

A GENERALIZATION OF HILBERT'S THEOREM 94

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In this paper we shall prove the following theorem conjectured by Miyake in [3] (see also Jaulent [2]).

THEOREM. *Let k be a finite algebraic number field and K be an unramified abelian extension of k , then all ideals belonging to at least $[K:k]$ ideal classes of k become principal in K .*

Since the capitulation homomorphism is equivalently translated to a group-transfer of the galois group (see Miyake [3]), it is enough to prove the following group-theoretical version:

THEOREM (The group-theoretical version). *Let H be a finite group and N be a normal subgroup of H containing the commutator subgroup H^c of H . Then $[H:N]$ divides the order of the kernel of the group-transfer $V_{H \rightarrow N}: H^{ab} \rightarrow N^{ab}$.*

Hilbert's theorem 94 and the principal ideal theorem immediately follow from our theorem.

§1. Notations and two lemmas

For a group H , we denote the commutator group of H by H^c , and the augmentation ideal of the integral group algebra $\mathbf{Z}[H]$ by I_H . Put also

$$H^{ab} = H/H^c, \\ \mathrm{Tr}_H = \sum_{g \in H} g \in \mathbf{Z}[H],$$

and

$$A_H = \mathbf{Z}[H]/(\mathrm{Tr}_H).$$

For a $\mathbf{Z}[H]$ -module M , we denote the $\mathbf{Z}[H]$ -submodule consisting of all the H -invariant elements of M by M^H and the Pontrjagin dual of M by

M^\wedge . The $\mathbf{Z}[H]$ -module generated by $v_1, \dots, v_m \in M$ is denoted by $\langle v_1, \dots, v_m \rangle$. We denote the cardinality of a finite set S by $\#S$.

In this section we shall prove the following two lemmas:

LEMMA 1. *Let G be a finite abelian group and M be a monogenerated $\mathbf{Z}[G]$ -module of finite order. Then the order of $H^{-1}(G, M)$ divides the order of $H^0(G, M)$.*

Proof. For a natural number r , we define a standard perfect pairing on the group algebra over the quotient ring $\mathbf{Z}/r\mathbf{Z}$,

$$\mathbf{Z}/r\mathbf{Z}[G] \times \mathbf{Z}/r\mathbf{Z}[G] \longrightarrow \mathbf{Q}/\mathbf{Z}$$

by $(g, h) = 1/r \cdot \delta_{g,h}$ for $g, h \in G$. Then for $v, w, w' \in \mathbf{Z}/r\mathbf{Z}[G]$, we can see

$$(uw, w') = (w, \text{inv}(u) \cdot w'),$$

where $\text{inv}: \mathbf{Z}[G] \cong \mathbf{Z}[G]$ is the inverted isomorphism given by $\text{inv}(g) = g^{-1}$ for $g \in G$. Since $\mathbf{Z}/r\mathbf{Z}[G]$ is self-dual by this pairing, we have an injective homomorphism $i: M \hookrightarrow \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G]$, by taking the dual of a $\mathbf{Z}/r\mathbf{Z}[G]$ -presentation of rank m of M^\wedge for some natural numbers r and m ; here $\bigoplus^m \mathbf{Z}/r\mathbf{Z}[G]$ is a direct sum of m -copies of the algebra $\mathbf{Z}/r\mathbf{Z}[G]$. We define a perfect pairing

$$\bigoplus^m \mathbf{Z}/r\mathbf{Z}[G] \times \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G] \longrightarrow \mathbf{Q}/\mathbf{Z}$$

by

$$(w, w') = \sum_{i=1}^m (w_i, w'_i),$$

where

$$w = (w_1, \dots, w_m), \quad w' = (w'_1, \dots, w'_m) \in \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G].$$

