

ON THE ABEL-JACOBI MAP FOR NON-COMPACT VARIETIES

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1. Introduction

Let X be a smooth projective variety over \mathbb{C} of dimension n and S be a reduced normal crossing divisor on X . Then the generalized Jacobian $J(X - S)$ is a group $H^{n-1}(X, \omega_X(S)) / H_{2n-1}(X - S, \mathbb{Z})$. When X is a curve, this fits into an exact sequence of algebraic groups:

$$1 \longrightarrow (\mathbb{C}^*)^{\sigma-1} \longrightarrow J(X - S) \longrightarrow J(X) \longrightarrow 0$$

where σ is the number of points in S and $J(X)$ is the usual Jacobian of X . Let $\text{Div}^0(X - S)$ be the set of divisors of degree 0 on X which does not intersect with S . Then integration determines the Abel-Jacobi homomorphism $\alpha : \text{Div}^0(X - S) \rightarrow J(X - S)$. We will prove an analogue of Abel's theorem (due to Rosenlicht [8] for curves) that the kernel of α is the following subgroup $\text{Prin}_S(X)$ of S -principal divisors:

$$\text{Prin}_S(X) = \{(f) \in \text{Div}(X - S) \mid f \in K(X) \text{ and } f = 1 \text{ on } S\}.$$

A proof is a variation of our previous work [1], which involves reinterpretation of the Abel-Jacobi map in the language of mixed Hodge structures and their extensions. As a further application of this technique, we prove a Torelli theorem for a non-compact curve, which states that if X is the complement of at least 2 points in a nonhyperelliptic curve, then it is determined by the graded polarized mixed Hodge structure on $H^1(X, \mathbb{Z})$.

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2. Hodge Structures

DEFINITION 2.1. A (pure) Hodge structure H of weight m consists of a finitely generated abelian group $H_{\mathbb{Z}}$ and a decreasing filtration F^{\bullet} of $H_{\mathbb{C}} := H_{\mathbb{Z}} \otimes \mathbb{C}$ such that $H_{\mathbb{C}} = F^p \oplus \overline{F^{m-p+1}}$.

EXAMPLE 1. The Hodge structure of Tate $\mathbb{Z}(-1)$ is defined to be the Hodge structure of weight 2 with $H_{\mathbb{Z}} = \frac{1}{2\pi\sqrt{-1}}\mathbb{Z} \subset \mathbb{C} = F^1 H_{\mathbb{C}}$.

The most natural example of Hodge structure of weight k is the k -th integral cohomology of a compact Kähler manifold. A differential form lies in F^p if in local coordinate it has at least p “ dz ’s”. To extend Hodge theory to any (singular or non-projective) complex algebraic varieties X , Deligne [3] introduced the notion of a mixed Hodge structure. He showed that the cohomology of any variety carries such a structure.

DEFINITION 2.2. A *mixed Hodge structure* (MHS) H consists of a triple $(H_{\mathbb{Z}}, W_{\bullet}, F^{\bullet})$, where

- (1) $H_{\mathbb{Z}}$ is a finitely generated abelian group. (In practice $H_{\mathbb{Z}}$ will be free and we will identify it with a lattice in $H_{\mathbb{Q}} := H_{\mathbb{Z}} \otimes \mathbb{Q}$.)
- (2) W_{\bullet} is an increasing filtration of $H_{\mathbb{Q}}$, called the *weight filtration*.
- (3) F^{\bullet} is a decreasing filtration of $H_{\mathbb{C}} := H_{\mathbb{Z}} \otimes \mathbb{C}$, called the *Hodge filtration*.

The Hodge filtration F^{\bullet} is required to induce a (pure) Hodge structure of weight m on each of the graded pieces

$$Gr_m^{W_{\bullet}} = W_m/W_{m-1}$$

EXAMPLE 2. Let D be a divisor on a smooth projective variety X over \mathbb{C} . Set $U = X - D$. By Hironaka, there exists a birational map $\pi : \tilde{X} \rightarrow X$, with \tilde{X} non-singular such that $\tilde{D} = \pi^{-1}(D)$ is a normal crossing divisor. Then $H^1(U, \mathbb{Z})$ carries a mixed Hodge structure and the Hodge filtration is given by

$$F^0 = H^1(U, \mathbb{C}), \quad F^1 = H^0(\tilde{X}, \Omega^1(\log \tilde{D})), \quad F^2 = 0.$$

We will denote $H^0(\tilde{X}, \Omega^1(\log \tilde{D}))$ by $H^0(X, \Omega^1(\log D))$. This group does not depend on the choice of \tilde{X} .

