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# ON THE S1-FIBRED NILBOTT TOWER

MAYUMI NAKAYAMA

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## Abstract

We shall introduce a notion of  $S^1$ -fibred nilBott tower. It is an iterated  $S^1$ -bundle whose top space is called an  $S^1$ -fibred nilBott manifold and the  $S^1$ -bundle of each stage realizes a *Seifert construction*. The  $S^1$ -fibred nilBott tower is a generalization of *real Bott tower* from the viewpoint of fibration. In this note we shall prove that any  $S^1$ -fibred nilBott manifold is *diffeomorphic* to an infranilmanifold. According to the group extension of each stage, there are two classes of  $S^1$ -fibred nilBott manifolds which is defined as *finite type* or *infinite type*. We discuss their properties.

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#### 1. Introduction

Let M be a closed aspherical manifold which is the top space of an iterated  $S^1$ -bundle over a point:

(1.1) 
$$M = M_n \to M_{n-1} \to \cdots \to M_1 \to \{\mathsf{pt}\}.$$

Suppose X is the universal covering of M and each  $X_i$  is the universal covering of  $M_i$  and put  $\pi_1(M_i) = \pi_i$  (i = 1, ..., n - 1) and  $\pi_1(M) = \pi$ .

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DEFINITION 1.1. An  $S^1$ -fibred nilBott tower is a sequence (1.1) which satisfies I, II and III below. The top space M is said to be an  $S^1$ -fibred nilBott manifold (of depth n).

I. Each  $M_i$  is a fiber space over  $M_{i-1}$  with fiber  $S^1$ .

II. For the group extension

$$(1.2) 1 \to \mathbb{Z} \to \pi_i \to \pi_{i-1} \to 1$$

associated to the fiber space I, there is an equivariant principal bundle:

(1.3) 
$$\mathbb{R} \to X_i \xrightarrow{p_i} X_{i-1}$$

III. Each  $\pi_i$  normalizes  $\mathbb{R}$ .

The purpose of this paper is to prove the following results.

**Theorem 1.2.** Suppose that M is an  $S^1$ -fibred nilBott manifold. (i) If every cocycle of  $H^2_{\phi}(\pi_{i-1},\mathbb{Z})$  which represents a group extension (1.2) is of finite order, then M is diffeomorphic to a Riemannian flat manifold. (ii) If there exists a cocycle of  $H^2(\pi, -\mathbb{Z})$  which represents a group extension (1.2)

(ii) If there exists a cocycle of  $H^2_{\phi}(\pi_{i-1}, \mathbb{Z})$  which represents a group extension (1.2) is of infinite order, then M is diffeomorphic to an infranilmanifold. In addition, M cannot be diffeomorphic to any Riemannian flat manifold.

As a consequence, we have the following classification. (See Proposition 4.1 and Proposition 4.2.)

**Proposition 1.3.** The 3-dimensional  $S^1$ -fibred nilBott manifolds of finite type are those of  $G_1$ ,  $G_2$ ,  $B_1$ ,  $B_2$ ,  $B_3$ ,  $B_4$ .

**Proposition 1.4.** Any 3-dimensional  $S^1$ -fibred nilBott manifold of infinite type is either a Heisenberg nilmanifold  $N/\Delta(k)$  or an Heisenberg infranilmanifold  $N/\Gamma(k)$ .

Real Bott manifolds consist of  $\mathcal{G}_1$ ,  $\mathcal{G}_2$ ,  $\mathcal{B}_1$ ,  $\mathcal{B}_3$  among these  $\mathcal{G}_1$ ,  $\mathcal{G}_2$ ,  $\mathcal{B}_1$ ,  $\mathcal{B}_2$ ,  $\mathcal{B}_3$ ,  $\mathcal{B}_4$ . (Refer to the classification of 3-dimensional Riemannian flat manifolds by Wolf [13]. We quote the notations  $\mathcal{G}_i$ ,  $\mathcal{B}_i$  there.)

Masuda and Lee [8] have also proved the above results.

By (1.2) of Definition 1.1, a 3-dimensional  $S^1$ -fibred nilBott manifold M gives a group extension:

$$1 \to \mathbb{Z} \to \pi_1(M) \to Q \to 1$$

where Q is the fundamental group of a Klein Bottle K or a torus  $T^2$ . Then this group extension gives a 2-cocycle in the group cohomology  $H^2_{\phi}(Q, \mathbb{Z})$  with a homomorphism  $\phi: Q \to \operatorname{Aut}(\mathbb{Z}) = \{\pm 1\}$ . Conversely we have shown

**Theorem 1.5.** Every cocycle of  $H^2_{\phi}(Q, \mathbb{Z})$  can be realized as a diffeomorphism class of an  $S^1$ -fibred nilBott manifold.

## 2. Seifert construction

We shall explain the Seifert construction briefly. It is a tool to construct a closed aspherical manifold for a given extension. Let

$$(2.1) 1 \to \Delta \to \pi \xrightarrow{\nu} Q \to 1$$

be a group extension and  $\phi: Q \to \operatorname{Aut}(\Delta)$  a conjugation function defined by a section  $s: Q \to \pi$  for the projection  $\nu$ . Define  $f: Q \times Q \to \Delta$  by  $s(\alpha)s(\beta) = f(\alpha, \beta)s(\alpha\beta)$ . Then f defines the group  $\pi$  which is the product  $\Delta \times Q$  with the group law:

(2.2) 
$$(n, \alpha)(m, \beta) = (n \cdot \phi(\alpha)(m) \cdot f(\alpha, \beta), \alpha\beta).$$

 $(\forall n, m \in \Delta, \forall \alpha, \beta \in Q)$  (cf. [10] for example).

Suppose  $\Delta$  is a torsionfree finitely generated nilpotent group. By the Mal'cev's *unique existence* theorem, there is a simply connected nilpotent Lie group  $\mathcal{N}$  containing  $\Delta$  as a discrete uniform subgroup. (See [12] for example.) Moreover if Q acts smoothly and properly discontinuously on a contractible smooth manifold W such that the quotient space W/Q is compact, then there is a map  $\lambda: Q \to \operatorname{Map}(W, \mathcal{N})$  whose images consist of smooth maps of W into  $\mathcal{N}$  satisfying:

(2.3) 
$$f(\alpha, \beta) = (\bar{\phi}(\alpha) \circ \lambda(\beta) \circ \alpha^{-1}) \cdot \lambda(\alpha) \cdot \lambda(\alpha\beta)^{-1} \quad (\alpha, \beta \in Q),$$

here  $\bar{\phi}: Q \to \operatorname{Aut}(\mathcal{N})$  is the unique extension of  $\phi$  by Mal'cev's *unique existence* property. We simply write  $f = \delta^1 \lambda$  for (2.3). And an action of  $\pi$  on  $\mathcal{N} \times W$  is obtained by

(2.4) 
$$(n, \alpha)(x, w) = (n \cdot \overline{\phi}(\alpha)(x) \cdot \lambda(\alpha)(\alpha w), \alpha w).$$

This action  $(\pi, \mathcal{N} \times W)$  is said to be a Seifert construction. (See [5] for details.)

In particular, when Q is a finite group F and  $W = \{pt\}$  it follows  $Map(W, \mathcal{N}) = \mathcal{N}$  for which there is a smooth map  $\chi \colon F \to \mathcal{N}$  satisfying  $f = \delta^1 \chi$ :

(2.5) 
$$f(\alpha, \beta) = \overline{\phi}(\alpha)(\chi(\beta)) \cdot \chi(\alpha) \cdot \chi(\alpha\beta)^{-1} \quad (\alpha, \beta \in F).$$

Let  $E(\mathcal{N})$  be a semidirect product  $\mathcal{N} \rtimes \mathcal{K}$  with  $\mathcal{K}$  be a maximal compact subgroup of Aut( $\mathcal{N}$ ). And we can define a discrete faithful representation  $\rho \colon \pi \to E(\mathcal{N})$  by

(2.6) 
$$\rho((n,\alpha)) = (n \cdot \chi(\alpha), \mu(\chi(\alpha)^{-1}) \circ \overline{\phi}(\alpha)),$$

(here  $\mu$  is a conjugation map). Then the action of  $\pi$  on  $\mathcal{N}$  is defined by

(2.7) 
$$(n, \alpha)(x) = \rho((n, \alpha))(x) = n \cdot \phi(\alpha)(x) \cdot \chi(\alpha).$$

Note that the action  $(\pi, \mathcal{N})$  is a Seifert construction and if  $\pi$  is torsionfree  $\mathcal{N}/\pi$  is an infranilmanifold (cf. [5] or [10]).

#### 3. S<sup>1</sup>-fibred nilBott tower

In this section we shall gives a proof of Theorem 1.2 of Introduction and apply our theorem to torus actions.

