

# A TWISTED FIRST HOMOLOGY GROUP OF THE HANDLEBODY MAPPING CLASS GROUP

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## Abstract

Let  $H_g$  be a 3-dimensional handlebody of genus  $g$ . We determine the twisted first homology group of the mapping class group of  $H_g$  with coefficients in the first integral homology group of the boundary surface  $\partial H_g$  for  $g \geq 2$ .

## 1. Introduction

Let  $H_g$  be a 3-dimensional handlebody of genus  $g$ , and  $\Sigma_g$  the boundary surface  $\partial H_g$ . We denote by  $\mathcal{H}_g$  and  $\mathcal{M}_g$  the mapping class group of  $H_g$  and the boundary surface  $\Sigma_g$ , respectively. These are the groups of isotopy classes of orientation preserving homeomorphisms of  $\Sigma_g$  and  $H_g$ . Let  $D$  be a closed 2-disk in the boundary  $\Sigma_g$  of the handlebody, and pick a point  $*$  in  $\text{Int } D$ . Let us denote by  $\mathcal{H}_g^*$  and  $\mathcal{H}_{g,1}$  the groups of the isotopy classes of orientation preserving homeomorphisms of  $H_g$  fixing  $*$  and  $D$  pointwise, respectively. We also denote by  $\mathcal{M}_g^*$  and  $\mathcal{M}_{g,1}$  the groups of the isotopy classes of orientation preserving homeomorphisms of  $\Sigma_g$  fixing  $*$  and  $D$  pointwise, respectively. We use integral coefficients for homology groups unless specified throughout the paper.

In the cases of the mapping class groups  $\mathcal{M}_g^*$  and  $\mathcal{M}_g$  of a surface  $\Sigma_g$ , Morita [17, Corollary 5.4] determined the first homology group with coefficients in the first integral homology group of the surface. Morita [18, Remark 6.3] extended the first Johnson homomorphism to a crossed homomorphism  $\mathcal{M}_g^* \rightarrow \frac{1}{2}\Lambda^3(H_1(\Sigma_g))$ , and showed that the contraction of this crossed homomorphism gives isomorphisms  $H_1(\mathcal{M}_g^*; H_1(\Sigma_g)) \cong \mathbb{Z}$  and  $H_1(\mathcal{M}_g; H_1(\Sigma_g)) \cong \mathbb{Z}/(2g-2)\mathbb{Z}$  when  $g \geq 2$ . For twisted first homology groups of the mapping class groups of nonorientable surfaces, see Stukow [25]. In the cases of the automorphism group  $\text{Aut } F_n$  and the outer automorphism group  $\text{Out } F_n$  of a free group  $F_n$  of rank  $n$ , Satoh [23] computed the twisted first homology groups  $H_1(\text{Aut } F_n; H^1(F_n))$  and  $H_1(\text{Out } F_n; H^1(F_n))$  for  $n \geq 2$ . Kawazumi [12] extended the first Andreadakis-Johnson homomorphism to a crossed homomorphism  $\text{Aut } F_n \rightarrow H^1(F_n) \otimes H_1(F_n)^{\otimes 2}$ , and its contraction induces isomorphisms  $H_1(\text{Aut } F_n; H^1(F_n)) \cong \mathbb{Z}$  and  $H_1(\text{Out } F_n; H^1(F_n)) \cong \mathbb{Z}/(n-1)\mathbb{Z}$ .

In this paper, we compute the twisted first homology groups of  $\mathcal{H}_g$  and  $\mathcal{H}_g^*$  with coefficients in the first integral homology group of the boundary surface  $\Sigma_g$ . Note that the restrictions of homeomorphisms of  $H_g$  to  $\Sigma_g$  induce an injective homomorphism  $\mathcal{H}_g \rightarrow \mathcal{M}_g$ , and we treat the group  $\mathcal{H}_g$  as a subgroup of  $\mathcal{M}_g$ . The followings are main theorems in this paper.

**Theorem 1.1.**

$$H_1(\mathcal{H}_g; H_1(\Sigma_g)) \cong \begin{cases} \mathbb{Z}/(2g-2)\mathbb{Z} & \text{if } g \geq 4, \\ \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} & \text{if } g = 3, \\ (\mathbb{Z}/2\mathbb{Z})^2 & \text{if } g = 2. \end{cases}$$

Furthermore, when  $g \geq 4$ , the homomorphism  $H_1(\mathcal{H}_g; H_1(\Sigma_g)) \rightarrow H_1(\mathcal{M}_g; H_1(\Sigma_g))$  induced by the inclusion is an isomorphism. When  $g = 2, 3$ , this homomorphism is surjective and the kernel is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ .

**Theorem 1.2.**

$$H_1(\mathcal{H}_{g,1}; H_1(\Sigma_g)) \cong H_1(\mathcal{H}_g^*; H_1(\Sigma_g)) \cong \begin{cases} \mathbb{Z} & \text{if } g \geq 4, \\ \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} & \text{if } g = 2, 3. \end{cases}$$

Furthermore, when  $g \geq 4$ , the homomorphism  $H_1(\mathcal{H}_g^*; H_1(\Sigma_g)) \rightarrow H_1(\mathcal{M}_g^*; H_1(\Sigma_g))$  induced by the inclusion is an isomorphism. When  $g = 2, 3$ , this homomorphism is surjective and the kernel is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ .

In this paper, we also study relationships between the second homology groups of  $\mathcal{H}_g$ ,  $\mathcal{H}_g^*$ , and  $\mathcal{H}_{g,1}$ . The second homology group of  $\mathcal{M}_g$  is calculated by Harer [4] when  $g \geq 5$ . It contains some minor mistakes and these are corrected in [5] later. For surfaces with an arbitrary number of punctures and boundary components, see Korkmaz-Stipsicz [13]. See also Benson-Cohen [1] for genus 2, and Stein [24], Sakasai [22], and Pitsch [19] for genus 3. There are some results which imply that the cohomology group of the handlebody mapping class group  $\mathcal{H}_g$  is similar to that of  $\mathcal{M}_g$ . Morita [16, Proposition 3.1] showed that the rational cohomology group of any subgroup of the mapping class group decomposes into a direct sum. Later, Kawazumi and Morita [11, Proposition 5.2] generalized it to cohomology groups with coefficients in  $A = \mathbb{Z}[1/(2g-2)]$  of fiber products of some groups and  $\mathcal{M}_g^*$ . In particular, if we apply their proposition to the natural inclusion  $\mathcal{H}_g \rightarrow \mathcal{M}_g$ , the fiber product  $\mathcal{H}_g \times_{\mathcal{M}_g} \mathcal{M}_g^*$  coincides with the once punctured handlebody mapping class group  $\mathcal{H}_g^*$ , and its cohomology group decomposes as

$$H^n(\mathcal{H}_g^*; A) \cong H^n(\mathcal{H}_g; A) \oplus H^{n-1}(\mathcal{H}_g; H^1(\Sigma_g; A)) \oplus H^{n-2}(\mathcal{H}_g; A).$$

Hatcher and Wahl [8] showed that the integral cohomology groups of the mapping class groups of 3-manifolds stabilize in more general settings. Hatcher [7, Corollary in p.8] also announced that the rational stable cohomology group coincides with the polynomial ring generated by the even Morita-Mumford classes. However, as far as we know, even the second integral homology group of handlebody mapping class groups has not been computed yet.

Here is the outline of our paper:

In Section 2, we review some classical facts on homological algebra and group homology which we use in Sections 3, 6, and 7.

In Section 3, we investigate the relationship between the second integral homology group of the handlebody mapping class group fixing a point or a 2-disk in  $\Sigma_g$  pointwise with that of  $\mathcal{H}_g$  using Theorem 1.1.

In Section 4, we compute the twisted first homology group  $H_1(\mathcal{H}_g; H_1(\Sigma_g))$  to prove Theorem 1.1 in the case when  $g \geq 4$ . We also compute the twisted first homology groups of  $\mathcal{H}_g$  with coefficients in  $\text{Ker}(H_1(\Sigma_g) \rightarrow H_1(H_g))$  and  $H_1(H_g)$ .

Let  $\mathcal{L}_g$  denote the kernel of the homomorphism  $\mathcal{H}_g \rightarrow \text{Out}(\pi_1 H_g)$ . The exact sequence

$$1 \longrightarrow \mathcal{L}_g \longrightarrow \mathcal{H}_g \longrightarrow \text{Out}(\pi_1 H_g) \longrightarrow 1$$

induces exact sequences between their first homology groups with coefficients in  $\text{Ker}(H_1(\Sigma_g) \rightarrow H_1(H_g))$  and  $H_1(H_g)$ . We call a nonseparating disk properly embedded in  $H_g$  a meridian disk. Luft [14] showed that the group  $\mathcal{L}_g$  coincides with the twist group, which is generated by Dehn twists along meridian disks. Actually, Dehn twists along separating disks are also contained in his generating set, but using the lantern relation, they can be written as products of Dehn twists along nonseparating disks. Satoh [23] determined the twisted first homology groups  $H_1(\text{Out } F_n; H_1(F_n))$  and  $H_1(\text{Out } F_n; H^1(F_n))$ . Applying Luft's and Satoh's results to the exact sequences, we can determine  $H_1(\mathcal{H}_g; H_1(\Sigma_g))$  when  $g \geq 4$ .

In Section 5, we review a finite presentation of the handlebody mapping class group  $\mathcal{H}_g$  given by Wajnryb [26].

In Section 6, we compute the twisted first homology group  $H_1(\mathcal{H}_g; H_1(\Sigma_g))$ , using the Wajnryb's presentation of the handlebody mapping class group  $\mathcal{H}_g$  to prove Theorem 1.1 in the case when  $g = 2, 3$ .

In Section 7, we prove Theorem 1.2 and also compute the twisted first homology groups of  $\mathcal{H}_g^*$  with coefficients in  $\text{Ker}(H_1(\Sigma_g) \rightarrow H_1(H_g))$  and  $H_1(H_g)$ .

## 2. Review on homology of groups

In Sections 3, 4, and 7, we use some classical facts on homological algebra and group homology. Although they are well-known and there are many references for them, we arrange them for the better readability.

**2.1. Homology of groups.** Let  $G$  be a group, and  $M$  a left  $\mathbb{Z}G$ -module. A  $\mathbb{Z}G$ -module is also called a  $G$ -module in this paper. The module  $M$  is also considered as a right  $G$ -module by the action  $m \cdot g = g^{-1} \cdot m$  for  $m \in M$  and for  $g \in G$ . Choose a projective resolution

$$\cdots \rightarrow X_2 \rightarrow X_1 \rightarrow X_0 \rightarrow \mathbb{Z} \rightarrow 0$$

of  $\mathbb{Z}$  over  $\mathbb{Z}G$ . The chain and cochain complexes of  $G$  with coefficients in  $M$  are defined by

$$C_n(G; M) = M \otimes_{\mathbb{Z}G} X_n, \text{ and } C^n(G; M) = \text{Hom}_{\mathbb{Z}G}(X_n, M),$$

for  $n \geq 0$ . We call the homology and cohomology group of the above chain complexes the homology and cohomology of the group  $G$  with coefficients in  $M$ , respectively.

The homology and cohomology groups do not depend on the choice of projective resolutions. In Section 3, we consider the normalized bar resolution as a projective resolution of  $\mathbb{Z}$  over  $\mathbb{Z}G$  which is defined as follows. Let  $Y_n$  denote a free  $\mathbb{Z}G$ -module generated by the direct product  $G^n$  for  $n \geq 1$ , and  $Y_0 = \mathbb{Z}G$ . We denote the basis of  $Y_n$  by  $[g_1|g_2|\cdots|g_n]$  which corresponds to the element  $(g_1, g_2, \dots, g_n) \in G^n$ . Let us also denote by  $D_n$  the  $\mathbb{Z}G$ -submodule of  $Y_n$  generated by the set

$$\{[g_1|g_2|\cdots|g_n] \mid g_1, \dots, g_n \in G, g_i = 1 \text{ for some } 1 \leq i \leq n\},$$

for  $n \geq 1$  and  $D_0 = 0$ . For  $n \geq 1$ , Let  $d_n : Y_n \rightarrow Y_{n-1}$  be a  $\mathbb{Z}G$ -homomorphism defined by

$$d_n([g_1|g_2|\cdots|g_n]) = g_1[g_2|\cdots|g_n] + \sum_{i=1}^{n-1} (-1)^i [g_1|\cdots|g_{i-1}|g_i g_{i+1}|g_{i+2}|\cdots|g_n] + (-1)^n [g_1|g_2|\cdots|g_{n-1}],$$

and let  $d_0 : \mathbb{Z}G \rightarrow \mathbb{Z}$  denote the augmentation map. Then the map  $d_n$  satisfies  $d_{n-1} \circ d_n = 0$  for  $n \geq 1$ , and it induces a homomorphism  $\bar{d}_n : Y_n/D_n \rightarrow Y_{n-1}/D_{n-1}$ .

The free  $\mathbb{Z}G$ -resolution

$$\cdots Y_2/D_2 \xrightarrow{\bar{d}_2} Y_1/D_1 \xrightarrow{\bar{d}_1} Y_0/D_0 \xrightarrow{\bar{d}_0} \mathbb{Z} \rightarrow 0$$

of  $\mathbb{Z}$  is called the normalized bar resolution. For more details, see Brown [2, Section I.5].

REMARK 2.1. The cochain complex of the normalized bar resolution is written as

$$C^n(G; M) = \text{Hom}_{\mathbb{Z}G}(Y_n/D_n, M) \\ = \{f : G^n \rightarrow M \mid f(g_1, \dots, g_n) = 0 \text{ when } g_i = 1 \text{ for some } 1 \leq i \leq n\}.$$

For  $m \in M$ , let us denote by  $f_m : G \rightarrow M$  the crossed homomorphism defined by  $f_m(h) = hm - m$ . Then the set of 1-cocycles coincides with the set of crossed homomorphisms  $G \rightarrow M$ , and the set of 1-coboundaries is the image of the coboundary map  $\delta : M \rightarrow C^1(G; M)$  defined by  $\delta(m) = f_m$ . See [3, Section 2.3] for details.

We will use the following basic facts on group homology and homological algebra in Sections 3, 4, and 7.

**Proposition 2.2** (See, for example, Brown [2, Proposition 6.1]). (1) *There is a natural isomorphism*

$$H_0(G; M) \cong M_G,$$

where  $M_G$  is a coinvariant of the action of  $G$  on  $M$ , that is,

$$M_G = M/\{gm - m \mid g \in G, m \in M\}.$$

(2) *For any exact sequence  $0 \rightarrow M_1 \xrightarrow{i} M_2 \xrightarrow{j} M_3 \rightarrow 0$  of left  $G$ -modules and any  $n \geq 1$ , there is a natural map  $\partial_* : H_n(G; M_3) \rightarrow H_{n-1}(G; M_1)$  and  $\delta^* : H^n(G; M_3) \rightarrow H^{n+1}(G; M_1)$  such that the sequence*

$$\cdots \rightarrow H_n(G; M_1) \xrightarrow{i_*} H_n(G; M_2) \xrightarrow{j_*} H_n(G; M_3) \xrightarrow{\partial_*} H_{n-1}(G; M_1) \rightarrow \cdots, \\ \cdots \rightarrow H^n(G; M_1) \xrightarrow{i^*} H^n(G; M_2) \xrightarrow{j^*} H^n(G; M_3) \xrightarrow{\delta^*} H^{n+1}(G; M_1) \rightarrow \cdots,$$

are exact.

As in the case of cohomology groups on spaces, we also have the universal coefficient theorem:

**Theorem 2.3** (the universal coefficient theorem). *Let  $G$  be a group and  $A$  an abelian group. Suppose that  $M$  is a free  $\mathbb{Z}$ -module with left  $G$ -action. Then we have an exact sequence*

$$0 \longrightarrow \text{Ext}_{\mathbb{Z}}^1(H_{n-1}(G; M), A) \longrightarrow H^n(G; M^*) \longrightarrow \text{Hom}_{\mathbb{Z}}(H_n(G; M), A) \longrightarrow 0,$$

where  $M^* = \text{Hom}_{\mathbb{Z}}(M, A)$ .

