A New Method for Constructing Factorisable Representations for Current Groups and Current Algebras

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Abstract. Let $C_e^{\infty}(\mathbb{R}^n, G)$ denote the group of infinitely differentiable maps from *n*-dimensional Euclidean space into a simply connected and connected Lie group, which have compact support. This paper introduces a class of factorisable unitary representations of $C_e^{\infty}(\mathbb{R}^n, G)$ with the property that the unitary operator U_f corresponding to a function f in $C_e^{\infty}(\mathbb{R}^n, G)$ depends not only on f, but also on the derivatives of f up to a certain order. In particular these representations can not be extended to the group of all continuous functions from \mathbb{R}^n to G with compact support.

§1. Introduction

Let G be a simply connected and connected Lie group and let \mathscr{G} be its Lie algebra. Let $\exp:\mathscr{G} \to G$ denote the exponential map. We denote by $C_e^{\infty}(R, G)$ the class of all C^{∞} maps from R into G with compact support. A map $\varphi: R \to G$ is said to have compact support if takes the value e, i.e., the identity element of G outside a compact set. Let $C_0^{\infty}(R, \mathscr{G})$ denote the class of all infinitely differentiable maps from R into the vector space \mathscr{G} with compact support. For any $f \in C_0^{\infty}(R, \mathscr{G})$, we define $\operatorname{Exp} f \in C_e^{\infty}(R, G)$ by writing $(\operatorname{Exp} f)(x) = \exp f(x)$, for all $x \in R$. $C_e^{\infty}(R, G)$ is a group (under pointwise multiplication) and $C_0^{\infty}(R, \mathscr{G})$ is a Lie algebra (under pointwise addition, scalar multiplication and Lie brackets). These may respectively be called as current group and current algebra over R. We give $C_0^{\infty}(R, \mathscr{G})$ the usual Schwarz topology. A homomorphism $\varphi \to U_{\varphi}$ of the group $C_e^{\infty}(R, G)$ into the group of unitary operators on a Hilbert space H is said to be a unitary representation or simply a representation if $U_{\operatorname{Exp} f_n}$ converges weakly to $U_{\operatorname{Exp} f}$ whenever $f_n \to f$ as $n \to \infty$ in the topology of $C_0^{\infty}(R, \mathscr{G})$.

For any compact set $K \subset R$, let $C(K, G) \subset C_0^{\infty}(R, G)$ be the subgroup of all those maps with support contained in K. If K_1 , K_2 are two disjoint compact subsets of R, $C(K_1 \cup K_2, G)$ can be identified in a natural manner with the cartesian product $C(K_1, G) \times C(K_2, G)$. Indeed, for any $\varphi \in C(K_1 \cup K_2, G)$, define

 $\varphi_i(x) = \varphi(x)$ if $x \in K_i$

$$=e$$
 if $x \notin K_i$, $i=1,2$.

Then $\varphi = \varphi_1 \varphi_2$. The map $\varphi \to (\varphi_1, \varphi_2)$ gives the required identification. For any representation U of $C_e^{\infty}(R, G)$, we define the representation U^K of the subgroup C(K, G) by

$$U_{\varphi}^{K} = U_{\varphi}, \varphi \in C(K, G)$$
.

We say that a representation U of $C_e^{\infty}(R, G)$ is *factorisable* if, for any two disjoint compact sets $K_1, K_2 \subset R$, the representation $U^{K_1 \cup K_2}$ is unitarily equivalent to the tensor product $U^{K_1} \otimes U^{K_2}$. This unitary equivalence will of course depend on K_1 and K_2 . Examples of such factorisable representations based on the unitary representations of G and their first cohomologies were first constructed by Streater [6] and Araki [1]. Further development of these ideas may be found in the works of Parthasarathy and Schmidt [4, 3], Vershik, Gelfand and Graev [7], and Guichardet [2]. However, most of these examples have the degenerate property that they factorise completely. These representations extend to borel maps from R into G and the factorisability property extends to pairs of disjoint borel sets. This is mainly because the representations constructed in these papers do not involve the derivatives of smooth maps in a certain sense. One may compare this with the following situation in the classical theory of distributions. To evaluate the Dirac δ at a testing function φ one need not know the derivations of φ . However to evaluate the distributions δ', δ'', \dots one requires a knowledge of $\varphi', \varphi'', \dots$. The main aim of this paper is to construct factorisable representations U which for their evaluation at $\operatorname{Exp} f, f \in C_0^{\infty}(R, \mathscr{G})$ requires a knowledge of f, f', f'', \dots A beginning in this direction was already made by Schmidt [5] in the case when G is the Heisenberg group, whose representations lead to canonical commutation relations.