#### 3.1. Proof of Theorem 1.2. Suppose that

(3.1) 
$$M = M_n \xrightarrow{S^1} M_{n-1} \xrightarrow{S^1} \cdots \xrightarrow{S^1} M_1 \xrightarrow{S^1} \{ pt \}$$

is an  $S^1$ -fibred nilBott tower. By the definition, there is a group extension of the fiber space;

$$(3.2) 1 \to \mathbb{Z} \to \pi_i \to \pi_{i-1} \to 1$$

for any *i*. The conjugate by each element of  $\pi_i$  defines a homomorphism  $\phi: \pi_{i-1} \to \operatorname{Aut}(\mathbb{Z}) = \{\pm 1\}$ . With this action,  $\mathbb{Z}$  is a  $\pi_{i-1}$ -module so that the group cohomology  $H_{\phi}^*(\pi_{i-1}, \mathbb{Z})$  is defined. Then the above group extension (3.2) represents a 2-cocycle in  $H_{\phi}^2(\pi_{i-1}, \mathbb{Z})$  (cf. [10]).

Proof of Theorem 1.2. Given a group extension (3.2), we suppose by induction that there exists a torsionfree finitely generated nilpotent normal subgroup  $\Delta_{i-1}$  of finite index in  $\pi_{i-1}$  such that the induced extension  $\tilde{\Delta}_i$  is a central extension:

It is easy to see that  $\tilde{\Delta}_i$  is a torsionfree finitely generated normal nilpotent subgroup of finite index in  $\pi_i$ . Then  $\pi_i$  is a virtually nilpotent subgroup, i.e.  $1 \to \tilde{\Delta}_i \to \pi_i \to F_i \to 1$  where  $F_i = \pi_i / \tilde{\Delta}_i$  is a finite group. Let  $\tilde{N}_i$ ,  $N_{i-1}$  be a nilpotent Lie group containing  $\tilde{\Delta}_i$ ,  $\Delta_{i-1}$  as a discrete cocompact subgroup respectively. Let  $A(\tilde{N}_i) = \tilde{N}_i \rtimes$  $Aut(\tilde{N}_i)$  be the affine group. If  $\tilde{K}_i$  is a maximal compact subgroup of  $Aut(\tilde{N}_i)$ , then the subgroup  $E(\tilde{N}_i) = \tilde{N}_i \rtimes \tilde{K}_i$  is called the euclidean group of  $\tilde{N}_i$ . Then there exists a faithful homomorphism (see (2.6)):

$$(3.4) \qquad \qquad \rho_i \colon \pi_i \to \mathcal{E}(N_i)$$

for which  $\rho_i|_{\tilde{\Delta}_i} = \text{id}$  and the quotient  $\tilde{N}_i/\rho_i(\pi_i)$  is an infranilmanifold. The explicit

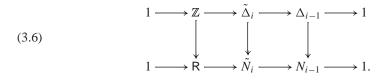
formula is given by the following

(3.5) 
$$\rho_i((n,\alpha)) = (n \cdot \chi(\alpha), \, \mu(\chi(\alpha)^{-1}) \circ \bar{\phi}(\alpha))$$

for  $n \in \tilde{\Delta}_i$ ,  $\alpha \in F_i$  where  $\chi \colon F_i \to \tilde{N}_i$ ,  $\bar{\phi} \colon F_i \to \operatorname{Aut}(\tilde{N}_i)$ . As  $\tilde{\Delta}_i \leq \tilde{N}_i$ , there is a 1-dimensional vector space R containing  $\mathbb{Z}$  as a discrete uniform subgroup which has a central group extension (cf. [12]):

$$1 \rightarrow \mathsf{R} \rightarrow N_i \rightarrow N_{i-1} \rightarrow 1$$

where  $N_{i-1} = \tilde{N}_i/R$  is a simply connected nilpotent Lie group. As  $\mathbb{Z} \leq R \cap \tilde{\Delta}_i$  is discrete cocompact in R and  $R \cap \tilde{\Delta}_i/\mathbb{Z} \to \tilde{\Delta}_i/\mathbb{Z} \cong \Delta_{i-1}$  is an inclusion, noting that  $\Delta_{i-1}$  is torsionfree, it follows that  $R \cap \tilde{\Delta}_i = \mathbb{Z}$ . We obtain the commutative diagram in which the vertical maps are inclusions:



On the other hand, (3.4) induces the following group extension:

$$(3.7) \qquad \begin{array}{c} 1 \longrightarrow \mathbb{Z} \longrightarrow \pi_{i} \xrightarrow{p_{i}} \pi_{i-1} \longrightarrow 1 \\ \\ \\ \\ \\ \\ 1 \longrightarrow \mathbb{Z} \longrightarrow \rho_{i}(\pi_{i}) \longrightarrow \hat{\rho}_{i}(\pi_{i-1}) \longrightarrow 1. \end{array}$$

Since  $\tilde{\Delta}_i$  and  $\tilde{N}_i$  centralizes  $\mathbb{Z}$  and  $\mathsf{R}$  respectively,  $\hat{\rho}_i$  is a homomorphism from  $\pi_{i-1}$  into  $\mathsf{E}(N_{i-1})$ . The explicit formula is given by the following:

(3.8) 
$$\hat{\rho}_i((\bar{n},\alpha)) = (\bar{n} \cdot \bar{\chi}(\alpha), \, \mu(\bar{\chi}(\alpha)^{-1}) \circ \hat{\phi}(\alpha))$$

for  $\bar{n} \in \Delta_{i-1}$ ,  $\alpha \in F_i$  where  $\bar{\chi} = p_i \circ \chi : F_i \to N_{i-1}$ ,  $\hat{\phi} : F_i \to \operatorname{Aut}(N_{i-1})$ ;

$$\hat{\phi}(\alpha)(\bar{x}) = \bar{\phi}(\alpha)(x).$$

Using (1.3) and Mal'cev's unique extension property (compare [12]), it is easy to check that the above  $\hat{\phi}: F_i \to \operatorname{Aut}(N_{i-1})$  is a well-defined homomorphism. Thus we obtain an equivariant fibration:

(3.9) 
$$(\mathbb{Z}, \mathsf{R}) \to (\rho_i(\pi_i), \tilde{N}_i) \xrightarrow{\nu_i} (\hat{\rho}_i(\pi_{i-1}), N_{i-1}).$$

Suppose by induction that  $(\pi_{i-1}, X_{i-1})$  is equivariantly diffeomorphic to the infranilaction  $(\hat{\rho}_i(\pi_{i-1}), N_{i-1})$  as above. We have two Seifert fibrations from (1.3):

$$(\mathbb{Z}, \mathsf{R}) \to (\pi_i, X_i) \xrightarrow{p_i} (\pi_{i-1}, X_{i-1})$$

and (3.9):

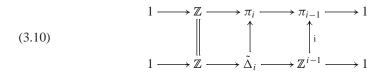
$$(\mathbb{Z}, \mathsf{R}) \to (\rho_i(\pi_i), \tilde{N}_i) \xrightarrow{\nu_i} (\hat{\rho}_i(\pi_{i-1}), N_{i-1}).$$

As  $\rho_i: \pi_i \to \rho_i(\pi_i)$  is isomorphic such that  $\rho_i|_{\mathbb{Z}} = \text{id}$ , the Seifert rigidity implies that  $(\pi_i, X_i)$  is equivariantly diffeomorphic to  $(\rho_i(\pi_i), \tilde{N}_i)$ . This shows the induction step. If  $M = X/\pi$ , then  $(\pi, X)$  is equivariantly diffeomorphic to an infranil-action  $(\rho(\pi), \tilde{N})$  for which  $\rho: \pi \to E(\tilde{N})$  is a faithful representation.

We have shown that M is diffeomorphic to an infranilmanifold  $\tilde{N}/\rho(\pi)$ . According to Cases I, II (stated in Theorem 1.2), we prove that  $\tilde{N}$  is isomorphic to a vector space for Case I or  $\tilde{N}$  is a nilpotent Lie group but not a vector space for Case II respectively.

CASE I. As every cocycle of  $H^2_{\phi}(\pi_{i-1}, \mathbb{Z})$  representing a group extension (3.2) is finite, the cocycle in  $H^2(\Delta_{i-1}, \mathbb{Z})$  for the induced extension of (3.3) that  $1 \to \mathbb{Z} \to \tilde{\Delta}_i \to \Delta_{i-1} \to 1$  is also finite. By induction, suppose that  $\Delta_{i-1}$  is isomorphic to a free abelian group  $\mathbb{Z}^{i-1}$ . Then the cocycle in  $H^2(\mathbb{Z}^{i-1}, \mathbb{Z})$  is zero, so  $\tilde{\Delta}_i$  is isomorphic to a free abelian group  $\mathbb{Z}^i$ . Hence the nilpotent Lie group  $N_i$  is isomorphic to the vector space  $\mathbb{R}^i$ . This shows the induction step. In particular,  $\pi_i$  is isomorphic to a Bieberbach group  $\rho_i(\pi_i) \leq \mathbb{E}(\mathbb{R}^i)$ . As a consequence  $X/\pi$  is diffeomorphic to a Riemannian flat manifold  $\mathbb{R}^n/\rho(\pi)$ .

