

## THE FUNDAMENTAL DIVISOR OF NORMAL DOUBLE POINTS OF SURFACES

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Let  $W$  be a surface with a normal singular point  $w$ . Consider the minimal resolution of that singularity,  $\pi: W' \rightarrow W$ . Let  $\pi^{-1}(w) = Y = Y_1 \cdots Y_d$ , where the  $Y_i$  are distinct irreducible curves on  $W'$ . We are interested in two divisors on  $W'$  both of which have support on  $Y$ . These divisors are  $Z$ , the fundamental divisor, and  $M$ , the divisor of the maximal ideal. In general  $Z \leq M$ . In this thesis we show that if  $w$  is a double point singularity which satisfies certain conditions, then  $Z = M$ .

**Introduction.** Let  $A$  denote a normal, two-dimensional local ring. For simplicity assume that the residue field,  $k$ , of  $A$  is algebraically closed. Let  $\pi: Y \rightarrow \text{Spec}(A)$  be a birational proper map with  $Y$  regular, i.e., a resolution of the singularity  $\text{Spec}(A)$ . Denote by  $m'$  the maximal ideal of  $A$ . Let  $\pi^{-1}(m') = Y_1 \cup \cdots \cup Y_d$ , where the  $Y_i$  are distinct irreducible curves on  $Y$ . Then, according to Artin [1, page 132] there is a unique smallest positive divisor  $Z$ , with support  $\bigcup_{i=1}^d Y_i$ , such that  $Z \cdot Y_i \leq 0$  for all  $i$ .  $Z$  is called the fundamental divisor. We also have the divisor of the maximal ideal,  $M$ , given by

$$M = \sum_{i=1}^d m_i Y_i,$$

where  $m_i = \min_{t \in m'} \{w_i(t)\}$  and  $w_i$  is the valuation determined by  $Y_i \subseteq Y$ . In general  $Z \leq M$ . Artin [1, Theorem 4] shows that if  $\text{Spec}(A)$  has a rational singularity, then  $Z = M$  on every resolution. Laufer [4, Theorem 3.13] proves that if  $\text{Spec}(A)$  has a minimally elliptic double point singularity, then  $Z = M$  on every resolution. Laufer also gives examples of double point singularities for which  $Z < M$ . His surfaces have defining equation  $z^2 = f(x, y)$ , where  $f(x, y) \in k[[x, y]]$ ,  $f(0, 0) = 0$ , and  $f(x, y)$  is reducible at  $(0, 0)$ .

In this paper we show that if  $f(x, y)$  has even order or if  $f(x, y)$  has odd order and is irreducible at  $(0, 0)$ , then  $Z = M$  on the minimal resolution of  $z^2 = f(x, y)$ . In §1 we give a method for obtaining a specific resolution of  $\text{Spec}(A)$  [3]. In §2 we perform some necessary computations with  $Z$  and  $M$ , and in §3 we give the proofs of the theorems.

1. Methods for resolving double point singularities. Let  $A$

be a noetherian, complete, two-dimensional, equicharacteristic (not two), normal, local domain of multiplicity two. Assume that the residue field,  $k$ , of  $A$  is algebraically closed. One has the following characterization of  $A$ .

PROPOSITION 1. *With  $A$  as above, we have that*

$$A \cong \frac{k[[x, y, T]]}{(T^2 - f(x, y))},$$

where  $f(x, y) \in k[[x, y]]$ ,  $f(0, 0) = 0$ , and  $f(x, y)$  has no multiple factors.

*Proof.* According to [9, Ch. VIII, Theorem 22 and Theorem 24, Corollary 2]  $A$  is a finite module over  $k[[x, y]]$  and  $[A: k[[x, y]]] = 2$ , where  $\{x, y\}$  is a system of parameters of  $A$ . Let  $L$  be the quotient field of  $A$  and  $K$  be the quotient field of  $k[[x, y]]$ . Then  $[L: K] = 2$  and there exists an element  $z \in K$  such that  $L = K(z)$  and  $z^2 = f(x, y) \in k[[x, y]]$ . Without loss of generality we may assume that  $f(x, y)$  has no multiple factors. It is easy to see that the integral closure of  $k[[x, y]]$  in  $L$  is  $k[[x, y, z]]$ . In fact, let  $\alpha + \beta z$  be an element of  $L$  which is integral over  $k[[x, y]]$ . Then  $\text{Trace}(\alpha + \beta z) = 2\alpha \in k[[x, y]]$  and  $\text{Norm}(\alpha + \beta z) = \alpha^2 + \beta^2 f(x, y) \in k[[x, y]]$ , which imply that  $\alpha$  and  $\beta$  are elements of  $k[[x, y]]$ . But the fact that  $A$  is normal and integral over  $k[[x, y]]$  implies that  $A$ , too, is the integral closure of  $k[[x, y]]$  in  $L$ . Also, since  $A$  is local,  $f(0, 0) = 0$  [8, Ch. V, Theorem 34].