Take a generator $v = (v_1, \dots, v_m) \in \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G]$ of M . Then for $w = (w_1, \dots, w_m) \in \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G]$ and $a \in \mathbf{Z}[G]$,

$$\begin{aligned} (av, w) &= 0 & (\forall a \in \mathbf{Z}[G]) \\ \iff ((av_1, \dots, av_m), (w_1, \dots, w_m)) &= 0 & (\forall a \in \mathbf{Z}[G]) \\ \iff \sum_{i=1}^m (av_i, w_i) &= 0 & (\forall a \in \mathbf{Z}[G]) \\ \iff (a, \sum_{i=1}^m \text{inv}(v_i) \cdot w_i) &= 0 & (\forall a \in \mathbf{Z}[G]) \\ \iff \sum_{i=1}^m \text{inv}(v_i) \cdot w_i &= 0. \end{aligned}$$

Hence the orthogonal M^\perp of M is given by

$$M^\perp = \text{Ker} (\text{inv} (v) \cdot : \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G] \longrightarrow \mathbf{Z}/r\mathbf{Z}[G]),$$

where $\text{inv} (v) \cdot$ is the homomorphism defined by

$$\text{inv} (v) \cdot w = \sum_{i=1}^m \text{inv} (v_i) \cdot w_i$$

for $w = (w_1, \dots, w_m) \in \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G]$. Then we have

$$M^\wedge \cong \text{Im} \text{inv} (v) \cdot ,$$

and

$$(M^\sigma)^\wedge \cong \text{Im} \text{inv} (v) \cdot / I_G \text{Im} \text{inv} (v) \cdot .$$

Since we have $\text{inv} (I_G) = I_G$, the isomorphism $\text{inv}: \mathbf{Z}[G] \cong \mathbf{Z}[G]$ induces an isomorphism

$$(M^\sigma)^\wedge \cong \text{Im} v \cdot / I_G \text{Im} v \cdot ,$$

where $v \cdot : \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G] \rightarrow \mathbf{Z}/r\mathbf{Z}[G]$ is the homomorphism given by

$$v \cdot w = \sum_{i=1}^m v_i \cdot w_i$$

for $w = (w_1, \dots, w_m) \in \bigoplus^m \mathbf{Z}/r\mathbf{Z}[G]$.

Put

$$q = {}^*\text{Im} v \cdot / I_G \text{Im} v \cdot .$$

Then we have

$$\begin{aligned} q &= {}^*\text{Im} v \cdot / I_G \text{Im} v \cdot \\ &= {}^*\text{Im} \text{inv} (v) \cdot / I_G \text{Im} \text{inv} (v) \cdot \\ &= {}^*(M^\sigma)^\wedge \\ &= {}^*M^\sigma . \end{aligned}$$

Now there exist two matrices $U \in \mathbf{M}(m, \mathbf{Z})$ and $J \in \mathbf{M}(m, I_G)$ such that

$$vU = vJ \quad \text{and} \quad \det U = q ,$$

because $\text{Im} v \cdot = \langle v_1, \dots, v_m \rangle = \mathbf{Z}v_1 + \dots + \mathbf{Z}v_m + I_G \text{Im} v \cdot$, and $I_G \text{Im} v \cdot = I_G v_1 + \dots + I_G v_m$. Therefore we have

$$\det (U - J)v = 0 \quad \text{in} \quad \bigoplus_{i=1}^m \mathbf{Z}/r\mathbf{Z}[G] .$$

This implies

$$q \cdot M/I_G M = 0,$$

because $\det(U - J) \equiv \det U \equiv q \pmod{I_G}$. Since $M = \mathbf{Z}[G]v = \mathbf{Z}v + I_G M$, the order of $M/I_G M$ divides $q = {}^*M^G$. Furthermore we have

$${}^*M/\text{Ker}(\text{Tr}_G: M \longrightarrow M) = {}^*\text{Tr}_G M,$$

because *M is finite. Therefore

$$\begin{aligned} {}^*H^0(G, M) &= q/{}^*\text{Tr}_G M \\ &= {}^*\text{Ker}(\text{Tr}_G: M \longrightarrow M)/I_G M \cdot q/{}^*M/I_G M \\ &= {}^*H^{-1}(G, M) \cdot q/{}^*M/I_G M \end{aligned}$$

is divisible by ${}^*H^{-1}(G, M)$.