Given two mixed Hodge structures A and B , we write $B > A$ if there exists m_0 such that $W_m A_{\mathbb{Q}} = A_{\mathbb{Q}}$ for all $m \geq m_0$ and $W_m B_{\mathbb{Q}} = 0$ for all $m < m_0$.

Finally, we define the p -th *Jacobian* of a mixed Hodge structure of H to be the generalized torus

$$J^p H = H_{\mathbb{Z}} \backslash H_{\mathbb{C}} / F^p H_{\mathbb{C}}.$$

The set of mixed Hodge structures forms an abelian category with an internal Hom. Thus one can form the abelian group of extension classes of two objects. Carlson [2] described the structure of this extension group in terms of the Jacobian.

Theorem 2.1 (Carlson). *Let A and B be mixed Hodge structures with $B > A$ and B torsion free. Then there is a natural isomorphism.*

$$\text{Ext}_{MHS}^1(B, A) \cong J^0\text{Hom}(B, A).$$

3. Homologically trivial divisors

Let X be a smooth projective variety over \mathbb{C} of dimension n and S be a reduced normal crossing divisor on X . Let $\text{Div}(X - S)$ be the group of divisors on X which do not intersect S . Moreover, we set

- (1) $\text{Prin}_S(X) = \{(f) \in \text{Div}(X - S) \mid f \in K(X) \text{ and } f = 1 \text{ on } S\}$
- (2) $Cl_S(X) = \text{Div}(X - S) / \text{Prin}_S(X).$

The kernel of the cycle map [5, §19.1]

$$cl : \text{Div}(X - S) \rightarrow H_{2n-2}(X - S, \mathbb{Z})$$

will be called the group of homologically trivial divisors and it will be denoted by $\text{Div}^0(X - S)$. Note that $\text{Prin}_S(X) \subset \text{Div}^0(X - S)$.

Let \mathcal{K}^* be the sheaf of invertible rational functions on X and $\mathcal{K}^*(-S)$ be the subsheaf of \mathcal{K}^* consisting of functions which are 1 on S . Similarly, we define $\mathcal{O}^*(-S)$ to be the subsheaf of \mathcal{O}^* consisting of functions which are 1 on S . Consider the following exact sequence

$$(3) \quad 1 \longrightarrow \mathcal{O}^*(-S) \longrightarrow \mathcal{K}^*(-S) \longrightarrow \mathcal{Q} \longrightarrow 0$$

where \mathcal{Q} is the quotient sheaf. Then one can prove that $H^0(X, \mathcal{K}^*(-S)) = \text{Prin}_S(X)$ and $H^0(X, \mathcal{Q}) = \text{Div}(X - S)$ as in [7, II, 6.11]. Let

$$Cl_S^0(X) = \text{Div}^0(X - S) / \text{Prin}_S(X).$$

Consider the following diagram :

$$\begin{array}{ccccc} H^0(X, \mathcal{Q}) & \longrightarrow & H^1(X, \mathcal{O}^*(-S)) & \xrightarrow{\frac{1}{2\pi i} d \log} & H^2(X, j_! \mathbb{Z}) = H^2(X, S) \\ \downarrow & & & & \downarrow \\ \text{Div}(X - S) & \xrightarrow{\quad cl \quad} & & & H_{2n-2}(X - S, \mathbb{Z}) \end{array}$$

The map $1/2\pi i d \log$ is the connecting homomorphism associated to the exponential sequence:

$$(4) \quad 0 \longrightarrow j_! \mathbb{Z} \longrightarrow \mathcal{O}(-S) \xrightarrow{\exp(2\pi i)} \mathcal{O}^*(-S) \longrightarrow 1$$

where j is the natural inclusion from $X - S$ to X . By Lefschetz duality [9, Theorem 6.2.19], the right vertical arrow is an isomorphism. Moreover, the diagram is commutative since the cycle map is compatible with Chern class map. Therefore, $Cl_S^0(X)$ is isomorphic to a subgroup of the kernel of the connecting homomorphism

$$H^1(X, \mathcal{O}^*(-S)) \xrightarrow{\frac{1}{2\pi i} d \log} H^2(X, j_! \mathbb{Z}) = H^2(X, S).$$

