

A FAMILY OF COUNTABLE HOMOGENEOUS GRAPHS

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Let \mathcal{K} be the class of all countable graphs and let \mathcal{K}_p be the class of all members of \mathcal{K} which have no complete subgraphs of cardinality p . R. Rado has constructed a graph U which is universal for \mathcal{K} . In this paper U is shown to be homogeneous, in the sense of Fraïssé. Also a simple construction is given of a graph G_p which is homogeneous and universal for \mathcal{K}_p (for each $p \geq 3$) and the structure of these graphs is investigated.

It is shown that if H is an infinite member of \mathcal{K}_p then H can be embedded in G_p in such a way that every automorphism of H extends uniquely to an automorphism of G_p . A similar result holds for U . Also, U and G_3 have single-orbit automorphisms, while if $p > 3$, then G_p has no such automorphism. Finally, a result concerning vertex colorings of the graphs G_p is proved and used to give a new proof of a Theorem of Folkman on vertex colorings of finite graphs.

1. A graph G is a relational structure which consists of a nonempty set $|G|$ of vertices and an irreflexive, symmetric binary relation $R(G)$ on $|G|$. If $A \subset |G|$ is nonempty, let $G|A$ denote the induced subgraph of G which has vertex set A . Write $H \subset G$ to mean that H equals $G|A$ for some $A \subset |G|$. An embedding of H into G is an isomorphism of H onto an induced subgraph of G . If such an embedding exists we say that G admits H . If G and H are isomorphic we write $G \cong H$.

The complement graph of G is denoted by \bar{G} . K_p denotes a complete graph with p vertices (p an integer ≥ 1 .) For each $v \in |G|$, G^v denotes the induced subgraph of G which has vertex set

$$\{w \mid (w, v) \in R(G)\}.$$

(The *valence* subgraph determined by v .) The induced subgraph of G obtained by removing a vertex v will be designated by $G - v$. The cardinality of the vertex set $|G|$ will be denoted by $c(G)$. Z denotes the set of all the integers and N the set of nonnegative integers.

The study of homogeneous relational structures was begun by Fraïssé [4] as an attempt to generalize certain familiar properties of the ordering of the rational numbers. This study was continued in a very general setting by Jónsson [6 and 7] and by Morley and

Vaught [8]. The basic properties of homogeneous graphs needed in this paper may be summarized as follows.

DEFINITION 1.1. A graph G is *homogeneous* if whenever $H \subset G$ and $c(H) < c(G)$, every embedding of H into G can be extended to an automorphism of G .

THEOREM 1.2. *An infinite graph G is homogeneous \iff whenever $H \subset G$, $c(H) < c(G)$ and $v \in |H|$, every embedding of $H - v$ into G can be extended to an embedding of H into G .*

THEOREM 1.3. *Let G be an infinite homogeneous graph.*

(a) *Suppose $c(H) = c(G)$ and G admits every graph $K \subset H$ for which $c(K) < c(H)$. Then G admits H .*

(b) *If H is homogeneous, $c(H) = c(G)$ and G and H admit exactly the same graphs of cardinality $< c(G)$, then $H \cong G$.*

In case G is a countably infinite graph, as will be true in this paper, Definition 1.1 comes from [4]; in that case, Theorem 1.2 is [4, Theorem 5.5] and Theorem 1.3 is [4, Theorems V and 5.4]. In general, G is homogeneous in the sense of Definition 1.1 if and only if G is \mathcal{K} -homogeneous in the sense of [7] and [8], where \mathcal{K} is the class of all graphs; here Theorems 1.2 and 1.3 are included in [8, Theorems 2.3 and 2.5]. (It should be noted that in [8], and in model theory generally, "homogeneous" is used in a different, weaker sense. This should cause no confusion here, since only the meaning which agrees with [4] will be used.)

Rado's graph [9, 10] is universal among countable graphs by virtue of satisfying the condition

(A) if F_1, F_2 are disjoint, finite sets of vertices of G , then there is another vertex which is connected in G to every member of F_1 and to no member of F_2 .

THEOREM 1.4. *Any graph G (with $c(G) = \aleph_0$) which satisfies condition (A) is homogeneous. Moreover, any two such graphs are isomorphic.*

Proof. Rado [10] showed that any graph which satisfies (A) must admit every finite graph. Thus the second statement follows from the first by Theorem 1.3.b.

Let G be a graph which satisfies (A) and $c(G) = \aleph_0$. We prove that G is homogeneous by showing that it satisfies the condition in Theorem 1.2. Suppose $H \subset G$ and $c(H) < c(G)$, so that H is finite.

Let $v \in |H|$ and assume f is an embedding of $H - v$ into G . Let $F_1 = f(|H^v|)$ and $F_2 = \text{Range}(f) - F_1$. There is a vertex w in $|G|$ which is connected to every member of F_1 and to no member of F_2 . It follows that letting $f(v) = w$ extends f to an embedding of H into G , completing the proof.

