

## ALMOST QF RINGS WITH $J^3=0$

MANABU HARADA

(Received June 2, 1992)

(Revised September 16, 1992)

In this paper we always assume that  $R$  is a two-sided artinian ring with identity. In [3] we have defined right almost QF rings and showed that those rings coincided with rings satisfying  $(*)^*$  in [2], which K. Oshiro [5] called co-H rings. We shall show in Section 2 that right almost QF rings are nothing but direct sums of serial rings and QF rings, provided  $J^3=0$ . Further in Section 5 we show that if  $R$  is a two-sided almost QF ring and  $1=e_1+e_2+e_3$ , then  $R$  has the above structure, provided  $J^4=0$ , where  $\{e_i\}$  is a complete set of mutually orthogonal primitive idempotents. Moreover if  $1=e_1+e_2+e_3+e_4$ , we have the same result except one case. We shall study, in Section 3, right almost QF rings with homogeneous socles  $W_k^n(Q)$  [7] and give certain conditions on the nilpotency  $m$  of the radical of  $W_k^n(Q)$ , under which  $W_k^n(Q)$  is left almost QF or serial. In particular if  $m \leq 2n$ ,  $W_k^n(Q)$  is serial. We observe a special type of almost QF rings such that every indecomposable projective is uniserial or injective in Section 4.

### 1. Almost QF rings

In this paper we always assume that  $R$  is a two-sided artinian ring with identity and that every module  $M$  is a unitary right  $R$ -module. By  $\bar{M}$  we denote  $M/J(M)$ , where  $J(M)$  is the Jacobson radical of  $M$ . We use the same notations in [3]. We call  $R$  a *right almost QF ring* if  $R$  is right almost injective as a right  $R$ -module [3] and [4]. We can define similarly a *left almost QF ring*. If  $R$  is a two-sided almost QF ring, we call it simply an *almost QF ring*. It is clear that  $R$  is right almost QF if and only if every finitely generated projective  $R$ -module is right almost injective. Hence the concept of almost QF rings is preserved under Morita equivalence and we may assume that  $R$  is basic.

In this section we shall give some results which we use later. First we give a property of any right almost QF rings.

**Proposition 1.** *Assume that  $R$  is right almost QF. Let  $e_1R$  be injective,  $e_1J^i$  be projective, i.e.,  $e_1J^i \approx e_{\rho(i)}R$  for all  $i \leq (\text{some } k)$  and  $e_1J^{k+1}/e_1J^{k+2} \approx \bar{e}_a \bar{R} \oplus \dots$*

Then if  $e_a R$  is not injective,  $e_1 J^{k+1} \approx e_a R$ , and hence  $|e_1 J^{k+1}/e_1 J^{k+2}|=1$ , where  $\bar{e}_a \bar{R} = e_a R/e_a J$ .

Proof. Let  $x_a R$  be a submodule in  $e_1 J^{k+1}$  such that  $(x_a R + e_1 J^{k+2})/e_1 J^{k+2} \approx \bar{e}_a \bar{R}$  ( $x_a e_a = x_a$ ). Suppose that  $e_a R$  is not injective. Then  $e_a R \subset e_p R$  (isomorphically) for some  $p \neq a$ , which is injective by [3], Corollary to Theorem 1. Let  $\rho: e_a R \rightarrow x_a R \subset e_1 R$ ;  $\rho(e_a) = x_a$ , be the natural epimorphism. Since  $e_1 R$  is injective, there exists  $\rho': e_p R \rightarrow e_1 R$ , which is an extension of  $\rho$ . Put  $y = \rho'(e_p)$ ; ( $y = ye_p$ ) and  $e_a = e_p r$ ;  $r \in R$ . We note that the  $e_1 J^i$  are all waists for  $i \leq k+1$  by assumption. If  $y \in e_1 J^{k+1}$ , then  $\bar{x}_a = \bar{y}r = \bar{y}e_p r e_a = \bar{o}$  in  $e_1 J^{k+1}/e_1 J^{k+2}$ , a contradiction. Accordingly  $yR = e_1 J^t$  for some  $t \leq k$ . However  $e_1 J^t$  is projective, and hence  $\rho'$  is a monomorphism. Consequently  $e_1 J^{k+1}$  contains isomorphically the projective module  $e_a R$ , and  $e_1 J^{k+1}$  is local form [3], Corollary to Theorem 1.

**Proposition 2.** *Let  $R$  be right almost QF. If  $R$  is either a local ring or  $J^2=0$ , then  $R$  is serial or QF.*

Prof.  $R$  is a QF ring in the first case from [3], Corollary to Theorem 1. Assume  $J^2=0$  and  $R$  is basic. If  $eR$  is injective for a primitive idempotent  $e$ , then  $|eR| \leq 2$  and  $eR$  is uniserial. Hence  $fR$  is injective and uniserial provided  $fJ \neq 0$  by [3], Corollary to Theorem 1. Hence  $R$  is right serial and so  $R$  is serial by [5], Theorem 6.1.

Let  $\bar{k}\bar{R}$  (or  $\bar{R}\bar{g}$ ) be a simple module which appears in the factor modules of composition series of  $eR$  (or  $Re$ ), where  $g$  is a primitive idempotent. In this case we say that  $g$  belongs to  $eR$  (or  $Re$ ).

**Lemma 1.** *Let  $R$  be basic and let  $\{e_i R\}_{i \leq s}$  be a set of injective and projective modules. Assume that every primitive idempotent belonging to  $e_i R$  is equal to some  $e_{\mu(i)} \in \{e_i\}$  for each  $e_i$ . Then  $\sum_{i \leq s} \oplus e_i R$  is a direct summand of  $R$  as rings.*

Proof. We note from the assumption that for each  $e_j \in \{e_i\}$  there exists  $e_{\rho(j)}$  in  $\{e_i\}$  such that  $\bar{e}_j \bar{R} \approx \text{Soc}(e_{\rho(j)} R)$ . Put  $E = \sum_{i \leq s} e_i$  and  $F = 1 - E = \sum_{k \leq p} f_k$ , where the  $f_k$  are primitive idempotents. Then  $ERF = 0$  from the assumption. Let  $\theta: e_1 R \rightarrow f_k R$  be a homomorphism. If  $\theta \neq 0$ , there exist a simple submodule  $S$  of  $f_k R$  and a submodule  $T$  of  $e_1 R$  such that  $S \subset \theta(e_1 R)$  and  $T/\theta^{-1}(0) \approx S$ . We may assume  $S \approx \bar{e}_j \bar{R}$  for some  $e_j$  in  $\{e_i\}$  by assumption. Accordingly  $S \approx \text{Soc}(e_{\rho(j)} R)$  by the initial remark, and hence we obtain a non-zero homomorphism of  $f_k R$  to  $e_{\rho(j)} R$ , since  $e_{\rho(j)} R$  is injective. Therefore  $f_k \in \{e_i\}$  by assumption, a contradiction. As a consequence  $\theta = 0$ , i.e.,  $FR = 0$  and  $R = ER \oplus FR = ERE \oplus FRF$ .

The following lemma is essential in this paper.

**Lemma 2.** *Let  $R$  be artinian and  $F$  a uniform  $R$ -module. Assume that i):  $eR$  is injective, ii):  $eJ$  is a local quasi-projective module and iii):  $\text{Soc}_2(F)/\text{Soc}(F)$*

$\approx \bar{e}\bar{R} \oplus A_2 \oplus A_3 \oplus \dots$ , where  $e$  is a primitive idempotent and the  $A_i$  are simple. Then  $A_i \approx \bar{e}\bar{R}$  for all  $i$ .

