

# SMOOTHING LOCALLY FLAT IMBEDDINGS<sup>1</sup>

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The fundamental imbedding problem for manifolds is to classify the imbeddings of an  $n$ -manifold into a  $q$ -manifold under ambient isotopy. We announce here that the differentiable and topological cases of this problem for differentiable manifolds are the same if  $2q > 3(n+1)$  and  $q \geq 8$ .

This follows from Theorem 2 below which states that a locally flat imbedding of a compact differentiable manifold  $M^n$  into a differentiable manifold  $Q^q$  is ambient isotopic to a differentiable imbedding if  $2q > 3(n+1)$  and  $q \geq 8$ . Since this ambient isotopy may be chosen arbitrarily close to the identity map, the set of differentiable imbeddings is dense in the set of locally flat imbeddings of  $M^n$  in  $Q^q$ .

It will then follow that two locally flat imbeddings of  $M^n$  into  $Q^q$  are ambient isotopic if they are homotopic; hence the classification problem reduces to a problem in homotopy theory.

**THEOREM 1.** *Let  $f: B^n \rightarrow \text{int } Q^q$  be a locally flat imbedding of the unit  $n$ -ball into  $Q^q$ . Such an  $f$  always extends to  $f: R^q \rightarrow \text{int } Q^q$ . Let  $C^{n-1}$  be a compact differentiable submanifold of  $\partial B^n = S^{n-1}$ , and suppose that  $f$  is differentiable on a neighborhood of  $C^{n-1}$  in  $B^n$ . Let  $q \geq 7$ ,  $2q > 3(n+1)$  and  $\epsilon > 0$ . Then there exists an ambient  $\epsilon$ -isotopy  $F_t: Q^q \rightarrow Q^q$ ,  $t \in [0, 1]$ , satisfying*

- (1)  $F_0 = \text{identity}$ ,
- (2)  $F_1 f$  is differentiable on  $\text{int } B^n$  and on a neighborhood of  $C^{n-1}$  in  $B^n$ ,
- (3)  $F_t = \text{identity}$  on  $Q - N_\epsilon(f(B^n))$  and on  $f(R^n - \text{int } B^n)$  for all  $t \in [0, 1]$ ,
- (4)  $|F_t(x) - x| < \epsilon$  for all  $x \in Q^q$  and  $t \in [0, 1]$ . ( $N_\epsilon(X)$  is the set of points within  $\epsilon$  of  $X$ .)

**THEOREM 2.** *Let  $f: M^n \rightarrow Q^q$  be a locally flat imbedding such that either  $f(M^n) \subset \text{int } Q^q$  and  $q \geq 7$  or  $f^{-1}(\partial Q^q) = \partial M^n$  and  $q \geq 8$ . Let  $2q > 3(n+1)$  and  $\epsilon > 0$ . Then there exists an ambient  $\epsilon$ -isotopy  $F_t: Q^q \rightarrow Q^q$ ,  $t \in [0, 1]$ , satisfying*

- (1)  $F_0 = \text{identity}$ ,
- (2)  $F_1 f$  is a differentiable imbedding,

<sup>1</sup> This is an announcement of a portion of the author's dissertation at the University of Chicago written under Professor Eldon Dyer.

- (3)  $F_t = \text{identity on } Q - N_\epsilon(f(M^n))$  for all  $t \in [0, 1]$ ,  
 (4)  $|F_t(x) - x| < \epsilon$  for all  $x \in Q^q$  and  $t \in [0, 1]$ .

The proof follows from Theorem 1 by considering the handlebody decomposition of  $M^n$ , and smoothing the imbedding of one handle at a time.

Only imbeddings of  $M^n$  into  $Q^q$  satisfying  $f(M^n) \subset \text{int } Q^q$  or  $f^{-1}(\partial Q^q) = \partial M^n$  will be considered. Let  $T$  be the set of equivalence classes of locally flat imbeddings of  $M^n$  into  $Q^q$  under equivalence by ambient isotopy. Similarly, let  $D(C)$  be the set of equivalence classes of differentiable (combinatorial) imbeddings of  $M^n$  into  $Q^q$  under equivalence by ambient diffeotopy (ambient combinatorial isotopy). Let  $H$  be the homotopy classes of locally flat imbeddings of  $M^n$  into  $Q^q$ .  $H$  is a subset of  $[M^n, Q^q]$ , the homotopy classes of maps of  $M^n$  into  $Q^q$ . Then we have the following commutative diagram where the maps are the natural projections.

$$\begin{array}{ccccc}
 & D & & & \\
 & \downarrow \pi & \searrow \alpha & & \\
 & T & \xrightarrow{\beta} & H & \xrightarrow{\subset} [M, Q] \\
 & \uparrow \rho & \nearrow \gamma & & \\
 & C & & & \\
 & & & & \downarrow i
 \end{array}$$

$\beta$  is clearly onto for all  $n$  and  $q$ . Gluck has shown [1] that  $\rho$  and  $\gamma$ , and hence  $\beta$  and  $\beta i$  are isomorphisms for  $q \geq 2n+2$ . Haefliger has shown [2] that  $\pi$  is a monomorphism and that  $\alpha$  is an isomorphism if  $2q > 3(n+1)$ .

It follows from Theorem 2 that  $\pi$  is also epimorphic if  $2q > 3(n+1)$  and either  $q \geq 7$  when  $f(M^n) \subset \text{int } Q^q$  or  $q \geq 8$  when  $f^{-1}(\partial Q^q) = \partial M^n$ . Then  $\pi$  and  $\beta$  are isomorphisms in this range of dimensions.

#### REFERENCES

1. H. Gluck, *Embeddings in the trivial range*, Ann. of Math. 81 (1965), 195–210.
2. A. Haefliger, *Plongements différentiables de variétés dans variétés*, Comment. Math. Helv. 36 (1961), 47–82.

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