

# Boson Fields with Bounded Interaction Densities\*

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**Abstract.** We consider interaction densities of the form  $V(\phi(x))$ , where  $\phi(x)$  is a scalar boson field and  $V(\alpha)$  is a bounded real continuous function. We define the cut-off interaction by  $V_{\varepsilon,r} = \int_{|x| < r} V(\phi_\varepsilon(x))$ , where  $\phi_\varepsilon(x)$  is the momentum cut-off field. We prove that the scattering operator  $S_{\varepsilon,r}(V)$  corresponding to the cut-off interaction exists, and we study the behavior of the scattering operator as well as the Heisenberg picture fields, as the cut-off is removed.

## I. Introduction

In two earlier papers [2, 3] we studied self-interacting scalar Boson fields with interaction densities of the form  $V(\phi(x))$ , where  $V(\alpha)$  is a bounded continuous real function. In Ref. [2] we proved that for the corresponding cut-off interaction the asymptotic limits of the fields existed. In Ref. [3] we proved that the Heisenberg picture fields existed as weak limits of the Heisenberg picture fields corresponding to the cut-off interactions. In Section 2 of this paper we prove that the Heisenberg picture fields are trivial in the sense that they are free fields. In Section 3 we prove that the scattering operator  $S_{\varepsilon,r}(V)$  corresponding to the cut-off interaction exists, and we prove that the limit as  $\varepsilon$  tends to zero is 1 if  $r$  is small and fixed.

## II. The Heisenberg Picture Fields

Let  $\mathcal{F}$  be the Fock space of a free scalar boson field  $\phi(x)$ . The field operators are given in terms of the annihilation-creation operator  $a^*$  and  $a$  by

$$\phi(x) = 2^{-\frac{1}{2}}(2\pi)^{-\frac{3}{2}} \int_{R^3} e^{ipx}(a(p) + a^*(-p))dp. \quad (2.1)$$

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For annihilation-creation operator we use the Lorentz invariant commutation relations

$$[a(p), a^*(p')] = \omega(p)^{-1} \delta(p - p'), \quad (2.2)$$

where  $\omega(p) = (m^2 + p^2)^{\frac{1}{2}}$ . We assume that the mass  $m$  of the free field is strictly positive. Let  $\mathcal{H} = L_2(\mathbb{R}^3, \omega^{-1}(p) dp)$ , then  $\mathcal{H}$  carries in a natural way an irreducible representation of the inhomogeneous Lorentz group. Since  $\mathcal{F}$  is the direct sum of the symmetric tensor products of  $\mathcal{H}$ ,  $\mathcal{F}$  also carries a representation of the inhomogeneous Lorentz group. For  $h \in \mathcal{H}$  we set  $a^*(h) = \int a^*(p) h(p) dp$ , where  $a^*$  stands for  $a^*$  or  $a$ . Then  $a^*(h)$  are closed operators with domain containing  $D_0$ , the domain of the free energy operator  $H_0$ . Moreover  $a(\bar{h})$  and  $a^*(h)$  are adjoint operators with the same domain of definition, hence  $a^*(h) + a(\bar{h})$  are self-adjoint with domain containing  $D_0$ . The commutation relations for  $a^*(h)$  may be written

$$[a(\bar{h}), a^*(g)] = (h, g). \quad (2.3)$$

Let  $g$  be in  $C_0^\infty(\mathbb{R}^3)$ , such that  $g \geq 0$ ,  $g(x) = g(-x)$ ,  $\int g(x) dx = 1$  and  $g$  has support in the open sphere of radius 1 and center at the origin in  $\mathbb{R}^3$ . Let  $g_\varepsilon(x) = \varepsilon^{-3} g(\varepsilon^{-1} x)$ , then  $g_\varepsilon$  has support in the open sphere of radius  $\varepsilon$ , and  $g_\varepsilon$  tends to Dirac's  $\delta$ -distribution as  $\varepsilon$  tends to zero. Define now the cut-off field operators by

$$\phi_\varepsilon(x) = \int g_\varepsilon(x - y) \phi(y) dy. \quad (2.4)$$

By what is said above the annihilation-creation operators, we see that  $\phi_\varepsilon(x)$  is a self-adjoint operator with domain containing  $D_0$ , the domain of  $H_0$ .

Let  $V(x)$  be a bounded continuous real function. Then  $V(\phi_\varepsilon(x))$  is a bounded self-adjoint operator, such that  $\|V(\phi_\varepsilon(x))\| \leq \|V\|_\infty = \sup_x |V(x)|$ . Since  $V(\phi_\varepsilon(x)) = U(-x) V(\phi_\varepsilon(0)) U(x)$ , where  $U(x)$  is a strongly continuous unitary group, we see that  $V(\phi_\varepsilon(x))$  is strongly continuous in  $x$ . Therefore we may define

$$V_{\varepsilon,r} = \int_{|x| < r} V(\phi_\varepsilon(x)) dx,$$

where the integral is a strong integral.  $V_{\varepsilon,r}$  is then a bounded self-adjoint operator, and we have the following  $\varepsilon$ -independent estimate for its norm.

$$\|V_{\varepsilon,r}\| \leq \frac{4\pi}{3} r^3 \|V\|_\infty. \quad (2.5)$$

The cut-off energy operator is defined by

$$H_{\varepsilon,r} = H_0 + V_{\varepsilon,r}.$$

Since  $V_{\varepsilon,r}$  is a bounded self-adjoint operator we get that  $H_{\varepsilon,r}$  is a self-adjoint with the same domain  $D_0$  as  $H_0$ .

Let  $h$  be real and in  $L_2(\mathbb{R}^3)$ , we then define the free Heisenberg picture field and the Heisenberg picture field for the cut-off interaction by

$$\begin{aligned} \phi^t(h) &= e^{-itH_0} \phi(h) e^{itH_0} \\ \phi_{\varepsilon,r,t}(h) &= e^{-itH_{\varepsilon,r}} \phi(h) e^{itH_{\varepsilon,r}} \end{aligned}$$

where  $\phi(h) = \int \phi(x) h(x) dx$ . Since  $e^{itH_0}$  and  $e^{itH_{\varepsilon,r}}$  leave  $D_0$  invariant we see that the operators defined above are self-adjoint operators with domain containing  $D_0$ .

**Lemma 1.** *Let  $V(\alpha)$  be a real function which is the Fourier transform of an  $L_1$ -function  $\hat{V}(s)$ . Then*

$$V_{\varepsilon,r} = \int_{|x| \leq r} V(\phi_\varepsilon(x)) dx = \int_{|x| \leq r} \int ds \hat{V}(s) e^{is\phi_\varepsilon(x)},$$

and  $V_{\varepsilon,r}$  converge weakly to zero as  $\varepsilon$  tends to zero.

