

ON FUNDAMENTAL GROUPS OF POSITIVELY CURVED MANIFOLDS WITH LOCAL TORUS ACTIONS*

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Abstract. In this paper, we study the fundamental groups of closed manifolds of positive sectional curvature which admit compatible local isometric torus T^k -actions. We explore relations between basic properties of an isometric T^k -action and the structure of the fundamental group of M . Using these relations, we prove several results on the fundamental groups.

Key words. Positive sectional curvature, isometric torus action and fundamental groups

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0. Introduction. Let M denote a manifold. Recall that a π_1 -invariant torus T^k -action on M is defined by an effective T^k -action on its a universal covering space \tilde{M} that extends to the action by the semi-direct product, $T^k \ltimes_{\rho} \pi_1(M)$, where ρ is a homomorphism from the fundamental group $\pi_1(M)$ to the automorphism group of T^k ([Ro2]). Clearly, ρ is a trivial map (or equivalently, the T^k -action commutes with the deck transformations) if and only if the T^k -action on \tilde{M} is the lifting of a T^k -action on M . We call the projection on M of a T^k -orbit in \tilde{M} an *orbit* of the π_1 -invariant T^k -action.

Let's make a convention once and for all: Any T^k -action considered in this paper is assumed to be effective, unless mentioned otherwise.

In the case where $\pi_1(M)$ is finite, a π_1 -invariant T^k -action is equivalent to the notion of a *pure* F-structure, introduced by Cheeger-Gromov in the study of collapsing Riemannian manifolds with bounded curvature and diameter ([CG1,2]). This includes, up to a finite exceptions, the class of pinched positive sectional curvature manifolds ([Ro1,2]).

In this paper, we study the fundamental group of a positively curved manifold M which admits a π_1 -invariant isometric T^k -action ([Ro1,2]). By the classical Synge theorem, we implicitly assume that the dimension of M is odd. We will explore relations between properties of a T^k -action and the structure of the fundamental group of M (see Theorems A and C). Using these relations, we prove several results on the fundamental groups, including generalizations of the main results in [Ro1,2] (see Theorems B and D).

We point out that our study is closely related to Grove's proposal on the classification of positively curved manifolds with large isometry group (cf. [Gro] and references within, [FMR], [FR1,2], [Wi]). In the non-simply connected situation, the first step toward a classification is to classify the fundamental groups (see [FR4], [Ro4]).

One basic tool in this paper is the following Synge-type result.

THEOREM A. *Let M be a closed manifold of positive sectional curvature on which a torus T^k ($k \geq 1$) acts isometrically. If ϕ is an isometry on M commuting with the*

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T^k -action, then ϕ preserves some T^k -orbit which is a circle.

The existence of a circle T^k -orbit essentially follows Berger's vanishing theorem, see [GS], [Ro1] and [Su].

We first give a consequence of Theorem A.

COROLLARY 0.1. *Let M be a closed manifold of positive sectional curvature on which T^k acts isometrically. If a principle T^k -orbit contains a homotopy nontrivial loop (in M), then the fundamental group $\pi_1(M)$ is not isomorphic to $\mathbb{Z}_p \oplus \mathbb{Z}_p$ for any prime p .*

Corollary 0.1 provides a sufficient condition for a partial positive answer to the well-known question of S. S. Chern ([Ch], [Ya]): for a closed manifold M of positive sectional curvature, is every abelian subgroup of $\pi_1(M)$ cyclic? Note that a negative answer was recently found in dimensions 7 and 13 ([Ba], [GS], [Sh]).

Theorem A is also a crucial ingredient in the proofs of Theorems B-D below.

We call a normal cyclic subgroup of a group *maximal*, if it is not properly contained in any normal cyclic subgroup (here we allow a trivial maximal cyclic group).

THEOREM B (MAXIMAL NORMAL CYCLIC SUBGROUPS). *Let M be a closed n -manifold of positive sectional curvature which admits a π_1 -invariant isometric T^k -action. If C is a maximal normal cyclic subgroup of $\pi_1(M)$, then its index, $[\pi_1(M) : C] \leq w(n)$, a constant depending only on n .*

Note that Theorem B does not hold if one removes the requirement of "normal" without imposing further restrictions ([Sh]). The existence of a nontrivial normal cyclic subgroup (when $|\pi_1(M)| > w(n)$) is closely related to the existence of a nontrivial normal solvable subgroup; see [FY] (Theorem 2.9).

Theorem B generalizes the main result of [Ro1], which asserts (under the same assumptions of Theorem B) that $\pi_1(M)$ has *some* cyclic subgroup with index less than $w(n)$. Because Theorem B applies to *any* maximal normal cyclic subgroup, we have:

COROLLARY 0.2. *Let M be a closed n -manifold of positive sectional curvature on which T^k acts isometrically. Then $\pi_1(M)$ is not isomorphic to $\mathbb{Z}_p \oplus \mathbb{Z}_{p^r}$ for $pr \geq w(n)$, where p is a prime.*

We remark that Corollary 0.2 may apply to *any* prime p . For $r = 1$, Corollary 0.2 says that $\pi_1(M)$ cannot be isomorphic to $\mathbb{Z}_p \oplus \mathbb{Z}_p$ for any prime $p \geq w(n)$ ([Ro1]). On the other hand, Corollary 0.2 may not be true if pr is small (e.g., $pr = 2, 3$, see ([Sh], [GS]).

COROLLARY 0.3. *Let M be a closed n -manifold of positive sectional curvature which admits a π_1 -invariant isometric T^k -action. Then $\pi_1(M)$ has a normal cyclic subgroup of index $\leq w(n)$. In particular, if $\pi_1(M)$ has only the trivial normal cyclic subgroup, then $|\pi_1(M)| \leq w(n)$.*

Because the simple group A_5 can act freely and isometrically on some Eschenburg 7-manifold of positive sectional curvature on which a circle acts isometrically ([Sh]), we see that $w(7) \geq 50$.

A natural question concerning Theorem B is to find a criterion by which a fundamental group is cyclic. We give the following answer:

THEOREM C. *Let M be a closed n -manifold of positive sectional curvature on*

which T^k acts isometrically. If there is no finite isotropy group, then $\pi_1(M)$ is cyclic.