Proof. Assume  $A_2 \approx \bar{e}\bar{R}$ . Then since  $\text{Soc}_2(F)/\text{Soc}(F) \approx \bar{e}\bar{R} \oplus \bar{e}\bar{R} \oplus \dots$ ,  $\text{Soc}(F)$  is simple and  $eJ^2$  is a waist by i) and ii), there exist  $x_1, x'_1$  in  $\text{Soc}_2(F)$  such that  $x_1R \neq x'_1R, x_1R \approx x'_1R \approx eR/eJ^2$ . Now let  $\rho: x_1R \rightarrow eR/eJ^2$  be the isomorphism. Then  $\rho(\text{Soc}(x_1R)) = eJ/eJ^2 \approx \bar{e}_1\bar{R}$ , where  $eJ \approx e_1R/D$  and  $D$  is a characteristic submodule of  $e_1R$  by ii), where  $e_1$  is a primitive idempotent. Take any element  $\alpha$  in  $\text{End}_R(\text{Soc}(x_1R))$ . Then  $\alpha$  gives an element  $\bar{d}_1$  in  $\text{End}_R(\bar{e}_1\bar{R})$  via  $\rho$ . Then  $\bar{d}_1$  is induced by an element  $d_1$  in  $\text{End}_R(e_1R)$ . On the other hand, since  $D$  is characteristic,  $e_1R/D \approx eJ \subset eR$  and  $eR$  is injective,  $d_1$  is extendible to  $d$  in  $\text{End}_R(eR)$ . Hence  $d$  induces an element in  $\text{End}_R(eR/eJ^2)$  (and in  $\text{End}_R(x_1R)$  via  $\rho^{-1}$ , cf. the diagram).

$$\begin{array}{ccccc}
 & & & & D \\
 & & & & \cap \\
 & & e_1R/e_1J & \longleftarrow & e_1R \\
 & & \downarrow \mu & & \downarrow \nu \\
 \rho & & eJ/eJ^2 & \longleftarrow & eJ \\
 \cap & \rho & \cap & \nu & \cap \\
 x_1R & \approx & eR/eJ^2 & \longleftarrow & eR
 \end{array}$$

Thus we have obtained a mapping  $\theta$  by taking extension, which may depend on a choice of  $d$

$$\theta: \text{End}(\text{Soc}(x_1R)) \rightarrow \text{End}_R(x_1R).$$

Let  $t: x_1R \rightarrow x'_1R$  be the given isomorphism. Then  $t$  induces  $\bar{d}_1$  in  $\text{End}(\text{Soc}(F)) = \text{End}_R(\text{Soc}(x_1R))$  by taking restriction. Put  $t' = \theta(\bar{d}_1) - t: x_1R \rightarrow F$ . Then  $t'(\text{Soc}(x_1R)) = 0$ , and hence  $t'(x_1R) \subset \text{Soc}(F)$ . Then  $t(x_1R) = (\theta(\bar{d}_1) - t')(x_1R) \subset x_1R + \text{Soc}(F) = x_1R$ , a contradiction.

**2.  $J^3 = 0$**

In this section we shall observe the ring  $R$  with following properties: 1)  $R$  is a basic and right almost QF ring, 2):  $J^2 \neq 0$  and  $J^3 = 0$ .

**Lemma 3.** Assume that  $fR$  is injective and  $J^3 = 0$ . Then we have 1):  $fJ^2$  is simple or zero and 2):  $fR$  is uniserial if  $fJ^2 = 0$ .

**Lemma 4.** Let  $fR$  and  $J$  be as in Lemma 3 and assume that  $R$  is right almost QF. If  $fR$  contains properly a projective submodule  $P \neq 0$ , then  $fR$  is uniserial and hence  $|fR| \leq 3$ .

Proof. Since  $fR \supset fJ \supset P \supset \text{Soc}(fR)$ ,  $fJ$  is local by [3], Corollary to Theorem 1, and hence  $fR$  is uniserial for  $fJ^3 = 0$ .

**Corollary.** *Assume that  $R$  is right almost QF and  $J^3=0$ . If  $|eR| \geq 3$ , i.e.  $eJ^2 \neq 0$ , then  $eR$  is injective. Hence  $gR$  is injective or uniserial for any primitive idempotent  $g$ .*

*Proof.* If  $eR$  is not injective,  $eR \subset fR$  for some injective  $fR$  by [3], Corollary to Theorem 1, a contradiction to Lemma 4.

Let  $e_1R$  be an (injective)  $R$ -module. If  $e_1J/e_1J^2 \approx \bar{e}_a\bar{R} \oplus \bar{e}_b\bar{R} \oplus \dots$  and  $e_1J^2 \approx \bar{e}_c\bar{R}$ , then we denote this situation by

$$e_1R = \begin{pmatrix} a \\ 1 & b & c \\ \vdots \end{pmatrix} \text{ or } e_1R = \begin{pmatrix} e_a \\ e_1 & e_b & e_c \\ \vdots \end{pmatrix}.$$

**Lemma 5.** *Let  $e_1R$  be injective and  $e_1J^2 \neq 0$  ( $\approx \bar{e}_c\bar{R}$ ) in the above. Then  $e_aJ/e_aJ^2 \approx \bar{e}_c\bar{R} \oplus \dots$ .*

*Proof.* There exists  $x_aR$  in  $e_1J$  such that  $x_aR \supset \text{Soc}(e_1R)$ ,  $x_aR/\text{Soc}(e_1R) = \bar{e}_a\bar{R}$  and  $x_aR \approx e_aR/A$  for some  $A$ . Hence we obtain the lemma.

**Lemma 6.** *Let  $e_1R$  be a non-uniserial and injective module expressed as above. We assume that  $R$  is right almost QF and  $J^3=0$ . Then  $e_cR$  is injective. Further if  $e_aR$  is uniserial, then  $e_bR$  is not.*

*Proof.* First we assume  $a \neq b$ . Now  $e_aR$  is an injective module with  $e_aJ^2 \neq 0$  by Proposition 1. We have the same for  $e_bR$ . From Lemma 5 let

$$e_aR = \begin{pmatrix} c \\ a & c_1 & d \\ \vdots \end{pmatrix} \text{ and } e_bR = \begin{pmatrix} c \\ b & c_2 & d' \\ \vdots \end{pmatrix}.$$

Since  $e_aR \approx e_bR$ ,  $d \neq d'$ . Then  $e_cR$  is not uniserial (even though  $e_aR$  is uniserial in this case), and hence  $e_cR$  is injective by Corollary to Lemma 4. Next assume  $a=b$ , i.e.

$$e_1R = \begin{pmatrix} a \\ 1 & \vdots & c \\ a \end{pmatrix}$$

If  $e_aR$  is not uniserial,  $e_cR$  is injective by Lemma 5 and Proposition 1. Hence assume that  $e_aR$  is uniserial. If further  $e_cR$  is uniserial, then we can derive a contradiction by Lemma 2. Therefore if  $e_aR$  is uniserial, then  $e_cR$  is not uniserial and hence  $e_cR$  is injective by Corollary to Lemma 4.

**Theorem 1.** *Let  $R$  be an artinian ring with  $J^3=0$ . Then the following are equivalent :*

- 1)  $R$  is right almost QF.
- 2)  $R$  is left almost QF.
- 3)  $R$  is a direct sum of serial rings and QF rings.