So, $Cl_S^0(X)$ is isomorphic to a subgroup of $H^1(X, \mathcal{O}(-S))/H^1(X, S; \mathbb{Z})$. By duality, we can identify $H^1(X, \mathcal{O}(-S))/H^1(X, S; \mathbb{Z})$ with $H^{n-1}(X, \omega_X(S))/H_{2n-1}(X - S, \mathbb{Z})$ where $\omega_X(S) = \wedge^n \Omega_X^1 \otimes \mathcal{O}_X(S)$. Thus we obtain an injection

$$(5) \quad \beta : Cl_S^0(X) \rightarrow H^{n-1}(X, \omega_X(S))/H_{2n-1}(X - S, \mathbb{Z}),$$

which will be identified with the Abel-Jacobi map later.

Lemma 3.1. *In the diagram,*

$$\begin{array}{ccc} \text{Div}(X - S) & \xrightarrow{cl_{X-S}} & H_{2n-2}(X - S) \\ & \searrow cl_X & \swarrow \\ & & H_{2n-2}(X) \end{array}$$

the kernel of the map cl_{X-S} is equal to the kernel of the map cl_X .

Proof. Clearly, we have $\ker cl_{X-S} \subset \ker cl_X$. We will prove the converse in dual form. Let $D \in \ker cl_X$. Consider a long exact sequence of Mixed Hodge structures, so called a ‘‘Thom-Gysin’’ sequence, associated to a triple $S \subset X - |D| \subset X$;

$$(6) \quad 0 \longrightarrow H^1(X, S) \longrightarrow H^1(X - |D|, S) \longrightarrow H^2(X, X - |D|) \xrightarrow{Gysin} H^2(X, S)$$

Note that $H^2(X, X - |D|) \cong H_D^2(X)$. By Fujiki [4], we have

$$H^2(X, X - |D|) = \text{Hom}(H^{2n-2}(D), \mathbb{Z}(-n))$$

as a mixed Hodge structure. Also observe that $H^{2n-2}(D) = \bigoplus \mathbb{Z}(-n + 1)$ where the sum is over all irreducible components of D . Thus $H^2(X, X - |D|)$ has a pure Hodge structure of weight 2. On the other hand, it follows from the long exact sequence of cohomologies associated to the pair (X, S) that $Gr_2^{W \bullet} H^2(X, S)$ injects into $H^2(X)$. Hence if the class of D in $H^2(X)$ vanishes, then so does the class of D in $H^2(X, S)$. □

4. Extensions of MHS

Let $D \in \text{Div}^0(X - S)$ be a homologically trivial divisor. From the sequence (6), we get an extension of mixed Hodge structures

$$(7) \quad 0 \longrightarrow H^1(X, S) \longrightarrow H^1(X - |D|, S) \longrightarrow K \longrightarrow 0$$

where $K = \ker[H^2(X, X - |D|) \xrightarrow{\text{Gysin}} H^2(X, S)]$. Let

$$\phi_D : \mathbb{Z}(-1) \longrightarrow \bigoplus \mathbb{Z}(-1) = H^2(X, X - |D|)$$

be a morphism of Hodge structures defined by $\phi_D(1/2\pi\sqrt{-1}) = \sum D_i$ where D_i are irreducible components of D . Since D is homologically trivial, ϕ_D factors through K . By pulling back the extension (7) along ϕ_D , we get a new extension of mixed Hodge structures:

$$(8) \quad 0 \longrightarrow H^1(X, S) \longrightarrow E_D \longrightarrow \mathbb{Z}(-1) \longrightarrow 0.$$

Thus this corresponds to an element in $\text{Ext}^1(\mathbb{Z}(-1), H^1(X, S))$. By a theorem of Carlson, $\text{Ext}^1(\mathbb{Z}(-1), H^1(X, S))$ is isomorphic to

$$J^0\text{Hom}(\mathbb{Z}(-1), H^1(X, S)) = H^1(X, S; \mathbb{C})/H^1(X, S; \mathbb{Z}) + F^1H^1(X, S; \mathbb{C}),$$

which will be denoted by $J(X - S)$. Note that $J(X - S)$ is independent of the choice of a compactification of $X - S$.

