# The Variational Problem and Background Fields in Renormalization Group Method for Lattice Gauge Theories\*

## T. Balaban\*\*

Department of Mathematics, The University of Michigan, Ann Arbor, MI 48109, USA

**Abstract.** We consider the action of a lattice gauge theory on a space of regular gauge field configurations with fixed averages, and we prove that there exists a minimum of this action. The minimum is unique up to gauge transformations. This minimal configuration is called a background field, and it serves as a basis of an expansion and perturbative methods.

It was explained in [1] that the fundamental step in our renormalization group approach is to find solutions of the variational problem and to investigate their regularity properties and expansions. Let us state the problem precisely. To formulate it we recall some definitions introduced in [3, 4, 6]. This paper is based on the results of those papers, and we refer the reader to them for more detailed explanations of the definitions and the results.

At first let us recall the geometric setting. We assume that a sequence of domains  $\Omega_j$ , j=0, 1, ..., k, is given, satisfying the following conditions:  $\Omega_j \subset T_\eta$ ,  $\Omega_0 \supset \Omega_1$  $\supset \cdots \supset \Omega_k$ ,  $\Omega_j$  is a union of big block of the size  $M_1 L^j \eta$ ,

$$(L^{j}\eta)^{-1}\operatorname{dist}(\Omega_{i}^{c},\Omega_{i+1}) > RM_{1}, \qquad (1)$$

where  $R \ge R_1$ , the numbers  $R_1$ ,  $M_1$  are fixed in such a way that all the results of [3, 5, 6] hold for these numbers. We identify domains  $\Omega_j$  with sets of bonds or plaquettes in the usual way, as sets of bonds with at least one end-point belonging to  $\Omega_j$ , or sets of plaquettes with at least one corner belonging to  $\Omega_j$ . This remark applies to other sets also. The sets  $\Lambda_j$  and  $\mathfrak{B}_k$  are defined as

$$\begin{split} \Lambda_{j} &= \Omega_{j}^{(j)} \backslash \Omega_{j+1}^{(j)}, \quad \Omega_{k+1} = \emptyset, \quad \text{or} \quad B^{j}(\Lambda_{j}) = \Omega_{j} \backslash \Omega_{j+1}, \\ \mathfrak{B}_{k} &= \bigcup_{j=0}^{k} \Lambda_{j}. \end{split}$$

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<sup>\*\*</sup> Postal address: Department of Physics, Harvard University, Cambridge, MA 02138, USA

The space  $\mathfrak{U}_k({\Omega_j}, \varepsilon_0)$  of gauge field configuration on configurations on  $\Omega_0$  was defined in Sect. A of [6] by the conditions

$$|U(\partial p) - 1| = |(\partial U)(p) - 1| < \varepsilon_0 L^{-2j} = \varepsilon_0 \eta^2 (L^j \eta)^{-2} \quad \text{for} \quad p \in \Omega_j, \quad j = 0, 1, \dots, k,$$
  
$$|(D_U^{\eta^*} \partial U)(b)| < \varepsilon_0 L^{-2j} (L^j \eta)^{-1} = \varepsilon_0 \eta^2 (L^j \eta)^{-3} \quad \text{for} \quad b \in \Omega_j, \tag{2}$$

where  $\varepsilon_0 > 0$ , and the space  $\mathfrak{B}_k(\mathfrak{B}_k, V)$  by the conditions

$$\overline{U}^{j} = V \text{ on } \Lambda_{j}, \quad j = 0, 1, \dots, k,$$
(3)

where V is a fixed gauge field configuration define on  $\mathfrak{B}_k$ . The space  $\mathfrak{U}_k({\Omega_j}, \varepsilon_0)$  is gauge invariant, and the space  $\mathfrak{B}_k(\mathfrak{B}_k, V)$  is invariant with respect to gauge transformations u satisfying

$$u(y) = 1 \quad \text{for} \quad y \in \mathfrak{B}_k. \tag{4}$$

We consider the functional

$$A(U) = A^{\eta}(U) = \sum_{p \in \Omega_0} \eta^{d-4} [1 - \operatorname{Re} \operatorname{tr} U(\partial p)], \quad \eta = L^{-k}$$
(5)

on the space of gauge field configurations

$$\mathfrak{U}_{k}(\{\Omega_{j}\},\varepsilon_{0})\cap\mathfrak{B}_{k}(\mathfrak{B}_{k},V)$$
(6)

This space and the action (5) are invariant with respect to the gauge transformations (4). These transformations form a group and the space (6) is a union of orbits of this group. Our problem is to find all critical orbits of the functional (5). We will prove that for  $\varepsilon_0$  sufficiently small there is at most one critical orbit. To prove the existence we have to assume that V satisfies some additional regularity property. More precisely we assume that

$$|(\partial V)(p') - 1| < \varepsilon_1 \quad \text{for} \quad p' \in \mathfrak{B}_k \tag{7}$$

for  $\varepsilon_1$  sufficiently small. This requires an explanation. For some *j* between 0 and *k*  $p' \in \Lambda_j$ . If  $p' \subset \Lambda_j$ , i.e. all four vertices of *p'* belong to  $\Lambda_j$ , then the meaning of the symbol  $(\partial V)(p')$  is simple, then all four bonds of the boundary  $\partial p'$  belong to  $\Lambda_j$  and we have  $(\partial V)(p') = V(\partial p')$ . If *p'* intersects the boundary of  $\Lambda_j$ , then some bonds *b* do not belong to  $\Lambda_j$  and we replace  $V_b$  by  $\overline{V}_b$  in the above equality. For example if  $p' = \langle x, y \rangle \cup \langle y, z \rangle \cup \langle z, w \rangle \cup \langle w, x \rangle$  and  $\langle y, z \rangle$  do not belong to  $\Lambda_j$ , then it means that  $y, z \in \Lambda_{j-1}$  and we define

$$(\partial V)(p') = V(x, y)\overline{V}(y, z)V(z, w)V(w, x).$$

Let us notice that if the space (6) is non-empty and  $\varepsilon_0$  is sufficiently small, then by Proposition 2 [4] the configuration V satisfies (7) with  $\varepsilon_1 = O(\varepsilon_0)$ . Hence our assumption has a meaning only for  $\varepsilon_1$  smaller than  $\varepsilon_0$ . We will prove that for  $\varepsilon_1$ sufficiently small there exists a critical orbit of the functional (5). More exactly, we will prove that there exists a minimal orbit. Elements of the minimal orbit are called minimal configurations.

We will prove also some local regularity properties of the minimal configurations. To formulate them we have to introduce a class of cubes. This class was described in Sect. F [6]. Each cube  $\Box$  of this class is contained in

 $B^{j}(\Lambda_{j}) \cup B^{j+1}(\Lambda_{j+1}) = \Omega_{j} \setminus \Omega_{j+2}$  for some j between 0 and k, and is a union of big blocks of the  $L^{-j}$ -lattice. More exactly we assume that  $\Box$  has a size  $2ML^{j}\eta$ , where M is a multiple of  $R_{1}M_{1}$ , and that the cube  $\widetilde{\Box}$  of the size  $(2M + 4R_{1}M_{1})L^{j}\eta$  and with the same center as  $\Box$ , is contained in  $B^{j}(\Lambda_{j}) \cup B^{j+1}(\Lambda_{j+1})$ , but not in  $B^{j+1}(\Lambda_{j+1})$ . We consider all cubes  $\Box$  satisfying the above conditions.

Now let us formulate the main result of this paper.

**Theorem 1.** There exist positive constants  $a_0, a_1, B_3, B_4(\beta_0), M(\varepsilon_1), B_3a_1 \leq a_0$ , such that for an arbitrary configuration V satisfying (7) with  $\varepsilon_1 \leq a_1$  there exists a minimal orbit in the space

$$\mathfrak{U}_{k}(\{\Omega_{j}\},B_{3}\varepsilon_{1})\cap\mathfrak{B}_{k}(\mathfrak{B}_{k},V).$$
(8)

This orbit is a unique critical orbit in the space (6) if  $B_3\varepsilon_1 \leq \varepsilon_0$  and  $\varepsilon_0 \leq a_0$ . The minimal configurations U have the following regularity properties:

for an arbitrary cube  $\Box$  in the class described above, of a size  $2ML^{j}\eta$ ,  $M \leq M(\varepsilon_{1})$ , there exists a gauge transformation u defined on a neighborhood of  $\Box$  and such that on  $\Box$ ,

$$U^{u^{-1}} = e^{i\eta A}, \quad |A| < B_3 M \varepsilon_1 (L^j \eta)^{-1}, \quad |\nabla^{\eta} A| < B_3 M \varepsilon_1 (L^j \eta)^{-2},$$

$$\|A\|_{1,\beta} < B_4(\beta_0) M \varepsilon_1(L^j \eta)^{-2-\beta} \quad \text{for} \quad 0 \le \beta \le \beta_0 = 1,$$

$$|\partial^{\eta^*} \partial^{\eta} A|, |\Delta^{\eta} A| < B_3 M \varepsilon_1 (L^j \eta)^{-3}.$$
<sup>(10)</sup>

The constants  $a_0, a_1, B_3$ , depend on d and L only, the constants  $B_4(\beta_0), M(\varepsilon_1)$  depend on the indicated parameters also. More exactly  $M(\varepsilon_1) = R_1 M_1(a_1/\varepsilon_1)$ .

Minimal configurations will be denoted by  $U_k(V)$ , or  $U_k$ .

The above theorem is the basic result of this paper. We will prove also some theorems about minimal configurations  $U_k(V)$  as functions of V; for example we will prove that they are analytic functions of V and we will find their expansions. These results are very important for an analysis of fluctuation fields, see [1], but they will be rather simple consequences of the proof of Theorem 1 and we defer their formulations to the last section.

Theorem 1 will be proved by induction with respect to k. In the course of the proof the constants  $B_3$ ,  $B_4$  will be described explicitly. The first step of the proof, for k = 1, will be covered by the proof of a general case.

#### A. A Reduction of the Proof of Theorem 1

We start a proof of Theorem 1 for some k assuming that it is true for k-1. We have a configuration V defined on  $\mathfrak{B}_k$  and satisfying (7). The set  $\mathfrak{B}_k$  determines the following set  $\mathfrak{B}'_{k-1} = \bigcup_{j=0}^{k-1} \Lambda'_j$ :  $\Lambda'_j = \Lambda_j$  for  $j = 0, 1, \dots, k-2, \Lambda'_{k-1} = \Lambda_{k-1} \cup B(\Lambda_k)$ . We can easily construct a configuration  $V_0$  on  $\mathfrak{B}'_{k-1}$  such that it satisfies (7) on  $\mathfrak{B}'_{k-1}$ , and

$$V_0 = V \quad \text{on} \quad \bigcup_{j=0}^{k-1} \Lambda_j, \quad \overline{V}_0 = V \text{ on } \Lambda_k. \tag{11}$$

For example we can take  $V_{0,b} = V_{b'}$ , for  $b \in B(b')$ ,  $b' \in A_k$  and  $V_{0,b} = 1$  for remaining bonds of  $B(A_k)$ . We assume that  $\varepsilon_1 \leq a_1, B_3 \varepsilon_1 \leq \varepsilon_0 \leq a_0$  and we use the inductive

assumption, i.e. we apply the Theorem 1 for k - 1 and the configuration  $V_0$ . We get a minimal configuration  $U_0 = U_{k-1}(V_0)$  belonging to the space

$$\mathfrak{U}_{k-1}(\{\Omega_j\}, B_3\varepsilon_1) \cap \mathfrak{V}_{k-1}(\mathfrak{V}_{k-1}', V_0).$$
(12)

From the conditions (11), and from the form (2) of the regularity conditions, it follows that

$$U_0 \in \mathfrak{U}_k(\{\Omega_i\}, B_3 L^3 \varepsilon_1) \cap \mathfrak{B}_k(\mathfrak{B}_k, V), \tag{13}$$

hence  $U_0$  should be close to the minimal configuration we are looking for. To find this configuration we will apply perturbative methods expanding the action A(U) around  $U_0$ .

Having in view future applications we will consider a little bit more general configuration  $U_0$  than this constructed above. We assume that we have a configuration  $U_0$  satisfying

$$U_0 \in \mathfrak{U}_k(\{\Omega_j\}, C_1 B_3 \varepsilon_1), |\bar{U}_0^j - V| < C_1 \varepsilon_1 \quad \text{on} \quad \Lambda_j, \quad j = 0, 1, \dots, k,$$
(14)

for some absolute constant  $C_1$ . The configuration  $U_0$  constructed above satisfies (14) with  $C_1 = L^3$ .

Let us notice that for k = 1 we do not have any solutions of the variational problem yet, and then we take simply  $U_0 = V_0$ ,  $V_0$  constructed above.

We consider the functional A(U) on the space (6). An arbitrary element of this space can be represented as

$$U = U'U_0, \quad U' = UU_0^{-1}.$$
 (15)

A gauge transformation u applied to U implements the following transformation of

$$U'^{u}(x, x') = u(x)U'(x, x')R(U_{0}(x, x'))u^{-1}(x'),$$
(16)

if  $U_0$  is fixed in the representation (15). Another point of view is that U and  $U_0$  are subject to the same gauge transformation u, and then U' transforms as follows

$$(R(u)U')(x, x') = R(u(x))U'(x, x')$$
(17)

Usually we will apply the first point of view, i.e. we consider the transformations (16). The second point of view will be considered when we will discuss how our constructions depend on gauge transformations of  $U_0$ .

We assume that the numbers  $a_0, a_1$  are so small that all the theorems of the papers [4,6] are valid for the configurations  $U, U_0$ .

Now we choose a gauge in the space (6). Using the transformations (16) with u satisfying (4) we fix the axial gauge conditions  $Ax_k(\mathfrak{B}_k, U_0)$  (see the definition (1.19) in [6]). The functional (5) is gauge invariant, hence it is enough to consider it on the space

$$\mathfrak{U}_{k}(\{\Omega_{i}\},\varepsilon_{0})\cap\mathfrak{B}_{k}(\mathfrak{B}_{k},V)\cap Ax_{k}(\mathfrak{B}_{k},U_{0}).$$
(18)

Next we apply the results of the paper [6], especially the Theorem 2. Configurations U from the space (18), and  $U_0$ , satisfy the assumptions (1.33)–(1.35) of this theorem with  $\alpha_0 = \varepsilon_0, \alpha_1 = C_1 \varepsilon_1$ . The additional regularity condition (3.35)in(1.33) is satisfied for  $U_0$  also, because of the result of Sect. F. Thus for  $\varepsilon_0, \varepsilon_1$  sufficiently small, more

exactly for  $\varepsilon_0 + C_1 \varepsilon_1 \leq c_1$ , and for an arbitrary configuration  $U = U'U_0$  from (18) there exists exactly one gauge transformation u satisfying  $\overline{R_0 u^j} = 1$  on  $\Lambda_j$ , j = 0, 1,..., k (thus u = 1 on  $\Lambda_0$ ), such that  $U_1 = U^{u^{-1}}$  satisfies the conditions (1.36)–(1.39) of [6]. These gauge transformations define a mapping of the space (18) into a space of gauge field configurations  $U_1U_0$  with  $U_1$  satisfying the conditions

$$U_{1} = e^{i\eta A}, \quad |A| < \varepsilon_{2}(L^{j}\eta)^{-1}, \quad |\nabla_{U_{0}}^{\eta}A| < \varepsilon_{2}(L^{j}\eta)^{-2},$$
$$|D_{I'}^{\eta}D_{I'}^{\eta}A| = |A_{I'}^{\eta}A| < \varepsilon_{2}(L^{j}\eta)^{-3} \quad \text{on} \quad \Omega_{:}, i = 0, 1, \dots, k.$$
(19)

$$D_{U_0}^{\eta} D_{U_0}^{\eta} A|, \quad |\Delta_{U_0}^{\eta} A| < \varepsilon_2 (D\eta)^{-3} \quad \text{on} \quad \Omega_j, j = 0, 1, \dots, k,$$
 (19)

$$Q_j(U_0, \eta A) = B$$
 on  $A_j, \quad j = 0, 1, \dots, k,$  (20)

where B is given by the formulas (1.31) in [6], hence  $|B| < 2dLC_1\varepsilon_1$ ,

$$R(U_0)D_{U_0}^{\eta^*}A = 0, (21)$$

with  $\varepsilon_2 \ge B_1(\varepsilon_0 + C_1\varepsilon_1)$ . The projection operator  $R(U_0)$  determining the Landau gauge condition (21) was defined in [5, 6].

The above mapping is one-to-one, hence using again the gauge invariance of the functional (5), we have reduced a proof of the existence and the uniqueness of critical configurations in the space (18), to a proof of the existence and the uniqueness in the space of configurations  $U_1U_0$  satisfying (19)–(21).