We wish to obtain a resolution of the singularity of the surface  $\text{Spec}(A)$ . Thus we wish to find a nonsingular surface  $W$  and a proper map  $\pi: W \rightarrow \text{Spec}(A)$  such that  $\pi$  induces an isomorphism between  $W - \pi^{-1}(m')$  and  $\text{Spec}(A) - m'$ , where  $m'$  denotes the maximal ideal of  $A$ .

Let  $R = k[[x, y]]$  and let  $m$  denote the maximal ideal of  $R$ . Let  $\phi: V \rightarrow \text{Spec}(R)$  be a proper birational map obtained by successively belonging up closed points. Let  $\phi^{-1}(m) = X = X_1 \cup \cdots \cup X_n$ , where the  $X_i$  are distinct irreducible curves on  $V$ . Let  $D$  be the divisor of  $f(x, y)$  on  $V$ . Then  $D = D_1 + D_2$ , where  $D_1$  has support in  $X$  and  $D_2$  does not involve any  $X_i$ . It is well known that we can find  $V$  so that  $(D_1)_{\text{red}} = \sum_{i=1}^n X_i$  has only normal crossings and  $D_2$  is nonsingular. Each  $X_i \subseteq V$  gives rise to a valuation  $x_i$  on the function field of  $V$ . Call  $X_i$  an odd (even) curve if  $v_i(f(x, y))$  is odd (even). Suppose  $X_i$  and  $X_j (i \neq j)$  are both odd curves such that  $X_i \cdot X_j = 1$ . Let us blow up the point of intersection of  $X_i$  and  $X_j$ . Then we obtain an even curve  $E$  such that  $E \cdot \bar{X}_i = E \cdot \bar{X}_j = 1$  and  $\bar{X}_i \cdot \bar{X}_j = 0$ , where  $\bar{X}_i$  and  $\bar{X}_j$  are the proper transforms of  $X_i$  and  $X_j$ . Thus

we may assume that no two odd curves meet.

Now let  $V'$  be the normalization of  $V$  in  $L$ . Then we get the following commutative diagram:

$$\begin{array}{ccc}
 \text{Spec}(A) & \xleftarrow{\pi} & V' \\
 \downarrow & & \downarrow g \\
 \text{Spec}(A) & \xleftarrow{\phi} & V
 \end{array}$$

(\*)

We claim that  $\pi$  is a resolution of  $\text{spec}(A)$ , i.e., that  $V'$  is non-singular. This follows easily from Proposition 1. In fact, let  $S$  be the local ring of a point on  $V$ . Let  $f(x, y)S = \alpha u^a v^b$ , where  $\{u, v\}$  is a regular system of parameters for  $S$  and  $\alpha$  is a unit. Then  $S'$ , the integral closure of  $S$ , is also the integral closure of  $S[z]$ , where  $z^2 = f(x, y) = \alpha u^a v^b$ . Hence  $S' = S[z']$ , where  $(z')^2 = \alpha u^{a'} v^{b'}$ ,  $0 \leq a', b' \leq 1$ ,  $a \equiv a' \pmod{2}$ , and  $b \equiv b' \pmod{2}$ . Thus  $S'$  is regular.

Let  $m'$  denote the maximal ideal of  $A$ . Note that  $\pi^{-1}(m') = g^{-1}\phi^{-1}(m) = g^{-1}(X)$ . Thus, to find the irreducible components of  $\pi^{-1}(m')$  we must see how the curves  $X_i \subseteq V$  behave under normalization. The rules are as follows and are easily deduced from the above description of  $S'$ .