Proof. This is obtained from a general form of the universal coefficient theorem. Let  $\{(K_n, d_n)\}_{n=0}^\infty$  be a complex of free  $\mathbb{Z}$ -modules. Then there exists an exact sequence

$$0 \rightarrow \text{Ext}_{\mathbb{Z}}^1(H_{n-1}(K_*), A) \rightarrow H^n(\text{Hom}_{\mathbb{Z}}(K_*, A)) \rightarrow \text{Hom}_{\mathbb{Z}}(H_n(K_*), A) \rightarrow 0$$

called the universal coefficient theorem. See, for example, [21, Theorem 7.59]. Since  $M$  is a free  $\mathbb{Z}$ -module,  $C_n(G; M)$  is also a free  $\mathbb{Z}$ -module. If we put  $K_n = C_n(G; M)$ , we have

$$0 \rightarrow \text{Ext}_{\mathbb{Z}}^1(H_{n-1}(G; M), A) \rightarrow H^n(\text{Hom}_{\mathbb{Z}}(C_n(G; M), A)) \rightarrow \text{Hom}_{\mathbb{Z}}(H_n(G; M), A) \rightarrow 0.$$

The homomorphism

$$\Phi : \text{Hom}_{\mathbb{Z}}(C_n(G; M), A) = \text{Hom}_{\mathbb{Z}}(M \otimes_{\mathbb{Z}G} X_n, A) \cong \text{Hom}_{\mathbb{Z}G}(X_n, \text{Hom}_{\mathbb{Z}}(M, A))$$

defined by  $\Phi(f)(x) = f(x, *)$  for  $f \in \text{Hom}_{\mathbb{Z}}(M \otimes_{\mathbb{Z}G} X_*, A)$  is clearly an isomorphism, and commutes with the boundary maps. Thus we obtain  $H^n(\text{Hom}_{\mathbb{Z}}(C_n(G; M), A)) \cong H^n(G; M^*)$ .  $\square$

**2.2. Lyndon-Hochschild-Serre spectral sequences.** Let

$$1 \longrightarrow K \xrightarrow{\iota} G \xrightarrow{\pi} Q \longrightarrow 1$$

be an exact sequence of groups and  $M$  a left  $G$ -module. Then we have a Lyndon-Hochschild-Serre spectral sequence between their homology groups. It is a spectral sequence whose  $E^2$ -term is  $E_{p,q}^2 = H_p(Q; H_q(K; M))$ , and converges into  $H_{p+q}(G; M)$ . For details, see Brown [2, Section VII.6], Rotman [21, Section 10.7], and Weibel [27, Section 6.8]. See also Hochschild-Serre [9] for spectral sequences of group cohomology, and McCleary [15, Theorem 8<sup>bis</sup>.12] for general spectral sequences. Here, we review some facts on this spectral sequence. In the spectral sequence, the homology group  $H_n(G; M)$  has a filtration

$$0 = F_{-1} \subset F_0 \subset \cdots \subset F_{n-1} \subset F_n = H_n(G; M),$$

and there is an isomorphism  $E_{p,n-p}^\infty \cong F_p/F_{p-1}$  for  $0 \leq p \leq n$ . The composition map of the quotient map  $H_n(G; M) \rightarrow F_n/F_{n-1} \cong E_{n,0}^\infty$  and the natural inclusion  $E_{n,0}^\infty \rightarrow E_{n,0}^2 = H_n(Q; H_0(K; M))$  is called the edge map, and it is described as follows. See Weibel [27, Section 6.8.2] for details.

**Proposition 2.4** (edge maps). *For  $n \geq 0$ , the homomorphism  $\pi_* : H_n(G; M) \rightarrow H_n(Q; M_K)$  induced by the projection  $\pi : G \rightarrow Q$  and the quotient map  $M \rightarrow M_K$  coincides with the composition map*

$$H_n(G; M) \rightarrow E_{n,0}^\infty \rightarrow E_{n,0}^2 = H_n(Q; M_K).$$

REMARK 2.5. From Proposition 2.4, we have

$$\text{Ker}(H_n(G; M) \rightarrow E_{n,0}^\infty) = \text{Ker}(H_n(G; M) \rightarrow H_n(Q; M_K)).$$

The following exact sequence is called the five-term exact sequence, which is obtained from a diagram chasing in the  $E^2$ -term of the spectral sequence. See, for example, Brown [2,

Corollary 6.4 in Section VII].

**Theorem 2.6** (the five term exact sequence). *Let  $1 \rightarrow K \xrightarrow{\iota} G \xrightarrow{\pi} Q \rightarrow 1$  be an exact sequence between groups and  $M$  a left  $G$ -module. Then there exists an exact sequence*

$$H_2(G; M) \xrightarrow{\pi_*} H_2(Q; M_K) \rightarrow H_1(K; M)_Q \xrightarrow{\iota_*} H_1(G; M) \xrightarrow{\pi_*} H_1(Q; M) \rightarrow 0,$$

where  $H_1(K; M)_Q$  denotes the coinvariant of the action of  $Q$  on  $H_1(K; M)$  induced by the conjugacy action of  $G$ .

**REMARK 2.7.** Let  $X_n = Y_n/D_n$  be the normalized bar resolution of  $K$  in Section 2.1. Then the action of  $Q$  on  $H_n(K; M)$  appeared in Theorem 2.6 is induced by the conjugacy action of  $G$  on  $C_n(K; M) = M \otimes_{\mathbb{Z}K} X_n$  defined by

$$h(m \otimes [k_1|k_2|\cdots|k_n]) = (hm) \otimes [hk_1h^{-1}|hk_2h^{-1}|\cdots|hk_nh^{-1}]$$

for  $h \in G$ .

We will also use a Gysin exact sequence in Section 3. Suppose that the group  $K$  has the same homology group with a  $m$ -sphere, and the conjugacy action of  $G$  to  $H_m(K)$  is trivial. Then we have:

**Theorem 2.8** (the Gysin exact sequence). *There is an exact sequence*

$$\cdots \rightarrow H_{n+m+1}(G) \xrightarrow{\pi_*} H_{n+m+1}(Q) \rightarrow H_n(Q) \xrightarrow{\pi^!} H_{n+m}(G) \rightarrow \cdots .$$

The proof of Theorem 2.8 is obtained from a diagram chasing in the  $E^{m+1}$ -term of the spectral sequence, and it goes in analogous to the case of Serre spectral sequences of fibrations between topological spaces, which is written in Hatcher [6, Section 4.D] and Weibel [27, Application 5.3.7]. In Section 3, we will use this exact sequence only in the case when  $K = \mathbb{Z}$  and  $m = 1$ .

**REMARK 2.9.** Consider the case when  $K = \mathbb{Z}$  and is in the center of  $G$ . In the normalized bar resolution, the map  $\pi^! : H_n(Q) \rightarrow H_{n+1}(G)$  can be written explicitly. Let  $X_* \rightarrow \mathbb{Z} \rightarrow 0$  be the normalized bar resolution of  $Q$ , and  $\sigma = \sum_{i=1}^l a_i [q_i^1|q_i^2|\cdots|q_i^n] \in C_n(Q) = \mathbb{Z} \otimes_{\mathbb{Z}Q} X_n$  be a  $n$ -cycle of  $Q$ . Then  $\pi^!(\sigma)$  is written as

$$\pi^!(\sigma) = \sum_{i=1}^l \left( a_i \sum_{j=0}^n (-1)^j [\tilde{q}_i^1|\cdots|\tilde{q}_i^j|k|\tilde{q}_i^{j+1}|\cdots|\tilde{q}_i^n] \right) \in C_{n+1}(G),$$

where  $\tilde{q}_i^j \in G$  is an element of the inverse image of  $q_i^j$  under  $\pi : G \rightarrow Q$ , and  $k$  is a generator of  $K = \mathbb{Z}$ .

In the general case when  $H_*(K) = H_*(S^m)$  and  $G$  acts on  $H_m(K)$  trivially, for  $\sigma \in H_n(Q)$  the image  $\pi^!(\sigma) \in H_{n+m}(G)$  is also written as a twisted shuffle product of a lift of  $\sigma$  and a  $m$ -cycle which represents a generator of  $H_m(K)$ . We omit the proof of this description, but we can prove it by introducing a filtration  $\{A^i\}_{i \in \mathbb{Z}}$  on the chain complex  $C_*(G)$ , and constructing an isomorphism between  $E_{p,q}^1 = C_p(Q; H_q(K))$  and  $H_{p+q}(A^p/A^{p-1})$  in analogous to the cohomological case written in Hochschild-Serre [9, Theorems 1 and 2].

**3. On the second homology of the handlebody mapping class groups fixing a point or a 2-disk pointwise**

In this section, we introduce some corollaries of Theorem 1.1 which give relationships between the second homology groups of  $\mathcal{H}_g, \mathcal{H}_g^*$  and  $\mathcal{H}_{g,1}$ .

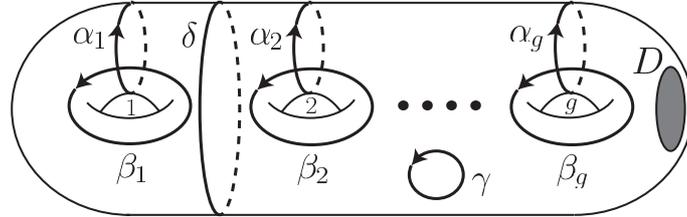


FIG. 1. a 2-disk  $D$  and simple closed curves  $\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g, \gamma$

Let  $U\Sigma_g$  denote the unit tangent bundle of  $\Sigma_g$ . Let  $\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g$  be oriented smooth simple closed curves as in Figure 1, and denote their homology classes in  $H_1(\Sigma_g)$  by  $x_1 = [\alpha_1], x_2 = [\alpha_2], \dots, x_g = [\alpha_g], y_1 = [\beta_1], y_2 = [\beta_2], \dots, y_g = [\beta_g]$ . We also denote by  $\gamma$  a null-homotopic smooth simple closed curve in Figure 1. There are natural liftings of  $\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g, \gamma$  to  $U\Sigma_g$ , and let us denote their homology classes in  $H_1(U\Sigma_g)$  by  $\tilde{x}_1, \tilde{x}_2, \dots, \tilde{x}_g, \tilde{y}_1, \tilde{y}_2, \dots, \tilde{y}_g, z$ , respectively. For a group  $G$  and a left  $G$ -module  $M$ , let us denote by  $M_G$  its coinvariant, that is, the quotient of  $M$  by the submodule spanned by the set  $\{gm - m \mid m \in M, g \in G\}$ .

**Lemma 3.1.** For  $g \geq 2$ ,

$$H_1(U\Sigma_g)_{\mathcal{H}_g} = 0.$$

Proof. For a simple closed curve  $c$  in  $\Sigma_g$ , we denote by  $t_c$  the Dehn twist along  $c$ . As in [10, Theorem 1B], we have  $t_{\alpha_i}(\tilde{y}_i) = \tilde{y}_i + \tilde{x}_i$  for  $i = 1, \dots, g$ . Note that our  $\tilde{c}$  is denoted by  $\tilde{c}$  in [10], and is different from what is denoted by  $\tilde{c}$  in [10]. Hence we have  $\tilde{x}_1 = \dots = \tilde{x}_g = 0 \in H_1(U\Sigma_g)_{\mathcal{H}_g}$ . Let  $\delta'_i$  and  $\alpha'_i$  be simple closed curves as depicted in Figure 2 for  $1 \leq i \leq g - 1$ . Let us denote  $h_i = t_{\delta'_i}^{-1} t_{\beta_i} t_{\alpha_{i+1}} \in \mathcal{M}_g$ . Since  $h_i(\alpha_i) = \alpha_i$  when  $l \neq i$  and  $h_i(\alpha_i) = \alpha'_i$ , the mapping class  $h_i$  is actually an element of the handlebody mapping class

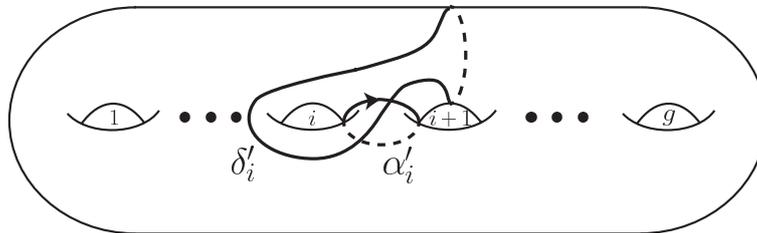


FIG.2. simple closed curves  $\delta'$  and  $\alpha'_i$

group  $\mathcal{H}_g$ . We obtain

$$h_i(\tilde{x}_i) = \tilde{x}_i - \tilde{x}_{i+1} - z \text{ and } h_i(\tilde{y}_{i+1}) = \tilde{y}_i + \tilde{y}_{i+1} - z$$

for  $i = 1, \dots, g - 1$ . Thus we have  $z = \tilde{y}_1 = \dots = \tilde{y}_{g-1} = 0 \in H_1(U\Sigma_g)_{\mathcal{H}_g}$ . Since the rotation  $r \in \mathcal{H}_g$  of the surface  $\Sigma_g$  about a vertical line by 180 degrees maps  $\tilde{y}_g$  to  $-\tilde{y}_1$ , we also obtain  $\tilde{y}_g = 0$ . □

Using the mapping classes  $h_i$  and  $r$ , we can also show:

**Lemma 3.2.** For  $g \geq 2$ ,

$$\text{Ker}(H_1(\Sigma_g) \rightarrow H_1(\mathcal{H}_g))_{\mathcal{H}_g} = 0.$$

**Proposition 3.3.** When  $g \geq 4$ ,

$$H_2(\mathcal{H}_g^*) \cong H_2(\mathcal{H}_g) \oplus \mathbb{Z}.$$

Proof. Let us denote the Lyndon-Hochschild-Serre spectral sequences of the forgetful exact sequences

$$\begin{array}{ccccccc} 1 & \longrightarrow & \pi_1 \Sigma_g & \longrightarrow & \mathcal{M}_g^* & \longrightarrow & \mathcal{M}_g \longrightarrow 1, \\ 1 & \longrightarrow & \pi_1 \Sigma_g & \longrightarrow & \mathcal{H}_g^* & \longrightarrow & \mathcal{H}_g \longrightarrow 1 \end{array}$$

by  $\{E_{p,q}^r\}$  and  $\{\bar{E}_{p,q}^r\}$ , respectively. Recall that  $E_{p,q}^2 = H_p(\mathcal{M}_g; H_q(\Sigma_g))$  and  $\bar{E}_{p,q}^2 = H_p(\mathcal{H}_g; H_q(\Sigma_g))$ . By Lemma 3.1, we have

$$H_0(\mathcal{M}_g; H_1(\Sigma_g)) = H_1(\Sigma_g)_{\mathcal{M}_g} = 0, \text{ and } H_0(\mathcal{H}_g; H_1(\Sigma_g)) = H_1(\Sigma_g)_{\mathcal{H}_g} = 0.$$

Thus the  $E^\infty$  terms of both spectral sequences are written as follows.

$E_{0,2}^\infty$	*	*
0	$E_{1,1}^\infty$	*
$\mathbb{Z}$	$H_1(\mathcal{M}_g)$	$H_2(\mathcal{M}_g)$

$\bar{E}_{0,2}^\infty$	*	*
0	$\bar{E}_{1,1}^\infty$	*
$\mathbb{Z}$	$H_1(\mathcal{H}_g)$	$H_2(\mathcal{H}_g)$

Since the filtrations of  $H_2(\mathcal{H}_g^*)$  and  $H_2(\mathcal{M}_g^*)$  are compatible with the homomorphism  $H_2(\mathcal{H}_g^*) \rightarrow H_2(\mathcal{M}_g^*)$ , we have a morphism between two exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & \bar{E}_{0,2}^\infty & \longrightarrow & \text{Ker}(H_2(\mathcal{H}_g^*) \rightarrow \bar{E}_{2,0}^\infty) & \longrightarrow & \bar{E}_{1,1}^\infty \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & E_{0,2}^\infty & \longrightarrow & \text{Ker}(H_2(\mathcal{M}_g^*) \rightarrow E_{2,0}^\infty) & \longrightarrow & E_{1,1}^\infty \longrightarrow 0. \end{array}$$

Note that by Remark 2.5, we have

$$\begin{aligned} \text{Ker}(H_2(\mathcal{H}_g^*) \rightarrow \bar{E}_{2,0}^\infty) &= \text{Ker}(H_2(\mathcal{H}_g^*) \rightarrow H_2(\mathcal{H}_g)), \\ \text{Ker}(H_2(\mathcal{M}_g^*) \rightarrow E_{2,0}^\infty) &= \text{Ker}(H_2(\mathcal{M}_g^*) \rightarrow H_2(\mathcal{M}_g)). \end{aligned}$$

As explained in [13, Propositions 1.4 and 1.5], there exist isomorphisms  $\text{Ker}(H_2(\mathcal{M}_g^*) \rightarrow H_2(\mathcal{M}_g)) \cong \mathbb{Z}$  and  $E_{0,2}^\infty = E_{0,2}^2 \cong \mathbb{Z}$  when  $g \geq 4$ . It is also true when  $g = 3$  as in [22, Corollary 4.9]. Moreover, there exists a surjective homomorphism  $S_1 : H_2(\mathcal{M}_g^*) \rightarrow \mathbb{Z}$  defined in [4, Section 0] which maps the fundamental class  $[\Sigma_g] \in H_2(\Sigma_g) = E_{0,2}^\infty$  to  $(2g - 2)$ -times a generator and whose restriction to  $\text{Ker}(H_2(\mathcal{M}_g^*) \rightarrow H_2(\mathcal{M}_g))$  is surjective. These facts show that  $E_{1,1}^\infty$  is a cyclic group of order  $2g - 2$ . Since Morita [17] showed  $E_{1,1}^2 = H_1(\mathcal{M}_g; H_1(\Sigma_g)) \cong \mathbb{Z}/(2g - 2)\mathbb{Z}$  when  $g \geq 2$ , we obtain  $E_{1,1}^2 = E_{1,1}^\infty$ .

