

## ON THE PROJECTIVE NORMALITY OF SOME VARIETIES OF DEGREE 5

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**We give some sufficient conditions for projective normality of complete non-singular varieties of degree five. And we prove that every complete non-singular surface of degree five embedded by a complete linear system is projectively normal.**

**Introduction.** Let  $X$  be a complete non-singular variety over an algebraically closed field, and let  $L$  be an ample line bundle on  $X$ . The classification of some  $(X, L)$  is found in Fujita's papers (Fujita [1], [2], [3], [4]). In this paper, we consider the projective normality of  $(X, L)$  and the defining equations. This problem is trivial in the case of  $(D^n) = 1, 2$  where  $n = \dim X$  and  $\mathcal{L} = \mathcal{O}(D)$ . If  $(D^n) = 3$ , then  $(X, L)$  is projectively normal and the ideal is generated by degree 2 and 3 (X.X.X. [11]). If  $(D^n) = 4$ , then  $(X, L)$  is projectively normal and the ideal is generated by degree 2 and 3 (Swinnerton-Dyer [10]). So we consider the case of  $(D^n) = 5$ . In this paper we give some sufficient conditions for projective normality of varieties of degree 5 and give the generator of the defining ideal. The main part of this paper is the case of  $(D^n) = 5$  and  $\Delta(X, L) = 2$  (other cases are clearly obtained by Fujita's theory). This is a non-degenerate and non-singular variety of codimension 2 in some projective space  $\mathbb{P}^N$ . On the other hand, the following conjecture is known as a conjecture of Hartshorne.

*Conjecture (cf. Hartshorne [6]).* If  $X \subset \mathbb{P}^N$  is a non-singular closed subvariety and  $\dim X > 2N/3$ , then  $X$  is a complete intersection.

If this conjecture is true, then we obtain that every non-degenerate and non-singular variety which is degree 5 and codimension 2 is not contained in  $\mathbb{P}^N$  for  $N \geq 7$ . As every non-singular variety is projectively normal if it is a complete intersection, therefore the results in this paper are recognized as a step to prove the above conjecture. Throughout this paper, variety means a complete non-singular variety.

*Notations.*

$(D_1 \cdot \cdots \cdot D_n)$ : The intersection number of divisors  $D_1, \dots, D_n$  on a variety  $X$  where  $n = \dim X$ .

$\mathcal{O}_X$ : The structure sheaf of a variety  $X$ .

$L_Y$ : The restriction of a line bundle  $L$  to a subscheme  $Y$ .

$H^i(X, \mathcal{F})$ : The  $i$ th cohomology group of a sheaf  $\mathcal{F}$ .

$h^i(X, \mathcal{F})$ : The dimension of  $H^i(X, \mathcal{F})$  as a vector space.

$|D|$ : The complete linear system defined by a divisor  $D$ .

$\phi_{|D|}$ : The rational map defined by  $|D|$ .

$\mathcal{L}$ : The invertible sheaf associated to a line bundle  $L$ .

$\mathcal{O}(D)$ : The invertible sheaf associated to a divisor  $D$ .

$\mathbb{P}(E)$ : The projective bundle defined by a vector bundle  $E$ .

$K_X$ : The canonical divisor on a non-singular variety  $X$ .

$\mathcal{O}_X(k)$ : The sheaf  $\mathcal{O}_X \otimes \mathcal{O}_{\mathbb{P}^n}(k)$  for a projective variety  $X$  embedded in  $\mathbb{P}^n$ .

**1. Preliminary.** We give several theorems from Fujita's theory.

**DEFINITION ([2]).** Let  $X$  be a non-singular variety and let  $L$  be an ample line bundle. We define a  $\Delta$ -genus of  $(X, L)$  by

$$\Delta(X, L) = (D^n) + n - h^0(X, L)$$

where  $n = \dim X$  and  $L = \mathcal{O}(D)$ .

The above pair  $(X, L)$  is called a polarized non-singular variety.

**DEFINITION ([8]).** Let  $(X, L)$  be a polarized non-singular variety. We say that  $L$  is normally generated if

$$H^0(X, \mathcal{L})^{\otimes k} \rightarrow H^0(X, \mathcal{L}^{\otimes k})$$

is surjective for any positive integer  $k$ . And in this case, we call  $(X, L)$  projectively normal.

**DEFINITION ([2]).** Let  $(X, L)$  be a polarized non-singular variety and set  $L = \mathcal{O}(D)$ . Let  $V$  be a reduced irreducible non-singular member of  $|D|$  (if there exists). We call  $V$  a regular member if

$$H^0(X, \mathcal{L}) \rightarrow H^0(V, \mathcal{L}_V)$$

is surjective.

