

INJECTIVE ENVELOPES OF BANACH SPACES ARE RIGIDLY ATTACHED

BY J. R. ISBELL¹

Communicated by V. Klee, May 18, 1964

Introduction. H. B. Cohen has constructed [2] an injective (linear) envelope $\epsilon_l E$ for every real or complex Banach space E , and shown that it is unique up to a linear isometry. The real case of Cohen's result provides most of the answer to a question I had asked, which concerned the injective metric envelope $\epsilon_m E$. It is known that in real Banach spaces the metric and metric linear notions of "injective" coincide [1], [6]. The question whether the metric space $\epsilon_m E$ and the Banach space $\epsilon_l E$ coincide is unambiguous, at least in the sense that the metric structure of a real Banach space determines the linear structure [5]. The answer:

THEOREM 1. *For a real Banach space E , $\epsilon_l E = \epsilon_m E$.*

I cannot deduce this from Cohen's results on ϵ_l , but I get it from my results on ϵ_m [4]. Either approach yields the real case of

THEOREM 2. *A linear autoisometry of a Banach space E can be extended in only one way to a linear autoisometry of $\epsilon_l E$.*

However, the proof in the manner of Cohen covers both cases and is shorter.

Each approach proves a strengthened form of Theorem 2. By a lemma of Cohen [2], any other linear extension has norm > 1 . In the real case, any nonlinear extension increases some distance.

Proofs. Let us do Theorem 2 first. It suffices to show that the subspace of all points of $\epsilon_l E$ left fixed by a linear autoisometry T different from the identity lies in an injective proper subspace. Now the form of $\epsilon_l E$ is known (Nachbin-Goodner-Kelley-Hasumi Theorem, re-proved in [2]); it is the space $C(X)$ of all continuous scalar-valued functions on an arbitrary extremally disconnected compact space. The form of T is easy to determine; it must consist of composition with an autohomeomorphism τ of X and multiplication by a continuous function t on X to the scalars of absolute value 1. (This is readily deduced from the characterization of the extreme points of $C(X)^*$ [3].)

Since $T \neq 1$, either $\tau \neq 1$ or $\tau = 1$ but $t \neq 1$. In the former case there

¹ Supported by the National Science Foundation.

is a nonempty open-closed set U of X disjoint from $\tau(U)$, and the fixed points of T lie in the subspace of all f satisfying $t(u)f(\tau(u)) = f(u)$ for u in U . In the latter case there is a nonempty open-closed set U of X on which $t-1$ has no zero, and the fixed points of T must vanish on U . In either case an injective proper subspace $C(X-U)$ contains the fixed points of T . Theorem 2 is proved.

For the linear strengthening, Cohen's lemma [2] says that if an extension $T: \epsilon_t E \rightarrow Y$ of an isometric embedding $E \rightarrow Y$ has norm 1, it is an isometric embedding. The image is then injective; in the present case, this means T is an autoisometry.

Theorem 1 requires some details of the construction [4] of $\epsilon_m X$. A real-valued function f on a metric space X is called *extremal* if it is pointwise minimal subject to $f(x) + f(y) \geq d(x, y)$. The extremal functions on X form a metric space Y with the distance $d(f, g) = \sup |f(x) - g(x)|$.

Defining $e: X \rightarrow Y$ by $e(x)(x') = d(x, x')$, one has an injective envelope. A uniqueness theorem is given in [4], but it can be strengthened. The distance from $f \in Y$ to $e(x)$ is $f(x)$ [4]. Thus the isometry connecting two envelopes $e: X \rightarrow Y$, $e': X \rightarrow Y'$ is unique. Since the functions are minimal, one has a strong version of Theorem 2: every extension over $\epsilon_m X$ of an autoisometry of X , except one extension (which is an autoisometry), increases some distance. Note also: every similitude of X can be extended uniquely to a similitude of $\epsilon_m X$.

Further remarks: since a subspace Z of $\epsilon_m X$ containing X has the same injective envelope, the extremal functions on Z are precisely the unique extensions of extremal functions on X . Z is injective if and only if every extremal function on Z has a zero.

For Theorem 1, it suffices to exhibit an isometry of $\epsilon_m E$ with a Banach space taking E to a linear subspace. We begin with the well-known result [7] that E can be linearly isometrically embedded in some injective Banach space I .

Consider the subspaces F of I containing E such that an injective metric envelope $e: E \rightarrow Y$ can be extended to an isometric embedding $f: F \rightarrow Y$, partially ordered by inclusion. As injective metric spaces are complete [1], and the mappings f are unique, Zorn's Lemma applies: there is a maximal such subspace, Z . We are done if every extremal function on Z has a zero. Suppose the contrary; let g be a positive extremal function on Z . Considering Z as a subspace of its injective envelope Y , extend the inclusion $i: Z \rightarrow I$ over Y . g determines a point of Y , mapped to a point p of I . For every z in Z , $d(p, z) \leq g(z)$. Since $d(p, x) + d(p, y) \geq d(x, y)$ and g is extremal, $d(p, z)$ is $g(z)$. For every element $q = \alpha p + x$ of the subspace G generated by Z

and p , the function f_q given by $d(q, z)$ on Z is extremal; it is just g , transformed by the similitude $z \rightarrow \alpha z$ and the isometry $z \rightarrow z + x$. Moreover, the distance between any two points q, r of G is just $\sup |f_q - f_r|$; this is obvious if the line joining q and r meets Z , and when it is parallel one can approximate the segment qr by segments of lines meeting Z . Hence $e: E \rightarrow Y$ extends to an isometric embedding of G in Y , contradicting the maximality of Z . Theorem 1 is proved.

Concluding remarks. A one-dimensional complex Banach space, though linearly injective, is not metrically injective, and one easily deduces that none of them are metrically injective. For a complex Banach space E and the real Banach space $\epsilon_m E$, the operations of complex scalars, as a semigroup of similitudes of E , extend over $\epsilon_m E$. The extension must fail to preserve the additive structure of the scalars.

REFERENCES

1. N. Aronszajn and P. Panitchpakdi, *Extension of uniformly continuous transformations and hyperconvex metric spaces*, Pacific J. Math. **6** (1956), 405-439.
2. H. B. Cohen, *Injective envelopes of Banach spaces*, Bull. Amer. Math. Soc. **70** (1964), 723-726.
3. M. Hasumi, *The extension property of complex Banach spaces*, Tôhoku Math. J. **10** (1958), 135-142.
4. J. Isbell, *Six theorems about injective metric spaces*, Comment. Math. Helv. **39** (1964), (to appear).
5. S. Mazur and S. Ulam, *Sur les transformations isométriques d'espaces vectoriels*, C. R. Acad. Sci. Paris **194** (1932), 946-948.
6. L. Nachbin, *A theorem of the Hahn-Banach type for linear transformations*, Trans. Amer. Math. Soc. **68** (1950), 28-46.
7. R. Phillips, *On linear transformations*, Trans. Amer. Math. Soc. **48** (1940), 516-541.