We will designate by U a graph (isomorphic to Rado's graph) which is constructed as follows. Let $\{P_n \mid n \in N\}$ be an enumeration of the finite subsets of N , each one occurring infinitely often. Choose a sequence $v_0 < v_1 < \dots$ in N which satisfies $v_n > \max(P_n)$ for all $n \in N$. To define U let $|U| = N$ and let $R(U)$ consist of all pairs of vertices of the form (w, v_n) or (v_n, w) where $w \in P_n$ and $n \in N$. Then U satisfies the following strong form of (A).

(A') if $F \subset |U|$ is finite, then there exist arbitrarily large v in $|U|$ which satisfy

$$F = \{w \mid w < v \text{ and } (w, v) \in R(U)\}.$$

In particular, U satisfies (A) and is thus isomorphic to Rado's graph, by Theorem 1.4. (Note that Rado's graph itself does not satisfy (A').)

REMARK. In [2] Erdős and Renyi put a natural probability measure on the set of all graphs with vertex set N , and show that the measure of the set of such graphs which satisfy condition (A) is 1. They conclude from this that almost all graphs with vertex set N have a nontrivial automorphism. In fact the stronger result, that almost all such graphs are isomorphic to U , follows from Theorem 1.4.

COROLLARY 1.5. (a) $\bar{U} \cong U$

(b) if $|U| = A_1 \cup \dots \cup A_n$ and A_1, \dots, A_n are pairwise disjoint, then $U|A \cong U$ for some $j = 1, \dots, n$.

Proof. (a) \bar{U} obviously satisfies condition (A).

(b) It suffices to consider the case $n = 2$.

Suppose $|U| = A \cup A'$ and $A \cap A' = \emptyset$, and assume that neither $U|A$ nor $U|A'$ is isomorphic to U . Then there exist disjoint, finite subsets F_1, F_2 of A and F'_1, F'_2 of A' which satisfy: (i) if v is connected in U to every member of F_1 and to no member of F_2 , then $v \in A$, and (ii) if v is connected in U to every member of F'_1 and to no member of F'_2 , then $v \in A'$. But $F_1 \cup F'_1$ and $F_2 \cup F'_2$ are disjoint, so there is a vertex v which is connected in U to every member of $F_1 \cup F'_1$ and to no member of $F_2 \cup F'_2$. This implies that $v \in A \cup A'$, which is a contradiction.

It follows immediately from Theorem 1.5 that if $A \subset |U|$ and

$|U| - A$ is finite, then $U|A \cong U$. Also, using 1.5.a and the vertex symmetry of U we note that $U^v \cong (\bar{U})^v$, for any $v \in |U|$. Then since $|U^v|$ and $|(\bar{U})^v|$ form a partition of $|U - v|$ it follows that $U^v \cong U$ for every $v \in |U|$.

Recall that two graphs H_1, H_2 with the same vertex set are called *edge disjoint* if $R(H_1) \cap R(H_2) = \emptyset$. If \mathcal{F} is a family of graphs with a common vertex set A , then the *union* of \mathcal{F} is the graph whose vertex set is A and whose edge relation is $\bigcup \{R(H) \mid H \in \mathcal{F}\}$. A *spanning subgraph* of G is a graph H which satisfies $|H| = |G|$ and $R(H) \subset R(G)$.

THEOREM 1.6. *There is a family $\{H_i \mid i \in N\}$ of pairwise edge disjoint graphs (all with vertex set N) such that if $|G| = N$, $R(H_i) \subset R(G)$ and $R(H_j) \cap R(G) = \emptyset$ (for some $i, j \in N$) then $G \cong U$.*

Proof. Let $\{(P_n, Q_n, f(n), g(n)) \mid n \in N\}$ be an enumeration of all quadruples (A, B, i, j) in which A, B are disjoint, finite subsets of N and $i, j \in N$. Let $v_0 < v_1 < \dots$ be a sequence in N such that $v_n > \max(P_n \cup Q_n)$ for all $n \in N$. Define H_i , for each $i \in N$, by letting $|H_i| = N$ and letting $R(H_i)$ consist of all pairs of vertices (w, v_n) and (v_n, w) such that $f(n) = i$ and $w \in P_n$ or $g(n) = i$ and $w \in Q_n$.

Suppose $|G| = N$ and, for some $i, j \in N$, G satisfies $R(H_i) \subset R(G)$ and $R(H_j) \cap R(G) = \emptyset$. Let F_1, F_2 be disjoint, finite subsets of $|G|$. Choose n so that $P_n = F_1, Q_n = F_2, f(n) = i$ and $g(n) = j$. Then v_n is connected in H_i (and thus in G) to every member of F_1 . Also v_n is connected in H_j (and thus not in G) to every member of F_2 . This shows that G satisfies condition (A) and therefore G is isomorphic to U .