Proof. Let  $\{e_i\}_{i \leq t}$  be the complete set of mutually orthogonal primitive idempotents. We shall prove the theorem inductively on  $t$ . If every  $e_iR$  is uniserial, then  $R$  is right serial. Therefore  $R$  is serial by [5], Theorem 6.1. Hence we assume that there exists an injective but not uniserial module

$$e_1R = \begin{pmatrix} a \\ 1 \\ \vdots \\ b \\ c \end{pmatrix}. \text{ We have shown in Lemma 6}$$

- (1) if  $e_g$  belongs to  $e_1R$ , then  $e_gR$  is injective, i.e.,  $e_aR, e_bR$  and  $e_cR$  are injective. We shall show that if we replace  $e_1R$  with  $e_aR, e_bR$  and  $e_cR$ , then we obtain
  - (2) the same result as (1) for those  $e_aR, e_bR, e_cR$ .
- If  $e_aR$  is not uniserial, we obtain (2) for  $e_aR$ . Suppose  $e_aR$  is uniserial. Then  $e_aJ \approx e_cR/B$ . Hence
- (3) primitive idempotents ( $\neq e_a$ ) belonging to  $e_aR$  belongs to  $e_cR$  if  $e_aR$  is uniserial.

Since  $e_cR$  is not uniserial by Lemma 6, from (3) we obtain again (2) for  $e_aR$ . Next consider  $e_cR$ . If  $e_cR$  is not uniserial, we obtain (2) for  $e_cR$  from the above (replace  $e_1R$  by  $e_cR$ ). Suppose  $e_aR$  is uniserial, and  $e_cR$  is not uniserial by Lemma 6. Hence we obtain (2) for  $e_cR$ . Thus we have shown (2). Now starting from  $e_1R$ , we get  $e_aR, e_bR$  and  $e_cR$  which belong to  $e_1R$ . Next we take primitive idempotents belonging to  $\{e_aR, e_bR, \dots, e_cR\}$ . Continuing this procedure and gathering all such primitive idempotents (use (1), (2) and (3)), we can find finally a set  $\{e_1R, e_aR, \dots\}$  satisfying the condition in Lemma 1. Hence  $R = \sum_{i \leq m} e_iR \oplus \sum_{j > m} e_jR$  as rings. Now  $\sum_{i \leq m} e_iR$  is a QF ring. Thus we can obtain the theorem by induction.

### 3. Right almost QF rings with homogeneous socles

In this section we shall study rings stated in the title. Let  $\{e_i\}_{i \leq n}$  be a complete set of mutually orthogonal primitive idempotents with  $1 = \sum e_i$  and  $R$  a basic ring.

Let  $Q$  be a local QF ring with  $J$  radical. Put  $\bar{Q} = Q/\text{Soc}(Q)$  and  $J = J/\text{Soc}(Q)$ . According to [7], Theorem 1 we denote a right almost QF ring  $R$  with homogeneous socle by

$$(4) \quad W_k^n(Q) = \left( \begin{array}{c|c} \overbrace{Q \ Q \ Q \ \dots \ Q}^k & \bar{Q} \ \bar{Q} \ \dots \ \bar{Q} \\ \hline J \ Q \ Q \ \dots \ Q & \bar{Q} \ \bar{Q} \ \dots \ \bar{Q} \\ \dots & \dots \\ J \ J \ \dots \ J \ Q & \bar{Q} \ \bar{Q} \ \dots \ \bar{Q} \\ \hline J \ J \ \dots \ J & \bar{Q} \ \bar{Q} \ \dots \ \bar{Q} \\ J \ J \ \dots \ J & J \ \bar{Q} \ \dots \ \bar{Q} \\ \dots & \dots \\ J \ \dots \dots \ J & J \ J \ \dots \ J \ \bar{Q} \end{array} \right) \Bigg\} n$$

We note from [1] that there is only one projective and injective module  $e_1R$  (resp.  $Re_k$ ) in  $R$ .

**Lemma 7.** *Assume  $k < n$  on  $R = W_k^n(Q)$ . Then if  $R$  is left almost QF,  $R$  is serial.*

Proof. Let  $e_i = e_{ii}$  be the matrix unit in  $R$ . Then  $e_i J(R) \approx e_{i+1}R$  for  $i < n$  and  $e_n J(R) = (J \cdots J \bar{J} \bar{J} \cdots \bar{J})$ . Now assume  $k < n$  and  $R$  is left almost QF. Then since  $J(R) e_s \approx Re_{s-1}$  for  $s \leq k$ ,  $J(R) e_1 = (J J \cdots J)^t$  is isomorphic to  $Re_q = (\bar{Q} \bar{Q} \cdots \bar{J})^t$  for some  $p > k$  from the remark before Lemma 7 and [5], Theorem 3.18 (see [3], Corollary to Theorem 1), where  $( )^t$  is the transposed matrix of  $( )$ . Hence since  $e_1 J(R) e_1 \approx \bar{Q}$  as left  $Q$ -modules,  $J$  is local and hence  $Q$  is serial (cf. Lemma 9 below). Then  $J \approx Q/\text{Soc}(Q) = \bar{Q}$  and  $J/\text{Soc}(J) = J/\text{Soc}(Q) = \bar{J} \approx Q/\text{Soc}_2(Q) \approx \bar{Q}/\text{Soc}(\bar{Q})$  as right  $Q$ -modules. Put  $A = (\text{Soc}(Q) \text{Soc}(Q) \cdots \text{Soc}(Q) \text{Soc}(\bar{Q}) \cdots \text{Soc}(\bar{Q}))$  in  $e_1R$ . Then  $e_n J(R) \approx e_1R/A$  from the above observation and hence  $e_n J(R)$  is local. Therefore  $R$  is right serial, and hence  $R$  is serial by [5], Theorem 6.1.

**Lemma 8.** *Assume  $k = n$  on  $R = W_k^n(Q)$ . Then  $R$  is left almost QF.*

Proof. This is clear from (4)

**Theorem 2.** *Let  $R$  and  $n$  be as in the beginning. Assume that  $R$  is a right almost QF ring with homogeneous socle and  $J(R)^{m-1} \neq 0, J(R)^m = 0$  (and hence  $R = W_k^n(Q)$  and  $m \geq n$ ). Then*

- 1) *if  $m \leq 2n, R$  is serial,*
- 2) *if  $m = nr, r \geq 3, R$  is left almost QF, and*
- 3) *if  $m = nr + k, r \geq 2$  and  $0 < k < n, R$  is left almost QF if and only if  $R$  is serial.*

Proof. By assumption and [7], Theorem 1  $R = W_i^n(Q)$  and we have  $e_i J(R) \approx e_{i+1}R$  for  $i < n - 1$ . By a direct computation of  $J(R)^p$  we have

- i)  $e_n J(R) e_n J(R)^2 \approx \bar{e}_1 \bar{R} \oplus \cdots \oplus \bar{e}_1 \bar{R}$  (cf. Proposition 1).
- ii)  $e_1 J(R)^{tn} = (J^t \cdots)$ .
- 1). Since  $m \leq 2n, 0 = e_1 J(R)^{2n} = (J^2 \cdots)$  by ii). Hence  $J^2 = 0$  and so  $Q$  is serial. Accordingly  $R$  is serial from the proof of Lemma 7.
- 2) and 3). From i) we know

$$e_1 R = \begin{matrix} & & 1 & 2 & 3 & \cdots \\ & & 1 & 2 & 3 & n & 1 & 2 & 3 & \cdots \\ & & & & & & & & & \cdots \end{matrix}$$

Further  $J^m = 0$  if and only if  $e_1 J(R)^m = 0$ . Hence  $\text{Soc}(e_1 R) \approx \bar{e}_n \bar{R}$  if  $m = nr$  and  $\text{Soc}(e_1 R) \approx \bar{e}_k \bar{R}$  if  $m = nr + k, 0 < k < n$ . Therefore  $R \approx W_n^n(Q)$  if  $m = nr$  and  $R \approx W_k^n(Q)$  if  $k \neq 0$ . As a consequence we obtain the theorem from Lemmas 7 and 8.