*Proof.* Let  $\Omega$  be the Fock vacuum. Then  $\Omega$  is in the domain of  $e^{a^*(h)} = \sum_{n=0}^{\infty} \frac{1}{n!} (a^*(h))^n$  for  $h$  in  $\mathcal{H}$ . To see this we have only to compute the norm of  $\sum_{n=0}^{\infty} \frac{1}{n!} (a^*(h))^n \Omega$ , and the computation gives us  $\|e^{a^*(h)} \Omega\|^2 = e^{\|h\|^2}$ , which is finite. Moreover the set of vectors  $e^{a^*(h)} \Omega$ , with  $h$  real and in  $\mathcal{H}$  spends a dense set in  $\mathcal{F}$ . This is easiest seen by taking the strong derivative of  $e^{a^*(s_1 h_1 + \dots + s_n h_n)} \Omega$  with respect to  $s_1, \dots, s_n$  at zero. By doing so we get  $a^*(h_1) \dots a^*(h_n) \Omega$ , and these vectors we know spend a dense set in  $\mathcal{F}$  for  $h_1, \dots, h_n$  real and in  $\mathcal{H}$ . Since the strong partial derivative is formed by taking a strong limit of linear combinations of vectors of the form  $e^{a^*(s_1 h_1 + \dots + s_m h_m)} \Omega$ , we conclude that the vectors  $e^{a^*(h)} \Omega$  with  $h$  real and in  $\mathcal{H}$  spend a dense set.

The spectral theory gives us the identity

$$V_{\varepsilon,r} = \int_{|x| \leq r} dx \int ds \hat{V}(s) e^{is\phi_\varepsilon(x)}.$$

Since  $\hat{V}$  is in  $L_1$ , we get by Lebesgue's lemma on dominated convergence that it is enough to prove that  $e^{is\phi_\varepsilon(x)}$  converge weakly to zero for almost all  $s$  and  $x$ . Using that  $e^{is\phi_\varepsilon(x)} = U(-x) e^{is\phi_\varepsilon(0)} U(x)$ , it is enough to prove that  $e^{is\phi_\varepsilon(0)}$  tends weakly to zero for almost all  $s$ . By uniform boundedness it is therefore enough to prove that

$$(e^{a^*(h_1)} \Omega, e^{is\phi_\varepsilon(0)} e^{a^*(h_2)} \Omega) \tag{2.6}$$

converge to zero for almost all  $s$  when  $h_1$  and  $h_2$  are real and in  $\mathcal{H}$ .

From the definition of  $\phi_\varepsilon(0)$  we see that  $\phi_\varepsilon(0) = a(h_\varepsilon) + a^*(h_\varepsilon)$ , where  $h_\varepsilon = 2^{-\frac{1}{2}}(2\pi)^{-\frac{3}{2}}\hat{g}_\varepsilon$ . The commutation relations then gives us

$$e^{is\phi_\varepsilon(0)} = e^{-\frac{1}{2}s^2\|h_\varepsilon\|^2} e^{isa^*(h_\varepsilon)} e^{isa(h_\varepsilon)}.$$

Hence (2.6) is equal to

$$\begin{aligned} & e^{-\frac{1}{2}s^2\|h_\varepsilon\|^2} (e^{-isa(h_\varepsilon)} e^{a^*(h_1)} \Omega, e^{isa(h_\varepsilon)} e^{a^*(h)} \Omega) \\ &= e^{-\frac{1}{2}s^2\|h_\varepsilon\|^2} e^{is(\overline{h_\varepsilon}, h_1) + is(h_\varepsilon, h_2)} (e^{a^*(h_1)} \Omega, e^{a^*(h_2)} \Omega) \\ &= e^{-\frac{1}{2}s^2\|h_\varepsilon\|^2} e^{is(\overline{h_\varepsilon}, h_1) + is(h_\varepsilon, h_2)} e^{(h_1, h_2)}. \end{aligned}$$

Since  $h_\varepsilon$  as well as  $h_1$  and  $h_2$  are all real, we get that  $(\overline{h_\varepsilon}, h_1)$  and  $(h_\varepsilon, h_2)$  are both real. Therefore the absolute value of (2.6) is bounded by  $e^{\frac{1}{2}s^2|h_\varepsilon|^2} e^{(h_1, h_2)}$ . It is easy to see that  $|h_\varepsilon|^2$  tends to infinity as  $\varepsilon$  tends to zero, and this gives us that (2.6) tends to zero for almost all  $s$ . This proves the lemma.

**Lemma 2.** *Let  $V(x)$  be a real continuous function which tends to zero at infinity. Then*

$$V_{\varepsilon,r} = \int_{|x| \leq r} V(\phi_\varepsilon(x)) dx$$

*converge weakly to zero as  $\varepsilon$  tends to zero.*

*Proof.* It is well known that a real continuous function which tends to zero at infinity may be uniformly approximated by the Fourier transform of  $L_1$ -functions. Hence for any  $\delta > 0$  we can find a  $\tilde{V}(x)$  which is the Fourier transform of an  $L_1$ -function such that  $\|V - \tilde{V}\|_\infty < \delta$ . Let  $\tilde{V}_{\varepsilon,r} = \int_{|x| < r} \tilde{V}(\phi_\varepsilon(x)) dx$ . From the spectral theory of self-adjoint operators we know that  $\|\tilde{V}(\phi_\varepsilon(x)) - V(\phi_\varepsilon(x))\| \leq \|V - \tilde{V}\|_\infty < \delta$ . This gives us that  $\|\tilde{V}_{\varepsilon,r} - V_{\varepsilon,r}\| \leq \frac{4}{3}\pi r^3 \delta$ . Let  $\psi_1$  and  $\psi_2$  be vectors of unite length in  $\mathcal{F}$ . Then

$$|(\psi_1, V_{\varepsilon,r}\psi_2)| \leq \frac{4}{3}\pi r^3 \delta + |(\psi_1, \tilde{V}_{\varepsilon,r}\psi_2)|.$$

By Lemma 1 the last term on the right hand side tends to zero as  $\varepsilon$  tends to zero. This gives us that

$$\overline{\lim}_{\varepsilon \rightarrow 0} |(\psi_1, V_{\varepsilon,r}\psi_2)| < \frac{4}{3}\pi r^3 \delta.$$

Since  $\delta$  is arbitrary the lemma is proved.