Observe that in the case of $k = 1$, the condition amounts to a semi-free isometric T^1 -action. If the T^1 -action is free, then Theorem C is seen from the homotopy exact sequence of the T^1 -fibration and the Synge theorem applied to the orbit space.

By the symmetry rank restriction ([GS]) and by the Frankel's theorem ([Fr]), Theorem C yields

THEOREM D. *Let M be a closed n -manifold of positive sectional curvature on which T^k acts isometrically. If $k > \frac{n+1}{4}$, then $\pi_1(M)$ is cyclic.*

Theorem D was first obtained in [Ro4] for " $k \geq \frac{n+6}{4}$ " (cf. [GS]), and was improved in [Wi] to " $k \geq \frac{n}{4} + 1$ ". For $n \not\equiv 3 \pmod{4}$, " $k > \frac{n+1}{4}$ " is equivalent to " $k \geq \frac{n}{4}$ ". Theorem D is optimal for $n \equiv 3 \pmod{4}$ (e.g. any finite group of $SU(2)$ can act freely and isometrically on a homogeneous sphere $S^{4m+3} = Sp(m+1)/Sp(m)$). For a recent development on the fundamental groups of positively curved manifolds with large symmetry rank, see [RW1,2] and [FRW].

We conclude the introduction with a little prospective on the above results.

The fundamental group of a closed manifold of positive Ricci is finite ([My]), and any finite group can arise as the fundamental group of such a manifold. However, whether or not the latter holds for positive sectional curvature has been a long-standing problem in Riemannian geometry. By the Synge theorem ([Sy]), this problem is open in odd dimensions.

One obstacle is the lack of examples; except in dimensions 7 and 13 ([Ba], [GS], [Sh], [GSZ]), all examples of the fundamental groups are those acting freely and isometrically on round spheres. On the other hand, not a conjectured general obstruction is known.

Our results on the fundamental groups in this paper may be considered as a step toward an answer to the above converse question. In particular, our results may shed light on the following problems.

Conjecture 0.4. Let M be a closed n -manifold of positive sectional curvature. Then every maximal normal cyclic subgroup of $\pi_1(M)$ has index less than a constant depending only on n .

Theorem B partially verifies Conjecture 0.4. The example of the spherical space forms ([Wo]) shows that the dependence on n of the index bound is the best one may hope for. Note that Conjecture 0.4 implies the almost cyclicity conjecture in [Ro1].

The following question is partially motivated by Corollary 0.3.

Problem 0.5. Does there exist a universal constant $C > 0$ such that if M admits a metric of positive sectional curvature, then $\pi_1(M)$ has either order less than C or not a simple group?

Note that a positive answer to Problem 0.5 would imply, in particular, that the alternating group A_m , for $m > C$, cannot be the fundamental group of any positively curved manifold (compare to [Sh]).

The rest of the paper is organized as follows: In Section 1, we will prove Theorem A. In Section 2, we will prove Theorem B. The proofs of Theorems C and D are given in Section 3.

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1. Proof of Theorem A.

a. Isotropy groups and orbit spaces. Consider a compact Lie group G acting isometrically on a manifold M . Let $M^* = M/G$ denote the orbit space. The quotient metric d^* on M^* is defined as follows: for any $x^*, y^* \in M^*$, let $x, y \in M$ such that $p(x) = x^*$ and $p(y) = y^*$. Then $d^*(x^*, y^*) \stackrel{\text{def}}{=} d(G(x), G(y))$, where $G(x)$ denotes the orbit at x . Clearly, the orbit projection, $p: M \rightarrow M^*$, is distance non-increasing. Let σ be any geodesic joining $G(x)$ and $G(y)$ such that $d(G(x), G(y)) = \text{length}(\sigma)$. Then $\text{length}(\sigma) = d(x^*, y^*) = \text{length}(\sigma^*)$, where $\sigma^* = p(\sigma)$. Hence, the distance in M^* is realized by the length of some curve in M^* .

In the proof of Theorem A, we will use the following simple fact ([Kl]):

LEMMA 1.1. *Assume that a compact Lie group G acts isometrically on M . If $\gamma(t)$ is a minimal geodesic from $G(\gamma(0))$ to $G(\gamma(1))$, then for all $0 < t < 1$, the isotropy group at $\gamma(t)$, $G_{\gamma(t)}$, is a subgroup of both $G_{\gamma(0)}$ and $G_{\gamma(1)}$.*

We will also give a simple proof (compare to [Kl]).

Proof of Lemma 1.1. Let $x_0 = \gamma(0)$, $x_1 = \gamma(1)$, and $x = \gamma(t)$, $0 < t < 1$. We need to show that $G_x(x_0) = x_0$ and $G_x(x_1) = x_1$. We argue by contradiction. Assume $\alpha \in G_x$ such that $\alpha(x_0) \neq x_0$. Then there is a geodesic triangle whose two sides are $\alpha(\gamma|_{[0,t]})$ and $\gamma(t)|_{[t,1]}$. Because there is a corner at the vertex x , $d(\alpha(x_0), x_1) < d(\alpha(x_0), x) + d(x, x_1) = d(x_0, x) + d(x, x_1) = d(x_0, x_1) = d(G(x), G(y))$, a contradiction. \square

Let M_0 denote the union of principle T^k -orbits. Then M_0 is an open submanifold. By Lemma 1.1, $M_0^* = M_0/T^k$ is an open manifold which is also convex.

b. Positive curvature and symmetry rank. The following result is a fundamental fact about an isometric T^k -action on a closed manifold of positive sectional curvature:

THEOREM 1.2. *Let a torus T^k act isometrically on a closed manifold M of positive sectional curvature.*

(1.2.1) (Berger) *If $\dim(M)$ is even, then the fixed point set is not empty.*

(1.2.2) *If $\dim(M)$ is odd, then there is a circle orbit.*

Note that (1.2.2) follows easily from (1.2.1) (cf. [GS], [Ro1], [Su]).

By Theorem 1.2 and the isotropy representation at an orbit of minimal dimension, one concludes the following ([GS]):

COROLLARY 1.3. *Let a torus T^k act isometrically on a closed n -manifold M of positive sectional curvature. Then $k \leq \lfloor \frac{n+1}{2} \rfloor$ (the integer part).*

c. Proof of Theorem A. The proof of Theorem A is divided into two cases depending on $\dim(M)$ being even or odd. Because the proofs are in both cases almost identical (see Remark 1.5), we will only present the proof for $\dim(M)$ being odd (which is also the case used in this paper).

