

## A GENERALIZATION OF EPSTEIN'S ZETA FUNCTION

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### § 0. Introduction.

Koecher defined in [3] the following zeta function associated with the matrix  $S^{(n)}$  of a positive quadratic form and one complex variable  $\rho$

$$(1) \quad Z_{n_1}(S, \rho) = \sum_A |{}^tASA|^{-\rho}.$$

Here  $n \geq n_1$ , and the sum is over a complete set of representatives for the  $n$  by  $n_1$  integral rank  $n_1$  matrices  $A^{(n, n_1)}$  with respect to the equivalence relation  $A \sim B$  if  $A = BU$  for some unimodular matrix  $U$ . The unimodular group  $\mathfrak{U}_{n_1}$  is defined by  $\mathfrak{U}_{n_1} = \{U^{(n_1)}: U \text{ integral, } n_1 \text{ by } n_1 \text{ with determinant } |U| = \pm 1\}$ . We use the notation  $|S|$  = determinant of  $S$  and  $S[A] = {}^tASA$  throughout. Superscripts in parentheses on matrices denote the number of rows and columns. Thus  $A^{(n, n_1)}$  has  $n$  rows and  $n_1$  columns.

Koecher shows in [3] that  $Z_{n_1}(S, \rho)$  converges for  $Re\rho > \frac{n}{2}$ . But his proof of the analytic continuation and the functional equation,

$$(2) \quad R_{n_1}(S, \rho) = |S|^{\frac{-n_1}{2}} R_{n_1}\left(S^{-1}, \frac{n}{2} - \rho\right)$$

where  $R_{n_1}(S, \rho) = \pi^{n_1\left(\frac{n_1-1}{4} - \rho\right)} \prod_{i=0}^{n_1-1} \Gamma\left(\rho - \frac{i}{2}\right) Z_{n_1}(S, \rho)$ ,

has a gap. This is remedied neatly using an idea of Selberg. One can annihilate the trouble-making terms of the theta function with an appropriate differential operator. We outline these results in §1 because they do not appear in the literature.

Selberg has defined in [6] a zeta function associated with a positive matrix  $S$  and  $n-1$  complex variables  $\rho = (\rho_1, \rho_2, \dots, \rho_{n-1})$ . This function can be seen to be essentially the same as

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$$(3) \quad \zeta_{(n)}(S, \rho) = \sum \prod_{i=1}^{n-1} |S[U_i]|^{-\rho_i},$$

where the sum is over a complete set of representatives for unimodular  $U^{(n)} = (U_i^{(n,i)*})$ , with respect to the equivalence relation  $U \sim V$  if  $U = VP$  for  $P$  unimodular and upper triangular. Selberg states that this series converges for  $Re\rho_i > 1$  and that  $\zeta_{(n)}(S, \rho)$  satisfies  $n!$  functional equations and can be continued to a meromorphic function in  $C^{n-1}$ . There are various proofs of this fact. See [4] and [9]. Selberg used both approaches. We consider the method used in [9] briefly in §2 because we generalize this method to obtain our main results. And we make use of the  $n - 1$  functional equations which generate the rest:

For  $i = 2, 3, \dots, n - 2,$

$$(4) \quad \pi^{-\rho_i} \Gamma(\rho_i) \zeta(2\rho_i) \zeta_{(n)}(S, \rho) = \pi^{-(1-\rho_i)} \Gamma(1 - \rho_i) \zeta(2(1 - \rho_i)) \zeta_{(n)}(S, \rho')$$

where  $\rho'_i = 1 - \rho_i, \rho'_{i\pm 1} = \rho_{i\pm 1} + \rho_i - \frac{1}{2}, \rho'_j = \rho_j$  for  $j \neq i, i \pm 1.$

For  $i = 1,$

$$\pi^{-\rho_1} \Gamma(\rho_1) \zeta(2\rho_1) \zeta_{(n)}(S, \rho) = \pi^{-(1-\rho_1)} \Gamma(1 - \rho_1) \zeta(2(1 - \rho_1)) \zeta_{(n)}\left(S, 1 - \rho_1, \rho_1 + \rho_2 - \frac{1}{2}, \rho_3, \dots\right)$$

For  $i = n - 1,$

$$\begin{aligned} \pi^{-\rho_{n-1}} \Gamma(\rho_{n-1}) \zeta(2\rho_{n-1}) \zeta_{(n)}(S, \rho) &= \pi^{-(1-\rho_{n-1})} \Gamma(1 - \rho_{n-1}) \zeta(2(1 - \rho_{n-1})) \times \\ &\times \zeta_{(n)}\left(S, \rho_1, \dots, \rho_{n-3}, \rho_{n-1} + \rho_{n-2} - \frac{1}{2}, 1 - \rho_{n-1}\right). \end{aligned}$$

It should be noted that an easy “proof” of the  $n!$  functional equations derives from an integral formula of Harish-Chandra for spherical functions (see [1], Proposition 6.8, page 428). Maass has shown in [4] that a further functional equation is trivial, namely:

$$(5) \quad \zeta_{(n)}(S^{-1}, \rho) = |S|^{\sum_{i=1}^{n-1} \rho_i} \zeta_{(n)}(S, \tilde{\rho}),$$

where  $\tilde{\rho} = (\rho_{n-1}, \rho_{n-2}, \dots, \rho_2, \rho_1).$

We consider here a generalization of both (1) and (3):

$$(6) \quad \zeta_{n_1, \dots, n_r}(S, \rho_1, \dots, \rho_{r-1}) = \sum \prod_{i=1}^{r-1} |S[U_i]|^{-\rho_i}.$$

Here  $n = \sum_{j=1}^r n_j$ , with positive integers  $n_j$ , and  $N_i = \sum_{j=1}^i n_j$ , for  $i = 1, 2, \dots, r$ . In the sum,  $U^{(n)} = (U_i^{(n, N_i)} *)$  runs over a complete set of representatives for unimodular matrices, with respect to the equivalence relation  $U \sim V$  if  $U = VP$ , with  $P$  unimodular and having block form

$$P = \begin{pmatrix} P_1^{(n_1)} & & & * \\ & P_2^{(n_2)} & & \\ 0 & & \cdot & \\ & & & \cdot \\ & & & & P_r^{(n_r)} \end{pmatrix}.$$

We show in § 3 that (6) converges when  $Re \rho_i > \frac{n}{2}$ ,  $i = 1, 2, \dots, r - 1$ .

