d\}$ . Let  $I := (x_{\tau(1)}^{e_1}, \dots, x_{\tau(s)}^{e_s})$  be a generalized-parameter monomial ideal of  $R$ . Then for any  $n \in \mathbb{N}$ ,  $I^n$  and  $\tilde{I}^n$  are  $(x_{\tau(1)}, \dots, x_{\tau(s)})$ -primary monomial ideals of  $R$  and  $(I^n)_a = \tilde{I}^n$ .*

**Proof.** By virtue of Remark 3.1 and Lemma 3.3, it will suffice to show that  $(I^n)_a = \tilde{I}^n$  for each  $n \in \mathbb{N}$ . To this end, let  $\mathfrak{p} := (x_{\tau(1)}, \dots, x_{\tau(s)})$ . Then since  $\mathfrak{p}$  is generated by a regular  $R$ -sequence it follows that  $R_{\mathfrak{p}}$  is a regular local ring and  $I^n R_{\mathfrak{p}}$  is a  $\mathfrak{p}R_{\mathfrak{p}}$ -primary ideal. Hence by [3, (2.2.5)] it yields that  $(I^n R_{\mathfrak{p}})_a = \widetilde{I^n R_{\mathfrak{p}}}$ . Now the assertion follows easily from [10, Lemma 2.3]. ■

For any monomial ideal  $I$  of  $R$  with respect to the regular  $R$ -sequence  $\mathbf{x} := x_1, \dots, x_d$ , we shall use  $\widetilde{A}(I)$  to denote the ultimate constant values of the sequence  $\{\text{Ass}_R R/\widetilde{I}^n\}_{n \geq 1}$ .

**Proposition 3.5.** *Let  $T$  be a faithfully flat Noetherian extension of  $R$  such that  $\mathbf{x} := x_1, \dots, x_d$  is contained in the Jacobson radical of  $T$ . Then for any monomial ideal  $I$  of  $R$  the following conditions hold:*

- (i)  $IT$  is a monomial ideal of  $T$  and  $\widetilde{IT} \cap R = \widetilde{I}$ .
- (ii) For any  $\mathfrak{p} \in \widetilde{A}(I)$  there exists  $\mathfrak{q} \in \widetilde{A}(IT)$  such that  $\mathfrak{q} \cap R = \mathfrak{p}$ .

**Proof.** In order to prove (i), let  $m$  be a monomial element of  $T$  with respect to  $\mathbf{x} := x_1, \dots, x_d$  (recall that  $\mathbf{x}$  is a  $T$ -sequence by faithfully flatness) such that  $m \in \widetilde{IT} \cap R$ . Then  $m^l \in I^l T$  for some  $l \in \mathbb{N}$ . Since  $T$  is faithful over  $R$ , it follows that  $m^l \in I^l$ . Hence  $\widetilde{IT} \cap R \subseteq \widetilde{I}$ . As the opposite inclusion is obvious, the result follows. Finally, in order to show (ii), let  $\mathfrak{p} \in \widetilde{A}(I)$ . Then  $\mathfrak{p} \in \text{Ass}_R R/\widetilde{I}^n$  for large  $n$ . Since  $T$  is a Noetherian ring and  $\widetilde{I}^n T \cap R = \widetilde{I}^n$  by (i), it follows that there exists  $\mathfrak{q} \in \widetilde{A}(IT)$  such that  $\mathfrak{q} \cap R = \mathfrak{p}$ . This completes the proof. ■

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