LEMMA 1.4. *Theorem A is true if ϕ is an orientation-reversing isometry.*

Proof. We proceed by induction on $\dim(M) = 2m + 1$, starting with $m = 1$ and thus $k = 1$ or 2 . By the classical Synge theorem, ϕ has a fixed point $x \in M$ and thus ϕ fixes $T^k(x)$.

Case 1. Assume that $k = 1$. Without loss of generality, we may assume that $T^1(x) = x$. If F denotes the T^1 -fixed point component at x , then $\phi(F) = F$. Because $\dim(F) = 1$, from the isotropy representation of T^1 and ϕ on the normal space of F at x , we then see the desired result.

Case 2. Assume that $k = 2$. Let F_ϕ denote the ϕ -fixed point component at x . Because T^2 preserves F_ϕ , the induced T^2 -action on F_ϕ cannot be trivial (otherwise, the T^2 -fixed point set is not empty; which is not possible). Hence, F_ϕ is also a circle T^2 -orbit.

In general, let F_ϕ denote a ϕ -fixed point component. If the effective part of the T^k -action on F_ϕ is not trivial, then F_ϕ contains a circle T^k -orbit (Theorem 1.2). Otherwise, we may assume that F_ϕ is contained in the T^k -fixed point set. We then consider the T^k -action and the ϕ -action on the unit normal sphere to F_ϕ at x (via the isotropy representation). If F_ϕ has even codimension, then we may apply the inductive assumption to conclude that ϕ preserves some circle T^k -orbit on the normal sphere and therefore preserves some circle T^k -orbit on \tilde{M} (note that ϕ preserves the orientation of the subspace tangent to F_ϕ , and thus must reverse the orientation on the normal space). If F_ϕ has odd codimension, and if the T^k -action on F_ϕ is not trivial, then F_ϕ contains a circle T^k -orbit (Theorem 1.2). If F_ϕ has odd codimension, and if the T^k -action on F_ϕ is trivial, then there is a T^k -fixed component, $F_0 \supset F_\phi$. Let $x \in F_\phi$. Because F_0 has even codimension and ϕ preserves F_0 , ϕ and T^k act on the unit normal sphere of F_0 at x . Now we can apply the inductive assumption to conclude the desired result. \square

By Lemma 1.4, we will assume, in the rest of the proof of Theorem A, that ϕ is an orientation-preserving isometry.

Proof of Theorem A for $k = 1$. Because ϕ commutes with the T^1 -action, ϕ descends to an isometry ϕ^* on the orbit space $M^* = M/T^1$ (which may not be effective). Clearly, ϕ preserves an orbit if and only if ϕ^* fixes its projection on M^* .

We proceed by induction on n , where $\dim(M) = 2n + 1$. The case for $n = 1$ is clear because M^* is homeomorphic to either a two sphere or a two disk.

We now argue by contradiction. Assume that the displacement function, $d^*(x^*, \phi^*(x^*))$ achieves the positive minimum at x^* , i.e. $0 < d^*(x^*, \phi^*(x^*)) \leq d^*(y^*, \phi^*(y^*))$ for all $y^* \in M^*$. Fixing $x \in p^{-1}(x^*)$, let σ denote a geodesic from x to $T^1(\phi(x))$ such that $\text{length}(\sigma) = d^*(x^*, \phi^*(x^*)) = \text{length}(\sigma^*)$. Because ϕ commutes with the T^1 -action, the isotropy groups $T_x^1 = T_{\phi(x)}^1$. By Lemma 1.1, for all $0 < t < 1$, the isotropy group $T_{\sigma(t)}^1 = H$ is independent of t and is contained in T_x^1 . Let F denote the H -fixed point component at x .

If $H \neq \{1\}$ is finite, then F is a closed totally geodesic $(2k + 1)$ -submanifold on which T^1/H acts effectively. Because $\phi(F) \cap F \neq \emptyset$, ϕ preserves F . Thus we can apply the inductive assumption and conclude that ϕ^* has a fixed point in F^* , and therefore a fixed point in M^* ; a contradiction.

In the rest of the proof, we consider the remaining cases that $H = \{1\}$ or $H = T^1$.

Case 1. Assume that $H = \{1\}$. We claim that $T^1(x)$ must be a principle circle

orbit. Assuming this, then $\sigma^* \subset M_0^*$. Note that M_0^* is an even-dimensional open manifold of positive sectional curvature. Because the T^1 -action preserves the orientation on M_0 , M_0^* is orientable, and because ϕ is an orientation-preserving isometry, ϕ^* is an orientation-preserving isometry. Following the standard Synge-type argument as in (2.7.1), the displacement function of ϕ^* cannot achieve a (local) minimum at x^* , a contradiction.

If $T^1(x)$ is not a principle orbit, we will derive a contradiction as follows: For small $\delta > 0$, let $x_\delta = \sigma(\delta)$. Then

$$\begin{aligned} d(x_\delta, t \circ \phi(x_\delta)) &\leq d(x_\delta, t \circ \phi(x)) + d(t \circ \phi(x), t \circ \phi(x_\delta)) \\ &= d(x_\delta, t \circ \phi(x)) + d(x, x_\delta) = d(x, t \circ \phi(x)). \end{aligned}$$

Because $d^*(x^*, \phi^*(x^*))$ is minimal, the above must be an equality, and thus $x_\delta, t \circ \phi(x)$ and $t \circ \phi(x_\delta)$ are in some minimal geodesic, say α from x_δ to $t \circ \phi(x)$ and to $t \circ \phi(x_\delta)$. By the same reason, α must be a horizontal geodesic. Because the isotropy groups at x_δ and $t \circ \phi(x_\delta)$ are trivial but not trivial at $t \circ \phi(x)$, we get a contradiction to Lemma 1.1.