In particular, Theorem 1.6 asserts that the union of the family $\{H_i \mid i > 0\}$ is isomorphic to U . Thus there exists a family $\{G_i \mid i \in N\}$ of pairwise edge disjoint spanning subgraphs of U which satisfies (i) the union of the family is U , and (ii) if G is any spanning subgraph of U such that $R(G_i) \subset R(G)$, for some $i \in N$, then $G \cong U$.

Recall that a (one-way) Hamiltonian path for a graph G (with $c(G) = \aleph_0$) is a bijection τ from N onto $|G|$ such that for each n , $\tau(n)$ and $\tau(n+1)$ are connected in G . The path τ will be called *totally symmetric* if the function sending $\tau(n)$ to $\tau(n+1)$ (each $n \in N$) is an embedding of G into itself.

THEOREM 1.7. *There exists a totally symmetric, one-way Hamiltonian path for U .*

Proof. Let $\{P_n \mid n \in N\}$ be an enumeration of all finite subsets of N , with the properties:

(i) $P_n \subset \{0, \dots, n\}$ for each $n \in N$, and (ii) each finite subset of N occurs in the list $\{P_n \mid n \in N\}$ infinitely often. For $n \in N$ define

$$a_n = 2 + \frac{n(n+1)}{2}$$

so that $a_0 = 2$ and $a_{n+1} = a_n + n + 1$. Construct a chain $Q_0 \subset Q_1 \subset \dots$ of finite subsets of $N - \{0\}$ by letting $Q_0 = \{1\}$ and (for $n \geq 0$)

$$Q_{n+1} = Q_n \cup \{a_{n+1} - k \mid k \in P_n\}.$$

If $k \in P_n$ then $0 \leq k \leq n$ so that

$$a_n + 1 = a_{n+1} - n \leq a_{n+1} - k \leq a_{n+1}.$$

It follows, by induction on n , that $Q_n \subset \{0, \dots, a_n\}$ and

$$Q_{n+1} - Q_n \subset \{a_n + 1, \dots, a_{n+1}\}.$$

Now let $A = \bigcup \{Q_n \mid n \in N\}$ and construct a graph G with $|G| = N$ and $R(G) = \{(m, n) \mid |m - n| \in A\}$. Since $1 \in A$, it is obvious that G has a totally symmetric (one-way) Hamiltonian path. Thus it suffices to prove that G satisfies condition (A), so that $U \cong G$.

If F_1, F_2 are disjoint, finite subsets of N , we may choose n large enough so that $P_n = F_1$ and $F_1 \cup F_2 \subset \{0, \dots, n\}$. For each $0 \leq k \leq n$ the construction of Q_{n+1} insures that

$$a_{n+1} - k \in Q_{n+1} \longleftrightarrow k \in F_1.$$

But since $A \cap \{0, \dots, a_{n+1}\} = Q_{n+1}$, it follows that

$$a_{n+1} - k \in A \longleftrightarrow k \in F_1.$$

Thus a_{n+1} is connected in G to every member of F_1 and to no member of F_2 . That is, G satisfies condition (A) and the proof is complete.

REMARK. Let Z be the set of all the integers and A the set constructed in the proof of Theorem 1.7. Define a graph H with $|H| = Z$ by letting

$$R(H) = \{(a, b) \mid a, b \in Z \text{ and } |a - b| \in A\}.$$

Evidently the functions f , sending a to $a + 1$, and g , sending a to $-a$, are automorphisms of H . Moreover, since $1 \in A$, the identity function from Z to $|H|$ defines a two-way Hamiltonian path for H . Finally, if F_1, F_2 are disjoint, finite subsets of $|H|$, choose k large enough so that $f^k(F_1 \cup F_2) \subset N$, and let $b \in N$ be connected in H to every member of $f^k(F_1)$ and to no member of $f^k(F_2)$. (Choose b using the fact that $H|N \cong U$, as proved above.) Then $f^{-k}(b)$ is connected in

H to every vertex in F_1 and to no vertex in F_2 . That is, H satisfies condition (A) and is thus isomorphic to U .

This may be summarized by stating that U has a totally symmetric, two-way Hamiltonian path. In particular, note that U has an automorphism with a single orbit.

2. This section is devoted to a family $\{G_p \mid p \geq 3\}$ of induced subgraphs of U , defined by letting

$$|G_p| = \{m \mid m \in N \text{ and there is no finite set } A \subset N \\ \text{with } m = \max A \text{ and } U \upharpoonright A \cong K_p\},$$

for each integer $p \geq 3$. It follows that $G_p \subset G_{p+1} \subset U$ ($p \geq 3$), and that U is the union of the chain of graphs $\{G_p \mid p \geq 3\}$. In addition, G_p satisfies the following condition, analogous to (A).

- (A_p) (i) G does not admit K_p ,
(ii) if F_1, F_2 are disjoint, finite sets of vertices of G and $G \upharpoonright F_1$ does not admit K_{p-1} , then there is another vertex which is connected in G to every member of F_1 and to no member of F_2 .