LEMMA 2. *Let G be a finite abelian group, and put $n = {}^*G$ and $A_G = \mathbf{Z}[G]/(\text{Tr}_G)$. Then for any m -generated $\mathbf{Z}[G]$ -submodule Y of $\bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q}$, the order of $Y/I_G Y$ divides n^{m-1} .*

Proof. Let $\{y_1, \dots, y_m\}$ be a set of generators of Y . For each maximal ideal \mathfrak{m} of $A_G \otimes_{\mathbf{Z}} \mathbf{Q}$, take an element $c_{\mathfrak{m}} \in A_G \setminus \mathfrak{m}$ which belongs to all the other maximal ideals of $A_G \otimes_{\mathbf{Z}} \mathbf{Q}$. Then $c_{\mathfrak{m}}$ becomes 0 at every maximal ideal except \mathfrak{m} . If, for some \mathfrak{m} ,

$$\langle y_1, \dots, y_{m-1} \rangle \otimes_{\mathbf{Z}} \mathbf{Q}_{\mathfrak{m}} \neq (Y \otimes_{\mathbf{Z}} \mathbf{Q})_{\mathfrak{m}},$$

the $(A_G \otimes_{\mathbf{Z}} \mathbf{Q})_{\mathfrak{m}}$ -dimension of the space in the left hand is less than $m - 1$. If we take an omissible $(A_G \otimes_{\mathbf{Z}} \mathbf{Q})_{\mathfrak{m}}$ -generator and put $i = i(\mathfrak{m})$, then we have

$$\langle y_1, \dots, y_{i-1}, y_i + c_{\mathfrak{m}} y_m, y_{i+1}, \dots, y_{m-1} \rangle \otimes_{\mathbf{Z}} \mathbf{Q}_{\mathfrak{m}} = (Y \otimes_{\mathbf{Z}} \mathbf{Q})_{\mathfrak{m}},$$

and we may change the generator y_i to $y_i + c_{\mathfrak{m}} y_m$. Thus we may assume

$$\langle y_1, \dots, y_{m-1} \rangle \otimes_{\mathbf{Z}} \mathbf{Q}_{\mathfrak{m}} = (Y \otimes_{\mathbf{Z}} \mathbf{Q})_{\mathfrak{m}}$$

for every \mathfrak{m} , namely

$$\langle y_1, \dots, y_{m-1} \rangle \otimes_{\mathbf{Z}} \mathbf{Q} = Y \otimes_{\mathbf{Z}} \mathbf{Q}.$$

Let $\pi: \bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q} \rightarrow Y \otimes_{\mathbf{Z}} \mathbf{Q}$ be the $\mathbf{Z}[G]$ -homomorphism which maps the standard i -th generator $\bar{e}_i = (0, \dots, 0, 1, 0, \dots, 0)$ to y_i for every $i = 1, \dots, m - 1$. Take an element $y \in \bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q}$ such that $\pi(y) = y_m$, and put

$$Y' = \langle \bar{e}_1, \dots, \bar{e}_{m-1}, y \rangle \subseteq \bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q}.$$

Then $\pi(Y) = Y$ shows that the order ${}^*Y/I_G Y$ divides the order ${}^*Y'/I_G Y'$. Now taking Y' in place of Y , we may further assume that

$$y_i = \bar{e}_i$$

is the standard i -th generator of $\bigoplus^{m-1} A_G$ for each $i = 1, \dots, m - 1$, and the last element

$$y_m = y$$

is an arbitrary element of $\bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q}$. Now we may naturally identify $A_G \otimes_{\mathbf{Z}} \mathbf{Q}$ with the direct summand $I_G \otimes_{\mathbf{Z}} \mathbf{Q}$ of $\mathbf{Q}[G]$; its unit element is

$$e = 1 - 1/n \cdot \text{Tr}_G = \sum_{g \in G} -1/n \cdot (g - 1).$$

Let

$$\begin{aligned} \text{pr}: \bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q} &\longrightarrow \bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q} / \bigoplus^{m-1} I_G \\ &= \bigoplus^{m-1} I_G \otimes_{\mathbf{Z}} \mathbf{Q} / \mathbf{Z} \end{aligned}$$

be the natural projection. In a direct forward way, it is easy to see that

$$\left(\bigoplus^{m-1} I_G \otimes_{\mathbf{Z}} \mathbf{Q} / \mathbf{Z} \right)^G = \langle \text{pr}(\bar{e}_1), \dots, \text{pr}(\bar{e}_{m-1}) \rangle \cong \bigoplus^{m-1} \mathbf{Z} / n\mathbf{Z}.$$