It comes out of the proof of (4) in § 2 that

$$(7) \quad \zeta_{(n)}(S, \rho)|_{\rho_i=0} = \zeta_{1, \dots, 1, \frac{1}{2}, 1, \dots, 1}(S, \rho_1, \dots, \overset{i}{\vee} \rho_{n-1}),$$

where “ $\vee$ ” denotes omission of the variable  $\rho_i$ . In § 3 we generalize this relation to

$$(8) \quad \zeta_{n_1, \dots, n_r}(S, \rho_{N_1}, \dots, \rho_{N_r-1})|_{\rho_{N_i}=0, i \neq j} = \zeta_{m_1, \dots, m_\lambda}(S, \rho_{M_1}, \dots, \rho_{M_\lambda-1}),$$

where  $N_{i_j} = \sum_{k=1}^{i_j} n_k = \sum_{k=1}^j m_k = M_j$ , for  $j = 1, \dots, \lambda$ . The proof is by induction using results of § 1. Thus Koecher's functions are essentially specializations of Selberg's arrived at by setting all but one variable equal to zero. Similarly the functions (6) are specializations of Selberg's function (3).

In § 4 we use the functional equations in § 2 for Selberg's function and the results of § 3 to obtain relations between Koecher's functions  $\zeta_{i, n-i}$  and  $\zeta_{n-i, i}$ . We have two ways of doing this ((4) and (5)).

**§ 1. Koecher's Zeta Function**

We first note the relation between Koecher's function (1) and the case  $r = 2$  of (6).

LEMMA 1.1. 
$$Z_{n_1}(S, \rho) = \zeta_{n_1, n-n_1}(S, \rho) \prod_{i=0}^{n_1-1} \zeta\left(2\left(\rho - \frac{i}{2}\right)\right).$$

*Proof.* Consider the map  $h$  defined by  $h(A^{(n, n_1)}) = (U^{(n, n_1)}, B^{(n_1)})$ , where  $B$  is the greatest right divisor of  $A$  and  $A = UB$  with  $U$  primitive. For the definitions of greatest right divisor and primitive, see Siegel [8], volume I, pages 331 and 332. The map  $h$  gives a one-to-one map from integral

rank  $n_1$  non right equivalent  $A$  to primitive non right equivalent  $U$  and integral rank  $n_1$  non right equivalent  $B$ . One uses a result of Koecher-formula (1.10) of [3], page 7 – to complete the proof.

The main results of this section are the functional equation and analytic continuation of  $Z_{n_1}(S, \rho)$ . The proof requires a series of lemmas, which we shall simply state. For more details, see [9].

Define the theta function by

$$(9) \quad \theta(S^{(n)}, X^{(n_1)}) = \sum e^{-\pi\sigma(S[A]X)} = \theta^S(X),$$

where  $\sigma(S)$  = trace of  $S$ , and the sum is over all integral  $A^{(n, n_1)}$ . If we restrict summation to  $A$  of rank  $r$ , we denote the result  $\theta_r(S, X) = \theta_r^S(X)$ . Koecher proves (using the Poisson summation formula, in [3], page 8) that  $\theta(S, X)$  converges for  $Re S > 0, X > 0, n \geq n_1$  and that it satisfies the transformation formula

$$(10) \quad \theta(S, X) = |S|^{\frac{-n_1}{2}} |X|^{\frac{-n}{2}} \theta(S^{-1}, X^{-1}).$$

Selberg defines differential operators  $D_a$  on the Riemannian symmetric space  $P_n$  of positive  $Y^{(n)}$  as follows:

$$(11) \quad D_a = |Y|^a D_Y |Y|^{1-a},$$

where  $D_Y = \left| \frac{1}{2}(1 + \delta_{ij}) \frac{\partial}{\partial y_{ij}} \right|$ ,  $\delta_{ij}$  being the Kronecker delta. It is easy to see that the  $D_a$  are invariant differential operators with respect to the diffeomorphisms  $\tau_A$  of the space  $P_n$  defined by  $\tau_A(Y) = Y[A]$ , for  $Y \in P_n, A \in GL(n, \mathbf{R})$ . The general linear group  $GL(n, \mathbf{R})$  consists of all non-singular  $n$  by  $n$  real matrices.

Further define  $L^*$  as the formal adjoint of the differential operator  $L$  on  $P_n$ , with respect to the  $\tau_A$ -invariant measure  $d\mu = |Y|^{\frac{-n+1}{2}} \prod_{1 \leq i \leq j \leq n} dy_{ij}$ . That is,  $\int_{P_n} (L f) g d\mu = \int_{P_n} f L^* g d\mu$  for  $f$  and  $g$  infinitely differentiable on  $P_n$  with compact support (*i.e.*, in  $C_0^\infty(P_n)$ ). Let  $\alpha(Y) = Y^{-1}$ . Then one can show that

$$(12) \quad L^* = L^\alpha,$$

where  $L^\alpha(f) = [L(f \circ \alpha)] \circ \alpha^{-1}$  for  $f \in C^\infty(P_n)$ .

Moreover the algebra of all  $L$  which are invariant with respect to the  $\tau_A$  (*i.e.*  $L^A = L$ ), for  $A \in GL(n, \mathbf{R})$ , is commutative. For a proof, see Selberg [5], page 51.

