

ON DISPERSION DECAY FOR DISCRETE WAVE EQUATIONS

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Abstract

We derive dispersion estimates for solutions of the one-dimensional discrete wave equations. In particular, we weaken the conditions on the potentials of previous works.

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1 Introduction

We are concerned with the one-dimensional discrete wave equation

$$\ddot{u}(t) = -Hu, \quad H := -\Delta_L + q, \quad t \in \mathbb{R} \quad (1.1)$$

with a real potential q . Here Δ_L is the discrete Laplacian given by

$$(\Delta_L u)_n = u_{n+1} - 2u_n + u_{n-1}, \quad n \in \mathbb{Z}.$$

In matrix form (1.1) reads

$$i\dot{\mathbf{u}}(t) = \mathbf{H}\mathbf{u}(t), \quad t \in \mathbb{R}, \quad (1.2)$$

where

$$\mathbf{u}_n(t) = (u_n(t), \dot{u}_n(t)), \quad \mathbf{H} = \begin{pmatrix} 0 & i \\ -iH & 0 \end{pmatrix}$$

We suppose that the potential q satisfies

$$|q_n| \leq C(1 + |n|)^{-\beta}, \quad n \in \mathbb{Z} \quad (1.3)$$

with some $\beta > 3$. We will use the weighted spaces $\ell_\sigma^2 = \ell_\sigma^2(\mathbb{Z})$ with the norm

$$\|u\|_{\ell_\sigma^2} = \|(1 + |n|)^\sigma u\|_{\ell^2}, \quad \sigma \in \mathbb{R}.$$

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Denote

$$B(\sigma, \sigma') = \mathcal{L}(\ell_\sigma^2, \ell_{\sigma'}^2), \quad \mathbf{B}(\sigma, \sigma') = \mathcal{L}(\ell_\sigma^2 \oplus \ell_\sigma^2, \ell_{\sigma'}^2 \oplus \ell_{\sigma'}^2)$$

the spaces of bounded linear operators from ℓ_σ^2 to $\ell_{\sigma'}^2$ and from $\ell_\sigma^2 \oplus \ell_\sigma^2$ to $\ell_{\sigma'}^2 \oplus \ell_{\sigma'}^2$, respectively. We restrict ourselves to the non-singular case, when the boundary points $\lambda = 0, 4$ of the spectrum are not resonances for the operator $\mathbf{H} = -\Delta_L + q$.

Our main results are as follows. In the non-singular case the following asymptotics hold

$$e^{-i\mathbf{H}t}P_c = O(t^{-3/2}), \quad t \rightarrow \infty \quad (1.4)$$

in $\mathbf{B}(\sigma, -\sigma)$ with $\sigma > 5/2$. Here P_c is the Riesz projection in $\ell^2 \oplus \ell^2$ onto the (absolutely) continuous spectrum of \mathbf{H} .

In this respect we recall that under the condition (1.3) it is well-known that the spectrum of \mathbf{H} consists of a purely absolutely continuous part covering $[0, 4]$ plus a finite number of eigenvalues located in $\mathbb{R} \setminus [0, 4]$. In addition, there could be resonances at the boundary of the continuous spectrum.

The dispersion decay of type (1.4) has been obtained for the first time in [6] for discrete Schrödinger, wave and Klein–Gordon equations with compactly supported potentials (the discrete Klein–Gordon equation corresponds to $\mathbf{H} = -\Delta_L + m^2 + q$ with $m > 0$ in (1.1)). The result has been generalized in [8] to discrete Schrödinger equation with non-compactly supported potentials under the decay condition (1.3) with $\beta > 5$. Recently in [2] the dispersion decay was obtained under condition $\sum_{n \in \mathbb{Z}} |n|^2 |q_n| < \infty$ for discrete Schrödinger and Klein–Gordon equations and under condition

$$\sum_{n \in \mathbb{Z}} |n|^3 |q_n| < \infty \quad (1.5)$$

for discrete wave equation. The result of [2] is based on generalization of the van der Corput lemma together with the novel fact that the scattering data associated with H are in the Wiener algebra.

