

A remark on transformation group with four orbit types

By

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Introduction

The object of this note is to prove the following

THEOREM. *Let G be a compact connected Lie group, locally isomorphic to $T^r \times G_1 \times G_2 \times \dots \times G_s$, where T^r is r -dimensional torus and each G_i is a simple compact connected Lie group of rank ≥ 5 . Then the fixed point set of any effective differentiable action of G on a euclidean space R^m with four orbit types is non-empty.*

The fixed point set of differentiable action of compact connected Lie group on euclidean spaces with two or three orbit types have been proved to be non-empty by BOREL ([1]) and HSIANG, W. C. ([2]). Our result is a direct consequence of the works of HSIANG, W. C. and HSIANG, W. Y. ([3], [4]).

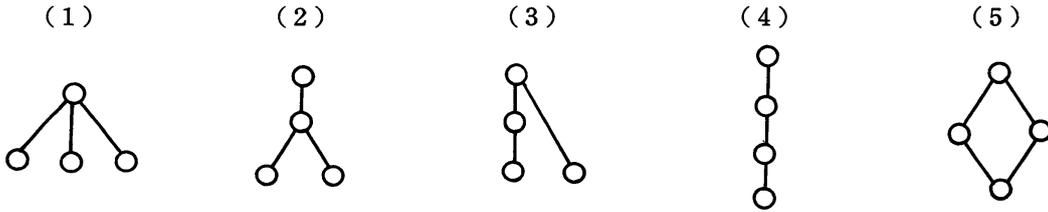
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1. Statement of results

Let G be a compact connected Lie group and f an effective differentiable action of G on R^m , i.e. $f: G \times R^m \rightarrow R^m$ is a differentiable mapping satisfying (1) $f(e, x) = x$ for every $x \in R^m$ (2) $f(g_1, f(g_2, x)) = f(g_1 g_2, x)$ for $g_i \in G, x \in R^m$ and (3) if $f(g, x) = x$ for every $x \in R^m$, then $g = e$. We write $f(g, x) = gx$.

In the first place, we consider the case where G is locally isomorphic to a product $G_1 \times G_2$ of two simple compact connected Lie groups G_i of rank ≥ 5 . Assume the number of orbit types of f is four. Then $G_1 \times G_2$ acts almost effectively on R^m with four orbit types. The set of all orbit types of a differentiable action is an ordered set (i.e. $(G_x) \leq (G_y)$ if every element of (G_x) is contained in some element of (G_y)). Hence we can define a graph for a differentiable action with finite orbit types as follows; points of the graph are orbit types and points a and b are jointed by a segment from a to b when $a < b$ and there is no point c such that $a < c < b$.

Then possible graphs of action with four orbit types are;



Consider the restricted action f_i of f to G_i . It is clear that the number of orbit types of f_i is at most four and hence principal isotropy subgroups of f_i are positive dimensional. Therefore a result in [2] shows that the fixed point set of f_i is non-empty. Choose $x_i \in F(G_i, R^m)$ (=the fixed point set of f_i) and fix them. By the following lemmas, it follows that the isotropy subgroups G_{x_1} and G_{x_2} are split, i.e. $G_{x_1} = G_1 \times G_{2,x_1}$ and $G_{x_2} = G_{1,x_2} \times G_2$.

LEMMA 1. *Let $G = G_1 \times G_2$ and \bar{G} be a subgroup of G which contains G_1 . Then $\bar{G} = G_1 \times K_2$, where K_2 is a subgroup of G_2 .*

LEMMA 2. *Let f be a differentiable action of $G_1 \times G_2$. If $G_x = K_1 \times K_2$, where K_i is subgroup of G_i , then $G_x = G_{1,x} \times G_{2,x}$.*

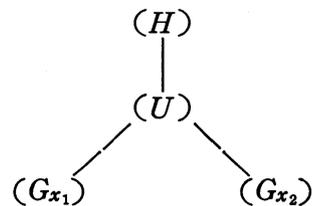
We shall show that the fixed point set of f is non-empty. It is sufficient to consider the case where $(G_{x_i}) \neq (G_{x_{3-i}})$ ($i=1, 2$) and none of (G_{x_i}) is principal. Therefore the four orbit types are; (H) (=principal), (U) , (G_{x_1}) and (G_{x_2}) . Since the fixed point set of actions of graph (4) and (5) is non-empty, it suffices to consider the cases (1), (2) and (3).