**Theorem 1.** *Let  $V(x)$  be a continuous real function which tends to zero at infinity. Then  $V_{\varepsilon,r} = \int_{|x| \leq r} V(\phi_\varepsilon(x)) dx$  converge strongly to zero as  $\varepsilon$  tends to zero, for all values of  $r$ .*

*Proof.* Since any real continuous function  $V(x)$  which tends to zero at infinity, may be written as a difference  $V(x) = V^+(x) - V^-(x)$  of two positive continuous functions which both tend to zero at infinity, we see that  $V_{\varepsilon,r} = V_{\varepsilon,r}^+ - V_{\varepsilon,r}^-$ , where  $V_{\varepsilon,r}^\pm = \int_{|x| < r} V^\pm(\phi(x)) dx$ . Hence it is sufficient

to prove that  $V_{\varepsilon,r}$  tends strongly to zero for  $V(\alpha)$  positive. But if  $V(\alpha)$  is positive then  $V_{\varepsilon,r}$  is a positive operator with a unique square root  $V_{\varepsilon,r}^{\frac{1}{2}}$ . Since  $\|V_{\varepsilon,r}^{\frac{1}{2}}\psi\|^2 = (\psi, V_{\varepsilon,r}\psi)$  we get by Lemma 2 that  $V_{\varepsilon,r}^{\frac{1}{2}}$  converge strongly to zero as  $\varepsilon$  tends to zero. From (2.5) it follows that  $V_{\varepsilon,r}^{\frac{1}{2}}$  is a norm bounded uniformly in  $\varepsilon$ . Hence  $V_{\varepsilon,r} = V_{\varepsilon,r}^{\frac{1}{2}} \cdot V_{\varepsilon,r}^{\frac{1}{2}}$  is the product of two strongly convergent and uniformly bounded operators. Therefore we conclude that  $V_{\varepsilon,r}$  converge strongly to the product of the limits which is zero. This proves the theorem.

Since  $D_0$  is the domain of  $H_0$  as well as  $H_{\varepsilon,r}$  we know that both  $e^{itH_{\varepsilon,r}}$  and  $e^{itH_0}$  leaves  $D_0$  invariant.  $D_0$  is a Hilbert space with its natural norm  $\|(H_0 + 1)\psi\|$ . Since  $V_{\varepsilon,r}$  is bounded this norm is equivalent to the norm  $\|(H_{\varepsilon,r} + b)\psi\|$  for  $b$  large enough. Therefore besides being unitary groups on  $\mathcal{F}$ ,  $e^{itH_{\varepsilon,r}}$  and  $e^{itH_0}$  are semigroups on  $D_0$ . As semigroups on  $D_0$  they are strongly continuous in  $t$ , and uniformly bounded in  $t$ . Moreover,  $e^{itH_{\varepsilon,r}}$  as an operator on  $D_0$  is uniformly bounded in  $t$  and  $\varepsilon$ . To see the strong continuity let  $\psi$  be in  $D_0$ , then

$$\begin{aligned} \|(H_0 + 1)(e^{itH_{\varepsilon,r}}\psi - \psi)\| &\leq a\|(H_{\varepsilon,r} + b)(e^{itH_{\varepsilon,r}}\psi - \psi)\| \\ &\leq a\|(e^{itH_{\varepsilon,r}} - 1)(H_{\varepsilon,r} + b)\psi\| \end{aligned}$$

which tends to zero by the strong continuity of  $e^{itH_{\varepsilon,r}}$  on  $\mathcal{F}$ . The strong continuity of  $e^{itH_0}$  and the uniform boundedness in  $t$  of  $e^{itH_{\varepsilon,r}}$  and  $e^{itH_0}$  is proved in the same way. To see that  $e^{itH_{\varepsilon,r}}$  is uniformly bounded also in  $\varepsilon$  let  $\psi$  be in  $D_0$ . Then

$$\begin{aligned} \|(H_0 + 1)e^{itH_{\varepsilon,r}}\psi\| &\leq a\|(H_{\varepsilon,r} + b)e^{itH_{\varepsilon,r}}\psi\| \\ &= a\|(H_{\varepsilon,r} + b)\psi\| \leq a'\|(H_0 + b')\psi\|. \end{aligned}$$

Since  $V_{\varepsilon,r}$  is bounded uniformly in  $\varepsilon$  we may choose  $a$  and  $b$  as well as  $a'$  and  $b'$  independent of  $\varepsilon$ , this shows that  $e^{itH_{\varepsilon,r}}$  is uniformly bounded also in  $\varepsilon$  as an operator on  $D_0$ .

**Lemma 3.** *Let  $V(\alpha)$  be a real continuous function which tends to zero at infinity. Then  $e^{itH_{\varepsilon,r}}$  converge strongly to  $e^{itH_0}$  both as operators on  $\mathcal{F}$  and as operators on  $D_0$ . Moreover both convergences are uniform on compact intervals in  $t$ .*

*Proof.* We have already seen that  $e^{itH_{\varepsilon,r}}$  and  $e^{itH_0}$  are strongly continuous semigroups on both  $D_0$  and  $\mathcal{F}$ , and they are uniformly bounded both on  $D_0$  and  $\mathcal{F}$  with respect to  $\varepsilon$  and  $t$ . Therefore by the Trotter-Kato semigroup theorem (see Ref. [6], Ch. XI, § 12) it is enough to prove that  $(z - H_{\varepsilon,r})^{-1}$  converge strongly both on  $D_0$  and  $\mathcal{F}$  to  $(z - H_0)^{-1}$  for at least one  $z$ . Using that  $V_{\varepsilon,r}$  is bounded we get for  $z$  nonreal or sufficiently negative.

$$(z - H_{\varepsilon,r})^{-1} - (z - H_0)^{-1} = (z - H_{\varepsilon,r})^{-1} V_{\varepsilon,r} (z - H_0)^{-1}. \tag{2.7}$$

Since  $(z - H_{\varepsilon,r})^{-1}$  is bounded uniformly in  $\varepsilon$ , we get from Theorem 1 that  $(z - H_{\varepsilon,r})^{-1} - (z - H_0)^{-1}$  converge strongly to zero as an operator on  $\mathcal{F}$ . To see that it also converge strongly as an operator on  $D_0$ , we apply  $(H_0 + 1)$  from the left in (2.7). Since  $V_{\varepsilon,r}$  is bounded uniformly in we see that  $(H_0 + 1)(z - H_{\varepsilon,r})^{-1}$  is bounded uniformly in  $\varepsilon$ . So again it follows from Theorem 1 that  $(z - H_{\varepsilon,r})^{-1} - (z - H_0)^{-1}$  converge strongly to zero as an operator on  $D_0$ .

**Theorem 2.** *Let  $V(\alpha)$  be a continuous real function which tends to zero at infinity. Then for  $h$  in  $L_2$  and  $\psi$  in  $D_0$ , we have that  $\phi_{\varepsilon,r,t}(h)\psi$  converge strongly to  $\phi^t(h)\psi$  as  $\varepsilon$  tends to zero. Moreover, if  $V(\alpha)$  has a bounded and uniformly continuous derivative  $V'(a)$  and  $h$  is in  $L_1 \cap L_2$ , then  $\phi_{\varepsilon,r,t}(h) - \phi^t(h)$  is a bounded operator which converge strongly to zero as  $\varepsilon$  tends to zero.*

*Proof.* Let  $\psi$  be in  $D_0$ . Since  $H_0$  has a strictly positive mass  $m$ , we know that  $\phi(h)$  is a bounded linear map from  $D_0$  to  $\mathcal{F}$ . Lemma 3 then gives us that  $e^{itH_{\varepsilon,r}}\psi$  converge strongly to  $\phi(h)\psi$ . For the moreover part we shall need the following lemma which is the corollary 2 of Ref. [3].