When  $g \geq 4$ , this fact and the isomorphism  $H_1(\mathcal{H}_g; H_1(\Sigma_g)) \cong H_1(\mathcal{M}_g; H_1(\Sigma_g))$  show that

in the commutative diagram

$$\begin{array}{ccc} \bar{E}_{1,1}^2 & \longrightarrow & \bar{E}_{1,1}^\infty \\ \downarrow & & \downarrow \\ E_{1,1}^2 & \longrightarrow & E_{1,1}^\infty, \end{array}$$

we have an isomorphism  $\bar{E}_{1,1}^\infty \cong E_{1,1}^\infty$ . As a conclusion, we obtain

$$\text{Ker}(H_2(\mathcal{H}_g^*) \rightarrow H_2(\mathcal{H}_g)) \cong \text{Ker}(H_2(\mathcal{M}_g^*) \rightarrow H_2(\mathcal{M}_g)) \cong \mathbb{Z}.$$

Consider the commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & H_2(\mathcal{H}_g^*) & \longrightarrow & H_2(\mathcal{H}_g) \longrightarrow 0 \\ & & \parallel & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & H_2(\mathcal{M}_g^*) & \longrightarrow & H_2(\mathcal{M}_g) \longrightarrow 0. \end{array}$$

Since the lower exact sequence splits, we obtain  $H_2(\mathcal{H}_g^*) \cong H_2(\mathcal{H}_g) \oplus \mathbb{Z}$ . □

When  $g \geq 2$ , we have  $H_1(U\Sigma_g)_{\mathcal{H}_g} = H_1(\Sigma_g)_{\mathcal{H}_g} = 0$  by Lemma 3.1. By the five-term exact sequences (Theorem 2.6) induced by the exact sequences

$$\begin{array}{ccccccc} 1 & \longrightarrow & \pi_1 \Sigma_g & \longrightarrow & \mathcal{H}_g^* & \longrightarrow & \mathcal{H}_g \longrightarrow 1, \\ 1 & \longrightarrow & \pi_1 U\Sigma_g & \longrightarrow & \mathcal{H}_{g,1} & \longrightarrow & \mathcal{H}_g \longrightarrow 1, \end{array}$$

we have:

**Lemma 3.4.** *When  $g \geq 2$ ,*

$$H_1(\mathcal{H}_{g,1}) \cong H_1(\mathcal{H}_g^*) \cong H_1(\mathcal{H}_g).$$

**REMARK 3.5.** By the Wajnryb's presentation which we review in Section 5.1, we can compute the abelianization as follows:

$$H_1(\mathcal{H}_g) \cong \begin{cases} \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} & \text{if } g = 1, \\ \mathbb{Z} \oplus (\mathbb{Z}/2\mathbb{Z})^2 & \text{if } g = 2, \\ \mathbb{Z}/2\mathbb{Z} & \text{if } g \geq 3. \end{cases}$$

We can also see that it is generated by  $s_1 = t_{\beta_1} t_{\alpha_1}^2 t_{\beta_1}$  when  $g \geq 3$ . Note that Wajnryb made a mistake in his calculation of the abelianization in [26, Theorem 20] when  $g = 2$ .

In the following, we choose a 2-disk  $D$  in the boundary  $\Sigma_g$  so that it is disjoint from the simple closed curves  $\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g$  as in Figure 1 and pick a point  $*$  in  $\text{Int } D$ .

**Lemma 3.6.** *When  $g \geq 3$ ,*

$$H_2(\mathcal{H}_g^*) \cong H_2(\mathcal{H}_{g,1}) \oplus \mathbb{Z}.$$

*Proof.* Let  $\pi : \mathcal{H}_{g,1} \rightarrow \mathcal{H}_g^*$  denote the forgetful map. The Gysin exact sequence explained in Theorem 2.8 of the central extension

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\iota} \mathcal{H}_{g,1} \xrightarrow{\pi} \mathcal{H}_g^* \longrightarrow 1.$$

is written as

$$\cdots \rightarrow H_1(\mathcal{H}_g^*) \xrightarrow{\pi^!} H_2(\mathcal{H}_{g,1}) \xrightarrow{\pi_*} H_2(\mathcal{H}_g^*) \rightarrow H_0(\mathcal{H}_g^*) \xrightarrow{\pi^!} H_1(\mathcal{H}_{g,1}) \rightarrow \cdots$$

As explained in Remark 2.9, the Gysin homomorphism  $\pi^! : H_1(\mathcal{H}_g^*) \rightarrow H_2(\mathcal{H}_{g,1})$  maps  $[h]$  to  $[\tilde{h}|t_{\partial D}] - [t_{\partial D}|\tilde{h}]$  in the bar resolution for  $h \in \mathcal{H}_g^*$ , where  $\tilde{h} \in \mathcal{H}_{g,1}$  is an element in the inverse image of  $h$  under  $\pi$ . By Lemma 3.4 and [26, Theorem 20],  $H_1(\mathcal{H}_g^*)$  is the cyclic group of order 2 generated by  $s_1$  when  $g \geq 3$ .

We can prove that the Gysin homomorphism  $\pi^! : H_1(\mathcal{H}_g^*) \rightarrow H_2(\mathcal{H}_{g,1})$  is the zero map as follows. Let us choose a representing diffeomorphism of  $s_1$  whose support is in a genus 1 subsurface  $S$  of  $\Sigma_g - \text{Int } D$ . Then we have a 2-chain which has its support is in  $(\Sigma_g - \text{Int } D) - S$  and bounds  $[t_{\partial D}] \in C_1(\mathcal{H}_{g,1})$  as follows. Let  $t_1, t_2, t_3, t'_1, t'_2, t'_3$  be the Dehn twists along simple closed curves as in Figure 3. By the Lantern relation, we have  $t'_3 t_3^{-1} t'_2 t_2^{-1} t'_1 t_1^{-1} = t_{\partial D}$ . In the

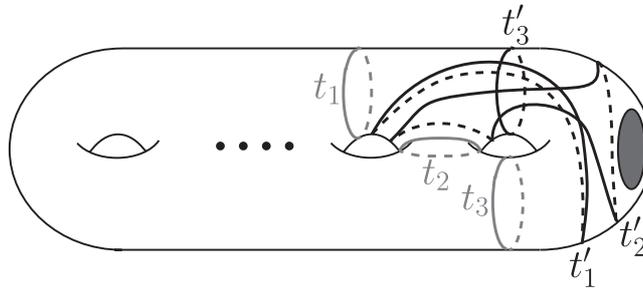


FIG.3. the lantern relation

handlebody mapping class group, Dehn twists along two meridian disks are conjugate. Thus there exists  $\varphi_i \in \mathcal{H}_{g,1}$  such that  $\varphi_i t_i \varphi_i^{-1} = t'_i$  for  $i = 1, 2, 3$ . Moreover, we can represent  $\varphi_i$  by a diffeomorphism whose support is in  $(\Sigma_g - \text{Int } D) - S$ . Define a 2-chain  $\sigma \in C_2(\mathcal{H}_{g,1})$  by

$$\begin{aligned} \sigma = & - \sum_{i=1}^3 ([\varphi_i | t_i] + [\varphi_i t_i | \varphi_i^{-1}] + [\varphi_i t_i \varphi_i^{-1} | t_i^{-1}] - [t_i | t_i^{-1}] - [\varphi_i | \varphi_i^{-1}]) \\ & - [t'_3 t_3^{-1} | t'_2 t_2^{-1}] - [t'_3 t_3^{-1} t'_2 t_2^{-1} | t'_1 t_1^{-1}]. \end{aligned}$$

Then we obtain  $\partial\sigma = [t_{\partial D}] \in C_1(\mathcal{H}_{g,1})$ . For simplicity, we denote  $\sigma = \sum_{i=1}^{17} \epsilon_i [x_i | y_i]$ , where  $\epsilon_i = \pm 1$  and  $\epsilon_i [x_i | y_i]$  are terms appeared above. Let  $\tilde{s}_1 = t_{\beta_1} t_{\alpha_1}^2 t_{\beta_1} \in \mathcal{H}_{g,1}$ . Since  $\tilde{s}_1$  commutes with  $x_i$  and  $y_i$ , the 3-chain  $\tau = \sum_{i=1}^{17} \epsilon_i ([x_i | y_i | \tilde{s}_1] - [x_i | \tilde{s}_1 | y_i] + [\tilde{s}_1 | x_i | y_i])$  bounds  $[t_{\partial D} | \tilde{s}_1] - [\tilde{s}_1 | t_{\partial D}] \in C_2(\mathcal{H}_{g,1})$ , and the Gysin homomorphism is the zero map.

Another Gysin homomorphism  $\pi^! : H_0(\mathcal{H}_g^*) \rightarrow H_1(\mathcal{H}_{g,1})$  maps a generator of  $H_0(\mathcal{H}_g^*) \cong \mathbb{Z}$  to  $[t_{\partial D}]$ . Since

$$[t_{\partial D}] = \sum_{i=1}^3 ([t'_i] - [t_i]) = \sum_{i=1}^3 ([\varphi_i t_i \varphi_i^{-1}] - [t_i]) = 0 \in H_1(\mathcal{H}_{g,1}),$$

the homomorphism  $\pi^! : H_0(\mathcal{H}_g^*) \rightarrow H_1(\mathcal{H}_{g,1})$  is also trivial. Thus we obtain the exact sequence

$$0 \rightarrow H_2(\mathcal{H}_{g,1}) \rightarrow H_2(\mathcal{H}_g^*) \rightarrow \mathbb{Z} \rightarrow 0.$$

□

Both of the direct sum decompositions of  $H_2(\mathcal{H}_g^*)$  in Proposition 3.3 and Lemma 3.6 are induced by the composition of the natural homomorphism  $H_2(\mathcal{H}_g^*) \rightarrow H_2(\mathcal{M}_g^*)$  and  $S_1 : H_2(\mathcal{M}_g^*) \rightarrow \mathbb{Z}$  defined in [4, Section 4] up to sign. Thus we obtain:

**Corollary 3.7.** *When  $g \geq 4$ ,*

$$H_2(\mathcal{H}_{g,1}) \cong H_2(\mathcal{H}_g).$$

**4. Proof of Theorem 1.1 for  $g \geq 4$**

In the rest of this paper, we write  $H$  for  $H_1(\Sigma_g)$  and denote by  $L$  the kernel of the homomorphism  $H_1(\Sigma_g) \rightarrow H_1(H_g)$  induced by the inclusion for simplicity. Note that  $H_1(H_g)$  is isomorphic to  $H/L$  as an  $\mathcal{H}_g$ -module. In this section, we prove Theorem 1.1 when  $g \geq 4$ . Luft’s result on  $\text{Ker}(\mathcal{H}_g \rightarrow \text{Out } F_g)$  and Satoh’s result on  $\text{Out } F_g$  make it much easier to determine the first homology  $H_1(\mathcal{H}_g; H)$  when  $g \geq 4$  than when  $g = 2, 3$ .

**Lemma 4.1.** *Let  $g \geq 2$ , and  $G$  a subgroup of the mapping class group  $\mathcal{M}_g$ . When the induced map  $H_1(U\Sigma_g)_G \rightarrow H_G$  by the natural projection is injective, there exists a surjective homomorphism*

$$H_1(G; H) \rightarrow \mathbb{Z}/(2g - 2)\mathbb{Z}.$$

Proof. Considering the Serre spectral sequence of the bundle map  $\varpi : U\Sigma_g \rightarrow \Sigma_g$ , we obtain the Gysin exact sequence

$$H_2(\Sigma_g) \xrightarrow{\Phi} H_0(\Sigma_g) \xrightarrow{\varpi^!} H_1(U\Sigma_g) \xrightarrow{\varpi_*} H_1(\Sigma_g) \rightarrow 0.$$

It is a classical fact that  $\Phi$  maps the fundamental class  $[\Sigma_g]$  to the Euler characteristic  $e([\Sigma_g]) = 2 - 2g$  under the isomorphism  $H_0(\Sigma_g) \cong \mathbb{Z}$ . For example, the description of the cohomological Gysin exact sequences of oriented sphere bundles can be found in Hatcher [6, pp.437–438]. Thus we obtain the exact sequence

$$0 \longrightarrow \mathbb{Z}/(2g - 2)\mathbb{Z} \xrightarrow{\varpi^!} H_1(U\Sigma_g) \xrightarrow{\varpi_*} H \rightarrow 0,$$

where  $\varpi^!$  maps  $1 \in \mathbb{Z}/(2g - 2)\mathbb{Z}$  to the homology class  $H_1(U\Sigma_g)$  represented by a fiber circle. Applying Proposition 2.2 to this sequence, we have an exact sequence

$$H_1(G; H) \longrightarrow (\mathbb{Z}/(2g - 2)\mathbb{Z})_G \xrightarrow{\varpi^!} H_1(U\Sigma_g)_G \xrightarrow{\varpi_*} H_G.$$

Since  $H_1(U\Sigma_g)_G \rightarrow H_G$  is injective, and  $G$  acts on  $\mathbb{Z}/(2g - 2)\mathbb{Z}$  trivially, we obtain the surjective homomorphism  $H_1(G; H) \rightarrow \mathbb{Z}/(2g - 2)\mathbb{Z}$ . □

**REMARK 4.2.** The homomorphism  $H_1(G; H) \rightarrow \mathbb{Z}/(2g - 2)\mathbb{Z}$  is written in [17, Section 6] explicitly. This coincide with the mod  $(2g - 2)$ -reduction of the contraction of the twisted homomorphism called the first Johnson homomorphism. In particular, the homomorphism  $H_1(G; H) \rightarrow H_1(\mathcal{M}_g; H)$  induced by the inclusion is surjective. Note that the handlebody

mapping class group  $\mathcal{H}_g$  satisfies the assumption of Lemma 4.1 because of Lemma 3.1.

By Lemma 3.1, we obtain a lower bound on the order of  $H_1(\mathcal{H}_g; H)$ . For a simple closed curve  $c$  in  $\Sigma_g$ , we denote by  $\mathcal{H}_g(c)$  the subgroup of  $\mathcal{H}_g$  which preserves the curve  $c$  setwise.

**Lemma 4.3.** *Let  $M$  be an  $\mathcal{H}_g$ -module on which  $\mathcal{L}_g$  acts trivially. Then there is a surjective homomorphism*

$$M_{\mathcal{H}_g(\alpha_1)} \rightarrow H_1(\mathcal{L}_g; M)_{\mathcal{H}_g}.$$

Proof. Since  $\mathcal{L}_g$  acts on  $M$  trivially, we have  $H_1(\mathcal{L}_g; M)_{\mathcal{H}_g} = (M \otimes H_1(\mathcal{L}_g))_{\mathcal{H}_g}$ . Here, by Remark 2.7, the action of  $\mathcal{H}_g$  on  $M \otimes H_1(\mathcal{L}_g)$  is described as

$$\varphi(m \otimes [l]) = \varphi(m) \otimes [\varphi l \varphi^{-1}],$$

where we denote the homology class of  $l \in \mathcal{L}_g$  by  $[l]$ . Luft [14, Corollary 2.4] proved that  $\mathcal{L}_g$  is normally generated by the Dehn twists along the curves  $\alpha_1$  and  $\delta$  in Figure 4. When

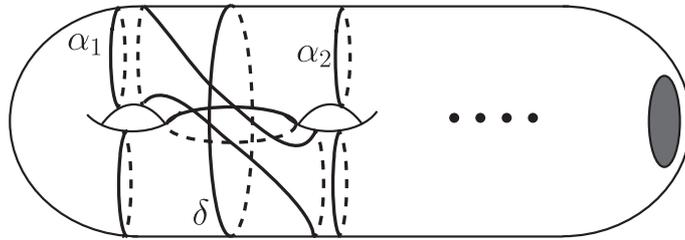


FIG. 4. the curve  $\delta$  and the Lantern relation

$g \geq 2$ , the lantern relation implies that the Dehn twist  $t_\delta$  can be written as a product of right and left Dehn twists along the boundary curves of the meridian disks depicted in Figure 4, each of which is conjugate to  $t_{\alpha_1}$  or  $t_{\alpha_1}^{-1}$ . Thus  $\mathcal{L}_g$  is normally generated by the Dehn twist  $t_{\alpha_1}$ , and  $H_1(\mathcal{L}_g; M)_{\mathcal{H}_g}$  is generated by  $\{m \otimes [t_{\alpha_1}] \mid m \in M\}$ . Therefore, we obtain the surjective homomorphism  $M \rightarrow H_1(\mathcal{L}_g; M)_{\mathcal{H}_g}$  defined by  $m \mapsto m \otimes [t_{\alpha_1}]$ , and it factors through  $M_{\mathcal{H}_g(\alpha_1)}$ .  $\square$

- Lemma 4.4.** (1)  $H_1(\mathcal{L}_g; H/L)_{\mathcal{H}_g} \cong 0$  or  $\mathbb{Z}/2\mathbb{Z}$  when  $g \geq 2$ .  
 (2)  $H_1(\mathcal{L}_g; L)_{\mathcal{H}_g} = 0$  when  $g \geq 3$ , and  $H_1(\mathcal{L}_2; L)_{\mathcal{H}_2} \cong 0$  or  $\mathbb{Z}/2\mathbb{Z}$  when  $g = 2$ .

Proof. The Luft group  $\mathcal{L}_g$  is generated by Dehn twists along meridian disks, and it acts on  $L$  and  $H/L$  trivially. Thus we have the isomorphism  $H_1(\mathcal{L}_g; M) = M \otimes H_1(\mathcal{L}_g)$  for  $M = H/L, L$ . By applying Lemma 4.3, we obtain the surjective homomorphism  $M_{\mathcal{H}_g(\alpha_1)} \rightarrow H_1(\mathcal{L}_g; M)_{\mathcal{H}_g}$ . We compute the order of the image of this homomorphism for each of the cases  $M = H/L$  and  $M = L$ .