The case (1). Consider the slice representation f_{i,x_i} . By a result of Borel ([1]) and the following proposition, it follows that a principal isotropy subgroup H is split.

PROPOSITION 1. *Let $G = G_1 \times G_2$, where each G_i is a simple compact connected Lie group with rank ≥ 5 and H is a closed subgroup of G . Assume that G/H is a positive dimensional sphere. Then $H = G_1 \times H_2$ or $H_1 \times G_2$ and G_1/H_1 or G_2/H_2 is equal to G/H , respectively. Moreover principal isotropy subgroups are conjugate to $H_1 \times H_2$, where G_1/H_1 and G_2/H_2 are spheres.*

Consider the induced action of G_2 on $X = F(G_1, R^m)$. Since $F(G, R^m)$ is empty, G_2 acts on X with only one orbit type (G_{2,x_1}) such that $G_2/G_{2,x_1}$ is sphere. The Z_2 -Gysin sequence of fibering $G_2/G_{2,x_1} \rightarrow X \rightarrow X'$ induces a contradiction. Thus the graph (1) is impossible.

The case (2). In this case, we may assume the graph is; consider the induced action of G_2 on $X = F(G_1, R^m)$. It is clear that this action has only one orbit type and hence the following proposition, which is proved by similar arguments in the case (1), implies that $F(G_2, X)$ is non-empty. This is a contradiction.



PROPOSITION 2. *Let X be a Z_2 -acyclic manifold and f be a differentiable action of a connected Lie group G on X with only one orbit type. Then G acts trivially on X .*

The case (3). The same arguments as in the case (2) show that this case is also impossible.

Thus we have proved that any almost effective differentiable action of $G_1 \times G_2$, where G_i 's are simple compact connected Lie groups with rank ≥ 5 has fixed points.

We shall investigate the acyclicity of the fixed points set. Let $X = F(G, R^m)$ and $X_i = F(G_i, R^m)$. Consider the restricted action \bar{f}_i of G_i on X_{3-i} ($i=1, 2$). When one of \bar{f}_i 's has only one orbit type, say \bar{f}_1 , proposition 2 implies that $X = X_2$. Hence X is Z_2 -acyclic. Assume that both \bar{f}_1 and \bar{f}_2 have at least two orbit types. Then it is clear that both \bar{f}_1 and \bar{f}_2 must have two orbit types and hence both f_1 and f_2 have two orbit types.

PROPOSITION 3. *Let G be a simple compact connected Lie group of rank ≥ 5 and f be differentiable action of G on R^m with two orbit types. Then G is a classical Lie group and all isotropy subgroups are conjugate to standardly embedded subgroup.*

From this proposition, we can prove the following

PROPOSITION 4. *Let $G_1 \times G_2$ act almost effectively on R^m with four orbit types. Then the fixed point set is Z_2 -acyclic.*

Summing up above arguments, we have proved the following

THEOREM 1. *Let G be a compact connected Lie group, locally isomorphic to $G_1 \times G_2$, where each G_i is a simple compact connected Lie group of rank ≥ 5 , and f be an almost effective differentiable action of G on R^m with four orbit types. Then the fixed point set of f is Z_2 -acyclic.*

Next we shall consider the case G is locally isomorphic to $G_1 \times G_2$, where G_1 is a semi-simple compact connected Lie group and G_2 is a simple compact connected Lie group of rank ≥ 5 . Let f be an effective differentiable action of G on R^m with four orbit types. Assume that the fixed point set of the restricted action of f to G_1 is Z_2 -acyclic. By the same arguments used in the proof of Theorem 1, we can prove the fixed point set of f is Z_2 -acyclic. By the induction on the number of simple factors of G , we can prove the following.