**Corollary.** *Assume  $n=2$  and  $R$  is right almost QF. Then if  $J(R)^{2m-1} \neq 0$ ,  $J(R)^{2m} = 0$ ,  $R$  is left almost QF. If  $J(R)^{2m} \neq 0$ ,  $J(R)^{2m+1} = 0$ ,  $R$  is QF or serial if and only if  $R$  is left almost QF. Further if  $J(R)^4 = 0$ ,  $R$  is QF or serial.*

*Proof.* If  $R$  is QF or serial, the corollary is clear by [5], Theorem 4.5. Assume that  $R$  is not QF. Since  $n=2$ , we can suppose that  $e_1R$  is injective and  $e_1J(R) \approx e_2R$ . Hence we obtain the corollary from Theorem 2.

**4. Rings with (#-i)**

In the previous sections we have observed a ring which is a direct sum of QF rings and serial rings. In this case

(#-1)  *$eR$  is injective or uniserial for each primitive idempotent  $e$ .*

We consider two more conditions. Let  $eR$  be injective but not uniserial. Then we may assume that there exists an integer  $s$  such that  $eJ^i/eJ^{i+1}$  is simple for all  $i$  ( $0 \leq i \leq s-1$ ) and  $eJ^s/eJ^{s+1} \approx \sum_{j \leq k} \oplus f_j \bar{R}$ ;  $k \geq 2$ , where the  $f_j$  are primitive idempotents. Here we consider the second condition

(#-2) *the  $f_jR$  is injective for all  $j$ .*

Assume that  $R$  is a right almost QF ring with (#-1). In the above we put  $eJ^i/eJ^{i+1} \approx \bar{g}_i \bar{R}$ ;  $g_i$  is a primitive idempotent. Since  $eR$  is not uniserial,  $g_iR$  is injective by (#-1). In particular  $eJ^{s-1} \approx g_{s-1}R/A$  for some  $A$  in an injective  $g_{s-1}R$  and hence  $eJ^s/eJ^{s+1} \approx g_{s-1}J/(g_{s-1}J^2 + A) \leftarrow g_{s-1}J/g_{s-1}J^2$ . Since  $|eJ^s/eJ^{s-1}| \geq 2$ , (#-2) is satisfied from Proposition 1. From the above observation we know that

Assume that  $R$  is right almost QF, the (#-1) is satisfied if and only if every non-injective projective  $gR$  is contained in a uniserial injective  $eR$  and in this case (#-2) and (#-3) below are satisfied.

Taking some non-serial right serial rings, we can get rings with (#-1, 2) which are not right almost QF. Hence we consider the third condition. Here we assume temporarily that  $R$  is an algebra over a field  $K$  with finite dimension. We further assume that  $R$  satisfies (#-1) as right as well as left  $R$ -modules. Let  $gR$  be not injective, and hence uniserial. Then  $E(gR)$  is indecomposable. Take  $E(gR)^* = \text{Hom}_K(E(gR), K)$ . Then  $E(gR)^*$  is indecomposable and projective. Therefore  $E(gR) \approx E(gR)^{**}$  is local. We consider this property for any ring.

(#-3)  *$E(gR)$  is local for each primitive idempotent  $g$ .*

Now we study rings with (#-1, 2, 3). We always assume that  $R$  is basic.

**Lemma 9.** *Assume  $eJ^i/eJ^{i+1} \approx \bar{e}_1 \bar{R} \oplus \bar{e}_2 \bar{R} \oplus \dots \oplus \bar{e}_s \bar{R}$ . Then  $eJ^{i+1}/eJ^{i+2}$  is a homomorphic image of  $\bar{e}_1 \bar{J} \oplus \bar{e}_2 \bar{J} \oplus \dots \oplus \bar{e}_s \bar{J}$ .*

*Proof.* We can express  $eJ^i$  as  $x_1R + x_2R + \dots + x_sR + eJ^{i+1}$ , where  $x_j e_j = x_j$ . Hence  $eJ^{i+1} = x_1 e_1 J + \dots + x_s e_s J + eJ^{i+2}$ . Thus we obtain the lemma.

**Lemma 10.** *We assume that (#-3) is satisfied. Suppose that  $eR$  is injective and  $eJ/eJ^2 \approx \bar{g}_1\bar{R}, g_1J/g_1J^2 \approx \bar{g}_2\bar{R}, \dots, g_{s-1}J/g_{s-1}J^2 \approx \bar{g}_s\bar{R}$ , where the  $g_i$  is a primitive idempotent and  $g_iR$  is not injective for all  $i$ . Then  $eR \supset g_1R \supset \dots \supset g_sR$  isomorphically.*

Proof. We shall show  $eJ^i \approx g_iR$  for all  $i$  by induction on  $i$ . Assume  $eJ^t \approx g_tR$  if  $t \leq (soem\ k-1)$ . Then  $eJ^k/eJ^{k+1} \approx g_{k-1}J/g_{k-1}J^2 \approx \bar{g}_k\bar{R}$  by assumption. Let  $eJ^k = x_kR (x_k g_k = x_k)$  and  $\rho: g_kR \rightarrow eJ^k (\rho(g_k) = x_k)$  the natural epimorphism. Take a diagram

$$\begin{array}{ccc} 0 \rightarrow & g_kR & \rightarrow E(g_kR) \\ & \downarrow \rho & \swarrow \rho' \\ & x_kR & \\ & \cap & \\ & eR & \end{array}$$

Since  $eR$  is injective, we have  $\rho': E(g_kR) \rightarrow eR$  which commutes the diagram.  $E(g_kR)$  being local from (#-3),  $\rho'(E(g_kR)) \cong x_kR = \rho'(g_kR)$  for  $g_kR \neq E(g_kR)$ . Further  $eJ^t$  is a waist for all  $t \leq k$  by induction hypothesis. Consequently  $\rho'(E(g_kR))$  is projective. Therefore  $\rho'$  is a monomorphism, and hence so is  $\rho$ .