CASE II. Suppose that  $\pi_{i-1}$  is virtually free abelian until i-1 and the cocycle  $[f] \in H^2_{\phi}(\pi_{i-1}, \mathbb{Z})$  representing a group extension  $1 \to \mathbb{Z} \to \pi_i \to \pi_{i-1} \to 1$  is of infinite order in  $H^2_{\phi}(\pi_{i-1}, \mathbb{Z})$ . Note that  $\pi_{i-1}$  contains a torsionfree normal free abelian subgroup  $\mathbb{Z}^{i-1}$ . As in (3.3), there is a central group extension of  $\tilde{\Delta}_i$ :



where  $[\pi_{i-1}:\mathbb{Z}^{i-1}] < \infty$ . Recall that there is a transfer homomorphism  $\tau: H^2(\mathbb{Z}^{i-1},\mathbb{Z}) \to H^2_{\phi}(\pi_{i-1},\mathbb{Z})$  such that  $\tau \circ i^* = [\pi_{i-1}:\mathbb{Z}^{i-1}]: H^2_{\phi}(\pi_{i-1},\mathbb{Z}) \to H^2_{\phi}(\pi_{i-1},\mathbb{Z})$ , see [1, (9.5) Proposition p. 82] for example. The restriction  $i^*[f]$  gives the bottom extension sequence of (3.10). If  $i^*[f] = 0 \in H^2(\mathbb{Z}^2,\mathbb{Z})$ , then  $0 = \tau \circ i^*[f] = [\pi_{i-1}:\mathbb{Z}^{i-1}][f] \in H^2_{\phi}(\pi_{i-1},\mathbb{Z})$ . So  $i^*[f] \neq 0$ . Therefore  $\tilde{\Delta}_i$  (respectively  $\tilde{N}_i$ ) is not abelian (respectively not isomorphic to a vector space). As a consequence,  $\tilde{N}$  is a simply connected (non-abelian) nilpotent Lie group.

In order to study  $S^1$ -fibred nilBott manifolds further, we introduce the following definition:

DEFINITION 3.1. If an  $S^1$ -fibred nilBott manifold M satisfies Case I (respectively Case II) of Theorem 1.2, then M is said to be an  $S^1$ -fibred nilBott manifold of finite type (respectively of infinite type).

Apparently there is no inter between finite type and infinite type. And  $S^1$ -fibred nilBott manifolds are of finite type until dimension 2.

REMARK 3.2. Let *M* be an *S*<sup>1</sup>-fibred nilBott manifold of finite type, then  $\rho(\pi)$  is a Bieberbach group (cf. Theorem 1.2). By the Bieberbach Theorem,  $\rho(\pi)$  satisfies a group extension

$$(3.11) 1 \to \mathbb{Z}^n \to \rho(\pi) \to H \to 1$$

where  $\mathbb{Z}^n = \rho(\pi) \cap \mathbb{R}^n$ , and *H* is the holonomy group of  $\rho(\pi)$ . We may identify  $\rho(\pi)$  with  $\pi$  whenever  $\pi$  is torsionfree.

**Proposition 3.3.** Suppose *M* is an  $S^1$ -fibred nilBott manifold of finite type. Then the holonomy group of  $\pi$  is isomorphic to the power of cyclic group of order two  $(\mathbb{Z}_2)^s$  in O(n)  $(0 \le s \le n)$ .

Proof. Let M be an  $S^1$ -fibred nilBott manifold of finite type. Recall an equivariant fibration:

$$(\mathbb{Z}, \mathsf{R}) \to (\pi_i, \tilde{N}_i) \xrightarrow{p_i} (\pi_{i-1}, N_{i-1}).$$

If f is a cocycle in  $H^2_{\phi}(\pi_{i-1}, \mathbb{Z})$  for Case I representing (3.2), then there exists a map  $\lambda \colon \pi_{i-1} \to \mathbb{R}$  such that

(3.12) 
$$f(\alpha, \beta) = \overline{\phi}(\alpha)(\lambda(\beta)) + \lambda(\alpha) - \lambda(\alpha\beta) \quad (\alpha, \beta \in \pi_{i-1})$$

(see [3]). Moreover let  $(n, \alpha) \in \pi_i$  and  $(x, w) \in \tilde{N}_i = \mathsf{R} \times N_{i-1}$ , then the action of  $\pi_i$  is given by

(3.13) 
$$(n, \alpha)(x, w) = (n + \bar{\phi}(\alpha)(x) + \lambda(\alpha), \alpha w)$$

 $(n \in \mathbb{Z}, \alpha \in \pi_{i-1})$ . See (2.4). As we have shown in Case I of Theorem 1.2,  $N_{i-1}/\pi_{i-1}$  is a Riemannian flat manifold  $\mathbb{R}^{i-1}/\pi_{i-1}$ , we may assume that

$$\alpha w = b_{\alpha} + A_{\alpha} w \quad (w \in \mathbb{R}^{i-1})$$

 $(b_{\alpha} \in \mathbb{R}^{i}, A_{\alpha} \in O(i-1))$  in the above action of (3.13). Then the above action (3.13) has the formula:

(3.14) 
$$(n,\alpha) \begin{bmatrix} x \\ w \end{bmatrix} = \left( \begin{pmatrix} n+\lambda(\alpha) \\ b_{\alpha} \end{pmatrix}, \begin{pmatrix} \bar{\phi}(\alpha) & 0 \\ 0 & A_{\alpha} \end{pmatrix} \right) \begin{bmatrix} x \\ w \end{bmatrix},$$

where  $\begin{bmatrix} x \\ w \end{bmatrix} \in \tilde{N}_i = \mathsf{R} \times \mathbb{R}^{i-1} = \mathbb{R}^i$ . Suppose inductively that  $\{A_{\alpha} \mid \alpha \in \pi_{i-1}\} \leq (\mathbb{Z}_2)^{i-1}$ . Here

(3.15) 
$$(\mathbb{Z}_2)^{i-1} = \left\{ \begin{pmatrix} \pm 1 & & \\ & \ddots & \\ & & \pm 1 \end{pmatrix} \right\} \le \mathcal{O}(i-1).$$

Since  $\bar{\phi}(\pi_{i-1}) \leq \{\pm 1\}$ , the holonomy group  $H_i$  of  $\pi_i$  is isomorphic to  $(\mathbb{Z}_2)^s$ ,  $(0 \leq s \leq i)$ . This proves the induction step.

**3.2.** Torus actions on S<sup>1</sup>-fibred nilBott manifolds. Given an effective  $T^k$ -action on a closed aspherical manifold M, define an orbit map  $ev: T^k \to M$  by ev(t) = tx  $(\exists x \in M)$ . Then ev induces a homomorphism of the fundamental groups  $ev_*: \pi_1(T^k) \to \pi_1(M)$  which is known to be injective by Conner and Raymond [3]. But  $ev_*: H_1(T^k) \to H_1(M)$  is not necessarily injective.

DEFINITION 3.4. When  $ev_* \colon H_1(T^k) \to H_1(M)$  is injective, we call that the  $T^k$ -action is homologically injective.

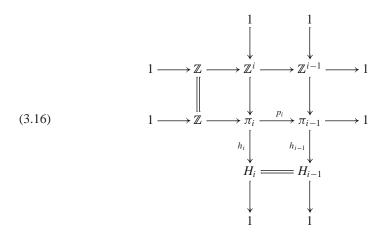
**Corollary 3.5.** Each  $S^1$ -fibred nilBott manifold of finite type  $M_i$  admits a homologically injective  $T^k$ -action where  $k = \text{Rank } H_1(M_i)$ . Moreover, the action is maximal, *i.e.*  $k = \text{Rank } C(\pi_i)$ .

