

ON THE INCIDENCE CYCLES OF A CURVE: SOME GEOMETRIC INTERPRETATIONS

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In this paper, we note that the incidence cycles of a seminormal curve X intervene in the calculation of the arithmetic genus $p_a(X)$, of the algebraic fundamental group $\pi_1^{\text{alg}}(X)$ and of the Picard group $\text{Pic}(X)$ of X . Really we do not consider only seminormal curves, but more generally varieties obtained from a smooth variety by gluing a finite set of points.

0. Introduction. By a curve we mean a dimension 1 quasi-projective scheme over an algebraically closed field k .

Let X be a connected reduced seminormal curve (see [T], [P] and [D] for the definition and the geometric meaning of seminormality).

Let X_1, \dots, X_n be the irreducible components of X ; the normalization \bar{X} of X is isomorphic to the disjoint union $\bigsqcup_{i=1}^n \bar{X}_i$ of the normalizations \bar{X}_i of the curves X_i . Let $\pi: \bar{X} \rightarrow X$ denote the normalization morphism.

Let P_1, \dots, P_m be the singular points of X and let x_1, \dots, x_M be the branches of X ($x \in \bar{X}$ is a branch of X over a singular point P of X if $x \in \pi^{-1}(P)$).

We define $\nu(X) = M - m - n + 1$. In [R] one can find a geometric characterization of the number $\nu(X)$ in terms of the incidence cycles of X . One associates to the curve X the graph Γ whose vertices are $P_1, \dots, P_m, X_1, \dots, X_n$ and whose edges represent the M branches of X in this way: if x_r is a branch over P_i and $x_r \in \bar{X}_j$, an edge joining P_i and X_j is constructed. Any cycle of the graph Γ associated to X is said to be an *incidence cycle* of X .

In [R] it is proved that the graph Γ associated to X is connected, the number of the independent cycles of Γ is $\nu(X)$ and Γ contains cycles if and only if X satisfies one of the following conditions:

- (a) an irreducible component of X is not locally unibranch,
- (b) two irreducible components of X meet in more than one point,
- (c) X contains polygons.

In the present paper we'll consider more generally a class of varieties X of dimension $r \geq 1$ and we'll see that the number $\nu(X)$

intervenes in the calculus of the arithmetic genus $p_a(X)$, of the algebraic fundamental group $\pi_1^{\text{alg}}(X)$ and of the Picard group $\text{Pic}(X)$ of X .

By a *variety* we mean a reduced quasi-projective scheme over an algebraically closed field k .

Now we recall the definition of glueing of varieties and of k -algebras.

DEFINITION 0.1. Let X and X' be two varieties, let x_1, \dots, x_M be closed points of X' and let P be a closed point of X . We say that X is obtained from X' by glueing x_1, \dots, x_M over P if there exists a morphism $f: X' \rightarrow X$, called a *glueing morphism*, making cocartesian the following square:

$$\begin{array}{ccc} X' & \xrightarrow{f} & X \\ j \uparrow & & \uparrow i \\ \text{Spec}(k_1 \oplus \dots \oplus k_M) & \xrightarrow{\delta} & \text{Spec}(k) \end{array}$$

where k_i is the residue field of x_i , the residue fields of x_i and P are isomorphic to k , δ is induced by the diagonal morphism, i and j are the canonical injections.

Algebraically Definition 0.1 is equivalent to the following

DEFINITION 0.2 (see [T] §1 and [P] §1). Let A and B be two finitely generated k -algebras, with k an algebraically closed field, let $\mathfrak{m}_1, \dots, \mathfrak{m}_M$ be maximal ideals of B and let \mathfrak{m} be a maximal ideal of A . We say that A is obtained from B by glueing the maximal ideals $\mathfrak{m}_1, \dots, \mathfrak{m}_M$ over \mathfrak{m} if A is the fibered product of B and k over $k_1 \oplus \dots \oplus k_M$, i.e. if we have the following cartesian square:

$$\begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ \alpha \downarrow & & \downarrow \beta \\ k & \xrightarrow{\delta} & k_1 \oplus \dots \oplus k_M \end{array}$$

where α is the canonical projection $A \rightarrow A/\mathfrak{m} \cong k$, β is the canonical projection $B \rightarrow B/\mathfrak{m}_1 \oplus \dots \oplus B/\mathfrak{m}_M = k_1 \oplus \dots \oplus k_M$, $k_i \cong k$ and δ is the diagonal morphism.

