A REMARK ON JAMES NUMBERS OF STIEFEL MANIFOLDS

Dedicated to Professor Nobuo Shimada on his 60th birthday

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1. Introduction

The purpose of this note is to supply a few relations between the unstable and stable James numbers of Stiefel manifolds.

Let F be the field H of the quaternions or the field C of the complex numbers, and d the dimension of F over the field of the real numbers. Let $G(F^n)$ be the symplectic group Sp(n) or the unitary group U(n) according as F is H or C. The stunted quasi-projective space $Q_{n,k} = Q_n/Q_{n-k}$ is a subspace of the Stiefel manifold $O_{n,k} = G(F^n)/G(F^{n-k})$ (see e.g. [8]). There exist the quotient maps $q_r \colon Q_{n,k} \to Q_{n,k-r}$ and $p_r \colon O_{n,k} \to O_{n,k-r}$. Let $i' \colon Q_{n,k} \to O_{n,k}$ be the inclusion map. Then $i' \circ q_r = p_r \circ i'$ and $i' \colon Q_{n,1} \to O_{n,1}$ is the identity map of the (dn-1)-dimensional sphere S^{dn-1} .

Applying the homotopy functor $\pi_{dn-1}($) and the stable homotopy functor $\pi_{dn-1}^s($) to q_{k-1} and p_{k-1} , we define the unstable James numbers (see [7]) $Q\{n, k\} = Q_F\{n, k\}$, $O\{n, k\} = O_F\{n, k\}$ and the stable James numbers $Q^s\{n, k\} = Q_F^s\{n, k\}$, $O^s\{n, k\} = O_F^s\{n, k\}$ by the following equations:

$$\begin{split} q_{k-1^*}\pi_{dn-1}(Q_{n,k}) &= Q\{n,k\}\pi_{dn-1}(S^{dn-1}),\\ p_{k-1^*}\pi_{dn-1}(O_{n,k}) &= O\{n,k\}\pi_{dn-1}(S^{dn-1}),\\ q_{k-1^*}\pi_{dn-1}^s(Q_{n,k}) &= Q^s\{n,k\}\pi_{dn-1}^s(S^{dn-1}),\\ p_{k-1^*}\pi_{dn-1}^s(O_{n,k}) &= O^s\{n,k\}\pi_{dn-1}^s(S^{dn-1}); \end{split}$$

whenever $1 \le k \le n$. As easily seen (see e.g. [12]), we have

(1.1)
$$Q^{s}\{n, k\} | Q\{n, k\}, O^{s}\{n, k\} | O\{n, k\}, O\{n, k\} | Q\{n, k\},$$

 $Q^{s}\{n, k\} | O^{s}\{n, k+1\}, O\{n, k\} | O\{n, k+1\} \text{ and } O\{n, k\} | O\{n, k+1\};$

where $a \mid b$ means that b is a multiple of a. In [12] we proved

$$(1.2) Qs{n, k} = Os{n, k}.$$

The stable James number $O^s\{n, k\}$ has been investigated by various au-

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thors, but the unstable ones $Q\{n, k\}$, $O\{n, k\}$ have been done not so much (see e.g. [7], [13], [15], [18]). By [2], [3], [4] we have

(1.3)
$$O_{H}\{n, n\} = \begin{cases} 2 \cdot (2n-1)! & \text{if } n \text{ is even} \\ (2n-1)! & \text{if } n \text{ is odd}; \end{cases}$$

$$O_{C}\{n, n\} = O_{C}\{n, n-1\} = (n-1)!.$$

Our first result is an easy consequence of the results of Mukai [10], [11].

Theorem 1. (i) $O_H\{n, n\} = O_H^s\{n, n\} = a \cdot Q_H\{n, n\}$, where a=1 if n is even, a=1 or 1/2 if n is odd.

(ii) $O_c\{n, n\} = O_c^s\{n, n\} = Q_c\{n, n\} = O_c\{n, n-1\} = O_c^s\{n, n-1\} = Q_c\{n, n-1\}$.