**DEFINITION ([2]).** Let  $(X, L)$  be a polarized non-singular variety. We define  $g(X, L)$  by

$$2g(X, L) - 2 = ((K_X + (n - 1)D) \cdot D^{n-1})$$

where  $L = \mathcal{O}(D)$  and  $n = \dim X$ . We call this  $g(X, L)$  a sectional genus of  $(X, L)$ .

If  $L$  is very ample, then this  $g(X, L)$  is the genus of the generic curve section of  $X$  in the projective embedding defined by  $L$ .

**THEOREM A ([2]).** *Let  $(X, L)$  be a polarized non-singular variety. If  $V$  is a reduced irreducible non-singular member of  $|D|$  where  $\mathcal{L} = \mathcal{O}(D)$ , then  $\Delta(V, L_V) \leq \Delta(X, L)$ . Moreover the following conditions are equivalent:*

- (a)  $\Delta(X, L) = \Delta(V, L_V)$ ,
- (b)  $V$  is a regular member.

*Proof.* As  $0 \rightarrow \mathcal{O}_X \rightarrow \mathcal{L} \rightarrow \mathcal{L}_V \rightarrow 0$  is exact, therefore

$$h^0(V, \mathcal{L}_V) \geq h^0(X, \mathcal{L}) - 1.$$

Hence  $\Delta(X, L) - \Delta(V, L_V) = h^0(V, \mathcal{L}_V) - h^0(X, \mathcal{L}) + 1 \geq 0$ , because  $(D^n) = (D|_{V^{n-1}})$  where  $\mathcal{L} = \mathcal{O}(D)$ . By the above equation, the last part of this theorem is clear.

**THEOREM B.** *If  $X$  is a variety and  $L$  is a very ample line bundle, then  $\Delta(X, L) \geq 0$ .*

*Proof.* It is a well-known fact (see Fujita [1]).

**THEOREM C.** *Let  $(X, L)$  be a polarized non-singular variety. If  $\Delta(X, L) = 0$ , then  $(X, L)$  is isomorphic to  $(\mathbb{P}(E), H_E)$  or  $(\mathbb{P}^2, H_{\mathbb{P}^2}(2))$  where  $E$  is a vector bundle on  $\mathbb{P}^1$ ,  $H_E$  is a tautological bundle on  $\mathbb{P}(E)$  and  $H_{\mathbb{P}^2}(i) = \mathcal{O}(i)$  on  $\mathbb{P}^2$  ( $i \in \mathbb{Z}$ ).*

*Proof.* This is a well-known classical theorem (see Fujita [1]).

**THEOREM D ([2]).** *Let  $(X, L)$  be a polarized non-singular variety. If  $g(X, L) = 0$  and  $L$  is very ample, then  $\Delta(X, L) = 0$ .*

*Proof.* We prove this theorem by the induction on  $n = \dim X$ . If  $n = 1$ , then this theorem is trivial. We may assume that  $n \geq 2$ . Let  $V$  be a reduced irreducible non-singular member of  $|D|$  where  $\mathcal{L} = \mathcal{O}(D)$ . By the induction hypothesis, we assume  $\Delta(V, L_V) = 0$  because  $g(V, L_V) = g(X, L) = 0$ . Hence  $H^1(V, \mathcal{L}_V^{\otimes(-t)}) = 0$  for every  $t \geq 0$  by Theorem C. Therefore the long exact sequence

$$\dots \rightarrow H^1(X, \mathcal{L}^{\otimes(-(t+1))}) \rightarrow H^1(X, \mathcal{L}^{\otimes(-t)}) \rightarrow H^1(V, \mathcal{L}_V^{\otimes(-t)})$$

says that  $h^1(X, \mathcal{L}^{\otimes(-(t+1))}) \geq h^1(X, \mathcal{L}^{\otimes(-t)})$  for any  $t \geq 0$ . As

$$H^1(X, \mathcal{L}^{\otimes(-s)}) = 0$$

for sufficiently large  $s$ , we obtain  $H^1(X, \mathcal{O}_X) = 0$ . Therefore  $V$  is a regular member. Hence we obtain this theorem.