§ 2. The Leibnitz Extension of a Lie Algebra

In order to outline the method of constructing factorisable representations we need to construct an extension of the Lie algebra \mathscr{G} . To this end consider the space \mathscr{G}_n which is the n+1-fold Cartesian product of \mathscr{G} . Any element X of \mathscr{G}_n can be written as

$$X = (X_0, X_1, \dots, X_n), X_i \in \mathscr{G}$$
 for each *i*.

Between two elements X and X' in \mathscr{G}_n define the bracket operation by

$$[X,X']=X'',$$

where

$$X_{0}'' = [X_{0}, X_{0}'],$$

$$X_{j}'' = \sum_{r=0}^{j} {j \choose r} [X_{r}, X_{j-r}'].$$
(2.1)

An easy computation shows that for $X, Y, Z \in \mathcal{G}_n$,

[[X, Y]Z] = T

New Method for Constructing Factorisable Representations

where

$$T_r = \sum_{k_1 + k_2 + k_3 = r} (r!/k_1!k_2!k_3!) [[X_{k_1}, Y_{k_2}], Z_{k_3}].$$

This shows that

$$[[X, Y], Z] + [[Y, Z], X] + [[Z, X], Y] = 0.$$

In other words \mathscr{G}_n becomes a Lie algebra. We shall call \mathscr{G}_n the n^{th} Leibnitz extension of the Lie algebra \mathscr{G} . The mapping $X \to (X, 0, 0, ..., 0)$ is an isomorphism of \mathscr{G} into \mathscr{G}_n . All elements of the form $(0, X_1, X_2, ..., X_n), X_1 \in \mathscr{G}, i = 1, 2...n$ constitute a nilpotent Lie subalgebra $\mathscr{U}^{(n)}$ of \mathscr{G}_n . Further

$$[(X, 0, 0, ..., 0), (0, X_1, X_2, ..., X_n)]$$

=(0, [X, X_1], [X, X_2], ..., [X, X_n]).

Thus \mathscr{G} acts as a Lie algebra of derivations of the nilpotent Lie algebra $\mathscr{K}^{(n)}$. In other words \mathscr{G}_n is a semi-direct sum of \mathscr{G} and $\mathscr{K}^{(n)}$.

Remark 2.1. Since any Lie algebra \mathscr{G} can be represented as a Lie algebra of matrices, we shall assume that \mathscr{G} is a Lie algebra of real matrices in all our computations hereafter. Let the order of the matrices in \mathscr{G} be $k \times k$.

Lemma 2.2. The map

$$A:(0, X_1, X_2, ..., X_n) \to A(X_1, X_2, ..., X_n), X_i \in \mathcal{G}, i = 1, 2...n$$

where

$$A(X_1, X_2, \dots, X_n) = \begin{pmatrix} 0 & X_1/1! & X_2/2! & \dots & X_n/n! \\ 0 & 0 & X_1/1! & X_2/2! & \dots & X_{n-1}/n - 1! \\ 0 & 0 & 0 & X_1/1! & \dots & X_{n-2}/n - 2! \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & \dots & 0 \end{pmatrix}$$

is an isomorphism of the Lie algebra $\mathbb{A}^{(n)}$ into the Lie algebra of all matrices of order $k(n+1) \times k(n+1)$.

Proof. This follows from a routine computation and is left to the reader.

Lemma 2.3. Let A be the map defined in the preceding lemma. Then the matrix $\exp A(X_1, X_2, ..., X_n)$ is of the form

	I	A_1	A_2		 A_n
1	0	Ι	A_1	A_2	 A_{n-1}
	0	0	Ι	A_1	 A_{n-2}
				••••]
	$\setminus 0$	0	0	0	 I /

where

$$A_{j} = \sum_{p=1}^{J} \frac{1/p!}{1 + \dots + m_{p} = j} \sum_{\substack{m_{1} + \dots + m_{p} = j \\ 1 \le m_{1} \le j}} m_{1}!^{-1} X_{m_{1}} m_{2}!^{-1} X_{m_{2}} \dots m_{p}!^{-1} X_{m_{p}}.$$

Proof. It is left to the reader.