Lemma 4.1. *The Jacobian $J(X - S)$ is naturally isomorphic to*

$$H^1(X, \mathcal{O}(-S))/H^1(X, S; \mathbb{Z}).$$

Proof. Consider an exact sequence of cohomologies on X .

$$\dots \longrightarrow H^0(X, \mathbb{C}_S) \longrightarrow H^1(X, j_!\mathbb{C}) \longrightarrow H^1(X, \mathbb{C}) \longrightarrow \dots$$

where j is the natural inclusion from $X - S$ to X . Since this is an exact sequence of mixed Hodge structures and the Hodge filtrations are strictly preserved by the maps, this induces an exact sequence:

$$\dots \longrightarrow Gr_F^0 H^0(X, \mathbb{C}_S) \longrightarrow Gr_F^0 H^1(X, j_!\mathbb{C}) \longrightarrow Gr_F^0 H^1(X, \mathbb{C}) \longrightarrow \dots$$

Now consider the following diagram of cohomologies on X :

$$\begin{array}{ccccccccc} Gr_F^0 H^0(\mathbb{C}_X) & \longrightarrow & Gr_F^0 H^0(\mathbb{C}_S) & \longrightarrow & Gr_F^0 H^1(j_!\mathbb{C}) & \longrightarrow & Gr_F^0 H^1(\mathbb{C}_X) & \longrightarrow & Gr_F^0 H^1(\mathbb{C}_S) \\ \downarrow \alpha_0 & & \downarrow \beta_0 & & \downarrow \gamma_1 & & \downarrow \alpha_1 & & \downarrow \beta_1 \\ H^0(\mathcal{O}_X) & \longrightarrow & H^0(\mathcal{O}_S) & \longrightarrow & H^1(\mathcal{O}(-S)) & \longrightarrow & H^1(\mathcal{O}_X) & \longrightarrow & H^1(\mathcal{O}_S) \end{array}$$

The vertical arrows β_0 and β_1 are isomorphisms because the spectral sequence associated to the Hodge filtration on $H^*(S, \mathbb{Z}_S)$ degenerates at E_1 [10, (1.5)] [3, (8.2.1), (8.1.12), (8.1.9)]. The vertical arrows α_0 and α_1 are isomorphisms by the E_1 -degeneration of the usual Hodge to DeRham spectral sequence. Hence by 5-lemma, the map γ_1 is an isomorphism. It follows that the sequence

$$(9) \quad 0 \longrightarrow H^0(d\mathcal{O}(-S)) \longrightarrow H^1(j_!\mathbb{C}) \longrightarrow H^1(\mathcal{O}(-S)) \longrightarrow 0$$

is exact. Note that $F^1 H^1(j_!\mathbb{C}) = H^0(d\mathcal{O}(-S))$. This completes the proof. \square

Thus for a homologically trivial divisor $D \in \text{Div}^0(X - S)$, we can associate an element in the Jacobian $H^1(X, \mathcal{O}(-S))/H^1(X, S; \mathbb{Z})$. By duality, the Jacobian can be identified with

$$H^{n-1}(X, \omega_X(S))^\vee / H_{2n-1}(X - S; \mathbb{Z}).$$

The map

$$\alpha : \text{Div}^0(X - S) \rightarrow H^{n-1}(X, \omega_X(S))^\vee / H_{2n-1}(X - S; \mathbb{Z})$$

obtained in this way will be called the *Abel-Jacobi* map. We will show that the Abel-Jacobi map α can be realized in the following way ;

Theorem 4.2. *Given a cohomology class in $H^{n-1}(X, \omega_X(S))$, choose a $(n, n-1)$ -form ω representing this cohomology class. Then $\alpha(D)$ is given by*

$$\omega \mapsto \int_{\Gamma_D} \omega$$

where Γ_D is a $(2n-1)$ -chain in $X - S$ whose boundary is D .

Proof. After a birational change of X , we may assume that the support of D is a reduced normal crossing divisor. To each homologically trivial divisor $D \in \text{Div}^0(X - S)$, one can associate a form $\eta_D \in H^0(X, \Omega_X^1(\log |D|)) = F^1 H^1(X - |D|, \mathbb{C})$ with $\text{Res} \eta_D = D$ since the map in the sequence (8) strictly preserves the Hodge filtration F^\bullet and $F^1 H^1(X - |D|, S; \mathbb{C}) \subset F^1 H^1(X - |D|, \mathbb{C})$. To construct a retraction $r : E_D \rightarrow H^1(X, S; \mathbb{Z})$, choose a set $\{\xi_1, \dots, \xi_m\}$ of differential $(2n-1)$ -forms on $X - S$ representing a basis of $H^{2n-1}(X - S, \mathbb{Z})$ such that ξ_i vanishes in a neighborhood $N(D)$ of $|D|$. This is possible since we have a surjection $H^{2n-1}(X - S, D) \rightarrow H^{2n-1}(X - S)$. Let $\{\xi^1, \dots, \xi^m\}$ be the dual basis of $H^1(X, S; \mathbb{Z})$. We now set