**THEOREM E.** *Let  $(X, L)$  be a polarized non-singular variety and let  $d = (D^n)$  where  $\mathcal{L} = \mathcal{O}(D)$  and  $n = \dim X$ . Moreover we assume that  $\Delta(X, L) \leq g(X, L)$  and  $L$  is very ample. In this case, the following are true:*

(a) *if  $d \geq 2\Delta(X, L) - 2$ , then every reduced irreducible non-singular member  $V \in |D|$  is a regular member;*

(b) *if  $d \geq 2\Delta(X, L) + 1$ , then  $(X, L)$  is projectively normal and  $\Delta(X, L) = g(X, L)$ ;*

(c) *if  $d \geq 2\Delta(X, L) + 2$ , then the ideal of  $(X, L)$  is generated by degree 2.*

*Proof.* See Fujita [2]. As  $L$  is very ample, the proof is the same in the case of characteristic  $p > 0$ .

**THEOREM F.** *Let  $X \subset \mathbb{P}^N$  be a closed non-singular subvariety which is not contained in any hyperplane. If the degree of  $X$  is 4, then  $X$  is of the following type:*

(a) *hypersurface,*

(b) *(2, 2) complete intersection,*

(c) *Segre variety  $\mathbb{P}^1 \times \mathbb{P}^3$  in  $\mathbb{P}^7$ ,*

(d) *Veronese surface  $\mathbb{P}^2$  in  $\mathbb{P}^5$ ,*

(e) *the variety obtained by hyperplane section or projection of (a), (b), (c), (d), (e).*

*Proof.* See Swinnerton-Dyer [10].

By the above theorems, we obtain that  $(X, L)$  is projectively normal for  $(D^n) = 3, 4$  where  $\mathcal{L} = \mathcal{O}(D)$  and  $n = \dim X$ . Moreover  $(X, L)$  is also projectively normal if  $(D^n) = 5$  and the codimension of  $\phi_{|D|}(X)$  is 1, 3, 4. So we consider the case that  $(D^n) = 5$  and the codimension of  $\phi_{|D|}(X)$  is 2.

**2. Codimension 2 case.** Throughout §2, we assume that  $h^0(X, \mathcal{L}) = n + 3$  where  $n = \dim X$ ,  $\mathcal{L} = \mathcal{O}(D)$ ,  $(D^n) = 5$  and  $L$  is very ample. In this case,  $g(X, L) = 1$  or 2 because  $g(X, L) = 0$  implies that  $\Delta(X, L) = 0$  by the Theorem D. This contradicts  $(D^n) = 5$  and  $h^0(X, L) = n + 3$ . If  $g(X, L) \geq 2$ , then  $g(X, L) = 2$  by Theorem E in §1.

**THEOREM 1.** *If  $g(X, L) = 2$ , then  $(X, L)$  is projectively normal and the defining ideal of  $(X, L)$  is generated by degree 2 and 3.*

To prove this theorem, we prepare two lemmas.

**LEMMA 1.** *Let  $(X, L)$  be as above. Let  $V$  be a reduced irreducible non-singular member of  $|D|$ . If the homogeneous ideal of  $(V, L_V)$  is generated by degree 2 and 3, then the homogeneous ideal of  $(X, L)$  is generated by degree 2 and 3.*

*Proof.* Let  $I(k)$  be the polynomials defined by

$$I(k) = \ker[S^k H^0(X, \mathcal{L}) \rightarrow H^0(X, \mathcal{L}^{\otimes k})]$$

where  $S^k$  is a  $k$ th symmetric product and let  $I_V(k)$  be the polynomials defined by

$$I_V(k) = \ker[S^k H^0(V, \mathcal{L}_V) \rightarrow H^0(V, \mathcal{L}_V^{\otimes k})].$$

We prove this lemma by induction on  $k$ . In the case of  $k = 2, 3$ , this lemma is trivial. We assume that  $I(k)$  is generated by  $I(2)$  and  $I(3)$ . By Theorem E (a) in §1,  $V$  is a regular member. Moreover  $(X, L)$  and  $(V, L_V)$  are projectively normal by Theorem E(b) in §1. Therefore we obtain the following diagram:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & I(k) & \rightarrow & I(k+1) & \xrightarrow{\pi} & I_V(k+1) \\
 & & \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow \\
 0 & \rightarrow & S^k H^0(X, \mathcal{L}) & \rightarrow & S^{k+1} H^0(X, \mathcal{L}) & \rightarrow & S^{k+1} H^0(V, \mathcal{L}_V) \rightarrow 0 \\
 & & \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow \\
 0 & \rightarrow & H^0(X, \mathcal{L}^{\otimes k}) & \rightarrow & H^0(X, \mathcal{L}^{\otimes(k+1)}) & \rightarrow & H^0(V, \mathcal{L}_V^{\otimes(k+1)}) \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

By the snake lemma,  $\pi$  is a surjective map. By the assumption,  $I_V(k+1)$  is generated by degree 2 and 3. Therefore  $I(k+1)$  is generated by degree 2 and 3.