Case 2. Assume that $H = T^1$ and thus $T_x^1 = T^1$. In this case, T^1 acts on $T_x M$ via differentials. Let $T_x^\perp(\sigma) \subset T_x M$ denote the orthogonal complement of $\sigma'(0)$, and let $\psi = d\phi^{-1} \circ P_\sigma : T_x^\perp(\sigma) \rightarrow T_x^\perp(\sigma)$, where P_σ is the parallel translation along σ from $\sigma(0)$ to $\sigma(1)$. Then ψ is a linear isometry on $T_x^\perp(\sigma)$, and we claim that there is $V \in T_x^\perp(\sigma)$ such that $\psi(V) = dt(V)$ for some $t \in T^1$.

Assuming the claim, we will derive a contradiction. Let $V(t)$ denote the parallel vector field along σ with $V(0) = V$ and let $\sigma_\epsilon(t) = \exp_{\sigma(t)} \epsilon V(t)$ for some fixed small ϵ . By the second variation formula of arc length, we have that $\text{length}(\sigma_\epsilon) < \text{length}(\sigma)$. Put $y = \exp_x \epsilon V$. Then $\phi^*(y^*) = (\phi(\exp_x \epsilon V))^* = (\exp_{\phi(x)} d\phi(V))^* = \sigma(1)^*$. But

$$d^*(y^*, \phi^*(y^*)) \leq \text{length}(\sigma_\epsilon^*) \leq \text{length}(\sigma_\epsilon) < \text{length}(\sigma) = d^*(x^*, \phi^*(x^*)),$$

a contradiction.

Because $\sigma(t)$ is contained in the T^1 -fixed point set, the T^1 -action commutes with the parallel translation P_σ , and therefore ψ commutes with the T^1 -action on $T_x^\perp(\sigma)$. Let S^{2n-1} denote the unit ball in $T_x^\perp(\sigma)$. Then ψ induces an isometric action on S^{2n-1} commuting with the T^1 -action. Since both $d\phi$ and the T^1 -action on S^{2n-1} are orientation-preserving, by the inductive assumption, ψ^* has a fixed point in S^{2n-1}/T^1 and thus there is a $V \in S^{2n-1}$ such that $\psi(V) = dt(V)$ for some $t \in T^1$. \square

Proof of Theorem A for $k > 1$. We first show that ϕ preserves some T^k -orbit. Let $T^1 \subset T^k$ be any circle subgroup. By the case of $k = 1$, we may assume that ϕ preserves $T^1(x)$ for some $x \in M$. Then ϕ preserves $T^k(x)$. Consequently, there is $t \in T^k$ such that $t\gamma(x) = x$.

If $T^k(x) \neq \{x\}$, let F denote the $t\gamma$ -fixed point component at x . Because the induced T^k -action on F is not trivial, F contains a circle T^k -orbit (Theorem 1.2).

If $T^k(x) = \{x\}$, then $\phi(x) = x$. From the last part of the proof of Lemma 1.4, we conclude that ϕ preserves a circle T^k -orbit. \square

Remark 1.5. The above proof can be easily modified to a proof for even dimensions; one replaces ‘‘orientation-preserving’’ by ‘‘orientation-reversing’’, and makes corresponding obvious modifications due to this change.

Remark 1.6. Using the version of the Synge theorem for an Alexandrov space of

positive curvature ([Pe]), one may give an alternative proof of Theorem A. However, the present proof is elementary in the sense it does not require (complicated) notions such as parallel translations in Alexandrov spaces.

d. Proof of Corollary 0.1. We will prove the following more general Corollary 0.1'.

COROLLARY 0.1'. *Let M be a closed manifold of positive sectional curvature on which T^k acts isometrically. Then the subgroup of $\pi_1(M)$ generated by loops in a principle T^k -orbit is cyclic, $\langle \sigma \rangle$, such that for all $\gamma \in \pi_1(M)$, σ and γ generate a cyclic group. If $\sigma \neq 1$, then $\pi_1(M)$ is not isomorphic to $\mathbb{Z}_p \oplus \mathbb{Z}_p$ with any prime p .*

Proof of Corollary 0.1'. Consider the pullback torus \tilde{T}^k -action on the Riemannian universal covering space \tilde{M} . Let Γ_0 denote the subgroup of $\pi_1(M)$ generated by loops in some principle T^k -orbit. Then Γ_0 preserves all principle \tilde{T}^k -orbits and therefore all \tilde{T}^k -orbits. Because there is a circle \tilde{T}^k -orbit (Theorem 1.2), $\Gamma_0 = \langle \sigma \rangle$ is cyclic.

For $\gamma \in \pi_1(M)$, assume that γ preserves some circle T^k -orbit in \tilde{M} (Theorem A). Because σ also preserves this circle orbit, $\langle \sigma, \gamma \rangle$ is cyclic. □

2. Proof of Theorem B. In spirit, the first part of the proof is similar to [Ro2]. The new ingredients in the present proof are Theorem A and Theorem 2.9.

a. Bounding fixed point components. In the proof of Theorem B, the index bound is derived from the following fact:

LEMMA 2.1. *Let M be a closed n -manifold of positive sectional curvature on which T^1 acts isometrically. For any finite subgroup, $\mathbb{Z}_h \subset T^1$, the number of the \mathbb{Z}_h -fixed point components is bounded above by a constant depending only on n .*

Lemma 2.1 is a consequence of a combination of the following two theorems:

THEOREM 2.2 ([HS]). *Let a compact abelian Lie group G act effectively on a closed manifold M . Then*

$$\text{rank}(H_*(F(G, M), \ell)) \leq \text{rank}(H_*(M, \ell)),$$

where $G = T^k$ and $\ell = \mathbb{Z}$ or $G = \mathbb{Z}_p^k$ and $\ell = \mathbb{Z}_p$.

THEOREM 2.3 ([GR]). *Let M^n be a closed n -manifold of nonnegative sectional curvature. Then the total Betti number with respect to any coefficient field ℓ is,*

$$B(M) = \sum_{i=0}^n \text{rank}(H_i(M, \ell)) \leq b(n).$$

Proof of Lemma 2.1. We proceed by induction on n , starting with the trivial case $n = 3$. Let $h = pq$ with p a prime. Then

$$\begin{aligned} & \# \text{ of components of } F(\mathbb{Z}_p, M) \\ & \leq \text{rank}(H_*(F(\mathbb{Z}_p, M), \mathbb{Z}_p)) \\ & \leq \text{rank}(H_*(M, \mathbb{Z}_p)) \quad (\text{see Theorem 2.2}) \\ & \leq b(n) \quad (\text{Theorem 2.3}). \end{aligned}$$

Because $\mathbb{Z}_p \subseteq \mathbb{Z}_h$, each \mathbb{Z}_h -fixed point component, F , is contained in some \mathbb{Z}_p - fixed

point component E . Because E is a totally geodesic submanifold of even codimension, we can apply the inductive assumption to $(E, T^1|_E)$, and conclude that the number of \mathbb{Z}_h -fixed point components in E is bounded above by a constant $c(n)$. Then $b(n)c(n)$ gives the desired bound. \square

b. A special case. In this subsection, we will prove a special case of Theorem B (see Theorem 2.4). By a result in [FY] (see Theorem 2.9 below), the general case can be derived from this special case.