In particular $I_G \langle \text{pr}(\bar{e}_1), \dots, \text{pr}(\bar{e}_{m-1}) \rangle = 0$. Let M be the $\mathbf{Z}[G]$ -submodule of $\bigoplus^{m-1} I_G \otimes_{\mathbf{Z}} \mathbf{Q} / \mathbf{Z}$ generated by the single element $\text{pr}(y)$. Then we have

$$\begin{aligned} {}^*Y/I_G Y &= {}^*\text{pr}(Y)/I_G \text{pr}(Y) \\ &= {}^*(M + \langle \text{pr}(\bar{e}_1), \dots, \text{pr}(\bar{e}_{m-1}) \rangle) / I_G M \\ &= {}^*(M + \left(\bigoplus^{m-1} I_G \otimes_{\mathbf{Z}} \mathbf{Q} / \mathbf{Z} \right)^G) / I_G M \\ &= {}^*M / I_G M \cdot {}^*(M + \left(\bigoplus^{m-1} I_G \otimes_{\mathbf{Z}} \mathbf{Q} / \mathbf{Z} \right)^G) / M \\ &= {}^*M / I_G M \cdot {}^*\left(\bigoplus^{m-1} I_G \otimes_{\mathbf{Z}} \mathbf{Q} / \mathbf{Z} \right)^G / {}^*M \cap \left(\bigoplus^{m-1} I_G \otimes_{\mathbf{Z}} \mathbf{Q} / \mathbf{Z} \right)^G \\ &= n^{m-1} \cdot {}^*H^{-1}(G, M) / {}^*H^0(G, M). \end{aligned}$$

Since M is a monogenerated $\mathbf{Z}[G]$ -module of finite order, Lemma 1 implies Lemma 2.

§2. Proof of the theorem

2.1. Put $G = H/N$. We may assume that G is an abelian p -group, for some rational prime number p . Put $n = {}^*G$.

Let $(f_{g,h})$ be a 2-cocycle in the cohomology class of the group extension

$$1 \longrightarrow N^{ab} \longrightarrow H/N^c \longrightarrow G \longrightarrow 1.$$

Let $\{x_g | g \in G \setminus \{1\}\}$ be a set of symbols parametrized by $G \setminus \{1\}$, and W be the $\mathbf{Z}[G]$ -module

$$N^{ab} \oplus \left(\bigoplus_{g \in G \setminus \{1\}} \mathbf{Z} \cdot x_g \right)$$

with group action

$$g \cdot x_h = x_{g \cdot h} - x_g + f_{g,h} \quad (g, h \in G).$$

Then we have an exact sequence

$$0 \longrightarrow N^{ab} \longrightarrow W \longrightarrow I_G \longrightarrow 0$$

by assigning $g - 1 \in I_G$ to x_g for $g \in G \setminus \{1\}$; furthermore we also have $W/I_G W \cong H^{ab}$; and the trace homomorphism $\text{Tr}_G: W/I_G W \rightarrow N^{ab}$ coincides with the group-transfer $V_{H \rightarrow N}: H^{ab} \rightarrow N^{ab}$ (see Artin-Tate [1] and Miyake [3], § 3, for example). Therefore it is enough to show ${}^*H^{-1}(G, W) \geq n$.