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Remark 2.4. Let *H* be the group generated (algebraically) by all matrices of the form $\exp A(X_1, X_2, ..., X_n)$, $X_i \in \mathcal{G}$, i = 1, 2...n. Its Lie algebra is isomorphic with $\mathcal{A}^{(n)}$. Let *G* be the simply connected group for which the Lie algebra is \mathcal{G} . Then for any $X_0 \in \mathcal{G}$, the element $\exp X_0$ of *G* acts as an automorphism of *H* as follows:

$$\exp X_0 : \exp A(X_1, X_2, ..., X_n) \to \exp A(e^{\operatorname{ad} X_0}(X_1), e^{\operatorname{ad} X_0}(X_2), ..., e^{\operatorname{ad} X_0}(X_n)).$$

Hence we can form the semi-direct product $G \odot H$ of the two groups G and H. $G \odot H$ consists of all pairs $(g, h), g \in G, h \in H$. The multiplication operation is defined by

 $(g,h)\cdot(g',h') = (gg',h\cdot g(h')),$

where $h' \rightarrow g(h')$ is the automorphism of H induced by g. The Lie algebra of the group $G \odot H$ is then isomorphic to the Lie algebra \mathscr{G}_n . In particular \mathscr{G}_1 is the Lie algebra of the semidirect product of G and the additive group \mathscr{G} , where G acts as the adjoint representation in \mathscr{G} .

Lemma 2.4. For any $X = (X_0, X_1, ..., X_n) \in \mathcal{G}_n$, the exponential map from \mathcal{G}_n into $G \odot H$ is defined as follows: let

$$A_{j}(t) = \sum_{p=1}^{j} \sum_{\substack{m_{1}+\ldots+m_{p}=j \ 0 < t_{1} < t_{2} < \ldots < t_{p} < t}} \int_{\substack{k=1 \ k = 1}} \left(\prod_{k=1}^{p} e^{t_{k} \operatorname{ad} X_{0}}(m_{k}!^{-1}X_{m_{k}}) \right) dt_{1} \ldots dt_{p}$$
(2.2)

for j = 1, 2...n. Let

$$A(t) = \begin{pmatrix} I & A_1(t) & A_2(t) & \dots & A_n(t) \\ 0 & I & A_1(t) & \dots & A_{n-1}(t) \\ 0 & 0 & I & \dots & A_{n-2}(t) \\ \dots & \dots & \dots & \dots & 0 & I \end{pmatrix}.$$

Then

 $\exp t X = (\exp t X_0, A(t)) \quad for \ all \quad t \in \mathbb{R} \ .$

Proof. Indeed, differentiating (2.2) at t=0, we get

 $dA_{i}/dt|_{t=0} = j!^{-1}X_{i}$

New Method for Constructing Factorisable Representations

Thus

$$dA(t)/dt|_{t=0} = \begin{pmatrix} 0 & X_1/1 & \dots & X_n/n \\ 0 & 0 & X_1/1 & \dots & X_{n-1}/(n-1) \\ \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & 0 \end{pmatrix}.$$

Further

$$(\exp tX_0, A(t)) \cdot (\exp sX_0, A(s))$$

= $(\exp(t+s)X_0, A(t) \cdot \exp tX_0(A(s)),$

where

$$\exp tX_0(A(s)) = \begin{pmatrix} I & B_1 & B_2 & \dots & B_n \\ 0 & I & B_1 & \dots & B_{n-1} \\ \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & I \end{pmatrix}$$

and

$$B_{j} = B_{j}(t, s) = e^{tX_{0}}A_{j}(s)e^{-tX_{0}}$$

$$= \sum_{p=1}^{j} \sum_{\substack{m_{1} + \ldots + m_{p} = j \\ m_{1} \ge 1 \text{ for all } i}} \int_{0 < t_{1} < t_{2} < \ldots < t_{p} < s} \prod_{k=1}^{p} e^{(t_{k}+t)\operatorname{ad} X_{0}}(k!^{-1}X_{m_{k}})dt_{1}\ldots dt_{p}$$