Let Γ denote a group. If there is a sequence of subgroups,

$$\Gamma = \Lambda_0 \supset \Lambda_1 \supset \cdots \supset \Gamma_\ell = \{1\},$$

such that Λ_{i+1} is normal in Λ_i and Λ_i/Λ_{i+1} is cyclic, we call $\{\Lambda_i\}$ a filtration of Γ with polycyclicity $= \ell$. Clearly, Γ with a filtration is a solvable group.

THEOREM 2.4. *Let M be a closed n -manifold of positive sectional curvature on which T^1 acts isometrically. If $\pi_1(M)$ has a filtration with polycyclicity $= \ell$, then $\pi_1(M)$ has a cyclic subgroup of index $\leq w(n, \ell)$, a constant depending only on n and ℓ .*

As a preparation, we will prove three lemmas.

LEMMA 2.5. *Let M be a closed n -manifold of positive sectional curvature on which T^1 acts isometrically. Assume that $\pi_1(M)$ has a normal subgroup Λ such that $\pi_1(M)/\Lambda$ is a cyclic group. If Λ has a cyclic subgroup C with index $\leq a$, then C extends to a cyclic subgroup with index in $\pi_1(M)$ less than $c(n, a)$.*

SUBLEMMA 2.6. *Lemma 2.5 is true for $n = 3$.*

Proof. Consider the tower of normal Riemannian covering spaces and the associated lifting circle actions:

$$(2.7) \quad \begin{array}{ccc} \tilde{T}^1 \times \tilde{M} & \longrightarrow & \tilde{M} \\ \hat{\phi} \times \hat{\pi} \downarrow & & \downarrow \hat{\pi} \\ T_\Lambda^1 \times M_\Lambda & \longrightarrow & M_\Lambda = \tilde{M}/\Lambda \\ \phi_\Lambda \times \pi_\Lambda \downarrow & & \downarrow \pi_\Lambda \\ T^1 \times M & \longrightarrow & M \end{array}$$

Here $\phi = \phi_\Lambda \circ \hat{\phi}$, $\pi = \pi_\Lambda \circ \hat{\pi}$ and T_Λ^1 denotes the lifting T^1 -action on M_Λ .

If the T_Λ^1 -action on M_Λ is free, then $\Lambda \subseteq \langle \sigma \rangle$ (σ denotes the homotopy class of a principle T^1 -orbit in M) and thus $\pi_1(M)$ is cyclic (Corollary 0.1).

If the T_Λ^1 -fixed point set is not empty, then the \tilde{T}^1 -fixed point set is also not empty. Because \tilde{M} is a homotopy sphere, the \tilde{T}^1 -fixed point set is connected (Theorem 2.2). Because $\pi_1(M)$ preserves the \tilde{F} -fixed point set (which is a circle), $\pi_1(M)$ is cyclic.

If $T_\Lambda^1(x_\Lambda)$ is an exceptional orbit, we may assume $\mathbb{Z}_p \subset T_\Lambda^1$ and a \mathbb{Z}_p -fixed point component $F_\Lambda = T_\Lambda^1(x_\Lambda)$. Let $\tilde{F} = \pi_\Lambda^{-1}(\tilde{F}_\Lambda)$, and let H denote the subgroup of $\pi_1(M)$

which preserves \tilde{F} . Then H is cyclic (because \tilde{F} is a circle). Moreover,

$$\begin{aligned} [\pi_1(M) : H] &\leq \# \text{ components of } F(\mathbb{Z}_p, M_\Lambda) \\ &\leq \text{rank}(H_*(F(\mathbb{Z}_p, M_\Lambda), \mathbb{Z}_p)) \\ &\leq \text{rank}(H_*(M_\Lambda, \mathbb{Z}_p)) \leq b(3). \end{aligned} \quad (\text{Theorem 2.3})$$

□

LEMMA 2.8. *Let Λ_1, Λ_2 be two subgroups of a finite group Γ . Then*

$$[\Lambda_2 : \Lambda_1 \cap \Lambda_2] \leq [\Gamma : \Lambda_1].$$

We omit the proof here because it is straightforward to check.

Proof of Lemma 2.5. We proceed by induction on n starting with $n = 3$ (Sublemma 2.6).

Let C denote a cyclic subgroup of Λ with index $\leq a$. Consider the tower of normal Riemannian covering spaces and the lifting circle actions as in (2.7).

Case 1. Assume that C is not contained in $\langle \sigma \rangle$. This implies that C does not preserve any principle T^1 -orbit in \tilde{M} . But C preserves some circle T^1 -orbit (Theorem A), whose projection in M_Λ must be an exceptional T_Λ^1 -orbit. Let $H \subset T_\Lambda^1$ denote the isotropy group of this exceptional orbit, and let F_Λ denote the H -fixed point component. Let $\tilde{F} = \hat{\pi}^{-1}(F_\Lambda)$, and let Λ_0 denote the subgroup of $\pi_1(M)$ which preserves \tilde{F} . Clearly, $C \subseteq \Lambda_0$. Note that \tilde{F} is a T^1 -invariant closed totally geodesic submanifold and Λ_0 has a normal subgroup $\Lambda_0 \cap \Lambda$ such that the quotient group is cyclic. If \tilde{F} is simply connected, then we can apply the inductive assumption on $(\tilde{F}/\Lambda_0, T_0^1)$ to conclude that C extends to a cyclic subgroup C_0 of Λ_0 with index $\leq w(n, a)$. If \tilde{F} is not simply connected, it is easy to see that one can still apply the inductive assumption, because $\pi_1(\tilde{F}/\Lambda_0)$ satisfies the following exact sequence,

$$\{1\} \rightarrow \pi_1(\tilde{F}) \rightarrow \pi_1(\tilde{F}/\Lambda_0) \xrightarrow{f} \Lambda_0 \rightarrow \{1\}.$$

Let C' denote a cyclic subgroup in $\pi_1(\tilde{F}/\Lambda_0)$ such that $f(C') = C$. By the inductive assumption, C' extends to a cyclic subgroup C'_0 of $\pi_1(\tilde{F}/\Lambda_0)$ with index bounded by $w(n, a, |\pi_1(\tilde{F})|)$. Then $C_0 = f(C'_0)$ is a cyclic subgroup of Λ_0 with index bounded by $w(n, a)$. Then $[\pi_1(M) : C_0] = [\pi_1(M) : \Lambda_0] \cdot [\Lambda_0, C_0] \leq w(n, a)[\pi_1(M) : \Lambda_0]$.