**Lemma 11.** *We assume that (#-1), (#-2) and (#-3) are satisfied and that  $eR$  is injective and  $g_1$  belongs to  $eR$ . If  $g_1R$  is not injective, then  $g_1R$  is contained isomorphically in an injective and uniserial module  $e_1R$ .*

Proof. Since  $g_1$  belongs to  $eR$ , we may suppose  $eJ^s/eJ^{s+1} \approx \bar{g}_1\bar{R} \oplus \dots$  for some  $s$ .  $g_1R$  being not injective,  $s \neq 0$ . If  $s=1$ , then  $|eJ/eJ^2|=1$  by (#-2) and  $g_1R \approx eJ$  from Lemma 10 and  $eR$  is uniserial by (#-1). Hence assume  $s > 1$ . From Lemma 9 there exists  $g_2$  such that  $eJ^{s-1}/eJ^s \approx \bar{g}_2\bar{R} \oplus \dots$  and  $g_2J/g_2J^2 \approx \bar{g}_1\bar{R} \oplus \dots$ . If  $g_2R$  is not uniserial,  $g_2R$  is injective by (#-1), and then  $g_1R$  is injective by (#-2), a contradiction (cf. the remark after (#-2)). Accordingly  $g_2R$  is uniserial and hence  $g_2J/g_2J^2 \approx \bar{g}_1\bar{R}$ . Next assume that  $g_2R$  is not injective. Then  $g_2R$  satisfies the same condition as on  $g_1R$ , and hence similarly to the above we can find  $g_3R$  such that  $eJ^{s-2}/eJ^{s-1} \approx \bar{g}_3\bar{R} \oplus \dots$  and  $g_3J/g_3J^2 \approx \bar{g}_2\bar{R} \oplus \dots$ . Repeating this process, we obtain finally an injective and uniserial module  $e_1R$  such that  $e_1J/e_1J^2 \approx \bar{g}_t\bar{R}$  for some  $t$  (and  $g_tJ/g_tJ^2 \approx \bar{g}_{t-1}\bar{R}, \dots, g_2J/g_2J^2 \approx \bar{g}_1\bar{R}$ ). Hence  $e_1R$  contains isomorphically  $g_1R$  from Lemma 10.

**Proposition 3.** *(#-1), (#-2) and (#-3) are satisfied if and only if  $R$  is right almost QF and every non-injective projective  $gR$  is contained in a uniserial and injective  $eR$ .*

Proof. We assume (#-1, 2, 3). First we shall show that  $R$  is right QF-3. Let  $eR$  be not injective. Then  $E(gR)$  is local by (#-3), i.e.,  $E(gR) \approx fR/A$  and



$fR$  is uniform from (#-1). Further  $fR/A \supset gR$  and  $gR \approx B/A$  for some  $B (\supset A)$  in  $fR$ . Therefore since  $gR$  is projective and  $fR$  is uniform,  $A=0$  and  $fR = E(gR) \supset gR$ . Accordingly  $R$  is right QF-3. Let  $hR$  be injective and suppose  $hR \supset k_1R$ , where  $h$  and  $k_1$  are primitive ideompotents. Then from the last part of the proof of Lemma 11 there exists a uniserial and injective module  $h_1R$  such that  $h_1R = (h_1 k_1 \dots k_1 \dots)$  and  $h_1R \supset k_1R$ . Hence  $hR \approx h_1R$ . Thus  $R$  is right almost QF by [3], Corollary to Theorem 1. The converse is clear from the remark before Lemma 9.

### 5. $J^4=0$

In this section we assume that  $R$  is an (basic) artinian ring with  $J^4=0$ . Let  $1 = \sum_{i \leq n} e_i$  be as in §3. We studied almost QF rings with  $n=2$  in Corollary to Theorem 2. We study almost QF rings with  $n=3$  or 4 in this section.

**Lemma 12.** *Let  $R$  be two-sided almost QF. If  $R$  is not QF, then there exists an injective and projective  $eR$  such that  $eR/\text{Soc}(eR)$  is again injective.*

Proof.  $R$  is right almost QF\* by [6], Theorem 3.7. Hence we obtain the lemma from [2], Theorem 2.3.

**Theorem 3.** *Let  $R$  be an (basic) artinian ring. Assume that  $J^4=0$  and  $n \leq 3$ , where  $\{e_i\}_{i \leq n}$  is a complete set of mutually orthogonal primitive idempotents. Then the following are equivalent:*

- 1) (#-1), (#-2) and (#-3) are satisfied as right as well as left  $R$ -modules.
- 2)  $R$  is a two-sided almost QF ring.
- 3)  $R$  is a direct sum of serial rings and QF rings.

Proof. 1)  $\rightarrow$  2). This is given by Proposition 3.

2)  $\rightarrow$  3). From Corollary to Theorem 2 and Theorem 1 we can suppose  $n=3$  and  $J^3 \neq 0$ . First we note that if  $R$  is a direct sum of two rings, then  $R$  is a direct sum of serial rings and QF rings from Proposition 2 and Corollary to Theorem 2. We call this situation  $R$  splits. Let  $R$  be two-sided indecomposable and neither serial nor QF. Then we shall derive a contradiction for all possible situations. If  $e_1R \supset e_2R \supset e_3R$ ,  $R$  is serial by Theorem 2. Thus we may suppose from [3], Theorem 1

(5)  $e_1R, e_3R$  are injective and  $e_1J \approx e_2R$ .

First we assume that  $e_1R$  is uniserial.

i)  $e_1R$  is uniserial and  $e_3J$  is local.

Then  $e_iJ/e_iJ^2$  is uniserial for all  $i$ . Hence  $R$  is right serial, and  $R$  is serial by [5], Theorem 6.1.

Thus we may assume

ii)  $e_1R$  is uniserial, but  $e_3J$  is not local, i.e.,

$$e_3R = \begin{pmatrix} a & a' \\ 3 & \vdots & \vdots & d \\ & b & b' & \\ & \vdots & \vdots & \\ & & & c' \\ & & & \vdots \end{pmatrix}$$

Then  $\{a, b\} \subset \{1, 3\}$  from Propostion 1. First we note that if  $a=b=3$ , then  $R$  splits from Lemmas 1 and 9. Hence we can skip the case  $a=b=3$ .

$|e_1R|=2$ . i)  $e_1R=(1\ 2)$ .

$a=1$ . Let  $e_3J/e_3J^2 \approx \bar{e}_1\bar{R} \oplus \dots$ . Then there exists  $x_1$  in  $e_3J$  such that  $x_1e_1 = x_1$  and  $(x_1R + e_3J^2)/e_3J^2 \approx \bar{e}_1\bar{R}$ . (We use this notation in the following arguments.) Suppose that  $x_1R$  is simple. Then  $x_1R = \text{Soc}(e_3R) \subset e_3J^2$  for  $e_3J^2 \neq 0$ , a contradiction. Hence  $x_1R \approx e_1R$  is injective, again a contradiction.

$|e_1R|=3$ . ii)  $e_1R=(1\ 2\ 1)$ .

$a=1$ . Then we take  $X_a$  in  $e_3R$  such that  $X_a \supset e_3J^2$  and  $e_3J/X_a \approx \bar{e}_a\bar{R}$ . Since  $e_3J/X_a \approx \text{Soc}(e_1R) \approx \bar{e}_1\bar{R}$  and  $e_1R$  is injective,  $e_2=e_3$ , a contradiction.

iii)  $e_1R=(1\ 2\ 2)$ . Then  $e_1J/e_1J^2 \approx \text{Soc}(e_1R)$ . Hence  $e_1=e_2$ , a contradiction.

iv)  $e_1R=(1\ 2\ 3)$ .

$a=3$ . We obtain the same contradiction as in iii).

$a=b=1$ .  $x_aR \approx (e_1R/\text{Soc}_2(e_1R)$  or  $e_1R/\text{Soc}(e_1R))$ . Hence  $(x_aR + e_1J^2)J^2 = 0$ . Accordingly  $0 = (\sum_a x_aR + e_1J^2)J^2 = e_3J^3$ , a contradiction to  $J^3 \neq 0$ .

$|e_1R|=4$ . v)  $e_1R=(1\ 2\ 1\ x)$ . Then  $x=2$ .