One easily sees that

$$(13) \quad D_Y e^{-\sigma(WY)} = |W| (-1)^n e^{-\sigma(WY)}$$

Also

$$(14) \quad (D_a)^\alpha = (D_a)^* = (-1)^n D_{1+\frac{n+1}{2}-\alpha}$$

Now define

$$(15) \quad f_r^\rho(X) = \prod_{i=1}^{r-1} |X_i|^{\rho_i}$$

if  $X = \begin{pmatrix} X_i^{(N_i)} & * \\ * & * \end{pmatrix}$ ,  $N_i = \sum_{j=1}^i n_j$ ,  $i = 1, 2, \dots, r$ , and  $n = \sum_{j=1}^r n_j = N_r$ . Note that  $\zeta_{n_1, \dots, n_r}(S, \rho) = \sum f_r^\rho(S[U])$ . The  $f_r^\rho$  are eigenfunctions for the invariant differential operators  $L$  on the space  $P_n$  of positive symmetric matrices. We compute the eigenvalues for  $D_a$  in the case  $r = 2$  later in this section. Writing  $X = {}^t T T$  for  $T$  upper triangular with positive diagonal entries we have, if  $n = r$ , *i.e.*,  $n_1 = n_2 = \dots = n_r = 1$ ,

$$(16) \quad f_n({}^t T T) = \prod_{i=1}^{n-1} t_i^{r_i} = \chi^r(T), \text{ where } T = \begin{pmatrix} t_1 & & & \\ & t_2 & * & \\ & & \cdot & \\ 0 & & & t_n \end{pmatrix},$$

and  $r_i = 2 \sum_{j=i}^{n-1} \rho_j$ . A similar result holds for arbitrary  $r$ .  $\chi$  is a character on the triangular group and can be extended to  $GL(n, \mathbf{R})$  by making it constant on cosets  $O_n T$ , where  $T$  is an upper triangular matrix and  $O_n$  is the orthogonal group. The result is a right spherical function.

We need to define the following gamma factor

$$(17) \quad G_{n_1}(\rho) = \prod_{i=0}^{n_1-1} \Gamma\left(\rho - \frac{i}{2}\right).$$

Now we can prove the functional equation and obtain the analytic continuation of  $Z_{n_1}(S, \rho)$  to the whole complex plane as a meromorphic function.

**THEOREM 1.2.** *Let  $L$  be the invariant differential operator on  $P_{n_1}$*

$$L = D_a D_1,$$

for  $a = \frac{1}{2}(n_1 - n + 1)$ . Let  $F_{n_1}$  be a fundamental domain for  $P_{n_1}$  with respect to  $\tau_U$  for  $U \in \mathfrak{U}_{n_1}$  (recall that  $\tau_U(Y) = {}^tU YU = Y[U]$ ). Then we have the following integral representation:

$$(18) \quad 2Z_{n_1}(S^{(n)}, \rho)g(\rho)G_{n_1}(\rho)\pi^{\frac{1}{4}(n_1^2 - n_1) - n_1\rho} = \int_{F_{n_1}} f_2^e(L\theta_{n_1}^S) d\mu \equiv J(S, \rho)$$

$$(f_2^e(X) = |X|^\rho, X \in P_{n_1}).$$

The integral can be analytically continued for all  $\rho$  and

$$J(S, \rho) = |S|^{\frac{-n_1}{2}} J\left(S^{-1}, \frac{n}{2} - \rho\right).$$

Further  $g(\rho) = \prod_{i=1}^{n_1} \left(\rho + \frac{n_1 - i - n}{2}\right) \left(\rho - \frac{i - 1}{2}\right) = g\left(\frac{n}{2} - \rho\right)$ .

*Proof.* By changing to triangular matrix variables  $T$  through  $Y = {}^tTT$ , it is easy to see that

$$J(S, \rho) = g(\rho) \int_{F_{n_1}} f_2^e \theta_{n_1}^S d\mu = 2g(\rho) Z_{n_1}(S, \rho) \pi^{\frac{1}{4}(n_1^2 - n_1) - n_1\rho} G_{n_1}(\rho),$$

where  $L^*f_2^e = g(\rho)f_2^e$ , since  $f_2^e(X) = |X|^\rho$  is an eigen function for  $L$ . We compute  $g(\rho)$  later.

Now

$$\begin{aligned} J(S, \rho) &= \int_{\substack{F_{n_1} \\ |X| \geq 1}} + \int_{\substack{F_{n_1} \\ |X| \leq 1}} \\ &= \int_{\substack{F_{n_1} \\ |X| \geq 1}} \{f_2^e L \theta_{n_1}^S + (f_2^e \circ \alpha)((L \theta_{n_1}^S) \circ \alpha)\} d\mu \\ &= \int_{\substack{F_{n_1} \\ |X| \geq 1}} \{f_2^e L \theta_{n_1}^S + f_2^{-\rho} L^\alpha(|S|^{\frac{-n_1}{2}} f_2^{\frac{n}{2}} \theta_{n_1}^{S^{-1}})\} d\mu \\ &\quad + \sum_{r=0}^{n_1-1} \int_{\substack{F_{n_1} \\ |X| \geq 1}} f_2^{-\rho} \{ |S|^{\frac{-n_1}{2}} L^\alpha(f_2^{\frac{n}{2}} \theta_r^{S^{-1}}) - (L \theta_r^S) \circ \alpha \} d\mu \end{aligned}$$

using the transformation formula (10). We have chosen  $L$  so that all the terms of the last integral are zero. For recall that  $L = D_a D_1 = D_1 D_a$ . And (13) implies  $D_r(\theta_r^S) = 0$ , for  $0 \leq r < n_1$ . And so  $D_1(\theta_r^S) = f_2^1 D_r(\theta_r^S) = 0$ ,  $0 \leq r < n_1$ .

Now  $(-1)^{n_1} D_\alpha^* = D_{\frac{n}{2}+1} = f_2^{\frac{n+2}{2}} D_Y f_2^{-\frac{-n}{2}}$  by (14). So  $(-1)^{n_1} D_\alpha^* (f_2^{\frac{n}{2}} \theta_r^{S^{-1}}) = f_2^{\frac{n+2}{2}} D_Y (\theta_r^{S^{-1}}) = 0$ ,  $0 \leq r < n_1$ . Therefore

$$J(S, \rho) = \int_{\substack{F_{n_1} \\ |X| \geq 1}} \left\{ f_2 \theta_{n_1}^S + f_2^{-\rho} |S|^{-\frac{1}{2}n_1} L^\alpha (f_2^{\frac{1}{2}n} \theta_{n_1}^{S^{-1}}) \right\} d\mu.$$

The functional equation  $J(S, \rho) = |S|^{-\frac{1}{2}n_1} J(S^{-1}, \frac{1}{2}n - \rho)$  will follow immediately from the convergence of the above integral for all  $\rho$ , since  $L\theta_{n_1}^S = f_2^{-\frac{1}{2}n} L^\alpha (f_2^{\frac{1}{2}n} \theta_{n_1}^S)$ .