Because $\pi_1(M)/\Lambda$ is the covering transformation group commuting with the T_Λ^1 -action,

$$\begin{aligned} \# \text{ of components of } \pi_\Lambda^{-1}(\tilde{F}/\Lambda) &\leq \# \text{ of components of } F(H, M_\Lambda) \\ &\leq c(n, a) \text{ (Lemma 2.1)}. \end{aligned}$$

Note that for $\gamma_1, \gamma_2 \in \pi_1(M)$, $\gamma_1\Lambda_0 = \gamma_2\Lambda_0$ if and only if $\gamma_1(\tilde{F}) = \gamma_2(\tilde{F})$. Hence,

$$\begin{aligned} [\pi_1(M) : \Lambda_0] &= \# \text{ of components of } \pi^{-1}(\pi(\tilde{F})) \\ &= \# \text{ of components of } \hat{\pi}^{-1}(F_\Lambda) \cdot \# \text{ of components of } \pi_\Lambda^{-1}(\tilde{F}/\Lambda_0) \\ &\leq [\Lambda, \Lambda_0 \cap \Lambda] \cdot c(n, a) \\ &\leq [\Lambda, C] \cdot c(n, a) \quad (\text{see Lemma 2.8}) \\ &\leq a \cdot c(n, a). \end{aligned}$$

Case 2. Assume that C is a subgroup of $\langle \sigma \rangle$. If Λ is not contained in $\langle \sigma \rangle$, then the T^1_Λ -action on M_Λ has a finite isotropy group. It is straightforward to check that the argument in Case 1 goes through with the obvious minor modification.

Case 3. Assume that $\Lambda \subseteq \langle \sigma \rangle$. In this case, by Theorem A, $\pi_1(M)/\Lambda = \langle \alpha \rangle$ preserves some circle orbit $T^1(x), x \in \tilde{M}$. Because Λ preserves every T^1 -orbit, $\pi_1(M)$ is cyclic. \square

Proof of Theorem 2.4. Consider a filtration of $\pi_1(M)$:

$$\pi_1(M) = \Lambda_0 \supset \Lambda_1 \cdots \supset \Lambda_k = \{1\}.$$

Let $M_i = \tilde{M}/\Lambda_i$, on which there is an induced T^1 -action.

We first consider (M_{k-2}, T^1) . By Lemma 2.5, Λ_{k-1} extends to a cyclic subgroup C_{k-2} of Λ_{k-2} with index less than $a_{k-2}(n)$. We then consider (M_{k-3}, T^1) . Again by Lemma 2.5, C_{k-2} extends to a cyclic subgroup C_{k-3} with index less than $a_{k-1}(n)$. Repeating this a number of times, we then get the desired result. \square

c. Proof of Corollary 0.3. To derive Corollary 0.3 from Theorem 2.4, the following result is crucial.

THEOREM 2.9 ([FY]). *Given n , there are constants, $\epsilon = \epsilon(n), w = w(n)$, such that if a closed n -manifold M admits a metric with $\text{sec}_{M^n} \cdot (\text{diam}(M))^2 \geq -\epsilon$, then the fundamental group of M has a normal solvable subgroup Γ satisfying the following conditions:*

(2.9.1) Γ has polycyclicity at most n .

(2.9.2) $[\pi_1(M) : \Gamma] \leq w_n$.

We also need the following algebraic lemma.

LEMMA 2.10. *Let C be a cyclic subgroup of a finite group Γ with index a . Then C contains a subgroup C_0 such that C_0 is normal in Γ with index at most a^{a+1} .*

Proof. Let

$$C_0 = \bigcap_{\gamma \in \Gamma} \gamma^{-1}C\gamma.$$

Then $C_0 \subseteq C$ is a normal subgroup of Γ (note that C_0 may be trivial).

We first claim that C has at most a conjugate classes, i.e. the set $\{\gamma^{-1}C\gamma, \gamma \in \Gamma\}$ contains at most a elements. By Lemma 2.8,

$$[C : C \cap \gamma^{-1}C\gamma] = [\gamma^{-1}C\gamma : C \cap \gamma^{-1}C\gamma] \leq [\Gamma : C] \leq a.$$

The above implies the claim because C , a cyclic group, can contain at most a subgroups with index less than a . Let $\{\gamma^{-1}C\gamma, \gamma \in \Gamma\} = \{\gamma_i^{-1}C\gamma_i, 0 \leq i \leq s \leq a\}$, and let $C_i = \gamma_i^{-1}C\gamma_i$. By repeatedly applying Lemma 3, we derive

$$\begin{aligned} [\Gamma : C_0] &= [\Gamma : C] \cdot [C : C \cap C_1] \cdots [C \cap C_1 \cap \cdots \cap C_{s-1} : C_0] \\ &\leq a \cdots a = a^{s+1} \leq a^{a+1} \end{aligned}$$

\square

LEMMA 2.11. *For each k , any torsion subgroup of the special linear group $SL(k, \mathbb{Z})$ has order at most 3^{k^2} .*

For a proof, see Lemma 3.5 of [FR3].