(1) There exists a mapping class  $r_{1,j} \in \mathcal{H}_g$  for  $2 \leq j \leq g$  which preserves  $\alpha_1$  setwise and satisfies

$$r_{1,j}(x_l) = \begin{cases} -x_1 - x_2 - \cdots - x_j & \text{if } l = j, \\ x_l & \text{otherwise,} \end{cases}$$

$$r_{1,j}(y_l) = \begin{cases} x_1 + x_2 + \cdots + x_j + y_l - y_j & \text{if } 1 \leq l \leq j - 1, \\ x_1 + x_2 + \cdots + x_{j-1} + 2x_j - y_j & \text{if } l = j, \\ y_l & \text{otherwise.} \end{cases}$$

See Lemma 5.3 for details. Let us denote by  $\bar{y}_i$  the image of  $y_i$  under the natural homomorphism  $H \rightarrow H_1(H_g) \cong H/L$  induced by the inclusion  $\Sigma_g = \partial H_g \rightarrow H_g$ . Since  $r_{1,j}$  commutes with  $t_{\alpha_1}$ , we have

$$r_{1,j}(\bar{y}_1 \otimes [t_{\alpha_1}]) = r_{1,j}(\bar{y}_1) \otimes [r_{1,j}t_{\alpha_1}r_{1,j}^{-1}] = (\bar{y}_1 - \bar{y}_j) \otimes [t_{\alpha_1}] \in H_1(\mathcal{L}_g; H/L).$$

Thus we obtain  $\bar{y}_j \otimes [t_{\alpha_1}] = 0 \in H_1(\mathcal{L}_g; H/L)_{\mathcal{H}_g}$  for  $j = 2, \dots, g$ . Since the mapping class  $(t_{\beta_1}t_{\alpha_1})^3$  preserves each  $\alpha_i$  setwise for  $i = 1, 2, \dots, g$ , it is an element in  $\mathcal{H}_g$ , and it satisfies  $(t_{\beta_1}t_{\alpha_1})^3(\bar{y}_1) = -\bar{y}_1$ . Thus we have

$$(t_{\beta_1}t_{\alpha_1})^3(\bar{y}_1 \otimes [t_{\alpha_1}]) = -\bar{y}_1 \otimes [t_{\alpha_1}],$$

and  $2\bar{y}_1 \otimes [t_{\alpha_1}] = 0 \in H_1(\mathcal{L}_g; H/L)_{\mathcal{H}_g}$ . Since  $\text{Im}(M_{\mathcal{H}_g(\alpha_1)} \rightarrow (M \otimes H_1(\mathcal{L}_g))_{\mathcal{H}_g})$  is generated by  $\{\bar{y}_i \otimes [t_{\alpha_1}]\}_{i=1}^g$ , we obtain  $H_1(\mathcal{L}_g; H/L)_{\mathcal{H}_g} = 0$  or  $\mathbb{Z}/2\mathbb{Z}$ .

(2) Since  $r_{1,j}$  commutes with  $t_{\alpha_1}$  for  $j = 2, 3, \dots, g$ , we have

$$r_{1,j}(x_j \otimes [t_{\alpha_1}]) = -(x_1 + x_2 + \cdots + x_j) \otimes [t_{\alpha_1}],$$

and  $(x_1 + x_2 + \cdots + 2x_j) \otimes [t_{\alpha_1}] = 0 \in H_1(\mathcal{L}_g; L)_{\mathcal{H}_g}$ . For  $j = 1, 2, \dots, g$ , the mapping class  $s_j = t_{\beta_j}t_{\alpha_j}^2t_{\beta_j} \in \mathcal{H}_g$  also preserves  $\alpha_1$  setwise, and satisfies  $s_j(x_j) = -x_j$ . Thus we also have

$$s_j(x_j \otimes [t_{\alpha_1}]) = -x_j \otimes [t_{\alpha_1}],$$

and  $2x_j \otimes [t_{\alpha_1}] = 0 \in H_1(\mathcal{L}_g; L)_{\mathcal{H}_g}$ . Consequently, we obtain

$$x_1 \otimes [t_{\alpha_1}] = x_2 \otimes [t_{\alpha_1}] = \cdots = x_{g-1} \otimes [t_{\alpha_1}] = 2x_g \otimes [t_{\alpha_1}] = 0,$$

and it implies  $H_1(\mathcal{L}_g; L)_{\mathcal{H}_g} \cong 0$  or  $\mathbb{Z}/2\mathbb{Z}$ .

Now suppose  $g \geq 3$ . Then there exists a mapping class  $t_{g-1}$  (see Lemma 5.3) which preserves  $\alpha_1$  setwise and satisfies  $t_{g-1}(x_g) = x_{g-1}$ . Thus we also obtain  $(x_g - x_{g-1}) \otimes [t_{\alpha_1}] = 0$  when  $g \geq 3$ , and it implies  $H_1(\mathcal{L}_g; L)_{\mathcal{H}_g} = 0$ .  $\square$

Note that  $H^1(H_g)$  is naturally isomorphic to the kernel  $\text{Ker}(H_1(\Sigma_g) \rightarrow H_1(H_g)) = L$  of the natural surjection. This is shown by using Poincaré duality  $H^1(H_g) \cong H_2(H_g, \Sigma_g)$  and the cohomology exact sequence between spaces  $(H_g, \Sigma_g)$ , which is written as  $0 \rightarrow H_2(H_g, \Sigma_g) \rightarrow H_1(\Sigma_g) \rightarrow H_1(H_g) \rightarrow 0$ . Since  $\pi_1(H_g)$  is the free group  $F_g$  of rank  $g$ , we identify their cohomology and homology groups. That is,  $H^1(F_g) = H^1(H_g) = L$  and  $H_1(F_g) = H_1(H_g) = H/L$ .

From the five-term exact sequence (Theorem 2.6) induced by the exact sequence  $1 \rightarrow \mathcal{L}_g \rightarrow \mathcal{H}_g \rightarrow \text{Out } F_g \rightarrow 1$ , we have

$$H_1(\mathcal{L}_g; M)_{\mathcal{H}_g} \rightarrow H_1(\mathcal{H}_g; M) \rightarrow H_1(\text{Out } F_g; M) \rightarrow 0$$

for  $M = H/L, L$ . Here, since  $\mathcal{L}_g$  acts trivially on  $M$ , we have  $M_{\mathcal{L}_g} = M$ . Lemma 4.4 implies:

**Lemma 4.5.** *When  $g \geq 2$ , we have an exact sequence*

$$\mathbb{Z}/2\mathbb{Z} \longrightarrow H_1(\mathcal{H}_g; H/L) \longrightarrow H_1(\text{Out } F_g; H_1(F_g)) \longrightarrow 0,$$

where  $\mathbb{Z}/2\mathbb{Z} \rightarrow H_1(\mathcal{H}_g; H/L)$  denotes the zero map if  $H_1(\mathcal{L}_g; H/L)_{\mathcal{H}_g} = 0$ . When  $g \geq 3$ , we also have an isomorphism

$$H_1(\mathcal{H}_g; L) \cong H_1(\text{Out } F_g; H^1(F_g)).$$

The twisted first homology groups of  $\text{Out } F_n$  with coefficients in  $H_1(F_n)$  and  $H^1(F_n)$  were computed by Satoh [23, Theorem 1 (2)] as follows.

**Theorem 4.6** (Satoh [23, Theorem 1 (2)]).

$$H_1(\text{Out } F_n; H^1(F_n)) \cong \begin{cases} \mathbb{Z}/(n-1)\mathbb{Z} & \text{when } n \geq 4, \\ (\mathbb{Z}/2\mathbb{Z})^2 & \text{when } n = 3, \\ \mathbb{Z}/2\mathbb{Z} & \text{when } n = 2, \end{cases}$$

$$H_1(\text{Out } F_n; H_1(F_n)) \cong \begin{cases} 0 & \text{when } n \geq 4, \\ \mathbb{Z}/2\mathbb{Z} & \text{when } n = 2, 3. \end{cases}$$

For a finite set  $S$ , let us denote its order by  $|S|$ . Recall that  $|H_1(\mathcal{H}_g; H)|$  is at least  $2g - 2$  by Lemma 4.1. The homology exact sequence (Proposition 2.2 (2)) induced by the exact sequence  $0 \rightarrow L \rightarrow H \rightarrow H/L \rightarrow 0$  implies that  $|H_1(\mathcal{H}_g; H/L)|$  is at least  $(2g - 2)/|H_1(\mathcal{H}_g; L)|$ . By Lemma 4.5 and Theorem 4.6, we obtain:

**Lemma 4.7.**

$$H_1(\mathcal{H}_g; L) \cong \begin{cases} \mathbb{Z}/(g-1)\mathbb{Z} & \text{if } g \geq 4, \\ (\mathbb{Z}/2\mathbb{Z})^2 & \text{if } g = 3, \end{cases} \quad H_1(\mathcal{H}_g; H/L) \cong \mathbb{Z}/2\mathbb{Z} \text{ if } g \geq 4.$$

**REMARK 4.8.** Theorem 4.6 and Lemma 4.7 show  $\text{Ker}(H_1(\mathcal{H}_g; H/L) \rightarrow H_1(\text{Out } F_g; H_1(F_g))) \cong \mathbb{Z}/2\mathbb{Z}$  when  $g \geq 4$ . Thus Lemma 4.4 (1) implies  $H_1(\mathcal{L}_g; H/L)_{\mathcal{H}_g} \cong \mathbb{Z}/2\mathbb{Z}$  when  $g \geq 4$ .

**REMARK 4.9.** By Lemma 4.5 and Theorem 4.6, we see that the order of  $H_1(\mathcal{H}_g; H/L)$  for  $g = 2, 3$  is at most 4. In Propositions 6.9 and 6.19, we will show  $H_1(\mathcal{H}_2; L) \cong \mathbb{Z}/2\mathbb{Z}$  and  $H_1(\mathcal{H}_3; H/L) \cong (\mathbb{Z}/2\mathbb{Z})^2$  for  $g = 2, 3$ . By Lemma 4.4 (1) and Theorem 4.6, it also follows that  $H_1(\mathcal{L}_g; H/L)_{\mathcal{H}_g} \cong \mathbb{Z}/2\mathbb{Z}$  for  $g = 2, 3$ .

By Lemma 3.2,  $H_0(\mathcal{H}_g; L) = L_{\mathcal{H}_g} = 0$  for  $g \geq 2$ . Thus the homology exact sequence (Proposition 2.2 (2)) induced by the sequence  $0 \rightarrow L \rightarrow H \rightarrow H/L \rightarrow 0$  is written as

$$(4.1) \quad H_1(\mathcal{H}_g; L) \longrightarrow H_1(\mathcal{H}_g; H) \longrightarrow H_1(\mathcal{H}_g; H/L) \longrightarrow 0.$$

Lemma 4.7 and the exact sequence (4.1) give an upper bound on the order of  $H_1(\mathcal{H}_g; H)$ . Comparing this with the lower bound obtained in Lemma 4.1, we complete the proof of Theorem 1.1 for  $g \geq 4$ .

**REMARK 4.10.** In the proof of Theorem 1.1 above, we also see the sequence

$$0 \longrightarrow H_1(\mathcal{H}_g; L) \longrightarrow H_1(\mathcal{H}_g; H) \longrightarrow H_1(\mathcal{H}_g; H/L) \longrightarrow 0$$

is exact when  $g \geq 4$ .

**5. The Wajnryb’s presentation of the handlebody mapping class group**

In this section, we review the Wajnryb’s presentation of the handlebody mapping class group  $\mathcal{H}_g$  and compute the action of the handlebody mapping class group  $\mathcal{H}_g$  to the first homology  $H_1(\Sigma_g)$ . This is for preparing to calculate the twisted first homology  $H_1(\mathcal{H}_g; H)$  when  $g = 2, 3$  in Section 6.

**5.1. A presentation of the handlebody mapping class group.** Let  $g \geq 2$ . We identify the surface in Figure 1 with that in Figure 5. Let  $\epsilon_i$  be a simple closed curve in Figure 5 for  $i = 1, \dots, g - 1$ . By cutting the surface  $\Sigma_g$  along the simple closed curves  $\alpha_1, \dots, \alpha_g$ ,

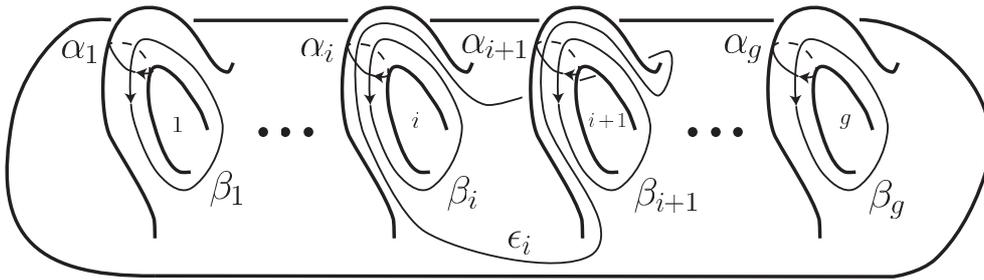


FIG.5. the surface  $\Sigma_g$

we obtain a  $(2g)$ -holed sphere with boundary components  $\{\partial_{-i}, \partial_i\}_{i=1}^g$  as in Figure 6, where  $\alpha_i$  and  $\beta_i$  correspond to the boundary components  $\partial_{-i} \amalg \partial_i$  and the path from  $\partial_i$  to  $\partial_{-i}$ , respectively. For integers  $i, j$  satisfying  $1 \leq i < j \leq g$ , we denote by  $\delta_{-j,-i}$  and  $\delta_{i,j}$  the simple

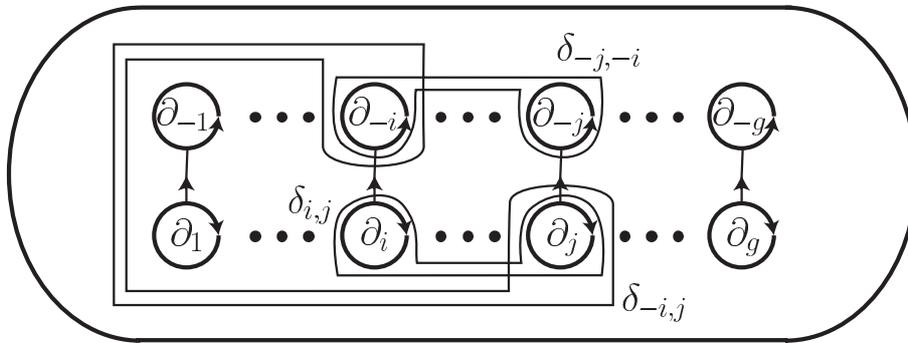


FIG.6. the  $(2g)$ -holed sphere

closed curves in Figure 6. For integers  $i, j$  satisfying  $1 \leq i \leq g$  and  $1 \leq j \leq g$ , we also denote by  $\delta_{-i,j}$  the simple closed curve in Figure 6. For simplicity, we denote by  $a_i, b_i, e_i, d_{1,2}$  the Dehn twists along the curves  $\alpha_i, \beta_i, \epsilon_j, \delta_{1,2}$ , respectively. Let us denote

$$I_0 = \{-g, -(g-1), \dots, -2, -1, 1, 2, \dots, g-1, g\},$$

$$s_1 = b_1 a_1^2 b_1,$$

$$t_i = e_i a_i a_{i+1} e_i, \text{ for } i = 1, \dots, g-1.$$

Since  $t_i$  permutes the simple closed curves  $\alpha_i$  and  $\alpha_{i+1}$  and fixes other  $\alpha_j$ , we also have  $t_i \in \mathcal{H}_g$ . In the following, we denote  $\varphi * \psi = \varphi \psi \varphi^{-1}$  for  $\varphi, \psi \in \mathcal{H}_g$ . For  $i, j \in I_0$  satisfying

$i < j$ , we denote

$$\begin{aligned} d_{i,j} &= (t_{i-1}t_{i-2} \cdots t_1 t_{j-1} t_{j-2} \cdots t_2) * d_{1,2} \text{ if } i > 0, \\ d_{i,j} &= (t_{-i-1}^{-1} t_{-i-2}^{-1} \cdots t_1^{-1} s_1^{-1} t_{j-1} t_{j-2} \cdots t_2) * d_{1,2} \text{ if } i < 0 \text{ and } i + j > 0, \\ d_{i,j} &= (t_{-i-1}^{-1} t_{-i-2}^{-1} \cdots t_1^{-1} s_1^{-1} t_j t_{j-1} \cdots t_2) * d_{1,2} \text{ if } j > 0 \text{ and } i + j < 0, \\ d_{i,j} &= (t_{-j-1}^{-1} t_{-j-2}^{-1} \cdots t_1^{-1} t_{-i-1}^{-1} t_{-i-2}^{-1} \cdots t_2^{-1} s_1^{-1} t_1^{-1} s_1^{-1}) * d_{1,2} \text{ if } j < 0, \\ d_{i,j} &= (t_{j-1}^{-1} d_{j-1,j} t_{j-2}^{-1} d_{j-2,j-1} \cdots t_1^{-1} d_{1,2}) * (s_1^2 a_1^4), \text{ if } i + j = 0. \end{aligned}$$

Here,  $d_{i,j}$  is actually the Dehn twist along  $\delta_{i,j}$  in Figure 6 as explained in [26, p. 211]. However, to give a presentation of  $\mathcal{H}_g$  with a small generating set, we treat  $d_{i,j}$  as the products above. We also denote

$$\begin{aligned} d_I &= (d_{i_1, i_2} d_{i_1, i_3} \cdots d_{i_1, i_n} d_{i_2, i_3} \cdots d_{i_2, i_n} d_{i_3, i_4} \cdots d_{i_{n-1}, i_n}) (a_{i_1} \cdots a_{i_n})^{2-n}, \\ &\text{where } I = \{i_1, \dots, i_n\} \subset I_0 \text{ and } i_1 < \cdots < i_n, \\ c_{i,j} &= d_I, \text{ where } I = \{k \in I_0 \mid i \leq k \leq j\} \text{ for } i \leq j. \end{aligned}$$

Here,  $d_I$  and  $c_{i,j}$  are the Dehn twists along simple closed curves which enclose  $\{\partial_{i_1}, \dots, \partial_{i_n}\}$  and  $\{\partial_i, \dots, \partial_j\}$ , respectively. See [26, p. 211] for details. Let us denote

$$\tilde{I} = \{(i, j) \in I_0^2 \mid i = 1, 1 < j\} \cup \{(i, j) \in I_0^2 \mid i < 0, -i < j \leq g + i\},$$

and

$$\begin{aligned} r_{i,j} &= b_j a_j c_{i,j} b_j, \text{ for } (i, j) \in \tilde{I}, \\ k_j &= a_j a_{j+1} t_j d_{j,j+1}^{-1} \text{ for } j = 1, \dots, g-1, \\ s_j &= (k_{j-1} k_{j-2} \cdots k_1) * s_1 \text{ for } j = 2, \dots, g, \\ z &= a_1 a_2 \cdots a_g (s_1 t_1 t_2 \cdots t_{g-1}) (s_1 t_1 \cdots t_{g-2}) \cdots (s_1 t_1) s_1 d_I, \text{ where } I = \{1, \dots, g\}, \\ z_j &= k_{j-1} k_{j-2} \cdots k_{g+1-j} z \text{ for } j > \frac{g}{2}. \end{aligned}$$

Here,  $r_{i,j}$  also lies in  $\mathcal{H}_g$  as is explained in [26, p. 211]. For  $\varphi, \psi \in \mathcal{H}_g$ , let us denote their commutator by  $[\varphi, \psi] = \varphi \psi \varphi^{-1} \psi^{-1}$ . Note that the elements defined here can be written as a product of  $a_1, \dots, a_g, d_{1,2}, s_1, t_1, \dots, t_{g-1}$ , and  $r_{i,j}$  for  $(i, j) \in \tilde{I}$ .