Proof. We suppose by induction that there is a *homologically injective* maximal  $T^{k-1}$ -action on  $M_{i-1} = T^{i-1}/H_{i-1}$  such that  $k-1 = \text{Rank } H_1(M_{i-1}) = \text{Rank } C(\pi_{i-1})$  (k-1 > 0). Since  $\pi_i$ ,  $\pi_{i-1}$  are Bieberbach groups, there are two group extensions

$$1 \to \mathbb{Z}^{i} \to \pi_{i} \xrightarrow{h_{i}} H_{i} \to 1,$$
  
$$1 \to \mathbb{Z}^{i-1} \to \pi_{i-1} \xrightarrow{h_{i-1}} H_{i-1} \to 1$$

where  $H_i$ ,  $H_{i-1}$  are holonomy groups of  $\pi_i$ ,  $\pi_{i-1}$  respectively and  $\mathbb{Z}^i = \pi_i \cap \mathbb{R}^i$ ,  $\mathbb{Z}^{i-1} =$ 

 $\pi_{i-1} \cap \mathbb{R}^{i-1}$ . We have a following diagram



Let  $p: \mathbb{R}^i = \mathbb{R} \times \mathbb{R}^{i-1} \to T^i = S^1 \times T^{i-1}$  be the canonical projection such that Ker  $p = \mathbb{Z}^i = \pi_i \cap \mathbb{R}^i$ . By Proposition 3.3,  $H_i = (\mathbb{Z}_2)^s$  for some s  $(1 \le s \le i)$ . The action  $(\pi_i, \mathbb{R}^i)$  induces an isometric action  $(H_i, T^i)$  from (3.14). We may represent the action as follows:

(3.17) 
$$\hat{\alpha} \begin{pmatrix} z_1 \\ z_2 \\ \vdots \\ z_i \end{pmatrix} = \begin{pmatrix} t_{\hat{\alpha}} \cdot \psi(\hat{\alpha})(z_1) \\ z_2' \\ \vdots \\ z_i' \end{pmatrix}$$

here  $\hat{\alpha} = h_i((n, \alpha)) \in H_i$ ,  $t_{\hat{\alpha}} = p(n + \lambda(\alpha)) \in S^1$ , and  $\psi \colon H_i \to \{\pm 1\}$  is defined by

(3.18) 
$$\psi(\hat{\alpha})(z_1) = \begin{cases} z_1 & \text{if } \bar{\phi}(\alpha) = 1, \\ \bar{z}_1 & \text{if } \bar{\phi}(\alpha) = -1. \end{cases}$$

Note that  $(t_{\hat{\alpha}})^2 = p(n + \lambda(\alpha))p(n + \lambda(\alpha)) = p(2n + 2\lambda(\alpha))$ . By (3.14) if  $\overline{\phi}(\alpha) = 1$ , then

(3.19) 
$$(n, \alpha)^2 \begin{bmatrix} x \\ w \end{bmatrix} = \left( \begin{pmatrix} 2n + 2\lambda(\alpha) \\ b_{\alpha} + A_{\alpha}w \end{pmatrix}, \begin{pmatrix} 1 \\ \ddots \\ & 1 \end{pmatrix} \right) \begin{bmatrix} x \\ w \end{bmatrix}.$$

Since  $2n + 2\lambda(\alpha) \in \mathbb{Z}$ ,  $(t_{\hat{\alpha}})^2 = 1$  i.e.  $t_{\hat{\alpha}} = \pm 1$ .

If  $\psi(\hat{\alpha}) = 1$  for all  $\hat{\alpha}$ , it follows from (3.17) that the left translation of  $S^1$  on  $T^i = S^1 \times T^{i-1}$  induces an  $S^1$ -action on  $M_i = T^i/H_i$  so that  $T^k$ -action on  $M_i = T^i/H_i$  follows

(3.20) 
$$\begin{pmatrix} t \\ t' \end{pmatrix} \begin{bmatrix} z_1 \\ z_2 \\ \vdots \\ z_i \end{bmatrix} = \begin{bmatrix} t \cdot z_1 \\ t' \cdot \begin{pmatrix} z_2 \\ \vdots \\ z_i \end{pmatrix} \end{bmatrix}$$

where  $(t, t') \in S^1 \times T^{k-1}$ ,  $[z_1, \ldots, z_i] \in M_i = T^i/H_i$ . On the other hand, if there is an element  $\hat{\alpha}$  of  $H_i$  which  $\psi(\hat{\alpha})(z) = \overline{z}$ , then  $M_i$  admits a  $T^{k-1}$ -action by the induction hypothesis. The group extension (3.11) gives rise to a group extension:

$$(3.21) 1 \to \mathbb{Z}/[\pi_i, \pi_i] \cap \mathbb{Z} \to \pi_i/[\pi_i, \pi_i] \xrightarrow{\nu_i} \pi_{i-1}/[\pi_{i-1}, \pi_{i-1}] \to 1.$$

As in the proof of Proposition 3.3,  $[(0, \alpha), (n, 1)] = ((\phi(\alpha) - 1)(n), 1)$ . It follows that  $[\pi_i, \pi_i] \cap \mathbb{Z} = \{1\}$  or  $[\pi_i, \pi_i] \cap \mathbb{Z} = 2\mathbb{Z}$  according to whether  $H_i = \text{Ker } \psi$  or not. So (3.21) becomes

$$(3.22) 1 \to \mathbb{Z} \to H_1(M_i) \xrightarrow{\nu_i} H_1(M_{i-1}) \to 1,$$

or

(3.23) 
$$1 \to \mathbb{Z}_2 \to H_1(M_i) \xrightarrow{\nu_i} H_1(M_{i-1}) \to 1.$$

For (3.22), it follows  $k = \text{Rank } H_1(M_i)$  for which  $M_i$  admits a homologically injective  $T^k$ -action as above. For (3.23),  $k - 1 = \text{Rank } H_1(M_i)$  and  $M_i$  admits a homologically injective  $T^{k-1}$ -action by the induction hypothesis.

Now we show the action is maximal. Suppose  $\psi(\hat{\alpha}) = 1$  for all  $\hat{\alpha}$ . Noting that the group extension  $1 \to \mathbb{Z} \to \pi_i \xrightarrow{p_i} \pi_{i-1} \to 1$  is a central extension, we obtain a group extension:

$$1 \to \mathbb{Z} \to C(\pi_i) \xrightarrow{p_i} p_i(C(\pi_i)) \to 1.$$

On the other hand, since  $M_i$  admits the above  $T^k$ -action,  $\mathbb{Z}^k \subset C(\pi_i)$ . Let Rank  $C(\pi_i) = k + l$ , (l = 0, 1, 2, ...), then  $\mathbb{Z}^{k+l-1} \subset p_i(C(\pi_i))$ . By the induction hypothesis, k - 1 =Rank  $C(\pi_{i-1}) \geq$  Rank  $p_i(C(\pi_i))$ . Therefore l = 0 that is k = Rank  $C(\pi_i)$ .

Assume that there exists an element  $\hat{\alpha} \in H_i$  such that  $\psi(\hat{\alpha})(z) = \overline{z}$ . It is easy to check that  $\mathbb{Z} \cap C(\pi_i) = \{1\}$ , i.e.  $C(\pi_i) \leq C(\pi_{i-1})$  and since  $M_i$  admits  $T^{k-1}$ -action,  $\mathbb{Z}^{k-1} \leq C(\pi_i)$ . By the induction hypothesis,  $k - 1 = \text{Rank } C(\pi_i)$ . Hence in each case the torus action is maximal.

## 4. 3-dimensional S<sup>1</sup>-fibred nilBott towers

By the definition of  $S^1$ -fibred nilBott manifold  $M_n$ ,  $M_2$  is either a torus  $T^2$  or a Klein bottle K so that  $M_2$  is a Riemannian flat manifold.

**4.1. 3-dimensional**  $S^1$ -fibred nilBott manifolds of finite type. Any 3-dimensional  $S^1$ -fibred nilBott manifold  $M_3$  of finite type is a Riemannian flat manifold. It is known that there are just 10-isomorphism classes  $\mathcal{G}_1, \ldots, \mathcal{G}_6, \mathcal{B}_1, \ldots, \mathcal{B}_4$  of 3-dimensional Riemannian flat manifolds. (Refer to the classification of 3-dimensional Riemannian flat manifolds (Refer to the classification of 3-dimensional Riemannian flat manifolds by Wolf [13].) In particular, for Riemannian flat 3-manifolds corresponding to  $\mathcal{B}_2$  and  $\mathcal{B}_4$ , we have shown that there are two  $S^1$ -fibred nilBott towers:  $\mathcal{B}_2 \to K \to S^1 \to \{pt\}$  and  $\mathcal{B}_4 \to K \to S^1 \to \{pt\}$  in [10]. Remark that every real Bott manifold is an  $S^1$ -fibred nilBott manifold of finite type and  $\mathcal{B}_2$  and  $\mathcal{B}_4$  are not real Bott manifolds. And the following proposition has been proved. See [10] for details.

**Proposition 4.1.** The 3-dimensional  $S^1$ -fibred nilBott manifolds of finite type are those of  $G_1$ ,  $G_2$ ,  $B_1$ ,  $B_2$ ,  $B_3$ ,  $B_4$ .