LEMMA 2.1. *For each $p \geq 3$, G_p satisfies condition (A_p).*

Proof. It is obvious that G_p satisfies (i). Suppose F_1, F_2 are disjoint, finite subsets of $|G_p|$ and that $G_p \upharpoonright F_1$ does not admit K_{p-1} . Since U satisfies (A') we may choose $v \in |U|$ which satisfies $v > \max(F_1 \cup F_2)$ and

$$F_1 = \{w \mid w < v \text{ and } (w, v) \in R(U)\}.$$

It suffices to observe that $U \upharpoonright F_1 = G_p \upharpoonright F_1$ does not admit K_{p-1} and therefore $v \in |G_p|$.

LEMMA 2.2. *Let $p \geq 3$ and assume that G satisfies condition (A_p). Suppose also that H is a finite graph which does not admit K_p , $v \in |H|$ and f is an embedding of $H - v$ into G . Then f can be extended to an embedding of H into G .*

THEOREM 2.3. *For each $p \geq 3$, G_p is homogeneous, and admits exactly those finite graphs which do not admit K_p . Moreover, any graph G (with $c(G) = \aleph_0$) which satisfies condition (A_p) is isomorphic to G_p .*

Proof. Using Lemma 2.2, it can be shown by induction on $c(H)$ that if G satisfies (A_p) and H is a finite graph which does not admit K_p , then G admits H . That is, any graph which satisfies (A_p) admits

exactly those finite graphs which do not admit K_p .

It follows by Theorem 1.2 that if $c(G) = \aleph_0$ and G satisfies (A_p) then G is homogeneous. (In particular, by Lemma 2.1, G_p is homogeneous.) Finally, by Theorem 1.3.b, any such G is isomorphic to G_p .

The following result is an immediate consequence of Theorem 1.3.a and Theorem 2.3, and answers a question raised (for $p = 3$) by Erdős and Hajnal [3, p. 121].

COROLLARY 2.4. *For each $p \geq 3$, G_p is a universal graph in the class of countable graphs which do not admit K_p .*

COROLLARY 2.5. *Let $p \geq 3$.*

- (a) *If $A \subset |G_p|$ and $|G_p| - A$ is finite, then $G_p|A \cong G_p$*
- (b) *If $v \in |G_{p+1}|$ then $(G_{p+1})^v \cong G_p$.*

Proof. (a) If F_1, F_2 are disjoint, finite subsets of A and $G_p|F_1$ does not admit K_{p-1} , then there are, in fact, infinitely many vertices in $|G_p|$ which are connected to every member of F_1 and to no member of F_2 . Since $|G_p| - A$ is finite, this shows that $G_p|A$ satisfies (A_p) .

(b) Suppose H is a finite graph satisfying $H \subset (G_{p+1})^v$ and suppose that f is an embedding of H into $(G_{p+1})^v$. Since G_{p+1} is homogeneous, there is an automorphism g of G_{p+1} such that g extends f and $g(v) = v$. Thus g determines an automorphism of $(G_{p+1})^v$ which extends f . This shows that $(G_{p+1})^v$ is homogeneous. The fact that $(G_{p+1})^v$ and G_p are isomorphic follows from Theorems 1.3.b and 2.3 and the observation that $(G_{p+1})^v$ admits a finite graph H if and only if G_{p+1} admits the graph obtained from H by adding a new vertex connected to every member of $|H|$.

Note that for each $v \in |G_3|$ the graph $(G_3)^v$ is infinite, with no two vertices connected.

The analogue of Corollary 1.5.b for G_p is false, as can be seen by considering the partition of $|G_p|$ determined by $|(G_p)^v|$ and its complement. (Also see § 4.)

If H is a spanning subgraph of G_p ($p \geq 3$) and $H \neq G_p$, then H cannot be isomorphic to G_p . For there must be vertices a, b in $|G_p|$ which are connected in G_p but not in H . If $H \cong G_p$ then there exists $A \subset |G_p|$ so that $H|A \cup \{a\}$ and $H|A \cup \{b\}$ are isomorphic to K_{p-1} . But this would imply that $G_p|A \cup \{a, b\} \cong K_p$, which is impossible.

In particular, the analogue for G_p of Theorem 1.6 is false. Corresponding to Theorem 1.7 are the following two results.

THEOREM 2.6 *There exists a totally symmetric (one-way) Hamiltonian path for G_3 .*

Proof. Let the sequence $\{P_n \mid n \in N\}$ be as in the proof of Theorem 1.7, and construct a chain $Q_0 \subset Q_1 \subset \dots$ of finite subsets of $N - \{0\}$ as follows. Let $Q_0 = \{1\}$; for $n \geq 0$, if there exist $a, b \in P_n$ so that $0 < |a - b| \in Q_n$, then let $Q_{n+1} = Q_n$. Otherwise let

$$Q_{n+1} = Q_n \cup \{3^{n+1} - k \mid k \in P_n\}.$$

Recalling that $P_n \subset \{0, \dots, n\}$, it follows that $Q_n \subset \{0, \dots, 3^n\}$ and $Q_{n+1} - Q_n \subset \{3^n + 1, \dots, 3^{n+1}\}$. Let $A = \bigcup \{Q_n \mid n \in N\}$ and construct a graph G , as in the proof of Theorem 1.7, by letting $|G| = N$ and

$$R(G) = \{(m, n) \mid |m - n| \in A\}.$$

As before, it suffices to prove that this graph satisfies condition (A_3) .