$a=1$ . Then  $x_aR$  in  $e_3J$  is a homomorphic image of  $e_1R$ , and hence  $x_aR \approx (e_1R/e_1J^3$  or  $e_1R/e_1J)$ . If  $x_aR \approx e_1R/e_1J$ ,  $x_aR \subset \text{Soc}(e_3R) \subset e_3J^2$ , a contradiction. Hence we obtain a homomorphism  $\psi: \text{Soc}_2(x_aR) \rightarrow \text{Soc}_2(x_aR)/\text{Soc}(x_aR) \approx \bar{e}_2\bar{R} \rightarrow \text{Soc}(e_1R)$ . Since  $e_1R$  is injective, we obtain an extension of  $\psi$ , which is a contradiction to the structure of  $e_1R$  and  $e_3R$ .

vi)  $e_1R=(1\ 2\ 2\ x)$ .

Then  $x=2$  and  $e_1J/e_1J^2 \approx \text{Soc}(e_1R)$ . Hence  $e_1=e_2$ , a contradiction.

vii)  $e_1R=(1\ 2\ 3\ x)$ . Since  $\{a, b\} \subset \{1, 3\}$ ,  $x=1$  or  $3$ , and  $d \neq x$ .

vii-i)  $x=1$  and  $d=2$ . Then  $a=1$ .

$\alpha$ )  $b=1$ . Let  $e_3J/e_3J^2 \approx \bar{x}_1\bar{R} \oplus \bar{x}'_1\bar{R} \oplus \dots$ . Since  $d=2$ , we may assume  $x_1R \approx x'_1R \approx \dots (\approx e_1R/e_1J^2$ , which is uniserial). Hence  $x_1R, x'_1R, \dots$  are contained in  $\text{Soc}_2(e_3R)$ . Therefore  $e_3J = \text{Soc}_2(e_3R)$  for  $\text{Soc}_2(e_3R) \supset e_3J^2$ . As a consequence

$$e_3R = \begin{pmatrix} & & & 1 \\ & & & \vdots \\ & & & 2 \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & 1 \end{pmatrix}$$

Then we obtain a contradiction to Lemma 2.

$\beta$ )  $b=3$ .  $e_3J$  contains a submodule  $x_1R$  isomorphic to  $e_1R/e_1J^2$  as in  $\alpha$ ). Hence  $x_1R \subset \text{Soc}_2(e_3R)$  and  $x_1R \not\subset e_3J^2$ . Since  $b=3$ ,  $e_3J^2/e_3J^3$  has to contain a

simple submodule isomorphic to  $\bar{e}_1\bar{R}$  by Lemma 9 and its proof. Hence since  $x_1R \not\subseteq e_3J^2$ ,  $\text{Soc}_2(e_3R)/\text{Soc}(e_3R) \approx \bar{e}_1\bar{R} \oplus \bar{e}_1\bar{R} \oplus \dots$ , a contradiction to Lemma 2.

vii-ii)  $x=1$  and  $d=3$  (and hence  $a=1$ ).

$\alpha$ )  $b=1$ . Since  $\text{Soc}(e_1R/\text{Soc}(e_1R)) \approx \text{Soc}(e_3R)$ ,  $e_3R/\text{Soc}(e_3R) (=E)$  is injective by Lemma 12. Further  $\text{Soc}_2(e_3R) = e_3J^2$  and  $\text{Soc}_2(E)/\text{Soc}(E) \approx e_3J/e_3J^2 \approx \bar{e}_1\bar{R} \oplus \bar{e}_1\bar{R} \oplus \dots$ , a contradiction to Lemma 2.

$\beta$ )  $b=3$ . From the structure of  $e_3R$  and Lemma 9 we know  $\text{Soc}_2(e_3R)/\text{Soc}(e_3R) \approx \bar{e}_2\bar{R} \text{ or } \approx \bar{e}_3\bar{R}$ . Then  $\text{Soc}_2(e_1R)/\text{Soc}(e_1R) \approx \text{Soc}(e_3R)$  as above, a contradiction.

vii-iii)  $x=3$ , i.e.  $e_1R=(1\ 2\ 3\ 3)$ .

Since  $e_1R/\text{Soc}(e_1R)$  is not injective,  $|\text{Soc}_2(e_3R)/\text{Soc}(e_3R)|=1$  by Lemma 12. Hence

$$e_3R = \begin{pmatrix} 1 \\ 3 \\ 1 \end{pmatrix} x\ y \text{ or } \begin{pmatrix} 1 \\ 3 \\ 3 \end{pmatrix} x\ y$$

(note  $e_3J^2 \subset \text{Soc}_2(e_3R)$ ). If  $e_3J^3 \neq 0$ ,  $y=3$ , a contradiction. If  $e_3J^3 = 0$ ,  $|\text{Soc}_2(e_3R)/\text{Soc}(e_3R)| \geq 2$ , a contradiction.

Thus we have shown that  $R$  is a direct sum of serial rings and QF rings, provided  $e_1R$  is uniserial.

Finally we observe the structure of  $R$ , when  $e_1R$  is not uniserial. Assume that an injective module  $eR$  contains a projective proper submodule and is not uniserial. Then  $eJ$  is local by [3], Corollary to Theorem 1, and hence

$$eR = \begin{pmatrix} c \\ \vdots \\ a\ b\ c'\ d \\ \vdots \end{pmatrix}; eJ^3 \neq 0.$$

Now from **i)**, **ii)**, (5), Proposition 1 and Lemma 12, we may assume

**iii)**  $e_1R = \begin{pmatrix} a \\ \vdots \\ 1\ 2\ b\ g \\ \vdots \end{pmatrix}$  and  $e_3R = \begin{pmatrix} a' \\ \vdots \\ 3\ b'\ h\ g' \\ \vdots \end{pmatrix}$  are injective,  $e_1R$  is not uniserial and  $e_2R \approx e_1J$ ;  $\{a, b\} \subset \{1, 3\}$ .

From Lemma 12 we have

**Lemma 13.** *Let  $R, e_1R$  and  $e_3R$  be as above. Then  $e_3R/\text{Soc}(e_3R)$  is injective.*

First we assume that  $e_3R$  is not uniserial. We note that if  $a'=b'=3$ , then  $R$  splits from Lemmas 1 and 9.

**iii-1)**  $e_1R$  and  $e_3R$  are not uniserial, and hence  $e_3J^3 \neq 0$  from Lemma 13.

i)  $a=1$ . Then  $g=2$ .

$a'=1$ . Then  $h=2$  and  $\text{Soc}(e_3R/\text{Soc}(e_3R)) \approx \text{Soc}(e_1R)$ , a contradiction from

Lemma 13.

ii)  $a=b=3$ .

$\alpha$ )  $a'=b'=1$ . Then  $h=2$  and  $g'=3$ , i.e.,

$$e_1R = \begin{pmatrix} 3 \\ 1 & 2 & \vdots & 1 \\ 3 \end{pmatrix}, e_2R = \begin{pmatrix} 3 \\ 2 & \vdots & 1 \\ 3 \end{pmatrix} \text{ and } e_3R = \begin{pmatrix} 1 \\ 3 & \vdots & 2 & 3 \\ 1 \end{pmatrix}.$$

Then  $e_3R/\text{Soc}(e_3R) (=E)$  is injective by Lemma 13 and  $\text{Soc}_2(E)/\text{Soc}(E) \approx \bar{e}_1\bar{R} \oplus \dots \oplus \bar{e}_1\bar{R}$ . Since  $e_3R$  is not uniserial,  $|\text{Soc}_2(E)/\text{Soc}(E)| \geq 2$ , a contradiction to Lemma 2.