**4.2. 3-dimensional**  $S^1$ -fibred nilBott manifolds of infinite type. Any 3-dimensional  $S^1$ -fibred nilBott manifold  $M_3$  of infinite type is an infranil-Heisenberg manifold. The 3-dimensional simply connected nilpotent Lie group  $N_3$  is isomorphic to the Heisenberg Lie group N which is the product  $R \times \mathbb{C}$  with group law:

$$(x, z) \cdot (y, w) = (x + y - \operatorname{Im} \overline{z}w, z + w).$$

Then a maximal compact Lie subgroup of Aut(N) is  $U(1) \rtimes \langle \tau \rangle$  which acts on N

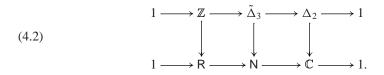
(4.1) 
$$e^{\mathbf{i}\theta}(x,z) = (x, e^{\mathbf{i}\theta}z), \quad (e^{\mathbf{i}\theta} \in \mathrm{U}(1)),$$
$$\tau(x,z) = (-x, \bar{z}).$$

A 3-dimensional compact infranilmanifold is obtained as a quotient N/ $\Gamma$  where  $\Gamma$  is a torsionfree discrete uniform subgroup of E(N) = N × (U(1) ×  $\langle \tau \rangle$ ). See [4].

Let

$$S^1 \to M_3 \to M_2$$

be an  $S^1$ -fibred nilBott manifold of infinite type which has a group extension  $1 \rightarrow \mathbb{Z} \rightarrow \pi_3 \rightarrow \pi_2 \rightarrow 1$ . As before this group extension contains a central group extension  $1 \rightarrow \mathbb{Z} \rightarrow \tilde{\Delta}_3 \rightarrow \Delta_2 \rightarrow 1$ . Since  $\mathsf{R} \subset \mathsf{N}$  is the center, this induces the commutative diagram of central extensions (cf. (3.16)):



Using this, we obtain an embedding:

Note that  $\mathbb{C} \rtimes (\mathrm{U}(1) \rtimes \langle \tau \rangle) = \mathbb{R}^2 \rtimes \mathrm{O}(2) = \mathrm{E}(2)$ . Since  $\mathsf{R} \cap \pi_3 = \mathbb{Z}$  from (4.3),  $\hat{\rho}(\pi_2)$  is a Bieberbach group in  $\mathrm{E}(2)$  so that  $\mathbb{R}^2/\hat{\rho}(\pi_2)$  is either  $T^2$  or K.

Define  $L: E(N) \to U(1) \rtimes \langle \tau \rangle$  to be the canonical projection.

CASE (i). Suppose  $L(\pi_3) = \{1\}$ . Then  $\hat{\rho}(\pi_2) \leq \mathbb{C}$ . So we may assume  $\pi_3 = \tilde{\Delta}_3$  from (4.2). For each  $k \in \mathbb{Z}$ , we introduce the nilpotent group  $\Delta(k)$  which is a subgroup of N generated by

$$c = (2k, 0), \quad a = (0, k), \quad b = (0, k\mathbf{i}).$$

Put  $Z = \langle c \rangle$  which is a central subgroup of  $\Delta(k)$ . It is easy to see that

$$(4.4) [a, b] = c^{-k}$$

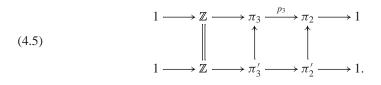
Then  $\tilde{\Delta}_3 \leq N$  is isomorphic to  $\Delta(k)$  for some  $k \in \mathbb{Z}$ . Since R is the center of N, we have a principal bundle

$$S^1 = \mathsf{R}/\mathsf{Z} \to \mathsf{N}/\Delta(k) \to \mathbb{C}/\mathbb{Z}^2.$$

Then the euler number of the fibration is  $\pm k$ . (See [9] for example.)

CASE (ii). Suppose that the holonomy group of  $\pi_3$  is nontrivial. Then we note that  $L(\pi_3) = \mathbb{Z}_2 \leq U(1) \rtimes \langle \tau \rangle$ , but not in U(1). By (3.16)  $L(\pi_3) = L(\pi_2)$ , first remark that  $L(\pi_2)$  is not contained in U(1). For this, suppose that (b, A) is an element of  $\pi_2 \leq \mathbb{R}^2 \rtimes O(2)$ . Then for any  $x \in \mathbb{R}^2$ ,  $(b, A)x \neq x$ , because the action of  $\pi_2$  on  $\mathbb{R}^2$  is free. Therefore det(A - I) = 0. This implies that if  $A \in SO(2) = U(1)$ , then A = I. So  $L(\pi_2) = L(\pi_3)$  is not contained in U(1).

Suppose that there exists an element  $g \in \pi_3$  such that  $L(g) = (e^{i\theta}, \tau) \in U(1) \rtimes \langle \tau \rangle$ . Noting (4.1), it follows  $L(g)^2 = 1$ . Then  $L(\pi_3) = (U(1) \cap L(\pi_3)) \cdot \langle L(g) \rangle$ . Let  $\pi'_3 = L^{-1}(U(1) \cap L(\pi_3)) \leq \pi_3$  which has the commutative diagram:



Here  $\pi'_2 = p_3(\pi'_3)$ . Since  $\pi'_2$  also acts on  $\mathbb{R}^2$  freely, it follows  $L(\pi'_2) = L(\pi'_3) = U(1) \cap L(\pi_3) = \{1\}$ . Hence  $L(\pi_2) = L(\pi_3) = \mathbb{Z}_2 = \langle L(g) \rangle$ . In particular  $M_2$  is the Klein bottle K.

Let n = (x, 0) be a generator of  $\mathbb{Z} \leq N$ . Choose  $h \in \pi_3$  with L(h) = 1 such that the subgroup  $\langle p_3(g), p_3(h) \rangle$  is the fundamental group of K. It has a relation  $p_3(g)p_3(h)p_3(g)^{-1} = p_3(h)^{-1}$ . Then  $\langle n, g, h \rangle$  is isomorphic to  $\pi_3$ . In particular, those generators satisfy

(4.6) 
$$ghg^{-1} = n^k h^{-1} \quad (\exists k \in \mathbb{Z}), \\ gng^{-1} = L(g)n = \tau n = n^{-1}, \quad ahnh^{-1} = L(h)n = n.$$

On the other hand, fix a non-zero integer k. Let  $\Gamma(k)$  be a subgroup of E(N) generated by

(4.7) 
$$n = ((k, 0), I), \quad \alpha = \left( \left( 0, \frac{k}{2} \right), \tau \right), \quad \beta = ((0, k\mathbf{i}), I),$$

where  $(a, x) \in \mathsf{N} = \mathsf{R} \times \mathbb{C} \leq \mathsf{E}(\mathsf{N}).$ 

Note that  $\alpha^2 = ((0, k), I)$ . Then it is easily checked that

Then the subgroup generated by  $\hat{\alpha}^2$ ,  $\hat{\beta}$  is isomorphic to the subgroup of translations of  $\mathbb{R}^2$ ;  $t_1 = \begin{bmatrix} k \\ 0 \end{bmatrix}$ ,  $t_2 = \begin{bmatrix} 0 \\ k \end{bmatrix}$ . Let  $T^2 = \mathbb{R}^2 / \langle t_1, t_2 \rangle$ . Then it is easy to see that the quotient  $\gamma = [\hat{\alpha}]$  of order 2 acts on  $T^2$  as

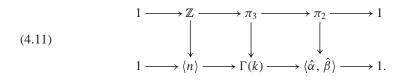
(4.10) 
$$\gamma(z_1, z_2) = (-z_1, \overline{z}_2).$$

As a consequence,  $\mathbb{R}^2/\langle \hat{\alpha}, \hat{\beta} \rangle = T^2/\langle \gamma \rangle$  turns out to be K. So  $M_3 = N/\Gamma(k)$  is an S<sup>1</sup>-fibred nilBott manifold:

$$S^1 \to \mathsf{N}/\Gamma(k) \to K$$

where  $S^1 = \mathsf{R}/\langle n \rangle$  is the fiber (but not an action).

Compared (4.6) with  $\Gamma(k)$ ,  $\pi_3$  is isomorphic to  $\Gamma(k)$  with the following commutative arrows of isomorphisms:



As both  $(\pi_3, X_3)$  and  $(\Gamma(k), N)$  are Seifert actions, the isomorphism of (4.11) implies that they are equivariantly diffeomorphic, i.e.  $M_3 = X_3/\pi_3 \cong N/\Gamma(k)$ . This shows the following.

**Proposition 4.2.** A 3-dimensional  $S^1$ -fibred nilBott manifold  $M_3$  of infinite type is either a Heisenberg nilmanifold  $N/\Delta(k)$  or a Heisenberg infranilmanifold  $N/\Gamma(k)$ .