The convergence of  $J(S, \rho)$  is easy. For we may assume  $Re \sigma$  is arbitrarily large in the function  $f_2^\sigma(X) = |X|^\sigma$ , since this only increases the function when  $|X| \geq 1$ . Here we use also the fact that  $Z_{n_1}(S, \rho)$  converges for  $Re \rho > \frac{n}{2}$ , to complete the proof that  $J(S, \rho)$  converges for all  $\rho$ . In fact we obtain the inequality (which we shall use in the proof of Theorem 3.3)

$$J(S, \rho) \leq g(|\rho| + n) \int_{F_{n_1}} f_2^{|\rho|+n} \theta_{n_1}^S d\mu + |S|^{-\frac{1}{2}n_1} g'(-(|\rho| + n)) \int_{F_{n_1}} f_2^{|\rho|+n} \theta_{n_1}^{S^{-1}} d\mu,$$

where  $Lf_2^{-\rho} = g'(\rho)f_2^{-\rho}$ . Actually  $g(\rho) = g'(\rho)$ , as we shall see.

Next we compute  $g(\rho)$  and  $g'(\rho)$ . Suppose  $D_1^*|Y|^\rho = h(\rho)|Y|^\rho (-1)^{n_1}$ . Then

$$\begin{aligned} (-1)^{n_1} h(\rho) \int_{F_{n_1}} e^{-\sigma(Y)} |Y|^\rho d\mu &= \int_{F_{n_1}} e^{-\sigma(Y)} D_1^* |Y|^\rho d\mu \\ &= \int_{F_{n_1}} (D_1 e^{-\sigma(Y)}) |Y|^\rho d\mu = (-1)^{n_1} \int_{F_{n_1}} |Y| e^{-\sigma(Y)} |Y|^\rho d\mu. \end{aligned}$$

It is clear that

$$h(\rho) = \frac{(-1)^{n_1} \int_{F_{n_1}} e^{-\sigma(Y)} |Y|^{1+\rho} d\mu}{(-1)^{n_1} \int_{F_{n_1}} e^{-\sigma(Y)} |Y|^\rho d\mu} = \frac{\prod_{i=0}^{n_1-1} \Gamma(1 + \rho - \frac{i}{2})}{\prod_{i=0}^{n_1-1} \Gamma(\rho - \frac{i}{2})} = \prod_{i=0}^{n_1-1} \left( \rho - \frac{i}{2} \right).$$

So  $|Y|^{\frac{n_1+1}{2}} D_Y |Y|^{1-\frac{n_1+1}{2}+\rho} = h(\rho)|Y|^\rho$  and  $D_Y |Y|^\rho = h\left(\rho + \frac{n_1-1}{2}\right) |Y|^{\rho-1} = k(\rho)|Y|^{\rho-1}$ . It follows that  $k(\rho) = \prod_{i=1}^{n_1} \left(\rho + \frac{n_1-i}{2}\right)$  and  $g(\rho) = k\left(\rho - \frac{n}{2}\right) \times k\left(\rho - \frac{n_1-1}{2}\right)$ ,  $g'(\rho) = k\left(-\rho + \frac{1}{2}(n - n_1 + 1)\right)k(-\rho) = g\left(\frac{n}{2} - \rho\right) = g(\rho)$ .

This completes the proof.

It will be necessary later to compute the residue of Koecher's zeta function at  $\frac{n}{2}$ .

THEOREM 1.3. 
$$\operatorname{Res}_{\rho=\frac{n}{2}} Z_{n_1}(S^{(n)}, \rho) = \frac{1}{2} \pi^{\frac{1}{2}(1+nm_1-n_1^2)} |S|^{\frac{-n_1}{2}} \times \prod_{k=2}^{n_1} \zeta(k) \Gamma\left(\frac{k}{2}\right)^{n_1-1} \prod_{j=0}^{n_1-1} \Gamma\left(\frac{n-j}{2}\right)^{-1}$$

*Proof.* The method of Siegel [8], volume III, pages 328 to 333, can be modified to show this result. One needs also formula (3.18) of Koecher [3], page 14. Siegel's proof is for  $n = n_1$ , so some work is required to obtain the result. For this, see [9], pages 82-91.

§ 2. Selberg's Zeta Function.

We are considering  $\zeta_{(n)}(S^{(n)}, \rho)$  defined by (3). This is the case  $r = n$  of (6). Since the results of this section have been stated by many authors (Selberg [6], Maass [4], and Godement in a 1962 lecture at Johns Hopkins University), we shall be brief. We must note some details of the proofs for later use.

Define  $\mathfrak{B}(n_1, \dots, n_r) = \left\{ U : U \in \mathfrak{u}_n, U = \begin{pmatrix} U_1^{(n_1)} & & * \\ & \cdot & \\ 0 & & U_r^{(n_r)} \end{pmatrix} \right\}$ .

LEMMA 2.1. (A Decomposition for  $\zeta_{(n)}$  with respect to  $\mathfrak{B}_i^* = \mathfrak{B}(1, \dots, 1, \frac{2}{i}, 1, \dots, 1)$ )

$$\zeta_{(n)}(S, \rho) = \sum_{V \in \mathfrak{u}_n / \mathfrak{B}_i^*} \prod_{\substack{j=1 \\ j \neq i}}^{n-1} |S[V_j]|^{-\rho_j} \zeta_{(2)}(T, \rho_i).$$

Here  $V^{(n)} = (V^{(n,j)*})$ ,  $V_{i+1} = (V_{i-1}V'_{i-1})$ , and  $T = |S[V_{i-1}]| \{S[V'_{i-1}] - (S[V_{i-1}])^{-1} [{}^tV_{i-1}SV'_{i-1}]\}$ . Also

$$\begin{aligned} |T| &= |S[V_{i-1}]| |S[V_{i+1}]| \text{ for } i \neq 1, n-1, \\ |T| &= |S[V_2]| \text{ if } i = 1, \text{ and} \\ |T| &= |S| |S[V_{n-1}]| \text{ if } i = n-1. \end{aligned}$$

*Proof.* Note that  $\mathfrak{u}_n \supset \mathfrak{B}_i^* \supset \mathfrak{B}_{(n)} = \mathfrak{B}(1, \dots, 1)$ . Therefore any  $U \in \mathfrak{u}_n / \mathfrak{B}_{(n)}$  may be written uniquely as  $U = VW$ ,  $V \in \mathfrak{u}_n / \mathfrak{B}_i^*$ ,  $W \in \mathfrak{B}_i^* / \mathfrak{B}_{(n)}$ . And we can take



$$W = \begin{pmatrix} I^{(i-1)} & 0 & 0 \\ 0 & W^* & 0 \\ 0 & 0 & I^{(n-1-i)} \end{pmatrix} \text{ with } W^* \in \mathcal{U}_2/\mathfrak{P}_{(2)}, \mathfrak{P}_{(2)} = \mathfrak{P}(1, 1).$$

Now we have  $|S[VW_j]| = |S[V_j]|$  for  $j \neq i$ . And  $|S[VW_i]| = |T[W_i^*]|$ . This proves the lemma.