Let us summarize the discussion of this section in.

**Proposition 2.** All critical orbits of the functional (5) in the space (6), or all critical configurations of this functional in the space (18), can be obtained by taking critical configurations  $U_1$  of the functional  $A(U_1U_0)$  in the space defined by (19)–(21), where  $U_0$  satisfies (14), and transforming them to the axial gauge  $Ax_k(\mathfrak{B}_k, U_0)$  by gauge transformations u satisfying the conditions  $\overline{R_0 u^j} = 1$  on  $\Lambda_i, 0, 1, \dots, k$ .

In the next four sections we will study the variational problem in the space (19)-(21). We will prove that for  $\varepsilon_1, \varepsilon_2$  sufficiently small there exists exactly one critical configuration, which is a minimum of the functional (5). This will prove Theorem 1 with worse bounds. Next we will improve the bounds and we will complete the proof of this theorem.

#### **B.** An Expansion of the Action

In this section we will study an expansion of  $A(U_1U_0)$  with respect to A = $1/i\eta \log U_1$ . The configuration  $U_0$  and the scale  $\eta$  are fixed, so for simplicity let us omit these symbols in notations below, e.g. we will write R, D instead of  $R(U_0)$ ,  $D_{U_0}^{\eta}$ , etc.

We expand the action up to fourth order in A. We take

$$U_1 = e^{i\eta A} = 1 + i\eta A + \frac{(i\eta)^2}{2!}A^2 + \frac{(i\eta)^3}{3!}A^3 + \frac{(i\eta)^4}{4!}A^4 R_4(i\eta A),$$
(22)

where

$$R_{n}(z) = \int_{0}^{1} dt n (1-t)^{n-1} e^{tz},$$
  

$$|R_{n}(iX)| \leq 1 \quad \text{for arbitrary hermitian matrix } X,$$
  

$$|R_{n}(X)| \leq e^{|X|} \quad \text{for arbitrary matrix } X.$$
(23)

Using (1.21), (1.22) from [6] we have

$$\operatorname{tr}(U_1 U_0)(\partial p) = \operatorname{tr} \partial_0 U_1((p)_z) U_0(\partial p), \tag{24}$$

where for 
$$p = \langle x, y, z, w \rangle$$
,  
 $\partial_0 U_1((p)_z) = R(U_0(x, w))U_1(z, w)U_1(w, x)U_1(x, y)R(U_0(x, y))U_1(y, z)$   
 $= 1 + i\eta(R(U_0(x, w))A(z, w) + A(w, x) + A(x, y) + R(U_0(x, y))A(y, z))$   
 $- \frac{1}{2}\eta^2 [(R(U_0(x, w))A(z, w))^2 + 2R(U_0(x, w))A(z, w)A(w, x)$   
 $+ 2R(U_0(x, w))A(z, w)A(x, y) + 2R(U_0(x, w))A(z, w)R(U_0(x, y))A(y, z)$   
 $+ (A(w, x))^2 + 2A(w, x)A(x, y) + 2A(w, x)R(U_0(x, y))A(y, z)$   
 $+ (A(x, y))^2 + 2A(x, y)R(U_0(x, y))A(y, z) + (R(U_0(x, y))A(y, z))^2] + \cdots$ 
(25)

The expression in parenthesis (...) on the right-hand side is equal to  $\eta(DA)(p)$ . This expansion gives

$$A(U_1U_0) = \sum_{p \in \Omega_0} \eta^{d-4} [1 - \operatorname{Retr}(U_1U_0)(\partial p)] = A(U_0) + \sum_{p \in \Omega_0} \eta^{d-2} \operatorname{Imtr}(DA)(p)U_0(\partial p) + \frac{1}{2} \langle A, \Delta A \rangle + V_0(A), \quad (26)$$

where the expansion of  $V_0(A)$  begins with a third order polynomial. From hermiticity of DA we have

$$\sum_{p \in \Omega_0} \eta^{d-2} \operatorname{Im} \operatorname{tr}(DA)(p) U_0(\partial p) = \sum_{p \in \Omega_0} \eta^{d-2} \operatorname{tr}(DA)(p) \operatorname{Im} U_0(\partial p) = \langle A, J \rangle, \quad (27)$$

where

$$J = D^* \eta^{-2} \operatorname{Im} \partial U_0 = \operatorname{Im} \eta^{-2} D^* \partial U_0, |J| < C_1 B_3 \varepsilon_1 (L^j \eta)^{-3} \quad \text{on } \Omega_j,$$
(28)

the bound holds by the assumption (14). The action  $A(U_1U_0)$  is an analytic, and even an entire function of A for  $A \in g^c$ , or for A in the space of all complex  $N \times N$  matrices, so  $V_0(A)$  is such a function also. We need a bound for  $V_0(A)$ . To get such a bound we have to decompose further

$$V_0(A) = V^{(3)}(A) + V_4(A),$$
<sup>(29)</sup>

or

$$V_0(A) = \sum_{p \in \Omega_0} \eta^d V_0(A, \partial p), \quad V_0(A, \partial p) = V^{(3)}(A, \partial p) + V_4(A, \partial p), \tag{30}$$

where  $V^{(3)}(A)$  is a third order polynomial and the expansion of  $V_4(A)$  begins with a fourth order polynomial. We consider these functions for A belonging to the complexified Lie algebra. A bound for  $V_4$  can be easily obtained. We have

$$|V_{4}(A,\partial p)| \leq \frac{1}{4!} (|A|(\partial p))^{4} e^{\eta |A|(\partial p)}.$$
(31)

Let us consider configurations A satisfying

$$L^{j}\eta|A|, (L^{j}\eta)^{2}|\nabla A| < \varepsilon_{2} \quad \text{on } \Omega_{j}, \text{ where } B_{1}(\varepsilon_{0} + C_{1}\varepsilon_{1}) \leq \varepsilon_{2},$$
(32)

and let us assume for simplicity that  $32\varepsilon_2 \leq 1$ . Later we will have to introduce much

stronger restrictions on  $\varepsilon_2$ . This implies  $e^{\eta|A|(\partial p)} < e^{4\varepsilon_2} < 2$ , hence

$$|V_4(A,\partial p)| \le \frac{2}{4!} (|A|(\partial p))^4 \le 2^5 |A|^4 < 2^5 \varepsilon_2^4 (L^j \eta)^{-4}.$$
(33)

In [5] we have found the explicit expressions (3.7), (3.10) for the second order term in expansion (26). Now we will find an explicit expression for the third order term  $V^{(3)}(A, \partial p)$ . Let us define field variables A'(b) for bonds  $b \subset \partial(p)_z$  by the equalities

$$\begin{aligned} A'(z,w) &= R(U_0(x,w))A(z,w), \quad A'(w,x) = A(w,x), \quad A'(x,y) = A(x,y), \\ A'(y,z) &= R(U_0(x,y))A(y,z), \end{aligned}$$

and let  $\prec$  denote a natural ordering among bonds of the oriented contour  $\partial(p)_z = \langle z, w \rangle \cup \langle w, x \rangle \cup \langle x, y \rangle \cup \langle y, z \rangle$ . From the Baker–Cambell–Hausdorff formula we have

$$\begin{aligned} \hat{\sigma}_{0} U_{1}((p)_{z}) &= \exp i\eta R(U_{0}(x, w))A(z, w) \exp i\eta A(w, x) \exp i\eta A(x, y) \\ &\cdot \exp i\eta R(U_{0}(x, y))A(y, z) \\ &= \prod_{b \in (\partial p)_{z}} \exp i\eta A'(b) \\ &= \exp \left\{ i\eta \sum_{b} A'(b) + \frac{1}{2}i^{2}\eta^{2} \sum_{b_{1} \prec b_{2}} \left[ A'(b_{1}), A'(b_{2}) \right] \\ &+ \frac{1}{12}i^{3}\eta^{3} \sum_{b_{1} \prec b_{2}} \left( \left[ A'(b_{1}), \left[ A'(b_{1}), A'(b_{2}) \right] \right] + \left[ \left[ A'(b_{1}), A'(b_{2}) \right], A'(b_{2}) \right] \right) \\ &+ \frac{1}{6}i^{3}\eta^{3} \sum_{b_{1} \prec b_{2} \prec b_{3}} \left( \left[ A'(b_{1}), \left[ A'(b_{2}), A'(b_{3}) \right] \right] + \left[ \left[ A'(b_{1}), A'(b_{2}) \right], A'(b_{2}) \right], \\ &A'(b_{3}) \right] ) + \cdots \right\}. \end{aligned}$$
(34)

If we write expansion (26) in the form

$$1 - \operatorname{Retr} \partial_0 U_1((p)_z) U_0(\partial p) = 1 - \operatorname{Retr} U_0(\partial p) + \eta^4 \sum_{i=1}^3 V^{(i)}(A, \partial p) + \eta^4 V_4(A, \partial p),$$
(35)

then (34) yields

$$V^{(3)}(A, \partial p) = \frac{1}{4} \operatorname{tr} \left[ (DA)(p) \sum_{b_1 < b_2} i[A'(b_1), A'(b_2)] + \sum_{b_1 < b_2} i[A'(b_1), A'(b_2)](DA)(p) \right] \operatorname{Re} U_0(\partial p) + \frac{1}{12} \eta \operatorname{tr} \sum_{b_1 < b_2} (i[A'(b_1), i[A'(b_1), A'(b_2)]] + i[i[A'(b_1), A'(b_2)], A'(b_2)])\eta^{-2} \operatorname{Im} U_0(\partial p)$$

$$+\frac{1}{6}\eta \operatorname{tr} \sum_{b_{1} < b_{2} < b_{3}} (i[A'(b_{1}), i[A'(b_{2}), A'(b_{3})]] \\+ i[i[A'(b_{1}), A'(b_{2})], A'(b_{3})])\eta^{-2} \operatorname{Im} U_{0}(\partial p) \\- \frac{1}{3!} \eta^{4} \operatorname{tr}((DA)(p))^{3}\eta^{-2} \operatorname{Im} U_{0}(\partial p).$$
(36)

From this representation we obtain easily the bound

$$|V^{(3)}(A,\partial p)| \leq \frac{1}{2} |(DA)(p)| (|A|(\partial p))^{2} + \frac{1}{2}\eta (|A|(\partial p))^{3}C_{1}B_{3}\varepsilon_{1}(L^{j}\eta)^{-2}$$

$$\leq 8|A|^{2} |(DA)(p)| + 32\eta |A|^{3}C_{1}B_{3}\varepsilon_{1}(L^{j}\eta)^{-2}$$

$$< 8\varepsilon_{2}^{2}(L^{j}\eta)^{-2} |(DA)(p)| + 32L^{-j}C_{1}B_{3}\varepsilon_{1}\varepsilon_{2}^{3}(L^{j}\eta)^{-4}$$

$$< 16\varepsilon_{2}^{3}(1 + 2C_{1}B_{3}\varepsilon_{1})(L^{j}\eta)^{-4}, \quad p \in \Omega_{j}.$$
(37)

The first trace on the right-hand side of (36) depends on the derivative (DA)(p), the remaining expressions depend on the field variables A only, and to estimate them we need to know bounds on A, not on derivatives of A. Let us separate the term with the derivative. We have Re  $U_0(\partial p) = 1 + (\text{Re } U_0(\partial p) - 1)$ , and

$$|\eta^{-2}(\operatorname{Re} U_0(\partial p) - 1)| < C_1 B_3 \varepsilon_1 (L^j \eta)^{-2}, \quad p \in \Omega_j,$$
(38)

so the expression with Re  $U_0(\partial p) - 1$  has a hidden additional factor  $\eta^2$ . One factor  $\eta$  cancels  $\eta^{-1}$  in the derivative (DA)(p), and the expression can be estimated by  $\frac{1}{2}\eta(|A|(\partial p))^3C_1B_3\varepsilon_1(L^j\eta)^{-2}$ . Thus we can write

$$V_0(A,\partial p) = \frac{1}{2} \operatorname{tr}(DA)(p) \sum_{b_1 < b_2} i[A'(b_1), A'(b_2)] + V'_0(A, \partial p),$$
(39)

where  $V'_0(A, \partial p)$  is defined by this equality, and satisfies the bound

$$|V_{0}'(A,\partial p)| \leq (|A|(\partial p))^{3} \eta C_{1} B_{3} \varepsilon_{1} (L^{j} \eta)^{-2} + \frac{2}{4!} (|A|(\partial p))^{4}$$

$$\leq 64 |A|^{3} (L^{-j} C_{1} B_{3} \varepsilon_{1} (L^{j} \eta)^{-1} + \frac{1}{3} |A|)$$

$$\leq 64 |A|^{3} (C_{1} B_{3} \varepsilon_{1} + \varepsilon_{2}) (L^{j} \eta)^{-1}$$

$$< 64 \varepsilon_{2}^{3} (C_{1} B_{3} \varepsilon_{1} + \varepsilon_{2}) (L^{j} \eta)^{-4}, \quad p \in \Omega_{j}.$$
(40)

Here we have used only the first bound (32) on the field A alone.

The quadratic form  $\frac{1}{2}\langle A, \Delta A \rangle$  was thoroughly investigated in [5]. We will use the results of that paper for operators defined by this form. Now we use the fact that the configurations A satisfy the Landau gauge condition  $RD^*A = 0$ , and we replace the form  $\Delta$  by  $\Delta_{\pi}$  defined in [5], the formulas (3.119), (3.120). The form  $\Delta_{\pi}$  is more convenient to work with because the operator H has a simpler connection with it.

Let us formulate again the variational problem. We are looking for a minimum of the functional

$$A(e^{i\eta A}U_0) = A(U_0) + \langle A, J \rangle + \frac{1}{2} \langle A, \Delta_{\pi}A \rangle + V_0(A)$$
(41)

on the space of field configurations A satisfying

$$RD^*A = 0, \quad Q(\eta A) = B \quad \text{on} \quad \mathfrak{B}_k, |B| < 2dLC_1\varepsilon_1,$$
(42)

$$|A| < \varepsilon_2(L^j \eta)^{-1}, \quad |\nabla A| < \varepsilon_2(L^j \eta)^{-2} \quad \text{on } \Omega_j, j = 0, 1, \dots, k.$$
(43)

We would like to prove that for  $\varepsilon_0, \varepsilon_1, \varepsilon_2$  properly restricted there exists exactly one minimal configuration and we would like to find some preliminary bounds for it.

Our next step will be to make a change of variables such that the function Q will become a linear function.

## C. A Construction of the Linearizing Transformation

This construction will be almost identical to the construction of the corresponding linearizing transformation in the Sect. E of [6]. The Proposition 4 of [4] implies

$$Q_{j}(\eta A) = L^{j} \eta Q_{j} A + C_{j}(L^{j} \eta A), \quad |C_{j}(L^{j} \eta A)| \leq C_{2}(L^{j} \eta)^{2} |A|^{2}.$$
(44)

The operators  $\Delta$ , Q and R define the operator H. Let us recall that it is an operator defined on configurations B and giving a minimum of the quadratic form  $\frac{1}{2} \langle A, \Delta A \rangle$  under the restrictions  $L^j \eta Q_j A = B$  on  $\Lambda_j, j = 0, 1, ..., k, RD^*A = 0$ . Thus it has the following properties

$$L^{j}\eta Q_{j}HB = B \quad \text{on } \Lambda_{j}, \quad RD^{*}HB = 0, \tag{45}$$

and the Theorem 3.12 from [5] implies

$$|HB| \le B_0(L^j\eta)^{-1}|B|, \quad |\nabla HB| \le B_0(L^j\eta)^{-2}|B| \quad \text{on } \Omega_j.$$
 (46)

We will construct the linearizing transformation in the form

$$A = A' - HD(A'), \tag{47}$$

where D will be a mapping defined on configurations A and with values in configurations on  $\mathfrak{B}_k$ . This mapping has to satisfy the equations

$$Q_{j}(\eta A) = Q_{j}(\eta A' - \eta HD(A')) = L^{j}\eta Q_{j}A' - L^{j}\eta Q_{j}HD(A') + C_{j}(L^{j}\eta A' - L^{j}\eta HD(A'))$$
  
=  $L^{j}\eta Q_{j}A'$  on  $\Lambda_{i}$ , (48)

or

$$C_j(L^j\eta A' - L^j\eta HD(A')) = D(A') \quad \text{on } \Lambda_j.$$
(49)

Thus the function D(A') is a fixed point of the transformation

$$X \to C_j(L^j \eta A' - L^j \eta HX) \quad \text{on } \Lambda_j, j = 0, 1, \dots, k.$$
(50)

We consider configurations A', X with values in the complexified Lie algebra  $g^c$ , and satisfying

$$|A'| < \varepsilon_3 (L^j \eta)^{-1} \quad \text{on } \Omega_j, \quad X = 0 \quad \text{on } \Lambda_0, \quad |X| < \frac{\varepsilon_3}{B_0} \quad \text{on } \mathfrak{B}_k.$$
(51)

The transformation (50) calculated at such configurations satisfies

$$|C_{j}(L^{j}\eta A' - L^{j}\eta HX)| \leq C_{2}(L^{j}\eta |A'| + L^{j}\eta |HX|)^{2} < 4C_{2}\varepsilon_{3}^{2},$$
(52)

and its value is in the set  $\{X: X = 0 \text{ on } \Lambda_0, |X| < \varepsilon_3/B_0\}$  if  $4C_2\varepsilon_3^2 \leq \varepsilon_3/B_0$ , i.e.  $\varepsilon_3 \leq (4C_2B_0)^{-1}$ .