$\beta$ )  $a'=1$  and  $b'=3$ . Then  $h=2$  from  $e_1R$  and  $h=1$  or  $3$  from  $e_3R$ , a contradiction.

iii-2)  $e_1R$  is not uniserial and  $e_3R$  is uniserial.

$\alpha$ )  $a=b=1$ . Then

$$e_1R = \begin{pmatrix} 1 \\ 1 & 2 & \vdots & 2 \\ 1 \end{pmatrix}, \text{ which contradicts Lemma 2.}$$

$\beta$ )  $a=1, b=3$ . Then

$$e_1R = \begin{pmatrix} 1 \\ 1 & 2 & \vdots & 2 \\ 3 \end{pmatrix}, \text{ and hence } e_3R = (3 \ 2 \ c \ d).$$

If  $e_3J^3 = 0$  (resp.  $e_3J^2 = 0$ ),  $\text{Soc}_2(e_3R)/\text{Soc}(e_3R) \approx \text{Soc}(e_1R)$  (resp.  $\text{Soc}(e_3R) \approx \text{Soc}(e_1R)$ ), a contradiction from Lemma 13. Assume  $e_3J^3 \neq 0$ , then  $c=1$  or  $3$ , and hence  $d=2$ , a contradiction.

$\gamma$ )  $a=b=3$ .

i)  $g=1$ . Then

$$e_1R = \begin{pmatrix} 3 \\ 1 & 2 & \vdots & 1 \\ 3 \end{pmatrix} \text{ and } e_3R = (3 \ 1 \ c \ d)$$

We know as above  $e_3J^3 \neq 0$ , and so  $e_3R = (3 \ 1 \ 2 \ 3)$ . Here we shall again make use of the argument in the proof of Lemma 2. Since  $e_3R$  is uniserial, there exist two submodules  $yR, y'R$  in  $e_1J^2$  such that  $yR \approx y'R \approx e_3R/e_3J^2$ . Let  $\alpha$  be an element in  $\text{End}_R(\text{Soc}(yR))$ . We shall find an extension of  $\alpha$  in  $\text{End}_R(yR)$ . Since  $yR \approx e_3R/e_3J^2$ ,  $\text{Soc}(yR) \approx \text{Soc}(e_3R/e_3J^2) \approx e_1R/e_1J$ . Hence we may assume that  $\alpha$  is given by an element  $p$  in  $e_1R$  via the above isomorphism. Then  $p$  induces an endomorphism  $\bar{p}$  of  $e_1R/e_1J^2 \approx \text{Soc}_2(E) \subset E (\approx e_3R/e_3J^3)$ . Further  $\bar{p}$  is extendible to  $q$  in  $\text{End}_R(E)$ . Finally since  $E/\text{Soc}(E) \approx e_3R/e_3J^2$ ,  $\bar{q}$  induces an element in  $\text{End}_R(e_3R/e_3J^2)$ , which is an extension of  $\alpha$  (see the diagram below)

$$\begin{array}{ccccccc} E \approx e_3R/e_3J^3 & \xrightarrow{p} & e_3R/e_3J^2 & \longrightarrow & 0 & & \\ \cup & & \cup & & \cup & & \\ e_1R/e_1J^2 \approx \text{Soc}_2(E) \approx X & \xrightarrow{p} & \text{Soc}(e_3R/e_3J^2) & \longrightarrow & 0, & & \end{array}$$

where  $\rho$  is the natural epimorphism.

Using this extension, we can derive a contradiction.

$\beta$ )  $a'=1$  and  $b'=3$ . Then  $h=2$  from  $e_1R$  and  $h=1$  or  $3$  from  $e_3R$ , a contradiction.

3)  $\rightarrow$  1). This is trivial.

**Theorem 4.** *Let  $R$  and  $n$  be as in Theorem 3. Assume that  $R$  is a two-sided almost QF and two-sided indecomposable ring with  $J^4=0$  and  $n=4$ . Then  $R$  is either serial or QF if and only if  $R$  is not of the following: there exist exactly three injective and projective modules  $e_iR$  and some one among  $e_iR$  is not uniserial.*

Proof. Suppose that  $R$  is not QF. Then we have the following four cases:

- 1)  $e_1R$  is injective and  $e_1R \supset e_2R \supset e_3R \supset e_4R$  (isomorphically).
- 2)  $e_1R$  and  $e_4R$  are injective and  $e_1R \supset e_2R \supset e_3R$ .
- 3)  $e_1R$  and  $e_3R$  are injective and  $e_1R \supset e_2R, e_3R \supset e_4R$ .
- 4)  $e_1R, e_2R$  and  $e_4R$  are injective and  $e_1R \supset e_2R$ .

Case 1) Since  $J^4=0$ ,  $R$  is serial by Theorem 2.

Case 2) Then  $e_1R$  is uniserial by [3], Corollary to Theorem 1, i.e.,  $e_1R = (1\ 2\ 3\ d)$  (or  $= (1\ 2\ 3)$ ) and  $e_4R$  are injective. If  $e_4J$  is local,  $R$  is right serial. Suppose that  $e_4J$  is not local. Then from Proposition 1 we have the following:

$$\text{a) } e_4R = \begin{pmatrix} 1\dots \\ 4 \vdots \\ 1\dots \end{pmatrix}, \quad \text{b) } e_4R = \begin{pmatrix} 1\dots \\ 4 \vdots \\ 4\dots \end{pmatrix} \quad \text{or} \quad \text{c) } e_4R = \begin{pmatrix} 4\dots \\ 4 \vdots \\ 4\dots \end{pmatrix}$$

$R$  splits if c) occurs. Hence we assume a) or b).

i)  $e_1R/\text{Soc}(e_1R)$  and  $e_1R/\text{Soc}_2(e_1R)$  are injective (see the proof of Lemma 12).

Let  $xR$  be a submodule in  $e_4J$  with  $(xR + e_4J^2)/e_4J^2 \approx \bar{e}_1\bar{R}$ . Since  $e_1R$  is uniserial,  $\text{Soc}(e_4R) = \text{Soc}(xR) \approx \bar{e}_2\bar{R}$  or  $\bar{e}_3\bar{R}$  if  $e_1J^3 \neq 0$ . However  $\text{Soc}(e_1R/\text{Soc}(e_1R)) \approx \bar{e}_3\bar{R}$  and  $\text{Soc}(e_1R/\text{Soc}_2(e_1R)) \approx \bar{e}_2\bar{R}$ , a contradiction. If  $e_1J^3 = 0$ , we obtain the same result as above.

ii)  $e_1R/\text{Soc}(e_1R)$  and  $e_4R/\text{Soc}(e_4R)$  are injective.

$\alpha$ )  $e_1J^3 \neq 0$ .  $e_1R = (1\ 2\ 3\ d)$ .

Assume a) or b).  $\text{Soc}(e_4R)$  and  $\text{Soc}_2(e_4R)$  are waists by assumption. Since  $\text{Soc}(eR/\text{Soc}(e_1R)) \approx \bar{e}_3\bar{R}$ , there exists a submodule  $xR$  in  $e_4J$  such that  $xR \approx e_1R/e_1J^2$ , i.e.,  $e_4J^3 = 0$ , and hence  $e_4R$  is uniserial.

$\beta$ )  $e_1J^3 = 0$ .  $e_1R = (1\ 2\ 3)$ .