**Lemma 4.** *Let  $V(\alpha)$  be a continuous bounded real function with a bounded and uniformly continuous derivative  $V'(\alpha)$ . Then for  $h$  in  $L_1 \cap L_2$ , we have that*

$$\|\phi_{\varepsilon,r,t}(h) - \phi^t(h)\| \leq C(|t|^3 + 1) \|V'\|_\infty \|h\|_1,$$

where  $C$  depends only on the mass  $m$ .

For the proof of this lemma we refer to Ref. [3]. From this lemma we get that  $\phi_{\varepsilon,r,t}(h) - \phi^t(h)$  is bounded uniformly in  $\varepsilon$ . We have already proved that it converges strongly to zero on  $D_0$ . Using now the uniform boundedness and the fact that  $D_0$  is dense in  $\mathcal{F}$  we get that it converges strongly to zero on all of  $\mathcal{F}$ . This completes the proof of Theorem 2.

*Remark.* The assumption in Theorem 1 that  $V(\alpha)$  should tend to zero at infinity was chosen mainly to get a convenient class of functions to work with, and we may prove Theorem 1 for a larger class of bounded continuous real functions. We see that the class of continuous functions which are zero at infinity, arise as the uniform closure of the Fourier transforms of  $L_1$ -functions. The  $L_1$ -functions were introduced in Lemma 1. But we see that Lemma 1 remains true if we assume that  $V(\alpha)$  is the Fourier transform of a bounded measure  $\mu$  such that  $\{0\}$  has  $\mu$ -measure zero. From this we see that it is enough to assume in Theorem 1 that  $V(\alpha)$  is in the uniform closure of the Fourier transforms of bounded measures for which  $\{0\}$  is a null set. The almost periodic functions which are orthogonal, in the sense of almost periodic functions, to the constant function, belongs for instance to this class of functions.

### III. The Vacuum for the Cut-Off-Interaction

The discussion of the vacuum for the cut-off interaction in this section is mainly an adaption of the discussion of the vacuum for the space cut-off  $\lambda\phi^4$  interaction in two space time dimensions by Glimm and Jaffé [1]. The fact that  $V(\alpha)$  is a bounded function leads to some minor changes from Glimm and Jaffé's discussion.

Let  $\mathcal{H}_l$  be the subspace of  $\mathcal{H}$  consisting of functions which are constant on each cube of length  $l$  in  $R^3$  and with center at the lattice points  $(ln_1, ln_2, ln_3)$  where  $n_1 = 0, \pm 1, \pm 2, \dots$ . Let  $\tilde{\mathcal{H}}_l$  be the orthogonal complement of  $\mathcal{H}_l$ , and let  $\mathcal{F}_l$  and  $\tilde{\mathcal{F}}_l$  be the Fock spaces with  $\mathcal{H}_l$  and  $\tilde{\mathcal{H}}_l$  as one particle spaces.  $\mathcal{F}_l$  and  $\tilde{\mathcal{F}}_l$  are then in a natural way identified with subspaces of  $\mathcal{F}$ . Let  $P_l$  be the orthogonal projection onto  $\mathcal{F}_l$ . The direct sum decomposition  $\mathcal{H} = \mathcal{H}_l \oplus \tilde{\mathcal{H}}_l$  gives us the tensor product decomposition  $\mathcal{F} = \mathcal{F}_l \otimes \tilde{\mathcal{F}}_l$ . Relative to this tensor product decomposition, the identification of  $\mathcal{F}_l$  with a subspace in  $\mathcal{F}$  is given by  $\mathcal{F}_l \otimes \tilde{\Omega}_l$ , where  $\tilde{\Omega}$  is the Fock vacuum in  $\tilde{\mathcal{F}}_l$ ; and similar for  $\tilde{\mathcal{F}}_l$ . From the definition of  $\phi_\varepsilon(x)$  we see that  $\phi_\varepsilon(x) = a^*(h_x) + a(\bar{h}_x)$ , where

$$h_x(p) = 2^{-\frac{1}{2}}(2\pi)^{-\frac{3}{2}} e^{-ipx} \hat{g}_\varepsilon(p).$$

We now define

$$\phi_{\varepsilon,l}(x) = a^*(P_l h_x) + a(P_l \bar{h}_x). \tag{4.1}$$

Since  $P_l$  commutes with complex conjugation we see that  $\phi_{\varepsilon,l}(x)$  is self-adjoint. It follows from (4.1) that relative to the decomposition  $\mathcal{F} = \mathcal{F}_l \otimes \tilde{\mathcal{F}}_l$ ,  $\phi_{\varepsilon,l}(x)$  takes the form

$$\phi_{\varepsilon,l}(x) = \phi_{\varepsilon,l}^{(1)}(x) \otimes 1, \tag{4.2}$$

where  $\phi_{\varepsilon,l}^{(1)}(x)$  is the restriction of  $\phi_{\varepsilon,l}(x)$  to  $\mathcal{F}_l$ . We now define

$$V_{\varepsilon,r,l} = \int_{|x| \leq r} V(\phi_{\varepsilon,l}(x)) dx. \tag{4.3}$$

From (4.2) we get that

$$V_{\varepsilon,r,l} = V_{\varepsilon,r,l}^{(1)} \otimes 1 \tag{4.4}$$

where again  $V_{\varepsilon,r,l}^{(1)}$  is the restriction of  $V_{\varepsilon,r,l}$  to  $\mathcal{F}_l$ .

$H_0$  is uniquely defined by its action as multiplication by  $\omega(p)$  in the one particle space  $\mathcal{H}$ . We define  $H_{0,l}$  as the operator we get by substituting  $\omega_l$  for where  $\omega_l = P_l \omega P_l$ , i.e. the average of  $\omega$  over the cubes. We then see that  $H_{0,l}$  commutes with  $P_l$  and relative to the decomposition  $\mathcal{F} = \mathcal{F}_l \otimes \tilde{\mathcal{F}}_l$   $H_{0,l}$  has the form

$$H_{0,l} = H_{0,l}^{(1)} \otimes 1 + 1 \otimes H_{0,l}^{(2)} \tag{4.5}$$

where  $H_{0,l}^{(1)}$  and  $H_{0,l}^{(2)}$  are the restrictions of  $H_{0,l}$  to  $\mathcal{F}_l$  and to  $\tilde{\mathcal{F}}_l$ . We now define

$$H_{\varepsilon,r,l} = H_{0,l} + V_{\varepsilon,r,l},$$

and we see that  $H_{\varepsilon,r,l}$  are self-adjoint on  $D_0$ .