#### 5. Realization

5.1. Realization of  $S^1$ -fibration over a Klein bottle K. Let Q be a fundamental group of a Klein Bottle K, then Q has a presentation:

(5.1) 
$$\{g, h \mid ghg^{-1} = h^{-1}\}.$$

A group extension  $1 \to \mathbb{Z} \to \pi \to Q \to 1$  for any 3-dimensional  $S^1$ -fibred nilBott manifold over *K* represents a 2-cocycle in  $H^2_{\phi}(Q,\mathbb{Z})$  for some representation  $\phi$ . Conversely, given any representation  $\phi: Q \to \operatorname{Aut}(\mathbb{Z}) = \{\pm 1\}$ , we shall prove that any element of  $H^2_{\phi}(Q,\mathbb{Z})$  can be realized as an  $S^1$ -fibred nilBott manifold.

We must consider following cases of a representation  $\phi$ :

- CASE 1.  $\phi(g) = 1, \ \phi(h) = 1.$
- CASE 2.  $\phi(g) = 1, \ \phi(h) = -1.$
- CASE 3.  $\phi(g) = -1, \ \phi(h) = 1.$
- CASE 4.  $\phi(g) = -1, \ \phi(h) = -1.$

Suppose  $\phi_i$  (i = 1, 2, 3, 4) is the representation  $\phi$  for Case i. Any element of  $H^2_{\phi_i}(Q, \mathbb{Z})$  gives rise to a group extension

$$1 \to \mathbb{Z} \to \pi \xrightarrow{p} Q \to 1.$$

Then  $\pi$  is generated by  $\tilde{g}$ ,  $\tilde{h}$ , n such that  $\langle n \rangle = \mathbb{Z}$  and  $p(\tilde{g}) = g$ ,  $p(\tilde{h}) = h$ . There exists  $k \in \mathbb{Z}$  which satisfies

(5.2) 
$$\tilde{g}\tilde{h}\tilde{g}^{-1} = n^k\tilde{h}^{-1}.$$

Put  $\pi = {}_{i}\pi(k)$  for each  $k \in \mathbb{Z}$  and  $[f_{k}]$  denotes the 2-cocycle of  $H^{2}_{\phi_{i}}(Q,\mathbb{Z})$  representing  ${}_{i}\pi(k)$ . Note that  $[f_{0}] = 0$ .

CASE 1: Since  $\phi_1$  is trivial,  $H^2_{\phi_1}(Q, \mathbb{Z}) = H^2(Q, \mathbb{Z}) \approx H^2(K, \mathbb{Z}) \approx \mathbb{Z}_2$ , and the group  $_1\pi(k)$  satisfies the following presentation:

(5.3) 
$$\tilde{g}n\tilde{g}^{-1} = n, \quad \tilde{h}n\tilde{h}^{-1} = n, \quad \tilde{g}\tilde{h}\tilde{g}^{-1} = n^k\tilde{h}^{-1}.$$

**Lemma 5.1.** The groups  $_{1}\pi(0)$ ,  $_{1}\pi(1)$  are isomorphic to  $\mathcal{B}_{1}$ ,  $\mathcal{B}_{2}$  respectively.

Proof. First we shall discuss  $_1\pi(0)$ . Let  $\tilde{g}$ ,  $\tilde{h}$ ,  $n \in _1\pi(0)$  be as above. Put  $\varepsilon = \tilde{g}$ ,  $t_1 = \tilde{g}^2$ ,  $t_2 = n$  and  $t_3 = \tilde{h}$ . Remark that a group generated by  $\varepsilon$ ,  $t_1$ ,  $t_2$ ,  $t_3$  coincides with  $_1\pi(0)$ . Using the relation (5.3),

$$\varepsilon^{2} = t_{1},$$
  

$$\varepsilon t_{2}\varepsilon^{-1} = \tilde{g}\tilde{h}\tilde{g}^{-1} = \tilde{h}^{-1} = t_{2}^{-1},$$
  

$$\varepsilon t_{3}\varepsilon^{-1} = \tilde{g}n\tilde{g}^{-1} = n = t_{3}.$$

Compared these relations with those of  $\mathcal{B}_1$ ,  $_1\pi(0)$  is isomorphic to  $\mathcal{B}_1$  (due to the Wolf's notation [13]).

Second, we shall discuss  $_1\pi(1)$ . Let  $\tilde{g}, \tilde{h}, n \in _1\pi(1)$  be as above. Put  $\varepsilon = \tilde{g}, t_1 = \tilde{g}^2$ ,  $t_2 = \tilde{g}^{-2}n$  and  $t_3 = \tilde{h}$ . A group generated by  $\varepsilon, t_1, t_2, t_3$  coincides with  $_1\pi(1)$ . By using the relation (5.3),

$$\varepsilon^{2} = t_{1},$$
  

$$\varepsilon t_{2}\varepsilon^{-1} = \tilde{g}\tilde{g}^{-2}n\tilde{g}^{-1} = \tilde{g}^{-1}n\tilde{g}^{-1} = \tilde{g}^{-2}n = t_{1},$$
  

$$\varepsilon t_{3}\varepsilon^{-1} = \tilde{g}h\tilde{g}^{-1} = \tilde{g}^{2}\tilde{g}^{-2}n\tilde{h}^{-1} = t_{1}t_{2}t_{3}^{-1}.$$

This implies that  $_{1}\pi(1)$  is isomorphic to  $\mathcal{B}_{2}$ . (See [13].)

For arbitrary  $k \in \mathbb{Z}$ , we have the following.

**Proposition 5.2.** The group extension  $_1\pi(k)$  is isomorphic to  $\mathcal{B}_1$ , or  $\mathcal{B}_2$  in accordance with k is even or odd.

Proof. Take  $[f_1] \in H^2_{\phi_1}(Q, \mathbb{Z}) \approx \mathbb{Z}_2$  by Lemma 5.1, then

(5.4) 
$$n = \tilde{g}\tilde{h}\tilde{g}^{-1}\tilde{h} = (0, g)(0, h)(-f_1(g^{-1}, g), g^{-1})(0, h)$$
$$= f_1(g, h) - f_1(g^{-1}, g) + f_1(gh, g^{-1}) + f_1(h^{-1}, h),$$

and so

(5.5) 
$$n^{k} = kf_{1}(g,h) - kf_{1}(g^{-1},g) + kf_{1}(gh,g^{-1}) + kf_{1}(h^{-1},h).$$

Since  $[kf_1] \in H^2_{\phi_1}(Q,\mathbb{Z})$ , we can construct a group  $H_k$  which is represented by  $(kf_1,\phi_1)$ . Then  $H_k$  is generated by the elements n and g' = (0, g), h' = (0, h) satisfying that

$$(n, \alpha)(m, \beta) = (n + \phi_1(\alpha)(m) + kf_1(\alpha, \beta), \alpha\beta) \quad (\forall n, m \in \mathbb{Z}, \ \forall \alpha, \beta \in Q).$$

It follows

$$g'h'g'^{-1}h' = (0, g)(0, h)(-kf_1(g^{-1}, g), g^{-1})(0, h)$$
  
=  $kf_1(g, h) - kf_1(g^{-1}, g) + kf_1(gh, g^{-1}) + kf_1(h^{-1}, h)$   
=  $n^k$  (from (5.5)).

Thus we obtain  $g'h'g'^{-1} = n^k h'^{-1}$ . In view of (5.2), a correspondence  $g' \mapsto \tilde{g}, h' \mapsto \tilde{h}$  gives an isomorphism  $\Psi$  of the group extensions:

If we recall that  $[f_k]$  (resp.  $[k \cdot f_1]$ ) represents  $_1\pi(k)$  (resp.  $H_k$ ), then it follows  $[f_k] = k \cdot [f_1]$ . Noting that  $[f_1]$  is a two torsion element, the result follows.

CASE 2: Let  $\phi_2(g) = 1$ ,  $\phi_2(h) = -1$ , then  $_2\pi(k)$  has the following presentation.

(5.7) 
$$\tilde{g}n\tilde{g}^{-1} = n, \quad \tilde{h}n\tilde{h}^{-1} = n^{-1}, \quad \tilde{g}\tilde{h}\tilde{g}^{-1} = n^k\tilde{h}^{-1},$$

for some  $k \in \mathbb{Z}$ .

**Proposition 5.3.** The groups  $_{2}\pi(0)$ ,  $_{2}\pi(1)$  are isomorphic to  $\mathcal{B}_{3}$ ,  $\mathcal{B}_{4}$  respectively.