**LEMMA 2.** *If  $C$  is a non-singular curve and  $L$  is a very ample line bundle on  $C$  and  $\Delta(C, L) = 2$ , then  $(C, L)$  is projectively normal and its ideal is generated by degree 2 and 3.*

*Proof.* See Saint-Donat [9].

*Proof of Theorem 1.* It is clear by Lemma 1 and Lemma 2.

Next we prepare the following notation.

DEFINITION. Let  $(X, L)$  be a polarized non-singular variety and let  $L$  be a very ample line bundle. We define  $c(X, L)$  by

$$c(X, L) = \text{minimum}\{i; X = X_n \supset X_{n-1} \supset \cdots \supset X_i \supset \cdots \supset X_1 \text{ with } X_i \text{ being a reduced irreducible non-singular member of } |D_{i+1}| \text{ where } L_{X_i} = \mathcal{O}(D_i) \text{ and } \Delta(X_n, L_{X_n}) = \cdots = \Delta(X_{i+1}, L_{X_{i+1}}) > \Delta(X_i, L_{X_i})\}.$$

where  $n = \dim X$ . In the case of  $\Delta(X_1, L_{X_1}) = \Delta(X, L)$ , we put  $c(X, L) = 0$ .

If  $\Delta(X, L) = 2$  and  $g(X, L) = 2$ , then  $c(X, L) = 0$ . If  $\Delta(X, L) = 2$  and  $g(X, L) = 1$ , then  $1 \leq c(X, L) \leq \dim X - 1$ . Therefore Theorem 1 is in the case of  $c(X, L) = 0$ .

THEOREM 2. *If  $c(X, L) = 1$ , then  $(X, L)$  is projectively normal and the ideal defining  $(X, L)$  is generated by degree 3.*

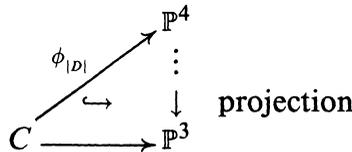
We prepare the following two lemmas.

LEMMA 3. *If  $C \subset \mathbb{P}^3$  is a non-singular elliptic curve of degree 5 which is not contained in any hyperplane, then*

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(k)) \rightarrow H^0(C, \mathcal{O}_C(k))$$

*is surjective for every  $k \geq 2$ .*

*Proof.* Let  $\mathcal{O}_C(1) = \mathcal{O}(D)$ . We obtain the following diagram:



As  $(C, \mathcal{O}(D))$  is projectively normal, hence

$$H^0(C, \mathcal{O}_C(k)) \otimes H^0(C, \mathcal{O}_C(m)) \rightarrow H^0(C, \mathcal{O}_C(k+m))$$

is surjective for every  $k, m \geq 1$ . By the assumption, the canonical map

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1)) \rightarrow H^0(C, \mathcal{O}_C(1))$$

is injective. Now we show that

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(2)) \rightarrow H^0(C, \mathcal{O}_C(2))$$

is an isomorphism. As  $h^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(2)) = h^0(C, \mathcal{O}_C(2)) = 10$ , therefore we may show that

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(2)) \rightarrow H^0(C, \mathcal{O}_C(2))$$

is injective. If this is not true, then there exists some quadratic surface  $Q$  in  $\mathbb{P}^3$  with  $Q \supset C$ . If  $Q$  is non-singular, then the degree of  $C = a + b$  and the genus of  $C = ab - a - b + 1$  for some integers  $a, b$ . This cannot occur because the degree of  $C = 5$  and the genus of  $C = 1$ . If  $Q$  is singular, then the genus of  $C = a^2 - a$  for odd degree  $2a + 1$  of  $C$ . Hence degree of  $C = 5$  and genus of  $C = 1$  does not occur. Therefore the above map is injective, hence is an isomorphism. Next we show that  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3)) \rightarrow H^0(C, \mathcal{O}_C(3))$  is surjective. We take the basis of  $H^0(C, \mathcal{O}_C(1))$  with

$$\begin{aligned} H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1)) &= [x_0, x_1, x_2, x_3], \\ H^0(C, \mathcal{O}_C(1)) &= [x_0, x_1, x_2, x_3, x_4] \end{aligned}$$