(1) If  $X_i$  is an odd curve, then its reduced inverse image in  $V'$  is an isomorphic copy of  $X_i$ . This is because each point of  $X_i$  has just one point lying above it in  $V'$  (check locally).

(2) If  $X_i$  is an even curve meeting no odd curves, then in  $V'$ ,  $X_i$  splits into two disjoint copies of itself. This follows because  $X_i \cong \mathbf{P}^1$  and the ramification points of  $X_i$  are precisely the points of intersection of  $X_i$  with odd curves. Note that  $N = 2g + 2$ , where  $N$  is the number of ramification points of  $X_i$  and  $g$  is the genus of the inverse image of  $X_i$  in  $V'$ .

(3) If  $X_i$  is an even curve meeting some odd curves, then the inverse image of  $X_i$  in  $V'$  is a two fold branched cover of  $X_i$ . This again follows from the local algebra. In this case, each even curve must meet an even number of odd curves. This follows from the formula  $N = 2g + 2$ .

Note that if  $X_i$  is an even curve in  $X$  meeting at most three other curves, then the inverse image of  $X_i$  in  $V'$  is rational.

We wish to determine the self-intersection numbers of the inverse images of the  $X_i$  from the numbers  $(X_i^2)$ . The rules are as follows.

(1) If  $X_i$  is an odd curve, then the self-intersection number of the inverse image of  $X_i$  in  $V'$  is  $(X_i^2)/2$ .

(2) If  $X_i$  is an even curve meeting no odd curves, then in  $V'$  each component of the inverse image of  $X_i$  has self-intersection

number equal to  $(X_i^2)$ .

(3) If  $X_i$  is an even curve which meets some odd curves, then the self-intersection number of the inverse image of  $X_i$  in  $V'$  is  $2(X_i^2)$ .

Let us prove rule one (the proofs of the other two rules are similar). Let  $Z_i$  denote the inverse image of  $X_i$ . Let  $g$  be as in diagram (\*),  $g_{Z_i}$  be  $g$  restricted to  $Z_i$ ,  $i_{X_i}: X_i \rightarrow V$  and  $i_{Z_i}: Z_i \rightarrow V'$  be inclusions, and let  $\mathcal{O}_V$  and  $\mathcal{O}_{V'}$  denote structure sheaves. Then

$$\begin{aligned} 2(Z_i \cdot Z_i) &= (2Z_i \cdot Z_i) = \deg i_{Z_i}^*(\mathcal{O}_{V'}(2Z_i)) \\ &= \deg i_{Z_i}^* g^*(\mathcal{O}_V(X_i)) = \deg g_{Z_i}^* i_{X_i}^*(\mathcal{O}_V(X_i)) \\ &= \deg i_{X_i}^*(\mathcal{O}_V(X_i)) = (X_i^2). \end{aligned}$$

See [5, Ch. IV, §13] for details.

Note that  $m'\mathcal{O}_{V'}$  is locally principal.

**2. Definitions and computations.** Let  $\pi: V' \rightarrow \text{Spec}(A)$  be as before and let  $\pi^{-1}(m') = X'_1 \cup \dots \cup X'_s$ , where the  $X'_i$  are distinct irreducible curves on  $V'$ . Let  $a_i = \min_{t \in m} \{v_i(t)\}$  and let  $a'_i = \min_{u \in m'} \{v'_i(u)\}$ , where  $v_i$  and  $v'_i$  are the valuations determined by  $X_i \subseteq V$  and  $X'_i \subseteq V'$ . Define a divisor  $M$  on  $V'$  by:

$$M = \sum_{i=1}^s a'_i X'_i.$$

$M$  is called the divisor of the maximal ideal. The  $a'_i$  can be computed from the  $a_i$  as follows. If  $X_i$  is an odd curve and  $X'_j$  is the reduced inverse image of  $X_i$ , then  $a'_j = 2a_i$ . If  $X_i$  is an even curve meeting some odd curves and  $X'_j$  is the inverse image of  $X_i$ , then  $a'_j = a_i$ . Finally, if  $X_i$  is an even curve meeting no odd curves and if the inverse image of  $X_i$  is  $X'_j \cup X'_l$ , then  $a'_j = a'_l = a_i$ . The proofs of these rules are straightforward.