Suppose that F_1, F_2 are disjoint, finite subsets of $|G|$ and that $G|F_1$ does not admit K_2 . That is, if $a, b \in F_1$ and $a \neq b$ then $|a - b| \notin A$. Choose n large enough so that $P_n = F_1$ and

$$F_1 \cup F_2 \subset \{0, \dots, n\}.$$

Since $Q_n \subset A$ there do not exist $a, b \in P_n$ with $0 < |a - b| \in Q_n$. Thus if $0 \leq k \leq n$ then $3^{n+1} - k \in Q_{n+1} \leftrightarrow k \in P_n$. It follows that 3^{n+1} is connected in G to every member of F_1 and to no member of F_2 .

Suppose next that G admits K_3 . It follows that there exist $0 < a < b$ such that $G|\{0, a, b\} \cong K_3$. That is, a, b and $b - a$ are in A . Let n be the smallest integer for which $a \in Q_n$. If $b \in Q_n$ then $n \geq 1$, and $a, b \in Q_n - Q_{n-1}$ (since $a < b$.) But then $a = 3^n - c$ and $b = 3^n - d$, for some $c, d \in P_{n-1}$. Moreover $c - d = b - a \in A$ and $0 \leq d < c \leq n - 1$ so that $|c - d| \in Q_{n-1}$, contradicting the definition of Q_n . Therefore $b \notin Q_n$, and there exists $k \geq n$ such that $b \in Q_{k+1} - Q_k$. If $b - a \in Q_{k+1} - Q_k$ we obtain a contradiction as above, by considering $c, d \in P_k$ with $b = 3^{k+1} - c$ and $b - a = 3^{k+1} - d$.

Since $b - a < b \in Q_{k+1}$ and $b - a \in A$, it follows that $b - a$ must be in Q_k . Thus a and $b - a$ are both $\leq 3^k$ and therefore

$$b \leq 2 \cdot 3^k < 3^{k+1} - k.$$

But since $b \in Q_{k+1} - Q_k$, which implies that $3^{k+1} - k \leq b \leq 3^{k+1}$, this is a contradiction. That is, G does not admit K_3 .

This shows that G satisfies the condition (A_3) and therefore G is isomorphic to G_3 , completing the proof.

As in the Remark following Theorem 1.7, it can be shown that G_3 has a totally symmetric, two-way Hamiltonian path. In particular, G_3 has an automorphism with a single orbit. In contrast, for the graphs G_p with $p \geq 4$ we have the following result.

THEOREM 2.7. *If $p \geq 4$, then there is no automorphism of G_p with a single orbit.*

Proof. If otherwise, we can construct a graph G with an automorphism f such that $G \cong G_p$, $|G| = Z$ and $f(a) = a + 1$ for all $a \in Z$. We let

$$A = \{a \mid (a, 0) \in R(G)\} .$$

It then follows that

$$R(G) = \{(a, b) \mid |a - b| \in A\} .$$

Since G_p admits K_{p-2} , there exist $a_1 < \dots < a_{p-2}$ in $|G|$ so that $G \mid \{a_1, \dots, a_{p-2}\} \cong K_{p-2}$. That is, if $1 \leq i < j \leq p-2$ then $a_j - a_i \in A$. Since G satisfies condition (A_p) there exists $a \in |G|$ which is connected in G to 0 but is not connected to any of the vertices $a_i - a_j$ (where $i \neq j$) and is distinct from them.

If a_i is connected in G to $a_j + a$, so that $|a_j + a - a_i|$ is in A , it follows that a is connected to $a_i - a_j$. Thus $i = j$. (Conversely, $|a| \in A$, so that a_i is connected to $a_i + a$.) If we let

$$B = \{a_1, \dots, a_{p-2}, a_1 + a, \dots, a_{p-2} + a\} ,$$

it follows that $G \mid B$ admits K_{p-2} but not K_{p-1} (recall that $p \geq 4$). Thus there exists a vertex k which is connected in G to every member of B .

Consider $C = \{0, a, k - a_1, \dots, k - a_{p-2}\}$. If $i \neq j$ then

$$|(k - a_i) - (k - a_j)| = |a_i - a_j| \in A ,$$

so that

$$G \mid \{k - a_1, \dots, k - a_{p-2}\} \cong K_{p-2} .$$

By the choice of k , $|k - a_i| \in A$ and $|k - a_i - a| \in A$. Thus each $k - a_i$ is connected in G to 0 and to a . Since a is connected to 0 in G by choice, it follows that $G \mid C \cong K_p$. This contradicts the fact that $G \cong G_p$, and completes the proof.