The analytic continuation and functional equation of  $\zeta_{(2)}(T, \rho_i)$  (an Epstein zeta function) can be used to derive the same for  $\zeta_{(n)}$ . One obtains the functional equations (4) and formula (7) immediately (after an argument on the convergence of the series, which we omit here, as we must generalize it in § 3).

In order to complete the analytic continuation with this approach it is convenient (and not surprising, considering the integral formula of Harish-Chandra, stated in [1], proposition 6.8, page 428) to introduce new variables  $z$ , with  $\rho_i = z_{i+1} - z_i + \frac{1}{2}$ . One uses (4) to show that for  $\zeta'_{(n)}(z) = \zeta_{(n)}(\rho(z))$  we have

$$(19) \quad \mathcal{E}(z) = |S|^{z_n - \frac{1}{2}} \pi^{-2 \sum_{j=1}^{n-1} j z_j} \prod_{1 \leq i < j \leq n} \Gamma\left(z_j - z_i + \frac{1}{2}\right) \zeta\left(2\left(z_j - z_i + \frac{1}{2}\right)\right) \zeta'_{(n)}(z)$$

is invariant under all permutations of  $z_1, z_2, \dots, z_n$ .

One obtains the analyticity of  $\prod_{1 \leq i < j \leq n} \left(z_j - z_i - \frac{1}{2}\right) \zeta'_{(n)}(z)$  in  $\mathcal{A}^* = \{z \in \mathbb{C}^n : \text{there is a permutation } \sigma \text{ such that } \text{Re}(z_{\sigma(j+1)} - z_{\sigma(j)}) > n, j = 2, 3, \dots, n\}$ . The region  $\mathcal{A}^*$  is a connected tube and its convex hull is  $\mathbb{C}^n$  because it is fairly easy to see that it contains  $n$  independent lines. Applying Theorem 2.5.10 of Hörmander [2] one obtains the result that  $\zeta_{(n)}$  can be continued to  $\mathbb{C}^{n-1}$ . For more details of the above arguments see § 3 of [9].

**§ 3. The General Decomposition of the Zeta Function  $\zeta_{n_1, \dots, n_r}$  Corresponding to  $\mathfrak{P} = \mathfrak{P}(n_1, \dots, n_r)$  with respect to  $\mathfrak{P}^* = \mathfrak{P}(m_1, \dots, m_l) \supset \mathfrak{P}$ .**

We first show the convergence of  $\zeta_{n_1, \dots, n_r}(S^{(n)}, \rho_1, \dots, \rho_{r-1}) = \zeta(S, \rho)$  for  $\text{Re } \rho_i > \frac{n}{2}, i = 1, 2, \dots, r-1$ . This results from the following theorem, since  $Z_m(S^{(n)}, \rho)$  converges whenever  $\text{Re } \rho > \frac{n}{2}$ , as Koecher proves in [3], page 7. (Koecher bounds  $Z_m(S^{(n)}, \rho)$  by  $c^\rho (Z_1(S, \rho))^m$ ,  $c$  being a positive constant. Now the Epstein zeta function  $Z_1(S, \rho)$  converges for  $\text{Re } \rho > \frac{n}{2}$  using methods like those of Hecke for the Dedekind zeta function).

**THEOREM 3.1.** (Convergence of the Zeta Function for Arbitrary  $r$ ). For real  $\rho_i$ ,

$$\zeta_{n_1, \dots, n_r}(S^{(n)}, \rho_1, \dots, \rho_{r-1}) \leq \prod_{i=1}^{r-1} \zeta_{N_i, n-N_i}(S, \rho_i).$$

Here  $n = \sum_{j=1}^r n_j$  and  $N_i = \sum_{j=1}^i n_j$ .

*Proof.* Define a map  $f: \mathfrak{U}_n/\mathfrak{P}(n_1, \dots, n_r) \rightarrow \prod_{i=1}^{r-1} \mathfrak{U}_{n, N_i}/\mathfrak{U}_{N_i}$ , where  $\mathfrak{U}_{n, N_i} = \{V^{(n, N_i)}: V \text{ primitive}\}$ . The map  $f$  is defined as follows. Let  $U \in \mathfrak{U}_n/\mathfrak{P}(n_1, \dots, n_r)$  and  $U = (U_i^{(n, N_i)})_*$ . Suppose  $U_i = V_i B_i$ , with  $B_i$  the greatest right divisor of  $U_i$  and  $V_i$  primitive. (The notion of greatest right divisor and primitive is defined in Siegel [8], volume I, pp. 331, 332). Define  $f(U) = (V_1, \dots, V_{r-1})$ . The map is well-defined.

The map is shown to be one-to-one by an induction process. Suppose  $f(U) = f(U')$ , where  $U_i = V_i B_i$  and  $U'_i = V'_i B'_i$  as above. Then  $U_1 B_1^{-1} B'_1 = U'_1$ . Let  $R_1 = B_1^{-1} B'_1 \in \mathfrak{U}_{N_1}$ . In general let  $R_i = B_i^{-1} B'_i$ . We assume as the induction hypothesis that  $R_i \in \mathfrak{P}(n_1, \dots, n_i)$ . Then  $U_{i+1} \begin{pmatrix} R_i & 0 \\ 0 & I^{(n_{i+1})} \end{pmatrix}$  and  $U'_{i+1}$  have the same first  $N_i$  columns. So  $B_{i+1} \begin{pmatrix} R_i & 0 \\ 0 & I^{(n_{i+1})} \end{pmatrix}$  and  $B'_{i+1}$  have the same first  $N_i$  columns. (Here take  $B_r = U$ ,  $B'_r = U'$ ). Therefore  $R_{i+1} = (B_{i+1})^{-1} B'_{i+1} \in \mathfrak{P}(n_1, \dots, n_{i+1})$ . The result follows that  $U^{-1} U' \in \mathfrak{P}(n_1, \dots, n_r)$ ,  $U = U'$ , and  $f$  is one-to-one.