We prove that the transformation (50) is contractive on this set, for  $\varepsilon_3$  sufficiently small. We have for  $X_1$ ,  $X_2$  from the set

$$C_{j}(L^{j}\eta A' - L^{j}\eta HX_{1}) - C_{j}(L^{j}\eta A' - L^{j}\eta HX_{2}) = \int_{0}^{1} dt \frac{d}{dt} C_{j}(L^{j}\eta A' - L^{j}\eta H(tX_{1} + (1-t)X_{2}))$$

$$= -\int_{0}^{1} dt \left\langle \frac{\delta C_{j}}{\delta A} (L^{j}\eta A' - L^{j}\eta H(tX_{1} + (1-t)X_{2})), L^{j}\eta H(X_{1} - X_{2}) \right\rangle$$

$$= -\int_{0}^{1} dt \frac{1}{2\pi i} \int_{|\tau|=r} d\tau \frac{1}{\tau^{2}} C_{j}(L^{j}\eta A' - L^{j}\eta H(tX_{1} + (1-t)X_{2}) + \tau L^{j}\eta H(X_{1} - X_{2})). \quad (53)$$

Taking  $r = \varepsilon_3 (B_0 | X_1 - X_2 |)^{-1}$ , we get

$$|C_{j}(L^{j}\eta A' - L^{j}\eta HX_{1}) - C_{j}(L^{j}\eta A' - L^{j}\eta HX_{2})| \leq \frac{1}{r}C_{2}(2\varepsilon_{3} + rB_{0}|X_{1} - X_{2}|)^{2}$$
  
= 9C\_{2}B\_{0}\varepsilon\_{3}|X\_{1} - X\_{2}|. (54)

Hence the transformation is contractive if  $9C_2B_0\varepsilon_3 < 1$ ; for example we take  $9C_2B_0\varepsilon_3 \leq 1/2$ , i.e.  $\varepsilon_3 \leq (18C_2B_0)^{-1}$ . The contraction maping theorem implies that for arbitrary A', satisfying  $L^j\eta |A'| < \varepsilon_3$  on  $\Omega_j$ , there exists exactly one fixed point of the transformation (50), thus exactly one solution of Eq. (49). This solution is a limit of uniformly convergent sequence of successive approximations and it is an analytic function of A'. It satisfies the bound  $|D(A')| < \varepsilon_3/B_0$ , but  $\varepsilon_3$  was arbitrary, so we can take it arbitrarily close to  $\sup_j L^j\eta \sup_{\Omega_j} |A'| = |A'|_{(-1)}$ , and we get the bound  $|D(A')| \leq B_0^{-1} |A'|_{(-1)}$ . From Eq. (49) we obtain

$$|D(A')| = |C_j(L^j\eta A' - L^j\eta H D(A'))| \le C_2(L^j\eta |A'| + B_0 |D(A')|)^2 \le 4C_2 |A'|_{(-1)}^2.$$
 (55)

This implies that a power series expansion of D(A') begins with second order terms. We can find this expression from Eq. (49) and the expansion (136) [4] of the function  $C_j$ :

$$C_{j}(L^{j}\eta A' - L^{j}\eta HD(A')) = \sum_{n=2}^{\infty} C_{j}^{(n)} \left( L^{j}\eta A' - L^{j}\eta H \sum_{m=2}^{\infty} D^{(m)}(A') \right) = \sum_{n=2}^{\infty} D^{(n)}(A'), \quad (56)$$

where  $C_j^{(n)}$ ,  $D^{(n)}$  are homogeneous polynomials of  $n^{\text{th}}$  order. From Eq. (56) a sequence of recursive equations for  $D^{(n)}$  follows. It can be solved easily. For example we have on  $\Lambda_j$ 

$$D^{(2)}(A') = C_j^{(2)}(L^j\eta A'), \quad D^{(3)}(A') = C_j^{(3)}(L^j\eta A') - 2C_j^{(2)}(L^j\eta A', L^j\eta HC^{(2)}(A')),$$

and so on. Here  $C_j^{(2)}(A', A'')$  denotes a symmetric bilinear form obtained by polarization from the quadratic form  $C_i^{(2)}(A)$ , and  $C^{(2)}(A') = C_i^{(2)}(L^j\eta A')$  on  $\Lambda_i$ .

The bound (55) implies that the transformation (47) is defined and analytic on configurations A' satisfying  $L^j\eta |A'| < \varepsilon_3$  on  $\Omega_j$ , and the values A of this transformation satisfy

$$|A| \leq |A'| + B_0(L^j\eta)^{-1} 4C_2 |A'|_{(-1)}^2 < (\varepsilon_3 + 4C_2 B_0 \varepsilon_3^2)(L^j\eta)^{-1} < 2\varepsilon_3 (L^j\eta)^{-1} \quad \text{on } \Omega_j.$$
(57)

If we assume also  $|\nabla A'| < \varepsilon_3 (L^j \eta)^{-2}$ , then

$$|\nabla A| \leq |\nabla A'| + B_0(L^j \eta)^{-2} 4C_2 |A'|_{(-1)}^2 < (\varepsilon_3 + 4C_2 B_0 \varepsilon_3^2) (L^j \eta)^{-2} < 2\varepsilon_3 (L^j \eta)^{-2}.$$
(58)

The transformation is defined for  $\varepsilon_3$  satisfying  $2\varepsilon_3 \leq c_4$  (see Proposition 4 in [4]) and  $\varepsilon_3 \leq (18C_2B_0)^{-1}$ .

We want to prove that for  $\varepsilon_2$  sufficiently small the range of the transformation (47) contains the set (43). To solve the equation

$$A' - HD(A') = A \tag{59}$$

for a given A, we take A' = A + HX, and we get the following equation for X,

$$D(A + HX) = X. ag{60}$$

A solution of this equation is a fixed point of the transformation

$$X \to D(A + HX),\tag{61}$$

and we can repeat the whole reasoning connected with the solution of (49), (50), the only change is that the constant  $C_2$  is replaced by  $4C_2$ . Thus for  $\varepsilon_2 \leq \frac{1}{4}\varepsilon_3$ , there exists exactly one fixed point of (61) satisfying  $|X| < B_0^{-1}\varepsilon_2$ , and there exists exactly one solution of Eq. (59) satisfying

$$L^{j}\eta|A'|, (L^{j}\eta)^{2}|\nabla A'| < \varepsilon_{2} + 16C_{2}B_{0}\varepsilon_{2}^{2} < 2\varepsilon_{2} \leq \frac{1}{2}\varepsilon_{3} \quad \text{on } \Omega_{j},$$

$$(62)$$

as it follows from (57), (58). This proves the statement about the range of the transformation (47).

Let us remark that there are many linearizing transformations. Even if we specify them requiring that they have the form A = A' - hD(A'), we still have many possible choices of the operator h. Our choice h = H is a convenient one because we have investigated the operator H in [5] and we know all the necessary properties, but it is by no means a unique choice.

A second remark concerns regularity properties of D(A'). We know that it is an analytic function of A' for  $g^{\epsilon}$  valued configurations A' satisfying  $|A'|_{(-1)} < \epsilon_3$ . It is a function of the configuration  $U_0$  also, and it is easy to see that it is an analytic function of  $U_0$ , because the averaging operations  $Q_f(U_0, \eta A)$  and the operator  $H(U_0)$  are analytic in  $U_0$ . The analyticity domain is smaller for  $H(U_0)$  and was described in [5], so D(A') has the same analyticity domain as  $H(U_0)$ .

Besides these regularity properties and the bound (55) we will also need some pseudo-locality property of the function D(A') and the transformation (47). A pseudo-locality property we are interested in means that a value of D(A') at a bond  $c \in \mathfrak{B}_k$  depends weakly on a configuration A' at bonds b far apart from c. More precisely this property can be formulated in terms of decay properties of a functional derivative of D(A'). The functional derivative is a kernel of the linear operator acting on functions  $\delta A'$  and defined as

$$\left\langle \frac{\delta}{\delta A'} D(A'), \delta A' \right\rangle = \frac{d}{d\tau} D(A' + \tau \delta A') \bigg|_{\tau=0}.$$
 (63)

The functions  $\delta A'$  are defined at bonds of  $\Omega_0$ , and values of this linear operator are

functions defined at bonds of  $\mathfrak{B}_k$ . Let us denote

$$\mathfrak{D}(A';c,b) = \frac{\delta}{\delta A'(b)} D(A',c).$$
(64)

We will prove that this function has an exponential decay. It satisfies an integral equation which can be obtained by differentiation of Eq. (49):

$$\left\langle \frac{\delta C_j}{\delta A} (L^j \eta(A' - HD(A'))), L^j \eta \left( \delta A' - H \left\langle \frac{\delta}{\delta A'} D(A'), \delta A' \right\rangle \right) \right\rangle = \left\langle \frac{\delta}{\delta A'} D(A'), \delta A' \right\rangle (65)$$

hence

$$L^{j}\eta \frac{\delta C_{j}}{\delta A(b)} (L^{j}\eta (A' - HD(A')), c) - L^{j}\eta \sum_{b'} \eta^{d} \frac{\delta C_{j}}{\delta A(b')} (L^{j}\eta (A' - HD(A')), c) \sum_{c' \in \mathfrak{B}_{k}} (L^{j'}\eta)^{d} H(b', c') \mathfrak{D}(A'; c', b) = \mathfrak{D}(A'; c, b), \quad c \in A_{j}, \quad b \in \Omega_{0},$$
(66)

where we have suppressed matrix indices of operators acting on the Lie algebra valued functions. We may write this equation in the form

$$L^{j}\eta \frac{\delta C_{j}}{\delta A} (L^{j}\eta(A' - HD(A'))) - L^{j}\eta \left\langle \frac{\delta C_{j}}{\delta A} (L^{j}\eta(A' - HD(A'))), H\mathfrak{D}(A') \right\rangle$$
  
=  $\mathfrak{D}(A')$  on  $\Lambda_{j}$ . (67)

This gives the following equation on  $\mathfrak{D}$ :

$$\left[I + L^{j}\eta \left\langle \frac{\delta C_{j}}{\delta A} (L^{j}\eta (A' - HD(A'))), H \right\rangle \right] \mathfrak{D}(A') = L^{j}\eta \frac{\delta C_{j}}{\delta A} (L^{j}\eta (A' - HD(A'))) \quad \text{on } \Lambda_{j}.$$
(68)

As it is easily seen from (66) this equation is an equation on  $\mathfrak{D}$  as a function of the variable  $c \in \mathfrak{B}_k$ . The variable b is fixed and treated as a parameter. We have the bound

$$\left| \left( L^{j} \eta \left\langle \frac{\delta C_{j}}{\delta A} (L^{j} \eta (A' - HD(A'))), H \right\rangle \right) (c, c') \right| \\
= \left| \frac{d}{d\tau} C_{j} (L^{j} \eta (A' - HD(A') + \tau H(\cdot, c')), c) \right|_{\tau=0} \\
= \left| \frac{1}{2\pi i} \int_{|\tau|=r} d\tau \frac{1}{\tau^{2}} C_{j} (L^{j} \eta (A' - HD(A') + \tau H(\cdot, c')), c) \right| \\
\leq \frac{1}{r} C_{2} \left( 2\varepsilon_{3} + r \sup_{b \in B^{j}(c_{-}) \cup B^{j}(c_{+})} L^{j} \eta |H(b, c')| \right)^{2} \\
\leqslant \frac{1}{r} C_{2} (2\varepsilon_{3} + rB_{0} (L^{j'} \eta)^{-d} e^{-\delta_{0} d(c_{-}, c'_{-})})^{2} = 9C_{2}B_{0}\varepsilon_{3} (L^{j'} \eta)^{-d} e^{-\delta_{0} d(c_{-}, c'_{-})}, \quad (69)$$

where we have taken  $r = \varepsilon_3 (B_0(L^{j'}\eta)^{-d} e^{-\delta_0 d(c_-,c'_-)})^{-1}$ ,  $c \in \Lambda_j$ ,  $c' \in \Lambda_{j'}$ , (see [3] for a definition of the distance d(y, y'),  $y, y' \in \mathfrak{B}_k$ ). The above bound shows that the operator in the square bracket in (68) (without the identity operator) is of the same type as the

operators R studied in [3, 5]. Let us denote it by  $\Re$ . Equation (68) is uniquely solvable by a convergent Neumann series,

$$\mathfrak{D}(A') = (I + \mathfrak{R})^{-1} L^{j(\cdot)} \eta \left(\frac{\delta}{\delta A} C\right) (A' - HD(A')), \tag{70}$$

where j(c) = j for  $c \in \Lambda_j$ , and  $C(A, c) = C_j(L^j \eta A, c)$  for  $c \in \Lambda_j$ . A kernel of the operator  $(I + \Re)^{-1}$  satisfies the bound

$$|(I + \mathfrak{R})^{-1}(c, c')| \leq (1 - 9C_2 B_0 \varepsilon_3 dc_1(\frac{1}{2}))^{-1} (L^{j'} \eta)^{-d} e^{-(1/2)\delta_0 d(c_{-}, c'_{-})}$$
  
$$\leq 2(L^{j'} \eta)^{-d} e^{-(1/2)\delta_0 d(c_{-}, c'_{-})}$$
(71)

for  $\varepsilon_3$  sufficiently small, which follows from Lemma 2.1 [3]. Proposition 5 of [4] implies that

$$\left| L^{j} \eta \frac{\delta C_{j}}{\delta A} (L^{j} \eta (A' - HD(A')) \right| \leq L^{j} \eta (L^{j} \eta)^{-d} C_{3} 2 \varepsilon_{3}.$$
(72)

Let us notice that the factor  $(L^j\eta)^{-d}$  comes from the change of scale: the derivative of  $C_j$  has the estimate (157) in [4] on  $L^{-j}$ -scale, and here we consider the derivative on  $\eta$ -scale. The formula (70) and the inequalities (71), (72) give finally the following inequality,

$$|\mathfrak{D}(A';c,b)| \leq O(1)C_3\varepsilon_3(L^j\eta)^{-d+1}e^{-(1/2)\delta_0d(c_-,y)}, \quad b \in B^j(y), \quad y \in \Lambda_j.$$
(73)

Let us gather the results of this section in

**Proposition 3.** The transformation (47) satisfying the identity (48), i.e. linearizing the averaging operation  $Q(\eta A)$ , is defined and analytic for A' satisfying (43) with  $\varepsilon_3$  sufficiently small (e.g.  $18C_2B_0dc_1(\frac{1}{2})\varepsilon_3 \leq 1, 2\varepsilon_3 \leq c_4$ ). The range of this transformation contains the set (43) with  $\varepsilon_2 \leq \frac{1}{4}\varepsilon_3$ , and is contained in the corresponding set with  $2\varepsilon_3$  instead of  $\varepsilon_2$ . The function D(A') satisfies the bound (55) and its functional derivative satisfies the bound (73).

In the next section we will need the following remark. The operator  $\mathfrak{D}(A')$  is an analytic function in A', and its expansion begins with a linear term in A', coming from the differentiation of  $D^{(2)}(A') = C^{(2)}(A')$ . If we subtract these terms from  $\mathfrak{D}(A')$ , then we get an operator  $\mathfrak{D}_2(A')$  for which we have the bound (73) with  $\varepsilon_3^2$  instead of  $\varepsilon_3$ .