$$r(\eta) = \sum_i \int_X (\eta \wedge \xi_i) \xi^i.$$

Let $B(D)$ be a small tubular neighborhood of $|D|$ in $X - S$ such that the closure of $B(D)$ is contained in $N(D)$. We can write

$$\omega = \sum_{i=1}^m c_i \xi_i + d\phi$$

where ϕ is a $C^\infty(2n - 2)$ -form on $X - S$. Set $\eta = \eta_D$. Via the isomorphism given in Theorem 2.1 (cf. [1, Theorem 6.2]), $\alpha(D)$ is given by sending ω to

$$\begin{aligned} & \int_X r(\eta) \wedge \omega \\ &= \int_X r(\eta) \wedge \sum_i c_i \xi_i = \int_X \left(\sum_i \left(\int_X \eta \wedge \xi_i \right) \xi^i \right) \wedge \left(\sum_j c_j \xi_j \right) \\ &= \int_X \eta \wedge \sum_i c_i \xi_i = \int_{X-B(D)} \eta \wedge (\omega - d\phi) \\ &= \int_{X-B(D)} -\eta \wedge d\phi \\ & \quad \text{(since } \eta \wedge \omega = 0 \text{ on } X - B(D)) \\ &= \int_{\partial B(D)} \eta \wedge \phi \quad \text{(by Stokes' Theorem.)} \\ &= \int_{\partial B(D)} \eta \wedge \int \omega \quad (\int \omega \text{ is a primitive of } \omega \text{ on } B(D)) \\ &= \int_D \int \omega \end{aligned}$$

Since D is also algebraically equivalent to zero [6, p. 462] it is enough to consider the following case: Let T be a non-singular curve and \mathcal{D} be an irreducible divisor on $X \times T$, flat over T . D is given by $p_{2*}(p_1^*(0) - p_1^*(1))$ for some points $0, 1 \in T$.

$$\begin{array}{ccc} \mathcal{D} \subset X \times T & \xrightarrow{p_2} & X \\ & & \downarrow p_1 \\ & & T \end{array}$$

Let $\tilde{\mathcal{D}}$ be a desingularization of \mathcal{D} and p'_1 be the composition of $\tilde{\mathcal{D}} \rightarrow \mathcal{D}$ and p_1 . Let

$$\begin{array}{ccc} \tilde{\mathcal{D}} & \xrightarrow{f} & X' \\ & & \downarrow g \\ & & X \end{array}$$

be the Stein factorization of $\tilde{\mathcal{D}} \rightarrow X$. So f has connected fibers and g is a finite surjective map. Now choose a path γ from 0 to 1 in T such that p'_1 is smooth over $\gamma - \partial\gamma$. Let $\tilde{\Gamma}_D = p'^{-1}_1(\gamma)$, $\Gamma'_D = f_*\tilde{\Gamma}_D$ and $\Gamma_D = g_*\Gamma'_D$. Take a division $\gamma = \sum_i \gamma_i$ of γ so that p'_1 is trivial over γ_i . Set $(\tilde{\Gamma}_D)_i = p'^{-1}_1(\gamma_i)$, $(\Gamma'_D)_i = f_*(\tilde{\Gamma}_D)_i$. Then each $(\tilde{\Gamma}_D)_i$ shrinks to a fiber, hence $H^{2n-1}((\tilde{\Gamma}_D)_i, \mathbb{C}) = 0$ and so $H^{2n-1}((\Gamma'_D)_i, \mathbb{C}) = 0$. Therefore we have

$$\begin{aligned} & \int_D \int \omega \\ &= g_* \left(\int_{D'} g^* \left(\int \omega \right) \right) \quad \text{where } D' = f_*(p'^*(0) - p'^*(1)) \\ &= g_* \left(\sum_i \int_{\partial(\Gamma'_D)_i} g^* \left(\int \omega \right) \right) \\ &= g_* \left(\sum_i \int_{(\Gamma'_D)_i} g^* \omega \right) \quad \text{by Stokes' theorem} \\ &= g_* \left(\int_{\Gamma'_D} g^* \omega \right) = \int_{\Gamma_D} \omega \end{aligned}$$