**Lemma 5.** *Let  $V(x) = \int e^{i\alpha s} d\mu(s)$ , where  $\mu$  is a bounded measure, then for  $z$  nonreal or sufficiently negative  $(z - H_{\varepsilon,r,l})^{-1}$  converge in norm to  $(z - H_{\varepsilon,r})^{-1}$  as  $l$  tends to zero.*

*Proof.* Since  $H_{\varepsilon,r}$  and  $H_{\varepsilon,r,l}$  has the same domain of definition we get

$$(z - H_{\varepsilon,r,l})^{-1} - (z - H_{\varepsilon,r})^{-1} = (z - H_{\varepsilon,r,l})^{-1} (H_{\varepsilon,r,l} - H_{\varepsilon,r}) (z - H_{\varepsilon,r})^{-1}.$$

$H_{\varepsilon,r,l}$  is bounded below uniformly in  $l$ , therefore it is enough to prove that  $(H_{\varepsilon,r,l} - H_{\varepsilon,r})(z - H_{\varepsilon,r})^{-1}$  tends to zero in norm. Since  $V_{\varepsilon,r}$  is bounded this is the same as proving that  $(H_{\varepsilon,r,l} - H_{\varepsilon,r})(z - H_0)^{-1}$  tends to zero in norm. That  $\|(H_{0,l} - H_0)(z - H_0)^{-1}\|$  tends to zero follows from a direct computation with  $\omega$  and  $\omega_l$  (see Ref. 1). To see that  $(V_{\varepsilon,r,l} - V_{\varepsilon,r})(z - H_0)^{-1}$  tends to zero in norm we have

$$\begin{aligned} & \|(V_{\varepsilon,r,l} - V_{\varepsilon,r})(z - H_0)^{-1}\| \\ & \leq \int_{|x| < r} dx \int d|\mu|(s) \| (e^{is\phi_{\varepsilon,l}(x)} - e^{is\phi_{\varepsilon}(x)}) (z - H_0)^{-1} \| \\ & = \int_{|x| < r} dx \int d|\mu|(s) \| (e^{is\phi_{\varepsilon,l}(x) - \phi_{\varepsilon}(x)} - 1) (z - H_0)^{-1} \|. \end{aligned}$$

However

$$e^{is(\phi_{\varepsilon,l}(x) - \phi_{\varepsilon}(x))} - 1 = \frac{e^{is(\phi_{\varepsilon,l}(x) - \phi_{\varepsilon}(x))} - 1}{\phi_{\varepsilon,l}(x) - \phi_{\varepsilon}(x)} (\phi_{\varepsilon,l}(x) - \phi_{\varepsilon}(x)).$$

Since  $\frac{e^{i\alpha} - 1}{\alpha}$  is a uniformly bounded function on the real axis we therefore get

$$\begin{aligned} \|(V_{\varepsilon,r,l} - V_{\varepsilon,r})(z - H_0)^{-1}\| & \leq C \int_{|x| \leq r} dx \|(\phi_{\varepsilon,l}(x) - \phi_{\varepsilon}(x))(z - H_0)^{-1}\| \\ & \leq \frac{2C}{m} \int_{|x| \leq r} dx \|P_l h_x - h_x\| \|(H_0 + 1)(z - H_0)^{-1}\|, \end{aligned}$$

where we have used the well known estimate

$$\|a^{\sharp}(h)\psi\| \leq \frac{1}{m} \|h\| \|(H_0 + 1)\psi\|.$$

Since  $\|P_l h_x - h_x\|$  converge to zero the lemma is proved.

**Corollary 1.** *Let  $V(x)$  be in the uniform closure of the Fourier transform of bounded measures, then for  $z$  non-real or sufficiently negative  $(z - H_{\varepsilon,r,l})^{-1}$  converge in norm to  $(z - H_{\varepsilon,r})^{-1}$  as  $l$  tends to zero.*

*Proof.* Since  $V(\alpha)$  may be uniformly approximated by a Fourier transform  $\tilde{V}(\alpha)$  of a bounded measure, we get that  $V_{\varepsilon,r,l}$  is approximated in norm by  $\tilde{V}_{\varepsilon,r,l}$  uniformly in  $l$ . But this gives us that  $(z - H_{\varepsilon,r,l})^{-1}$  is approximated in norm by  $(z - \tilde{H}_{\varepsilon,r,l})^{-1}$  uniformly in  $l$ . The norm convergence of  $(z - H_{\varepsilon,r,l})^{-1}$  then follows from the norm convergence of  $(z - \tilde{H}_{\varepsilon,r,l})^{-1}$ . This proves the corollary.

From (4.4) and (4.5) we get that relative to the decomposition  $\mathcal{F} = \mathcal{F}_l \otimes \tilde{\mathcal{F}}_l$  we have

$$H_{\varepsilon,r,l} = H_{\varepsilon,r,l}^{(1)} \otimes 1 + 1 \otimes H_{0,l}^{(2)} \tag{4.6}$$

where  $H_{\varepsilon,r,l}^{(1)}$  is the restriction of  $H_{\varepsilon,r,l}$  to  $\mathcal{F}_l$ . We now define a vacuum of a semi-bounded operator  $H$  as a normalized eigenvector of  $H$  with eigenvalue equal to the lower spectral bound of  $H$ . Since  $H_{0,l}^{(2)}$  has a compact resolvent and  $V_{\varepsilon,r,l}$  is bounded we see that  $H_{\varepsilon,r,l}^{(1)}$  has a compact resolvent and therefore a vacuum  $\Omega_{\varepsilon,r,l}$ . Since  $H_{0,l}^{(2)}$  is positive we get from (4.6) that  $\Omega_{\varepsilon,r,l}$  is also a vacuum for  $H_{\varepsilon,r,l}$ . We now have the following theorem.

**Theorem 3.** *Let  $V(\alpha)$  be in the uniform closure of the Fourier transform of bounded measures. Then both  $H_{\varepsilon,r,l}$  and  $H_{\varepsilon,r}$  have unique vacuums  $\Omega_{\varepsilon,r,l}$  and  $\Omega_{\varepsilon,r}$ . Moreover with the phases determined by  $(\Omega, \Omega_{\varepsilon,r,l}) > 0$  and  $(\Omega, \Omega_{\varepsilon,r}) > 0$  where  $\Omega$  is the Fock vacuum, we have that  $\Omega_{\varepsilon,r,l}$  converge strongly to  $\Omega_{\varepsilon,r}$  as  $l$  tends to zero.*

*Proof.* The proof of the corresponding thing in Glimm and Jaffé [1] goes in two steps. First they prove that any sequence  $\Omega_{\varepsilon,r,l_n}$  has a subsequence  $\Omega_{\varepsilon,r,l_n}$  which converge to a vacuum  $\Omega_{\varepsilon,r}$  of  $H_{\varepsilon,r}$ . We see from their proof that once we have Corollary 1 and formula (4.6) then this part of their proof can be carried over. Their second step is to prove that  $\Omega_{\varepsilon,r,l}$  and  $\Omega_{\varepsilon,r}$  are unique. This part is done with the help of the theory of positive ergodic kernels and carries over point by point to our case; apart from some simplifications due to the fact that  $V(\alpha)$  is bounded. For the details we refer to Ref. [1]. This proves Theorem 3.