We recall that a seminormal curve X is obtained from the normalization \bar{X} by a finite number of glueing morphisms (see [T], Theorem 2.1).

Note that Mestrano in [Me] used Severi curves, which are curves obtained from a finite (disjoint) union of projective lines by a finite number of glueing morphisms, to study the Picard group of the rational points of the Picard scheme of C_g , where C_g is the universal curve over the function field of the coarse moduli space M_g of the curves of genus g .

In what follows X denotes a connected variety of pure dimension r whose singular locus $\text{Sing}(X)$ consists of a finite set of points P_1, \dots, P_m , such that the normalisation \bar{X} of X is a smooth variety having n connected components, every one of them of dimension r , $\bar{X}_1, \dots, \bar{X}_n$ and the normalisation morphism $\pi: \bar{X} \rightarrow X$ is the composition of a finite number of glueing morphisms satisfying the conditions of Definition 0.1. Let M be the number of points of $\pi^{-1}(\text{Sing}(X))$; we define $\nu(X) = M - m - n + 1$.

We'll prove the following results:

THEOREM 0.3. *If X is projective, we have*

$$p_a(X) = p_a(\bar{X}_1) + \dots + p_a(\bar{X}_n) + (-1)^{r-1} \nu(X).$$

THEOREM 0.4. *We have*

$$\pi_1^{\text{alg}}(X) \cong (\pi_1^{\text{alg}}(\bar{X}_1) * \dots * \pi_1^{\text{alg}}(\bar{X}_n) * L_{\nu(X)})^{\wedge},$$

where L_{ν} denotes the free group with ν generators, $*$ denotes the free product of groups and \wedge denotes the completion of the group.

THEOREM 0.5. *We have $\text{Pic}(X) \cong \text{Pic}(\bar{X}_1) \oplus \dots \oplus \text{Pic}(\bar{X}_n) \oplus \nu(X)k^*$, where k^* is the multiplicative group $k - \{0\}$ and νk^* denotes the direct sum of ν copies of k^* .*

Theorem 0.3 is an easy calculation.

Theorem 0.4 was obtained by Vistoli in [V] for X irreducible or having a unique singular point. He proved his result by obtaining any étale covering of X from an étale covering of the normalization \bar{X} by glueing the fibres of the branches of X .

By generalizing Vistoli's constructions described in [V], one can prove that any étale covering of X is obtained from the étale coverings of $\bar{X}_1, \dots, \bar{X}_n$ by a finite number of glueing morphisms.

But in a shorter way we'll prove Theorem 0.4 by induction on n and by using Vistoli's results on varieties having only one singular point.

Theorem 0.5 generalizes a result of Roberts contained in [Ro1] and in [Ro2]; by using the Mayer-Vietoris sequences, Roberts calculated the Picard group of an affine curve $X = \text{Spec}(A)$ having the irreducible components X_i rational, i.e. $\overline{X}_i = \text{Spec}(k[t])$.

In order to calculate the Picard group $\text{Pic}(X)$ of X , we construct the line bundles of X by glueing line bundles of \overline{X} , by using a similar method as the one employed in [Mi] to construct the projective modules over a ring A satisfying the conditions of Definition 0.2.

1. The arithmetic genus. The arithmetic genus of a projective variety X of dimension r is the number $p_a(X) = (-1)^r(\chi(O_X) - 1)$, where $\chi(O_X)$ is the Euler-Poincaré characteristic of O_X .

1.1. *Proof of Theorem 0.3.* There is the following exact sequence of sheaves on X : $0 \rightarrow O_X \rightarrow \pi_*O_{\overline{X}} \rightarrow \sum_{P \in X} \overline{O}_{X,P}/O_{X,P} \rightarrow 0$, where $\overline{O}_{X,P}$ is the integral closure of $O_{X,P}$. Since $O_{X,P}$ is obtained from $\overline{O}_{X,P}$ by glueing a finite number of maximal ideals, we have $\text{length}(\overline{O}_{X,P}/O_{X,P}) = M_P - 1$, where M_P is the number of points x of X lying over P ($x \in \pi^{-1}(P)$). Since the morphism π is affine, then $\chi(\pi_*O_{\overline{X}}) = \chi(O_{\overline{X}})$ and therefore $\chi(O_X) = \chi(O_{\overline{X}}) - \sum_{P \in X} (M_P - 1)$.

