

finally an analytic  $r$ -cell contained in  $\mathfrak{g} \cap W$ . Hence  $\mathfrak{g}$  contains a nucleus of  $G$  and hence  $\mathfrak{g} = G$ , a contradiction which proves the theorem.<sup>3</sup>

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<sup>3</sup> We have proved, incidentally, that if an everywhere dense subgroup  $\mathfrak{g}$  of a simple Lie group  $G_r$  ( $r > 1$ ) contains an analytic arc, then  $\mathfrak{g} = G$ .

## VECTOR SPACES OVER RINGS

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**1. Introduction.** Let  $\mathfrak{M} = u_1K + \cdots + u_mK$  be a vector space (linear form modul [5, p. 111]) over a ring  $K = \{0, \alpha, \beta, \cdots; \epsilon \text{ unit element}\}$ . By a *submodul*  $\mathfrak{N} \leq \mathfrak{M}$  is meant an "admissible" submodul:  $\mathfrak{N}K \leq \mathfrak{N}$ . Elements  $v_1, \cdots, v_n$  of a submodul  $\mathfrak{N}$  form a *basis* for  $\mathfrak{N}$  (notation:  $\mathfrak{N} = v_1K + \cdots + v_nK$ ) in case  $\sum v_i \alpha_i = 0$  implies  $\alpha_i = 0$ ,  $i = 1, \cdots, n$ , and if every element of  $\mathfrak{N}$  is expressible in the form  $\sum v_i \alpha_i$ ,  $\alpha_i \in K$ . The equivalent formulations of the ascending chain condition for submoduls of a vector space, and for right ideals of a ring will be used without further comment [5, §§80, 97].

**2. Basis number, linear transformations.** We remark that the following holds.

(A) *The ascending chain condition is satisfied by the submoduls of a vector space  $\mathfrak{M}$  over  $K$  if and only if it is satisfied by the right ideals of  $K$ .*

An infinite chain of right ideals  $r_1 < r_2 < \cdots$  in  $K$  yields an infinite chain of submoduls  $u_1 r_1 < u_1 r_2 < \cdots$  in  $\mathfrak{M}$ . The other implication is proved in [5, p. 87].

[By using a lemma due to N. Jacobson (*Theory of Rings*, in publication) Theorem (A) and the corresponding theorem for descending chain condition are easily proved in a unified manner.]

Linear transformations of  $\mathfrak{M}$  on  $\mathfrak{M}$  are given by  $u_j \rightarrow u'_j = \sum u_i \alpha_{ij}$ . Write  $(u'_1, \cdots, u'_m) = (u_1, \cdots, u_m)A$ ,  $A = (\alpha_{ij})$ . Under  $u_j \rightarrow u'_j$ , let  $\mathfrak{M}_0 \rightarrow 0$ . Thus  $\mathfrak{M}/\mathfrak{M}_0 \cong \mathfrak{M}A \leq \mathfrak{M}$ . Clearly  $\mathfrak{M}_0 = 0$  if and only if  $Av = 0$  implies  $v = 0$ ,  $v$  an  $m \times 1$  matrix over  $K$ , and  $\mathfrak{M}A = \mathfrak{M}$  if and only if there exists an  $m \times m$  matrix  $R$  with  $AR = I$ , the identity matrix.

Possibilities (i)  $\mathfrak{M}_0 = 0$  and  $\mathfrak{M}A = \mathfrak{M}$ ; (ii)  $\mathfrak{M}_0 > 0$  and  $\mathfrak{M}A < \mathfrak{M}$ ; (iii)  $\mathfrak{M}_0 = 0$  and  $\mathfrak{M}A < \mathfrak{M}$  are familiar. The possibility of (iv)  $\mathfrak{M}_0 > 0$

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and  $\mathfrak{M}A = \mathfrak{M}$  is demonstrated later in (D), thus settling a question raised by van der Waerden [5, p. 115].

Case (iii) implies an infinite descending chain in  $\mathfrak{M}$ , case (iv) an infinite ascending chain in  $\mathfrak{M}$ .