On the other hand, there is another important divisor on  $V'$  called the fundamental divisor, which we denote by  $Z$ . As in Artin [1, page 132],  $Z$  is the unique positive divisor on  $V'$  such that:

- (1)  $Z \cdot X'_i \leq 0$ , for every  $i$ ,
- (2) if  $C$  is a divisor such that  $C \cdot X'_i \leq 0$  for every  $i$ , then  $Z \leq C$ .

Let  $R$  be a normal two-dimensional local ring with maximal ideal  $q$ . For simplicity, assume that the residue field of  $R$  is algebraically closed. Let  $\beta: Y \rightarrow \text{Spec}(R)$  be a resolution of  $\text{Spec}(R)$ . Let  $\beta^{-1}(q) = Y_1 \cup \dots \cup Y_d$ , where the  $Y_i$  are distinct irreducible curves. Then in this general setting  $M$  and  $Z$  are defined as above and we have the following propositions.

PROPOSITION 2. *If  $Z, M, R, q$ , and  $Y_1 \cup \dots \cup Y_d$  are as above, then  $Z \leq M$ .*

*Proof.* We show that  $M \cdot Y_j \leq 0$  for every  $j$ . Let  $w_j$  denote the valuation determined by  $Y_j \subseteq Y$ . Clearly if  $M = \sum_{i=1}^d m_i Y_i$ , then  $m_i = \min \{w_i(f_1), \dots, w_i(f_r)\}$ , where the minimum is taken over a basis  $f_1, \dots, f_r$  of  $q$ . Denote the divisor of  $f_i$  on  $Y$  by  $(f_i)$ . Then  $(f_i) = F_i + G_i$ , where  $F_i$  is a linear combination of the  $Y_j$  and  $G_i$  involves no  $Y_j$ . We obtain

$$0 = (f_i) \cdot Y_j = F_i \cdot Y_j + G_i \cdot Y_j .$$

Now  $G_i \cdot Y_j \geq 0$ , so  $F_i \cdot Y_j \leq 0$ . Let  $F_i = \sum_{l=1}^s b_{il} Y_l$ . Then

$$M = \min (F_1, \dots, F_r) = \sum_{l=1}^s \left( \min_{i=1, \dots, r} \{b_{il}\} \right) Y_l$$

and so  $M \cdot Y_j \leq 0$  [1, page 131].

PROPOSITION 3 [6, Lemma 2.8]. *Let  $C_1$  and  $C_2$  be two divisors on  $Y$  with support in  $\bigcup_{i=1}^d Y_i$ . Assume that  $C_1 \cdot Y_j \leq 0$  for every  $j$  and that  $C_1 \leq C_2$ . Then  $(C_1^2) \geq (C_2^2)$  and  $C_1 = C_2$  if and only if  $(C_1^2) = (C_2^2)$ .*

*Proof.* Let  $C_1 + B = C_2$ . Then

$$(C_2^2) = (C_1^2) + 2C_1 \cdot B + B^2 \leq (C_1^2)$$

since  $C_1 \cdot B \leq 0$  and  $B^2 \leq 0$ . If  $(C_1^2) = (C_2^2)$ , then  $C_1 \cdot B \leq 0$  implies that  $B^2 = 0$ . Thus  $B = 0$  since the intersection matrix for the  $Y_j$ 's is negative definite.

Let us also prove a lemma which will be useful in §3.

LEMMA 1. *Let  $h: Y' \rightarrow Y$  be the blow up of  $p \in Y$ , with  $\beta(p) = q$ . Let  $M_Y$  and  $M_{Y'}$  denote the divisors of the maximal ideal on  $Y$  and  $Y'$ . Then  $h^{-1}(M_Y) \leq M_{Y'}$ .*

*Proof.* Let  $D = h^{-1}(p)$  and  $h^{-1}(Y_i) = Y'_i + n_i D$ . Certainly the coefficients of  $Y'_i$  in  $h^{-1}(M_Y)$  and  $M_{Y'}$  are equal. Let  $\mathcal{O}_p$  denote the local ring of  $p$  on  $Y$ . Then  $q\mathcal{O}_p = t\mathfrak{a}_p$ , where  $\mathfrak{a}_p$  is an ideal primary for the maximal ideal of  $\mathcal{O}_p$  and  $t$  is a local equation of  $M_Y$  at  $p$ . Let  $v_D$  denote the valuation determined by  $D$ . Then

$$v_D(q) = v_D(t) + v_D(\mathfrak{a}_p) ,$$

and since, at  $D$ ,  $h^{-1}(M_Y)$  has coefficient  $v_D(t)$  and  $M_{Y'}$  has coefficient  $v_D(q)$ , we have proved the lemma. Note that  $q\mathcal{O}_Y$  is invertible if and only if  $h^{-1}(M_Y) = M_{Y'}$ .