Proof of Corollary 0.3. Let $\ker(\rho)$ denote the kernel of the holonomy representation, $\rho : \pi_1(M) \rightarrow \text{Aut}(T^k) \cong \text{SL}(k, \mathbb{Z})$. By Lemma 2.11 and Corollary 1.3, $[\pi_1(M) : \ker(\rho)] \leq 3^{k^2} \leq 3^{\lfloor \frac{n+1}{2} \rfloor^2}$. Because $\ker(\rho)$ commutes with the T^k -action on \tilde{M} , we can apply Theorem 2.9 to $\tilde{M}/\ker(\rho)$ and conclude that $\ker(\rho)$ has a normal solvable subgroup Γ_1 with polycyclicity $\leq n$ such that $[\ker(\rho) : \Gamma_1] \leq w_n$. Let T_1^k denote the descending T^k which acts on \tilde{M}/Γ_1 . Taking any $T^1 \subseteq T_1^k$ and applying Theorem 2.4 to $(\tilde{M}/\Gamma_1, T^1)$, we conclude that Γ_1 has a cyclic subgroup C of index $\leq c(n)$. Then

$$[\pi_1(M) : C] = [\pi_1(M) : \ker(\rho)] \cdot [\ker(\rho) : \Gamma_1] \cdot [\Gamma_1 : C] \leq 3^{\lfloor \frac{n+1}{2} \rfloor^2} \cdot w_n \cdot c(n) = d(n).$$

By Lemma 2.10, $\pi_1(M)$ has a normal cyclic subgroup of index $\leq d^{d+1}$. □

d. Proof of Theorem B. In the proof of Corollary 0.3, we first show the existence of a cyclic subgroup of bounded index. This proof can be easily modified to a proof of Theorem B, in the presence of a nontrivial maximal normal cyclic subgroup.

Proof of Theorem B. Let $\langle \alpha \rangle$ denote a maximal normal subgroup of $\pi_1(M)$. If $\langle \alpha \rangle = \{1\}$, then $\pi_1(M)$ contains no normal cyclic subgroup. In this case, we can apply Corollary 0.3. Hence, in the rest of the proof, we may assume that $\langle \alpha \rangle \neq \{1\}$. By Corollary 0.1, $\langle \alpha, \sigma \rangle$ generates a normal subgroup of $\pi_1(M)$ and therefore the maximality implies that $\langle \sigma \rangle \subseteq \langle \alpha \rangle$ (note that $\langle \sigma \rangle$ can be trivial).

Case 1. Assume that $\langle \sigma \rangle = \langle \alpha \rangle$. By Corollary 0.3, $\pi_1(M)$ has a normal cyclic subgroup $\langle \beta \rangle$ of index $\leq w(n)$. By Corollary 0.1, $\langle \beta, \sigma \rangle$ is also a normal cyclic subgroup of $\pi_1(M)$. The maximality of $\langle \alpha \rangle$ then implies that $\langle \alpha \rangle = \langle \beta \rangle$.

Case 2. Assume that $\langle \sigma \rangle \subsetneq \langle \alpha \rangle$. We proceed by induction on $\dim(M) = n$, starting with the trivial case $n = 3$ (see Lemma 2.5).

Consider the tower of normal covering spaces with the induced π_1 -invariant isometric T^k -action:

$$\begin{array}{ccc} \tilde{T}^k \times \tilde{M} & \longrightarrow & \tilde{M} \\ \hat{\phi} \times \hat{\pi} \downarrow & & \downarrow \hat{\pi} \\ T_\alpha^k \times M_\alpha & \longrightarrow & M_\alpha = \tilde{M} / \langle \alpha \rangle \\ \phi_\Lambda \times \pi_\Lambda \downarrow & & \downarrow \pi_\Lambda \\ T^k \times M & \longrightarrow & M \end{array}$$

Assume that α preserves some orbit $T^k(x), x \in \tilde{M}$ (Corollary 0.1). Let $t \in T^k$ such that $t \circ \alpha(x) = x$, and let \tilde{F}_α denote the $t \circ \alpha$ -fixed point component at x . Let H_α denote the subgroup of $\pi_1(M)$ which preserves \tilde{F}_α . Then $\alpha \in H_\alpha$, and thus $\langle \alpha \rangle$ is also a maximal normal subgroup of H_α . Because \tilde{F}_α contains no principle T^k -orbit, \tilde{F}_α is a closed T^k -invariant totally geodesic submanifold of even codimension, and we can apply the inductive assumption to conclude that the index of $\langle \alpha \rangle$ in H_α is $\leq a(n)$.

It remains to bound $[\pi_1(M) : H_\alpha]$. Because $\langle \sigma \rangle$ is normal in $\pi_1(M)$, $\gamma(\tilde{F}_\alpha)$ is

a $t \circ \alpha$ -fixed point component. Then

$$\begin{aligned} [\pi_1(M) : H_\alpha] &= \#\{\gamma(\tilde{F}_\alpha), \gamma \in \pi_1(M)\} \\ &\leq \#\{\text{components of } F(t \circ \alpha, \tilde{M})\} \\ &\leq c(n). \end{aligned} \tag{Lemma 2.1}$$

□

3. Proof of Theorems C and D.

a. Proof of Theorem C. An isotropy group H is called *local minimal* if there is an H -fixed point whose neighborhood contains no isotropy group other than H .

LEMMA 3.1. *Let T^k act on a manifold M .*

(3.1.1) *A minimal isotropy group is isomorphic to either T^1 or \mathbb{Z}_h .*

(3.1.2) *There is no finite isotropy group if and only if every isotropy group is connected.*

Proof. (3.1.1) Assume $x \in M$ such that $1 \neq T_x^k$ is a locally minimal isotropy group. Let F denote a primary component of $F(T_x^k, M)$. The minimality implies that T_x^k acts freely on the unit normal sphere $S_x^\perp \subset T_x M$. A priori, $T_x^k \cong T^\ell \times A$, where A is a finite abelian group. Then either $\ell = 0$ or $A = 1$, because otherwise one concludes a noncyclic abelian group acting freely a sphere, a contradiction to Corollary 0.3.

(3.1.2) It suffices to prove the necessity of (3.1.2). We argue by contradiction. Assume $x \in M$ such that the isotropy group at x is $T_x^k = T^\ell \times A$, where $A \neq 1$ is a finite abelian group. Without loss of generality, we may assume that $T_x^k = T^\ell \times A$ is *minimal*, i.e. it contains no proper isotropy subgroup of the form $T^\ell \times B$.