(B) The set  $(v_1, \dots, v_n) = (u_1, \dots, u_m)A$ ,  $n < m$ , forms a basis for  $\mathfrak{M} = u_1K + \dots + u_mK$  if and only if the  $m \times m$  matrix  $(A0)$  has a right inverse:  $(A0)R = I$ , and  $Av = 0$  implies  $v = 0$ ,  $v$  an  $n \times 1$  matrix over  $K$ .

This is an immediate consequence of the basis definition.

(C) If the right ideals of  $K$  satisfy the ascending chain condition, every basis of a vector space  $\mathfrak{M} = u_1K + \dots + u_mK$  has  $m$  elements.

For a matrix  $(A0)$  of the type in (B) defines a linear transformation of type (iv) violating the chain condition in  $K$ .

Hence with every vector space  $\mathfrak{M}$  over a ring  $K$  with ascending chain condition for right ideals is associated a unique *basis number*  $b(\mathfrak{M})$ .  $K$  a quasi-field is a trivial special case.

(D) If  $K$  is the ring of all infinite matrices over a field, with only a finite number of nonzero elements in each row and each column, then the vector space  $\mathfrak{M} = u_1K + \dots + u_mK$ ,  $m > 1$ , has a basis of one element:  $\mathfrak{M} = uK$ . Thus there exist, for arbitrary  $m$ ,  $1 \times m$  matrices  $(\alpha_1, \dots, \alpha_m)$ ,  $(\beta_1, \dots, \beta_m)$  over  $K$  such that  $(\alpha_1, \dots, \alpha_m)'(\beta_1, \dots, \beta_m) = I$ , the  $m \times m$  identity matrix, with  $\alpha_i\beta = 0$ ,  $i = 1, \dots, m$ ,  $\beta \in K$  implying  $\beta = 0$ .<sup>2</sup>

Let  $\delta_i$  be the vector  $(0, 0, \dots, 0, 1, 0, \dots)'$  with 1 in the  $i$ th position from above. Matric elements of  $K$  are defined by their column vectors; let the unit of  $K$  be  $\epsilon = (\delta_1, \delta_2, \dots)$  and  $\alpha_1 = (0, \delta_1, 0, \delta_2, 0, \delta_3, \dots)$ ,  $\alpha_2 = (\delta_1, 0, \delta_2, 0, \delta_3, 0, \delta_4, \dots)$ ,  $\alpha_3 = \alpha_1'$ ,  $\alpha_4 = \alpha_2'$ . Let

$$A = \begin{pmatrix} \alpha_1 & 0 \\ \alpha_2 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} \alpha_3 & \alpha_4 \\ 0 & 0 \end{pmatrix}.$$

Then  $AB = I$ , and  $\alpha_1\beta = \alpha_2\beta = 0$  implies  $\beta = 0$ ,  $\beta \in K$ . Let

$$A_1 = \begin{pmatrix} I & 0 \\ 0 & A \end{pmatrix},$$

where  $I$  is the  $(m-2) \times (m-2)$  identity matrix. It follows from (B) that  $u_1, \dots, u_{m-2}, v$  form a basis for  $\mathfrak{M}$ , where  $(u_1, \dots, u_{m-2}, v, 0)$

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<sup>2</sup>  $A'$  means  $A$  transpose.

$= (u_1, \dots, u_m)A_1$ . The induction is obvious, and  $\mathfrak{M}$  has a basis of a single element. The theorem follows from (B).

**3. Vector spaces over right principal ideal rings.** We now remark that the following holds:

(E) *If  $\mathfrak{M} = u_1K + \dots + u_mK$  is a vector space over a ring  $K$  in which every right ideal  $\mathfrak{r} > 0$  is of type  $\rho_0K$ , where  $\rho_0\alpha = 0, \alpha \in K$  implies  $\alpha = 0$ , then every submodul  $\mathfrak{N}, 0 < \mathfrak{N} \leq \mathfrak{M}$ , has a basis of  $n$  elements,  $n \leq m$ .*

This is only a trivial modification of the van der Waerden result [5, pp. 88, 121], appropriate since the condition subsequently also appears to be necessary (see (F)).