We shall now be interested in what happens to the vacuum  $\Omega_{\varepsilon,r}$  as  $\varepsilon$  tends to zero. In view of Theorem 1 we would expect it to converge to the Fock vacuum  $\Omega$ , if it converges at all.

**Theorem 4.** *Let  $V(\alpha)$  be a continuous real function which tends to zero at infinity. If  $r^3 V|_{\infty} \leq C$ , where  $C$  is positive and depends only on the mass  $m$ ; then with the phases determined as in Theorem 3,  $\Omega_{\varepsilon,r}$  converge strongly to  $\Omega$  as  $\varepsilon$  tends to zero.*

*Proof.*  $r^3 \|V\|_{\infty} \leq C$  implies that  $\|V_{\varepsilon,r}\| \leq \frac{4\pi}{3} C$ . Since the eigenvalue of  $H_0$  corresponding to the eigenvector  $\Omega$ , is separated from the rest of the spectrum by a distance equal to  $m$ , we know by the theory of regular

perturbation that there is an interval  $I \subset \langle 0, m \rangle$  such that the spectrum of the operators  $H = H_0 + V$  with  $\|V\| \leq C'$ , do not intersect  $I$  (see Theorem 4.10, Ch. V, Ref. [5]). Moreover  $C'$  depends only on  $m$ ; and to the left of  $I$ ,  $H$  has a single eigenvalue which depends analytic on  $V$ .

If we now choose  $C = \frac{3}{4\pi} C'$  we see that zero is a stable eigenvalue under the perturbation  $H_{\varepsilon,r} = H_0 + V_{\varepsilon,r}$ . Stable eigenvalues is here used in the sense of Kato (see § 1.4, Ch. VIII, Ref. [5]). Since  $V_{\varepsilon,r}$  is uniformly bounded and tends strongly to zero as  $\varepsilon$  tends to zero by Theorem 1, we find that  $H_{\varepsilon,r}$  converge to  $H_0$  in the generalized strong sense of Kato and therefore the theory of asymptotic perturbation applies (Ch. VIII, Ref. [5]). Since zero is a stable eigenvalue we therefore get that the projection onto  $\Omega_{\varepsilon,r}$  converge in norm to the projection onto  $\Omega$ . Hence  $\Omega_{\varepsilon,r}(\Omega_{\varepsilon,r}, \Omega)$  converge strongly to  $\Omega$ , and since the phases are determined as in Theorem 3 this gives us that  $\Omega_{\varepsilon,r}$  converge strongly to  $\Omega$ . This proves the theorem.

#### IV. The Asymptotic Fields and the Scattering Operator for the Cut-Off Interaction

Throughout this section we shall assume that  $V(\alpha)$  is differentiable with a bounded and uniformly continuous derivative  $V'(\alpha)$ . In Ref. [2], we discussed the asymptotic fields for the cut-off interaction, and we begin this section by stating some of the results obtained in Ref. [2]. The assumption on  $V(\alpha)$  in Ref. [2] was that it was the Fourier transform of a bounded measure with a bounded first order moment. However, using the Lemma 1 of Ref. [3] it is easy to see that the results of Ref. [2] holds if we assume that  $V(\alpha)$  has a bounded and uniformly continuous derivative  $V'(\alpha)$ .

The interaction picture annihilation-creation operators corresponding to the cut-off interaction is defined by

$$a_t^\#(h) = e^{-itH_{\varepsilon,r}} e^{itH_0} a^\#(h) e^{-itH_0} e^{itH_{\varepsilon,r}} \tag{5.1}$$

where  $h$  is in  $\mathcal{H}$ . Let  $D_{\frac{1}{2}}$  be the domain of  $H_0^{\frac{1}{2}}$ , which is also the domain of  $(H_{\varepsilon,r} + b)^{\frac{1}{2}}$ . Then  $a_t^\#(h)$  is a closed operator with domain containing  $D_{\frac{1}{2}}$  (see Ref. [2]). Since

$$e^{itH_0} a^\#(h) e^{-itH_0} = a^*(h_{\pm t}), \tag{5.2}$$

where  $+$  goes with  $a^*$  and  $-$  with  $a$  and  $h_t(p) = e^{it\omega(p)} h(p)$ , we may write (5.1) as

$$a_t^\#(h) = e^{-itH_{\varepsilon,r}} a^\#(h_{\pm t}) e^{itH_{\varepsilon,r}}. \tag{5.3}$$

The following three theorems are proved in Section 3 of Ref. [2].

**Theorem 5.** *Let  $h$  be in  $\mathcal{H}$  and  $\phi$  in  $D_{\frac{1}{2}}$ . Then  $a_t^\#(h)\phi$  converge strongly to  $a_\pm^\#(h)\phi$  as  $t$  tends to  $\pm\infty$ . The asymptotic limit operators  $a_\pm^\#(h)$  are closed operators with domain containing  $D_{\frac{1}{2}}$ , and  $a_\pm^\#(h)$  is a bounded linear map from  $\mathcal{H}$  into the Banach space of bounded linear maps from  $D_{\frac{1}{2}}$  into  $\mathcal{F}$ . Moreover  $a_\pm(\bar{h})$  and  $a_\pm^*(h)$  are adjoints.*

**Theorem 6.** *Let  $h$  and  $g$  be in  $\mathcal{H}$ . Then  $a_\pm^\#(h)$  maps  $D_0$  into the domain of  $a_\pm^\#(g)$ , and  $a_\pm^\#(g)a_\pm^\#(h)$  is a bounded linear map from  $\mathcal{H} \otimes \mathcal{H}$  into the Banach space of bounded linear maps from  $D_0$  into  $\mathcal{F}$ . Moreover  $a_\pm^\#(h)$  satisfy the same commutation relations on  $D_0$  as do  $a^\#(h)$  on  $H_0$ .  $H_{\epsilon,r}$  and  $a_\pm^\#(h)$  satisfy the same commutation relations as do  $H_0$  and  $a^\#(h)$ , in the sense that on  $D_{\frac{1}{2}}$*

$$e^{itH_{\epsilon,r}} a_\pm^\#(h) e^{-itH_{\epsilon,r}} = a_\pm^\#(h_-),$$

$$e^{itH_{\epsilon,r}} a_\pm^*(h) e^{-itH_{\epsilon,r}} = a_\pm^*(h_-).$$

**Theorem 7.** *Let  $\Phi$  be an eigenvector of  $H_{\epsilon,r}$ . Then for any  $h$  in  $\mathcal{H}$*

$$a_\pm(h)\Phi = 0.$$

For the proof of these theorems see Ref. [2].