## D. Equations for a Solution of the Variational Problem

Let us come back to the variational problem (41)–(43). We make the change of variables (47) and we consider the functional

$$\mathfrak{F}(A') = A(U_0) + \langle A' - HD(A'), J \rangle + \frac{1}{2} \langle A' - HD(A'), \Delta_{\pi}(A' - HD(A')) \rangle + V_0(A' - HD(A'))$$
(74)

on the space of field configurations A' satisfying

$$L^{j}\eta Q_{j}A' = B \quad \text{on } \Lambda_{j}, j = 0, 1, \dots, k, \quad |B| < 2dLC_{1}\varepsilon_{1}, \tag{75}$$

$$RD^{*}(A' - HD(A')) = RD^{*}A' = 0,$$
(76)

$$|A'| < \varepsilon_3(L^j \eta)^{-1}, |\nabla A'| < \varepsilon_3(L^j \eta)^{-2} \quad \text{on } \Omega_j, j = 0, 1, \dots, k.$$

$$(77)$$

We assume that  $\varepsilon_3$  is so small that the range of the transformation (47) is contained in the set of configurations A for which the representations and the inequalities of the previous sections hold. We assume also that  $\varepsilon_2 \leq \frac{1}{4}\varepsilon_3$ , hence by Proposition 2 it is enough to prove that the functional  $\mathfrak{F}$  considered on configurations A' satisfying (75)–(77) has exactly one critical configuration.

Let us now decompose the functional  $\mathfrak{F}(A')$  into a sum of terms of zeroth, first, second and higher, orders in A'. The zeroth order term is  $A(U_0)$ . Similarly, the first order term is the same as before and equal to  $\langle A', J \rangle$ . The expression  $\langle HD(A'), J \rangle$  may be decomposed into terms of second and higher orders. Taking into account that the second order term  $D^{(2)}(A')$  in the expansion of D(A') is equal to  $C^{(2)}(A') = C_i^{(2)}(L^j \eta A')$  on  $\Lambda_i$ , we have

$$\langle HD(A'), J \rangle = \langle HC^{(2)}(A'), J \rangle + \langle HD_3(A'), J \rangle.$$
(78)

Thus a quadratic form in the expansion of  $\mathfrak{F}(A')$  is equal to

$$\frac{1}{2}\langle A', \Delta_{\pi}A' \rangle - \langle HC^{(2)}(A'), J \rangle = \frac{1}{2}\langle A', \Delta_{\pi}A' \rangle - \frac{1}{2}\langle A', \Delta_{\pi}^{(2)}A' \rangle = \frac{1}{2}\langle A', \Delta_{1}A' \rangle,$$
(79)

where we have used again the fact that A' satisfy the Landau gauge condition  $RD^*A' = 0$ .

Now let us write higher order terms. They determine the functional

$$V(A') = -\langle HD_3(A'), J \rangle - \langle A', \Delta_{\pi} HD(A') \rangle + \frac{1}{2} \langle HD(A'), \Delta_{\pi} HD(A') \rangle + V_0(A' - HD(A')).$$
(80)

It is analytic in A' for A' with values in the complexified algebra and satisfying (77).

We consider the functional

$$\mathfrak{F}(A') = A(U_0) + \langle A', J \rangle + \frac{1}{2} \langle A', \Delta_1 A' \rangle + V(A')$$
(81)

on the space of configurations A' satisfying (75)–(77). To find critical points of this functional we have to find A' in the considered space, such that the equation

$$\left\langle \frac{\delta}{\delta A'} \mathfrak{F}(A'), \delta A' \right\rangle = 0 \tag{82}$$

holds for all  $\delta A'$  in the tangent space, that is  $\delta A'$  satisfying the conditions

$$Q\delta A' = 0, \quad RD^*\delta A' = 0. \tag{83}$$

We have to calculate the functional derivative of  $\mathfrak{F}(A')$ . From (81) we have

$$\left\langle \frac{\delta}{\delta A'} \mathfrak{F}(A'), \delta A' \right\rangle = \left\langle \delta A', J \right\rangle + \left\langle \delta A', \Delta_1 A' \right\rangle + \left\langle \frac{\delta}{\delta A'} V(A'), \delta A' \right\rangle.$$
(84)

The functional derivatives above are calculated without any restrictions on variations, these restrictions are imposed on A'. Of course we use the fact that the functional  $\mathfrak{F}(A')$  is defined on the space of all configurations A' with the regularity

restrictions only. Let us calculate and estimate the functional derivative of each term in V(A') separately. For the first term we have

$$-\left\langle H\frac{\delta}{\delta A'}D_3(A'),J\right\rangle = -\sum_{b'}\eta^d \sum_{c_1\in\mathfrak{B}_k} (L^{j_1}\eta)^d \operatorname{tr} J(b')H(b',c_1)\mathfrak{D}_2(A';c_1,b), \quad (85)$$

and from the bound (73) it follows that

$$\left| \left\langle H \frac{\delta}{\delta A'} D_{3}(A'), J \right\rangle \right| \leq \sum_{y'} (L'\eta)^{d} \sum_{c_{1}} (L^{j_{1}}\eta)^{d} C_{1} B_{3} \varepsilon_{1} (L'\eta)^{-3} \\ \cdot B_{0} e^{-\delta_{0} d(y', c_{1}, -)} (L^{j_{1}}\eta)^{-1 - d} O(1) C_{3} c_{3}^{2} (L^{j}\eta)^{-d + 1} e^{-(1/2)\delta_{0} d(c_{1, -}, y)} \\ \leq O(1) \varepsilon_{1} \varepsilon_{3}^{2} (L^{j}\eta)^{-3}, \quad b \in B^{j}(y), \quad y \in A_{j}.$$
(86)

We have gathered together all the constants into an absolute constant O(1).

For the second term we have

$$\langle A', \Delta_{\pi} HD(A') \rangle = \langle A', (\Delta_{\pi} + DRD^{*})HD(A') \rangle$$

$$= \langle A', (G^{-1} - Q^{*}aQ)GQ^{*}(QGQ^{*})^{-1}(L^{j(\cdot)}\eta)^{-1}D(A') \rangle$$

$$= \langle A', Q^{*}(QGQ^{*})^{-1}(L^{j(\cdot)}\eta)^{-1}D(A') \rangle - \langle A', Q^{*}a(L^{j(\cdot)}\eta)^{-1}D(A') \rangle,$$

$$(87)$$

hence the functional derivative is equal to

$$Q^{*}(QGQ^{*})^{-1}(L^{j(\cdot)}\eta)^{-1}D(A') - Q^{*}a(L^{j(\cdot)}\eta)^{-1}D(A') + \mathfrak{D}^{*}(A')(L^{j(\cdot)}\eta)^{-1}(QGQ^{*})^{-1}QA' - \mathfrak{D}^{*}(A')(L^{j(\cdot)}\eta)^{-1}aQA'.$$
(88)

Applying the inequalities (3.132) from [5], (55), (73), and remembering that the symbol *a* above represents the operator of multiplication by  $(L^{j(\cdot)}\eta)^{-2}$  (we put the constant a = 1), we can estimate this functional derivative by  $O(1)\varepsilon_3^2(L^j\eta)^{-3}$  on  $\Omega_j$ .

The functional derivative of the third term in V(A') is equal to

$$\mathfrak{D}^{*}(A')H^{*}\Delta_{\pi}HD(A') = \mathfrak{D}^{*}(A')(L^{j(\cdot)}\eta)^{-1}(QGQ^{*})^{-1}(L^{j(\cdot)}\eta)^{-1}D(A')\mathfrak{D}^{*}(A')(L^{j(\cdot)}\eta)^{-4}D(A'), \quad (89)$$

and can be estimated by  $O(1)\varepsilon_3^3(L^j\eta)^{-3}$  on  $\Omega_j$ .

An analysis of the last term on the right-hand side of (80) is more difficult because the functional  $V_0$  depends on derivatives DA' also. We decompose  $V_0$  according to the formula (39), and we consider the functional  $V'_0$  at first. We have

$$\frac{\delta}{\delta A'(b)} V'_{0}(A' - HD(A')) = \sum_{p \in st(b)} \left( \frac{\partial}{\partial A(b)} V'_{0} \right) (A' - HD(A'), \partial p) - \mathfrak{D}^{*}(A') H^{*} \sum_{p \in st(\cdot)} \left( \frac{\partial}{\partial A(\cdot)} V'_{0} \right) (A' - HD(A'), \partial p), \quad (90)$$

where st(b) denotes a set of plaquettes p such that  $b \subset \partial p$ . The derivative  $((\partial/\partial A(b))V'_0)(A, \partial p)$  satisfies a bound similar to the bound (40) for the function  $V'_0(A, \partial p)$ , but with the power of |A| lower by 1, and with a different absolute constant. This implies that the functional derivative (90) can be estimated by  $O(1)\varepsilon_3^2(\varepsilon_1 + \varepsilon_3)(L^j\eta)^{-3}$  on  $\Omega_j$ .

Finally we have to consider terms connected with the first expression on the

right-hand side of the equality (39). Let us notice that the "primes" in this expression have a different meaning than here, they are connected with an application of the operators  $R(U_0)$ . Now we denote the corresponding expressions by A'' instead of A'. When we make the change of variables (47), we get many terms. The functional derivative of these terms can be easily estimated by  $O(1)\epsilon_3^2(L^j\eta)^{-3}$ , if the covariant derivative in (39) acts on HD(A'). Let us consider the terms with the derivative acting on A'. A typical term is

$$\sum_{p \in \Omega_0} \eta^{d} \frac{1}{2} tr(DA')(p) \sum_{b_1 \prec b_2} i[A''(b_1), A''(b_2)] = \frac{1}{2} \langle DA', \sum i[A'', A''] \rangle,$$
(91)

the other terms are obtained by replacing some A' in the commutator by -HD(A'). The functional differentiation gives three terms

$$\frac{1}{2} \langle D\delta A', \sum i[A'', A''] \rangle + \frac{1}{2} \langle DA', \sum i([\delta A'', A''] + [A'', \delta A'']) \rangle, \tag{92}$$

and the functional derivatives connected with the second and third terms are estimated easily by O(1)|DA'||A'|. We transform the first term integrating by parts, and we get the functional derivative given by

$$\frac{1}{2}D^*\sum i[A'',A''].$$
(93)

This expression was already investigated in Sect. *C* of [6]. Let us recall that for a plaquette  $p = \langle x, x + \eta e_{\mu}, x + \eta e_{\mu} + \eta e_{\nu}, x + \eta e_{\nu} \rangle$ ,  $\mu < \nu$ , we have by (1.50) of that paper

$$\sum_{b_1 < b_2} i[A''(b_1), A''(b_2)] = 2i[A'_{\mu}(x), A'_{\nu}(x)] + 2i\eta[A'_{\mu}(x), (D_{\mu}A'_{\nu})(x)] + 2i\eta[(D_{\nu}A'_{\mu})(x), A'_{\nu}(x)] - i\eta[A'_{\mu}(x), (D_{\nu}A'_{\mu})(x)] - i\eta[(D_{\mu}A'_{\nu})(x), A'_{\nu}(x)] - i\eta^2[(D_{\mu}A'_{\nu})(x), (D_{\nu}A'_{\mu})(x)].$$
(94)

If we apply the derivative  $D^*$  to all the terms on the right-hand side of the above equation except the first, then we can use the factor  $\eta$  to replace this derivative by a simple difference operation. Thus these terms in (93) can be estimated by  $O(1)|\nabla A'||A'|$ . Applying  $D^*$  to the first term gives the expression

$$i\sum_{\nu<\mu} (D_{\nu}^{*}[A_{\nu}', A_{\mu}'])(x) - i\sum_{\nu>\mu} (D_{\nu}^{*}[A_{\mu}', A_{\nu}'])(x).$$
(95)

Further let us recall the formula (1.52) from [6]:

$$(D_{\nu}^{*}[A'_{\mu}, A'_{\nu}])(x) = \eta[(D_{\nu}^{*}A'_{\mu})(x), (D_{\nu}^{*}A'_{\nu})(x)] + [(D_{\nu}^{*}A'_{\mu})(x), A'_{\nu}(x)] + [A'_{\mu}(x), (D_{\nu}^{*}A'_{\nu})(x)].$$
(96)

From this it follows that the expression (95) can be estimated by  $O(1)|\nabla A'||A'|$  also. Gathering together all these estimates we get the following proposition.

**Proposition 4.** Let us consider the functional V(A') on the space of configurations A' with values in the complexified Lie algebra  $g^c$ , and satisfying the inequalities (77), i.e.  $\max\{|A'|_{(-1)}, |\nabla A'|_{(-2)}\} < \varepsilon_3$ , for  $\varepsilon_3 \leq a_3$ , where  $a_3$  is a sufficiently small positive constant. The functional derivative of V(A') is an analytic function on this space, and satisfies the estimate

$$\left| \left( \frac{\delta}{\delta A'} V \right) (A') \right| < C_4 \varepsilon_3^2 (L^j \eta)^{-3} \text{ on } \Omega_j, \quad j = 0, 1, \dots, k.$$
(97)

The constants  $a_3$ ,  $C_4$  depend on d and L only. The above estimate can be formulated also in the following way:

$$\left| \left( \frac{\delta}{\delta A'} V \right) (A') \right|_{(-3)} \leq C_4 \left( \max\left\{ |A'|_{(-1)}, |\nabla A'|_{(-2)} \right\} \right)^2, \tag{98}$$

and it is valid if  $\max\{|A'|_{(-1)}, |\nabla A'|_{(-2)}\} \leq a_3$ .

Now let us consider the variational Eq. (82). Using (84) we get the equation

$$\langle \delta A', J \rangle + \langle \delta A', \Delta_1 A' \rangle + \left\langle \delta A', \frac{\delta}{\delta A'} V(A') \right\rangle = 0.$$
 (99)

This equation has to be satisfied for all  $\delta A'$  satisfying

$$Q\delta A' = 0$$
 (i.e.  $Q_j\delta A' = 0$  on  $\Lambda_j$ ),  $RD^*\delta A' = 0$ , (100)

and we are looking for a solution A' satisfying the conditions (75)–(77). We take the operators  $H_1$ ,  $\mathfrak{P}$  defined by the operator  $\Delta_1$ . Let us recall that  $\mathfrak{P}$  is an orthogonal projection in a space of configurations A' with a scalar product defined by the operator  $\Delta_1 + D^*RD + aQ^*Q$ , onto a subspace of A' satisfying the conditions QA' = 0,  $RD^*A' = 0$ . We make the translation  $A' = A_1 + H_1B$ . Using the identity  $\langle \delta A', \Delta_1 H_1 B \rangle = 0$  following from the definitions of  $H_1$ , we get the equations

$$\langle \delta A', J \rangle + \langle \delta A', \Delta_1 A_1 \rangle + \left\langle \delta A', \left( \frac{\delta}{\delta A'} V \right) (A_1 + H_1 B) \right\rangle = 0,$$
 (101)

$$Q\delta A' = 0, \quad RD^*\delta A' = 0, \quad QA_1 = 0, \quad RD^*A_1 = 0.$$
 (102)

Because the regularity conditions (77) hold for A', and

$$|H_1B| < B_0 2dLC_1 \varepsilon_1 (L^j \eta)^{-1}, \quad |\nabla H_1B| < B_0 2dLC_1 \varepsilon_1 (L^j \eta)^{-2} \text{ on } \Omega_j,$$
  
$$2dLB_0 C_1 \varepsilon_1 < B_1 C_1 \varepsilon_1 < \varepsilon_3, \tag{103}$$

so  $A_1$  satisfies

$$|A_1| < 2\varepsilon_3 (L^j \eta)^{-1}, \quad |\nabla A_1| < 2\varepsilon_3 (L^j \eta)^{-2}.$$
(104)

Thus the set of configurations A' restricted by (77) is contained in the range of the translation  $A' = A_1 + H_1 B$ , defined on the set of  $A_1$  restricted by (104). Let us notice that if we take all  $A_1$  satisfying (104), then the image of the translation is contained in the set of A' satisfying (77) with  $3\varepsilon_3$  instead of  $\varepsilon_3$ . All the representations and the estimates obtained up to now hold in this situation also, if we impose correspondingly stronger restrictions on  $\varepsilon_3$  and change the constants.