Let E^{∞} : $\pi_r(\) \to \pi_r^s(\)$ be the stabilization homomorphism. Since $Q_{n,k}$ and $O_{n,k}$ are (d(n-k+1)-2)-connected (see e.g. [8]), it follows from Freudenthal suspension theorem that E^{∞} : $\pi_{dn-1}(Q_{n,k}) \to \pi_{dn-1}^s(Q_{n,k})$ and E^{∞} : $\pi_{dn-1}(O_{n,k}) \to \pi_{dn-1}^s(O_{n,k})$ are surjective whenever $n \ge 2k-1$. Thus $Q\{n,k\} = Q^s\{n,k\}$ and $O\{n,k\} = O^s\{n,k\}$ if $n \ge 2k-1$. As seen in [13], if n < 2k-1, then $O\{n,k\} \ne O^s\{n,k\}$ in general.

We consider the case n=2k-2. Since $(O_{2k-2,k}, Q_{2k-2,k})$ is (2dk-d-3)-connected (see e.g. [8]) and $d(2k-2)-1 \le 2dk-d-3$, it follows that $i'_*: \pi_{d(2k-2)-1}(Q_{2k-2,k}) \to \pi_{d(2k-2)-1}(O_{2k-2,k})$ is surjective, so that

$$(1.4) O\{2k-2, k\} = Q\{2k-2, k\}.$$

Our second result is

Theorem 2. (iii) If F=H or F=C and k is odd, then $O\{2k-2, k\}=O^s\{2k-2, k\}$.

(iv) If
$$F=C$$
 and k is even, then $O\{2k-2, k\}/O^s\{2k-2, k\}=1$ or 2.

REMARK 1. In [13] we proved (iv) by a different method from the one in this note, and showed that $O_c\{2k-2, k\}/O_c^s\{2k-2, k\}$ is 1 if k=2, 6 and it is 2 if k=4, 8.

REMARK 2. In [13] we did not determine $O_H\{8, 5\}$. Now (iii) says that $O_H\{8, 5\} = O_H^s\{8, 5\}$ which was calculated in [12].

REMARK 3. I know of no case where $O_H\{n, k\} \neq O_H^s\{n, k\}$.

2. Proof of Theorem 1

The assertions are trivial when n=1. So we assume that $n \ge 2$.

Let E denote both the reduced suspension functor in the category of pointed spaces and the suspension homomorphism in homotopy groups. For a continuous map $f: S' \to X$, we denote the order of f in $\pi_r(X)$ and $\pi_r^s(X)$ by $\sharp f$

and $\#E^{\infty}f$, respectively.

As well known (see e.g. [8]), we have a CW-decomposition

$$Q_n = Q_{n,n} = e^0 \cup e^{d-1} \cup e^{2d-1} \cup \cdots \cup e^{dn-1}$$

such that Q_m is a subcomplex of Q_n provided m < n, so

(2.1)
$$Q_{n,k} = e^0 \cup e^{d(n-k+1)-1} \cup \cdots \cup e^{dn-1}.$$

Let $T'_{n-1}: (B^{dn-1}, S^{dn-2}) \to (Q_n, Q_{n-1})$ be a characteristic map of the top cell, and let $T_{n-1}: S^{dn-2} \to Q_{n-1}$ be the restriction of T'_{n-1} to S^{dn-2} , the boundary of the disk B^{dn-1} . Let also $T'_{n-1,k-1} = \iota_{n-k} \circ T'_{n-1}: (B^{dn-1}, S^{dn-2}) \to (Q_{n,k}, Q_{n-1,k-1})$ and $T_{n-1,k-1} = q_{n-k} \circ T_{n-1}: S^{dn-2} \to Q_{n-1,k-1}$.