In Section 4 of Ref. [2] we show that any vector that is annihilated by  $a_\pm(h)$  for all  $h$  in  $\mathcal{H}$ , is in the domain of  $a_\pm^*(h_n)$  for all  $h_1, \dots, h_n$  in  $\mathcal{H}$  and all  $n$ . Since the vacuum  $\Omega_{\epsilon,r}$  is an eigenvector of  $H_{\epsilon,r}$  we get by Theorem 5.3 that it is in the domain of  $a_\pm^*(h_1) \dots a_\pm^*(h_n)$ . Let  $\mathcal{F}_\pm$  be the smallest closed subspace of  $\mathcal{F}$  containing all vectors of the form  $a_\pm^*(h_1) \dots a_\pm^*(h_n)\Omega_{\epsilon,r}$ . Due to the commutation relations for  $a_\pm^\#(h)$ , we see that  $\mathcal{F}_\pm$  are Fock spaces with annihilation-creation operators  $a_\pm^\#$  and vacuum  $\Omega_{\epsilon,r}$ . By regarding  $\mathcal{F}_\pm$  as Fock spaces in this way we get a natural identification of the asymptotic Fock spaces  $\mathcal{F}_\pm$  with the free Fock space  $\mathcal{F}$  given by the “wave” operators  $W_\pm$ , where  $W_\pm$  are defined by

$$W_\pm a^*(h_1) \dots a^*(h_n)\Omega = a_\pm^*(h_1) \dots a_\pm^*(h_n)\Omega_{\epsilon,r}. \tag{5.4}$$

Due to the commutation relations for  $a_\pm^\#$  we get that  $W_\pm$  are isometric from  $\mathcal{F}$  onto  $\mathcal{F}_\pm$ . We have already seen that the vacuum  $\Omega_{\epsilon,r}$  is unique. It is therefore natural to identify  $a_\pm^*(h_1) \dots \Omega_{\epsilon,r}$  with an outgoing (incoming)  $n$ -particle state with momentum distribution given by  $h_1, \dots, h_n$ , for the cut-off interaction.

The scattering amplitude for the cut-off interaction for  $m$  incoming particles with momentum distribution  $h_1, \dots, h_m$ ; and  $n$  outgoing particles with momentum distribution  $g_1, \dots, g_n$  is then given by

$$(a_\pm^*(g_1) \dots a_\pm^*(g_n)\Omega_{\epsilon,r}, a_\pm^*(h_1) \dots a_\pm^*(h_m)\Omega_{\epsilon,r}). \tag{5.5}$$

By (5.4) we get that this is equal to

$$\begin{aligned} & (W_+ a^*(g_1) \dots a^*(g_n) \Omega, W_- a^*(h_1) \dots a^*(h_m) \Omega) \\ &= (a^*(g_1) \dots a^*(g_n) \Omega, W_+ W_- a^*(h_1) \dots a^*(h_m) \Omega). \end{aligned} \tag{5.6}$$

Hence we get that the scattering operator for the cut-off interaction is given by

$$S = W_+^* W_- . \tag{5.7}$$

Since  $W_\pm$  are isometrics in  $\mathcal{F}$  we see that  $\|S\| \leq 1$ , and that  $S$  is unitary if and only if  $\mathcal{F}_+ = \mathcal{F}_-$ . Let  $E_{\varepsilon,r}$  be the eigenvalue of  $\Omega_{\varepsilon,r}$ . From the commutation relations for  $a_\pm^*$  and  $H_{\varepsilon,r}$  we get from (5.4) that

$$(H_{\varepsilon,r} - E_{\varepsilon,r}) W_\pm = W_\pm H_0 \tag{5.8}$$

and this together with (5.7) gives us that

$$H_0 S = S H_0 . \tag{5.9}$$

We shall now be interested in what happens with  $S$  if we keep  $V(\alpha)$  and  $r$  fixed but let  $\varepsilon$  tend to zero.

**Lemma 6.** *Let  $h$  be in  $\mathcal{H}$ . Then  $V_{\varepsilon,r}$  leaves the domain of  $a^\sharp(h)$  invariant and*

$$\| [a^\sharp(h), V_{\varepsilon,r}] \| \leq C \cdot \sup | \int h(p) \hat{g}_\varepsilon(p) (p)^{-\frac{1}{2}} e^{i \cdot x \cdot p} dp | ,$$

where  $C$  depends only on  $r$  and on  $|V'|_\infty$ . Moreover

$$a_t^\sharp(h) - a^\sharp(h) = i \int_0^t e^{-isH_{\varepsilon,r}} [a^\sharp(h_{\pm s}), V_{\varepsilon,r}] e^{isH_{\varepsilon,r}} ds ,$$

where the integral is taken in the strong sense.

For the proof of this lemma see Section 3, Ref. [2]. In Ref. [2] we assume that  $V(\alpha)$  is the Fourier transform of a bounded measure with a bounded first order moment. The technique for the proof when  $V(\alpha)$  has a bounded uniformly continuous derivative  $V'(\alpha)$  is to be found in the proof of Lemma 1, Ref. [3].

Let  $\mathcal{H}_0$  be the set of functions in  $\mathcal{H}$  which has compact support and is zero in a neighborhood of zero. Let  $h$  be in  $\mathcal{H}_0$ . From Lemma 6 we then see that  $\| [a^\sharp(h_{\pm s}), V_{\varepsilon,r}] \|$  converge to zero faster than any inverse power in  $|s|$ , and the convergence is uniform in  $\varepsilon$ . From Lemma 6 we also get that

$$\| a_+^\sharp(h) - a_t^\sharp(h) \| \leq \int_t^\infty \| [a^\sharp(h_{\pm s}), V_{\varepsilon,r}] \| ds .$$

Hence for any  $\delta > 0$  we may choose  $t$  so large that  $\| a_+^\sharp(h) - a_t^\sharp(h) \| < \delta$ , and this choice of  $t$  may be done independently of  $\varepsilon$ .

**Lemma 7.** *Assume also that  $V(x)$  tends to zero at infinity. Then for  $h$  in  $\mathcal{H}_0$ ,  $a_{\pm}^{\sharp}(h) - a^{\sharp}(h)$  is norm bounded uniformly in  $\varepsilon$  and converge strongly to zero as  $\varepsilon$  tends to zero.*

*Proof.* The uniform norm boundedness follows from Lemma 6. To prove the strong convergence write

$$a_{+}^{\sharp}(h) - a^{\sharp}(h) = (a_{+}^{\sharp}(h) - a_t^{\sharp}(h)) + (a_t^{\sharp}(h) - a^{\sharp}(h)).$$

We have already seen that the first term can be made smaller than  $\delta$  and the choice of  $t$  does not depend on  $\varepsilon$ . By Lemma 3 the last term tends strongly to zero on  $D_0$ . Hence we get that  $a_{+}^{\sharp}(h) - a^{\sharp}(h)$  converge strongly to zero on  $D_0$ . The uniform boundedness then gives us strong convergence on all of  $\mathcal{F}$ . This proves the lemma.