Here we improve the result [2] for the wave equation by reducing the decay rate (1.5) to (1.3) with $\beta = 3$. We adapt to the discrete case the approach of [7], which relies on the Puiseux expansions of the resolvent at the edge points of the continuous spectrum.

2 Free equation

Here we consider the free equation (1.2) with $q = 0$:

$$i\dot{\mathbf{u}}(t) = \mathbf{H}_0 \mathbf{u}(t), \quad t \in \mathbb{R}, \quad (2.1)$$

where

$$\mathbf{H}_0 = \begin{pmatrix} 0 & i \\ -i\mathbf{H}_0 & 0 \end{pmatrix}, \quad \mathbf{H}_0 = -\Delta_L.$$

It is well-known that \mathbf{H}_0 is self-adjoint and the discrete Fourier transform

$$\hat{\mathbf{u}}(\theta) = \sum_{n \in \mathbb{Z}} u_n e^{i\theta n}, \quad \theta \in \mathbb{T} := \mathbb{R}/2\pi\mathbb{Z}.$$

maps H_0 to the operator of multiplication by $\phi(\theta) = 2 - 2 \cos \theta$:

$$-\widehat{\Delta_L u}(\theta) = \phi(\theta)\widehat{u}(\theta).$$

In particular, the spectrum $\text{Spec}(H_0) = [0, 4]$ is purely absolutely continuous.

We will use the notation $[K]_{n,k}$ for the kernel of an operator K , that is,

$$(Ku)_n = \sum_{k \in \mathbb{Z}} [K]_{n,k} u_k, \quad n \in \mathbb{Z},$$

The kernel of the resolvent $R_0(\omega) = (H_0 - \omega)^{-1}$ is given by

$$[R_0(\omega)]_{n,k} = \frac{1}{2\pi} \int_{\mathbb{T}} \frac{e^{-i\theta(n-k)}}{\phi(\theta) - \omega} d\theta = \frac{e^{-i\theta(\omega)|n-k|}}{2i \sin \theta(\omega)}, \quad \omega \in \Xi := \mathbb{C} \setminus [0, 4], \quad (2.2)$$

$n, k \in \mathbb{Z}$. Here $\theta(\omega)$ is the unique solution of the equation

$$2 - 2 \cos \theta = \omega, \quad \theta \in \Sigma := \{-\pi \leq \text{Re } \theta \leq \pi, \text{Im } \theta < 0\} / 2\pi\mathbb{Z}. \quad (2.3)$$

Observe that $\theta \mapsto \omega = 2 - 2 \cos \theta$ is a biholomorphic map from $\Sigma \rightarrow \Xi$.

Next we collect some properties obtained in [6].

Lemma 2.1. *For $R_0(\omega)$ the following properties hold:*

P1 *The resolvent $R_0(\omega)$ is an analytic function with values in $B(0,0)$ for $\omega \in \Xi$.*

P2 *For $\omega \in (0, 4)$ the limiting absorption principle holds, which is the convergence*

$$R_0(\omega \pm i\varepsilon) \rightarrow R_0(\omega \pm i0), \quad \varepsilon \rightarrow 0+ \quad (2.4)$$

in $B(\sigma, -\sigma)$ with $\sigma > 1/2$.

P3 *At the edge points $\mu_- = 0$ and $\mu_+ = 4$ the following asymptotics hold*

$$R_0(\omega) = A_{\pm}(\omega - \mu_{\pm})^{-1/2} + B_{\pm} + \mathcal{O}(|\omega - \mu_{\pm}|^{1/2}), \quad \omega \rightarrow \mu_{\pm}, \quad \omega \in \Xi \quad (2.5)$$

in $B(\sigma, -\sigma)$ with $\sigma > 5/2$. Here A_{\pm}, B_{\pm} are the operators associated with the kernels

$$[A_{\pm}]_{n,k} = \frac{i}{2} (\mp 1)^{n-k+1}, \quad [B_{\pm}]_{n,k} = -\frac{1}{2} |n-k| (\mp 1)^{n-k+1}, \quad (2.6)$$

respectively.