$$= \sum_{p=1}^{j} \sum_{\substack{m_{1} + \ldots + m_{p} = j \\ m_{1} \ge 1 \text{ for all } i}} \int_{0 < t_{1} < t_{2} < \ldots < t_{p} < t + s} \prod_{k=1}^{p} e^{t_{k}\operatorname{ad} X_{0}}(k!^{-1}X_{m_{k}})dt_{1}\ldots dt_{p}. \quad (2.3)$$

A straightforward matrix multiplication shows that

$$A(t) \cdot \exp t X_0(A(s)) = \begin{pmatrix} I & C_1 & C_2 & \dots & C_n \\ 0 & I & C_1 & \dots & C_{n-1} \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & I \end{pmatrix},$$

where

$$C_j = \sum_{r=0}^{j} A_r(t) B_{j-r}(t,s),$$

$$A_0(t) = B_0(t, s) = I$$
,

and where A_r and B_r is defined by (2.2) and (2.3) respectively. Now an easy computation gives $C_j = A_j(t+s)$. This shows that $(\exp tX_0, A(t))$ is a one parameter group with the generator $(X_0, X_1, X_2, ..., X_n)$. The proof is complete. **Corollary 2.5.** When n=1 and $G \odot H$ is identified with the semidirect product of G and the additive group \mathscr{G} , where G acts as adjoint representation in \mathscr{G} , we have

$$\exp t(X_0, X_1) = \left(\exp tX_0, \frac{e^{t \operatorname{ad} X_{0-1}}}{t \operatorname{ad} X_0}(X_1)\right)$$

for all $t \in \mathbf{R}$.

Proof. This follows from the preceding lemma by noting that

$$\int_{0}^{t} e^{t_1 \operatorname{ad} X_0}(X_1) dt_1 = \frac{e^{t \operatorname{ad} X_0} - 1}{t \operatorname{ad} X_0} (X_1) \, .$$

§ 3. Representation of Current Algebras and Current Groups

In the preceding section we gave a complete description of the group associated with the *n*-th Leibnitz extension \mathscr{G}_n of a Lie algebra \mathscr{G} . The following lemma yields the required embedding of $C_0^{\infty}(R, \mathscr{G})$ into $C_0^{\infty}(R, \mathscr{G}_n)$ for writing down our representations.

Lemma 3.1. Let Π_n be the map from $C_0^{\infty}(R, \mathscr{G})$ into $C_0^{\infty}(R, \mathscr{G}_n)$ defined by

$$\Pi_n(f)(x) = (f(x), f'(x), f''(x), \dots, f^{(n)}(x))$$

for all $x \in R$, $f \in C_0^{\infty}(R, \mathscr{G})$.

Then Π_n is a Lie algebra isomorphism of $C_0^{\infty}(R, \mathscr{G})$ into $C_0^{\infty}(R, \mathscr{G}_n)$.

Proof. This follows immediately from the fact that

$$d^{j}[f,g]/dx^{j} = \sum_{r=0}^{j} {j \choose r} [f^{(r)}(x), g^{(j-r)}(x)]$$

and the commutation rules in \mathcal{G}_n are defined by (2.1).

As mentioned in § 1, we define for any $f \in C_0^{\infty}(R, \mathscr{G})$, $\operatorname{Exp} f$ as the element in $C_e^{\infty}(R, G)$ with the property

 $(\operatorname{Exp} f)(x) = \operatorname{exp} f(x), x \in \mathbb{R}$.