**Theorem 8.** *Let  $V(x)$  be a differentiable real function which tends to zero at infinity, and assume that  $V'(x)$  is bounded and uniformly continuous. If  $r^3 \|V\|_{\infty} \leq C$ , where  $C$  is the constant of Theorem 4, then the scattering operator  $S$  converges weakly to 1 as  $\varepsilon$  tends to zero.*

*Proof.* From Theorem 4 we get that  $\Omega_{\varepsilon,r}$  converge strongly to  $\Omega$  as  $\varepsilon$  tends to zero. Let  $h$  be in  $\mathcal{H}_0$ . From Lemma 7 we then see that  $a^*(h)\Omega_{\varepsilon,r}$  converge strongly to  $a^*(h)\Omega$ , since

$$a_{\pm}^*(h)\Omega_{\varepsilon,r} - a^*(h)\Omega = (a_{\pm}^*(h) - a(h))\Omega_{\varepsilon,r} + a^*(h)(\Omega_{\varepsilon,r} - \Omega).$$

In the same way we see that for  $h_1, \dots, h_n$  in  $\mathcal{H}_0$   $a_{\pm}^*(h_1) \dots a_{\pm}^*(h_n)\Omega_{\varepsilon,r} = W_{\pm} a^*(h_1) \dots a^*(h_n)\Omega$  converge strongly to  $a^*(h_1) \dots a^*(h_n)\Omega$ . Hence  $W_{\pm}$  converge strongly to 1 on a dense subset. Since  $W_{\pm}$  are isometries we conclude that they converge strongly to 1 on  $\mathcal{F}$ . It follows then from (5.7) that  $S$  converges weakly to 1. This proves the theorem.

*Remark.* From the definition of the scattering operator we see that if  $V_1(x) - V_2(x) = \text{constant}$  then the cut-off interaction corresponding to  $V_1(x)$  and  $V_2(x)$  has the same scattering operator. This fact of course extends the validity of Theorem 8 somewhat.

## V. Removal of the Cut-Off, and the Scattering Operators for Scalar Fields

In the last section we proved that the scattering operator  $S_{\varepsilon,r}(V)$  existed for the cut-off interaction  $\int_{|x| < r} V(\phi_{\varepsilon}(x))dx$ , under the assumption that  $V(x)$  has a bounded and uniformly continuous derivative  $V'(x)$ . Since  $\|S_{\varepsilon,r}(V)\| \leq 1$  for all  $\varepsilon, r$  and  $V$ , we may use the fact that the unit ball in  $\mathcal{F}$  is weakly compact to construct a scattering operator  $S_{\varepsilon,r}(F)$  for a larger class of cut-off interactions  $\int_{|x| \leq r} F(\phi_{\varepsilon}(x))dx$ .

Let  $F(\alpha)$  be any continuous function of  $\alpha$ , and let  $V_n(\alpha)$  be a sequence of functions with bounded and uniformly continuous derivatives such that  $V_n(\alpha)$  converge pointwise to  $F(\alpha)$ . We know that such a sequence always exists. Since  $\|S_{\varepsilon,r}(V_n)\| \leq 1$  there is a subsequence  $V_m(\alpha)$  such that  $S_{\varepsilon,r}(V_m)$  converges weakly to an operator  $S_{\varepsilon,r}(F)$ .  $S_{\varepsilon,r}(F)$  may not be unique since it may depend on the sequence  $V_n(\alpha)$  and on the subsequence  $V_m(\alpha)$  we choose. But from (5.9) we will always have that  $S_{\varepsilon,r}(F)$  commutes with  $H_0$ , and since the unit ball is weakly closed we have also that  $\|S_{\varepsilon,r}(F)\| \leq 1$ .

Having constructed in this way for each  $\varepsilon$  and  $r$  and  $S_{\varepsilon,r}(F)$ , we may again use weak compactness to select sequences  $\varepsilon_n$  tending to zero and  $r_n$  tending to infinity such that  $S_{\varepsilon_n,r_n}(F)$  converge weakly to a limit  $S(F)$ .  $S(F)$  will commute with  $H_0$  and  $\|S(F)\| \leq 1$ . It is natural to interpret  $S(F)$  constructed in this way as the relativistic scattering operator corresponding to the interaction density  $F(\phi(x))$ . It is probable from what we have seen in the previous sections that  $S(F)$  is the identity if  $F(\alpha)$  is bounded and tend to zero at infinity even though we have not been able to prove this.

A more general class of scattering operator are obtained by using renormalized interaction densities. A renormalized interaction density is given by a family of continuous functions  $F^\varepsilon(\alpha)$ . As the scattering operator for the renormalized interaction we take a weak limit point of  $S_{\varepsilon,r}(F^\varepsilon)$  as  $\varepsilon$  tends to zero and  $r$  to infinity. As an example consider the  $\phi^4$  theory. Here

$$F^\varepsilon(\alpha) = a_0(\varepsilon)\alpha^4 + a_1(\varepsilon)\alpha^3 + a_2(\varepsilon)\alpha^2 + a_3(\varepsilon)\alpha^1$$

where  $a_i(\varepsilon)$  will be functions depending on  $\varepsilon$ . A weak limit point of  $S_{\varepsilon,r}(F^\varepsilon)$  as  $\varepsilon$  tends to zero and  $r$  to infinity is then a scattering operator for the  $\phi^4$  theory.

We may also introduce the set  $\mathcal{S}$  of all local relativistic scattering operators in the following way. Let  $\mathcal{S}_{\varepsilon,r}$  be the weak closure of the set  $\{S_{\varepsilon,r}(V); \tilde{\varepsilon} \leq \varepsilon, \tilde{r} \geq r \text{ and } V \text{ real with a bounded and uniformly continuous derivative}\}$ . We then define

$$\mathcal{S} = \bigcap_{\varepsilon,r} \mathcal{S}_{\varepsilon,r}, \tag{6.1}$$

$\mathcal{S}$  is then a closed non-empty subset of the unit ball of operators in  $\mathcal{F}$ . Due to (5.9) all the elements in  $\mathcal{S}$  commutes with  $H_0$ . An element  $S$  in  $\mathcal{S}$  is a local relativistic scattering operator corresponding to a generalized renormalization scheme, in the sense that  $S$  would be a weak limit point of a sequence  $S_{\varepsilon_n,r_n}(V^{\varepsilon_n,r_n})$ , where the functions  $V^{\varepsilon,r}(\alpha)$  may depend in an arbitrary manner on  $\varepsilon$  and  $r$ . It is natural to identify the set  $\mathcal{S}$  with the set of all local relativistic scattering operators for scalar fields.

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