Let us now return to the case of surface singularities of multiplicity two. We wish to determine the possible values for the two integers  $Z^2$  and  $M^2$  on a resolution of  $\text{Spec}(A)$ , where  $A$  is as in §1 and  $A$  has maximal ideal  $m'$ . Let  $\beta: Y \rightarrow \text{Spec}(A)$  be any resolution of  $\text{Spec}(A)$  and let  $\beta^{-1}(m') = Y_1 \cup \cdots \cup Y_d$ , where the  $Y_i$  are distinct irreducible curves. By [6, Theorem 2.7] if  $m'_{\mathcal{O}_Y}$  is locally principal, then  $M^2 = -2$  on  $Y$ . If  $m'_{\mathcal{O}_Y}$  is not locally principal, then consider a resolution  $\alpha: W \rightarrow \text{Spec}(A)$  such that  $m'_{\mathcal{O}_W}$  is locally principal ( $V'$  for example), with  $\lambda: W \rightarrow Y$ . Denote the divisor of the maximal ideal on  $W$  by  $M'$ . Lemma 1 and the remark following it then imply that  $\lambda^{-1}(M) < M'$ . But then Proposition 3 implies that

$$0 > M^2 = (\lambda^{-1}(M))^2 > (M')^2 = -2$$

and thus  $M^2 = -1$ . Combining the two above cases we obtain that  $-2 \leq M^2 < 0$  for any resolution of  $\text{Spec}(A)$ . Propositions 2 and 3 then imply that  $-2 \leq Z^2 < 0$ . These bounds for  $Z^2$  and  $M^2$  give us the following corollary to Proposition 3.

**COROLLARY.** *With  $Z$  and  $M$  as above, if  $M^2 = -1$ , then  $Z = M$ .*

*Proof.*  $Z^2 \geq M^2 = -1$  implies that  $Z^2 = -1$ . Proposition 3 then implies that  $Z = M$ .

Note that  $m'_{\mathcal{O}_Y}$  is not invertible in the above corollary since  $m'_{\mathcal{O}_Y}$  is invertible if and only if  $M^2 = -2$ .

Let us make the following two remarks. If  $Z^2 = -2$  on some resolution, then  $Z^2 = -2$  on every resolution [6, Proposition 2.9] and hence  $Z = M$  on every resolution by Proposition 3. Again using Proposition 3, if  $Z < M$  on some resolution, then we must have that  $M^2 = -2$  and  $Z^2 = -1$ .

We need the following general proposition.

**PROPOSITION 4.** *Let  $Z$  be the fundamental divisor for a resolution of  $\text{Spec}(R)$ , where  $R$  is as in Proposition 2. Let  $Y = Y_1 \cup \cdots \cup Y_d$  be the support of  $Z$ , with  $Y_i$  distinct irreducible curves. Let  $Z = \sum_{i=1}^d r_i Y_i$  and let  $B = \sum_{i=1}^d b_i Y_i$  be a divisor whose support is contained in  $Y$ , where  $b_i \geq 0$  for all  $i$ . Suppose that  $Z^2 = -1$ ,  $B^2 = -2$ , and  $B \cdot Y_i \leq 0$  for every  $i$ . Then the following two conditions hold.*

(1) *There exists a unique integer  $i_0$  such that  $Z \cdot Y_{i_0} = -1$ ,  $r_{i_0} = 1$ , and  $Z \cdot Y_j = 0$  for  $j \neq i_0$ .*

(2) *There exists a unique integer  $k_0$  such that  $B \cdot Y_{k_0} = -1$ ,  $b_{k_0} = 2$ , and  $B \cdot Y_j = 0$  for  $j \neq k_0$ .*

*Proof.* To prove part one we compute with  $Z$  as follows:

$-1 = Z \cdot Z = \sum_{j=1}^s r_j(Y_j \cdot Z)$ . Noting that  $Y_j \cdot Z \leq 0$  for all  $i$  and that  $r_j > 0$  for all  $j$  [1, page 132], we obtain part one. To prove part two we compute with  $B$ :

$$-2 = B \cdot B = \sum_{i=1}^s b_i(Y_i \cdot B) .$$

Since  $Y_i \cdot B \leq 0$  for all  $i$  and  $b_i \geq 0$  for all  $i$ , we have three cases.