Proof. Let  $\tilde{g}$ ,  $\tilde{h}$ ,  $n \in {}_{2}\pi(0)$  be as before. Put  $\alpha = \tilde{h}\tilde{g}$ ,  $\varepsilon = \tilde{h}^{-1}$ ,  $t_1 = \tilde{g}^2$ ,  $t_2 = \tilde{h}^{-2}$ and  $t_3 = n$ . Note that the group generated by  $\alpha$ ,  $\varepsilon$ ,  $t_1$ ,  $t_2$ ,  $t_3$  coincides with  ${}_{2}\pi(0)$ . Using the relation (5.7),

$$\begin{split} \tilde{\alpha}^2 &= (\tilde{h}\tilde{g})^2 = \tilde{h}\tilde{h}^{-1}\tilde{g}\tilde{g} = \tilde{g}^2 = t_1, \\ \varepsilon^2 &= t_2, \\ \varepsilon\alpha\varepsilon^{-1} &= \tilde{h}^{-1}\tilde{h}\tilde{g}\tilde{h} = \tilde{h}^{-1}\tilde{g} = t_2\alpha, \\ \alpha t_2\alpha^{-1} &= \tilde{h}\tilde{g}\tilde{h}^{-2}\tilde{g}^{-1}\tilde{h}^{-1} = \tilde{h}^{-2} = t_2^{-1}, \\ alt_3\alpha^{-1} &= \tilde{h}\tilde{g}n\tilde{g}^{-1}\tilde{h}^{-1} = n^{-1} = t_3^{-1}, \\ \varepsilon t_1\varepsilon^{-1} &= \tilde{h}^{-1}\tilde{g}^2\tilde{h} = \tilde{h}^{-1}\tilde{g}\tilde{h}^{-1}\tilde{g} = \tilde{h}^{-1}\tilde{h}\tilde{g}\tilde{g} = \tilde{g}^2 = t_1, \\ \varepsilon t_3\varepsilon^{-1} &= \tilde{h}^{-1}n\tilde{h} = n^{-1} = t_3^{-1}. \end{split}$$

Since these relations correspond to those of  $\mathcal{B}_3$  (cf. [13]),  $_2\pi(0)$  is isomorphic to  $\mathcal{B}_3$ .

Let  $\tilde{g}$ ,  $\tilde{h}$ ,  $n \in {}_{2}\pi(1)$  be as above. Put  $\alpha = \tilde{h}\tilde{g}$ ,  $\varepsilon = n^{-1}\tilde{h}^{-1}$ ,  $t_1 = n^{-1}\tilde{g}^2$ ,  $t_2 = \tilde{h}^{-2}$ , and  $t_3 = n^{-1}$ . Using the relation (5.7), we obtain the following presentation:

$$\begin{split} \tilde{\alpha}^2 &= (\tilde{h}\tilde{g})^2 = \tilde{h}n\tilde{h}^{-1}\tilde{g}\tilde{g} = n^{-1}\tilde{g}^2 = t_1, \\ \varepsilon^2 &= t_2, \\ \varepsilon\alpha\,\varepsilon^{-1} &= n^{-1}\tilde{h}^{-1}\tilde{h}\tilde{g}\tilde{h}n = \tilde{h}^{-1}\tilde{g}n = t_2t_3\alpha, \\ \alpha t_2\alpha^{-1} &= \tilde{h}\tilde{g}\tilde{h}^{-2}\tilde{g}^{-1}\tilde{h}^{-1} = \tilde{h}^{-2} = t_2^{-1}, \\ \alpha t_3\alpha^{-1} &= \tilde{h}\tilde{g}n^{-1}\tilde{g}^{-1}\tilde{h}^{-1} = n = t_3^{-1}, \\ \varepsilon t_1\varepsilon^{-1} &= n^{-1}\tilde{h}^{-1}n^{-1}\tilde{g}^2\tilde{h}n = n^{-1}\tilde{g}^2 = t_1, \\ \varepsilon t_3\varepsilon^{-1} &= n^{-1}\tilde{h}^{-1}n^{-1}\tilde{h}n = n = t_3^{-1}. \end{split}$$

This implies that  $_{2}\pi(1)$  is isomorphic to  $\mathcal{B}_{4}$ . (See [13]).

**Proposition 5.4.**  $H^2_{\phi_2}(Q, \mathbb{Z})$  is isomorphic to  $\mathbb{Z}_2$ .

Proof. We first show that  $H^2_{\phi_2}(Q,\mathbb{Z})$  is a 2-torsion group. Let Q' be the subgroup of Q generated by  $g, h^2 \in Q$  satisfying that  $gh^2g^{-1} = (ghg^{-1})^2 = h^{-2}$ . We have a commutative diagram:

where  $\pi'$  is the subgroup of  $_2\pi(k)$  generated by  $n, \tilde{g}, \tilde{h}^2$ . Note that

$$\tilde{g}\tilde{h}^2\tilde{g}^{-1} = n^k\tilde{h}^{-1}n^k\tilde{h}^{-1} = \tilde{h}^{-2}.$$

Since the subgroup  $\langle \tilde{g}, \tilde{h}^2 \rangle$  of  $\pi'$  maps isomorphically onto Q' and a restriction  $\phi_2 | Q' = id$ , it follows  $\pi' = \mathbb{Z} \times Q'$ . This shows that the restriction homomorphism  $\iota^* \colon H^2_{\phi_2}(Q,\mathbb{Z}) \to H^2(Q',\mathbb{Z})$  is the zero map, equivalently  $\iota^*[f_k] = 0$ . Using the transfer homomorphism  $\tau \colon H^2(Q',\mathbb{Z}) \to H^2_{\phi_2}(Q,\mathbb{Z})$  and by the property  $\tau \circ \iota^*([f]) = [Q \colon Q'][f] = 2[f] \ (\forall [f] \in H^2_{\phi_2}(Q,\mathbb{Z}))$ , we obtain 2[f] = 0.

Let  $[f_k]$  be a 2-cocycle of  $_2\pi(k)$ . Similarly as in the proof of Proposition 5.2 we obtain

$$(5.9) \qquad \qquad [f_k] = k \cdot [f_1]$$

As a consequence,  $H^2_{\phi_2}(Q, \mathbb{Z})$  is isomorphic to  $\mathbb{Z}_2$ .

The following is obvious using Proposition 5.3 and Proposition 5.4.

**Corollary 5.5.** The group extension  $_2\pi(k)$  is isomorphic to  $\mathcal{B}_3$  or  $\mathcal{B}_4$  in accordance with k is even or odd.

CASE 3: The group  $_{3}\pi(k)$  has the following presentation for some  $k \in \mathbb{Z}$ ;

(5.10) 
$$\tilde{g}n\tilde{g}^{-1} = n^{-1}, \quad \tilde{h}n\tilde{h}^{-1} = n, \quad \tilde{g}\tilde{h}\tilde{g}^{-1} = n^k\tilde{h}^{-1}.$$

**Lemma 5.6.** The groups  $_{3}\pi(0)$ ,  $_{3}\pi(k)$  are isomorphic to  $\mathcal{G}_{2}$ ,  $\Gamma(k)$  respectively. (cf. (4.7).)

Proof. Let  $\tilde{g}$ ,  $\tilde{h}$ ,  $n \in {}_{3}\pi(0)$  be as before. Put  $\alpha = \tilde{g}$ ,  $t_1 = \tilde{g}^2$ ,  $t_2 = \tilde{h}$  and  $t_3 = n$ . Note that the group generated by  $\alpha$ ,  $t_1$ ,  $t_2$ ,  $t_3$  coincides with  ${}_{3}\pi(0)$ . By using the relation (5.10), it is easy to check that:

$$\alpha^2 = t_1,$$
  
 $\alpha t_2 \alpha^{-1} = t_2^{-1},$   
 $\alpha t_3 \alpha^{-1} = t_3^{-1}.$ 

And so  $_{3}\pi(0)$  is isomorphic to  $\mathcal{G}_{2}$ . (See [13].)

Suppose  $\tilde{g}$ ,  $\tilde{h}$ ,  $n \in {}_{3}\pi(k)$   $(k \neq 0)$ . By the relations (4.6) and (5.10),  ${}_{3}\pi(k)$  is isomorphic to  $\Gamma(k)$  (cf. (4.7)).

**Proposition 5.7.**  $H^2_{\phi_3}(G, \mathbb{Z})$  is isomorphic to  $\mathbb{Z}$ .

Proof. From Theorem 1.2 and Lemma 5.6,  $\Gamma(k)$  represents the torsionfree element  $[f_k]$  in  $H^2_{\phi_3}(G, \mathbb{Z})$ . Moreover as in the proof of Proposition 5.2, we can show that  $[f_k] = k \cdot [f_1]$ . Therefore  $H^2_{\phi_3}(G, \mathbb{Z})$  is isomorphic to  $\mathbb{Z}$ .