Now we will write equations obtained from (101) by removing the variations  $\delta A'$ . We have to take into account Eqs. (102) for  $\delta A'$ . By the definition of  $\mathfrak{P}$  we obtain all the variations  $\delta A'$  satisfying (102), if we take  $\delta A' = \mathfrak{P} \delta A$  for all variations  $\delta A$ , without any restrictions. We get the equalities

$$\langle \delta A', J \rangle = \langle \mathfrak{P} \delta A, J \rangle = \langle \delta A, \mathfrak{P}^* J \rangle, \tag{105}$$

$$\langle \delta A', \Delta_1 A_1 \rangle = \langle \mathfrak{P} \delta A, (\Delta_1 + DRD^* + aQ^*Q)A_1 \rangle$$
  
=  $\langle \delta A, (\Delta_1 + DRD^* + aQ^*Q)\mathfrak{P}A_1 \rangle = \langle \delta A, \Delta_1 A_1 \rangle,$  (106)

$$\left\langle \delta A', \left(\frac{\delta}{\delta A'}V\right)(A_1 + H_1B) \right\rangle = \left\langle \delta A, \mathfrak{P}^*\left(\frac{\delta}{\delta A'}V\right)(A_1 + H_1B) \right\rangle.$$
(107)

Applying them in (101) and using the fact that now  $\delta A$  are arbitrary, we get the equations

$$\mathfrak{P}^*J + \Delta_1 A_1 + \mathfrak{P}^*\left(\frac{\delta}{\delta A'}V\right)(A_1 + H_1 B) = 0, \tag{108}$$

$$QA_1 = 0, \quad RD^*A_1 = 0. \tag{109}$$

They are equivalent to Eqs. (101), (102). We denote by  $G_1$  an inverse operator to the operator  $\Delta_1 + DRD^* + aQ^*Q$ . Obviously we have  $\Delta_1A_1 = G_1^{-1}A_1$  and Eq. (108) implies

$$A_{1} + G_{1} \mathfrak{P}^{*}J + G_{1} \mathfrak{P}^{*}\left(\frac{\delta}{\delta A'}V\right) (A_{1} + H_{1}B) = 0.$$
(110)

In [5] we have proved that the operator  $G_1\mathfrak{P}^*$  is equal to the operator  $\mathfrak{G}$  defined by (3.148) and satisfying the equalities  $Q\mathfrak{G} = 0$ ,  $RD^*\mathfrak{G} = 0$ . Thus any solution of (110) satisfies automatically Eq. (108), (109). Let us rewrite this equation using the operator  $\mathfrak{G}$ ,

$$A_1 + \mathfrak{G}J + \mathfrak{G}\left(\frac{\delta}{\delta A'}V\right)(A_1 + H_1B) = 0.$$
(111)

Let us summarize the results of the discussion concerning the variational problem.

**Proposition 5.** All critical configurations  $U_1$  of the functional  $A(U_1U_0)$  in the space defined by (19)–(21),  $U_0$  satisfies (14), can be obtained from solutions of Eq. (111) in the space (104) by the transformation

$$U_1 = \exp i\eta \left[A_1 + H_1 B - H D (A_1 + H_1 B)\right].$$
(112)

Let us make some remarks about the constants  $\varepsilon_0$ ,  $\varepsilon_1$ ,  $\varepsilon_2$ ,  $\varepsilon_3$ . In the previous sections they were independent, although restricted by the conditions:

$$B_3\varepsilon_1 \leq \varepsilon_0, \quad B_1(\varepsilon_0 + C_1\varepsilon_1) \leq \varepsilon_2, \quad \varepsilon_2 \leq \frac{1}{4}\varepsilon_3, \tag{113}$$

 $\varepsilon_3$  sufficiently small. We get best restrictions on  $\varepsilon_0, \varepsilon_1$  (i.e. largest constants  $a_0, a_1$ ) if we take

$$\varepsilon_2 = B_1(\varepsilon_0 + C_1\varepsilon_1), \quad \varepsilon_3 = 4\varepsilon_2 = 4B_1(\varepsilon_0 + C_1\varepsilon_1). \tag{114}$$

Restrictions on  $\varepsilon_3$  are transformed into restrictions on  $\varepsilon_0$ ,  $\varepsilon_1$ . In the future we will keep using different  $\varepsilon$ 's.

#### E. An Analysis of Equation (111)

Let us consider this equation for configurations  $A_1$  in the space

$$|A_1| < \varepsilon_4 (L^j \eta)^{-1}, \quad |\nabla A_1| < \varepsilon_4 (L^j \eta)^{-2} \text{ on } \Omega_j, \quad \text{i.e. max} \{ |A_1|_{(-1)}, |\nabla A_1|_{(-2)} \} < \varepsilon_4.$$
(115)

We will prove that for  $\varepsilon_4$  sufficiently small the equation has a unique solution, and the solution is in the space (115) with  $\varepsilon_4 = O(\varepsilon_1)$ .

A solution of Eq. (111) is a fixed point of the transformation

$$A_1 \to -\mathfrak{G}J - \mathfrak{G}\left(\frac{\delta}{\delta A'}V\right)(A_1 + H_1B). \tag{116}$$

At first let us investigate for which  $\varepsilon_4$  this transformation maps the space (115) into itself. By Theorem 3.13 of [5] the norm max  $\{|\cdot|_{(-1)}, |\nabla \cdot|_{(-2)}\}$  of the transformation can be estimated by

$$B_{0}|J|_{(-3)} + B_{0} \left| \left( \frac{\delta}{\delta A'} V \right) (A_{1} + H_{1}B) \right|_{(-3)} < B_{0}C_{1}B_{3}\varepsilon_{1} + B_{0}C_{4}(\varepsilon_{4} + B_{0}|B|)^{2},$$
(117)

if  $\varepsilon_4 + B_0 |B| \le a_3$ . Further, we have the bound  $|B| < 2dLC_1\varepsilon_1$ ; hence the transformation (116) transforms the space (115) into itself if

$$\varepsilon_4 + 2dLB_0C_1\varepsilon_1 \leq a_3, \quad B_0C_1B_3\varepsilon_1 + B_0C_4(\varepsilon_4 + 2dLB_0C_1\varepsilon_1)^2 \leq \varepsilon_4.$$
(118)

These conditions are satisfied if e.g.  $2B_0C_1B_3\varepsilon_1 \leq \varepsilon_4$  and  $\varepsilon_4 \leq a_4$  for a sufficiently small  $a_4$ .

Next, let us investigate when the transformation (116) is contractive. A difference of its values at configurations  $A_1$ ,  $A_2$  can be written as

$$= -\mathfrak{G}\int_{0}^{1} dt \frac{d}{dt} \left( \frac{\delta}{\delta A'} V \right) ((1-t)A_{1} + tA_{2} + H_{1}B)$$

$$= -\mathfrak{G}\int_{0}^{1} dt \frac{1}{2\pi i} \int_{|\tau| = r} d\tau \frac{1}{\tau^{2}} \left( \frac{\delta}{\delta A'} V \right) ((1-t)A_{1} + tA_{2} + \tau(A_{1} - A_{2}) + H_{1}B).$$
(119)

The norm  $\max\{|\cdot|_{(-1)}, |\nabla \cdot|_{(-2)}\}$  of this expression can be estimated by

$$\frac{B_{0}}{r} \sup_{0 \le t \le 1} \sup_{|\tau|=r} \left| \left( \frac{\delta}{\delta A'} V \right) ((1-t)A_{1} + tA_{2} + \tau(A_{1} - A_{2}) + H_{1}B) \right|_{(-3)} \\
\le \frac{B_{0}}{r} C_{4} (\varepsilon_{4} + r \max\{|A_{1} - A_{2}|_{(-1)}, |\nabla(A_{1} - A_{2})|_{(-2)}\} + B_{0}|B|)^{2} \\
= 4B_{0} C_{4} (\varepsilon_{4} + B_{0}|B|) \max\{|A_{1} - A_{2}|_{(-1)}, |\nabla(A_{1} - A_{2})|_{(-2)}\}, \quad (120)$$

where we have taken  $r = (\varepsilon_4 + B_0|B|) (\max \{|A_1 - A_2|_{(-1)}, |\nabla(A_1 - A_2)|_{(-2)}\})^{-1}$ . We have to assume also that  $2(\varepsilon_4 + B_0|B|) \le a_3$ , in order to be able to apply Proposition 4. Thus the transformation is contractive if

$$2\varepsilon_4 + 4dLB_0C_1\varepsilon_1 \leq a_3, \quad 4B_0C_4(\varepsilon_4 + 2dLB_0C_1\varepsilon_1) \leq \frac{1}{2}.$$
 (121)

Assuming  $2B_0C_1B_3\varepsilon_1 \leq \varepsilon_4$ , the above conditions are satisfied if  $\varepsilon_4 \leq a_4$ , where  $a_4$  is a sufficiently small, absolute constant. Thus we have proved the following

**Proposition 6.** There exists a positive, absolute constant  $a_4$  such, that for  $\varepsilon_4 \leq a_4$  and  $\varepsilon_1$  satisfying  $2B_0C_1B_3\varepsilon_1 \leq \varepsilon_4$  Eq. (111) has exactly one solution in the space (115). This solution satisfies the bounds (115) with  $\varepsilon_4 = 3B_0C_1B_3\varepsilon_1$ . Moreover, if we replace the configuration  $H_1B$  by an arbitrary configuration  $\mathfrak{A}$  with values in the complexified Lie

algebra, and satisfying the same bounds as  $H_1B$ , then the above statement is again true and the solution is an analytic function of  $\mathfrak{A}$ .

Only the last statement requires an additional comment. The first part of it is obvious, and the analyticity follows from the fact that the solution can be constructed as a uniform limit of a sequence of successive approximations. The analyticity of these approximations follows from the analyticity of  $\delta/\delta A' V(A')$  as a function of A'.

Now let us draw some conclusions concerning the basic variational problems (5), (19)–(21), and (5), (6). Let us consider the first problem. If we take  $\varepsilon_4 = 8\varepsilon_2$ , and if we assume  $8\varepsilon_2 \leq a_4$  and  $2B_0C_1B_3\varepsilon_1 \leq 8\varepsilon_2$ , then by Propositions 5 and 6 there is at most one critical configuration of (5) in (19)–(21). We get the same conclusion for the second problem if we take  $\varepsilon_2 = B_1(\varepsilon_0 + C_1\varepsilon_1)$  and assume the above restrictions. To get simpler formulations let us take  $\varepsilon_2 = B_1\varepsilon_0 + 5dLB_0C_1B_3\varepsilon_1$  (we have  $B_1 = 5dLB_0$ ), then the second condition above is satisfied automatically. The first condition gives restrictions on  $\varepsilon_0$ ,  $\varepsilon_1$ . To simplify them let us use the assumption  $B_3\varepsilon_1 \leq \varepsilon_0$ . We have

$$8\varepsilon_2 \leq 8B_1\varepsilon_0 + 8B_1C_1\varepsilon_0 \leq 16B_1C_1\varepsilon_0, \tag{122}$$

thus if  $B_3\varepsilon_1 \leq \varepsilon_0$  and  $16B_1C_1\varepsilon_0 \leq a_4$ , then the functional (5) has at most one critical orbit in the space (6).

Equation (111) has a solution belonging to the space (115) with  $\varepsilon_4 = 2B_0C_1B_3\varepsilon_1$ , if  $2B_0C_1B_3\varepsilon_1 \leq a_4$ . This solution determines a critical configuration  $U_1$  by the transformation (112), such that  $A = 1/i\eta \log U_1$  belongs to (115) with  $\varepsilon_4$  given, for example, by  $\varepsilon_4 = 2(2B_0C_1B_3\varepsilon_1 + 2dLB_0C_1\varepsilon_1) \leq 5dLB_0C_1B_3\varepsilon_1 = B_1C_1B_3\varepsilon_1$ . This gives us a critical configuration  $U_1$  satisfying almost all conditions (19)–(21), except the bounds for the second order operators in (19). Unfortunately the regularity properties of the operator  $\mathfrak{G}$  formulated in Theorem 3.13 [5] do not give us these second order bounds. We need them because we want to conclude that the configuration  $U_1U_0$  belongs to the space (6) with  $\varepsilon_0 = O(\varepsilon_1)$ , and then to apply the results of [6], especially those of the Sect. F. To prove the second order bounds we have to repeat some of the previous arguments with slight changes.

We have constructed a critical configuration of the functional  $A(U_1U_0)$  in the space of field configurations satisfying (42), (43) with  $\varepsilon_2 \ge B_1C_1B_3\varepsilon_1$ . We want to show that this configuration is also a critical configuration of the functional considered on the space of field configurations satisfying (43) and

$$Q(\eta A) = B \text{ on } \mathfrak{B}_k, \quad RD^*A = f, \quad f \in \mathbb{R}, \quad |f|_{(-2)} < \gamma \varepsilon_2, \tag{123}$$

where  $\gamma$  will be chosen as a sufficiently small, positive constant. To prove it we will show that configurations with  $f \neq 0$  can be obtained from configurations with f = 0, i.e. satisfying (42), (43), by gauge transformations. Let us take a field A satisfying (42), (43), and the configuration  $U_1 = e^{i\eta A}$ . We can consider  $U_1$  as obtained from some U' satisfying the axial gauge conditions  $Ax_k(\mathfrak{B}_k, U_0)$  by a gauge transformation  $u_1$ satisfying the conditions (1.29) [6], i.e.

$$U_1 = U'^{u_1^{-1}}, \quad \overline{R_0 u_1} = 1 \text{ on } \Lambda_j, \quad j = 0, 1, \dots, k.$$
 (124)

The gauge transformation  $u_1$  is determined uniquely by  $U_1$  and is given by the

formula (106) [5]. It was investigated in [6], and satisfies the bounds (1.70)–(1.74) of that paper, with  $B_1(\alpha_0 + \alpha_1)$  replaced by  $\varepsilon_2$ . Now we are looking for a gauge transformation u' satisfying the conditions  $\overline{R_0 u' u_1}^j = 1$  on  $\Lambda_j$ , j = 0, 1, ..., k, and such that  $U'^{(u'u_1)-1} = U_1^{u'-1}$  satisfies the second condition (123), i.e. u' satisfies the following system of equations,

$$RD^* \frac{1}{i\eta} \log U_1^{u'-1} = f, \quad \tilde{u'}^{j} = \overline{R_0 u' u_1}^{j} = 1 \text{ on } \Lambda_j.$$
(125)

It differs from the equations considered in Sect. D of [6] only by the function f on the right-hand side above. For functions f small, e.g. satisfying the last condition in (123), all the results of that section are valid, and Eqs. (125) have a unique solution. This solution defines a configuration  $A = 1/i\eta \log U_1^{u'-1}$  satisfying (123). Thus the space (43), (123) can be represented as a union of submanifolds, each submanifold intersecting the subspace (42), (43) at exactly one point, and contained in an orbit of the group of gauge transformations. This implies that the functional  $A(U_1U_0)$  is constant on the submanifolds, and a critical point in the subspace (42), (43) is also a critical point in the space (43), (123). This conclusion applies to the constructed critical configuration.

Now we apply the linearizing transformation to configurations in the space (43), (123), and we get the functional (74), with the operator  $\Delta$  instead of  $\Delta_{\pi}$ , on the space of field configurations satisfying (77) with  $\varepsilon_3 \ge 4\varepsilon_2$ , and the conditions

$$L^{j}\eta Q_{j}A' = B \text{ on } \Lambda_{j}, \quad j = 0, 1, \dots, k, \quad RD^{*}A' = f, \quad f \in \mathbb{R},$$
 (126)

f in the small neighborhood of 0. The constructed critical configuration  $A'_1$  is in this space. Let us notice that locally the only restriction on the configurations in the space are given by the first equations in (126), therefore we obtain the following equation on  $A'_1$ ,

$$\langle \delta A', J \rangle + \langle \delta A', (\Delta - \Delta^{(2)})A'_1 \rangle + \left\langle \delta A', \left(\frac{\delta}{\delta A'}V\right)(A'_1) \right\rangle = 0,$$
 (127)

for all  $\delta A'$  satisfying  $Q\delta A' = 0$ . The configuration  $A'_1$  satisfies  $RD^*A'_1 = 0$ , hence the above equation can be written as

$$\left\langle \delta A', J \right\rangle + \left\langle \delta A', \Delta_a A_1' \right\rangle - \left\langle \delta A', \Delta^{(2)} A_1' \right\rangle + \left\langle \delta A', \left( \frac{\delta}{\delta A'} V \right) (A_1') \right\rangle = 0, \quad (128)$$

where  $\Delta_a = \Delta + DRD^* + Q^*aQ$  (the constant a = 1). For the operator  $\Delta_a^{-1} = G$  we have proved Theorem 3.3 in [5], and especially the bounds (3.42) for the second order operator. This will allow us to improve the regularity properties of  $A'_1$ .

We decompose  $A'_1 = A_0 + H_0 B$ , where  $H_0 B$  is defined as a minimum of the quadratic form  $\frac{1}{2} \langle A', \Delta_a A' \rangle$  on the subspace  $L^{j(\cdot)} \eta Q A' = B$ . We find easily that  $H_0 B$  is given by

$$H_0 B = GQ^* (QGQ^*)^{-1} (L^{j(\cdot)} \eta)^{-1} B,$$
(129)

and satisfies the bound (3.133) [5] with the additional inequality for the covariant Laplace operator

$$|(\Delta_{U_0}H_{0,\mu\nu})(x,y')| \leq B_0(L^j\eta)^{-3}(L^j\eta)^{-d}e^{-\delta_0d(y,y')},$$
  
 $x \in \Delta(y), \quad y \in \Lambda_j, \quad y' \in \Lambda_{j'}, \quad \text{or} \quad |\Delta_{U_0}H_0B|_{(-3)} \leq B_0|B|.$  (130)

By the definition of  $H_0B$  and the condition  $Q\delta A' = 0$  we have  $\langle \delta A', \Delta_a H_0 B \rangle = 0$ .