Consider the group $G \odot H$ described in Remark 2.4. We shall call it the *n*-th Leibnitz extension of the group G. For any $f \in C_0^{\infty}(R, \mathscr{G})$, we define $\operatorname{Exp}_n f$ as the element in $C_e^{\infty}(R, G \odot H)$ with the property

$$(\operatorname{Exp}_n f)(x) = (\operatorname{exp} f(x), A^f(x)),$$

where

$$A^{f}(x) = \begin{pmatrix} I & A_{1}^{f}(x) & A_{2}^{f}(x) & \dots & A_{n}^{f}(x) \\ 0 & I & A_{1}^{f}(x) & \dots & A_{n-1}^{f}(x) \\ \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & I \end{pmatrix}$$

New Method for Constructing Factorisable Representations

$$A_{j}^{f}(x) = \sum_{\substack{p=1\\m_{i} \ge 1}}^{j} \sum_{\substack{m_{1}+\ldots+m_{p}=j\\m_{i} \ge 1}} \int_{\substack{0 < t_{1} < t_{2} < \ldots < t_{p} < 1\\m_{i} \ge 1}} \prod_{\substack{k=1\\k=1}}^{p} e^{t_{k} \operatorname{ad} f(x)} \int_{\substack{m_{i} \ge 1\\m_{i} < m_{k}}} \frac{1}{f^{(m_{k})}(x) dt_{1} dt_{2} \dots dt_{p}}, \qquad (3.1)$$

for j=1, 2...n. With this notation we have the following corollary to Lemma 3.1.

Theorem 3.2. Let G be a connected and simply connected Lie group whose n-th Leibnitz extension is G_n . Suppose $\varphi \rightarrow U_{\varphi}$ is a factorisable representation of the current group $C_e^{\infty}(R, G_n)$. Then the map

$$U^{(n)}$$
: Exp $f \to U_{\text{Exp}_n f}, f \in C_0^{\infty}(R, \mathscr{G})$

determines a factorisable representation of the current group $C_e^{\infty}(R, G)$. In particular this determines a factorisable representation of the current algebra $C_0^{\infty}(R, \mathcal{G})$.

Remark 3.3. To construct a factorisable representation U of the current group $C_e^{\infty}(R, G_n)$ one may start with a projection valued measure on the Borel subsets of R, a unitary represention V of the group G_n commuting with the projection valued measure and a first order cocycle for the representation V, and adopt the procedure outlined in [4]. Since G is a subgroup of G_n it follows that $C_e^{\infty}(R, G)$ is a subgroup of $C_e^{\infty}(R, G_n)$. Hence the restriction of U to $C_e^{\infty}(R, G)$ yields a representation $U^{(0)}$ of $C_e^{\infty}(R, G)$. The representation $U^{(n)}$ of Theorem 3.1 obtained from U may be considered as the *n*-th derivative of the representation $U^{(0)}$.

Example 3.4. We shall now illustrate the procedure outlined in the preceding remark in a special case. Let G be a compact, connected, simply connected and semi-simple Lie group with Lie algebra \mathscr{G} and Cartan Killing form B(X, Y), $X, Y \in \mathscr{G}$. Let $g \rightarrow \operatorname{Ad} g$ be the adjoint representation of G acting in \mathscr{G} . Let G_1 denote the first Leibnitz extension of G. Then G_1 is the semi direct product of G and the additive group \mathscr{G} in which G acts as a group of automorphisms through the adjoint representation. Any element of G_1 can be expressed as a pair $(g, X), g \in G,$ $X \in \mathscr{G}$. Then $(g, X) \rightarrow \operatorname{Ad} g$ is an irreducible unitary representation U of G_1 acting in the vector space \mathscr{G} with the positive definite inner product -B. Define the map $\delta: G_1 \rightarrow \mathscr{G}$ by

$$\delta(g, X) = X$$
.

Then δ is a first order cocycle for the representation U. Hence the function

$$\Phi(g, X) = \exp \frac{1}{2} B(X, X)$$

is an infinitely divisible positive definite function on the group G_1 .

Let now $\varphi: R \to \mathscr{G}$ be a C_0^{∞} map from R into \mathscr{G} . Then the map $t \to (\varphi(t), \varphi'(t))$ is a C_0^{∞} map from R into \mathscr{G}_1 the Lie algebra of G_1 . Let

$$\psi(t) = \frac{e^{\operatorname{ad}\varphi(t)} - 1}{\operatorname{ad}\varphi(t)} \left(\varphi'(t)\right),$$

and let

$$K(\operatorname{Exp} \varphi) = \operatorname{exp} \frac{1}{2} \int B(\psi(t), \psi(t)) dt .$$
(3.2)

Then K is an infinitely divisible positive definite functional on $C_e^{\infty}(R, G)$ which extends to $C_e^1(R, G)$, the group of all C^1 maps from R into G with compact support. This positive definite functional defines a factorisable representation of $C_e^1(R, G)$ which cannot be extended to all bounded borel maps from R into G with compact support.