Now that we have the convergence of the zeta function for arbitrary  $r$ , we generalize Lemma 2.1.

**THEOREM 3.2.** Suppose  $\mathfrak{P} = \mathfrak{P}(n_1, \dots, n_r) \subset \mathfrak{P}^* = \mathfrak{P}(m_1, \dots, m_i)$ . Let  $N_{i,j} = \sum_{k=1}^{i_j} n_k = M_j = \sum_{k=1}^j m_k$ . Then we have the following representation:

$$\begin{aligned} & \zeta_{n_1, \dots, n_r}(S, \rho_{N_1}, \dots, \rho_{N_{r-1}}) \\ &= \sum_{V \in \mathfrak{U}_n/\mathfrak{P}^*} \prod_{j=1}^{\lambda} |S[V_{j-1}]|^{-\sum_{k=i_{j-1}}^{i_j-1} \rho_{N_k}} \zeta_{n_{i_{j-1}+1}, \dots, n_{i_j}}(T_j, \rho_{N_{i_{j-1}+1}}, \dots, \rho_{N_{i_j-1}}). \end{aligned}$$

Here  $V = (V_j^{(n, M_j)})_*$ , and  $T_j = \{S - (S[V_{j-1}]^{-1} [{}^t V_{j-1} S]) [Y_{j-1}], \text{ if } V_j = (V_{j-1} Y_{j-1})$ . Note that  $|T_j| = |S[V_j]| / |S[V_{j-1}]|$ .

*Proof.* Write  $U \in \mathbb{U}/\mathfrak{k}$  in the form  $U = VW$ ,  $V \in \mathbb{U}/\mathfrak{P}^*$ ,  $W \in \mathfrak{P}^*/\mathfrak{P}$ . Then  $W = \begin{pmatrix} W_1 & & 0 \\ & \cdot & \\ 0 & & W_l \end{pmatrix}$ ,  $W_j \in \mathbb{U}_{m_j}/\mathfrak{P}(n_{i_{j-1}+1}, \dots, n_{i_j})$ . Now if  $i_{j-1} < k \leq i_j$ , we can write as before:

$$|S[VW_{N_k}]| = \left| S \left[ (V_{j-1}Y_{j-1}) \begin{pmatrix} Z_{j-1}^{(M_{j-1})} & 0 \\ 0 & Q_{j-1}^{(m_j, N_k - M_{j-1})} \end{pmatrix} \right] \right|$$

where  $W = \begin{pmatrix} Z & 0 & 0 \\ 0 & Q & 0 \\ 0 & 0 & * \end{pmatrix}$ . It follows that

$$\begin{aligned} |S[VW_{N_k}]| &= |S[(V_{j-1}Z_{j-1}, Y_{j-1}Q_{j-1})]| \\ &= |S[V]| |S[YQ] - (S[VZ])^{-1} [{}^t(VZ)SYQ]| \\ &= |S[V]| |\{S - (S[V])^{-1} [{}^tVS]\} [YQ]| \\ &= |S[V_{j-1}]| |T_j[Q_{j-1}]|. \end{aligned}$$

This proves the theorem.

Next we generalize formula (7).

**THEOREM 3.3.**  $\zeta_{n_1, \dots, n_r}(S, \rho_{N_1}, \dots, \rho_{N_r})|_{\rho_{N_k}=0, k \neq i_j} = \zeta_{m_1, \dots, m_r}(S, \rho_{M_1}, \dots, \rho_{M_r})$ , where  $N_{i_j} = M_j$ . (Here we use the notation of Theorem 3.2).

*Proof.* We proceed by induction on  $r$ . The case  $r = 2$  is needed in the induction step, so we shall prove it in detail. That is, we shall show that  $\zeta_{n_1, n_2}(T, 0) = 1$ . This is equivalent (by Lemma 1.1) to the proof that  $Z_{n_1}(T^{(n)}, 0) = \prod_{k=0}^{n_1-1} \zeta(-k)$ .

By Theorem 1.2,

$$\prod_{i=1}^{n_1} \Gamma\left(\rho - \frac{i-1}{2}\right) \pi^{-n_1 \rho} Z_{n_1}(S, \rho) = |S|^{-\frac{n_1}{2}} \prod_{i=1}^{n_1} \Gamma\left(\frac{n+1-i}{2} - \rho\right) \pi^{-n_1\left(\frac{n}{2} - \rho\right)} Z_{n_1}\left(S^{-1}, \frac{n}{2} - \rho\right).$$

We take the residue at  $\rho = 0$  on both sides, recalling the facts:

$$\begin{aligned} \operatorname{Res}_{\rho=0} \Gamma(\rho) = 1 \text{ and } \operatorname{Res}_{\rho=0} Z_{n_1}\left(S^{-1}, \frac{n}{2} - \rho\right) &= -\operatorname{Res}_{\rho=\frac{n}{2}} Z_{n_1}(S^{-1}, \rho) \\ &= -\frac{1}{2} \pi^{\frac{1}{2}(-n_1^2 + n_1 n + 1)} |S|^{\frac{n_1}{2}} \prod_{k=2}^{n_1} \zeta(k) \Gamma\left(\frac{k}{2}\right) \prod_{i=1}^{n_1-1} \Gamma\left(\frac{n-i}{2}\right)^{-1}, \text{ by Theorem 1.3.} \end{aligned}$$

It follows that

$$Z_{n_1}(S, 0) = \frac{-\frac{1}{2}\pi^{-\frac{1}{2}(n_1-1)} \prod_{i=2}^{n_1} \zeta(i) \Gamma\left(\frac{i}{2}\right)}{\prod_{i=1}^{n_1-1} \Gamma\left(-\frac{i}{2}\right)}.$$

Let  $\phi(x) = \zeta(2x)\Gamma(x)$ . Then the functional equation of the Riemann zeta function is  $\phi(1-x) = \pi^{\frac{3}{2}-2x} \phi\left(x - \frac{1}{2}\right)$ . This means that  $\zeta(-y)\Gamma\left(-\frac{y}{2}\right) = \pi^{-y-\frac{1}{2}} \zeta(y+1)\Gamma\left(\frac{y+1}{2}\right)$ . Therefore

$$Z_{n_1}(S, 0) = \prod_{i=0}^{n_1-1} \zeta(-i).$$

This completes the case  $r = 2$ .