LEMMA 1. *If every submodul  $\mathfrak{N}, 0 < \mathfrak{N} \leq \mathfrak{M} = u_1K + \dots + u_mK$  has a basis of  $n \leq m$  elements, and  $\mathfrak{r}$  is a right ideal of  $K, 0 < \mathfrak{r} \leq K$ , then the submodul  $\mathfrak{N} = u_1\mathfrak{r} \cup \dots \cup u_m\mathfrak{r}$ , consisting of all sums  $\sum u_i\rho_i, \rho_i \in \mathfrak{r}$ , has a basis  $u_{11}, \dots, u_{m1}$  with  $u_{i1}\mathfrak{r} = u_{i1}K, i = 1, \dots, m$ , and  $u_{i1}$  is a basis for  $u_i\mathfrak{r}$ .*

For  $0 < u_i\mathfrak{r} = u_{i1}K + \dots + u_{i n_i}K, 1 \leq n_i \leq m$ , and  $\mathfrak{N} = u_1\mathfrak{r} \cup \dots \cup u_m\mathfrak{r}$  is a submodul for which the  $u_{ij}$  together form a basis of  $\sum n_i$  elements. The hypothesis of the lemma implies the ascending chain condition in  $\mathfrak{M}$ , and hence in  $K$  (by (A)). Hence by (C) the basis number for  $\mathfrak{N}$  is unique and  $m \geq \sum n_i \geq m, n_i = 1, i = 1, \dots, m$ . Thus  $u_i\mathfrak{r} = u_{i1} \cdot K$ .

(F) *Let  $\mathfrak{M} = u_1K + \dots + u_mK$  be a vector space over  $K$ . Then every submodul  $\mathfrak{N}, 0 < \mathfrak{N} \leq \mathfrak{M}$ , has a basis of  $n \leq m$  elements, if and only if every right ideal  $\mathfrak{r} > 0$  in  $K$  is of type  $\rho_0K$ , where  $\rho_0\alpha = 0, \alpha \in K$ , implies  $\alpha = 0$ .*

For if  $\mathfrak{r} > 0$  is a right ideal of  $K$ , by the lemma,  $u_1\mathfrak{r} = u_{11}K, u_{11} = u_1\rho_0, \rho_0 \in \mathfrak{r}$ . Then  $u_1\mathfrak{r} = u_1\rho_0K$  and  $\mathfrak{r} = \rho_0K$ . Moreover  $\rho_0\alpha = 0$  implies  $u_{11}\alpha = 0$  and  $\alpha = 0$ .

Now suppose  $\mathfrak{M} = u_1K + \dots + u_mK$  is a vector space over a ring  $K$  of the type in (F). To every submodul  $\mathfrak{N}, 0 < \mathfrak{N} \leq \mathfrak{M}$ , corresponds a unique basis number  $b(\mathfrak{N})$ . Define  $b(0) = 0$ .

(G) *If  $\mathfrak{M} = u_1K + \dots + u_mK$  is a vector space over a ring  $K$  of the type in (F), the basis number  $b(\mathfrak{N}), 0 \leq \mathfrak{N} \leq \mathfrak{M}$ , is a positive modular functional [1, p. 40]:*

M1.  $b(\mathfrak{A} \cup \mathfrak{B}) + b(\mathfrak{A} \cap \mathfrak{B}) = b(\mathfrak{A}) + b(\mathfrak{B}),$

M2.  $\mathfrak{A} \leq \mathfrak{B} \leq \mathfrak{M}$  implies  $b(\mathfrak{A}) \leq b(\mathfrak{B}).$

M2 is clear from (F). A proof of M1 may be made by induction on  $b(\mathfrak{A})$ . We treat here only the following case:

Let  $K$  be a (noncommutative) domain of integrity in which every right ideal is principal.<sup>3</sup> The vector space  $\mathfrak{M} = u_1K + \dots + u_mK$  may then be regarded as imbedded in the vector space  $\mathfrak{M}^* = u_1\bar{K} + \dots + u_m\bar{K}$  where  $\bar{K}$  is the quotient quasi-field of  $K$ . The existence of  $\bar{K}$  follows from theorems developed by Ore [3, p. 466] and a proof by Teichmüller [4] that the least common multiple of nonzero elements in such a  $K$  is not zero. The correspondence

$$(\gamma) \mathfrak{N} = v_1K + \dots + v_nK \rightarrow \mathfrak{N}^* = v_1\bar{K} + \dots + v_n\bar{K}$$

is a well-defined correspondence on the lattice  $L$  of all  $K$ -submodules of  $\mathfrak{M}$  to the entire lattice  $\bar{L}$  of  $\bar{K}$ -submodules of  $\mathfrak{M}^*$ , (since  $\mathfrak{N}^*$  is independent of the  $\mathfrak{N}$ -basis). Observe that  $b(\mathfrak{N}) = b(\mathfrak{N}^*)$  as a submodule of  $\mathfrak{M}^*$ . For the  $K$ -independence of a basis  $(v_1, \dots, v_n)$  of  $\mathfrak{N}$  implies the  $\bar{K}$ -independence of  $v_1, \dots, v_n$ : Let  $\sum v_i\bar{\alpha}_i = 0$ ,  $\bar{\alpha}_i = \alpha_i/\beta_i \in K$  (Ore quotient); if  $\mu$  is the (nonzero) least common multiple of the  $\beta_i$ ,  $\sum v_i\bar{\alpha}_i\mu = 0$ , and  $\bar{\alpha}_i\mu \in K$  by the Ore theory referred to. Hence  $\bar{\alpha}_i\mu = 0$ , and  $\bar{\alpha}_i = 0$ ,  $i = 1, \dots, n$ .

It is trivial to verify that:

- (1)  $\mathfrak{A} \supseteq \mathfrak{B}$  implies  $\mathfrak{A}^* \supseteq \mathfrak{B}^*$ .
- (2)  $(\mathfrak{A} \cup \mathfrak{B})^* = \mathfrak{A}^* \cup \mathfrak{B}^*$ .
- (3)  $(\mathfrak{A} \cap \mathfrak{B})^* = \mathfrak{A}^* \cap \mathfrak{B}^*$ .

For example, in (2)  $(\mathfrak{A} \cup \mathfrak{B})^* \supseteq \mathfrak{A}^* \cup \mathfrak{B}^*$  follows from (1). But every element in  $(\mathfrak{A} \cup \mathfrak{B})^*$  is a  $\bar{K}$ -form in a  $K$ -basis of  $\mathfrak{A} \cup \mathfrak{B}$ , hence is in  $\mathfrak{A}^* \cup \mathfrak{B}^*$ . Since  $b(\mathfrak{A}^*)$  is the dimension of  $\mathfrak{A}^*$  over  $\bar{K}$ , it follows that  $b(\mathfrak{A})$  is a positive modular functional on  $L$ .

We may now apply the theory of such functionals [1, p. 42, Theorem 3.10] to show that  $\delta(\mathfrak{A}, \mathfrak{B}) = b(\mathfrak{A} \cup \mathfrak{B}) - b(\mathfrak{A} \cap \mathfrak{B})$  is a quasi-metric on  $L$ :

- (4)  $\delta(\mathfrak{A}, \mathfrak{B}) \geq 0$ ,  $\delta(\mathfrak{A}, \mathfrak{A}) = 0$ .
- (5)  $\delta(\mathfrak{A}, \mathfrak{B}) + \delta(\mathfrak{B}, \mathfrak{C}) \geq \delta(\mathfrak{A}, \mathfrak{C})$ .