Next, let us construct a projection  $P_0$  onto the subspace  $\{A': QA' = 0\}$  in the space of fields A' on  $\Omega_0$ , with the scalar product  $\langle \cdot, \Delta_a \cdot \rangle$ . It is again very easy to find that the projection is given by

$$P_0 = I - GQ^* (QGQ^*)^{-1}Q.$$
(131)

Taking  $\delta A' = P_0 A'$ , where A' is arbitrary, and substituting into Eq. (128), we get the following equation,

$$\left\langle A', P_0^*J \right\rangle + \left\langle A', \Delta_a P_0 A_0 \right\rangle - \left\langle A', P_0^* \Delta^{(2)} A_1' \right\rangle + \left\langle A', P_0^* \left(\frac{\delta}{\delta A'} V\right) (A_1') \right\rangle = 0.$$
(132)

By the definition of  $H_0B$  we have  $QA_0 = 0$ , hence  $P_0A_0 = A_0$ ,  $\Delta_aA_0 = (\Delta + DRD^*)A_0$ . The above equation is satisfied for arbitrary A', hence we get

$$(\Delta + DRD^*)A_0 = -P_0^*J + P_0^*\Delta^{(2)}A_1' - P_0^*\left(\frac{\delta}{\delta A'}V\right)(A_1').$$
(133)

The bound (28), the inequality (3.137) [5] for the operator  $\Delta^{(2)}$ , the inequality (97) of Proposition 4, and the bounds  $|A'_1|_{(-1)}$ ,  $|\nabla A'_1|_{(-2)} < \frac{1}{2}B_1C_1B_3\varepsilon_1$  imply that the right-hand side of (133) can be estimated by  $0(1)C_1B_3\varepsilon_1(L^j\eta)^{-3}$  on  $\Omega_j$ . The configuration  $A_0 = A'_1 - H_0B$  satisfies similar bounds as  $A'_1$ , and we have

$$(\Delta + DRD^*)A_0 = (D^*D + DD^*)A_0 + (\Delta' - DPD^*)A_0,$$
(134)  
$$(D^*DA_0)_{\mu}(x) + (DD^*A_0)_{\mu}(x) = (\Delta_{U_0}A_{0,\mu})(x)$$

$$-\sum_{\nu=1}^{d} R(U_0(x, x + \eta e_{\mu})U_0(x + \eta e_{\mu}, x + \eta e_{\mu} - \eta e_{\nu}))$$
  
$$\cdot \eta^{-2} [R(U_0(\partial p'_{\mu\nu}(x))) - 1]A_{\nu}(x + \eta e^{\nu}), \qquad (135)$$

where  $p'_{\mu\nu}(x) = \langle x + \eta e_{\mu} - \eta e_{\nu}, x + \eta e_{\mu}, x, x - \eta e_{\nu} \rangle$ ,  $\Delta'$  and P were defined in [5] by (3.10), (3.25). This way we have expressed  $(\Delta + DRD^*)A_0$  as a sum of  $\Delta_{U_0}A_0$  and a bounded operator acting on  $A_0$ . The bound for this operator follows from the inequality (3.49) [5] for  $DPD^*$ , the inequality (3.69) [5] for  $\Delta'$ , and the regularity condition (14) for the configuration  $U_0$ . This together with (133) and the discussion following it implies the bound  $|\Delta_{U_0}A_0|_{(-3)} < O(1)C_1B_3\varepsilon_1$ , hence finally the bound

$$|\Delta_{U_0}A'_1| < O(1)C_1B_3\varepsilon_1(L^j\eta)^{-3} \quad \text{on} \quad \Omega_j, \quad j = 0, 1, \dots, k.$$
(136)

Now we apply the transformation (47) to the critical configuration  $A'_1$ , and we want to prove that the second term  $HD(A'_1)$  in this transformation satisfies the above bound also, besides the usual bounds following from (46), (55). We have to prove that the operator H has better regularity properties than these described in (3.133) [5], especially  $D^*DH$ ,  $\Delta_{U_0}H$  are bounded in the norm  $|\cdot|_{(-3)}$ . Indeed, (3.126) [5] yields

$$\Delta_{\pi}H = (\Delta_{\pi} + DRD^{*} + Q^{*}aQ)H - Q^{*}a(L^{j(\cdot)}\eta)^{-1}$$
  
=  $Q^{*}(QGQ^{*})^{-1}(L^{j(\cdot)}\eta)^{-1} - Q^{*}a(L^{j(\cdot)}\eta)^{-1},$  (137)

hence  $|\Delta_{\pi}HB|_{(-3)} \leq O(1)|B|$ . Above we have used the equality  $RD^*H = 0$ . This equality implies also that  $\Delta'_{\pi}H$  simplifies essentially, where  $\Delta_{\pi} = \Delta - \Delta'_{\pi}$  is defined by the formula (3.120) [5]. From this formula we get

$$2\langle A, \Delta'_{\pi}HB\rangle = \langle i[(G'RD^*A)(b_-) + R_b(G'RD^*A)(b_+), (HB)(b)], J\rangle, \quad (138)$$

and  $G'RD^*$  is a bounded operator in the norm  $|\cdot|_{(1)}$ . The properties of *HB* and *J* imply the bound

$$|\Delta'_{\pi}HB|_{(-3)} \leq O(1)|B|$$
, hence  $|\Delta HB|_{(-3)} \leq O(1)|B|$ . (139)

Finally we use the decomposition (3.10) [5], i.e.  $\Delta = D^*D + \Delta', \Delta'$  is a local, bounded operator satisfying the bound  $|\Delta'HB|_{(-3)} \leq O(\varepsilon_1)|B|$ . This implies the bound  $|D^*DHB|_{(-3)} \leq O(1)|B|$ , hence

$$|D^*DHD(A'_1)|_{(-3)} \leq O(1)|D(A'_1)| \leq O(1)4C_2|A'_1|_{(-1)}^2 < O(1)C_2(B_1C_1B_3\varepsilon_1)^2$$

To get a bound for  $\Delta_{U_0}HB$ , we write

$$D^*DHB = (D^*D + DRD^*)HB = (D^*D + DD^*)HB - DPD^*HB,$$
(140)

and we use again the formula (135), and the fact that  $DPD^*$  is a bounded operator. This gives the bound  $|\Delta_{U_0}HB|_{(-3)} \leq O(1)|B|$ .

Thus the transformation (47) applied to  $A'_1$  yields the configuration  $A'_1 - HD(A'_1) = 1/i\eta \log U_1$  satisfying all the conditions (19)–(21) with  $\varepsilon_2 = O(1)C_1B_3\varepsilon_1$ , where O(1) is an absolute constant depending on d and L only. Now we take the configuration  $U_1U_0$  and we apply to it a gauge transformation u satisfying the conditions  $\overline{R_0u}^j = 1$  on  $A_j$ , and such that the gauge transformed configuration  $(U_1U_0)^u$  satisfies the axial gauge conditions  $Ax_k(\mathfrak{B}_k, U_0)$ . Let us define  $U_k = (U_1U_0)^u$ . Proposition 7 [6] implies that  $U_k$  belongs to the space (18) with  $\varepsilon_0 = O(1)C_1B_3\varepsilon_1$ . It is a critical configuration of the functional (5). To see that  $U_k$  is a minimum we apply the whole procedure with the configuration  $U_k$  instead of  $U_0$ . We get a functional  $\mathfrak{F}(A')$  for which the critical configuration is equal to 0. This implies that a differential of  $\mathfrak{F}(A')$  at A' = 0 is equal to  $\langle \delta A', J \rangle$  and Eq. (93) has the form

$$\langle \delta A', J \rangle = 0$$
 for all  $\delta A': Q\delta A' = 0$ ,  $RD^*\delta A' = 0$ . (141)

Further, let us notice that B = 0, hence the configurations A' satisfy the same conditions as  $\delta A'$ , and we have  $\langle A', J \rangle = 0$ . Thus the expansion (81) for this functional has the form

$$\mathfrak{F}(A') = A(U_k) + \frac{1}{2} \langle A', \Delta_1 A' \rangle + V(A').$$
(142)

A second order differential at A' = 0 is given by the quadratic form above, and it is positive definite. Hence A' = 0 is a minimum of the functional (143) and this implies that  $U_k$  is a minimal configuration of the functional A(U).

Let us formulate the above conclusions concerning the problem (5), (6) in

**Proposition 7.** There exist positive, absolute constants  $a_0, a'_1$  such that for  $\varepsilon_0 \leq a_0$  and  $B_3\varepsilon_1 \leq \varepsilon_0$  the variational problem (5), (6) has at most one critical orbit. If  $\varepsilon_1 \leq a'_1$ , then there exists a minimal orbit in the space (6) with  $\varepsilon_0 = O(1)C_1B_3\varepsilon_1$ .

This proposition implies Theorem 1 but with worse bounds on the minimal

configuration, and without the regularity results (9), (10). These regularity results and the improved bounds will be proved in the next section.

Let us make few remarks about the alternative equation for the minimal configuration. Multiplying Eq. (133) by  $G = \Delta_a^{-1}$  we obtain

$$A_{0} = -GP_{0}^{*}J + GP_{0}^{*}\Delta^{(2)}A_{1}' - GP_{0}^{*}\left(\frac{\delta}{\delta A'}V\right)(A_{1}'),$$

where  $A'_1 = A_0 + H_0 B$ . By (131) we have the equality

$$GP_0^* = G - GQ^*(QGQ^*)^{-1} = \tilde{G},$$

hence the above equation can be written as

$$A_{0} = -\tilde{G}J + \tilde{G}\Delta^{(2)}(A_{0} + H_{0}B) - \tilde{G}\left(\frac{\delta}{\delta A'}V\right)(A_{0} + H_{0}B).$$
 (143)

This equation has all the properties of Eq. (111) and Proposition 6 is valid for it also. In fact it has better regularity properties, which we have used in the proof of Proposition 7. However it cannot replace Eq. (111), for example it does not imply necessarily that solutions satisfy the Landau gauge condition, which was used in the derivation of (143). Thus we have to use both equations, (111) for proving the existence, and (143) for regularity properties. In the future we will use more frequently Eq. (143).

#### F. Regularity Properties of Minimal Configurations

In this section we will prove all the regularity properties of minimal configurations  $U_k$ . We will use only the fact that they are critical configurations of the functional (5) and that they belong to the spaces (6) with  $\varepsilon_0$  sufficiently small.

Let us take a cube  $\Box$  intersecting  $\Omega_j$  but not  $\Omega_{j+1}$ , of a size  $2ML^j\eta$ . We are interested in two cases. To prove that  $U_k$  is in the space (8) we will take  $M = R_1M_1$ . To prove the regularity properties (9), (10) we will admit more general M, depending on  $\varepsilon_1$ . In both cases  $M \ge R_1M_1$  and we assume that M is a multiple of  $R_1M_1$ , i.e.  $M = M'R_1M_1$ , M' is an integer. We will use the notations and the results of the Sect. F from [6], so we refer the reader for explanations to that paper. For a given  $\Box$  we construct the sequence of cubes  $\{\Box_n\}$ , and the cube  $\Box$ . Let us recall that

$$\Box_0 \supset \Box_1 \cdots \supset \Box_j \supset \Box, \quad \text{dist}(\Box_{n+1}, \Box_n^c) = R_1 M_1 L^n \eta, \quad n = 0, 1, \dots, j, \quad (144)$$

and  $\square$  is a cube with dist $(\square, \square^c) = 2R_1M_1L^j\eta$ . Thus  $\square_j = \square'_j \cup \square''_j$ ,  $\square'_j \subset B^{j-1}(A_{j-1}), \square''_j \subset B^j(A_j)$  and  $\square \subset B^{j-1}(A_{j-1}) \cup B^j(A_j) \subset \Omega_{j-1}$ . For simplicity of notations we assume that j = k, a general case can be obtained by obvious rescalings. Now we repeat all the constructions of the Sect. F in [6]. Applying a gauge transformation to  $U_k$  we get a configuration  $U'_k$  such, that  $U'_k \in Ax_k(\square^{(k)}, 1)$ , and  $\overline{U'_k}^k$  satisfies the generalized axial gauge conditions on  $\square^{(k)}$ . The configuration  $U_k$  belongs to the space (2) with  $\varepsilon_0$  sufficiently small, hence  $U'_k$  satisfies the conditions (2) on  $\square$  with  $L^2 \varepsilon_0 \eta^2$  on the right-hand side. This, Proposition 2 from [4], and the generalized axial gauge conditions imply

$$|\overline{U}_{k}^{k}(x,x') - 1| < |x - y| 2L^{2}\varepsilon_{0} \leq 4d(M + R_{1}M_{1})L^{2}\varepsilon_{0} \leq 8dL^{2}M\varepsilon_{0}$$
(145)

for  $\langle x, x' \rangle \subset \widetilde{\Box}^{(k)}$ , y is a point of  $\Box^{(k)}$ . The inequality (1.65) [6] implies further

$$\overline{U'_{k}}'(x,x') - 1 | < 11d^{2}\varepsilon_{0} + 8dL^{2}M\varepsilon_{0} < 9dL^{2}M\varepsilon_{0} - \varepsilon_{0}, 
\text{for } \langle x,x' \rangle \subset \widetilde{\Box}^{(j)}, \quad j = 0, 1, \dots, k.$$
(146)

Let us notice that the gauge transformation changing  $U_k$  into  $U'_k$  does not belong to the subgroup (4) leaving the space (3) invariant. In fact the configuration Vdefining this space is changed into a configuration V'. We will be interested in V'restricted to  $\Box_k$ . More exactly V' is defined on  $(\Box_k \cap A_{k-1}) \cup (\Box_k \cap A_k) =$  $\Box'_k^{(k-1)} \cup \Box''_k^{(k)}$ , and if we define  $V'_1$  as

$$V'_{1} = \overline{V}' \quad \text{on } \square'^{(k)}_{k}, \quad V'_{1} = V' \quad \text{on } \square''^{(k)}_{k}, \tag{147}$$

then  $V'_1$  satisfies the generalized axial gauge conditions on  $\Box_k^{(k)}$  with a center at the point y.

We define

$$\mathfrak{C}_{k} = \bigcup_{j=0}^{k} \Lambda'_{j}, \quad \Lambda'_{0} = \Box_{1}^{c} \cap \widetilde{\Box}, \quad \Lambda'_{j} = \Box_{j}^{(j)} \backslash \Box_{j+1}^{(j)}, \quad j = 1, \dots, k-2,$$

$$\Lambda'_{k-1} = (\Box_{k-1}^{(k-1)} \backslash \Box_{k}^{(k-1)}) \cup \Box_{k}^{\prime(k-1)}, \quad \Lambda'_{k} = \Box_{k}^{\prime\prime(k)}. \tag{148}$$

Let us introduce a configuration V'' defined on  $\mathfrak{C}_k$  by

$$V'' = \overline{U'_k}^j$$
 on  $\Lambda'_j$ ,  $j = 0, 1, ..., k$ , hence  $V'' = V'$  on  $\Box_k^{'(k-1)} \cup \Box_k^{''(k)}$ . (149)

This definition implies

$$U'_{k} \in \mathfrak{U}_{k}(\{\Omega'_{j}\}, \varepsilon_{0}) \cap \mathfrak{B}_{k}(\mathfrak{C}_{k'}V'') \cap Ax_{k}(\mathfrak{C}_{k}, 1),$$
(150)

where  $\Omega'_j = \Box_j, j = 0, 1, \dots, k-1, \Omega'_k = \Box''_k$ .