Note that $g^*(\int \omega)$ is extendable to Γ'_D since $H^{2n-1}(\Gamma'_D, \mathbb{C}) = 0$. □

Note that when $S = \emptyset$, our Abel-Jacobi map agrees with the classical Abel-Jacobi map. The initial step of the proof contains a useful method for calculating α . Under the original definition

$$J(X - S) = H^1(X, S; \mathbb{C}) / H^1(X, S; \mathbb{Z}) + F^1 H^1(X, S; \mathbb{C})$$

$\alpha(D)$ is represented by $r(\eta_D)$, where $\eta_D \in F^1 H^1(X - D, S; \mathbb{C})$ is given by a logarithmic 1-form with $\text{Res} \eta_D = D$ and r an integral retraction onto $H^1(X, S; \mathbb{C})$. Note that a form in $H^0(\Omega^1_X(\log |D|))$ defines a class in $H^1(X - D, S)$ if and only if it vanishes on S .

5. Abel's Theorem

We will establish Abel's Theorem by showing that the two definitions of the Abel-Jacobi map α and β (5) agree up to sign.

Theorem 5.1. *α and β coincide up to sign.*

Proof. First, we will give an explicit description of the map β in (5). By construction β is a composite of the injection

$$\beta' : Cl_S^0(X) \longrightarrow H^1(O(-S))/H^1(X, S; \mathbb{Z})$$

and the duality map

$$H^1(O(-S))/H^1(X, S; \mathbb{Z}) \cong H^{n-1}(X, \omega_X(S))/H_{2n-1}(X - S, \mathbb{Z}).$$

Let D be a homologically trivial divisor on $X - S$. Choose a finite open covering $\{U_i\}_{i=0, \dots, m}$ of X such that D is defined by $f_i = 0$ on U_i and $U_0 = X - |D|$. As S and D are disjoint, there is no loss in assuming that $f_i = 1$ on S . Then the cohomology class $[D]$ of D in $H^1(\mathcal{O}^*(-S))$ can be represented by $\{f_i/f_j\}$. Since D is homologically trivial, there is a cocycle $\phi_{ij} \in Z^1(\mathcal{O}(-S))$ such that $\exp(2\pi i \phi_{ij}) = f_i/f_j$. Then $\beta'(D)$ is represented by ϕ_{ij} .

Next we calculate $\alpha(D)$. We make use of the identification

$$J(X - S) \cong H^1(O(-S))/H^1(X, S; \mathbb{Z})$$

to view $\alpha(D)$ as an element of the latter group. By degeneration of the Hodge to De Rham spectral sequence, there exists $\psi_i \in H^0(\Omega_X^1(U_i))$ such that $d\phi_{ij} = \psi_j - \psi_i$. Therefore $\eta = 1/2\pi i d \log f_i + \psi_i$ is a globally defined logarithmic 1-form satisfying $\text{Res} \eta = D$ which also vanishes on S . As explained in the remarks at the end of the last section, $\alpha(D)$ is represented by $r(\eta)$, and in fact we are free to modify η by adding an element of $F^1 H^1(X, S)$. Note that $H^1(X - D, S; \mathbb{C})$ is isomorphic to the first hypercohomology group of $\Omega_X^\bullet(\log D + S)(-S)$, and this can be described using Čech methods. In particular $(\phi_{ij}, d \log f_i)$ is a cocycle defining a class $\Phi \in H^1(X - D, S; \mathbb{C})$. We claim that Φ can be decomposed as a sum $\Phi_1 + \Phi_2$ where the first term lies in $L = H^1(X - D, S; \mathbb{Z})$ and the second in $F^1 H^1(X - D, S)$. To see this, first observe that the quotient $H^1(X, O(-S))$ by the image of L is isomorphic to the quotient of $J(X - S)$ by the subgroup of homologically trivial divisors with support in $|D|$ (under β'). Therefore as the image of Φ in $J(X - S)$ is D , it follows that modulo L , Φ can be represented by a form in $F^1 H^0(X - D, S)$. As Φ has integral residues, it follows that after subtracting off an addition element of L , the difference lies in $F^1 H^1(X, S)$. In other words, we have obtained the desired decomposition of Φ . Now set $\eta' = \eta - \Phi_2$. Let $E_D \subset H^1(X - D, S)$ be the extension defined in (8). Consider the unique retraction $\eta : E_D \rightarrow H^1(X, S; \mathbb{Z})$ with kernel $\mathbb{Z}\Phi_1$. Then $\eta' = a\Phi_1 + r(\eta')$, and by matching residues, we see that $a = 1$. Therefore $r(\eta') = \eta - \Phi = -(\phi_{ij}, \psi_i)$ represents $\alpha(D)$. But of course $\alpha(D)$ is the image of this class in $J(X - S)$ and this is represented by $-\phi_{ij}$, or $-\beta'(D)$. □