Applying π_*^s () to the cofibre sequence

$$S^{dn-2} \xrightarrow{T_{n-1,k-1}} Q_{n-1,k-1} \to Q_{n,k} \xrightarrow{q_{k-1}} S^{dn-1}$$

we obtain the exact sequence

$$\pi_{dn-1}^s(Q_{n,k}) \xrightarrow{q_{k-1}^*} \pi_{dn-1}^s(S^{dn-1}) \xrightarrow{(ET_{n-1,k-1})_*} \pi_{dn-1}^s(EQ_{n-1,k-1}) .$$

It follows from the cell structure of $Q_{n-1,k-1}$ that $\pi_{dn-1}^s(EQ_{n-1,k-1})$ is finite, so $\#E^{\infty}T_{n-1,k-1}$ is finite. Hence the exactness implies that

(2.2)
$$Q^{s}\{n,k\} = \#E^{\infty}T_{n-1,k-1}.$$

Next we see the unstable case. Consider the homotopy exact sequence of the pair $(Q_{n,k}, Q_{n-1,k-1})$:

$$\pi_{dn-1}(Q_{n,k}) \stackrel{j_*}{\rightarrow} \pi_{dn-1}(Q_{n,k}, Q_{n-1,k-1}) \stackrel{\partial}{\rightarrow} \pi_{dn-2}(Q_{n-1,k-1}).$$

By definition $\partial(T'_{n-1,k-1}) = T_{n-1,k-1}$. Let $q' : (Q_{n,k}, Q_{n-1,k-1}) \to (S^{dn-1}, *)$ be the collapsing map. Then $q'_*(T'_{n-1,k-1})$ generates $\pi_{dn-1}(S^{dn-1})$. If n > k or F = H, then, by Blakers-Massey [1], $q'_* : \pi_{dn-1}(Q_{n,k}, Q_{n-1,k-1}) \to \pi_{dn-1}(S^{dn-1})$ is an isomorphism, so $T'_{n-1,k-1}$ generates $\pi_{dn-1}(Q_{n,k}, Q_{n-1,k-1})$. Since $q'_* \circ j_* = q_{k-1}^*$, it follows that the order of $T_{n-1,k-1}$ is equal to the order of the cokernel of $q_{k-1}^* : \pi_{dn-1}(Q_{n,k}) \to \pi_{dn-1}(S^{dn-1})$ provided n > k or F = H. Hence the following lemma implies that

(2.3)
$$Q\{n, k\} = \#T_{n-1,k-1} \text{ if } n>k \text{ or } F=H.$$

Lemma (2.4). The order of $T_{n-1,k-1}$ is finite if n>k or F=H.

Since $T_{n-1,k-1}=q_{n-k}\circ T_{n-1}$ and since $T_{n-1,k-1}=q_{n-k-1}\circ T_{n-1,n-2}$ if n>k, it is sufficient for proving (2.4) to show that $\#T_{n-1}$ is finite if F=H, and $\#T_{n-1,n-2}$ is finite if F=C.

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The rest of this section is devoted to the proofs of (2.4) and Theorem 1. We consider the case F=H. In [11] Mukai proved that $\#T_{n-1}=\#E^{\infty}T_{n-1}=2\cdot(2n-1)!$ if n is even; $\#E^{\infty}T_{n-1}=(2n-1)!$ and $\#T_{n-1}/(2n-1)!=1$ or 2 if n is odd. Hence we obtain (2.4) and (i) follows from (1.1), (1.2), (1.3), (2.2), (2.3).

We see the case F=C. Let P_n be the (n-1)-dimensional complex projective space, and let P_n^+ be the union of P_n and a base point. We then have $Q_n=E(P_n^+)$ and $Q_{n,n-1}=EP_n$ (see e.g. [8]). Note that there is a homotopy equivalence $E(P_n^+) \simeq EP_n \vee S^1$ which makes the following triangle commutative up to homotopy:

$$Q_n = E(P_n^+) \simeq EP_n \vee S^1$$

$$q_1 \searrow \qquad \swarrow p$$

$$Q_{n,n-1} = EP_n$$

where p is the projection. Hence q_1 has a left homotopy inverse, so

(2.5)
$$q_{1*}: \pi_{2n-1}(Q_n) \to \pi_{2n-1}(Q_{n,n-1})$$
 is surjective.