Let us suppose r odd.

We prove first that $p_a(\overline{X}) = p_a(\overline{X}_1) + \dots + p_a(\overline{X}_n) - n + 1$. We proceed by induction on n . For $n = 1$ it is true. Now we suppose that the statement is true for $n - 1$ and we consider $Y = \bigsqcup_{i=1}^{n-1} \overline{X}_i$; then we have

$$\begin{aligned} p_a(\overline{X}) &= 1 - \chi(O_{\overline{X}}) = 1 - \chi(O_{\overline{X}_n}) - \chi(O_Y) = p_a(\overline{X}_n) + p_a(Y) - 1 \\ &= p_a(\overline{X}_1) + \dots + p_a(\overline{X}_n) - (n - 1). \end{aligned}$$

Then

$$\begin{aligned} p_a(X) &= 1 - \chi(O_X) = 1 - \chi(O_{\overline{X}}) + \sum_{P \in X} (M_P - 1) = p_a(\overline{X}) + M - m \\ &= p_a(\overline{X}_1) + \dots + p_a(\overline{X}_n) + \nu(X). \end{aligned}$$

If r is even, the calculation is similar.

2. The algebraic fundamental group. If X is connected, there exists a profinite topological group G such that the category $\text{Et}(X)$ of the étale coverings of X is equivalent to the category $\text{Ac}(G)$ of the finite sets on which G acts continuously. G is unique up to unique isomorphism; it is denoted $\pi_1^{\text{alg}}(X)$ and it is defined the algebraic fundamental group of X .

Vistoli proved in [V] the following propositions:

PROPOSITION 2.1 (see [V], *Teorema II.12*). *Let X and X' be connected varieties and let $f: X' \rightarrow X$ be a composition of a finite number of glueing morphisms; if $x \in X$, let $p(x)$ denote the cardinality of the fibre $f^{-1}(x)$.*

*We have $\pi_1^{\text{alg}}(X) = (\pi_1^{\text{alg}}(X') * L_p)^\wedge$, where $p = \sum_{x \in X} (p(x) - 1)$.*

PROPOSITION 2.2 (see [V] *Corollario II.11*). *Let X_1, \dots, X_n be disjoint connected varieties, let $x_1 \in X_1, \dots, x_n \in X_n$ be n closed points. Let X denote the variety obtained by glueing the points x_1, \dots, x_n .*

*Then we have $\pi_1^{\text{alg}}(X) = (\pi_1^{\text{alg}}(X_1) * \dots * \pi_1^{\text{alg}}(X_n))^\wedge$.*

2.3. Proof of Theorem 0.4. We proceed by induction on the number n of the irreducible components of X . If $n = 1$, the claim follows from Proposition 2.1.

Now we suppose that the theorem is true for $n - 1$.

Let X' be the variety $\pi(\bigcup_{i=1}^{n-1} \bar{X}_i)$; we can suppose that X' is connected.

Furthermore we can suppose $P_1 \in X' \cap X_n$, so there exist a point $a \in X'$ and a point $b \in X_n$ such that $\pi(a) = \pi(b) = P_1$. Let X'' denote the variety obtained from $X' \sqcup X_n$ by glueing a and b over P_1 .

The variety X can be obtained from X'' by a finite number of glueing morphisms.

Then we can factor the morphism π as:

$$\pi: \bigsqcup_{i=1}^n \bar{X}_i \xrightarrow{\varphi_1} X' \sqcup \bar{X}_n \xrightarrow{\varphi_2} X' \sqcup X_n \xrightarrow{\varphi_3} X'' \xrightarrow{\varphi_4} X.$$

From the inductive hypothesis we have

$$\pi_1^{\text{alg}}(X') = (\pi_1^{\text{alg}}(\bar{X}_1) * \dots * \pi_1^{\text{alg}}(\bar{X}_{n-1}) * L_{\nu(X')})^\wedge.$$

From Proposition 2.2 we have $\pi_1^{\text{alg}}(X'') = (\pi_1^{\text{alg}}(X') * \pi_1^{\text{alg}}(X_n))^\wedge$ and from Proposition 2.1 $\pi_1^{\text{alg}}(X) = (\pi_1^{\text{alg}}(X'') * L_p)^\wedge$, where $p = \sum_{i=1}^m p(P_i) - m$ and $p(P_i)$ denotes the cardinality of the fibre $\varphi_4^{-1}(P_i)$.