where  $[x_0, \dots, x_N]$  means that  $x_1, \dots, x_N$  are bases of a vector space. As

$$\begin{aligned} H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(2)) \\ = [x_0^2, x_1^2, x_2^2, x_3^2, x_0x_1, x_0x_2, x_0x_3, x_1x_2, x_1x_3, x_2x_3] \end{aligned}$$

and  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(2)) \cong H^0(C, \mathcal{O}_C(2))$ , therefore  $H^0(C, \mathcal{O}_C(2))$  has the above basis. But  $x_ix_4$  ( $i = 0, \dots, 4$ ) are contained in  $H^0(C, \mathcal{O}_C(2))$ , and therefore we obtain the following relations:

$$(*) \quad x_ix_4 = f_i(x_0, x_1, x_2, x_3)$$

where  $i = 0, 1, 2, 3, 4$  and  $f_i$  ( $i = 1, 2, 3, 4$ ) are homogeneous polynomials of degree 2. As  $(C, \mathcal{O}_C(1))$  is projectively normal, hence

$$H^0(C, \mathcal{O}_C(1))^{\otimes 3} \rightarrow H^0(C, \mathcal{O}_C(3))$$

is surjective. Therefore we obtain the generators of  $H^0(C, \mathcal{O}_C(3))$  as follows,

$$(1) \quad \begin{cases} x_0^3, x_1^3, x_2^3, x_3^3 \\ x_0^2x_1, x_0^2x_2, x_0^2x_3, x_1^2x_0, x_1^2x_2, x_1^2x_3 \\ x_2^2x_0, x_2^2x_1, x_2^2x_3, x_3^2x_0, x_3^2x_1, x_3^2x_2 \\ x_0x_1x_2, x_0x_1x_3, x_0x_2x_3, x_1x_2x_3 \end{cases}$$

$$(2) \quad \begin{cases} x_4^3, x_4^2x_0, x_4^2x_1, x_4^2x_2, x_4^2x_3 \\ x_4x_0^2, x_4x_1^2, x_4x_2^2, x_4x_3^2 \\ x_4x_0x_1, x_4x_0x_2, x_4x_0x_3, x_4x_1x_2, x_4x_1x_3, x_4x_2x_3. \end{cases}$$

The part (1) is clearly the image of  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1))$ . And the relation (\*) says that the part (2) is also in the image of  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3))$ . Because

$$\begin{aligned} x_4 x_i x_j &= f_i(x_0, x_1, x_2, x_3) x_j \quad (i, j \neq 4), \\ x_4^2 x_i &= f_4(x_0, x_1, x_2, x_3) x_i \quad (i = 0, 1, 2, 3), \\ x_4^3 &= f_4(x_0, x_1, x_2, x_3) x_4 \end{aligned}$$

by the relation (\*); moreover the relation (\*) says  $f_4 x_4$  is in the image of  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3))$ . Hence

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3)) \rightarrow H^0(C, \mathcal{O}_C(3))$$

is surjective. Finally we prove this lemma. If  $k = 2, 3$ , then this lemma is true by the above argument. We consider the case in which  $k \geq 4$ . First, we show this lemma in the case that  $k$  is even. Let  $k = 2m$ . We show in this case by the induction on  $m$ . In this, we give the following diagram:

$$\begin{array}{ccc} H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(2m)) & \rightarrow & H^0(C, \mathcal{O}_C(2m)) \\ \uparrow & \rightleftarrows & \uparrow \\ H^0(\mathbb{P}^3, \mathcal{O}(2(m-1))) \otimes H^0(\mathbb{P}^3, \mathcal{O}(2)) & \rightarrow & H^0(C, \mathcal{O}(2(m-1))) \otimes H^0(C, \mathcal{O}(2)) \end{array}$$

By the hypothesis of induction and projective normality of  $(C, \mathcal{O}_C(1))$ , we obtain

$$H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(2m)) \rightarrow H^0(C, \mathcal{O}_C(2m))$$

is surjective. Next we consider the case in which  $k$  is odd and  $k \geq 5$ . But this case is clear by the same argument. Therefore we obtain this lemma.