The configuration  $U'_k$  is a minimum of the functional (5) in the space (6) with V' instead of V, hence it is a minimum of this functional in the space (150), because this space is defined by more restrictive functional conditions and a sufficiently small neighborhood of  $U'_k$  in (150) is contained in (6). The bounds (146) imply

$$|V''-1| < 9dL^2 M\varepsilon_0 - \varepsilon_0 \quad \text{on } \mathfrak{C}_k.$$
(151)

Now we apply Theorem 2 of the paper [6] to the pair of configurations  $U'_k$ , 1 (in place of  $U'U_0$ ,  $U_0$  in that paper). We assume that  $9dL^2M\varepsilon_0 \leq c_1$ . Then there exists a unique gauge transformation u satisfying the restrictions  $\bar{u}^j = 1$  on  $\Lambda'_j$ , and such that  $U'^{u-1}_k = U_1 = e^{i\eta A}$ ,

$$L^{j}\eta|A|, \quad (L^{j}\eta)^{2}|\nabla^{\eta}A|, \quad (L^{j}\eta)^{3}|\partial^{\eta*}\partial^{\eta}A|, \quad (L^{j}\eta)^{3}|\Delta^{\eta}A| < 9dL^{2}B_{1}M\varepsilon_{0}$$
  
on  $\Omega'_{j}, \quad j = 0, \quad 1, \dots, k;$  (152)

$$R\partial^{\eta} A = 0, \tag{153}$$

where the operator R is defined for the sequence  $\{\Omega'_i\}$ ;

$$\overline{U}_1^j(x,x') = V''(x,x') \text{ for } \langle x,x' \rangle \in \Lambda'_j, \quad x,x' \in \Lambda'_j,$$

$$\overline{U}_1^j(x,x') = \exp\left[-i\sum_{x_1\in B(x)} L^{-d}\frac{1}{i}\log V''(\Gamma_{x,x_1})\right]V''(x,x') \quad \text{for}$$

$$\langle x, x' \rangle \in \Lambda'_j, \quad x \in \Lambda'_{j-1}, \quad x' \in \Lambda'_j,$$
(154)

by Eqs. (1.31) in [6]. If we denote by  $V_1$  the configuration on the right-hand sides of (154), then

$$V_1 = e^{iB} \quad \text{with} \quad |B| < 18d^2 L^3 M \varepsilon_0, \tag{155}$$

for  $c_1$  sufficiently small, and the equalities (154) can be written as

$$Q_{j}(\eta A) = B \text{ on } A'_{j}, \quad j = 0, 1, \dots, k, \text{ or simply } Q(\eta A) = B.$$
 (156)

Now we proceed as in the previous sections, i.e. we make the change of variables A = A' - HD(A'). The configuration A' satisfies (152) with 36 instead of 9 on the right-hand side. We have to assume that  $36dL^2B_1M\varepsilon_0 \leq a_3$  in order to have a well-defined functional  $\mathfrak{F}(A')$ . It is much simpler now and can be written as

$$\mathfrak{F}(A') = \frac{1}{2} \langle A' - HD(A'), \partial^{\eta*} \partial^{\eta} (A' - HD(A')) \rangle + V_0(A' - HD(A'))$$
  
=  $\frac{1}{2} \langle A', \partial^{\eta*} \partial^{\eta} A' \rangle + V(A'),$   
 $R \partial^{\eta*} A' = 0, \quad L^j \eta Q_j A' = B \quad \text{on } A'_j, \quad j = 0, 1, \dots, k.$  (157)

Of course we can apply these transformations to all configurations in the space (150) and we get an open set of configurations A' satisfying (152) with the bound  $36dL^2B_1M\varepsilon_0$ , and the above equalities. Repeating the arguments of Sect. E we extend the domain of A' to configurations satisfying (123) instead of (157). The image of  $U'_k$  is a minimum of  $\mathfrak{F}(A')$ , thus representing it as  $A_1 + HB$ , we obtain Eq. (143) for  $A_1$ . In the considered case it can be written as

$$A_1 + \tilde{G}\left(\frac{\delta}{\delta A'}V\right)(A_1 + HB) = 0.$$
(158)

The configurations HB and  $A_1$  satisfy (152) with the bounds  $4dL^2B_1M\varepsilon_0$  and  $40dL^2B_1M\varepsilon_0$  correspondingly. The image of  $U'_k$  translated by -HB satisfies Eq. (158). We assume that it belongs to the domain on which this equation has a unique solution, i.e. we assume that  $40dL^2B_1M\varepsilon_0 \leq a_4$ . We can write it as an assumption on  $\varepsilon_1$  using Proposition 7, more exactly the equality  $\varepsilon_0 = O(1)C_1B_3\varepsilon_1$ . Let us notice that for the purpose of the proof of the regularity properties we can take  $C_1 = L^3$ , as it follows from the constructions at the beginning of the Sect. A. Thus our assumption is of the form  $O(1)B_3M\varepsilon_1 \leq a_4$ .

Let us notice that all the operators in this section are taken without any external gauge field configuration (or alternatively with the configuration equal to 1). These operators were considered in [2, 3].

We have to consider two situations. To prove that  $U_k$  belongs to the space (8) we have to prove the inequalities (2) with  $\varepsilon_0 = B_3\varepsilon_1$ . They are local, and for a plaquette p, or a bond b, we take a unit cube  $\Delta_0 \subset B^j(\Lambda_j)$  containing p or b. The cube  $\Delta_0$  is contained in a big cube of the size  $2R_1M_1L^j\eta$  and we take  $\Box$  as this big cube. It is enough to prove the inequalities (2) for the configuration  $U'_k$ , and for plaquettes and bonds belonging to  $\Delta_0$ , with  $\varepsilon_0 = B_3\varepsilon_1$  and j = k. These inequalities, and the gauge invariance of the left-hand sides of (2), imply that  $U_k$  belongs to the space (8). In this

case we take y as a point defining  $\Delta_0$ , i.e.,  $\Delta_0 = B^k(y)$ . We have to prove also the regularity conditions (9), (10) for a cube  $\Box$  of a size 2*M*. In this case y is a center of  $\Box$ . We have constructed the configuration  $U_1 = e^{i\eta A}$ , and A is given by

we have constructed the configuration  $O_1 = e^{-r}$ , and A is given

$$A = A_1 + HB - HD(A_1 + HB), (159)$$

where  $A_1$  satisfies Eq. (158). We know also that  $A_1 + HB$  satisfies the bounds (152) with  $36dL^2B_1M\varepsilon_0 = O(1)B_3M\varepsilon_1$  on the right-hand side. Let us consider the configuration HB on the cube  $\Box$ . Now we use the assumption (7) for V, hence for V'. It implies that  $|V'_1(\partial p) - 1| < \varepsilon_1$  for  $p \in \bigcup_{k}^{m(k)}$ , and  $|V'_1(\partial p) - 1| < 2L^2\varepsilon_1$  for  $p \subset \bigcup_{k}^{m(k)}$ and  $\varepsilon_1$  small, where the configuration  $V'_1$  was defined by (147). It satisfies the generalized axial gauge conditions on  $\Box_k^{(k)}$  with a center at the point y, hence  $|V'_1(x, x') - 1| < |x - y| 2L^2\varepsilon_1$  for  $\langle x, x' \rangle \subset \Box_k^{(k)}$ . If  $\langle x, x' \rangle \in \bigcup_{k}^{m(k)}$ , then  $V'_1(x, x')$  $= V'(x, x') = V''(x, x') = V_1(x, x')$ , the last equality follows from the definition of  $V_1$  in (154) and from the assumption that V'' = V' on  $\Box_k^{(k-1)}$  and  $V' \in Ax_1(\Box_k^{(k)}, 1)$ , hence  $V''(\Gamma_{x,x_1}) = 1$  for  $x_1 \in B(x), x \in \Box_k^{(k)}$ . Thus the above estimate implies that |B(x, x')| $< |x - y| 4L^2\varepsilon_1$ . If  $\langle x, x' \rangle \subset \Box_k^{(k)}$ , then we apply the Lemma 1 of [6]. We consider the set  $\Lambda' = B(x) \cup B(x')$ , and the assumptions of this lemma are satisfied for V' = V'' $= V_1$  with  $\alpha_0 = L^2\varepsilon_1, \alpha_1 = |x - y| 2L^2\varepsilon_1$ . The lemma implies that  $|V_1(x_1, x_1') - 1|$  $< 4d^2L^2\varepsilon_1 + |x - y| 2L^2\varepsilon_1$  for  $\langle x_1, x_1' \rangle \subset B(x) \cup B(x')$ , hence  $|B(x_1, x_1')| < 8d^2L^2\varepsilon_1$  $+ |x_1 - y| 4L^2\varepsilon_1$ . Finally we have

$$|B(x,x')| < (8d^2L^2 + 4L^2|x-y|)\varepsilon_1 \quad \text{for} \quad \langle x,x' \rangle \in \prod_k^{\prime(k-1)} \cup \prod_k^{\prime\prime(k)}.$$
(160)

This bound and the global bound (152) imply the following bounds on the cube  $\Delta(y_1)$ ,  $\Delta(y_1) = \Delta_0$  or  $y_1 \in \Box$ ,

$$|HB|, |\nabla^{\eta}HB|, |\partial^{\eta*}\partial^{\eta}HB|, |\Delta^{\eta}HB| \leq B_{0} \sum_{c \in \mathfrak{C}_{k}} e^{-\delta_{0}d(y_{1},c_{-})} (L^{j(c)}\eta)^{-1} |B(c)|$$

$$< dB_{0} \sum_{y_{2} \in (A'_{k-1} \cup A'_{k}) \cap \Box_{k}} e^{-\delta_{0}d(y_{1},y_{2})} (2d^{2} + |y_{2} - y|) 4L^{2}\varepsilon_{1}$$

$$+ dB_{0} \sum_{y_{2} \in \mathfrak{C}_{k} \setminus \Box_{k}} e^{-(1/2)\delta_{0}d(y_{1},y_{2})} e^{-(1/2)\delta_{0}R_{1}M_{1}} (L^{j_{2}}\eta)^{-1} 18d^{2}L^{3}M\varepsilon_{0}$$

$$\leq dB_{0} \sum_{y_{2} \in (A'_{k-1} \cup A'_{k}) \cap \Box_{k}} e^{-(1/2)\delta_{0}d(y_{1},y_{2})} (d(y_{1},y_{2}) + 3d^{2}M_{\Delta}) 4L^{2}\varepsilon_{1}$$

$$+ dB_{0} \sum_{y_{2} \in \mathfrak{C}_{k} \setminus \Box_{k}} e^{-(1/2)\delta_{0}d(y_{1},y_{2})} (d(y_{1},y_{2}) + M_{\Delta}) (L^{j_{2}}\eta)^{-1} e^{-(1/2)\delta_{0}R_{1}M_{1}} 18d^{2}L^{3}\varepsilon_{0}$$

$$\leq 18d^{3}L^{3}B_{0} \sum_{y_{2} \in \mathfrak{C}_{k}} e^{-(1/2)\delta_{0}d(y_{1},y_{2})} (d(y_{1},y_{2}) + 1) (L^{j_{2}}\eta)^{-1}$$

$$\cdot M_{A} \max\left\{\varepsilon_{1}, e^{-(1/2)\delta_{0}R_{1}M_{1}}\varepsilon_{0}\right\},$$
(161)

where  $y_1 \in \Delta$ , and  $M_{\Delta} = 1$  for  $\Delta = \Delta_0$ ,  $M_{\Delta} = M$  for  $\Delta = \Box$ . It is now clear how we should define  $B_3$ . Let us take

$$B_{3} = 72d^{3}L^{3}B_{0} \sup_{\mathfrak{C}_{k}} \sup_{y_{1}} \sum_{y_{2} \in \mathfrak{C}_{k}} e^{-1/2\delta_{0}d(y_{1},y_{2})} (d(y_{1},y_{2})+1)(L^{j_{2}}\eta)^{-1}.$$
(162)

It follows from the inequalities (2.47)-(2.51) of [3] that  $B_3$  depends on d and L only.

We may assume that  $R_1M_1$  is sufficiently big, so that

$$B_3 e^{-(1/2)\delta_0 R_1 M_1} \leq \frac{1}{2}.$$
(163)

Then we get on  $\Delta$ 

$$|HB|, |\nabla^{\eta}HB|, |\partial^{\eta}*\partial^{\eta}HB|, |\Delta^{\eta}HB| < \frac{1}{4}M_{\Delta}\max\{B_{3}\varepsilon_{1}, \frac{1}{2}\varepsilon_{0}\}.$$
(164)

This bound, the equality (159) and Eq. (158) imply

$$|A|, |\nabla^{\eta}A|, |\partial^{\eta*}\partial^{\eta}A|, |\Delta^{\eta}A| < \frac{1}{4}M_{\Delta}\max\{B_{3}\varepsilon_{1}, \frac{1}{2}\varepsilon_{0}\} + B_{0}C_{4}(36dL^{2}B_{1}M\varepsilon_{0})^{2} + B_{0}4C_{2}(36dL^{2}B_{1}M\varepsilon_{0})^{2} \leq \frac{1}{4}M_{\Delta}\max\{B_{3}\varepsilon_{1}, \frac{1}{2}\varepsilon_{0}\} + B_{0}(C_{4} + 4C_{2})(36dL^{2}B_{1}R_{1}M_{1})^{2}(M'\varepsilon_{0})^{2},$$
(165)

where M' = 1 in the first case, where  $\Box$  is a cube of the size  $R_1M_1$  containing  $\Delta_0$ , and  $M' = (R_1M_1)^{-1}M$  in the second case. We take a largest absolute number  $a_5$  such that  $M'\varepsilon_0 \leq a_5$  implies all the previous restrictions on  $\varepsilon_0$ , and such that

$$B_0(C_4 + 4C_2)(36dL^2B_1R_1M_1)^2a_5 \le \frac{1}{8}.$$
(166)

If  $M'\varepsilon_0 \leq a_5$ , then we get

$$|A|, \quad |\nabla^{\eta}A|, \quad |\partial^{\eta*}\partial^{\eta}A|, \quad |\Delta^{\eta}A| < \frac{1}{4}M_{\Delta}\max\left\{B_{3}\varepsilon_{1}, \frac{1}{2}\varepsilon_{0}\right\} + \frac{1}{8}M'\varepsilon_{0} \text{ on } \Delta.$$
(167)

Now let us draw conclusions concerning the regularity of  $U'_k$  from the above inequality. We take  $\Delta = \Delta_0$ , hence  $M_{\Delta} = 1$ , M' = 1, and we have this inequality with  $\varepsilon' = \frac{1}{2} \max \{B_3 \varepsilon_1, \frac{1}{2} \varepsilon_0\}$  on the right-hand side. This and the inequality (1.54) of [6] imply

$$|D_{U_1}^{\eta*} \partial U_1| < \varepsilon' + 86d\varepsilon'^2 < 2\varepsilon' \text{ on } \Delta_0$$
(168)

for  $\varepsilon'$  small, similarly for  $|\partial U_1 - 1|$ . Again using the fact that  $U_1$  is a gauge transformed  $U'_k$  on  $\Delta_0$ , and that the conditions (2) are gauge invariant, we conclude that  $U'_k$  satisfies (2) on  $\Delta_0$  with max  $\{B_3\varepsilon_1, \frac{1}{2}\varepsilon_0\}$  instead of  $\varepsilon_0$ . The cube  $\Delta_0$  is an arbitrary cube  $\Delta(y) = B^j(y)$ , if  $y \in \Lambda_j$ , hence  $U_k$  belongs to the space (2) with max  $\{B_3\varepsilon_1, \frac{1}{2}\varepsilon_0\}$  instead of  $\varepsilon_0$ . If  $\frac{1}{2}\varepsilon_0 \leq B_3\varepsilon_1$ , then the required regularity is proved. If  $\frac{1}{2}\varepsilon_0 > B_3\varepsilon_1$ , then we apply again the whole reasoning with  $\frac{1}{2}\varepsilon_0$  instead of  $\varepsilon_0$ . We continue this way until we reach the bound  $B_3\varepsilon_1$ .

Let us formulate this result in

**Proposition 8.** There exists a positive, absolute constant  $a_5$  such, that if U is a critical configuration of (5) in the space (6) with V satisfying (7), and if  $\varepsilon_0 \leq a_5$ , then U belongs to the space (8).

We have constructed the minimal configuration  $U_k$  in the space (2) with  $\varepsilon_0 = O(1)B_3\varepsilon_1$ , hence we have the additional restriction on  $\varepsilon_1$ :  $O(1)B_3\varepsilon_1 \leq a_5$ . Now we define  $a_1$  as a largest constant such, that the restriction  $\varepsilon_1 \leq a_1$  implies all the other restrictions we have imposed on  $\varepsilon_1$ . Especially it implies that  $U_k$  is in the space (8).

Let us consider the second case, i.e.  $\Delta = \Box$  with a size M. We may take advantage of the fact that we have proved the regularity property (8), thus we take  $\varepsilon_0 = B_3 \varepsilon_1$ . Assuming  $M'B_3 \varepsilon_1 \leq a_5$ , we get from the inequality (167),

(the left-hand side of (167)) 
$$< \frac{1}{4}MB_{3}\varepsilon_{1} + \frac{1}{8}M'B_{3}\varepsilon_{1} < \frac{1}{2}MB_{3}\varepsilon_{1}$$
. (169)

The condition  $M'\varepsilon_1 \leq a_1$  implies  $M'B_3\varepsilon_1 \leq a_5$ , hence we have proved the regularity conditions (9), (10), and the proof of Theorem 1 is completed.