We must prove $\nu(X) = \nu(X') + \nu(X_n) + p$.

If \bar{Y} is a union of connected components of \bar{X} and $Y = \pi(\bar{Y})$, we denote by m_Y and M_Y the number of the singular points of Y and the number of the points of \bar{Y} lying over the singular points of Y respectively. We note that $\nu(X') = M_{X'} - m_{X'} - n + 2$ and $\nu(X_n) = M_{X_n} - m_{X_n}$.

Let us consider the last morphism φ_4 ; we find

$$M = M_{X''} + \sum_{i=1}^m p(P_i) - m_{X''}.$$

Moreover the glueing morphism φ_3 gives the equalities $M_{X''} = M_{X'} + M_{X_n} + 2$ and $m_{X''} = m_{X'} + m_{X_n} + 1$.

So, after easy calculations, we can conclude.

3. Line bundles obtained by glueing. We begin with a lemma.

LEMMA 3.1. *Let X be a (connected) quasi-projective variety and let F be a locally free sheaf on X of rank r . If x_1, \dots, x_M are M closed points of X , then there exists an affine open U of X containing x_1, \dots, x_M such that the $\mathcal{O}_X(U)$ -module $F(U)$ is free of rank r .*

Proof. For any (standard) affine open $V = \text{Spec } A$ of X we have that the sheaf $F|_V$ is isomorphic to the sheaf \tilde{N} associated to the A -module $N = F(V)$ (see [H], Chapter II, §5).

N is a projective A -module of rank r (see [Bo], Chapter II, §5, Theorem 1).

Let us choose V containing the points x_1, \dots, x_M ; let $\mathfrak{m}_1, \dots, \mathfrak{m}_M$ be the maximal ideals of A corresponding to the points x_1, \dots, x_M respectively.

If $S = \bigcap_{i=1}^M (A - \mathfrak{m}_i) = A - (\bigcup_{i=1}^M \mathfrak{m}_i)$, the ring A_S is semi-local, then the A_S -module $N_S = N \otimes_A A_S$ is free of rank r (see [Bo], Chapter II, §5, Proposition 5) and there exists $f \in S$ such that N_f is a free A_f -module (see [Bo], Chapter II, §2, Corollary 2 and the proof of the Proposition 2 of Chapter II, §5). We take $U = \text{Spec } A_f$.

Let X be a connected variety obtained from a variety X' by glueing the points x_1, \dots, x_M of X' over a point P of X . The glueing morphism $f: X' \rightarrow X$ induces a group homomorphism $f^*: \text{Pic}(X) \rightarrow \text{Pic}(X')$. We want to see how a line bundle on X originates from a line bundle on X' .

In what follows we confuse a line bundle L on X with the locally free sheaf of rank 1 associated to it, but we denote by L_x the fibre of the line bundle L at the point $x \in X$ ($L_x \cong k$) and by $L_{\mathfrak{m}}$ the fibre of the locally free sheaf L at the point x if \mathfrak{m} denotes the maximal ideal of the local ring $\mathcal{O}_{X,x}$ ($L_{\mathfrak{m}} \cong \mathcal{O}_{X,x}$).

PROPOSITION 3.2 (*We use the notations of Definition 0.1*). *Let L be a line bundle on X' . We have $j^*(L) = L_{x_1} \oplus \cdots \oplus L_{x_M}$, $L_{x_i} \cong k_i \cong k$, and let $h: L_{x_1} \oplus \cdots \oplus L_{x_M} \xrightarrow{\sim} k_1 \oplus \cdots \oplus k_M$ be an isomorphism of $(k_1 \oplus \cdots \oplus k_M)$ -modules. Then the couple (L, h) gives canonically a line bundle L_h on X such that $f^*(L_h) = L$.*

Proof (see [Mi], §2). If U is an affine open of X containing P , we have $U = \text{Spec}(A)$ and $f^{-1}(U) = \text{Spec}(B)$, A and B are two k -algebras satisfying the conditions of Definition 0.2.