The relation  $\mathfrak{A} \sim \mathfrak{B}$  defined by  $\delta(\mathfrak{A}, \mathfrak{B}) = 0$  is an equivalence relation, and the correspondence  $\mathfrak{A} \rightarrow [\mathfrak{A}]$ , the equivalence class containing  $\mathfrak{A}$ , is a lattice homomorphism of  $L$  onto the metric lattice  $L'$  of equivalence classes. For want of a name, we call  $L'$  the metric homomorph of  $L$ . However, in the correspondence  $(\gamma)$ ,  $\mathfrak{A}^* = \mathfrak{B}^*$  if and only if  $\mathfrak{A} \sim \mathfrak{B}$ . For, if  $\mathfrak{A} \sim \mathfrak{B}$ ,  $b(\mathfrak{A} \cup \mathfrak{B}) = b(\mathfrak{A} \cap \mathfrak{B})$ , and  $\mathfrak{A}^* \cup \mathfrak{B}^* = \mathfrak{A}^* = \mathfrak{B}^* = \mathfrak{A}^* \cap \mathfrak{B}^*$ , since all these have the same dimension over  $\bar{K}$ . Conversely, if  $\mathfrak{A}^* = \mathfrak{B}^*$ , then  $(\mathfrak{A} \cup \mathfrak{B})^* = \mathfrak{A}^* = (\mathfrak{A} \cap \mathfrak{B})^*$ ,  $b(\mathfrak{A} \cup \mathfrak{B}) = b(\mathfrak{A} \cap \mathfrak{B})$  and  $\mathfrak{A} \sim \mathfrak{B}$ .

(H) *If  $K$  is a right principal ideal domain of integrity, quotient field*

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<sup>3</sup> For the elementary divisor theory of matrices over such domains, and references to the literature, see [2].

$K$ , then the basis number  $b(\mathfrak{N})$  is a positive modular functional on the lattice  $L$  of submoduls of  $\mathfrak{M} = u_1K + \cdots + u_mK$ , and the metric homomorph  $L'$  of  $L$  is lattice isomorphic with the lattice of submoduls of  $\mathfrak{M}^* = u_1\bar{K} + \cdots + u_m\bar{K}$ .

**4. Vector spaces over quasi-fields.** We now typify vector spaces over quasi-fields by (I) and (J).

REMARK. A ring  $K = \{0, \alpha, \cdots\}$  with unit  $\epsilon$ , whose only right ideal  $r > 0$  is  $K$ , is a quasi-field.

Let  $\alpha \neq 0$ . Then  $0 < \alpha K = K$ ,  $\alpha\beta = \epsilon$ . The right annihilator (right) ideal  $r$  of  $\alpha$  is  $(0)$ , for  $r > 0$  implies  $r = K$ , and  $\alpha\epsilon = \alpha = 0$ . Hence  $\alpha(\beta\alpha - \epsilon) = \alpha\beta\alpha - \alpha = \alpha - \alpha = 0$  and  $\beta\alpha = \epsilon$ .

(I) Let  $\mathfrak{M} = u_1K + \cdots + u_mK$  be a vector space. Then every submodule  $\mathfrak{N}$ ,  $0 < \mathfrak{N} \leq \mathfrak{M}$ , has a basis of  $n \leq m$  elements, with  $\mathfrak{N} < \mathfrak{M}$  implying  $n < m$ , if and only if  $K$  is a quasi-field; that is, the modular functional  $b(\mathfrak{N})$  on a vector space over a ring  $K$  of the type in (F) is sharply positive [1, p. 41] if and only if  $K$  is a quasi-field.

These are well known properties of a vector space over a quasi-field. If they hold, then by Lemma 1, the existence of a right ideal  $r$ ,  $0 < r < K$  implies  $\mathfrak{N} = u_1r \cup \cdots \cup u_mr < \mathfrak{M}$  with  $b(\mathfrak{N}) = b(\mathfrak{M})$ , contrary to hypothesis. Hence (I) follows from the remark above.

(J) Let  $\mathfrak{M}$  be a vector space over a ring  $K$  of the type in (F). Then  $\mathfrak{M}$  satisfies the descending chain condition if and only if  $K$  is a quasi-field.

For rings of this type, the descending chain condition in  $\mathfrak{M}$  and sharp positiveness of  $b(\mathfrak{N})$  are equivalent. If  $\mathfrak{A} < \mathfrak{B}$  with  $b(\mathfrak{A}) = b(\mathfrak{B})$ , the transformation of  $\mathfrak{B}$ -basis into  $\mathfrak{A}$ -basis is of type (iii), on  $\mathfrak{B}$ .

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