REMARK. It is easy to show that if G is a homogeneous graph, then so is \bar{G} . Thus the graphs \bar{G}_p are all homogeneous, and evidently distinct from the graphs U and G_p ($p \geq 3$.) If G is a homo-

geneous graph, but not connected, the components of G must be complete (consider the induced subgraphs with two vertices which are not connected) and pairwise isomorphic (since G is vertex symmetric.) It is an interesting and apparently open question if there are any homogeneous graphs G (with $c(G) = \aleph_0$) which have G and \bar{G} connected, other than U , G_p and \bar{G}_p ($p \geq 3$.)

The existence of the graphs G_p may be approached indirectly, by noting that the class \mathcal{K}_p of all graphs which do not admit K_p satisfies the amalgamation property of [7] (property D in [4].) Thus, in the language of [7], G_p is the \mathcal{K}_p -homogeneous universal structure of cardinality \aleph_0 .

3. This section is concerned with the problem of embedding an infinite graph H in U (or in one of the graphs G_p) in such a way that automorphisms of H extend to automorphisms of U (G_p .) In addition it is shown that each of these graphs has a maximal independent set M whose permutations all extend uniquely to automorphisms.

THEOREM 3.1. *Let H be a graph with $c(H) = \aleph_0$. There exists an embedding of H onto an induced subgraph $H' \subset U$ such that each automorphism of H' extends uniquely to an automorphism of U .*

Proof. Let $n_1 < n_2 < \dots$ be an increasing sequence of positive integers. Construct a chain of graphs $H_0 \subset H_1 \subset H_2 \subset \dots$ by letting $H_0 = H$ and continuing as follows. For $k \geq 1$ obtain $|H_k|$ by adding to $|H_{k-1}|$ a new vertex $v(A, k)$ for each finite set $A \subset |H_{k-1}|$ such that $A \cap |H_0|$ has exactly n_k elements. Each new vertex $v(A, k)$ is connected in H_k to the vertices in A and to no others. (Recall that $H_{k-1} \subset H_k$ is also required.) Define K to be the union of the chain $\{H_k | k \geq 0\}$ so that $H_k \subset K$ for each $k \geq 0$ and, in particular, $H \subset K$.

If F_1, F_2 are disjoint, finite subsets of $|K|$, choose k large enough so that $F_1 \cup F_2 \subset |H_{k-1}|$ and $F_1 \cap |H_0|$ has at most n_k elements. Since $|H_0|$ is infinite there is a set $B \subset |H_0|$ such that $B \cap F_2 = \emptyset$, $F_1 \cap |H_0| \subset B$ and B has exactly n_k elements. Letting $A = F_1 \cup B$, it follows that $v(A, k)$ is a vertex in H_k which is connected in H_k (and thus in K) to every vertex in F_1 and to no vertex in F_2 . This shows that K satisfies condition (A). Since only countably many vertices are added at each stage of the construction of K , it follows that $K \cong U$.

Any automorphism f of H_{k-1} which satisfies $f(|H_0|) = |H_0|$ can be extended to an automorphism of H_k by setting $f(v(A, k) =$

$v(f(A), k)$ (for each new vertex.) Moreover, since $f(v(A), k)$ must be connected in H_k to the vertices in $f(A)$ and no others, this is the only possible way to extend such an f . Therefore, each automorphism of H_0 can be extended to an automorphism of K , and this extension is unique among automorphism of K which leave each set $|H_k|$ invariant ($k > 0$.)

But the members of $|H_k|$ are distinguished, among vertices of K , by virtue of being in $|H_0|$ or being connected in K to at most n_k elements of $|H_0|$. Thus any automorphism of K which leaves $|H_0|$ invariant must also leave $|H_k|$ invariant, for each $k > 0$. That is, each automorphism of $H(=H_0)$ has a unique extension to an automorphism of $K \cong U$, completing the proof.

COROLLARY 3.2. *There is a maximal independent set of vertices $M \subset |U|$ such that every permutation of M extends uniquely to an automorphism of U .*

Proof. Let H be a graph with \aleph_0 vertices, no two connected, and carry out the construction in the proof of Theorem 3.1. Set $M = |H'| \subset |U|$ and note that every permutation of the set M is an automorphism of H' , and thus extends uniquely to an automorphism of U . Since $n_k > 0$ (for $k \geq 1$) each vertex in $|K| - |H|$ is connected to at least one member of $|H|$ in K . It follows that M is a maximal independent set of vertices in $|U|$ as desired.

To extend Theorem 3.1 to the homogeneous graphs G_p requires a modification of the construction given above. Fix $p \geq 3$ and let H be any graph, with $c(H) = \aleph_0$, which does not admit K_p . Construct a chain $\{H_k | k \geq 0\}$ by letting $H_0 = H$ and proceeding as above, except that $v(A, k)$ is a vertex in $|H_k| - |H_{k-1}|$ only when $A \cap |H_0|$ has n_k elements and $H_{k-1}|A$ does not admit K_{p-1} . (A any finite subset of $|H_{k-1}|$, $k \geq 1$.) Letting K be the union of the chain $\{H_k\}$, it is easy to see that the restriction on adding new vertices at each stage insures that K does not admit K_p . Moreover, the same argument as above shows that each automorphism of $H(=H_0)$ extends uniquely to an automorphism of K .