Let $L_h(U)$ be the group fibred product of k and $L(f^{-1}(U))$ over $k_1 \oplus \cdots \oplus k_M$, making cartesian the following square of groups:

$$\begin{array}{ccc} L_h(U) & \longrightarrow & L(f^{-1}(U)) \\ \downarrow & & \downarrow h \\ k & \xrightarrow{\delta} & k_1 \oplus \cdots \oplus k_M \end{array}$$

$L_h(U)$ is in a natural way an A -module and it is projective of rank 1.

If U is an (affine) open of X not containing P , we put $L_h(U) = L(f^{-1}(U))$. That defines a line bundle L_h on X (see [Bo], Chapter II, §5, Theorem 1) and we have $f^*(L_h) = L$.

DEFINITION 3.3. (a) The couple (L, h) of Proposition 3.2 is said to be *the glueing of L by h* .

(b) Two glueings of line bundles (L, h) and (L', h') are said to be *isomorphic* if there exists an isomorphism $\lambda: L \rightarrow L'$ such that the following diagram

$$\begin{array}{ccc} L_{x_1} \oplus \cdots \oplus L_{x_M} & \xrightarrow{h} & k_1 \oplus \cdots \oplus k_M \\ \lambda \otimes 1_{k_1 \oplus \cdots \oplus k_M} \downarrow & & \parallel \\ L'_{x_1} \oplus \cdots \oplus L'_{x_M} & \xrightarrow{h'} & k_1 \oplus \cdots \oplus k_M \end{array}$$

is commutative.

(c) We define $(L, h) \cdot (L', h') = (L \otimes L', h \otimes h')$, where

$$(h \otimes h')(u \otimes u') = h(u)h'(u').$$

In this way the isomorphism classes of the couples (L, h) form an abelian group H_f .

THEOREM 3.4. *The Picard group $\text{Pic}(X)$ of X is isomorphic to the group H_f defined as above.*

Proof. We can define a natural group homomorphism $\Phi: H_f \rightarrow \text{Pic}(X)$ that to the class of (L, h) associates the class of the line bundle L_h constructed in the proof of Proposition 3.2, Φ is injective; in fact if $\Phi(L, h) = \mathcal{O}_X$, we have that the couple (L, h) is isomorphic to the couple $(\mathcal{O}_X, \text{id}_{k_1 \oplus \dots \oplus k_M})$.

Now let F be a line bundle on X . Then $L = f^*(F)$ is a line bundle on X' and from the square of Definition 0.1, we see that $L_{x_1} \oplus \dots \oplus L_{x_M} = j^*(f^*(F)) = \delta^*(i^*(F)) = \delta^*(F_P)$, $F_P \cong k$.

F induces an isomorphism $h: \delta^*(F_P) \xrightarrow{\sim} k_1 \oplus \dots \oplus k_M$. The couple $(f^*(F), h)$ gives with the above construction a line bundle over X isomorphic to F (see [Mi], §2). Hence Φ is surjective.

4. The Picard group.

PROPOSITION 4.1. *Let $f: X' \rightarrow X$ be a glueing morphism of M points x_1, \dots, x_M of a connected quasi-projective variety X' over a point P of X . Then $\text{Pic}(X) \cong \text{Pic}(X') \oplus (M - 1)k^*$.*

Proof. It is sufficient to consider $M = 2$. We'll prove the proposition by defining an isomorphism Ψ from H_f to $\text{Pic}(X') \oplus k^*$ (cf. Theorem 3.4).

Let L be a line bundle on X' and let h be an isomorphism from $L_{x_1} \oplus L_{x_2}$ to $k_1 \oplus k_2$. Let us consider an open affine U of X' containing x_1 and x_2 such that there exists an isomorphism from $\mathcal{O}_{X'}(U)$ to $L(U)$ (see Lemma 3.1); let e be the image of a unit u of $\mathcal{O}_{X'}(U)$ satisfying the following condition:

- (*) u is such that $\beta(u)$ is contained in the image of the diagonal morphism δ (see Definition 0.2).