Since the factorisable representation corresponding to (3.2) is in a sense a continuous tensor product of copies of the irreducible adjoint representation of G one is tempted to conjecture that (3.2) yields an irreducible factorisable representation of $C_e^1(R, G)$.

Remark 3.5. The theory outlined above extends in a natural manner when R is replaced by R^m and one considers current groups $C_e^{\infty}(R^m, G)$. To describe this extension we adopt the following conventions. Let, for any positive integer N, F_N denote the set of all ordered *m*-tuples $j = (j_1, j_2, ..., j_m)$ of non-negative integers such that $j_1 + j_2 + ... + j_m < N$. For any $j \in F_N$, let $j! = j_1! j_2! ... j_m!$, where 0! = 1. A general point of R^m will be denoted by $x = (x_1, x_2, ..., x_m)$. Let $|j| = j_1 + j_2 + ... + j_m$. For any C^{∞} map f from R^m into the Lie algebra \mathscr{G} , let

 $f^{(\underline{j})} = \partial^{|\underline{j}|} f / \partial x_1^{j_1} \partial x_2^{j_2} \dots \partial x_m^{j_m}.$

We now define the N-th Leibnitz extension \mathscr{G}_N of \mathscr{G} as the set of all maps X from F_N into \mathscr{G} with Lie bracket [X, Y] defined by

$$[X, Y](j) = \sum (j!/\underline{r}!(j-\underline{r})! [X(\underline{r}), Y(j-\underline{r})]$$

where the summation is over all $0 \leq r \leq j$. Here $r \leq j$ means that $r_i \leq j_i$ for all i = 1, 2, ..., m. Then \mathscr{G}_N is a Lie algebra. As before \mathscr{G} may be embedded in \mathscr{G}_N by mapping any $X \in \mathscr{G}$ to the element X with X(0) = X, X(i) = 0 for $i \neq 0$. Let us say that i < j if $i \neq j$ but $i \leq j$. As before all elements X such that X(0) = 0 constitute a nilpotent Lie subalgebra $\mathscr{K}^{(N)}$ of \mathscr{G}_N . \mathscr{G}_N is a semidirect sum of \mathscr{G} and $\mathscr{K}^{(N)}$. For $X \in \mathscr{K}^{(N)}$, we define the matrix A(X) whose $(i, j)^{\text{th}}$ element is X(i + j) if j > j and 0 otherwise. The order of the matrix is $ck \times ck$ where c is the cardinality of F_N and k is the order of the matrices which constitute the Lie algebra \mathscr{G} . Lemma 2.3 now holds with the convention

$$A_{\underline{j}} = \sum_{p=1}^{|j|} p!^{-1} \sum_{\underline{m}_1 + \dots + \underline{m}_p = j} \underline{m}_1!^{-1} X(\underline{m}_1) \dots \underline{m}_p!^{-1} X(\underline{m}_p) .$$

Lemma 2.4 holds with the condition

$$A_{\underline{j}}(t) = \sum_{p=1}^{|j|} \sum_{\underline{m}_1 + \dots + \underline{m}_p = \underline{j}} \\ \int_{\substack{0 < t_1 < t_2 \dots < t_p < t \ i = 1}} \prod_{i=1}^p e^{t_i \operatorname{ad} X(0)} \\ \cdot (\underline{m}_i!^{-1} X(\underline{m}_i)) dt_1 \dots dt_n.$$

$$A_{\underline{j}}^{f} = \sum_{p=1}^{|\underline{j}|} \sum_{\underline{m}_{1}+\ldots+\underline{m}_{p}=\underline{j}} \int_{0 < t_{1} < t_{2}\ldots < t_{p} < 1} \int_{\prod_{i=1}^{p} e^{t_{i} \operatorname{ad} f(x)}(\underline{m}_{i}!^{-1}f^{(\underline{m}_{1})}(x)) dt_{1}\ldots dt_{p}}$$

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