*Case 1.* There exists an integer  $k_0$  such that  $B \cdot Y_{k_0} = -2$ ,  $b_{k_0} = 1$ , and  $B \cdot Y_j = 0$  for  $j \neq k_0$ .

*Case 2.* There exist two distinct integers  $k_0$  and  $l_0$  such that  $B \cdot Y_{k_0} = B \cdot Y_{l_0} = -1$ ,  $b_{k_0} = b_{l_0} = 1$ , and  $B \cdot Y_j = 0$  for  $j \neq k_0, l_0$ .

Case 3 is part two of the present proposition.

We will show that Cases 1 and 2 cannot occur. First we need a computation. Since  $Z < B$ , let  $Z' \neq 0$  be a divisor such that  $B = Z + Z'$ . Then

$$-2 = B^2 = Z^2 + 2Z \cdot Z' + (Z')^2 ,$$

and thus

$$-1 = 2Z \cdot Z' + (Z')^2 .$$

Since  $(Z')^2 < 0$ , and  $Z \cdot Z' \leq 0$ , we must have that  $Z \cdot Z' = 0$ . But then

$$B \cdot Z = Z^2 + Z \cdot Z' = -1 .$$

Now it is easy to prove that Cases 1 and 2 are impossible. In fact, for Case 1 we obtain

$$-1 = B \cdot Z = \sum_{j=1}^d r_j(Y_j \cdot B) = -2r_{k_0} ,$$

and so  $r_{k_0} = 1/2$  which is impossible. For Case 2 we compute similarly:

$$-1 = B \cdot Z = \sum_{j=1}^d r_j(Y_j \cdot B) = -r_{k_0} - r_{l_0} .$$

Thus  $r_{k_0} + r_{l_0} = 1$  which is impossible since  $r_j \geq 1$  for all  $j$  [1, page 132]. This completes the proof of Proposition 4.

Under the assumptions of Proposition 4 we can also obtain the following information. The computation

$$-1 = B \cdot Z = \sum_{j=1}^d b_j(Y_j \cdot Z) = -b_{i_0}$$

yields  $b_{i_0} = 1$ . Also, since  $b_{k_0} = 2$  we have that  $i_0 \neq k_0$ .

**COROLLARY.** *Suppose that the hypotheses of Proposition 4 are satisfied with  $B = M$  (i.e., assume that  $Z < M$  on the resolution). Assume that  $Y_{k_0}$  is rational and  $(Y_{k_0}^2) = -1$ . Let  $\alpha: Y \rightarrow V_0$  be the map obtained by blowing down  $Y_{k_0}$ . Let  $M_0$  be the divisor of the maximal ideal on  $V_0$  and let  $Z_0$  be the fundamental divisor on  $V_0$ . Then  $Z_0 = M_0$ .*

*Proof.* We have that  $\alpha^{-1}(M_0) \cdot Y_{k_0} = 0$ , and thus  $\alpha^{-1}(M_0) < M$  by Lemma 1 and the remark following it. Then

$$M_0^2 = (\alpha^{-1}(M_0))^2 > M^2 = -2$$

by Proposition 3. Thus  $M_0^2 = -1$  and we have that  $Z_0 = M_0$  by the corollary to Proposition 3.

**3. Statements and proofs of the theorems.** The purpose of this section is to prove that  $Z$  equals  $M$  in the minimal resolution of certain double points of surfaces, among which are those in whose defining equation  $z^2 = f(x, y)$ ,  $f(x, y)$  is irreducible. We will show, for these double points, that  $Z$  equals  $M$  either in the resolution  $V'$  described in §1 or in the resolution obtained by blowing down a certain curve on  $V'$ . Note that  $M$  is locally principal on  $V'$ , so that  $Z = M$  on  $V'$  if and only if  $Z^2 = -2$ , and in that case  $Z = M$  on every resolution. Now the minimal resolution can be obtained from  $V'$  by a succession of blowing downs [2, 7]. Hence the following proposition will imply that if  $Z$  equals  $M$  on some resolution then  $Z = M$  on the minimal one.