P4 *The asymptotics (2.5) can be differentiated twice with respect to ω :*

$$\begin{aligned} R'_0(\omega) &= -\frac{1}{2} A_{\pm}(\omega - \mu_{\pm})^{-3/2} + \mathcal{O}(|\omega - \mu_{\pm}|^{-1/2}), \\ R''_0(\omega) &= \frac{3}{4} A_{\pm}(\omega - \mu_{\pm})^{-5/2} + \mathcal{O}(|\omega - \mu_{\pm}|^{-3/2}), \end{aligned} \quad \omega \rightarrow \mu_{\pm}, \quad \omega \in \Xi, \quad (2.7)$$

in $B(\sigma, -\sigma)$ with $\sigma > 5/2$.

Now we turn to the free wave equation. The resolvent $\mathbf{R}_0(\lambda) = (\mathbf{H}_0 - \lambda)^{-1}$ can be expressed in terms of \mathbf{R}_0 (see [6]):

$$\mathbf{R}_0(\lambda) = \begin{pmatrix} \lambda \mathbf{R}_0(\lambda^2) & i \mathbf{R}_0(\lambda^2) \\ -i(1 + \lambda^2 \mathbf{R}_0(\lambda^2)) & \lambda \mathbf{R}_0(\lambda^2) \end{pmatrix}, \quad \lambda \in \mathbb{C} \setminus [-2, 2]. \quad (2.8)$$

Then properties **P1–P4** imply the corresponding properties of \mathbf{R}_0 . In particular,

$$[\mathbf{R}_0]^{12}(\lambda) = iA_- \lambda^{-1} + iB_- + \mathcal{O}(\lambda), \quad \lambda \rightarrow 0, \quad \lambda \in \mathbb{C} \setminus [-2, 2]. \quad (2.9)$$

where $[\cdot]^{ij}$ denotes the ij entry of the corresponding matrix operator.

The continuous spectrum of \mathbf{H}_0 coincides with $[-2, 2]$. For the kernel of the free propagator the following spectral representation holds

$$[e^{-i\mathbf{H}_0 t}]_{n,k} = \frac{1}{2\pi i} \int_{(-2,0) \cup (0,2)} e^{-i\lambda t} [\mathbf{R}_0(\lambda + i0) - \mathbf{R}_0(\lambda - i0)]_{n,k} d\lambda. \quad (2.10)$$

Due to (2.9) $[\mathbf{R}_0]^{12}(\lambda + i0) - [\mathbf{R}_0]^{12}(\lambda - i0) \sim \lambda^{-1}$ and then the first component $u_n(t)$ of the solution of the free wave equation (2.1) does not decay as $t \rightarrow \pm\infty$.

Remark 2.2. (see [2]). Note that the first component of the solution is given by

$$u_n(t) = \sum_{m \in \mathbb{Z}} c_{n-m}(t) u_m(0) + s_{n-m}(t) \dot{u}_m(0), \quad (2.11)$$

where

$$c_n(t) = \frac{1}{2\pi} \int_{-\pi}^{\pi} \cos(\sqrt{1 - \cos \theta} \sqrt{2}t) e^{i\theta n} d\theta = J_{2|n|}(2t), \quad (2.12)$$

$$\begin{aligned} s_n(t) &= \frac{1}{2\pi} \int_{-\pi}^{\pi} \frac{\sin(\sqrt{1 - \cos \theta} \sqrt{2}t)}{\sqrt{1 - \cos \theta}} e^{i\theta n} d\theta = \int_0^t c_n(s) ds \\ &= \frac{t^{2|n|+1}}{2^{|n|}(|n|+1)!} {}_1F_2\left(\frac{2|n|+1}{2}; \left(\frac{2|n|+3}{2}, 2|n|+1\right); -t^2\right). \end{aligned} \quad (2.13)$$

Here $J_n(x)$, ${}_pF_q(\underline{u}; \underline{v}; x)$ denote the Bessel and generalized hypergeometric functions, respectively. In particular, while $c_n(t) = O(t^{-1/2})$ for fixed n , we have $s_n(t) = \frac{1}{2} + O(t^{-1/2})$ for fixed n .