Now we proceed to the induction step. We suppose for convenience that  $i \neq 1$ . By Theorem 3.2 for  $\mathfrak{B}^* = \mathfrak{B}(n_1 + n_2, n_3, \dots, n_r)$  we have  $M_j = N_{j+1}$ ,  $j = 1, 2, \dots, r-1$ , and

$$\zeta_{n_1, \dots, n_r}(S, \rho_{N_1}, \dots, \rho_{N_{r-1}}) = \sum_{V \in \mathbb{U}_n/\mathfrak{B}^*} \zeta_{n_1, n_2}(T_1, \rho_{N_1}) \prod_{j=1}^{r-2} |S[V_j]|^{-\rho_{N_{j+1}}},$$

where  $V = (V_j^{(n, M_j^*)})$  and  $T_1 = S[V_1]$ .

Multiplying by the factor in Theorem 1.2 we obtain:

$$\begin{aligned} & 2\pi^{\frac{1}{4}(n_1^2-n_1)-n_1\rho_{N_1}} \left\{ \prod_{i=1}^{n_1} \left( \rho_{N_1} + \frac{i-1-n}{2} \right) \left( \rho_{N_1} - \frac{i-1}{2} \right) \right\} \phi\left(\rho_{N_1} - \frac{i-1}{2}\right) \zeta(S, \rho) \\ &= \sum_{V \in \mathbb{U}_n/\mathfrak{B}^*} J(T_1, \rho_{N_1}) \prod_{j=1}^{r-2} |S[V_j]|^{-\rho_{N_{j+1}}}, \\ & (\zeta(S, \rho) = \zeta_{n_1, \dots, n_r}(S, \rho_{N_1}, \dots, \rho_{N_{r-1}})). \end{aligned}$$

The theorem will follow from the case  $r = 2$  provided that we can show the convergence of this representation in a domain like  $n > Re \rho_{N_1} > -1$ ,  $Re \rho_{N_j} > L$ ,  $j = 2, \dots, r-1$ , for some sufficiently large  $L$ .

First one can show that there is a positive constant  $c$ , depending only on  $S, \rho$ , and  $n$ , such that

$$\begin{aligned} & \sum_{V \in \mathbb{U}/\mathfrak{B}^*} |J(S[V_1], \rho_{N_1})| \prod_{j=1}^{r-2} |S[V_j]|^{-\rho_{N_{j+1}}} \\ (20) \quad & < c \sum_{V \in \mathbb{U}/\mathfrak{B}^*} |J(I[V_1], \rho_{N_1})| \prod_{j=1}^{r-2} |I[V_j]|^{-\rho_{N_{j+1}}}. \end{aligned}$$

To prove this inequality, use the following facts.

a) If  $W^{(n)} = (w_{ij})$  is reduced and  $W_0 = \begin{pmatrix} w_1 & & 0 \\ & \ddots & \\ 0 & & w_n \end{pmatrix}$ , where  $w_{ii} = w_i$ , then there is a positive constant  $c$ , depending only on  $n$ , such that for all vectors  $x$

$$\frac{1}{c} W_0[x] \leq W[x] \leq c W_0[x] \quad \text{and} \quad \frac{1}{c} W_0^{-1}[x] \leq W^{-1}[x] \leq c W_0^{-1}[x].$$

b) By the proof of Theorem 1.2,  $J(S[V_1], \rho_{N_1})$  is a sum of 2 integrals. And  $(S[V_1])^{\pm 1}$  comes into these integrals by means of the trace and a determinant.

c) Recall that  $\sigma(W[Y]) = \sum_{k=1}^n W[y_k]$ , where  $Y = (y_1 y_2 \cdots y_n)$ . And  $W = S[V]$ , where  $V = (v_1 v_2 \cdots v_n)$ , implies that  $w_i = S[v_i]$ .

d) If  $W$  is reduced there exists a positive constant  $c$  depending only on  $n$  such that  $|W| \geq c w_1 w_2 \cdots w_n$ . And if  $W$  is positive then  $|W| \leq w_1 w_2 \cdots w_n$ .

e) To apply the previous, one assumes in the sums on the right hand side of (20) that  $S[V_j]$ ,  $j = 2, 3, \dots, r - 2$  are reduced and that  $S[V_1]$  or  $(S[V_1])^{-1}$  is reduced. In the sums on the left hand side one assumes that  $I[V_1]$  or  $(I[V_1])^{-1}$  is reduced.

These facts and a little computation suffice to prove (20).

To show convergence we may assume  $S = I$ , the identity. Then  $T = T_1 = I[V_1]$  is integral. From the proof of Theorem 1.2 it follows that for  $n > \text{Re } \rho > -1$ ,

$$|J(T, \rho)| \leq g(n) \int_{F_{n_1}} |X|^n \theta_{n_1}^T d\mu + |g(n)| |T|^{-\frac{1}{2}n_1} \int_{F_{n_1}} |X|^n \theta_{n_1}^{T^{-1}} d\mu.$$

If  $T$  is a matrix with integer entries, the first integral is less than a bound independent of  $T$ . For  $\int_{F_{n_1}} |X|^n \theta_{n_1}^T d\mu \leq \xi(n) Z_{n_1}(T, n)$ , where  $\xi(n)$  is a product of  $\Gamma$ -functions, etc., and  $\xi(n)$  is independent of  $T$ . We may assume  $T$  to be Minkowski-reduced since  $Z_{n_1}(T[U], n) = Z_{n_1}(T, n)$  for  $U \in \mathfrak{U}_{n_1+n_2}$ . From Koecher [3], page 7, we have  $Z_{n_1}(T, n) \leq c Z_{1^{n_1+n_2-1}}(T, n)^{n_1}$ . And  $Z_1(T, n) = \frac{1}{2} \sum_{m \neq 0} T[m]^{-n} \leq c^n \sum T_0[m]^{-n} \leq c^n t_1^{-n} Z_1(I, n) \leq c^n Z_1(I, n)$ , a bound  $B$  independent of  $T$ , since  $t_1 \geq 1$ . (Here  $T_0$  is the diagonal matrix with the same entries

as the diagonal entries of  $T$  and  $T = \begin{pmatrix} t_1 & & * \\ & t_2 & \\ & & \ddots \\ * & & & t_n \end{pmatrix}$ .)