Let SU(n) be the special unitary group and let $h: O_{n,n-1}=U(n)/(U(1)\times 1_{n-1})\to SU(n)$ be the homeomorphism defined by

Note that $h \circ i' : EP_n = Q_{n,n-1} \rightarrow SU(n)$ is the inclusion map defined in [20]. Hence in the following commutative diagram $h_* \circ i'_*$ is surjective by Proposition 4.2 of [16].

$$\begin{array}{ccc}
\pi_{2n-1}(Q_n) & \stackrel{i'_*}{\to} & \pi_{2n-1}(U(n)) \\
q_{1^*} \downarrow & i'_* & \cong \downarrow p_{1^*} \\
\pi_{2n-1}(Q_{n,n-1}) & \stackrel{\to}{\to} & \pi_{2n-1}(O_{n,n-1}) & \stackrel{\to}{\to} & \pi_{2n-1}(SU(n)).
\end{array}$$

It follows that the lower i'_* is surjective and so is the upper i'_* from (2.5). Thus we have

(2.6)
$$Q_{c}\{n, n\} = O_{c}\{n, n\}.$$

On the other hand we can take $T_{n-1,n-2}=E\gamma_{n-1}$ where $\gamma_{n-1}\colon S^{2n-3}\to P_{n-1}$ is the canonical S^1 -fibration. It is well known (see e.g. [10]) that $\#E\gamma_{n-1}=\#E^{\infty}\gamma_{n-1}=(n-1)!$. Thus we have (2.4) and

(2.7)
$$Q_{c}\{n, n-1\} = Q_{c}^{s}\{n, n-1\} = (n-1)!$$

by (2.2), (2.3). Therefore (ii) follows from (1.1), (1.2), (1.3), (2.6), (2.7). This completes the proofs of (2.4) and Theorem 1.

3. EHP-sequence

Let X, Y be r-connected CW-complexes which have exactly one vertex *, and let $f: X \rightarrow Y$ be a continuous map with f(*)=*. We then have a diagram consisting of the exact EHP-sequences for $i \le 3r+1$ (see e.g. [9], [19]):

$$\pi_{i}(X) \xrightarrow{E} \pi_{i+1}(EX) \xrightarrow{H} \pi_{i+1}(E(X \land X)) \xrightarrow{P} \pi_{i-1}(X) \xrightarrow{E} \cdots$$

$$\downarrow f_{*} \underset{E}{\downarrow} (Ef)_{*} \underset{H}{\downarrow} (E(f \land f))_{*} \underset{P}{\downarrow} f_{*} \underset{E}{\downarrow} \pi_{i-1}(Y) \xrightarrow{\pi_{i+1}} (E(Y \land Y)) \xrightarrow{\pi_{i-1}} (Y) \xrightarrow{\to} \cdots$$

In the next section we shall use

Lemma (3.1). The above diagram commutes.

By using Theorem 5.3 of [6] and following faithfully the construction of the *EHP*-sequence, we can prove (3.1). We omit the details.

4. Proof of Theorem 2

For an abelian group A, A/Tor denotes the quotient group of A by its torsion subgroup, and π : $A \rightarrow A/Tor$ denotes the quotient homomorphism. Let Z be the infinite cyclic group.

By (2.1) we have

$$(4.1) \pi_{dn-1}^s(Q_{n,k})/Tor \cong Z.$$

It follows that $Q^s\{n, k\} \neq 0$ from (2.2) and that $Q\{n, k\} \neq 0$ from (2.3), (2.6), (1.3). Thus we have

Lemma (4.2).
$$\pi \circ E^{\infty} \neq 0$$
: $\pi_{dn-1}(Q_{n,k}) \to \pi_{dn-1}^{s}(Q_{n,k})/Tor$.

From now on we denote $Q_{2k-2,k}$ by Q. By (1.1), (1.2), (1.4), (4.1) and (4.2), Theorem 2 is equivalent to

Proposition (4.3). Let n=2k-2. Then the image of $\pi \circ E^{\infty}$: $\pi_{dn-1}(Q) \to \pi_{dn-1}^{s}(Q)/T$ or is $a \cdot \pi_{dn-1}^{s}(Q)/T$ or, where a=1 if F=H or k is odd, a=1 or 2 if F=C and k is even.