3 Ruiseux expansion of resolvent

Consider the resolvent $\mathbf{R}(\omega) = (\mathbf{H} - \omega)^{-1}$, $\omega \in \Xi$ of perturbed operator \mathbf{H} . The next lemma is a consequence of Lemma 3.3 from [2] (see also [8])

Lemma 3.1. *Let q satisfies (1.3) with $\beta > 1$. Then the convergence*

$$\mathbf{R}(\omega \pm i\varepsilon) \rightarrow \mathbf{R}(\omega \pm i0), \quad \varepsilon \rightarrow 0+, \quad \omega \in (0, 4) \quad (3.1)$$

holds in $B(\sigma, -\sigma)$ with $\sigma > 1/2$.

The resolvent $\mathbf{R}(\omega) = (\mathbf{H} - \omega)^{-1}$ can be expressed in terms of $R(\omega)$ (see [6]):

$$\mathbf{R}(\omega) = \begin{pmatrix} \omega R(\omega^2) & iR(\omega^2) \\ -i(1 + \omega^2 R(\omega^2)) & \omega R(\omega^2) \end{pmatrix}. \quad (3.2)$$

Representation (3.2) and Lemma 3.1 imply the limiting absorption principle for the perturbed resolvent:

Lemma 3.2. *Suppose (1.3) with $\beta > 1$ holds. Then for $\lambda \in (-2, 0) \cup (0, 2)$ the convergence*

$$\mathbf{R}(\lambda \pm i\varepsilon) \rightarrow \mathbf{R}(\lambda \pm i0), \quad \varepsilon \rightarrow 0+,$$

holds in $\mathbf{B}(\sigma, -\sigma)$ with $\sigma > 1/2$.

Now we consider $R(\omega)$ near the edge points $\mu_- = 0$ and $\mu_+ = 4$

Definition 3.3. Any nonzero function $u \in \ell^\infty(\mathbb{Z})$ satisfying the equation $Hu = \mu_-u$ (or $Hu = \mu_+u$) is called a resonance function, and in this case the point μ_- (or μ_+) is called a resonance.

Below we assume that

$$\textbf{Spectral condition:} \text{ The points } \mu_\pm \text{ are no resonances.} \quad (3.3)$$

The condition is equivalent to the boundedness of the resolvent $R(\omega)$ at the edge points of the continuous spectrum:

Lemma 3.4. *(see [2, Lemma 4.3 and Corollary 4.4]). Let (1.3) with $\beta > 2$ holds. Then condition (3.3) is equivalent to the boundedness of the families*

$$\{R(\omega), |\omega - \mu_\pm| \leq \varepsilon, \omega \in \Xi\} \quad (3.4)$$

in $B(\sigma, -\sigma)$ with $\sigma > 3/2$ for sufficiently small $\varepsilon > 0$.

Further we prove that this boundedness provides the asymptotics (1.4).

The Born decomposition formulas

$$R(\omega) = (1 + R_0(\omega)q)^{-1}R_0(\omega), \quad R(\omega) = R_0(\omega)(1 + qR_0(\omega))^{-1} \quad (3.5)$$

imply

$$(1 + R_0(\omega)q)^{-1} = 1 - R(\omega)q, \quad (1 + qR_0(\omega))^{-1} = 1 - qR(\omega). \quad (3.6)$$

Hence, since $q \in B(\sigma, \sigma + \beta)$, we obtain from the previous lemma that for any $\sigma \in (1/2, \beta - 1/2)$ the operators $(1 + R_0(\omega)q)^{-1}$ and $(1 + qR_0(\omega))^{-1}$ are bounded in $B(-\sigma, -\sigma)$ and $B(\sigma, \sigma)$, respectively. In particular, using the following formulas for the derivatives of R (cf. [4, 5]):

$$R' = (1 + R_0q)^{-1}R'_0(1 + qR_0)^{-1}, \quad R'' = \left[(1 + R_0q)^{-1}R''_0 - 2R'_0qR'_0 \right] (1 + qR_0)^{-1}. \quad (3.7)$$