Let

$$H^{ab} = W/I_G W \cong \bigoplus_{i=1}^m \mathbf{Z}/q_i \mathbf{Z}$$

and take a $\mathbf{Z}[G]$ -homomorphism $\varphi: \bigoplus^m \mathbf{Z}[G] \rightarrow W$ which maps the i -th generator $e_i = (0, \dots, 0, 1, 0, \dots, 0)$ of $\bigoplus^m \mathbf{Z}[G]$ to a representative of the i -th generator $h_i = (0, \dots, 0, 1, 0, \dots, 0)$ of $\bigoplus_{i=1}^m \mathbf{Z}/q_i \mathbf{Z}$. Then we have a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Ker nat} \circ \varphi & \longrightarrow & \bigoplus^m \mathbf{Z}[G] & \xrightarrow{\text{nat} \circ \varphi} & I_G \\ & & \downarrow & & \downarrow \varphi & & \parallel \\ 0 & \longrightarrow & N^{ab} & \longrightarrow & W & \xrightarrow{\text{nat}} & I_G \longrightarrow 0 \end{array}$$

with exact rows. Moreover Nakayama's lemma shows that the localization of φ at (p) is surjective. Namely the cokernel of φ is a $\mathbf{Z}[G]$ -module of finite order s prime to p . Hence there exists an element $u_i \in \text{Ker } \varphi$ such that $u_i \equiv s \cdot q_i \cdot e_i \pmod{\bigoplus^m I_G}$ for each $i = 1, \dots, m$. Put $U = \langle u_1, \dots, u_m \rangle$, and denote the p -primary part of a finite $\mathbf{Z}[G]$ -module A by A_p in general. Then identifying by the isomorphism $(\bigoplus^m \mathbf{Z}[G]/(U + \bigoplus^m I_G))_p \cong (W/I_G W)_p$ induced by φ , we have

$$\begin{aligned} H^{-1}(G, \bigoplus^m \mathbf{Z}[G]/U) &= \text{Ker}(\text{Tr}_G: \bigoplus^m \mathbf{Z}[G]/(U + \bigoplus^m I_G) \longrightarrow \text{Ker} \text{nat} \circ \varphi/U)_p \\ &\subseteq \text{Ker}(\text{Tr}_G: W/I_G W \longrightarrow N^{ab})_p. \end{aligned}$$

Therefore it is enough to show $*H^{-1}(G, \bigoplus^m \mathbf{Z}[G]/U) \geq n = *G$. Put $\tau = \text{nat} \circ \varphi$, and $t_i = s \cdot q_i$ for each i .

2.2. The $\mathbf{Z}[G]$ -homomorphism $\tau: \bigoplus^m \mathbf{Z}[G] \rightarrow I_G$ has a finite cokernel. Therefore $I_G \text{Im } \tau$ is also of finite index in I_G . Since

$$0 \longrightarrow \text{Ker } \tau \cap \bigoplus^m I_G \longrightarrow \bigoplus^m I_G \longrightarrow I_G \text{Im } \tau \longrightarrow 0$$

is exact and $I_G \otimes_{\mathbf{Z}} \mathbf{Q} = A_G \otimes_{\mathbf{Z}} \mathbf{Q}$ is a finite direct sum of finite field extensions of \mathbf{Q} , we have

$$(2.2.1) \quad (\text{Ker } \tau \cap \bigoplus^m I_G) \otimes_{\mathbf{Z}} \mathbf{Q} \cong \bigoplus^{m-1} I_G \otimes_{\mathbf{Z}} \mathbf{Q} = \bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q}.$$

In particular Lemma 2 holds for $\text{Ker } \tau \cap \bigoplus^m I_G$ in place of $\bigoplus^{m-1} A_G \otimes_{\mathbf{Z}} \mathbf{Q}$.

We are now in the following situation.

(2.2.2) We may assume that there exist a natural number t_i and an element u_i of $\text{Ker } \tau$ such that $u_i \equiv t_i \cdot e_i \pmod{\bigoplus^m I_G}$ for each $i = 1, \dots, m$, where e_i is the standard i -th generator of $\bigoplus^m \mathbf{Z}[G]$. Put $U = \langle u_1, \dots, u_m \rangle$ and $W_0 = \bigoplus^m \mathbf{Z}[G]/U$.