Proof. We consider the case F=C only, because we can prove the assertion for the case F=H by a similar but slightly easier method to the following one.

If k=2, then the assertion is trivial by Theorem 1. So we assume that $k \ge 3$. By (2.1) we have

$$Q = e^0 \cup e^{2k-3} \cup e^{2k-1} \cup \cdots \cup e^{4k-5}$$
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and so

$$(4.4) Q \wedge Q = e^0 \cup e^{4k-6} \cup e^{4k-4} \cup e^{4k-4} \cup \cdots \cup e^{8k-10}.$$

Let $i: S^{2k-3} = e^0 \cup e^{2k-3} = Q_{k-1,1} \rightarrow Q$ be the inclusion. Since Q is (2k-4)-connected, it follows that

(4.5)
$$E^{\infty}$$
: $\pi_{4k-2}(E^3Q) \rightarrow \pi_{4k-5}^s(Q)$ is an isomorphism, and

$$(4.6) E: \pi_{4k-3}(E^2Q) \to \pi_{4k-2}(E^3Q) \text{ is surjective.}$$

By (3.1) we have the commutative diagram:

$$\pi_{4k-1}(E^{3}S^{2k-3}) \xrightarrow{H} \pi_{4k-1}(E^{5}(S^{2k-3} \wedge S^{2k-3})) \cong \pi_{4k-1}(S^{4k-1}) \cong Z$$

$$\downarrow (E^{3}i)_{*} \qquad \downarrow (E^{5}(i \wedge i))_{*}$$

$$\pi_{4k-1}(E^{3}Q) \xrightarrow{H} \pi_{4k-1}(E^{5}(Q \wedge Q)) \xrightarrow{P} \pi_{4k-3}(E^{2}Q) \xrightarrow{F} \pi_{4k-2}(E^{3}Q).$$

By (4.4) and Blakers-Massey [1], $\pi_{4k}(E^5(Q \wedge Q), S^{4k-1}) \cong \pi_{4k-1}(E^5(Q \wedge Q), S^{4k-1}) \cong 0$, so the above $(E^5(i \wedge i))_*$ is an isomorphism. As well known (see e.g. Proposition 2.7 of [17]), the upper H is not zero, hence so is the lower H. Thus the image of P is finite, so that, by (4.6), E induces an isomorphism

$$\widetilde{E}: \pi_{4k-3}(E^2Q)/Tor \cong \pi_{4k-2}(E^3Q)/Tor.$$

Consider the *EHP*-sequence:

$$\pi_{4k-2}(E^3(Q \wedge Q)) \overset{P}{\to} \pi_{4k-4}(EQ) \overset{E}{\to} \pi_{4k-3}(E^2Q) \overset{H}{\to} \pi_{4k-3}(E^3(Q \wedge Q)) \; .$$

By (4.4) and Blakers–Massey [1], $\pi_{4k-3}(E^3(Q \wedge Q), S^{4k-3}) \cong \pi_{4k-2}(E^3(Q \wedge Q), S^{4k-3}) \cong 0$, so $E^3(i \wedge i)$ induces a surjection $(Z_2 \cong) \pi_{4k-2}(S^{4k-3}) \longrightarrow \pi_{4k-2}(E^3(Q \wedge Q))$ and an isomorphism $(Z \cong) \pi_{4k-3}(S^{4k-3}) \cong \pi_{4k-3}(E^3(Q \wedge Q))$. Thus it follows that

(4.8)
$$\pi_{4k-2}(E^3(Q \wedge Q)) \text{ is finite, and}$$

The kernel of E is finite by (4.8). The cokernel of E is torsion free by (4.9), while it is finite by (4.1), (4.2), (4.5), (4.7), hence it is zero, so E is surjective. Thus E induces an isomorphism

