Functiones et Approximatio XXXIX.2 (2008), 223–235

# BERNOULLI NUMBERS AND ZEROS OF p-ADIC L-FUNCTIONS

Tauno Metsänkylä

Dedicated to Władysław Narkiewicz for his 70th birthday

**Abstract:** Rational *p*-adic zeros of the Leopoldt–Kubota *p*-adic *L*-functions give rise to certain sequences of generalized Bernoulli numbers tending *p*-adically to zero, and conversely. This relationship takes different forms depending on whether the corresponding Iwasawa  $\lambda$ -invariant is one or greater than one. To understand the relationship better it is useful to consider approximate zeros of those functions.

Keywords: Bernoulli numbers, generalized Bernoulli numbers, p-adic L-functions and their zeros, Iwasawa  $\lambda$ -invariants

#### 1. Introduction

For a prime p and for a nonprincipal even Dirichlet character  $\chi$  whose conductor is not divisible by  $p^2$  (or by 8, if p = 2), consider the Leopoldt–Kubota p-adic Lfunction  $L_p(s, \chi)$ . Let  $\theta$  denote the p-free component of  $\chi$ . The aim of the present article is to study a relationship between rational p-adic zeros of  $L_p(s, \chi)$  and the p-divisibility of the Bernoulli numbers  $B^m(\theta)$  as m tends to infinity. As is to be expected, this relationship depends on the Iwasawa  $\lambda$ -invariant attached to  $\chi$ .

Let  $\omega$  denote the cyclotomic character mod p when p > 2, or the unique character with conductor 4 when p = 2. The relationship in question is a direct consequence of the basic formula

$$L_p(1-m,\chi) = -(1-\chi\omega^{-m}(p)p^{m-1})\frac{B^m(\chi\omega^{-m})}{m} \qquad (m=1,2,\dots).$$
(1.1)

It was studied in the recent work [4] by Kellner in the case of ordinary Bernoulli numbers  $B^m$ . The present discussion not only contains an extension to  $B^m(\theta)$ , including p = 2, but also treats some new aspects and offers proofs different from [4] that are perhaps more natural and give deeper insight into the results.

<sup>2000</sup> Mathematics Subject Classification: primary 11B68, 11R23, 11S40.

#### 2. Preliminaries

Fix an embedding of the field of algebraic numbers into  $\mathbb{C}_p$ , the completion of an algebraic closure of the *p*-adic field  $\mathbb{Q}_p$ . Let  $v_p$  denote the *p*-adic exponential valuation on  $\mathbb{C}_p$ , normalized so that  $v_p(p) = 1$ . A congruence  $\alpha \equiv \beta \pmod{p^z}$  in  $\mathbb{C}_p$  means that  $v_p(\alpha - \beta) \geq z$ .

Let  $\alpha$  be a rational *p*-adic integer, that is,  $\alpha \in \mathbb{Z}_p$ . We define  $r_n(\alpha) \in \mathbb{Z}$  by

$$r_n(\alpha) \equiv \alpha \pmod{p^n}, \qquad 0 \le r_n(\alpha) < p^n$$

To avoid complications occurring for the prime p = 2 we assume throughout sections 2–5 that p > 2. The case p = 2 is treated in the final section 6.

With the assumptions on  $\chi$  mentioned above we fix the notation

$$\chi = \theta \omega^t, \qquad 2 \le t \le p$$

and denote by d the conductor (prime to p) of  $\theta$ . The reader mainly interested in the case  $\theta = 1$  should keep in mind that t then assumes the values  $2, 4, \ldots, p-3$ .

We introduce the usual difference operator  $\Delta_c x_n = x_{n+c} - x_n$  and recall the identity

$$\Delta_{cd} = (1 + \Delta_c)^d - 1. \tag{2.1}$$

The Kummer congruences for  $B^m(\theta)$  state, for c divisible by  $\varphi(p^h) = (p-1)p^{h-1}$ , that

$$\Delta_c^k (1 - \theta(p) p^{m-1}) \frac{B^m(\theta)}{m} \equiv 0 \pmod{p^{hk}}$$
(2.2)

whenever  $k \ge 0$ ,  $h \ge 1$ ,  $m \ge 1$ , with the additional condition  $m \ne 0 \pmod{p-1}$ for  $\theta = 1$ . These congruences, first proved by Carlitz [2], are in fact crucial for the analyticity of *p*-adic *L*-functions. Proofs based on the theory of these functions were presented in [6] and [7].