**PROPOSITION 5.** *Let  $R$  be a normal two-dimensional local ring with algebraically closed residue field and maximal ideal  $q$ . Suppose  $\lambda: Y \rightarrow \text{Spec}(R)$  is a resolution of the singularity of  $\text{Spec } R$ . Let  $h: Y' \rightarrow Y$  be the blow up of  $p \in Y$ , with  $\lambda(p) = q$ . Let  $M_Y$  and  $M_{Y'}$  denote the divisors of the maximal ideal on  $Y$  and  $Y'$ , and let  $Z_Y$  and  $Z_{Y'}$  denote the fundamental divisors on  $Y$  and  $Y'$ . If  $M_{Y'} = Z_{Y'}$ , then  $M_Y = Z_Y$ .*

*Proof.* Let  $Y_1, \dots, Y_d$  be the irreducible components of  $\lambda^{-1}(q)$ . Let  $D = h^{-1}(p)$  and  $h^{-1}(Y_i) = Y'_i + n_i D$ . Then  $h^{-1}(M_Y) \cdot Y'_i = M_Y \cdot Y_i \leq 0$  for all  $i$  [6, page 421]. Therefore  $Z_{Y'} \leq h^{-1}(M_Y)$  by the definition of  $Z_{Y'}$ .

Lemma 1 of §2 implies that  $h^{-1}(M_Y) \leq M_{Y'}$ . Combining the above two inequalities we obtain

$$Z_{Y'} \leq h^{-1}(M_Y) \leq M_{Y'} .$$

But by assumption  $Z_{Y'} = M_{Y'}$ , and thus  $h^{-1}(M_Y) = Z_{Y'}$ . Now [6, Proposition 2.9] shows that  $Z_{Y'} = h^{-1}(Z_Y)$ , and thus  $h^{-1}(M_Y) = h^{-1}(Z_Y)$ , which implies that  $M_Y = Z_Y$ .

We now commence to prove that  $Z$  equals  $M$  on  $V'$  for certain double points.

**THEOREM 1.** *Let  $f(x, y) \in k[[x, y]]$  be as in Proposition 1. Suppose that  $f(x, y)$  has even order. Then on  $V'$  we have that  $Z$  equals  $M$  (and hence  $Z$  equals  $M$  on every resolution of  $z^2 = f(x, y)$ ).*

*Proof.* Recall that  $\phi: V \rightarrow \text{Spec}(k[[x, y]])$  is obtained by successively blowing up closed points. In the first blowing up (the blowing up of  $m$ , the maximal ideal of  $k[[x, y]]$ ) we obtain a curve which is the inverse image of  $m$ . This curve also has an inverse image in  $V$ , and we call it  $X_1$ . Let  $M$  and  $M_1$  denote the divisors of the maximal ideals  $m'$  and  $m$  on  $V'$  and  $V$ . Recall that  $M_1 = \sum_{i=1}^n a_i X_i$  and  $M = \sum_{i=1}^s a'_i X'_i$ , where

$$a_i = \min_{t \in m} \{v_i(t)\}$$

and

$$a'_i = \min_{u \in m'} \{v'_i(u)\} ,$$

with  $v_i$  and  $v'_i$  denoting the valuations determined by  $X_i \subseteq V$  and  $X'_i \subseteq V'$ . Then  $X_1$  is an even curve and  $M_1 \cdot X_1 = -1$ . If  $X_1$  meets no odd curves in  $X$ , then  $g^{-1}(X_1)$  is a disjoint union of two curves isomorphic to  $X_1$  and the intersection number of  $M$  with each of these curves is  $-1$ . But this condition is incompatible with  $Z < M$  by Proposition 4. If  $X_1$  meets some odd curves, then we have that  $M_1 \cdot X_1 = -1$  and  $a_1 = 1$ . Let  $X'_1 = g^{-1}(X_1)$ . Then  $M \cdot X'_1 = -2$  and  $a'_1 = 1$ , which, again, is incompatible with  $Z < M$  by Proposition 4.