THEOREM 2. *Let G be a semi-simple compact connected Lie group, locally isomorphic to $G_1 \times \dots \times G_s$, where each G_i is simple of rank ≥ 5 , and f be an effective differentiable action of G on R^m with four orbit types. Then the fixed point set of f is Z_2 -acyclic.*

Every compact connected Lie group G is locally isomorphic to $T^r \times G_1 \times \dots \times G_s$, where T^r is r -dimensional torus and each G_i is a simple compact connected Lie group. From theorem 2 and Smith's theorem, it follows immediately that the fixed point set of any effective differentiable action of G on R^m with four orbit types is Z_2 -acyclic. This completes the proof of the theorem mentioned in Introduction.

2. Proof of lemmas and propositions

Proof of Lemma 1. Note that G_1 is a normal subgroup of \bar{G} . Define a map $p: \bar{G}/G_1 \rightarrow G_2$ by $p(gG)$, where $p_2: G \rightarrow G_2$ is the projection. Then p is a well defined homomorphism and the following diagram is commutative;

$$\begin{array}{ccccccc}
 1 & \longrightarrow & G_1 & \longrightarrow & \overline{G} & \longrightarrow & \overline{G}/G_1 \longrightarrow 1 \\
 & & \parallel & & \downarrow & & \downarrow p \\
 1 & \longrightarrow & G_1 & \longrightarrow & G & \xrightarrow{p_2} & G_2 \longrightarrow 1.
 \end{array}$$

Hence p is injective. Put $K_2 =$ the image of p . Then K_2 is a subgroup of G_2 . Define a map $h : G_1 \times K_2 \rightarrow \overline{G}$ by $h(g_1, p(g)) = (g_1, p_2(g))$. Then it is clear that h is a well defined isomorphism.

We omit the proof of lemma 2 since it is elementary.

Proof of Proposition 1. It is known that a compact connected Lie group which acts transitively and effectively on a sphere is one of the followings; classical groups, exceptional group of rank 2, $K \times L/N$, where K is classical, $L = (e)$, $SO(2)$ or $Sp(1)$ and N is a finite group ([5]). Hence $G = G_1 \times G_2$, where each G_i is simple, cannot act on the sphere effectively and the ineffective kernel W is not a finite group. Therefore W contains G_1 or G_2 and hence H must contain G_1 or G_2 . Then $H = G_1 \times H_2$ or $H_1 \times G_2$, where H_i is a subgroup of G_i .

Proof of proposition 3. Choose $x \in F(G \text{ R}^m)$ and consider the local representation f_x at x . By a result in [1], the non-trivial orbits are spheres. Since G is simple of rank ≥ 5 , G is $SU(n)$, $Sp(n)$ or $SO(n)$ and non-trivial isotropy subgroups are conjugate to standardly embedded subgroups $SU(k)$, $Sp(k)$ or $SO(k)$ respectively (cf. [3], [4]).

Proof of Proposition 4. It suffices to prove that if a classical Lie group G acts on Z_2 -acyclic manifold X with two orbit types and standardly embedded subgroups as non-trivial isotropy subgroups, then the fixed point set is also Z_2 -acyclic. Since the proofs for the four cases of $Su(n)$, $Sp(n)$ and $SO(n)$ are almost parallel, we shall only prove the $SO(n)$ case. First consider the case of $SO(2k)$. By assumption, all isotropy subgroups are conjugate to $SO(2k)$ or $SO(2k-1)$. Let T be a maximal torus and $F = F(T, X)$. It is known that F is Z_2 -acyclic manifold. It is easy to see that $F(SO(2k), X) = F$. Next consider the case of $SO(2k+1)$. Let $(Z_2)^{2k}$ be a Z_2 -maximal torus of $SO(2k+1)$. It is not difficult to see that $F(SO(2k+1), X) = F((Z_2)^{2k}, X)$, which is Z_2 -acyclic by Smith's theorem.

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