**Theorem 5.1** ([26, Theorem 18]). *The handlebody mapping class group of genus  $g$  admits the following presentation: The set of generators consists of  $a_1, \dots, a_g, d_{1,2}, s_1, t_1, \dots, t_{g-1}$ , and  $r_{i,j}$  for  $(i, j) \in \tilde{I}$ . The set of defining relations is:*

- (P1)  $[a_i, a_j] = 1, [a_i, d_{j,k}] = 1$ , for all  $i, j, k \in I_0$ ,
- (P2) Let  $i, j, r, s \in I_0$ .
  - (a)  $d_{r,s}^{-1} * d_{i,j} = d_{i,j}$  if  $r < s < i < j$  or  $i < r < s < j$ ,
  - (b)  $d_{r,i}^{-1} * d_{i,j} = d_{r,j} * d_{i,j}$  if  $r < i < j$ ,
  - (c)  $d_{i,s}^{-1} * d_{i,j} = (d_{i,j} d_{s,j}) * d_{i,j}$  if  $i < s < j$ ,
  - (d)  $d_{r,s}^{-1} * d_{i,j} = [d_{r,j}, d_{s,j}] * d_{i,j}$  if  $r < i < s < j$ ,
- (P3)  $d_{I_0} = 1$ ,
- (P4)  $d_{I_k} = a_{|k|}$  where  $I_k = I_0 - \{k\}$  for  $k \in I_0$ ,
- (P5)  $t_i t_{i+1} t_i = t_{i+1} t_i t_{i+1}$  for  $i = 1, \dots, g-2$ , and  $[t_i, t_j] = 1$  if  $1 \leq i < j-1 < g-1$ ,
- (P6)  $t_i^2 = d_{i,i+1} d_{-i-1, -i} a_i^{-2} a_{i+1}^{-2}$  for  $i = 1, \dots, g-1$ ,

- (P7)  $[s_1, a_i] = 1$  for  $i = 1, \dots, g$ ,  $t_i * a_i = a_{i+1}$  for  $i = 1, \dots, g - 1$ ,  $[a_i, t_j] = 1$  for  $i, j \in I_0$  satisfying  $j \neq i, i - 1$ , and  $[t_i, s_1] = 1$  for  $i = 2, \dots, g - 1$ ,
- (P8)  $[s_1, d_{2,3}] = 1$ ,  $[s_1, d_{-2,2}] = 1$ ,  $s_1 t_1 s_1 t_1 = t_1 s_1 t_1 s_1$ , and  $[t_i, d_{1,2}] = 1$  for  $i = 1, 3, \dots, g - 1$ ,
- (P9)  $r_{i,j}^2 = s_j c_{i,j} s_j c_{i,j}^{-1}$  for  $(i, j) \in \tilde{I}$ ,
- (P10) Let  $(i, j) \in \tilde{I}$ .
  - (a)  $r_{i,j} * a_j = c_{i,j}$  and  $[r_{i,j}, a_k] = 1$  if  $k \neq j$ ,
  - (b)  $[r_{i,j}, t_k] = 1$  if  $k \neq |i|, j$  or  $k = i = 1 < j - 1$ ,
  - (c)  $[r_{i,j}, s_k] = 1$  if  $k < |i|$ ,  $j < k$  or  $k = -i$ ,
  - (d)  $[r_{i,j}, d_{k,m}] = 1$  if  $k, m \in \{i, \dots, j - 1\}$  or  $k, m \notin \{-j, i, i + 1, \dots, j\}$ ,
  - (e)  $[r_{i,j}, z_j] = 1$  if  $(i, j) = (1, g)$  or  $j = i + g$ ,
  - (f)  $r_{i,j} * d_{i,j} = d_J$  where  $J = \{k \in I_0; i < k \leq j\}$ ,
  - (g)  $r_{1,j} * d_{-j,1-j} = (t_{j-2} t_{j-3} \cdots t_1) * c_{-1,j}$ ,
  - (h)  $r_{i,j} * d_{-j,1-j} = (t_{j-2} t_{j-3} \cdots t_{1-i}) * c_{i-1,j}$  if  $i < 0$  and  $j + i > 1$ ,
  - (i)  $r_{i,j}^{-1} * d_{-j-1,-j} = s_{j+1}^{-1} * c_{i,j+1}$  if  $j < g$ ,
- (P11)  $r_{i,j} * t_{j-1} = t_{j-1}^{-1} * r_{i,j}$  if  $(i, j) \in \tilde{I}$  and  $-i + 1 \neq j$ ,
- (P12) (a) Let  $h_2 = k_{j-1}^{-1} t_{j-2}^{-1} t_{j-3}^{-1} \cdots t_1^{-1} k_{j-1} k_{j-2} \cdots k_2$ .

$$r_{1,j} = s_j c_{1,j} s_j c_{1,j}^{-1} k_{j-1} a_j c_{1,j-2} t_{j-1} c_{1,j-1}^{-1} t_{j-1}^{-1} r_{1,j-1}^{-1} s_{j-1} h_2 r_{1,2}^{-1} h_2^{-1} k_{j-1}^{-1}$$

for  $3 \leq j \leq g$ .

- (b) Let  $h_3 = s_1 k_{j-1} k_{j-2} \cdots k_2$ .

$$r_{-1,j} = h_3 r_{1,2}^{-1} h_3^{-1} s_j r_{1,j}^{-1} c_{-1,j-1}^{-1} c_{1,j-1} a_1 s_j c_{-1,j} s_j c_{-1,j}^{-1}$$

for  $2 \leq j \leq g - 1$ .

- (c) Let  $h_3 = s_{-i} t_{-1-i}^{-1} t_{-2-i}^{-1} \cdots t_1^{-1} k_{j-1} k_{j-2} \cdots k_3 k_2$ .

$$r_{i,j} = h_3 r_{1,2}^{-1} h_3^{-1} s_j r_{i+1,j}^{-1} c_{i+1,j-1}^{-1} c_{i+1,j-1} a_{-i} s_j c_{i,j} s_j c_{i,j}^{-1}$$

for  $i < -1$  and  $(i, j) \in \tilde{I}$ .

REMARK 5.2. Note that there are some mistakes in the Wajnryb's presentation in [26]. The mapping class  $z_j$  is defined as the conjugation of  $z$  by  $k_{j-1} k_{j-2} \cdots k_{g+1-j}$  in [26]. However, as mentioned in [20], it should be defined as the product  $k_{j-1} k_{j-2} \cdots k_{g+1-j} z$ . In (P11), the condition  $-i + 1 \neq j$  is needed. The relations of type (P11) are obtained in the situation when the pair of simple closed curves  $\partial_k$  and  $\partial_{-k}$  are separated by  $\gamma_{i,j}$  for  $k = j, j - 1$  (see CASE 1 in [26, p.223]), and the equation  $r_{-(j-1),j} * t_{j-1} = t_{j-1}^{-1} * r_{-(j-1),j}$  in fact does not hold for any  $2 \leq j \leq g$ . We also erase the relation  $s_1^2 = d_{-1,1} a_1^{-4}$  in (P6) written in [26]. This is because we already defined  $d_{-1,1}$  as  $s_1^2 a_1^4$ .

**5.2. Action on the first homology  $H_1(\Sigma_g)$ .** Here, we compute the action of the handlebody mapping class group  $\mathcal{H}_g$  on the first homology  $H_1(\Sigma_g)$  of the boundary surface. Recall that  $x_1, \dots, x_g, y_1, \dots, y_g$  are the homology classes represented by the simple closed curves  $\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g$  in Figures 1 and 5.

**Lemma 5.3.** For  $1 \leq i \leq g$ ,

$$a_i(x_l) = x_l, \quad a_i(y_l) = \begin{cases} x_i + y_i & \text{if } l = i, \\ y_l & \text{otherwise,} \end{cases}$$

and

$$s_i(x_l) = \begin{cases} -x_i & \text{if } l = i, \\ x_l & \text{otherwise,} \end{cases} \quad s_i(y_l) = \begin{cases} 2x_i - y_i & \text{if } l = i, \\ y_l & \text{otherwise.} \end{cases}$$

For each  $i, j \in I_0$  such that  $i < j$ ,

$$d_{i,j}(x_l) = x_l, \quad d_{i,j}(y_l) = \begin{cases} \varepsilon(i)x_{|i|} + \varepsilon(j)x_{|j|} + y_l & \text{if } l = |i|, |j|, \\ y_l & \text{otherwise,} \end{cases}$$

where  $\varepsilon(i) = 1$  if  $i > 0$ , and  $\varepsilon(i) = -1$  if  $i < 0$ .

For  $1 \leq i \leq g - 1$ ,

$$t_i(x_l) = \begin{cases} x_{i+1} & \text{if } l = i, \\ x_i & \text{if } l = i + 1, \\ x_l & \text{otherwise,} \end{cases} \quad t_i(y_l) = \begin{cases} x_i + y_{i+1} & \text{if } l = i, \\ x_{i+1} + y_i & \text{if } l = i + 1, \\ y_l & \text{otherwise,} \end{cases}$$

and

$$k_i(x_l) = \begin{cases} x_{i+1} & \text{if } l = i, \\ x_i & \text{if } l = i + 1, \\ x_l & \text{otherwise,} \end{cases} \quad k_i(y_l) = \begin{cases} y_{i+1} & \text{if } l = i, \\ y_i & \text{if } l = i + 1, \\ y_l & \text{otherwise.} \end{cases}$$

For  $1 < j \leq g$ ,

$$r_{1,j}(x_l) = \begin{cases} -x_1 - \cdots - x_j & \text{if } l = j, \\ x_l & \text{otherwise,} \end{cases}$$

$$r_{1,j}(y_l) = \begin{cases} x_1 + \cdots + x_j + y_l - y_j & \text{if } 1 \leq l \leq j - 1, \\ x_1 + \cdots + x_{j-1} + 2x_j - y_j & \text{if } l = j, \\ y_l & \text{otherwise,} \end{cases}$$

and for  $(i, j) \in \tilde{I}$  such that  $i < 0$ ,

$$r_{i,j}(x_l) = \begin{cases} -x_{-i+1} - \cdots - x_j & \text{if } l = j, \\ x_l & \text{otherwise,} \end{cases}$$

$$r_{i,j}(y_l) = \begin{cases} x_{-i+1} + \cdots + x_j + y_l - y_j & \text{if } -i + 1 \leq l \leq j - 1, \\ x_{-i+1} + \cdots + x_{j-1} + 2x_j - y_j & \text{if } l = j, \\ y_l & \text{otherwise.} \end{cases}$$

Proof. The equations for the mapping classes  $a_i$  and  $d_{i,j}$  are obvious because  $a_i$  and  $d_{i,j}$  are Dehn twists along  $\alpha_i$  and  $\delta_{i,j}$  respectively. Similarly we have

$$b_i(x_l) = \begin{cases} x_i - y_i & \text{if } l = i, \\ y_l & \text{otherwise,} \end{cases} \quad b_i(y_l) = y_l,$$

for  $1 \leq i \leq g$  and

$$e_i(x_l) = \begin{cases} x_i - y_i + y_{i+1} & \text{if } l = i, \\ x_{i+1} + y_i - y_{i+1} & \text{if } l = i + 1, \\ x_l & \text{otherwise,} \end{cases} \quad e_i(y_l) = y_l,$$

for  $1 \leq i \leq g-1$ .

Since  $t_i = e_i a_i a_{i+1} e_i$ , we have

$$\begin{aligned} t_i(x_i) &= (e_i a_i a_{i+1})(x_i - y_i + y_{i+1}) = e_i(x_{i+1} - y_i + y_{i+1}) = x_{i+1}, \\ t_i(x_{i+1}) &= (e_i a_i a_{i+1})(x_{i+1} + y_i - y_{i+1}) = e_i(x_i + y_i - y_{i+1}) = x_i, \\ t_i(y_i) &= (e_i a_i a_{i+1})(y_i) = e_i(x_i + y_i) = x_i + y_{i+1}, \\ t_i(y_{i+1}) &= (e_i a_i a_{i+1})(y_{i+1}) = e_i(x_{i+1} + y_{i+1}) = x_{i+1} + y_i \end{aligned}$$

and  $t_i$  acts trivially on other  $x_l$ 's and  $y_l$ 's.

Since  $k_i = a_i a_{i+1} t_i d_{i,i+1}^{-1}$ , we have

$$\begin{aligned} k_i(x_i) &= (a_i a_{i+1} t_i)(x_i) = (a_i a_{i+1})(x_{i+1}) = x_{i+1}, \\ k_i(x_{i+1}) &= (a_i a_{i+1} t_i)(x_{i+1}) = (a_i a_{i+1})(x_i) = x_i, \\ k_i(y_i) &= (a_i a_{i+1} t_i)(-x_i - x_{i+1} + y_i) = (a_i a_{i+1})(-x_{i+1} + y_{i+1}) = y_{i+1}, \\ k_i(y_{i+1}) &= (a_i a_{i+1} t_i)(-x_i - x_{i+1} + y_{i+1}) = (a_i a_{i+1})(-x_i + y_i) = y_i, \end{aligned}$$

and  $k_i$  acts trivially on other  $x_l$ 's and  $y_l$ 's.

Since  $s_1 = b_1 a_1^2 b_1$ , we have

$$\begin{aligned} s_1(x_1) &= (b_1 a_1^2)(x_1 - y_1) = b_1(-x_1 - y_1) = -x_1, \\ s_1(y_1) &= (b_1 a_1^2)(y_1) = b_1(2x_1 + y_1) = 2x_1 - y_1, \end{aligned}$$

and  $s_1$  acts trivially on other  $x_l$ 's and  $y_l$ 's. The elements  $s_i$ 's are inductively defined by the recurrence relation  $s_{i+1} = k_i s_i k_i^{-1}$ . The element  $k_i$  replaces  $x_i$  and  $x_{i+1}$  with each other and  $y_i$  and  $y_{i+1}$  also. Hence the equation for  $s_i$  follows by induction.

Lastly, we verify the equations for  $r_{i,j}$ . In the case  $0 < i < j$ , we have

$$c_{i,j}(x_l) = x_l, \quad c_{i,j}(y_l) = \begin{cases} x_i + \cdots + x_j + y_l & \text{if } i \leq l \leq j, \\ y_l & \text{otherwise.} \end{cases}$$

Since  $r_{i,j} = b_j a_j c_{i,j} b_j$ , we have

$$\begin{aligned} r_{1,j}(x_j) &= (b_j a_j c_{1,j})(x_j - y_j) \\ &= (b_j a_j)(-x_1 - \cdots - x_{j-1} - y_j) \\ &= -x_1 - \cdots - x_j, \end{aligned}$$

and for  $1 \leq l \leq j$

$$\begin{aligned} r_{1,j}(y_l) &= (b_j a_j c_{1,j})(y_l) \\ &= (b_j a_j)(x_1 + \cdots + x_j + y_l) \\ &= \begin{cases} x_1 + \cdots + x_j + y_l - y_j & \text{if } 1 \leq l \leq j-1, \\ x_1 + \cdots + x_{j-1} + 2x_j - y_j & \text{if } l = j. \end{cases} \end{aligned}$$

The element  $r_{1,j}$  acts trivially on other  $x_l$ 's and  $y_l$ 's.