CASE 4. The group  $_4\pi(k)$  has the following presentation.

(5.11) 
$$\tilde{g}n\tilde{g}^{-1} = n^{-1}, \quad \tilde{h}n\tilde{h}^{-1} = n^{-1}, \quad \tilde{g}\tilde{h}\tilde{g}^{-1} = n^k\tilde{h}^{-1}.$$

Put  $\alpha = \tilde{g}\tilde{h}$ . It is easy to check that

(5.12) 
$$\alpha n \alpha^{-1} = n, \quad \tilde{h} n \tilde{h}^{-1} = n^{-1}, \quad \alpha \tilde{h} \alpha = n^k \tilde{h}^{-1}.$$

In view of (5.7), this implies that  $_4\pi(k)$  is isomorphic to  $_2\pi(k)$ .

We have shown that any element of  $H^2_{\phi_i}(Q,\mathbb{Z})$  can be realized an  $S^1$ -fibred nilBott manifold  $M_3$ , and obtain the following table:

On the $S^1$ -Fibre	) NILBOTT	TOWER
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		Case 1	Case 2 and 4	Case 3
	$H^2_{\phi}(Q,\mathbb{Z})$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
	[f] = 0	$\mathcal{B}_1$	$\mathcal{B}_3$	$\mathcal{G}_2$
$\pi_1(M_3)$	$[f] \neq 0$ : torsion	$\mathcal{B}_2$	$\mathcal{B}_4$	
	[f]: torsionfree			$\Gamma(k)$

**5.2. Realization of**  $S^1$ -fibration over  $T^2$ . Let  $\mathbb{Z}^2$  be the fundamental group of a torus  $T^2$  generated by  $\alpha$ ,  $\beta$ . Given a representation  $\phi \colon \mathbb{Z}^2 \to \mathbb{Z} = \{\pm 1\}$ , we shall show that any element of  $H^2_{\phi}(\mathbb{Z}^2, \mathbb{Z})$  can be realized as an  $S^1$ -fibred nilBott manifold. We must consider following cases of a representation  $\phi$ :

we must consider following cases of a representation

CASE 5.  $\phi(\alpha) = 1, \ \phi(\beta) = 1.$ 

CASE 6.  $\phi(\alpha) = 1, \ \phi(\beta) = -1.$ CASE 7.  $\phi(\alpha) = -1, \ \phi(\beta) = -1.$ 

Suppose  $\phi_i$  (*i* = 5,6,7) is the representation  $\phi$  for Case i. Any element of  $H^2_{\phi_i}(\mathbb{Z}^2,\mathbb{Z})$ 

gives rise to a group extension

$$1 \to \mathbb{Z} \to \pi \xrightarrow{p} \mathbb{Z}^2 \to 1.$$

Then  $\pi$  is generated by  $\tilde{\alpha}$ ,  $\tilde{\beta}$ , *m* such that  $\langle m \rangle = \mathbb{Z}$  and  $p(\tilde{\alpha}) = \alpha$ ,  $p(\tilde{\beta}) = \beta$ . There exists  $k \in \mathbb{Z}$  which satisfies

(5.13) 
$$\tilde{\alpha}\,\tilde{\beta}\tilde{\alpha}^{-1} = m^k\tilde{\beta}.$$

Put  $\pi = {}_i \pi(k)$  for each  $k \in \mathbb{Z}$  and  $[f_k]$  denotes the 2-cocycle of  $H^2_{\phi_i}(\mathbb{Z}^2,\mathbb{Z})$  representing  ${}_i \pi(k)$ . Note that  $[f_0] = 0$ .

CASE 5: The group  ${}_5\pi(k)$  has the following presentation.

(5.14) 
$$\tilde{\alpha}m\tilde{\alpha}^{-1} = m, \quad \tilde{\beta}m\tilde{\beta}^{-1} = m, \quad \tilde{\alpha}\tilde{\beta}\tilde{\alpha}^{-1} = m^k\tilde{\beta},$$

for some  $k \in \mathbb{Z}$ . Compared these relations with (4.4),

**Proposition 5.8.** The groups  ${}_5\pi(0)$ ,  ${}_5\pi(k)$  are isomorphic to  $\pi_1(T^3)$ ,  $\pi_1(\Delta(-k))$  respectively.

Similarly as in the proof of Proposition 5.7, we obtain

**Proposition 5.9.**  $H^2_{\phi_5}(\mathbb{Z}^2, \mathbb{Z})$  is isomorphic to  $\mathbb{Z}$ .

CASE 6: The group  $_6\pi(k)$  has the following presentation.

(5.15) 
$$\tilde{\alpha}m\tilde{\alpha}^{-1} = m, \quad \tilde{\beta}m\tilde{\beta}^{-1} = m^{-1}, \quad \tilde{\alpha}\tilde{\beta}\tilde{\alpha}^{-1} = m^k\tilde{\beta},$$

for some  $k \in \mathbb{Z}$ .

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**Proposition 5.10.** The groups  $_{6}\pi(0)$ ,  $_{6}\pi(1)$  are isomorphic to  $\mathcal{B}_{1}$ ,  $\mathcal{B}_{2}$  respectively.

Proof. First let k = 0. Put  $m = \tilde{h}$ ,  $\tilde{\alpha} = n$ ,  $\tilde{\beta} = \tilde{g}$ , then we can check easily that  ${}_{6}\pi(0)$  is isomorphic to  ${}_{1}\pi(0)$ . So  ${}_{6}\pi(0)$  is isomorphic to  $\mathcal{B}_{1}$ .

Suppose k = 1. Put m = n,  $\tilde{\alpha} = \tilde{g}$ ,  $m^{-1}\tilde{\beta} = \tilde{h}$ , then it is easy to check that  $_{6}\pi(1)$  is isomorphic to  $\mathcal{B}_{2}$ .

Moreover similarly as in the proof of Proposition 5.4, we obtain

**Proposition 5.11.**  $H^2_{\phi_6}(\mathbb{Z}^2,\mathbb{Z})$  is isomorphic to  $\mathbb{Z}_2$ .

CASE 7: The group  $_{7}\pi(k)$  has the following presentation.

(5.16) 
$$\tilde{\alpha}m^{-1}\tilde{\alpha}^{-1} = m, \quad \tilde{\beta}m\tilde{\beta}^{-1} = m^{-1}, \quad \tilde{\alpha}\tilde{\beta}\tilde{\alpha}^{-1} = m^k\tilde{\beta},$$

for some  $k \in \mathbb{Z}$ . Then it is easy to check that  $_7\pi(k)$  is isomorphic to  $_6\pi(k)$  if we put  $g = \tilde{\alpha}\tilde{\beta}$ .

We have shown that any element of  $H^2_{\phi}(\mathbb{Z}^2, \mathbb{Z})$  can be realized an  $S^1$ -fibred nilBott manifold  $M_3$ , and we obtain the following table:

		Case 5	Case 6 and 7
	$H^2_\phi(\mathbb{Z}^2,\mathbb{Z})$	Z	$\mathbb{Z}_2$
	[f] = 0	$\mathcal{G}_1$	$\mathcal{B}_1$
$\pi_1(M_3)$	$[f] \neq 0$ : torsion		$\mathcal{B}_2$
	[f]: torsionfree	$\Delta(k)$	

#### 6. Halperin–Carlsson conjecture

**Theorem 6.1** (Halperin–Carlsson conjecture [11]). Let  $T^s$  be an arbitrary effective action on an m-dimensional  $S^1$ -fibred nilBott manifold M of finite type. Then

(6.1) 
$${}_{s}C_{i} \leq b_{i} \quad (= the \ j-th \ Betti \ number \ of \ M)$$

In particular  $2^s \leq \sum_{j=0}^m \text{Rank } H_j(M)$ .

Proof. By Corollary 3.5, M admits a homologically injective  $T^k$ -action where  $k = \text{Rank } C(\pi)$  where  $\pi = \pi_1(M)$ . Then we have shown in [6] that any homologically injective  $T^k$ -actions on any closed aspherical manifold satisfies that

$$_kC_i \leq b_i$$
 (= the *j*-th Betti number of *M*).

It follows from the result of Conner–Raymond [3] that there is an injective homomorphism  $1 \to \mathbb{Z}^s \to C(\pi)$ . This shows that  $s \leq k$  so we obtain

$$(6.2) _{s}C_{j} \leq b_{j}.$$

REMARK 6.2. This result is obtained when  $M_i$  is a real Bott manifold by Masuda, Choi and Oum [2].

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Department of Mathematics and Information of Sciences Tokyo Metropolitan University Minami-Ohsawa 1-1, Hachioji, Tokyo 192-0397 Japan e-mail: nakayama-mayumi@ed.tmu.ac.jp