6. Hodge Theoretic Proof of Abel’s Theorem

We give an alternative proof of Abel’s theorem based on Carlson’s theorem.

Theorem 6.1. *A homologically trivial divisor $D \in \text{Div}^0(X - S)$ is S -principal if and only if there exists $\eta \in H^0(X, \Omega_X^1(\log |D|))$ such that*

- (1) $\text{Res}\eta = D$
- (2) η has integral periods for any closed loop in $X - |D|$.
- (3) $\int_\gamma \eta \in \mathbb{Z}$ where γ is a path in $X - S$ connecting points of S .

By the way, the statement (2) is included in (3).

Proof. Given η as above, set

$$f(z) = \exp \left(2\pi\sqrt{-1} \int_{z_0}^z \eta \right).$$

Conversely, if $D = (f) \in \text{Prin}_S(X)$, let

$$\eta = \frac{1}{2\pi\sqrt{-1}} \frac{df}{f}. \quad \square$$

Corollary 6.2. $\alpha(D) = 0$ if and only if D is S -principal.

Proof. $\alpha(D) = 0$ if and only if the extension (8) splits in the category of Mixed Hodge Structures. Hence $\alpha(D) = 0$ if and only if η_D represents an integral class in $H^1(X - |D|, S)$. Thus $\alpha(D) = 0$ if and only if η_D satisfies the conditions in Theorem 6.1. □

7. Non-compact Curves

When X is a curve, $J(X - S)$ is an extension of the classical Jacobian $J(X)$ by the complex multiplicative group.

Lemma 7.1. *Let X be a smooth projective curve and S be a set of distinct points. Then we have an exact sequence of algebraic groups:*

$$1 \longrightarrow (\mathbb{C}^*)^{\sigma-1} \longrightarrow J(X - S) \longrightarrow J(X) \longrightarrow 0$$

where σ is the number of points in S and $J(X)$ is the usual Jacobian of X .

Proof. Consider an exact sequence of cohomologies on X :

$$0 \rightarrow H^0(\Omega_X^1) \rightarrow H^0(\Omega_X^1(\log S)) \rightarrow H^0(\mathcal{O}_S) \rightarrow \mathcal{H}^\infty(\otimes_X^\infty) \rightarrow \mathcal{H}^\infty(\otimes_X^\infty(\log S)) \rightarrow \dots$$

By Serre duality, $H^1(X, \Omega_X^1(\log S)) = H^0(X, \mathcal{O}(-S)) = 0$ and $h^1(X, \Omega_X^1) = 1$. This sequence fits into the following diagram:

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & H_2(X, X - S)/H_2(X, \mathbb{Z}) & \longrightarrow & H_1(X - S, \mathbb{Z}) & \longrightarrow & H_1(X, \mathbb{Z}) & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & \mathbb{C}^{\sigma-1} & \longrightarrow & H^0(X, \Omega_X^1(\log S))^{\vee} & \longrightarrow & H^0(X, \Omega_X^1)^{\vee} & \longrightarrow & 0
 \end{array}$$

The cokernels of the vertical arrows will give the desired sequence. The cokernel of the leftmost arrow is identified with the multiplicative group $(\mathbb{C}^*)^{\sigma-1}$ via the exponential map $\exp(2\pi i(\))$. □

EXAMPLE 3. Let $X = \mathbb{P}^1$ and $S = \{0, \infty\}$. Then $H^0(X, \omega_X(S))$ is generated by dz/z . By the above Lemma, we have $J(X - S) = \mathbb{C}^*$. By Theorem 4.2, the Abel-Jacobi map $\alpha : \text{Div}^0(X - S) \rightarrow \mathbb{C}^*$ is the natural linear extension of

$$\alpha(x - 1) = \exp \int_1^x \frac{dz}{z} = x, \quad \text{for } x \in X - S$$

if we choose $1 \in X - S$ as a base point. Thus $\ker \alpha = \{ \sum n_p p - (\sum n_p) \cdot 1 \in \text{Div}^0(X - S) | \Pi p^{n_p} = 1 \}$. On the other hand, a rational function f on X is in $\text{Prin}_S(X)$ iff

$$f(z) = \frac{\prod_{i=1}^n (z - a_i)}{\prod_{i=1}^n (z - b_i)}$$

with $\Pi a_i = \Pi b_i \neq 0, \infty$. As expected by our Abel-Jacobi theorem, $\ker \alpha = \text{Prin}_S(X)$.