In the case  $(i, j) \in \tilde{I}$  and  $i < 0$ , we have

$$c_{i,j}(x_l) = x_l, \quad c_{i,j}(y_l) = \begin{cases} x_{-i+1} + \cdots + x_j + y_l & \text{if } -i+1 \leq l \leq j, \\ y_l & \text{otherwise.} \end{cases}$$

Hence we have

$$\begin{aligned} r_{i,j}(x_j) &= (b_j a_j c_{i,j})(x_j - y_j) \\ &= (b_j a_j)(-x_{-i+1} - \cdots - x_{j-1} - y_j) \\ &= -x_{-i+1} - \cdots - x_j, \end{aligned}$$

and for  $-i + 1 \leq l \leq j$

$$\begin{aligned} r_{i,j}(y_l) &= (b_j a_j c_{i,j})(y_l) \\ &= (b_j a_j)(x_{-i+1} + \cdots + x_j + y_l) \\ &= \begin{cases} x_{-i+1} + \cdots + x_j + y_l - y_j & \text{if } -i + 1 \leq l \leq j - 1, \\ x_{-i+1} + \cdots + x_{j-1} + 2x_j - y_j & \text{if } l = j. \end{cases} \end{aligned}$$

The element  $r_{i,j}$  acts trivially on other  $x_l$ 's and  $y_l$ 's. □

**6. Proof of Theorem 1.1 for  $g = 2, 3$**

In this section, we prove Theorem 1.1 for  $g = 2, 3$ . We denote by  $A$  the ring  $\mathbb{Z}$  or  $\mathbb{Z}/n\mathbb{Z}$  for an integer  $n \geq 2$ , and  $H_A = H_1(\Sigma_g; A)$ . Recall that, for a group  $G$  and a left  $G$ -module  $M$ , a map  $d : G \rightarrow M$  is called a crossed homomorphism if it satisfies  $d(hh') = d(h) + hd(h')$  for  $h, h' \in G$ . As explained in Remark 2.1, if we consider the normalized bar resolution of  $G$ , the set of 1-cocycles  $Z^1(G; M)$  is identified with the set of crossed homomorphisms from  $G$  to  $M$ , and the set of 1-coboundaries  $B^1(G; M)$  is identified with the image of the coboundary map  $\delta : M \rightarrow Z^1(G; M)$  defined by  $\delta(m)(h) = hm - m$  for  $m \in M$ .

As written in [26, Theorem 19], the handlebody mapping class group  $\mathcal{H}_g$  is generated by  $a_1, s_1, r_{1,2}, t_1$ , and  $u = t_1 t_2 \cdots t_{g-1}$ . Therefore, crossed homomorphisms  $d : \mathcal{H}_g \rightarrow H_A$  are uniquely determined by the values  $d(a_1), d(s_1), d(r_{1,2}), d(t_1)$ , and  $d(u)$ . Moreover, a 5-tuple of elements in  $H_A$  becomes values of  $a_1, s_1, r_{1,2}, t_1$ , and  $u$  under some crossed homomorphism  $d$  on  $\mathcal{H}_g$  if and only if they are compatible with the relations (P1)-(P12) in Theorem 5.1. The basis  $\{x_1, \dots, x_g, y_1, \dots, y_g\}$  of  $H_A$  induces an isomorphism  $H_A \cong A^{2g}$ . For  $v \in H_A$ , we denote its projection to the  $i$ -th coordinate of  $A^{2g}$  by  $v_i \in A$  for  $i = 1, 2, \dots, 2g$ .

**Lemma 6.1.**

$$\begin{aligned} H^1(\mathcal{H}_2; H_A) &\cong \{d \in Z^1(\mathcal{H}_2; H_A); d(r_{1,2})_1 = d(s_1)_3 - d(r_{1,2})_4 = d(u)_2 = d(u)_4 = 0\}, \\ H^1(\mathcal{H}_3; H_A) &\cong \{d \in Z^1(\mathcal{H}_3; H_A); \\ &\quad d(r_{1,2})_1 = d(s_1)_4 - d(r_{1,2})_5 = d(u)_2 = d(u)_3 = d(u)_5 = d(u)_6 = 0\}. \end{aligned}$$

Proof. Let  $A, B$ , and  $C$  are modules, and  $g : A \rightarrow B$  and  $f : B \rightarrow C$  are homomorphisms such that  $f \circ g : A \rightarrow C$  is an isomorphism. Then it is easy to show that the composition map of the natural inclusion  $\text{Ker } f \rightarrow B$  and the projection  $B \rightarrow B/\text{Im } g$  induces an isomorphism  $\text{Ker } f \cong B/\text{Im } g$ . We use this fact below.

Let  $f_2 : Z^1(\mathcal{H}_2; H_A) \rightarrow A^4$  and  $f_3 : Z^1(\mathcal{H}_3; H_A) \rightarrow A^6$  be homomorphisms defined by

$$\begin{aligned} f_2(d) &= (d(r_{1,2})_1, d(s_1)_3 - d(r_{1,2})_4, d(u)_2, d(u)_4), \text{ and} \\ f_3(d) &= (d(r_{1,2})_1, d(s_1)_4 - d(r_{1,2})_5, d(u)_2, d(u)_3, d(u)_5, d(u)_6), \end{aligned}$$

respectively. Then the composition maps  $f_g \circ \delta : H_A \rightarrow A^{2g}$  are written as

$$f_2 \circ \delta(v) = (-v_2 + v_3 + v_4, -v_3 + 2v_4, v_1 - v_2 + v_4, v_3 - v_4),$$

$$f_3 \circ \delta(v) = (-v_2 + v_4 + v_5, -v_4 + 2v_5, v_1 - v_2 + v_6, v_2 - v_3 + v_6, v_4 - v_5, v_5 - v_6),$$

for  $v \in H_A$ . Since these maps are isomorphisms, we have

$$H^1(\mathcal{H}_g; H_A) = Z^1(\mathcal{H}_g; H_A)/B^1(\mathcal{H}_g; H_A) \cong \text{Ker } f_g$$

for  $g = 2, 3$ . □

**Lemma 6.2.** *Suppose  $d \in Z^1(\mathcal{H}_g; H_A)$  satisfies  $d(u)_2 = \cdots = d(u)_g = d(u)_{g+2} = \cdots = d(u)_{2g} = 0$  as in Lemma 6.1. Then,*

$$(1) \quad d(a_i) = u^{i-1}d(a_1).$$

$$(2) \quad d(t_i) = u^{i-1}d(t_1).$$

Proof. Note that  $a_i = u^{i-1}a_1u^{-(i-1)}$ . It can be checked using the relation (P7). Hence we have

$$d(a_{i+1}) = d(u) + ud(a_i) - ua_iu^{-1}d(u) = d(u) + ud(a_i) - a_{i+1}d(u).$$

Since  $(a_{i+1}v)_1 = v_1$  and  $(a_{i+1}v)_{g+1} = v_{g+1}$  for any  $v \in H_A$ , we have  $a_{i+1}d(u) = d(u)$ , and thus  $d(a_{i+1}) = ud(a_i)$ . By induction on  $i$ , we have the equation (1). The equation (2) can be similarly verified. □

**Lemma 6.3.** *Suppose  $d \in Z^1(\mathcal{H}_g; H_A)$  satisfies  $d(u)_2 = \cdots = d(u)_g = d(u)_{g+2} = \cdots = d(u)_{2g} = 0$  as in Lemma 6.1. Then*

$$(1) \quad d(a_1)_{g+1} = \cdots = d(a_1)_{2g} = 0.$$

$$(2) \quad 2d(a_1)_2 = \cdots = 2d(a_1)_g = 0.$$

$$(3) \quad d(s_1)_{g+2} = \cdots = d(s_1)_{2g} = 0.$$

$$(4) \quad d(s_1)_{g+1} = -2d(a_1)_1.$$

$$(5) \quad d(a_1)_2 + d(r_{1,2})_{g+1} = 0.$$

Proof. For any  $i$  and  $j$ ,

$$d(a_i a_j) = d(a_i) + a_i d(a_j) = d(a_i) + d(a_j) + d(a_j)_{g+i} x_i.$$

Since  $a_1$  and  $a_i$  commute for any  $1 \leq i \leq g$  by the relation (P1), it must be  $d(a_1 a_i) = d(a_1 a_i)$ , and thus  $d(a_1)_{g+i} = 0$  for any  $2 \leq i \leq g$ .

Since  $a_1$  and  $r_{1,2}$  commute by the relation (P10)(a), it must be

$$(1 - a_1)d(r_{1,2}) = (1 - r_{1,2})d(a_1).$$

Since  $((1 - r_{1,2})v)_{g+2} = v_{g+1} + 2v_{g+2}$  for any  $v \in H_A$  while  $((1 - a_1)v)_{g+2} = 0$ , we have  $d(a_1)_{g+1} = 0$  and thus the equation (1). Since  $((1 - r_{1,2})v)_1 = v_2 - v_{g+1} - v_{g+2}$  for any  $v \in H_A$  while  $((1 - a_1)v)_1 = -v_{g+1}$ , we have the equation (5).

Note that  $a_i$  and  $s_j$  commute for any  $1 \leq i, j \leq g$ . It can be verified using the relations (P1) and (P7). Hence it must be

$$(1 - s_j)d(a_i) = (1 - a_i)d(s_j).$$

Suppose  $i = 1$  and  $2 \leq j \leq g$ . Then we have the equation (2) because  $((1 - s_j)v)_j = 2v_j - 2v_{g+j}$  and  $((1 - a_1)v)_j = 0$  for any  $v \in H_A$ . Suppose  $2 \leq i \leq g$  and  $j = 1$ . Then we have the equation

(3) because  $((1 - s_1)v)_i = 0$  and  $((1 - a_i)v)_i = -v_{g+i}$  for any  $v \in H_A$ . Suppose  $i = j = 1$ . Then we have the equation (4) because  $((1 - s_1)v)_1 = 2v_1 - 2v_{g+1}$  and  $((1 - a_1)v)_1 = -v_{g+1}$  for any  $v \in H_A$ .  $\square$

**6.1.**  $H^1(\mathcal{H}_2; H_A)$ . Here, we assume  $g = 2$  and prove that  $H^1(\mathcal{H}_2; H_A) \cong \text{Hom}((\mathbb{Z}/2\mathbb{Z})^2, A)$  for  $A = \mathbb{Z}$  and  $\mathbb{Z}/n$ . Since  $H_A \cong \text{Hom}_{\mathbb{Z}}(H, A)$  as an  $\mathcal{H}_2$ -module, and  $\text{Ext}_{\mathbb{Z}}^1(H_0(\mathcal{H}_2; H_A), A) = 0$ , the universal coefficient theorem (Theorem 2.3) implies

$$\text{Hom}_{\mathbb{Z}}(H_1(\mathcal{H}_2; H), A) \cong H^1(\mathcal{H}_2; \text{Hom}_{\mathbb{Z}}(H, A)) \cong \text{Hom}((\mathbb{Z}/2\mathbb{Z})^2, A).$$

By the structure theorem for finitely generated abelian groups, we have  $H_1(\mathcal{H}_2; H) \cong (\mathbb{Z}/2\mathbb{Z})^2$ , and we complete the proof of Theorem 1.1 when  $g = 2$ .

Let  $d \in Z^1(\mathcal{H}_2; H_A)$  be a crossed homomorphism satisfying the condition  $d(r_{1,2})_1 = d(s_1)_3 - d(r_{1,2})_4 = d(u)_2 = d(u)_4 = 0$  as in Lemma 6.1. Note that in this case  $u = t_1$ . By Lemma 6.3, we can set

$$\begin{aligned} d(a_1) &= w_{1,1}x_1 + w_{1,2}x_2, \\ d(s_1) &= w_{2,1}x_1 + w_{2,2}x_2 + w_{2,3}y_1, \\ d(t_1) &= w_{3,1}x_1 + w_{3,3}y_1, \\ d(r_{1,2}) &= w_{4,2}x_2 + w_{4,3}y_1 + w_{4,4}y_2. \end{aligned}$$

By the condition on  $d$  and Lemma 6.3, we also have

$$(6.1) \quad 2w_{1,2} = 0, w_{2,3} = w_{4,4} = -2w_{1,1}, \text{ and } w_{1,2} + w_{4,3} = 0.$$

**Lemma 6.4.**

$$w_{1,2} = w_{4,3} = 0.$$

Moreover, we have

$$d(d_{1,2}) = w_{1,1}(x_1 + x_2).$$

Proof. Since  $w_{1,2} + w_{4,3} = 0$ , it suffices to prove that  $w_{4,3} = 0$ . Note that by Lemma 6.2, we have  $d(a_2) = t_1d(a_1) = w_{1,2}x_1 + w_{1,1}x_2$ . Since  $d_{1,2} = r_{1,2}a_2r_{1,2}^{-1}$  by the relation (P10)(a),

$$\begin{aligned} d(d_{1,2}) &= d(r_{1,2}) + r_{1,2}d(a_2) - r_{1,2}a_2r_{1,2}^{-1}d(r_{1,2}) \\ &= (1 - d_{1,2})d(r_{1,2}) + r_{1,2}d(a_2) \\ &= (-w_{1,1} - w_{4,3} - w_{4,4})(x_1 + x_2) + w_{1,2}x_1. \end{aligned}$$

Since  $a_2 = r_{1,2}d_{1,2}r_{1,2}^{-1}$  by the relation (P10)(f),

$$d(a_2) = (1 - a_2)d(r_{1,2}) + r_{1,2}d(d_{1,2}) = w_{1,2}x_1 + (w_{1,1} + w_{4,3})x_2.$$

Thus we obtain  $w_{4,3} = 0$ . By the equation  $w_{4,4} = -2w_{1,1}$  in (6.1), we have  $d(d_{1,2}) = w_{1,1}(x_1 + x_2)$ .  $\square$

**Lemma 6.5.**

$$w_{2,3} = w_{3,1} = w_{3,3} = w_{4,4} = 0.$$

In particular, we have  $d(t_1) = 0$  and  $2w_{1,1} = 0$ .

Proof. Recall that  $d_{-2,-1} = (s_1 t_1 s_1)^{-1} d_{1,2} (s_1 t_1 s_1)$ . In the case  $g = 2$ , the Dehn twist  $d_{-2,-1}$  coincides with  $d_{1,2}$ . Hence the elements  $d_{1,2}$  and  $s_1 t_1 s_1$  commute and it must be  $(1 - d_{1,2})d(s_1 t_1 s_1) = (1 - s_1 t_1 s_1)d(d_{1,2})$ . Since

$$\begin{aligned} ((1 - d_{1,2})d(s_1 t_1 s_1))_1 &= ((1 - d_{1,2})d(s_1 t_1 s_1))_2 = -2w_{2,3} + w_{3,3} \text{ while} \\ ((1 - s_1 t_1 s_1)d(d_{1,2}))_1 &= ((1 - s_1 t_1 s_1)d(d_{1,2}))_2 = 2w_{1,1}, \end{aligned}$$

we have  $2w_{1,1} = -2w_{2,3} + w_{3,3}$ . The equation  $w_{2,3} = -2w_{1,1}$  in (6.1) shows  $w_{2,3} = w_{3,3}$ .

Since  $w_{2,3} = w_{3,3} = w_{4,4}$ , it remains to prove that  $w_{3,1} = w_{3,3} = 0$ . Note that each of  $d(a_1)$ ,  $d(a_2)$ , and  $d(d_{1,2})$  are in  $L_A = \text{Ker}(H_1(\Sigma_2; A) \rightarrow H_1(H_2; A))$ . By the relation (P6),

$$t_1^2 = d_{1,2}^2 a_1^{-2} a_2^{-2}.$$

Since each of  $a_1$ ,  $a_2$  and  $d_{1,2}$  acts on  $L_A$  trivially, we have

$$d(d_{1,2}^2 a_1^{-2} a_2^{-2}) = 2(d(d_{1,2}) - d(a_1) - d(a_2)) = 0.$$

On the other hand, we have

$$d(t_1^2) = d(t_1) + t_1 d(t_1) = w_{3,1}(x_1 + x_2) + w_{3,3}(x_3 + x_4) + w_{3,3}x_1.$$

These equations show  $w_{3,1} = w_{3,3} = 0$ . □

**Lemma 6.6.**

$$w_{4,2} = 0.$$

In particular, we have  $d(r_{1,2}) = 0$ .

Proof. The relation  $t_1 r_{1,2} t_1 = r_{1,2} t_1 r_{1,2}$  in (P11) shows

$$(1 - t_1 + r_{1,2} t_1)d(r_{1,2}) = (1 - r_{1,2} + t_1 r_{1,2})d(t_1).$$

By Lemma 6.5, the right hand side is equal to zero. Since

$$(1 - t_1 + r_{1,2} t_1)d(r_{1,2}) = w_{4,2}x_2,$$

we have  $w_{4,2} = 0$ . □

**Lemma 6.7.**

$$2w_{2,2} = 0.$$

Proof. The relation  $r_{1,2}^2 = s_2 d_{1,2} s_2 d_{1,2}^{-1}$  in (P9) and Lemma 6.6 show

$$d(s_2 d_{1,2} s_2 d_{1,2}^{-1}) = d(r_{1,2}^2) = 0.$$

Recall that  $k_1 = a_1 a_2 t_1 d_{1,2}^{-1}$  and  $s_2 = k_1 s_1 k_1^{-1}$ . Hence we have

$$\begin{aligned} d(k_1) &= d(a_1) + d(a_2) - t_1 d(d_{1,2}) = 0, \text{ and} \\ d(s_2) &= d(k_1) + k_1 d(s_1) - s_2 d(k_1) = k_1 d(s_1). \end{aligned}$$

Therefore, we have

$$d(s_2 d_{1,2} s_2 d_{1,2}^{-1}) = (1 + s_2 d_{1,2})d(s_2) + (s_2 - 1)d(d_{1,2}) = 2w_{2,2}x_1$$

and thus  $2w_{2,2} = 0$ .  $\square$

**Lemma 6.8.**

$$w_{2,1} = w_{2,2}.$$

Proof. Recall that  $z = a_1 a_2 s_1 t_1 s_1 d_{1,2}$  and  $z_2 = k_1 z$ . Hence we have

$$\begin{aligned} d(z) &= d(a_1) + d(a_2) + (1 + s_1 t_1)d(s_1) + s_1 t_1 s_1 d(d_{1,2}) \\ &= (w_{2,1} + w_{2,2})(x_1 + x_2), \text{ and} \\ d(z_2) &= d(k_1) + k_1 d(z) = d(z). \end{aligned}$$

Hence

$$(1 - r_{1,2})d(z_2) = (w_{2,1} + w_{2,2})(x_1 + 2x_2).$$

Since  $r_{1,2}$  and  $z_2$  commute by the relation (P10)(e), it must be  $(1 - r_{1,2})d(z_2) = (1 - z_2)d(r_{1,2}) = 0$ . Thus we have  $w_{2,1} = w_{2,2}$ .  $\square$

Summarizing Lemmas 6.4, 6.5, 6.6, 6.7 and 6.8, we have

$$d(a_1) = w_{1,1}x_1, d(s_1) = w_{2,1}(x_1 + x_2) \text{ and } d(t_1) = d(r_{1,2}) = 0,$$

where  $2w_{1,1} = 2w_{2,1} = 0$ . It can be verified that such  $d$  is compatible with the relations (P1)–(P12). Now we have

$$(6.2) \quad H^1(\mathcal{H}_2; H_A) \cong \text{Ker } f_2 \cong \{(w_{1,1}, w_{2,1}) \in A^2; 2w_{1,1} = 2w_{2,1} = 0\}.$$

This completes the proof of Theorem 1.1 in the case  $g = 2$ .