Then  $xR$  is simple, i.e.  $|e_4R| \leq 2$ , a contradiction.

iii)  $e_4R/\text{Soc}(e_4R)$  and  $e_4R/\text{Soc}_2(e_4R)$  are injective. Then  $e_4R$  is uniserial and hence  $R$  is serial.

Case 3) i)  $e_1R/\text{Soc}(e_1R)$  and  $e_1R/\text{Soc}_2(e_1R)$  are injective. Then  $e_1R = (1\ 2\ c\ d)$  (or  $= (1\ 2\ c)$ ) and

$$e_3R = (3 \ 4 \begin{smallmatrix} g \\ \vdots \\ h \end{smallmatrix} \ k) \text{ or } (3 \ 4 \ g \ k)$$

In the latter case  $R$  is serial. Hence assume the former. Then  $\{g, h\} \subset \{1, 3\}$ . Assume  $e_1J^3 \neq 0$ .

$\alpha$ )  $g=1$ . There exists  $xR$  in  $e_4J^2$  with  $xR \approx e_1R/A$  for some  $A$  in  $e_1R$ . However  $\text{Soc}(e_3R) = \text{Soc}(xR) \approx \bar{e}_2\bar{R}$ , a contradiction.

$\beta$ )  $g=h=3$ . Then

$$e_4R = (3 \ 4 \begin{smallmatrix} 3 \\ \vdots \\ 3 \end{smallmatrix} \ 4),$$

which is a contradiction to Lemma 2.

We obtain the same result in a case  $e_1J^3=0$ .

ii)  $e_1R/\text{Soc}(e_1R)$  and  $e_3R/\text{Soc}(e_3R)$  are injective. Then  $e_1R$  and  $e_3R$  are uniserial, and hence  $R$  is serial.

Case 4) If  $e_1R, e_3R$  and  $e_4R$  are uniserial,  $R$  is right serial.

### 6. Examples

In this section we shall give several examples related to the previous sections.

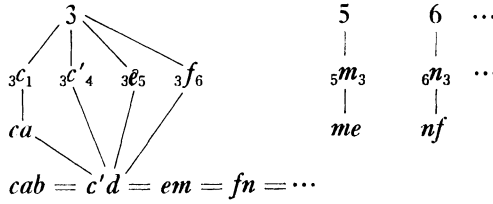
1. We shall give a two-sided almost QF ring with  $J^4=0$  and  $n=4$  but neither QF nor serial. This is an example of exceptional algebras in Theorem 4. Let  $K$  be a field and  $R = \sum_{i \leq 4} e_iR$ , where  $\{e_i\}$  is a set of mutually orthogonal primitive idempotents with  $1 = \sum e_i$ . We define  $e_1R = e_1K \oplus aK \oplus abK \oplus abc'K$ ,  $e_2R = e_2K \oplus bK \oplus bc'K, \dots$ , whose multiplicative structure is given below, where  ${}_1a_2$  means  $a = e_1ae_2$ , and so on.

(In the previous sections we expressed horizontally the structure of  $e_iR$ , however we shall do vertically here.)

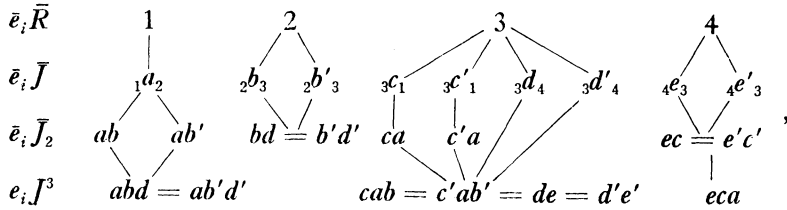
$$\begin{array}{ccccccc}
 e_iR/e_iJ & & 1 & & 2 & & 3 & & 4 \\
 & & | & & | & & / \quad \backslash & & | \\
 e_iJ/e_iJ^2 & & {}_1a_2 & & {}_2b_3 & & {}_3c_1 & & {}_4d_3 \\
 & & | & & | & & | & & | \\
 e_1J^2/e_iJ^3 & & ab & & bc' & & ca & & dc \\
 & & | & & & & / \quad \backslash & & | \\
 e_iJ^3 & & abc' & & & & cab = c'd & & dca,
 \end{array}$$

where the other products among  $a, b, \dots$  are zero, e.g.  $bc = dc' = 0$ . Then  $(Re_4)^* \approx e_1R, (Re_2)^* \approx e_4R$  and  $(Re_3)^* \approx e_3R$  are injective and  $e_1R \supset e_2R (Re_2 \supset Re_1)$ . Hence  $R$  is the desired algebra, which satisfies  $(\#-1, 2, 3)$ .

In the above example we replace  $e_3R$  with

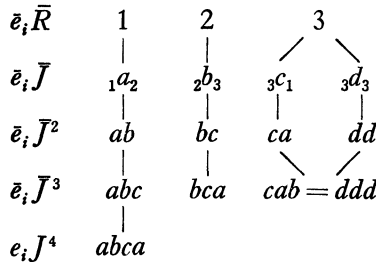


Then we obtain a two-sided almost QF-algebra with  $J^4=0$  and any  $n \geq 4$ , which is neither QF nor serial. We shall give another type of exceptional algebras, where  $e_1R \supset e_2R$  is not uniserial.



where the other products among  $a, b, \dots$  are zero, e.g.  $\{b, b'\} \{c, c'\} = 0, bde = b'd'e' = 0, \{e, e'\} \{d, d'\} = 0, dec = d'e'c' = 0$  and so on. Then  $(Re_4)^* \approx e_1R \supset e_2R, (Re_3)^* \approx e_4R$  and  $(Re_2)^* \approx e_4R$ . This ring is almost QF, but  $(\#-1)$  is not satisfied.

2. We shall give an algebra which is a two-sided almost QF-algebra with  $J^4 \neq 0$  and  $n=3$ , but  $R$  is neither QF nor serial (cf. Corollary to Theorem 2).  $R = \sum_{i \leq 3} \oplus e_i R$  as above.



Then  $e_1R, e_3R$  and  $Re_2, Re_3$  are injective and  $e_1R \supset e_2R, Re_2 \supset Re_1$ .

3. There exists a right almost QF algebra with  $J^4=0$  and  $n=3$ , which is not left almost QF (cf. Corollary to Theorem 2). Put  $bca=0$  in the above. Then  $Re_3 \supset Re_2$  and  $Je_3$  is not local.

References

[1] K.R. Fuller: *On indecomposable injectives over artinian rings*, Pacific J. Math. 29 (1969), 115-135.

- [2] M. Harada: *Non small modules and non co-small modules*, Ring Theory, Proceeding of 1978 Antwerp Conference, Marcel Dekker Inc. (1979), 669–687.
- [3] ———: *Almost QF rings and almost QF\* rings*, Osaka J. Math. **30** (1993), 887–892.
- [4] ———: *Almost projective modules*, J. Algebra **159** (1993), 150–157.
- [5] K. Oshiro: *Lifting modules, extending modules and their applications to QF-rings*, Hokkaido Math. J. **13** (1984), 339–364.
- [6] ———: *On Harada rings I*, J. Math. Okayama Univ., **31** (1989), 161–178.
- [7] K. Oshiro and K. Shugenaga: *On Harada rings with homogeneous socles*, Math. J. Okayama Univ. **31** (1989), 189–196.

Department of Mathematics  
Osaka City University  
Sugimoto-3, Sumiyoshi-ku  
Osaka 558, Japan