Let F denote the T_x^k -fixed point component at x . Then T_x^k acts linearly on the normal space, $T_x^\perp F$, via the differentials. It is easy to see that if T_x^k has a finite isotropy group at u in the unit disk $D^\perp \subset T_x^\perp F$, then $T_{\exp_x \epsilon u}^k$ is finite for ϵ small. Note that $\dim(D^\perp)$ is even and the T_x^k -action has no fixed point in ∂D^\perp (these two properties will be used below).

From the above, it suffices to show that $T_x^k = T^\ell \times A$ has a finite isotropy group on ∂D^\perp . We proceed by induction on ℓ . For $\ell = 1$ and $1 \neq \alpha \in A$, by Theorem 2.1 we can assume that α preserves some circle orbit $T^1(v)$, $v \in \partial D^\perp$. If $T^1(v) \neq v$, i.e. $T^1(v)$ is a circle, then the isotropy group $(T^1 \times A)_v$ of the $(T^1 \times A)$ -action on ∂D^\perp is finite (from the earlier discussion, the proof is then complete). If $T^1(v) = v$, then $\alpha(v) = \alpha(T^1(v)) = T^1(v) = v$, and this implies that $(T^1 \times A)_v = T^1 \times B$ with $\alpha \in B$. But $(T^1 \times A)_v \subsetneq T^1 \times A$ because T_x^k has no fixed point in ∂D^\perp . Note that $T_{\exp_x \epsilon v}^k \cong (T^1 \times A)_v \subsetneq T_x^k$, and thus we obtain a contradiction to the minimality of T_x^k .

For $\ell > 1$, let $T^{\ell-1} \subset T^\ell$ such that $T^{\ell-1}$ has no fixed point in ∂D^\perp , and let $T^\ell = T^{\ell-1} \times T^1$. Applying the inductive assumption on $(\partial D^\perp, T^{\ell-1} \times A)$, there is $v \in \partial D^\perp$ such that $1 \neq (T^{\ell-1} \times A)_v$ is finite. If T^1 does not fix $(T^{\ell-1} \times A)(v)$, then $(T^\ell \times A)_v$ is finite (the proof is then complete). Otherwise, $(T^\ell \times A)_v \cong T^1 \times B$. From the case $\ell = 1$, we conclude that the $(T^1 \times B)$ -action on ∂D^\perp has a finite isotropy subgroup at a point u near v , and therefore the $(T^\ell \times A)$ -action on ∂D^\perp has a finite isotropy group at u . □

By Theorem A, we observe the following: each element $\gamma \in \pi_1(M, x)$ is homotopy

equivalent to $\eta^{-1}\gamma_y\eta$, where η is a horizontal path from x to y (i.e. $\eta(t)$ meets transversal to $T^k(\eta(t))$) and γ_y is a loop in $T^k(y)$ (whose ‘lifting’ is preserved by γ). In the following discussion, for the sake of simple notation, we will omit a reference point x and thus use γ_y to represent γ .

Proof of Theorem C. Assume there is no finite isotropy group. By Lemma 3.1, every isotropy group is connected. We will show that every element $\gamma \in \pi_1(M)$ is homotopy equivalent to a loop in a principle T^k -orbit (by Corollary 0.1, this implies the desired result).

For $x \in M$, let $U = T^k \times_{T_x^k} D^\perp$ denote a tube around the orbit $T^k(x)$, where the isotropy group at x , T_x^k acts on the normal unit sphere via the isotropy representation ([Br]). Let $p_t : U \rightarrow T^k(x)$ denote the radial projection from $U_t = T^k \times_{T_x^k} D^\perp(t)$, $0 \leq t \leq 1$. Let $y \in U$ such that $T^k(y)$ is a principle T^k -orbit and the minimal geodesic α from y to x is orthogonal to $T^k(x)$. Let Γ_y denote the subgroup of $\pi_1(M, x)$ generated by loops in $\alpha^{-1}\gamma\alpha$, where γ is a loop in $T^k(y)$ at y . Then p induces an injection $p_* : \Gamma_y \rightarrow \Gamma_x$.

When restricting to $T^k(y)$, the map p coincides with the quotient map, $T^k(y) \rightarrow T^k(y)/T_x^k = T^k(x)$. Because T_x^k is connected, by the homotopy lifting property (p.91, [Br]) we see that p_* is surjective and thus isomorphic. Then the continuity of the deformation p_t ($0 \leq t \leq 1$) implies that $\Gamma_y = \Gamma_x$. Because Γ_y is cyclic (Corollary 0.1) and because Γ_y is independent of y , $\pi_1(M) \cong \Gamma_y$ is cyclic. \square

b. Proof of Theorem D. We first observe a consequence of the following Synge-type result:

THEOREM 3.2 ([FR]). *Let M be a closed n -manifold of positive sectional curvature. If N_1 and N_2 are two closed totally geodesic submanifolds such that*

$$\dim(N_1) + \dim(N_2) \geq n,$$

then $N_1 \cap N_2 \neq \emptyset$.

COROLLARY 3.3. *Let M be a closed $(2n + 1)$ -manifold of positive sectional curvature. If N is a totally geodesic m -submanifold of positive sectional curvature with $m > n$, then the inclusion, $N \hookrightarrow M$, induces an onto map on the fundamental groups.*

Proof. Let $\pi : \tilde{M} \rightarrow M$ denote the Riemannian universal covering, and let \tilde{N} denote a component of $\pi^{-1}(N)$. Because each component of $\pi^{-1}(N)$ is a closed totally geodesic submanifold of \tilde{M} , by Theorem 3.2 $\tilde{N} = \pi^{-1}(N)$. This implies that the inclusion, $N \hookrightarrow M$, induces an onto map on the fundamental groups. \square

Proof of Theorem D. By Theorem C, we may assume a maximal finite isotropy group $H \neq 1$, i.e. H is not a proper subgroup of any finite isotropy group. Let F denote an H -fixed point component containing a point whose isotropy group is H . Because the induced T^k/H -action on F has no finite isotropy group, $\pi_1(F)$ is cyclic (Theorem C). Because T^k/H acts effectively on F , $\dim(F) \geq \frac{n+1}{2}$ (Corollary 1.3). This implies that the inclusion, $F \hookrightarrow M$, induces an onto map on the fundamental groups (Corollary 3.3). \square

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