for $\beta > 3$ we obtain

$$R'(\omega \pm i\varepsilon) \rightarrow R'(\omega \pm i0), \quad R''(\omega \pm i\varepsilon) \rightarrow R''(\omega \pm i0), \quad \varepsilon \rightarrow 0+, \quad \omega \in (0, 4), \quad (3.8)$$

in $B(\sigma, -\sigma)$ with $\sigma > \frac{5}{2}$. Our next task will be to obtain asymptotics of the resolvent $R(\omega)$ at the edge points μ_\pm . We start with the following lemma:

Lemma 3.5. *Assume (3.3), suppose (1.3) holds for some $\beta > 2$, and let $\sigma \in (3/2, \beta - 1/2)$. Then*

$$\|(1 + \mathbf{R}_0(\omega)\mathbf{q})^{-1}\alpha^\pm\|_{\ell^2_\sigma} = \mathcal{O}(|\omega - \mu_\pm|^{1/2}), \quad \omega \rightarrow \mu_\pm, \quad \omega \in \Xi, \quad (3.9)$$

and

$$\sum_n \alpha_n^\pm [(1 + q\mathbf{R}_0(\omega))^{-1}f]_n = \mathcal{O}(|\omega - \mu_\pm|^{1/2}), \quad \omega \rightarrow \mu_\pm, \quad \omega \in \Xi, \quad (3.10)$$

for any $f \in \ell^2_\sigma$, where $\alpha_n^\pm = (\mp 1)^n$.

In particular,

$$(1 + \mathbf{R}_0(\omega)\mathbf{q})^{-1}A_\pm(1 + q\mathbf{R}_0(\omega))^{-1} = \mathcal{O}(|\omega - \mu_\pm|), \quad \omega \rightarrow \mu_\pm, \quad \omega \in \Xi, \quad (3.11)$$

in $B(\sigma, -\sigma)$, where A_\pm is given in (2.6).

Proof. The asymptotics (2.5) imply

$$\mathbf{R}(\omega) = (1 + \mathbf{R}_0(\omega)\mathbf{q})^{-1}\mathbf{R}_0(\omega) = (1 + \mathbf{R}_0(\omega)\mathbf{q})^{-1}[A_\pm(\omega - \mu_\pm)^{-1/2} + \mathcal{O}(1)],$$

$$\mathbf{R}(\omega) = \mathbf{R}_0(\omega)(1 + q\mathbf{R}_0(\omega))^{-1} = [A_\pm(\omega - \mu_\pm)^{-1/2} + \mathcal{O}(1)](1 + q\mathbf{R}_0(\omega))^{-1}.$$

and the claim follows from the continuity of $\mathbf{R}(\omega)$, $(1 + \mathbf{R}_0(\omega)\mathbf{q})^{-1}$, and $(1 + q\mathbf{R}_0(\omega))^{-1}$ in $B(-\sigma, -\sigma)$ and $B(\sigma, \sigma)$, respectively. The last claim follows since $A_\pm = \frac{1}{2i}\alpha^\pm \otimes \alpha^\pm$. \square

Lemma 3.6. *Suppose (1.3) holds for some $\beta > 3$ and (3.3) holds. Then we have the following asymptotics in $B(\sigma, -\sigma)$ with $\sigma > 5/2$*

$$\begin{aligned} \mathbf{R}(\omega) &= \mathbf{R}_\pm + \mathcal{O}(|\omega - \mu_\pm|^{1/2}), \\ \mathbf{R}'(\omega) &= \mathcal{O}(|\omega - \mu_\pm|^{-1/2}), \quad \omega \rightarrow \mu_\pm, \quad \omega \in \Xi. \\ \mathbf{R}''(\omega) &= \mathcal{O}(|\omega - \mu_\pm|^{-3/2}), \end{aligned} \quad (3.12)$$