(4.10)
$$\widetilde{E}: \pi_{4k-4}(EQ)/Tor \cong \pi_{4k-3}(E^2Q)/Tor.$$

By (3.1) we have the following commutative diagram:

$$Z_{2}\{\eta_{4k-5}\}$$

$$\pi_{4k-5}(S^{2k-3}) \xrightarrow{E} \pi_{4k-4}(S^{2k-2}) \xrightarrow{\to} \pi_{4k-4}(S^{4k-5}) \xrightarrow{P} \pi_{4k-6}(S^{2k-3})$$

$$\downarrow i_{*} \qquad \qquad \downarrow (Ei)_{*} \qquad \qquad \downarrow (E(i \land i))_{*} \qquad \qquad P \qquad \downarrow i_{*}$$

$$\pi_{4k-5}(Q) \xrightarrow{\to} \pi_{4k-4}(EQ) \xrightarrow{\to} \pi_{4k-4}(E(Q \land Q)) \xrightarrow{\to} \pi_{4k-6}(Q) .$$

Here $\eta_2: S^3 \to S^2$ is the Hopf map and $\eta_m = E^{m-2}\eta_2: S^{m+1} \to S^m$ for $m \ge 2$. By (4.4) and Blakers-Massey [1], $\pi_{4k-4}(E(Q \land Q), S^{4k-5}) \cong 0$. Thus $(E(i \land i))_k$ is surjective, so $\pi_{4k-4}(E(Q \land Q)) \cong Z_2$ or 0. Hence the cokernel of the lower E is Z_2 or 0. Since $\pi_{4k-4}(EQ)/Tor \cong Z$ by (4.1), (4.5), (4.7), (4.10), it follows that the image of the homomorphism $\widehat{E}: \pi_{4k-5}(Q)/Tor \to \pi_{4k-4}(EQ)/Tor$ induced by E is $a \cdot \pi_{4k-4}(EQ)/Tor$, where a=1 or 2. Thus the assertion of (4.3) for k even follows from (4.5), (4.7) and (4.10). We can prove (4.3) for k odd by showing that $\pi_{4k-4}(E(Q \land Q))$ is Z_2 if k is even and 0 if k is odd. But we will take a different method which can be applied to the case F=H.

As well known (see e.g. [19]), $P(\eta_{4k-5}) = [l_{2k-3}, \eta_{2k-3}]$, the Whitehead product, where l_{2k-3} is the identity map of S^{2k-3} . It follows from [5] that $[l_{2k-3}, \eta_{2k-3}] = 0$ if and only if k is odd. We show that \widetilde{E} : $\pi_{4k-5}(Q)/Tor \to \pi_{4k-4}(EQ)/Tor$ is surjective if k is odd. Then the assertion of (4.3) for k odd follows from (4.5), (4.7) and (4.10).

Let k be odd. Then there is an element x in $\pi_{4k-4}(S^{2k-2})$ such that $H(x) = \eta_{4k-5}$ by exactness. Hence $H((Ei)_*(x)) = E(i \wedge i)_*(H(x)) = E(i \wedge i)_*(\eta_{4k-5})$ which generates $\pi_{4k-4}(E(Q \wedge Q))$. Choose y in $\pi_{4k-4}(EQ)$ such that $\pi(y)$ generates the infinite cyclic group $\pi_{4k-4}(EQ)/Tor$. If H(y)=0, then there exists y' in $\pi_{4k-5}(Q)$ such that E(y')=y, so $\widetilde{E}(\pi(y'))=\pi(y)$ and \widetilde{E} is surjective. If H(y)=0, then $\pi_{4k-4}(E(Q \wedge Q)) \cong Z_2$ which is generated by H(y). Hence $H(y)=H((Ei)_*(x))$ and there exists y'' in $\pi_{4k-5}(Q)$ such that $E(y'')=y-(Ei)_*(x)$. Since $\pi_{4k-4}(S^{2k-2})$ is finite as seen in [14], it follows that $(Ei)_*(x)$ has a finite order and $\widetilde{E}(\pi(y''))=\pi(y-(Ei)_*(x))=\pi(y)$, so that \widetilde{E} is surjective. This completes the proofs of (4.3) and hence of Theorem 2.

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