**Proposition 6.9.**

$$H_1(\mathcal{H}_2; L) \cong \mathbb{Z}/2\mathbb{Z}, H_1(\mathcal{H}_2; H/L) \cong H_1(\mathcal{H}_2; H),$$

and the homomorphism  $H_2(\mathcal{H}_2; H/L) \rightarrow H_1(\mathcal{H}_2; L)$  induced by the exact sequence  $0 \rightarrow L \rightarrow H \rightarrow H/L \rightarrow 0$  is surjective.

Proof. As well as Lemma 6.1, we can verify that

$$H^1(\mathcal{H}_2; H_A/L_A) \cong \{d' \in Z^1(\mathcal{H}_2; H_A/L_A); d'(s_1)_1 - d'(r_{1,2})_2 = d'(u)_2 = 0\}.$$

Since  $H_A/L_A = (H/L) \otimes A = \text{Hom}_{\mathbb{Z}}(L, A)$ , the universal coefficient theorem (Theorem 2.3) implies that  $\text{Hom}(H_1(\mathcal{H}_2; L), A) \cong \text{Hom}(\mathbb{Z}/2\mathbb{Z}, A)$ .

Next, we prove that  $H_1(\mathcal{H}_2; H/L) \cong H_1(\mathcal{H}_2; H)$  and the homomorphism  $H_2(\mathcal{H}_2; H/L) \rightarrow H_1(\mathcal{H}_2; L)$  is surjective. Since  $H_0(\mathcal{H}_2; L) = L_{\mathcal{H}_2} = 0$  as shown in Lemma 3.2, we have the exact sequence

$$H_2(\mathcal{H}_2; H/L) \longrightarrow H_1(\mathcal{H}_2; L) \longrightarrow H_1(\mathcal{H}_2; H) \longrightarrow H_1(\mathcal{H}_2; H/L) \longrightarrow 0.$$

Thus it suffices to show that the homomorphism  $H_1(\mathcal{H}_2; L) \rightarrow H_1(\mathcal{H}_2; H)$  is the zero map.

As we saw in Equation (6.2),  $\text{Ker}(f_2: Z^1(\mathcal{H}_2; H_A) \rightarrow A^4)$  is trivial when  $A = \mathbb{Z}$  or  $\mathbb{Z}/n\mathbb{Z}$  for an odd integer  $n$ , and is generated by the crossed homomorphisms  $d_1, d_2: \mathcal{H}_2 \rightarrow H_A$

defined by

$$\begin{aligned} d_1(a_1) &= x_1, d_1(s_1) = d_1(t_1) = d_1(r_{1,2}) = 0, \\ d_2(s_1) &= x_1 + x_2, d_2(a_1) = d_2(s_1) = d_2(t_1) = d_2(r_{1,2}) = 0, \end{aligned}$$

when  $A = \mathbb{Z}/n\mathbb{Z}$  for an even integer  $n$ . Since they are in the image of  $Z^1(\mathcal{H}_2; L_A) \rightarrow Z^1(\mathcal{H}_2; H_A)$ , the homomorphism  $H^1(\mathcal{H}_2; L_A) \rightarrow H^1(\mathcal{H}_2; H_A)$  induced by the inclusion  $L_A \rightarrow H_A$  is surjective. The universal coefficient theorem (Theorem 2.3) implies that

$$\text{Hom}(H_1(\mathcal{H}_2; H/L), A) \rightarrow \text{Hom}(H_1(\mathcal{H}_2; H), A)$$

is surjective, and if we put  $A = H_1(\mathcal{H}_2; H)$ , we see that the homomorphism  $H_1(\mathcal{H}_2; H) \rightarrow H_1(\mathcal{H}_2; H/L)$  is injective.  $\square$

**6.2.**  $H^1(\mathcal{H}_3; H_A)$ . Here, we assume  $g = 3$  and prove that  $H^1(\mathcal{H}_3; H_A) \cong \text{Hom}(\mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}, A)$ . Then the universal coefficient theorem (Theorem 2.3) implies

$$\text{Hom}(H_1(\mathcal{H}_3; H), A) \cong H^1(\mathcal{H}_3; \text{Hom}(H, A)) \cong \text{Hom}(\mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}, A).$$

By the structure theorem for finitely generated abelian groups, we have  $H_1(\mathcal{H}_3; H) \cong \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ , and complete the proof of Theorem 1.1 when  $g = 3$ .

Let  $d \in Z^1(\mathcal{H}_3; H_A)$  be a crossed homomorphism satisfying the condition  $d(r_{1,2})_1 = d(s_1)_4 - d(r_{1,2})_5 = d(u)_2 = d(u)_3 = d(u)_5 = d(u)_6 = 0$  as in Lemma 6.1. By Lemma 6.3, we can set

$$\begin{aligned} d(a_1) &= w_{1,1}x_1 + w_{1,2}x_2 + w_{1,3}x_3, \\ d(s_1) &= w_{2,1}x_1 + w_{2,2}x_2 + w_{2,3}x_3 + w_{2,4}y_1, \\ d(t_1) &= w_{3,1}x_1 + w_{3,2}x_2 + w_{3,3}x_3 + w_{3,4}y_1 + w_{3,5}y_2 + w_{3,6}y_3, \\ d(r_{1,2}) &= w_{4,2}x_2 + w_{4,3}x_3 + w_{4,4}y_1 + w_{4,5}y_2 + w_{4,6}y_3. \end{aligned}$$

By the condition on  $d$  and Lemma 6.3, we also have

$$(6.3) \quad 2w_{1,2} = 2w_{1,3} = 0, w_{2,4} = w_{4,5} = -2w_{1,1}, \text{ and } w_{1,2} + w_{4,4} = 0.$$

**Lemma 6.10.** (1)  $w_{1,2} = w_{4,4} = 0$ .

(2)  $w_{1,3} = 0$ .

(3)  $2w_{3,3} = 0$ .

(4)  $w_{3,4} + w_{3,5} = 0$ .

(5)  $w_{3,6} = 0$ .

(6)  $d(d_{1,2}) = w_{1,1}(x_1 + x_2)$ .

*Proof.* Since  $a_1$  and  $r_{1,3}$  commute by the relation (P10)(a), it must be  $(1 - a_1)d(r_{1,3}) = (1 - r_{1,3})d(a_1)$ . Since

$$((1 - r_{1,3})d(a_1))_2 = w_{1,3}, \text{ while } ((1 - a_1)d(r_{1,3}))_2 = 0,$$

we have the equation (2).

Since  $d(t_2) = ud(t_1) = t_1t_2d(t_1)$  by Lemma 6.2, we have

$$d(t_2) = (w_{3,3} + w_{3,4} + w_{3,5})x_1 + (w_{3,1} + w_{3,6})x_2 + (w_{3,2} + w_{3,6})x_3 \\ + w_{3,6}y_1 + w_{3,4}y_2 + w_{3,5}y_3.$$

Since  $a_1$  and  $t_2$  commute by the relation (P7), it must be  $(1 - a_1)d(t_2) = (1 - t_2)d(a_1)$ . Since

$$((1 - t_2)d(a_1))_2 = w_{1,2} \text{ while } ((1 - a_1)d(t_2))_2 = 0,$$

we have the equation (1). Furthermore, since

$$((1 - a_1)d(t_2))_1 = -w_{3,6} \text{ while } ((1 - t_2)d(a_1))_1 = 0,$$

we have the equation (5).

Now we have  $d(a_1) = w_{1,1}x_1$ . Note that by Lemma 6.2,  $d(a_i) = u^{i-1}d(a_1) = w_{1,1}x_i$  for any  $1 \leq i \leq 3$ . Since  $d_{1,2} = r_{1,2}a_2r_{1,2}^{-1}$  by the relation (P10)(a), we have

$$d(d_{1,2}) = (1 - d_{1,2})d(r_{1,2}) + r_{1,2}d(a_2) = (-w_{1,1} - w_{4,5})(x_1 + x_2).$$

Since  $w_{4,5} = -2w_{1,1}$ , we have the equation (6).

Since  $d_{1,2}$  and  $t_1$  commute by the relation (P8), it must be  $(1 - d_{1,2})d(t_1) = (1 - t_1)d(d_{1,2}) = 0$ . Since  $(1 - d_{1,2})d(t_1) = -(w_{3,4} + w_{3,5})(x_1 + x_2)$ , we have the equation (4).

Since  $s_1$  and  $t_2$  commute by the relation (P7), it must be  $(1 - s_1)d(t_2) = (1 - t_2)d(s_1)$ . Since  $((1 - s_1)d(t_2))_1 = 2w_{3,3}$  while  $((1 - t_2)d(t_1))_1 = 0$ , we have the equation (3).  $\square$

Since  $((1 - t_2)d(s_1))_2 = w_{2,2} - w_{2,3}$  while  $((1 - s_1)d(t_2))_2 = 0$ , we have

$$(6.4) \quad w_{2,2} - w_{2,3} = 0.$$

**Lemma 6.11.**

$$w_{3,2} = w_{3,3} \text{ and } w_{3,4} = w_{3,5} = 0.$$

Proof. Since  $d(u) = d(t_1t_2) = d(t_1) + t_1d(t_2)$ , a straightforward computation shows

$$d(u)_2 = w_{3,2} + w_{3,3} + w_{3,4}, d(u)_3 = w_{3,2} + w_{3,3}, \text{ and } d(u)_5 = d(u)_6 = w_{3,5}.$$

Since  $d(u)_2, d(u)_3, d(u)_5, d(u)_6 = 0$ , we have

$$w_{3,2} + w_{3,3} = w_{3,4} = w_{3,5} = 0.$$

Lemma 6.10 (3) implies  $w_{3,2} = w_{3,3}$ .  $\square$

**Lemma 6.12.**

$$w_{2,2} = w_{2,3}, \quad 2w_{2,2} = 2w_{2,3} = 0.$$

Proof. The equation  $w_{2,2} = w_{2,3}$  follows from Equation (6.4).

Next, we prove  $2w_{2,2} = 2w_{2,3} = 0$ . Since  $s_1$  and  $r_{-1,2}$  commute by the relation (P10)(c), it must be  $(1 - s_1)d(r_{-1,2}) = (1 - r_{-1,2})d(s_1)$ . Since

$$((1 - r_{-1,2})d(s_1))_2 = 2w_{2,2} \text{ while } ((1 - s_1)d(r_{-1,2}))_2 = 0,$$

we obtain  $2w_{2,2} = 2w_{2,3} = 0$ .  $\square$

**Lemma 6.13.**

$$4w_{1,1} = 2w_{2,4} = 2w_{3,1} = 2w_{4,5} = 0.$$

Proof. By a straightforward calculation,

$$d(r_{1,2}^{-1}d_{-3,-2}r_{1,2}) = 3w_{1,1}(x_1 + x_2 - x_3) \text{ and } d(s_3^{-1}c_{1,3}s_3) = -w_{1,1}(x_1 + x_2 - x_3).$$

Since  $r_{1,2}^{-1}d_{-3,-2}r_{1,2} = s_3^{-1}c_{1,3}s_3$  by the relation (P10)(i), we have  $4w_{1,1} = 0$ . As in (P8),  $t_1$  and  $s_1t_1s_1$  commute. Thus it must be  $(1 - t_1)d(s_1t_1s_1) = (1 - s_1t_1s_1)d(t_1)$ . Since

$$\begin{aligned} d(s_1t_1s_1) &= d(s_1) + s_1d(t_1) + s_1t_1d(s_1) \\ &= (w_{2,1} + w_{2,2} - w_{2,4} - w_{3,1})x_1 + (w_{2,1} + w_{2,2} + w_{3,2})x_2 + w_{3,3}x_3 + w_{2,4}(y_1 + y_2), \end{aligned}$$

we have

$$\begin{aligned} (1 - t_1)d(s_1t_1s_1) &= -(w_{3,1} + w_{3,2})(x_1 - x_2) \text{ while} \\ (1 - s_1t_1s_1)d(t_1) &= (w_{3,1} + w_{3,2})(x_1 + x_2). \end{aligned}$$

Hence we have  $2w_{3,1} = 0$ . □

- Lemma 6.14.** (1)  $d(d_{i,j}) = w_{1,1}(x_i + x_j)$  for any  $1 \leq i < j \leq 3$ .  
 (2)  $d(k_i) = d(t_i)$  for  $i = 1, 2$ .  
 (3)  $d(s_{i+1}) = k_id(s_i)$  for  $i = 1, 2$ .

Proof. Since  $d_{1,3} = t_2d_{1,2}t_2^{-1}$  and  $d_{2,3} = t_1d_{1,3}t_1^{-1}$ , we have (1).

Since  $k_i = a_ia_{i+1}t_id_{i,i+1}^{-1}$  for  $i = 1, 2$ , we have

$$\begin{aligned} d(k_i) &= d(a_ia_{i+1}t_id_{i,i+1}^{-1}) \\ &= d(a_i) + a_id(a_{i+1}) + a_ia_{i+1}d(t_i) - k_id(d_{i,i+1}) \\ &= d(t_i). \end{aligned}$$

Since  $s_{i+1} = k_is_ik_i^{-1}$  for  $i = 1, 2$ , we have

$$d(s_{i+1}) = d(k_i) + k_id(s_i) - s_{i+1}d(k_i) = k_id(s_i). \quad \square$$

**Lemma 6.15.**

$$w_{4,2} = 2w_{4,3} = 0.$$

Proof. By the relation  $r_{1,2}^2 = s_2d_{1,2}s_2d_{1,2}^{-1}$  in (P9), we have  $d(r_{1,2}^2) = d(s_2d_{1,2}s_2d_{1,2}^{-1})$ . First, we have

$$d(r_{1,2}^2) = d(r_{1,2}) + r_{1,2}d(r_{1,2}) = (-w_{4,2} + w_{4,5})x_1 + 2w_{4,3}x_3 + 2w_{4,6}y_3.$$

Next, using Lemma 6.14 we obtain

$$\begin{aligned} d(s_2d_{1,2}s_2d_{1,2}^{-1}) &= (1 + s_2d_{1,2})d(s_2) + s_2(1 - d_{1,2}s_2d_{1,2}^{-1})d(d_{1,2}) \\ &= -2w_{1,1}x_2 + w_{2,4}(x_1 + x_2) \\ &= w_{2,4}x_1. \end{aligned}$$

Comparing  $d(r_{1,2}^2)$  and  $d(s_2d_{1,2}s_2d_{1,2}^{-1})$ , we have  $w_{4,2} = 2w_{4,3} = 0$ . □

**Lemma 6.16.**

$$w_{3,1} + w_{3,2} = w_{4,5}, w_{3,3} = w_{4,3}, \text{ and } w_{4,6} = 0.$$

In particular,

$$2w_{3,1} = 2w_{3,2} = 2w_{3,3} = 2w_{4,3} = 0.$$

Proof. The relation  $t_1 r_{1,2} t_1 = r_{1,2} t_1 r_{1,2}$  in (P11) shows

$$(1 - t_1 + r_{1,2} t_1) d(r_{1,2}) = (1 - r_{1,2} + t_1 r_{1,2}) d(t_1).$$

A straightforward calculation shows

$$\begin{aligned} (1 - r_{1,2} + t_1 r_{1,2}) d(t_1) &= (w_{3,1} + w_{3,2}) x_2 + w_{3,3} x_3, \text{ and} \\ (1 - t_1 + r_{1,2} t_1) d(r_{1,2}) &= w_{4,5} x_2 + w_{4,3} x_3 + w_{4,6} y_3. \end{aligned}$$

Thus we have  $w_{3,1} + w_{3,2} = w_{4,5}$ ,  $w_{3,3} = w_{4,3}$ , and  $w_{4,6} = 0$ . □

Now we have

$$\begin{aligned} d(a_1) &= w_{1,1} x_1, \\ d(s_1) &= w_{2,1} x_1 + w_{2,2} x_2 + w_{2,3} x_3 + w_{2,4} y_1, \\ d(t_1) &= w_{3,1} x_1 + w_{3,2} x_2 + w_{3,3} x_3, \\ d(r_{1,2}) &= w_{4,3} x_3 + w_{4,5} y_2 \end{aligned}$$

where

$$\begin{aligned} 4w_{1,1} = 2w_{2,2} = 2w_{2,4} = 2w_{3,1} = 2w_{3,2} = 2w_{4,3} = 0, \\ w_{2,2} = w_{2,3}, w_{3,2} = w_{3,3} = w_{4,3}, \text{ and } w_{3,1} + w_{3,2} = w_{4,5}. \end{aligned}$$