Now it is enough to prove the following:

LEMMA 3. Under the situation (2.2.2), the order n of G divides the order of $H^{-1}(G, W_0)$.

Proof. Since we have

$$\begin{aligned} H^{-1}(G, W_0) &\cong H^0(G, U) \\ &\cong H^0(G, nU) \\ &\cong H^{-1}(G, \bigoplus^m \mathbf{Z}[G]/nU), \end{aligned}$$

we may take nU instead of U . In particular, we may assume that n divides t_i for every i . Put $d_i = t_i/n$.

The fact $\text{Tr}_G \equiv n \pmod{I_G}$ shows that $\text{Ker } \text{Tr}_G \cap W_0/I_G W_0 \subseteq {}_n(W_0/I_G W_0)$, where ${}_n A$ means the submodule consisting of all the elements of A of order dividing n . By the assumption $n|t_i$, ${}_n(W_0/I_G W_0)$ is isomorphic to $\bigoplus^m \mathbf{Z}/n\mathbf{Z}$ and generated by the elements $d_i \cdot e_i$; $i = 1, \dots, m$. Put $y_i = d_i \cdot \text{Tr}_G \cdot e_i - u_i$ for each $i = 1, \dots, m$, and let Y be the $\mathbf{Z}[G]$ -module generated by all the y_i . Then we have

$$Y = \langle y_1, \dots, y_m \rangle \subseteq \bigoplus^m I_G \cap \text{Ker } \tau,$$

and $I_G Y = I_G U$. By the choice of u_i , we also have

$$\begin{aligned} U/U \cap \bigoplus^m I_G &\cong U + \bigoplus^m I_G / \bigoplus^m I_G \\ &\cong \bigoplus^m \mathbf{Z} \cong U/I_G U. \end{aligned}$$

Therefore $U \cap \bigoplus^m I_G$ must coincide with $I_G U = I_G Y$, because $I_G U \subseteq U \cap \bigoplus^m I_G$. By the following identification

$$\begin{aligned} (\text{Ker } \tau \cap (U + \bigoplus^m I_G))/U &\cong \text{Ker } \tau \cap \bigoplus^m I_G / U \cap \bigoplus^m I_G \cap \text{Ker } \tau \\ &= (\text{Ker } \tau \cap \bigoplus^m I_G) / I_G Y, \end{aligned}$$

we have the commutative diagram

$$\begin{array}{ccc} {}_n(W_0/I_G W_0) & \xrightarrow{\text{Tr}_G} & (\text{Ker } \tau \cap (U + \bigoplus^m I_G))/U \hookrightarrow \text{Ker } \tau/U \\ \parallel & & \uparrow \cong \\ & & \text{Ker } \tau \cap \bigoplus^m I_G / I_G Y \\ & & \uparrow \\ \bigoplus^m \mathbf{Z}/n\mathbf{Z} & \xrightarrow{\eta} & Y/I_G Y, \end{array}$$

where η is the $\mathbf{Z}[G]$ -homomorphism which maps the standard i -th generator $(0, \dots, 0, 1, 0, \dots, 0)$ of $\bigoplus^m \mathbf{Z}/n\mathbf{Z}$ to $y_i \bmod I_G Y$. Then we have

$$\text{Ker}(\text{Tr}_G: W_0/I_G W_0 \longrightarrow \text{Ker } \tau/U) = \text{Ker } \eta.$$

Since Y is a m -generated submodule of $\text{Ker } \tau \cap \bigoplus^m I_G$, (2.2.1) shows that the order ${}^*Y/I_G Y$ divides n^{m-1} . Since we have

$$\begin{aligned} {}^*H^{-1}(G, W_0) &= {}^*\text{Ker } \eta \\ &= n^m / {}^*(Y/I_G Y), \end{aligned}$$

the order of $H^{-1}(G, W_0)$ is certainly divided by n .

Q.E.D.

Thus our theorem is also proved.

Remark. In the above proof, it is easy to see that there exists a finite group H such that ${}^*\text{Ker } V_{H \rightarrow N} = [H: N]$, if each q_i is divisible by n .

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