Proof. Asymptotics (2.5), (3.9)–(3.11), and formulas (3.7) imply

$$\mathbf{R}'(\omega) = \mathcal{O}(|\omega - \mu_\pm|^{-1/2}), \quad \mathbf{R}''(\omega) = \mathcal{O}(|\omega - \mu_\pm|^{-3/2}), \quad \omega \rightarrow \mu_\pm, \quad \omega \in \Xi \quad (3.13)$$

in $B(\sigma, -\sigma)$ with $\sigma > 5/2$. The asymptotics (3.13) coincide with the asymptotics (3.12) for the derivatives. Asymptotics (3.12) for $\mathbf{R}(\omega)$ can be obtained by integration of asymptotics (3.12) for the first derivative. \square

Then representation (3.2) and Lemma 3.6 imply

Corollary 3.7. *Let conditions (1.3) and (3.3) hold. Then the following asymptotics hold*

$$\begin{aligned} \mathbf{R}(\lambda) &= \mathbf{R}_\pm + \mathcal{O}(|\lambda \mp 2|^{1/2}), \\ \mathbf{R}'(\lambda) &= \mathcal{O}(|\lambda \mp 2|^{-1/2}), \quad \lambda \rightarrow \pm 2, \quad \lambda \in \mathbb{C} \setminus [-2, 2] \\ \mathbf{R}''(\lambda) &= \mathcal{O}(|\lambda \mp 2|^{-3/2}), \end{aligned} \quad (3.14)$$

in $\mathbf{B}(\sigma, -\sigma)$ with $\sigma > 5/2$.

Corollary 3.8. *The resolvent $\mathbf{R}(\omega)$ is analytic function of ω in $\{|\omega| \leq \delta, \pm \text{Im } \omega \geq 0\}$ for some small $\delta > 0$.*

4 Dispersion decay

Theorem 4.1. *Let conditions (1.3) with $\beta > 3$ and (3.3) hold. Then asymptotics (1.4) hold, i.e.*

$$e^{-it\mathbf{H}}P_c = \mathcal{O}(t^{-3/2}), \quad t \rightarrow \infty. \quad (4.1)$$

in $\mathbf{B}(\sigma, -\sigma)$ with $\sigma > 5/2$.

Proof. For the dynamical group associated with the perturbed wave equation (1.2) the spectral representation holds (cf. [6]):

$$e^{-it\mathbf{H}}P_c = \frac{1}{2\pi i} \int_{[-2,2]} e^{-it\lambda} (\mathbf{R}(\lambda + i0) - \mathbf{R}(\lambda - i0)) d\lambda = \int_{[-2,2]} e^{-it\lambda} F(\lambda) d\lambda, \quad (4.2)$$

where $F(\lambda) = \frac{1}{\pi} \text{Im} \mathbf{R}(\lambda + i0)$. The asymptotic expansion of $F(\lambda)$ at the points ± 2 can be deduced from (3.14). Thus we obtain

$$\begin{aligned} F(\lambda) &= \mathcal{O}(|\lambda \mp 2|^{1/2}), \\ F'(\lambda) &= \mathcal{O}(|\lambda \mp 2|^{-1/2}), \quad \lambda \rightarrow \pm 2, \quad \lambda \in (-2, 2). \\ F''(\lambda) &= \mathcal{O}(|\lambda \mp 2|^{-3/2}), \end{aligned}$$

Hence the desired decay for large t follows from Lemma 4.2 below. \square

The following lemma is a special case of [4, Lemma 10.2].

Lemma 4.2 ([4]). *Assume \mathcal{B} is a Banach space, $a > 0$, and $F \in C(0, a; \mathcal{B})$ satisfies $F(0) = F(a) = 0$, $F'' \in L^1_{loc}(0, a; \mathcal{B})$, as well as $F''(\lambda) = \mathcal{O}(\lambda^{-3/2})$ and $F''(a - \lambda) = \mathcal{O}(\lambda^{-3/2})$ as $\lambda \rightarrow 0+$. Then*

$$\int_0^a e^{-it\lambda} F(\lambda) d\lambda = \mathcal{O}(t^{-3/2}), \quad t \rightarrow \infty.$$

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