**Lemma 6.17.**

$$w_{2,1} = 0.$$

Proof. As in (P12),  $r_{1,3} = s_3 c_{1,3} s_3 c_{1,3}^{-1} k_2 a_3 a_1 t_2 d_{1,2}^{-1} t_2^{-1} r_{1,2}^{-1} s_2 h_2 r_{1,2}^{-1} h_2^{-1} k_2^{-1}$ , where  $h_2 = k_2^{-1} t_1^{-1} k_2$ . Since

$$(6.5) \quad \begin{aligned} d(h_2) &= w_{3,1} x_1 + w_{3,2} x_2 + w_{3,3} x_3, \text{ and} \\ d(s_3 c_{1,3} s_3 c_{1,3}^{-1}) &= w_{2,4} (x_1 + x_2), \end{aligned}$$

we have

$$\begin{aligned} d(r_{1,3}) &= (-w_{2,1} + w_{2,2}) x_1 + (w_{2,3} + w_{3,1}) x_2 - w_{2,1} x_3 + w_{4,5} y_3, \text{ and} \\ d(r_{1,3}^2) &= d(r_{1,3}) + r_{1,3} d(r_{1,3}) = (-w_{2,1} + w_{4,5}) x_1 + (w_{2,1} + w_{4,5}) x_2 \end{aligned}$$

by a straightforward calculation. The relation  $r_{1,3}^2 = s_3 c_{1,3} s_3 c_{1,2}^{-1}$  in (P9) and Equation (6.5) show that  $w_{2,1} = 0$ . □

**Lemma 6.18.**

$$w_{3,2} = w_{3,3} = w_{4,3} = 0.$$

In particular,

$$w_{2,4} = w_{3,1} = w_{4,5} = 2w_{1,1}.$$

Proof. It is sufficient to prove that  $w_{3,3} = 0$ . Recall that  $z = (a_1 a_2 a_3) s_1 t_1 t_2 s_1 t_1 s_1 c_{1,3}$  and  $z_3 = k_2 k_1 z$ . Hence we have

$$d(z) = w_{2,4}(x_1 + x_3 + y_1 + y_2 + y_3) + w_{3,2}x_1 + w_{3,1}x_2 + w_{3,2}x_3,$$

and

$$d(z_3) = w_{2,4}(x_2 + x_3 + y_1 + y_2 + y_3) + w_{3,1}x_1 + w_{3,2}x_2 + w_{3,2}x_3.$$

Since  $r_{1,3}$  and  $z_3$  commute by the relation (P10)(e), it must be  $(1 - r_{1,3})d(z_3) = (1 - z_3)d(r_{1,3})$ . A straightforward calculation shows

$$(1 - r_{1,3})d(z_3) = w_{3,3}(x_1 + x_2)$$

while  $(1 - z_3)d(r_{1,3}) = 0$ . Since  $2w_{2,4} = 2w_{3,2} = 0$ , we obtain  $w_{2,4} = w_{3,2} = 0$ . □

Summarizing Lemmas 6.10, 6.11, 6.12, 6.13, 6.15, 6.16, 6.17 and 6.18, we have

$$\begin{aligned} d(a_1) &= w_{1,1}x_1, d(s_1) = w_{2,2}(x_2 + x_3) + 2w_{1,1}y_1, \\ d(t_1) &= 2w_{1,1}x_1, \text{ and } d(r_{1,2}) = 2w_{1,1}y_2 \end{aligned}$$

where  $4w_{1,1} = 2w_{2,2} = 0$ . It can be verified that such  $d$  is compatible with the relations (P1)–(P12). Now we have

$$(6.6) \quad H^1(\mathcal{H}_3; H_A) \cong \text{Ker } f_3 \cong \{(w_{1,1}, w_{2,2}) \in A^2; 4w_{1,1} = 2w_{2,2} = 0\}.$$

This completes the proof of Theorem 1.1 in the case  $g = 3$ .

**Proposition 6.19.**

$$H_1(\mathcal{H}_3; H/L) \cong (\mathbb{Z}/2\mathbb{Z})^2,$$

and the image of the homomorphism  $H_2(\mathcal{H}_3; H/L) \rightarrow H_1(\mathcal{H}_3; L)$  induced by the exact sequence  $0 \rightarrow L \rightarrow H \rightarrow H/L \rightarrow 0$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ .

Proof. As we saw in Equation (6.6),  $\text{Ker } f_3$  is in the image  $\text{Im}(Z^1(\mathcal{H}_3; L_A) \rightarrow Z^1(\mathcal{H}_3; H_A))$  and the homomorphism  $H^1(\mathcal{H}_3; L_A) \rightarrow H^1(\mathcal{H}_3; H_A)$  is surjective when  $A = \mathbb{Z}/2\mathbb{Z}$ . The universal coefficient theorem (Theorem 2.3) implies that the homomorphism

$$\text{Hom}(H_1(\mathcal{H}_3; H/L), A) \rightarrow \text{Hom}(H_1(\mathcal{H}_3; H), A).$$

is also surjective, and the image of the above homomorphism contains  $(\mathbb{Z}/2\mathbb{Z})^2$ . In particular, the order of  $H_1(\mathcal{H}_3; H/L)$  is at least 4. On the other hand, the order of  $H_1(\mathcal{H}_3; H/L)$  is at most 4 as explained in Remark 4.9. Thus we obtain  $H_1(\mathcal{H}_3; H/L) \cong (\mathbb{Z}/2\mathbb{Z})^2$ .

Recall that  $H_1(\mathcal{H}_3; L) \cong (\mathbb{Z}/2\mathbb{Z})^2$  as shown in Lemma 4.7 and  $H_1(\mathcal{H}_3; H) \cong \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ . Since the coinvariant  $H_0(\mathcal{H}_3; L) = L_{\mathcal{H}_3}$  is trivial, the natural homomorphism  $H_1(\mathcal{H}_3; H) \rightarrow H_1(\mathcal{H}_3; H/L)$  is surjective. Thus we have the exact sequence

$$H_2(\mathcal{H}_3; H/L) \longrightarrow H_1(\mathcal{H}_3; L) \longrightarrow H_1(\mathcal{H}_3; H) \longrightarrow H_1(\mathcal{H}_3; H/L) \longrightarrow 0,$$

and it implies  $\text{Im}(H_2(\mathcal{H}_3; H/L) \rightarrow H_1(\mathcal{H}_3; L)) = \text{Ker}(H_1(\mathcal{H}_3; L) \rightarrow H_1(\mathcal{H}_3; H)) \cong \mathbb{Z}/2\mathbb{Z}$ . □

**7. Proof of Theorem 1.2**

In this section, we prove Theorem 1.2, and calculate  $H_1(\mathcal{H}_g^*; L)$  and  $H_1(\mathcal{H}_g^*; H/L)$ .

**Lemma 7.1.**

$$(H^{\otimes 2})_{\mathcal{H}_g} \cong (L \otimes H)_{\mathcal{H}_g} \cong \mathbb{Z}.$$

Proof. The actions of  $\mathcal{H}_g$  on  $L$  and  $L^*$  factor through  $\text{GL}(g; \mathbb{Z})$ , and  $L$  is isomorphic to  $V = \mathbb{Z}^g$  endowed with the structure of natural left  $\text{GL}(g; \mathbb{Z})$ -module. Thus the well-known facts that

$$(V \otimes V)_{\text{GL}(g; \mathbb{Z})} = (V^* \otimes V^*)_{\text{GL}(g; \mathbb{Z})} = 0, \text{ and } (V \otimes V^*)_{\text{GL}(g; \mathbb{Z})} \cong \mathbb{Z}$$

imply  $(L \otimes L)_{\mathcal{H}_g} = (L^* \otimes L^*)_{\mathcal{H}_g} = 0$  and  $(L \otimes L^*)_{\mathcal{H}_g} = \mathbb{Z}$ .

Recall that the intersection form on  $H$  induces an isomorphism  $L^* \cong H/L$ , and we have the exact sequences

$$\begin{aligned} (L \otimes L)_{\mathcal{H}_g} &\longrightarrow (L \otimes H)_{\mathcal{H}_g} \longrightarrow (L \otimes L^*)_{\mathcal{H}_g} \longrightarrow 0, \\ (L^* \otimes L)_{\mathcal{H}_g} &\longrightarrow (L^* \otimes H)_{\mathcal{H}_g} \longrightarrow (L^* \otimes L^*)_{\mathcal{H}_g} \longrightarrow 0. \end{aligned}$$

Thus we obtain  $(L \otimes H)_{\mathcal{H}_g} \cong (L \otimes L^*)_{\mathcal{H}_g} \cong \mathbb{Z}$ . Let  $\{x_1^*, x_2^*, \dots, x_g^*\}$  denote the dual basis of  $\{x_1, x_2, \dots, x_g\}$ . Since the image  $\text{Im}((L^* \otimes L)_{\mathcal{H}_g} \rightarrow (L^* \otimes H)_{\mathcal{H}_g})$  is generated by  $x_1^* \otimes x_1$ , and

$$a_1(x_1^* \otimes y_1) - x_1^* \otimes y_1 = x_1^* \otimes x_1,$$

we have  $\text{Im}((L^* \otimes L)_{\mathcal{H}_g} \rightarrow (L^* \otimes H)_{\mathcal{H}_g}) = 0$ . It implies  $(L^* \otimes H)_{\mathcal{H}_g} \cong (L^* \otimes L^*)_{\mathcal{H}_g} = 0$ . The exact sequence

$$(L \otimes H)_{\mathcal{H}_g} \longrightarrow (H \otimes H)_{\mathcal{H}_g} \longrightarrow (L^* \otimes H)_{\mathcal{H}_g} \longrightarrow 0$$

and the intersection form on  $H$  imply  $(H^{\otimes 2})_{\mathcal{H}_g} \cong \mathbb{Z}$ . □

Proof of Theorem 1.2. By the five-term exact sequence (Theorem 2.6) induced by the short exact sequence  $1 \rightarrow \mathbb{Z} \rightarrow \mathcal{H}_{g,1} \rightarrow \mathcal{H}_g^* \rightarrow 1$ , we have

$$H_1(\mathbb{Z}; H)_{\mathcal{H}_g^*} \longrightarrow H_1(\mathcal{H}_{g,1}; H) \longrightarrow H_1(\mathcal{H}_g^*; H) \longrightarrow 0.$$

Since  $H_1(\mathbb{Z}; H)_{\mathcal{H}_g^*} \cong H_{\mathcal{H}_g^*} = 0$ , we obtain an isomorphism  $H_1(\mathcal{H}_{g,1}; H) \cong H_1(\mathcal{H}_g^*; H)$ .

Next, we compute  $H_1(\mathcal{H}_g^*; H)$ . Morita showed that  $H^1(\mathcal{M}_g^*; H) \cong \mathbb{Z}$  in [17, Section 6]. In fact, he described a generator of  $H^1(\mathcal{M}_g^*; H)$  as a crossed homomorphism, and showed  $H_1(\mathcal{M}_g^*; H) \cong \mathbb{Z}$  in [17, Proof of Proposition 6.4]. The forgetful exact sequence  $1 \rightarrow \pi_1 \Sigma_g \rightarrow \mathcal{M}_g^* \rightarrow \mathcal{M}_g \rightarrow 1$  induces the homology exact sequence (Theorem 2.6)

$$0 \longrightarrow \mathbb{Z} \longrightarrow H_1(\mathcal{M}_g^*; H) \longrightarrow H_1(\mathcal{M}_g; H) \longrightarrow 0$$

when  $g \geq 2$ . Since the action of  $\mathcal{H}_g^*$  on  $H_1(\pi_1 \Sigma_g; H)$  factors through  $\mathcal{H}_g$ , Lemma 7.1 implies the isomorphism  $H_1(\pi_1 \Sigma_g; H)_{\mathcal{H}_g^*} = H_1(\pi_1 \Sigma_g; H)_{\mathcal{H}_g} = (H^{\otimes 2})_{\mathcal{H}_g} \cong \mathbb{Z}$ , which is induced by the intersection form on  $H$ . Thus restricting the exact sequence to  $\mathcal{H}_g^*$ , we obtain a commutative

diagram

$$\begin{array}{ccccccc}
 \mathbb{Z} & \longrightarrow & H_1(\mathcal{H}_g^*; H) & \longrightarrow & H_1(\mathcal{H}_g; H) & \longrightarrow & 0 \\
 & & \parallel & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & H_1(\mathcal{M}_g^*; H) & \longrightarrow & H_1(\mathcal{M}_g; H) \longrightarrow 0.
 \end{array}$$

By the above diagram, both of the kernels and the cokernels of the homomorphisms  $H_1(\mathcal{H}_g^*; H) \rightarrow H_1(\mathcal{M}_g^*; H)$  and  $H_1(\mathcal{H}_g; H) \rightarrow H_1(\mathcal{M}_g; H)$  coincide. By Remark 4.2 and Theorem 1.1, we see that  $\text{Coker}(H_1(\mathcal{H}_g^*; H) \rightarrow H_1(\mathcal{M}_g^*; H))$  is trivial for  $g \geq 2$ . We also see that  $\text{Ker}(H_1(\mathcal{H}_g^*; H) \rightarrow H_1(\mathcal{M}_g^*; H))$  is trivial when  $g \geq 4$ , and is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$  when  $g = 2, 3$ . Thus we can determine  $H_1(\mathcal{H}_g^*; H)$ .  $\square$

**Proposition 7.2.** (1) *When  $g \geq 2$ , the forgetful homomorphism  $\mathcal{H}_g^* \rightarrow \mathcal{H}_g$  induces an isomorphism*

$$H_1(\mathcal{H}_g^*; H/L) \cong H_1(\mathcal{H}_g; H/L).$$

*In particular, we have*

$$H_1(\mathcal{H}_g^*; H/L) \cong \begin{cases} \mathbb{Z}/2\mathbb{Z} & \text{if } g \geq 4, \\ (\mathbb{Z}/2\mathbb{Z})^2 & \text{if } g = 2, 3. \end{cases}$$

(2) *When  $g \geq 4$ , the homomorphism  $H_1(\mathcal{H}_g^*; L) \rightarrow H_1(\mathcal{H}_g^*; H)$  induced by the inclusion  $L \rightarrow H$  is injective. When  $g = 2, 3$ , there exists an isomorphism  $\text{Ker}(H_1(\mathcal{H}_g^*; L) \rightarrow H_1(\mathcal{H}_g^*; H)) \cong \mathbb{Z}/2\mathbb{Z}$ . In particular, we have*

$$H_1(\mathcal{H}_g^*; L) \cong \begin{cases} \mathbb{Z} & \text{if } g \geq 4, \\ \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} & \text{if } g = 2, 3. \end{cases}$$

*Proof.* Consider the exact sequences between homology groups with coefficients in  $L$  induced by the forgetful exact sequences  $1 \rightarrow \pi_1 \Sigma_g \rightarrow \mathcal{M}_g^* \rightarrow \mathcal{M}_g \rightarrow 1$  and its restriction  $1 \rightarrow \pi_1 \Sigma_g \rightarrow \mathcal{H}_g^* \rightarrow \mathcal{H}_g \rightarrow 1$ . Applying Lemma 7.1, we obtain a commutative diagram

$$\begin{array}{ccccccc}
 \mathbb{Z} & \longrightarrow & H_1(\mathcal{H}_g^*; L) & \longrightarrow & H_1(\mathcal{H}_g; L) & \longrightarrow & 0 \\
 & & \parallel & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & H_1(\mathcal{H}_g^*; H) & \longrightarrow & H_1(\mathcal{H}_g; H) \longrightarrow 0.
 \end{array}$$

By the above diagram, both of the kernels and the cokernels of the homomorphisms  $H_1(\mathcal{H}_g^*; L) \rightarrow H_1(\mathcal{H}_g^*; H)$  and  $H_1(\mathcal{H}_g; L) \rightarrow H_1(\mathcal{H}_g; H)$  coincide. Consider the homology exact sequences induced by the exact sequence  $0 \rightarrow L \rightarrow H \rightarrow H/L \rightarrow 0$ , which are

$$\begin{array}{ccccccc}
 H_1(\mathcal{H}_g^*; L) & \longrightarrow & H_1(\mathcal{H}_g^*; H) & \longrightarrow & H_1(\mathcal{H}_g^*; H/L) & \longrightarrow & L_{\mathcal{H}_g^*} \\
 \downarrow & & \downarrow & & \downarrow & & \parallel \\
 H_1(\mathcal{H}_g; L) & \longrightarrow & H_1(\mathcal{H}_g; H) & \longrightarrow & H_1(\mathcal{H}_g; H/L) & \longrightarrow & L_{\mathcal{H}_g}.
 \end{array}$$

By Lemma 3.2,  $L_{\mathcal{H}_g^*} = L_{\mathcal{H}_g}$  is trivial, and we obtain

$$\begin{aligned}
H_1(\mathcal{H}_g^*; H/L) &\cong \text{Coker}(H_1(\mathcal{H}_g^*; L) \rightarrow H_1(\mathcal{H}_g^*; H)) \\
&\cong \text{Coker}(H_1(\mathcal{H}_g; L) \rightarrow H_1(\mathcal{H}_g; H)) \\
&\cong H_1(\mathcal{H}_g; H/L).
\end{aligned}$$

In Remark 4.10 and Propositions 6.9 and 6.19, we see that  $\text{Ker}(H_1(\mathcal{H}_g; L) \rightarrow H_1(\mathcal{H}_g; H))$  is trivial when  $g \geq 4$ , and is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$  when  $g = 2, 3$ . In Lemma 4.7 and Propositions 6.9 and 6.19, we also see that  $\text{Coker}(H_1(\mathcal{H}_g; L) \rightarrow H_1(\mathcal{H}_g; H))$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$  when  $g \geq 4$ , and is isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^2$  when  $g = 2, 3$ . Thus we can determine  $H_1(\mathcal{H}_g^*; L)$ .  $\square$

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