It is not always true, however, that K satisfies condition (A_p) . This difficulty can be overcome if we assume that H satisfies

(B) if $F_1 \subset |H|$ is finite, then there exists an infinite independent set $A \subset |H| - F_1$ such that no vertex in F_1 is connected in H to any vertex in A .

Assume now that H satisfies (B) and let F_1, F_2 be disjoint, finite subsets of $|K|$ such that $K|F_1$ does not admit K_{p-1} . Choose k large enough so that $F_1 \cup F_2 \subset |H_{k-1}|$ and $F_1 \cap |H_0|$ has at most n_k elements.

Let $F_3 \subset |H_0|$ consist of $F_1 \cap |H_0|$ together with every vertex in $|H_0|$ which is connected to some member of $F_1 - |H_0|$. Since F_1 is finite and each vertex in $|K| - |H_0|$ is connected to only finitely many members of $|H_0|$, it follows that F_3 is a finite set. Applying condition (B), there exists an infinite independent set A' in H_0 such that $A' \cap F_3 = \emptyset$ and no vertex in F_3 is connected in H_0 to any vertex in A' . In particular, $K|F_1 \cup A'$ does not admit K_{p-1} . Since A' is infinite, we may choose a set $B \subset (F_1 \cup A') \cap |H_0|$ such that $B \cap F_2 = \emptyset$, $F_1 \cap |H_0| \subset B$ and B has exactly n_k elements. Letting $A = F_1 \cup B$, it follows that $K|A$ does not admit K_{p-1} and $A \cap |H_0| = B$ has n_k elements. Thus $v(A, k)$ is a vertex in K which is connected to every member of F_1 and to no member of F_2 . That is, K satisfies condition (A_p) whenever H satisfies condition (B).

THEOREM 3.3. *Let $p \geq 3$ and suppose H is a graph with $c(H) = \aleph_0$ which does not admit K_p . Then there is an embedding of H onto an induced subgraph $H' \subset G_p$ such that each automorphism of H' extends uniquely to an automorphism of G_p .*

Proof. If H satisfies (B) then the proof has been given above. Otherwise, extend H to a graph H'' by adding a vertex v'' for each $v \in |H|$, connecting v'' only to v in H'' . Then $H \subset H''$ and H'' clearly does not admit K_p . If F_1 is a finite subset of $|H''|$ then letting $A = \{v'' | v \in |H| - F_1\} - F_1$ shows that H'' satisfies condition (B). Finally, note that each automorphism f of H extends uniquely to an automorphism of H'' (by setting $f(v'') = (f(v))''$.) The desired embedding of H into G_p is thus obtained by restricting to H an appropriate embedding of H'' into G_p .

COROLLARY 3.4. *For each $p \geq 3$ there exists a maximal independent set of vertices $M \subset |G_p|$ such that every permutation of M extends uniquely to an automorphism of G_p .*

Proof. Proceed as in the proof of Corollary 3.2, noting that the graph H with \aleph_0 vertices, no two connected, satisfies condition (B).

THEOREM 3.5. *Let G be U or G_p for some $p \geq 3$ and let*

$$a_1, \dots, a_n \in |G|.$$

There is an automorphism f of G which has a_1, \dots, a_n as its only fixed points.

Proof. Let H' be $G \setminus \{a_1, \dots, a_n\}$. Obtain H from H' by adding

a set $C = \{v_n \mid n \in Z\}$ of new vertices, but without adding any new edges. Obviously H can be embedded in G and H satisfies (B). Let $c(H') < n_1 < n_2 < \dots$ and using the sequence $\{n_k\}$ carry out the appropriate construction (as in the proof of Theorem 3.1 or Theorem 3.3.) We obtain a graph K which is isomorphic to G and satisfies $H \subset K$. Moreover, K has an automorphism f which satisfies $f(v) = v$ (if v is one of a_1, \dots, a_n) and $f(v_n) = v_{n+1}$ (if $n \in Z$). If $v = v(A, k)$ is any member of $|K| - |H|$, suppose $f(v) = v$. It follows that $f(A) = A$, and hence that $f(A \cap |H|) = A \cap |H|$. Now $A \cap |H|$ has $n_k > c(H')$ elements, so that $A \cap C \neq \emptyset$. Moreover, $f(A \cap C) = A \cap C$, which implies that $A \supset C$, contradicting the fact that A is a finite set. Thus f has no fixed points in $|K| - |H|$ and therefore has only a_1, \dots, a_n as fixed points. Finally note that there is an isomorphism g of K onto G so that $g(v) = v$ if $v \in \{a_1, \dots, a_n\}$. The automorphism $g \circ f \circ g^{-1}$ of G has as its fixed points only a_1, \dots, a_n , and is therefore the desired function.