If  $f(x, y)$  has odd order, then Theorem 1 does not hold in general. In fact, if  $f(x, y) = y(x^4 + y^6)$ , then in the minimal resolution of  $z^2 = f(x, y)$  we have that  $Z < M$ . This example was given by Henry B. Laufer. Notice however that  $f(x, y) = y(x^4 + y^6)$  is reducible. If we assume that  $f(x, y)$  is irreducible at  $(0, 0)$ , then we can prove that  $Z = M$  in the minimal resolution.

**THEOREM 2.** *Let  $f(x, y) \in k[[x, y]]$  be as in Proposition 1. Suppose that  $f(x, y)$  has odd order and is irreducible at  $(0, 0)$ . Then  $Z$  equals  $M$  on the minimal resolution of  $z^2 = f(x, y)$ .*

*Proof.* Let  $X_1$  be as in the proof of Theorem 1 and let  $X_c$  be defined similarly as curves and on  $V$  for  $c = 2, \dots, n$ . Then  $X_1$  is an odd curve and we set  $X'_1 = (g^{-1}(X_1))_{red}$ . We have two cases to consider.

(1) Suppose that the first quadratic transform of  $f(x, y)$  has the same multiplicity as  $f(x, y)$ . Then on  $V$  we have that  $X_1 \cdot X_2 = 1$  and  $X_1 \cdot X_j = 0$  for  $j > 2$ . Thus  $(X_1^2) = -2$  and so  $(X'_1)^2 = -1$  since  $X_1$  is an odd curve. Note also that  $X'_1$  is rational since  $X_1$  is odd. Thus we can apply the corollary to Proposition 4 ( $k_0 = 1$ ).

Let us make two remarks here before continuing with the proof. Since  $f(x, y)$  is irreducible at  $(0, 0)$  it is easy to see that  $X_i$  is rational for all  $i$ . This follows because it can be shown that each  $X_i$  meets at most 3 other curves in  $X$  and thus the genus of an even curve meeting some odd curves is  $(N - 2)/2$ , where  $N$  must be 2. Also note that the proof of Case 1 above still holds if we assume instead that some quadratic transform of  $f(x, y)$  has the same multiplicity as  $f(x, y)$ , where  $f(x, y)$  is not necessarily irreducible at  $(0, 0)$ .

(2) Suppose the first quadratic transform of  $f(x, y)$  does not have the same multiplicity as  $f(x, y)$ . Assume that  $Z < M$  on  $V'$ . Then Proposition 4 shows that there exists an integer  $i_0$  such that  $Z \cdot X'_{i_0} = -1$ ,  $Z \cdot X'_j = 0$  for  $j \neq i_0$ , and  $a'_{i_0} = 1$ . It is clear from the definition of the integers  $a_i$  that  $a_1 = a_2 = 1$  and  $a_i > 1$  for  $i > 2$ . We have two possibilities to check. Suppose that  $X_2$  is an odd curve. Let  $X'_2 = (g^{-1}(X_2))_{red}$ . Then since  $X_1$  and  $X_2$  are odd curves we have that  $a'_1 = a'_2 = 2$  and  $a'_i \geq 2$  for  $i > 2$ . This contradicts Proposition 4 since  $a'_{i_0}$  must be 1. Now suppose that  $X_2$  is an even curve. Since  $f(x, y)$  is irreducible it can easily be checked that  $X_2$  meets only one other curve in  $X$ . In fact, if  $(X_2^2) = -c$ , then  $X_2$  meets only  $X_{c+1}$ . This curve cannot be odd since each even curve meets an even number of odd curves, as stated in §1. Thus  $X_2$  meets no odd curves and so  $g^{-1}(X_2)$  consists of two disjoint isomorphic copies of  $X_2$ , say  $X'_2$  and  $X'_3$ . Now  $a'_1 = 2$  and  $a'_i \geq 2$  for  $i > 3$ . Thus, since  $a'_{i_0} = 1$ ,  $i_0$  must be either 2 or 3. But if  $Z$  has nonzero intersection number with one of  $X'_2$  and  $X'_3$ , then it must have it with the other. In fact, the automorphism of  $L = K(z)$  given by  $z \mapsto -z$  leaves  $Z$  fixed and interchanges  $X'_2$  and  $X'_3$ . Thus we have a contradiction since Proposition 4 insists that  $i_0$  must be unique.

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