If  $T$  is integral, so is adjoint  $T = \text{adj } T$ . Thus we are able to use the same estimate for the second integral in the formula for  $J(T, \rho_{N_1})$ . We have

$$\begin{aligned} \int_{F_{n_1}} |X|^n \theta_{n_1}^{x-1} d\mu &= \int_{F_{n_1}} |X|^n \theta_{n_1}^{|T|^{-1} \text{adj } T} d\mu \\ &= |T|^n \int_{F_{n_1}} |X|^n \theta_{n_1}^{\text{adj } T} d\mu \leq |T|^n B, \end{aligned}$$

where  $B$  is a bound independent of  $T$ .

Therefore when  $n > \text{Re } \rho_{N_1} > -1$ , there is a positive constant  $B$  independent of  $T$  such that

$$|J(T, \rho_{N_1})| \leq |T|^n B.$$

It follows that for  $n > \text{Re } \rho_{N_1} > -1$ :

$$\begin{aligned} &\sum_{V \in \mathbb{U}_n / \mathbb{P}^*} |J(T, \rho_{N_1})|^{\gamma-2} \prod_{j=1}^{\gamma-2} |I[V_j]|^{-\rho_{N_{j+1}}} \\ &\leq B \sum_{V \in \mathbb{U}_n / \mathbb{P}^*} |I[V_1]|^n \prod_{j=1}^{\gamma-2} |I[V_j]|^{-\text{Re } \rho_{N_{j+1}}}, \\ &= B \zeta_{n_1+n_2, n_3, \dots, n_{\gamma-1}}(I, -n + \text{Re } \rho_{N_2}, \text{Re } \rho_{N_3}, \dots, \text{Re } \rho_{N_{\gamma-1}}), \end{aligned}$$

which converges for  $n > \text{Re } \rho_{N_1} > -1$  and  $\text{Re } \rho_{N_j} > \frac{3n}{2}$ ,  $j = 2, \dots, \gamma - 1$ . This finishes the proof.

**§ 4. Relations Between Koecher's Zeta Functions.**

There are two methods of obtaining relations between  $\zeta_{i, n-i}$  and  $\zeta_{n-i, i}$ . First we use (4) and the case  $\gamma = n$ ,  $\lambda = 2$  of (8). Then we use (5) and the same case of (8).

**THEOREM 4.1.** *Let  $F_n(\rho) = |S|^{-\rho_{n-1} + \frac{1}{2}} \pi^{\frac{n-1}{2} \sum_{j=1}^{n-1} \rho_j - \frac{(n-1)n}{2}} \times$*

$$\prod_{i=1}^{n-1} \frac{\phi\left(1 - \rho_{n-1} - \dots - \rho_i + \frac{n-1-i}{2}\right)}{\phi\left(\rho_{n-1} + \dots + \rho_i - \frac{n-1-i}{2}\right)}.$$

*Then  $\zeta_{i, n-i}(S, \rho) =$*

$\prod_{j=0}^{n-i-1} F_n(\rho^j)|_{\rho_j=0, j \neq i} \zeta_{n-i, i}(S, \frac{n}{2} - \rho_i)$ . Here  $\rho^\sigma = (\frac{n}{2} - \sum_{i=1}^{n-1} \rho_i, \rho_1, \rho_2, \dots, \rho_{n-2})$  and  $\phi(x) = \zeta(2x)\Gamma(x)$ .

*Proof.* If we apply the functional equations (4) in the order  $n-1, n-2, \dots, 2, 1$ , or if we use the invariance of formula (19) in §2 under  $\sigma = (n \ n-1) \dots (32) (21) = \begin{pmatrix} 1 & 2 & 3 & \dots & n-1 & n \\ n & 1 & 2 & \dots & n-2 & n-1 \end{pmatrix}$  we obtain:

$$\begin{aligned} & \pi^{-\sum_{j=1}^{n-1} j\rho_j + \frac{(n-1)(n-2)}{4}n-1} \prod_{i=1}^{n-1} \phi\left(\rho_{n-1} + \dots + \rho_i - \frac{n-1-i}{2}\right) \zeta_{(n)}(\rho) \\ &= |S|^{\frac{1}{2} - \rho_{n-1}} \pi^{\sum_{j=1}^{n-1} j\rho_j - \frac{1}{4}(n+2)(n-1)} \prod_{i=1}^{n-1} \phi\left(1 - \rho_{n-1} - \dots - \rho_i + \frac{n-1-i}{2}\right) \times \\ & \quad \zeta_{(n)}\left(\frac{n}{2} - \sum_{i=1}^{n-1} \rho_i, \rho_1, \dots, \rho_{n-2}\right). \end{aligned}$$

Therefore  $\zeta_{(n)}(\rho) = F_n(\rho)\zeta_{(n)}(\rho^\sigma)$ . And  $\zeta_{(n)}(\rho) = \prod_{j=0}^{i-1} F(\rho^{\sigma^j})\zeta_{(n)}(\rho^{\sigma^i})$ . It is clear that  $\rho^{\sigma^j} = (\rho_{n-(i-1)}, \dots, \rho_{n-1}, \frac{n}{2} - \sum_{j=1}^{n-1} \rho_j, \rho_1, \rho_2, \dots, \rho_{n-(i+1)})$ , where  $\rho_{n-i}$  is omitted. The result follows easily using (8). A small computation convinces one that  $\prod_{j=1}^{n-i-1} F(\rho^{\sigma^j})|_{\rho_k=0, k \neq i}$  makes sense.

**THEOREM 4.2.**  $\zeta_{i, n-i}(S^{-1}, \rho) = |S|^\rho \zeta_{n-i, i}(S, \rho)$ .

*Proof.* Use (8) and (5).

Theorems 4.1 and 4.2 combine to give once again the functional equation of Koecher's zeta function.

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