**LEMMA 4.** *If  $C \subset \mathbb{P}^3$  is as in Lemma 3, then the homogeneous ideal of  $C \subset \mathbb{P}^3$  is generated by degree 3.*

*Proof.* Let  $I_k$  be the kernel of  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(k)) \rightarrow H^0(C, \mathcal{O}_C(k))$ . We show that

$$I_k \otimes H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1)) \rightarrow I_{k+1}$$

is surjective for every  $k \geq 3$ . We take a divisor  $D$  with  $\mathcal{O}_C(1) \cong \mathcal{O}(D)$  and support of  $D$  consists of 5 distinct points. As  $D \subset \mathbb{P}^2$ , we define  $I'_k$  ( $k = 1, 2, \dots$ ) by

$$0 \rightarrow I'_k \rightarrow H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(k)) \rightarrow H^0(D, \mathcal{O}_D(k)) \cong H^0(D, \mathcal{O}_D).$$

If  $k \geq 2$ , then we give the following diagram:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & I_k & \rightarrow & I_{k+1} & \rightarrow & I'_{k+1} \\
 & & \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow \\
 0 & \rightarrow & H^0(\mathbb{P}^3, \mathcal{O}(k)) & \rightarrow & H^0(\mathbb{P}^3, \mathcal{O}(k+1)) & \rightarrow & H^0(\mathbb{P}^2, \mathcal{O}(k+1)) \rightarrow 0 \\
 & & \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow \\
 0 & \rightarrow & H^0(C, \mathcal{O}(k)) & \rightarrow & H^0(C, \mathcal{O}(k+1)) & \rightarrow & H^0(D, \mathcal{O}(k+1)) \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

By the snake lemma,

$$0 \rightarrow I_k \rightarrow I_{k+1} \rightarrow I'_{k+1} \rightarrow 0$$

is exact for every  $k \geq 2$ . Moreover we define  $\lambda$  so the following diagram commutes:

$$\begin{array}{ccc}
 I_k \otimes H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1)) & \xrightarrow{\quad} & I'_k \otimes H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1)) \\
 & \searrow \lambda \hookrightarrow & \downarrow \\
 & & I'_k \otimes H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1))
 \end{array}$$

As  $I_k \rightarrow I'_k$  is surjective if  $k \geq 3$  and  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1)) \rightarrow H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1))$  is surjective, therefore  $\lambda$  is surjective for  $k \geq 3$ . Next we define  $\psi: I_k \rightarrow I_k \otimes H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1))$  with  $\psi(s) = s \otimes \delta$  where  $\delta$  is a section of  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1))$  which is defining  $\mathbb{P}^2$ . This shows that the following diagram

$$\begin{array}{ccccccc}
 & & & I_k \otimes H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(1)) & \xrightarrow{\lambda} & I'_k \otimes H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1)) & \\
 & & \nearrow \psi & \downarrow & \hookrightarrow & \downarrow & \\
 0 & \rightarrow & I_k & \rightarrow & I_{k+1} & \rightarrow & I'_{k+1} \rightarrow 0
 \end{array}$$

is commutative for  $k \geq 2$ . Therefore if  $I'_k \otimes H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1)) \rightarrow I'_{k+1}$  is surjective for every  $k \geq 3$ , then this lemma is proved. So we show that

$$I'_k \otimes H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1)) \rightarrow I'_{k+1}$$

is surjective for  $k \geq 3$ . Let  $V = H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1))$  and let  $V^k =$  the image of  $H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(k)) \rightarrow H^0(D, \mathcal{O}_D(k))$ . As the support of  $D$  is not collinear,  $V \rightarrow H^0(D, \mathcal{O}_D(1))$  is injective. We show that  $V^k = H^0(D, \mathcal{O}_D(k))$  for  $k \geq 2$ . If  $V \neq H^0(D, \mathcal{O}_D(2))$ , then the dimension of  $\ker[H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2)) \rightarrow H^0(D, \mathcal{O}_D(2))]$  is at least 2. Therefore there exist distinct quadratics  $Q_1$  and  $Q_2$  with  $Q_i \supset D$  ( $i = 1, 2$ ).  $Q_1$  and  $Q_2$  satisfy  $Q_1 \cap Q_2 =$  finite points. Because if  $Q_1 \cap Q_2$  has component, then there exist distinct lines  $l_1, l_2, l_3$  with