## G. Analyticity and an Expansion of $U_k$

The minimal configuration  $U_k$  is a (multi-valued) function of the average variables V,  $U_k = U_k(V)$ . We will show that it is an analytic function of V in the following sense: if  $V = V'V_0$ , V' small,  $U_0 = U_k(V_0)$ , and if we fix a gauge condition for  $U_k(V'V_0)U_0^{-1}$ , then it is an analytic function of  $B = 1/i \log V'$  and it has an expansion as a power series in B. In fact we are interested more in this expansion than in an analyticity property, because a particular example of it is the expansion in fluctuation variables. This is one of the main steps in our procedure.

Let us make a remark concerning the minimal configurations  $U_k$ . If we take such a configuration as  $U_0$  in the expansion (74), then Eqs. (82), (99) are satisfied for A'=0, hence we have

$$\langle \delta A', J \rangle = 0$$
 for  $\delta A': Q(U_k) \delta A' = 0$ ,  $R(U_k) D_{U_k}^{\eta *} \delta A' = 0$ , (170)

where

$$J = \eta^{-2} \operatorname{Im} D_{U_k}^{\eta *} \partial U_k.$$

The above condition can be written simply as the equation

$$\mathfrak{P}^*(U_k)J = 0 \tag{171}$$

Now let us take  $V = V'V_0$ ,  $V_0$  satisfies the condition (7), V' satisfies

$$|V'-1| < C_1 \varepsilon_1, \text{ hence } V' = e^{iB'}, \quad |B'| < 2C_1 \varepsilon_1 \text{ on } \mathfrak{B}_k, \tag{172}$$

for  $\varepsilon_1$  sufficiently small. We take  $U_0 = U_k(V_0)$  and we consider the pair of configurations  $U_k(V'V_0)$ ,  $U_0$ . We repeat the whole procedure of Sects. A-E for this pair. At first we fix the Landau gauge for the configuration  $U_k(V'V_0)U_0^{-1} = U_1$ , and we have

 $U_1 = \exp i\eta \mathcal{H}(B)$ ,  $\mathcal{H}$  satisfies the conditions (19)–(21) with

$$\varepsilon_2 = B_1(B_3(1+4C_1)\varepsilon_1 + C_1\varepsilon_1) \leq 6B_1B_3C_1\varepsilon_1 = B_5\varepsilon_1.$$
(173)

We assume that  $C_1$  is an absolute constant, hence  $B_5$  is such a constant also. The configuration  $\mathcal{H}$  is represented as

$$\mathscr{H} = \mathscr{A}_1 + H_1 B - HD(\mathscr{A}_1 + H_1 B), \tag{174}$$

where  $\mathcal{A}_1$  satisfies the equation

$$\mathscr{A}_{1} + \mathfrak{G}\left(\frac{\delta}{\delta A'}V\right)(\mathscr{A}_{1} + H_{1}B) = 0, \qquad (175)$$

and the regularity conditions (19) with  $\varepsilon_2 = 8B_5\varepsilon_1$ . The function on the right-hand side of (174) is an analytic function of  $\mathscr{A}_1 + H_1B$ , and we have discussed its expansion in Sect. C. It is generated by Eq. (56) and by recursive equations obtained

from it. The function  $\mathscr{A}_1$ , as a solution of Eq. (175), is an analytic function of  $H_1B$ , hence of B. An expansion of  $\mathscr{A}_1$  in  $H_1B$  can be obtained again by taking an expansion of  $((\delta/\delta A')V)(A')$  in A', substituting it in (175) together with the unknown expansion of  $\mathscr{A}_1$ , and then solving a recursive system of equations. Let us notice that it begins with a term of second order in  $H_1B$ , more exactly we have

$$\mathscr{A}_{1}^{(2)} = -\mathfrak{G}\left(\frac{\delta}{\delta A'}V^{(3)}\right)(H_{1}B).$$
(176)

This implies that the expansion of  $\mathcal{H}$  begins with the first order term  $H_1B$ . Let us write first and second order terms in it

$$\mathscr{H} = H_1 B - \mathfrak{G}\left(\frac{\delta}{\delta A'} V^{(3)}\right) (H_1 B) - H C^{(2)}(H_1 B) + \cdots.$$
(177)

We can generate this way terms of an arbitrary order in the expansion.

Now let us write the alternative condition for the minimal configuration  $U_k$ , and the alternative equations for  $\mathscr{H}$  and  $\mathscr{A}$ . The condition follows from Eq. (127). We take  $U_0 = U_k$ , and then it is satisfied for  $A'_1 = 0$ , hence we obtain

$$\langle \delta A', J \rangle = 0$$
 for  $\delta A' : Q(U_k) \delta A' = 0,$  (178)

or

$$P_0^*(U_k)J = 0.$$

This is obviously a more general and natural condition, because it does not involve any gauge fixing restrictions on  $\delta A'$ , and none such restrictions appear in the formulation of the variational problem either. This condition can be written in several different forms also, for example we can use the averaging operations  $\tilde{Q}$ instead of Q, or mix these operations. The configuration  $\mathcal{H}$  can be represented as

$$\mathscr{H} = \mathscr{A}_0 + H_0 B - H D(\mathscr{A}_0 + H_0 B), \tag{179}$$

where  $\mathcal{A}_0$  satisfies the equation obtained from (143),

$$\mathscr{A}_0 - \tilde{G}\Delta^{(2)}(\mathscr{A}_0 + H_0B) + \tilde{G}\left(\frac{\delta}{\delta A'}V\right)(\mathscr{A}_0 + H_0B) = 0.$$

We may simplify this equation including the operator  $-\Delta^{(2)}$  into the definition of G, i.e. defining  $G = (\Delta_a - \Delta^{(2)})^{-1}$ . From the estimate (3.137) it follows that  $\Delta^{(2)}$  is a small perturbation of  $\Delta_a$ , and the new operator G has exactly the same properties as  $\Delta_a^{-1}$ . Using this new operator G we obtain the equation

$$\mathscr{A}_{0} - \tilde{G}\Delta^{(2)}H_{0}B + \tilde{G}\left(\frac{\delta}{\delta A'}V\right)(\mathscr{A}_{0} + H_{0}B) = 0.$$
(180)

It is an equation of the same type as (175), and it has the same analyticity properties, and an expansion of the solution  $\mathscr{A}_0$  can be generated in the same way as for (175), i.e. we have the corresponding formulas (176), (177). The advantage is that the operators in (180) have better regularity properties than the operators in (175)–(177).

Independently of the representation chosen, the function  $\mathcal{H}(B)$  is an analytic

function of  $g^c$ -valued configurations *B* defined on  $\mathfrak{B}_k$  and satisfying (172). We make the gauge transformation inverse to the one applied previously, i.e. we transform the configuration in the Landau gauge back to the axial gauge. This transformation is an analytic function of  $\mathscr{H}$ , hence of *B*, and we obtain a gauge field configuration in the axial gauge, which we denote also by  $U_k(V'V_0)$ . It is an analytic function of V', for V' with values in a small neighborhood of the identity in  $G^c$ , and for V' with values in *G* it coincides with the minimal configuration constructed in the previous sections. This function can be extended further using gauge transformations. For the minimal configurations in the axial gauge we have

$$U_k(V^{\nu}) = U_k(V)^{\bar{\nu}},$$
(181)

where  $\bar{v}$  is constant on blocks  $B^{j}(y)$ ,  $y \in \Lambda_{j}$ , and equal to v(y). This equality extends by analyticity to  $G^{c}$ -valued configurations V described above, and then, again by analyticity, to  $G^{c}$ -valued gauge transformations v in a small neighborhood of Gvalued transformations. This means that we can prove the equality (181) for all these v for which  $V^{v}$  is in the analyticity domain of  $U_{k}$ . We can extend it to all  $G^{c}$ -valued vtreating the equality as a definition for the remaining v. Thus  $U_{k}(V)$  is defined on all orbits of the group of  $G^{c}$ -valued transformations, which contain elements  $V = V'V_{0}$ described before. It is an analytic function on the union of the set of orbits, and it satisfies (181). We will use these statements in a subsequent paper.

Now we consider another important problem connected with the function  $\mathscr{H}(B)$ . In the future we will have to use decay properties of the functional derivative  $(\delta/\delta B)\mathscr{H}(B)$ . We will prove that this derivative has regularity and decay properties identical to the propagator H, or  $H_0$ . The proof will be similar to the proof of the decay property (73) for the derivative  $(\delta/\delta A')D(A')$ . The functional derivative  $(\delta/\delta B)\mathscr{H}(B)$  satisfies a linear equation obtained by differentiations of the equations determining  $\mathscr{H}(B)$ . For example we consider Eqs. (179), (180). Differentiation of (179) yields

$$\frac{\delta}{\delta B}\mathcal{H} = \frac{\delta}{\delta B}\mathcal{A}_0 + H_0 - H\left\langle \mathcal{D}(\mathcal{A}_0 + H_0 B), \frac{\delta}{\delta B}\mathcal{A}_0 + H_0 \right\rangle,$$
(182)

where the last scalar product is with respect to bonds in  $\Omega_0$  in  $\eta$ -scale. Differentiation of (180) yields

$$\frac{\delta}{\delta B}\mathscr{A}_{0} + \tilde{G}\left\langle \left(\frac{\delta^{2}}{\delta A'}V\right)(\mathscr{A}_{0} + H_{0}B), \frac{\delta}{\delta B}\mathscr{A}_{0} + H_{0}\right\rangle = \tilde{G}\Delta^{(2)}H_{0}$$
(183)

Let us denote  $\mathfrak{A}_0(b,c) = (\delta/\delta B(c)) \mathscr{A}_0(B,b)$ . We may fix a bond  $c \in \mathfrak{B}_k$  and consider the above equation as an equation for the function  $\mathfrak{A}_0(\cdot,c)$ . This equation can be written as

$$\left(I + \tilde{G}\left(\frac{\delta^2}{\delta A'^2}V\right)(\mathscr{A}_0 + H_0B)\right)\mathfrak{A}_0 = \tilde{G}\Delta^{(2)}H_0 - \tilde{G}\left(\frac{\delta^2}{\delta A'^2}V\right)(\mathscr{A}_0 + H_0B)H_0, \quad (184)$$

where the derivative  $(\delta^2/\delta A'^2)V$  is treated as a kernel of a linear operator. For a configuration A' satisfying (77) with  $\varepsilon_3$  sufficiently small we have

$$\left(\frac{\delta^2}{\delta A'^2}V\right)(A')\mathfrak{A} = \frac{d}{d\tau}\left(\frac{\delta}{\delta A'}V\right)(A' + \tau\mathfrak{A}) \upharpoonright_{\tau=0} = \frac{1}{2\pi i} \int_{|\tau|=r} \frac{d\tau}{\tau^2} \left(\frac{\delta}{\delta A'}V\right)(A' + \tau\mathfrak{A})$$
taking
(185)

and taking

$$r = \max\{|A'|_{(-1)}, |\nabla A'|_{(-2)}\}(\max\{|\mathfrak{A}|_{(-1)}, |\nabla \mathfrak{A}|_{(-2)}\})^{-1},$$

we get from Proposition 4

$$\left| \left( \frac{\delta^2}{\delta A'^2} V \right) (A') \mathfrak{A} \right|_{(-3)} \leq 4C_4 \varepsilon_3 \max\left\{ |\mathfrak{A}|_{(-1)}, |\nabla \mathfrak{A}|_{(-2)} \right\}.$$
(186)

This implies the bound

$$\left| \widetilde{G}\left(\frac{\delta^{2}}{\delta A^{\prime 2}} V\right) (\mathscr{A}_{0} + H_{0}B) \mathfrak{A} \right|_{(-1)} \left| \nabla \widetilde{G}\left(\frac{\delta^{2}}{\delta A^{\prime 2}} V\right) (\mathscr{A}_{0} + H_{0}B) \mathfrak{A} \right|_{(-2)} \leq O(1) B_{0} C_{4} B_{5} \varepsilon_{1} \max\left\{ |\mathfrak{A}|_{(-1)}, |\nabla \mathfrak{A}|_{(-2)} \right\}$$
(187)

for  $\varepsilon_1$  sufficiently small, hence the norm of the linear operator is small also. Thus Eq. (184) can be solved by the Neumann series expansion

$$\mathfrak{A}_{0} = \left(I + \widetilde{G}\left(\frac{\delta^{2}}{\delta A^{\prime 2}}V\right)(\mathscr{A}_{0} + H_{0}B)\right)^{-1} \left(\widetilde{G}\Delta^{(2)}H_{0} - \widetilde{G}\left(\frac{\delta^{2}}{\delta A^{\prime 2}}V\right)(\mathscr{A}_{0} + H_{0}B)H_{0}\right)$$
(188)

To prove an exponential decay we have to investigate more closely the kernel  $((\delta^2/\delta A'^2)V)(A')$ . This is, unfortunately, a very awkward and complicated problem, although quite straightforward. Already the first derivative of V is complicated and given by many formulas, see (85), (88), (89), (90), (93). Now we have to differentiate those expressions second time. We do not perform these calculations here, we have obtained all necessary results to do the calculations and estimates, let us formulate a final result only. We have

$$\left| \Delta(y) \frac{\delta^2}{\delta A'^2} V(A') \mathfrak{A} \right| \leq O(1) \varepsilon_3 (L^j \eta)^{-3} \exp\left( -\frac{1}{4} \delta_0 d(y, y') \right) \max\left\{ |\mathfrak{A}|_{(-1)}, |\nabla \mathfrak{A}|_{(-2)} \right\},\tag{189}$$

for supp  $\mathfrak{A} \subset \overline{\mathcal{A}}(y')$ , A' satisfying (77),  $y \in A_j$ . This inequality, the formula (188) and Lemma 2.1, and finally Proposition 2 and (181), yield

$$\begin{aligned} \left| \frac{\delta}{\delta B_{\nu}(y')} \mathscr{H}_{\mu}(B, x) \right|, \left| \nabla_{x} \frac{\delta}{\delta B_{\nu}(y')} \mathscr{H}_{\mu}(B, x) \right|, \left\| \zeta \nabla \frac{\delta}{\delta B(y')} \mathscr{H}(B) \right\|_{\beta}, \\ \left| D_{U_{k}}^{\eta*} D_{U_{k}}^{\eta} \frac{\delta}{\delta B_{\nu}(y)} \mathscr{H}_{\mu}(B, x) \right|, \left| \Delta_{U_{k}}^{\eta} \frac{\delta}{\delta B_{\nu}(y')} \mathscr{H}_{\mu}(B, x) \right| \\ &\leq O(1) [(L^{j}\eta)^{-1}, \quad (L^{j}\eta)^{-2}, \quad (\| \zeta \|_{\beta}^{\varepsilon} + |\zeta|) (L^{j}\eta)^{-2-\beta}, \quad (L^{j}\eta)^{-3}, \quad (L^{j}\eta)^{-3}] \\ &\cdot (L^{j}\eta)^{-d} \exp\left(-\frac{1}{8} \delta_{0} d(y, y')\right) \end{aligned}$$
(190)

for  $x \in \Delta(y)$ , or supp  $\zeta \subset \widetilde{\Delta}(y)$ ,  $y \in \Lambda_j$ ,  $y' \in \Lambda_{j'}$ .

There is another important dependence of the function  $\mathcal{H}$ . It depends on the gauge field configuration  $U_k$  through the functions and the operators in Eqs. (174),

(175), or (179), (180). These functions and operators can be extended to arbitrary, regular configurations U, precise definitions are given in [4–6]. They are analytic functions of these configurations, having the properties and bounds as described above, and the equations determine an analytic function  $\mathcal{H}$ . All the above considerations and properties are valid for this function also.

Let us formulate the results of this section.

**Proposition 9.** The minimal configuration  $U_k(V) = U_k(V'V_0)$  in the axial gauge has an extension to an analytic function of  $G^c$ -valued small configurations V' on  $\mathfrak{B}_k$ . It can be extended further to all orbits of such configurations  $V'V_0$  by the equality (181). The function  $U_k(V'V_0)U_k(V_0)^{-1}$  transformed to the Landau gauge is, by the definition, equal to exp in  $\mathscr{H}(B)$ , where  $B = 1/i \log V'$ . The function  $\mathscr{H}(B)$  is determined by Eqs. (174), (175), or (179), (180). It is an analytic function of B, and also of the external gauge field configuration U satisfying the regularity conditions (3.35)–(3.38) [5], or (1.7)–(1.9) [6]. It satisfies the conditions (19)–(21) with  $\varepsilon_2 = B_5\varepsilon_1$  (see (173)), and its functional derivative (182) satisfies the inequalities (190).

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