As an application, we give a version of Torelli theorem for noncompact curves. A similar result for complete singular curves was obtained by Carlson [2]. Let X be a smooth non-compact curve and \bar{X} its unique smooth compactification. Then the mixed Hodge structure on $H^1(X, \mathbb{Z})$ carries a natural graded polarization given as follows: The polarization on $Gr_1^{W \bullet} H^1(X, \mathbb{Z})$ is induced by the polarized Hodge structure on $H^1(\bar{X}, \mathbb{Z})$, which is determined by the intersection product of one-cycles on \bar{X} . For $Gr_2^{W \bullet} H^1(X, \mathbb{Z})$, choose the unique symmetric bilinear form on $\bigoplus_{i=1}^n \mathbb{Z}(-1)$ so that $\{e_j\}$ forms an orthonormal basis. Then restrict this polarization to $Gr_2^{W \bullet} H^1(X, \mathbb{Z})$.

Theorem 7.2. *Let X be a smooth non-compact curve and \bar{X} its unique smooth compactification. Suppose \bar{X} is non-hyperelliptic of genus > 1 and the number of points in $\bar{X} - X$ at least 2. Then X is determined by the graded polarized MHS on $H^1(X, \mathbb{Z})$.*

Proof. Let $\bar{X} - X = \{p_1, \dots, p_n\}$. Consider the ‘Thom-Gysin’ sequence :

$$0 \longrightarrow H^1(\bar{X}, \mathbb{Z}) \longrightarrow H^1(X, \mathbb{Z}) \longrightarrow \bigoplus_{i=1}^n \mathbb{Z}(-1) \longrightarrow H^2(\bar{X}, \mathbb{Z}) = \mathbb{Z}(-1)$$

where each point p_j contributes to the j -th component vector $\{e_j\}$ of $\bigoplus_{i=1}^n \mathbb{Z}(-1)$. Note that $K = \ker(\bigoplus_{i=1}^n \mathbb{Z}(-1) \longrightarrow H^2(\bar{X}, \mathbb{Z}) = \mathbb{Z}(-1))$ is just $Gr_2^{W\bullet} H^1(X, \mathbb{Z})$ and $H^1(\bar{X}, \mathbb{Z}) = Gr_1^{W\bullet} H^1(X, \mathbb{Z})$. Now we provide a polarization on $H^1(X, \mathbb{Z})$.

First, by the classical Torelli theorem, the polarization on $Gr_1^{W\bullet} H^1(X, \mathbb{Z})$ determines \bar{X} . Second, define a map $\phi_{ij} : \mathbb{Z}(-1) \rightarrow \bigoplus_{i=1}^n \mathbb{Z}(-1)$ sending $1/2\pi\sqrt{-1}$ to $e_i - e_j$. Then these maps are all possible maps from $\mathbb{Z}(-1)$ to $\bigoplus_{i=1}^n \mathbb{Z}(-1)$ which factors through K and minimizes the length of the image of the generator $1/2\pi\sqrt{-1}$. By pulling back along ϕ_{ij} , we get an element in $\text{Ext}^1(\mathbb{Z}(-1), H^1(\bar{X}, \mathbb{Z})) \cong J(\bar{X})$, which depends only on the polarized Hodge structure on $Gr_2^{W\bullet} H^1(X, \mathbb{Z})$. This corresponds to $\alpha(p_i - p_j) \in J(\bar{X})$ under the Abel-Jacobi map α [1, Theorem 6.2]. As \bar{X} is not hyperelliptic, $\alpha(p_i - p_j)$ uniquely determines p_i and p_j . Otherwise, there exists a meromorphic function f on \bar{X} such that $(f) = p_i + p - p_j - q$ by the classical Abel’s theorem. Therefore the graded polarized MHS on $H^1(X, \mathbb{Z})$ determines X . \square

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