$$l_1 \cap D = 4 \text{ points}$$

and

$$Q_1 = l_1 + l_2, \quad Q_2 = l_1 + l_3.$$

Hence  $\mathbb{P}^3 - l_1 \rightarrow \mathbb{P}^1$  be a projection with center  $l_1$ , and let  $C \cdots \rightarrow \mathbb{P}^1$  be a restriction map to  $C$ . Let  $f: C \rightarrow \mathbb{P}^1$  be an associated morphism defined by the above map  $C \cdots \rightarrow \mathbb{P}^1$ . As  $l_1 \cap D = 4$  points, therefore  $f$  is a bijective morphism. Hence the genus of  $C =$  the genus of  $\mathbb{P}^1 = 0$ . This is a contradiction. So  $Q_1 \cap Q_2 =$  finite points. As  $Q_1$  and  $Q_2$  are conics,  $Q_1 \cap Q_2$  contains at most 4 points by Bezout's theorem. But  $Q_1 \cap Q_2$  contains  $D$  with degree 5; this is a contradiction. Hence  $V^2 = H^0(D, \mathcal{O}_D(2))$ . We take  $s \in V$  with

$$\begin{array}{ccc} H^0(D, \mathcal{O}_D(k)) & \xrightarrow{\sim} & H^0(D, \mathcal{O}_D(k+1)). \\ \downarrow & & \downarrow \\ t & \mapsto & ts \end{array}$$

In this, we obtain the following commutative diagram:

$$\begin{array}{ccc} H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(k)) & \rightarrow & H^0(D, \mathcal{O}_D(k)) \\ \sigma \downarrow & \hookrightarrow & \downarrow \zeta \\ H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(k+1)) & \rightarrow & H^0(D, \mathcal{O}_D(k+1)) \end{array}$$

where  $\sigma, \zeta$ , are defined by  $f \mapsto fs$ . Therefore we obtain

$$H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(k)) \rightarrow H^0(D, \mathcal{O}_D(k))$$

is surjective if  $k \geq 2$ . Hence

$$V^k = H^0(D, \mathcal{O}_D(k))$$

for every  $k \geq 2$ . Let  $K(V^k, V)$  be  $\ker[V^k \otimes V \rightarrow V^{k+1}]$  and  $K(V, s)$  be  $\ker[V^{\otimes s} \rightarrow V^s]$  where  $k$  and  $s$  are positive integers. We consider the following commutative diagram:

$$\begin{array}{ccccccc} & 0 & & 0 & & 0 & \\ & \downarrow & & \downarrow & & \downarrow & \\ K(V^{k-1}, V) \otimes V & \xrightarrow{\alpha} & K(V^k, V) & \xrightarrow{\xi} & K(V^{k-1}, V) & & \\ & \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow & \\ V^{k-1} \otimes V \otimes V & \xrightarrow{\beta} & V^k \otimes V & \xrightarrow{\rho} & V^{k-1} \otimes V & & \\ & \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow & \\ V^k \otimes V & \rightarrow & V^{k+1} & \xrightarrow{\zeta} & V^k & & \\ & \downarrow & & \downarrow & & \downarrow & \\ & 0 & & 0 & & 0 & \end{array}$$

where  $\beta(a \otimes b \otimes c) = ab \otimes c$ ,  $\alpha$  is induced by  $\beta$ ,  $\zeta(f) = fs$ ,  $\rho(f \otimes g) = fs \otimes g$ ,  $\xi$  is induced by  $\rho$  and  $s$  is an element of  $V$  defined as above. If  $k \geq 3$ ,  $\rho$  and  $\zeta$  are isomorphisms. Hence we obtain that  $\alpha$  is a surjective map. Next we consider the following commutative diagram:

$$\begin{array}{ccccccc} 0 \rightarrow & K(V, k) \otimes V & \xrightarrow{u} & K(V, k+1) & \xrightarrow{v} & K(V^k, V) & \rightarrow 0 \\ & & & w \uparrow & \hookrightarrow & \alpha \uparrow & \\ & & & K(V, k) \otimes V & \xrightarrow{v'} & K(V^{k-1}, V) \otimes V & \rightarrow 0 \end{array}$$

where  $u, v, v'$  and  $w$  are canonical maps and the surjectivity of  $v$  and  $v'$  is induced by the following commutative diagram and the snake lemma:

$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 \rightarrow & K(V, k) \otimes V & \xrightarrow{u} & K(V, k+1) & \xrightarrow{v} & K(V^k, V) & \\ & \text{id} \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow & \\ 0 \rightarrow & K(V, k) \otimes V & \rightarrow & V^{\otimes(k+1)} & \rightarrow & V^k \otimes V & \rightarrow 0 \\ & \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow & \\ 0 \rightarrow & 0 & \rightarrow & V^{k+1} & \xrightarrow{\text{id}} & V^{k+1} & \rightarrow 0 \\ & \downarrow & & \downarrow & & \downarrow & \\ & 0 & & 0 & & 0 & \end{array}$$

Therefore  $K(V, k+1) = \text{im}(w) + \text{im}(u)$  if  $k \geq 3$ . Hence we obtain that  $I'_k \otimes H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(1)) \rightarrow I'_{k+1}$  is surjective for  $k \geq 3$ . Hence we prove this lemma.