4. It is well known that there are finite graphs of arbitrarily large chromatic number which do not admit K_3 (eg. [1].) Thus for each $p \geq 3$ the graph G_p has chromatic number \aleph_0 . This may be expressed by saying that if $|G_p| = A_1 \cup \dots \cup A_n$ then for some $j = 1, \dots, n$ $G_p|_{A_j}$ admits K_2 . The results of this section amount to a strengthening of this fact.

THEOREM 4.1. *Let $p \geq 3$ and suppose $|G_p| = A_1 \cup A_2$. Then either there exists $B \subset A_1$ such that $A_1 - B$ is finite and $G_p|_B \cong G_p$ or $G_p|_{A_2}$ admits every finite graph which does not admit K_p .*

Proof. Let A_1, A_2 be as above for G_p and suppose that the desired set B does not exist. Construct a sequence $\{(C_n, D_n) \mid n \geq 1\}$, where C_n, D_n are disjoint, finite subsets of A_1 (for each $n \geq 1$) as follows. Since $G_p|_{A_1}$ is not isomorphic to G_p , it fails to satisfy condition (A_p) . Thus there exist disjoint, finite subsets (C_1, D_1) of A_1 such that $G_p|_{C_1}$ does not admit K_{p-1} and every vertex in $|G_p|$ which is connected to every member of C_1 and to no member of D_1 lies in A_2 .

Assuming that $(C_1, D_1), \dots, (C_n, D_n)$ have been constructed, let $E_n = \bigcup \{C_j \cup D_j \mid j = 1, \dots, n\}$ so that E_n is a finite subset of A_1 . Since $G_p|_{A_1 - E_n}$ is not isomorphic to G_p there exist disjoint, finite subsets (C_{n+1}, D_{n+1}) of $A_1 - E_n$ such that $G_p|_{C_{n+1}}$ does not admit K_{p-1} and every vertex in $|G_p|$ which is connected to every member of C_{n+1} and to no member of D_{n+1} lies in $A_2 \cup E_n$.

Now let H be any finite graph which does not admit K_p and

suppose $|H| = \{a_1, \dots, a_n\}$. For convenience assume that $|H| \cap |G_p| = \emptyset$. Construct a graph G with vertex set $|G| = |H| \cup E_n$ so that $G|(|H|) = H$, $G|E_n = G_p|E_n$ and each a_j in $|H|$ is connected in G to every element of C_j and to no element of $E_n - C_j$. If $G|F \cong K_p$, then $F \cap |H| \neq \emptyset$ and $F \cap E_n \neq \emptyset$. Since each vertex in E_n is connected in G to at most one member of $|H|$ it follows that

$$F \cap |H| = \{a_j\} \text{ (for some } j = 1, \dots, n) \text{ and } F \cap E_n \subset C_j.$$

That is, $G|C_j (= G_p|C_j)$ admits K_{p-1} , which is a contradiction. Therefore G does not admit K_p .

Since G_p is homogeneous, there is an embedding f of G into G_p such that $f(v) = v$ for each $v \in E_n$. Therefore $f(a_j) \in E_n$ (for each $j = 1, \dots, n$) and $f(a_j)$ is connected in G_p to every vertex in C_j and to no vertex in D_j . By the construction of (C_j, D_j) it follows that $f(a_j) \in A_j$. That is, f maps H into $G_p|A_j$, showing that $G_p|A_j$ admits every finite graph which does not admit K_p .

COROLLARY 4.2. *Let $p \geq 3$ and suppose that $|G_p| = A_1 \cup \dots \cup A_n$. Then for some $j = 1, \dots, n$ the graph $G_p|A_j$ admits every finite graph which does not admit K_p .*

Proof. By induction on n , using Theorem 4.1.

We raise the question of whether or not the conclusion of Corollary 4.2 can be strengthened to read: " $G_p|A_j$ admits G_p , for some $j = 1, \dots, n$."

COROLLARY 4.2 is equivalent to the following result of Folkman [5] concerning finite graphs, which he proved by entirely different methods.

COROLLARY 4.3. (Folkman) *Let $p \geq 3$, $n \geq 2$ and suppose G is any finite graph which does not admit K_p . There exists a finite graph H , which also does not admit K_p , such that if $|H| = A_1 \cup \dots \cup A_n$, then for some $j = 1, \dots, n$, $H|A_j$ admits G .*

The proof of this equivalence is a standard application of (for example) König's Infinity Lemma, as in the proof of the Erdős-de Bruijn Theorem which states that an infinite graph G has chromatic number $\geq k$ if and only if it has a finite induced subgraph with chromatic number $\geq k$ ($k \in \mathbb{N}$). Thus the details will be omitted.

F. Galvin has raised the question of whether or not an "edge coloring" version of Corollary 4.3 holds when $p = 3$. (See [3] for a

discussion of this and related problems.) It seems possible that further investigation of G_3 might shed some light on this problem.

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