$e_i = e \otimes 1_{k_i}$ is a generator of the k -vector space L_{x_i} , $i = 1, 2$. If $h(e_1, e_2) = (a, b)$, we define $\Psi((L, h)) = (L, \frac{a}{b})$.

We note that if V and e' are an affine open of X' and a generator of $L(V)$ respectively satisfying the same conditions that U and e satisfy respectively, then we have $e' = ce$, where c is a unit of $\mathcal{O}_{X'}(U)$ satisfying the condition (*). Then $h(e'_1, e'_2) = h(\bar{c}e_1, \bar{c}e_2) = (\bar{c}a, \bar{c}b)$, $\bar{c} \in k^*$ and $\Psi((L, h))$ does not depend on the choice of U and e .

If (L, h) is isomorphic to (L', h') , there exists an isomorphism λ

from L to L' such that $h(e_1, e_2) = h'(e'_1, e'_2)$, where e'_1, e'_2 are the images in L'_{x_1} and L'_{x_2} respectively of $\lambda_U(e)$, λ_U is the isomorphism from $L(U)$ to $L'(U)$ induced by λ . Then $\Psi((L, h)) = \Psi((L', h'))$.

It is easy to verify that the map Ψ is a group isomorphism.

PROPOSITION 4.2. *Let X' be a quasi-projective variety having n connected components X_1, \dots, X_n , let $x_i \in X_i$ for every $i = 1, \dots, n$. Let $f: X' \rightarrow X$ be the glueing morphism of the points x_1, \dots, x_n . then $\text{Pic}(X) \cong \text{Pic}(X_1) \oplus \dots \oplus \text{Pic}(X_n)$.*

Proof. We may assume $n = 2$. From Theorem 3.4 it is sufficient to prove that the group H_f is isomorphic to $\text{Pic}(X') \cong \text{Pic}(X_1) \oplus \text{Pic}(X_2)$.

Let $L = L_1 \oplus L_2$ be a line bundle on X' . Let U_i be an affine open of X_i containing x_i , such that there exists an isomorphism $O_{X'}(U_i) \rightarrow L_i(U_i)$, let e_i denote the image of 1, we denote the element $e_i \otimes 1_{k_i} \in L_{x_i}$ by e_i also, $i = 1, 2$.

Let $i_L: (L_1)_{x_1} \oplus (L_2)_{x_2} \xrightarrow{\sim} k_1 \oplus k_2$ denote the isomorphism defined by $i_L(e_1, e_2) = (1, 1)$.

Two couples (L', h) and (L, i_L) of H_f are isomorphic if and only if L and L' are isomorphic; in fact, we can suppose $L' = L$, if $h(e_1, e_2) = (a_1, a_2)$, a_i determines an isomorphism of L_i into itself, $i = 1, 2$.

LEMMA 4.3. *Let $f: X' \rightarrow X$ be a morphism of connected quasi-projective varieties which is a composition of a finite number of glueing morphisms.*

Let $\rho = \sum_{P \in X} (\rho(P) - 1)$, where $\rho(P)$ is the cardinality of $f^{-1}(P)$. Then $\text{Pic}(X) \cong \text{Pic}(X') \oplus \rho k^$.*

Proof. Let P_1, \dots, P_m be the points of X having $\rho(P) > 1$. We proceed by induction on m . If $m = 1$ the result follows from Proposition 4.1.

Now we suppose the lemma true for $m - 1$. We can factor the morphism f by $X' \xrightarrow{f'} X'' \xrightarrow{f''} X$, where f' is the composition of the glueing morphisms over the points P_1, \dots, P_{m-1} only and f'' is the glueing over P_m .

By the induction hypothesis we have $\text{Pic}(X'') \cong \text{Pic}(X') \oplus \rho' k^*$, $\rho' = \sum_{P \in X''} (\rho'(P) - 1)$, where $\rho'(P)$ is the cardinality of $f'^{-1}(P)$. By Proposition 4.1 we have $\text{Pic}(X) \cong \text{Pic}(X'') \oplus (\rho''(P_m) - 1)k^*$, $\rho''(P_m)$ is the cardinality of $f''^{-1}(P_m)$.

4.4. *Proof of Theorem 0.5.* By using Proposition 4.2 and Lemma 4.3, we can proceed by induction on the number n of the irreducible components of X as in the proof of Theorem 2.

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