*Proof of Theorem 2.* First we show that

$$H^0(X, \mathcal{L})^{\otimes k} \rightarrow H^0(X, \mathcal{L}^{\otimes k})$$

is surjective for  $k \geq 1$ . If  $k = 1$ , then this is clear. Now we can take

$$X = X_n \supset X_{n-1} \supset \dots \supset X_2 \supset X_1$$

such that  $X_i$  is a reduced irreducible non-singular member of  $|D_{i+1}|$  where  $\mathcal{L}_{X_i} = \mathcal{O}(D_i)$  ( $i = 1, 2, \dots, n = \dim X$ ) and

$$2 = \Delta(X_n, L_{X_n}) = \dots = \Delta(X_2, L_{X_2}) > \Delta(X_1, L_{X_1}) = 1$$

because  $c(X, L) = 1$ . As  $X_1$  is an elliptic curve of degree 5 in  $\mathbb{P}^3$ , therefore  $H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(k)) \rightarrow H^0(X_1, L_{X_1}^{\otimes k})$  is surjective for  $k \geq 2$  by Lemma 3. We consider the following diagram:

$$\begin{array}{ccccccc} 0 \rightarrow & H^0(\mathbb{P}^4, \mathcal{O}(k-1)) & \rightarrow & H^0(\mathbb{P}^4, \mathcal{O}(k)) & \rightarrow & H^0(\mathbb{P}^3, \mathcal{O}(k)) & \rightarrow 0 \\ & \downarrow & \hookrightarrow & \downarrow & \hookrightarrow & \downarrow & \\ 0 \rightarrow & H^0(X_2, \mathcal{L}_{X_2}^{\otimes(k-1)}) & \rightarrow & H^0(X_2, \mathcal{L}_{X_2}^{\otimes k}) & \rightarrow & H^0(X_1, \mathcal{L}_{X_1}^{\otimes k}) & \end{array}$$

By induction on  $k$ ,  $L_{X_2}$  is projective normal. So it is clear that  $L$  is projectively normal because  $\Delta(X_n, L_{X_n}) = \cdots = \Delta(X_2, L_{X_2})$ . The last part of this theorem is obtained by Lemma 4 and the same argument.

**COROLLARY.** *If  $(X, L)$  is a polarized non-singular surface,  $(D^2) = 5$  where  $\mathcal{L} = \mathcal{O}(D)$  and  $L$  is very ample, then  $(X, L)$  is projectively normal.*

To conclude this section, we give two examples of varieties of degree 5 and codimension 2.

**EXAMPLE 1.** Let  $f: S \rightarrow \mathbb{P}^2$  be a blowing up with center  $p_1, \dots, p_8 \in \mathbb{P}^2$  where  $p_1, \dots, p_8$  are in general position. We put  $f^{-1}(p_i) = E_i$  ( $i = 1, \dots, 8$ ) and  $D = f^*(4l) - 2E_1 - E_2 - \dots - E_8$  where  $l \subset \mathbb{P}^2$  is a line. This  $D$  is very ample,  $(D^2) = 5$  and  $g(S, \mathcal{O}(D)) = 2$  (see Hartshorne [5]). Therefore  $c(S, \mathcal{O}(D)) = 0$ .

**EXAMPLE 2.** Let  $f: S = \mathbb{P}(\mathcal{E}) \rightarrow C$  be a ruled surface over an elliptic curve  $C$  where  $\mathcal{E}$  is an indecomposable locally free sheaf of rank 2 on  $C$ . Let  $\deg(\mathcal{E}) = 1$ . Let  $C_0$  be a section of  $f$  with  $\text{Pic}(S) = \mathbb{Z}C_0 \oplus f^*\text{Pic}(C)$ . Let  $D$  be a divisor in  $\text{Pic}(S)$  with  $D = C_0 + f^*(T)$  and  $\deg(T) = 2$ . This  $D$  is very ample (see Hartshorne [5]). Let  $l$  be a fiber of  $f$ . As  $D$  is numerically equivalent to  $C_0 + 2l$ , therefore  $(D^2) = 5$  and  $(D \cdot (D + K_S)) = 0$ . Therefore  $g(S, \mathcal{O}(D)) = 1$ . This is an example of  $c(S, \mathcal{O}(D)) = 1$ .

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