Recall that  $L_p(s,\chi)$  is defined and analytic for all  $s \in \mathbb{C}_p$  satisfying  $v_p(s) > -1 + \frac{1}{p-1}$ . Moreover,

$$L_p(s,\chi) = f_{\chi}((1+dp)^s - 1), \qquad (2.3)$$

where

$$f_{\chi}(T) = \sum_{i=0}^{\infty} a_i(\chi) T^i \in \mathcal{O}_{\theta}[[T]]$$

is the Iwasawa power series. Here  $\mathcal{O}_{\theta}$  is the valuation ring of the field  $\mathbb{Q}_p(\theta)$  generated by the values of  $\theta$ .

By the  $\lambda$ -invariant attached to  $\chi$  we mean

$$\lambda_{\chi} = \min\{ \, i \ge 0 \mid v_p(a_i(\chi)) = 0 \, \} \, ,$$

that is, the  $\lambda$ -invariant of the power series  $f_{\chi}$ . This power series defines an analytic function on the disc  $D_T = \{T \in \mathbb{C}_p \mid v_p(T) > 0\}$ , and it follows from the *p*-adic

Weierstrass Preparation Theorem ([9], Theorem 7.3) that  $f_{\chi}$  has  $\lambda_{\chi}$  zeros (counting multiplicities) in  $D_T$ . Indeed, these zeros are exactly the zeros of a "distinguished" polynomial of degree  $\lambda_{\chi}$  belonging to  $\mathcal{O}_{\theta}[T]$ .

It is easy to see (e.g., [3]) that the zeros  $T_0$  of  $f_{\chi}(T)$  satisfying  $v_p(T_0) > \frac{1}{p-1}$ correspond bijectively to the zeros  $s_0$  of  $L_p(s, \chi)$ ; this correspondence is given by

$$T_0 = (1+dp)^{s_0} - 1, \qquad s_0 = \frac{\log(1+T_0)}{\log(1+dp)}.$$
 (2.4)

In particular,  $s_0 = 0$  if and only if  $T_0 = 0$ , and  $v_p(s_0) = v_p(T_0) - 1$  otherwise.

Now consider rational p-adic zeros  $s_0$ , that is, zeros  $s_0 \in \mathbb{Q}_p$ . Trivially,  $s_0 \in \mathbb{Q}_p$ if and only if  $T_0 \in \mathbb{Q}_p$ . Since the positive values of  $v_p$  in  $\mathbb{Q}_p$  are  $\geq 1 > \frac{1}{p-1}$ , we find that the zeros  $s_0 \in \mathbb{Q}_p$  correspond bijectively to the zeros  $T_0 \in \mathbb{Q}_p$ , and for those zeros one has  $T_0 \in p\mathbb{Z}_p$ ,  $s_0 \in \mathbb{Z}_p$ .

In general, the zeros  $T_0$  of  $f_{\chi}$  of course belong to an extension of  $\mathbb{Q}_p(\theta)$  of degree  $\leq \lambda_{\chi}$ . Thus, if  $\lambda_{\chi} = 1$ , the unique zero  $T_0$  is in  $\mathbb{Q}_p(\theta)$ . If  $\theta = 1$  or  $\theta$  is a quadratic character, this field is just  $\mathbb{Q}_p$ . For  $\theta = 1$ ,  $\lambda_{\chi}$  has been computed for all p below 12 million (see [1]); if nonzero, it is always = 1 and so the corresponding zero  $T_0$  is in  $p\mathbb{Z}_p$ .

But also in the case  $\lambda_{\chi} > 1$  there are numerous examples known in which  $f_{\chi}$  has rational *p*-adic zeros  $T_0$ ; see [3].

It will also be useful to introduce approximate zeros of  $L_p(s, \chi)$ . Let us call  $\sigma \in \mathbb{Z}_p$  an approximate zero of order  $l(\geq 1)$  for  $L_p(s, \chi)$ , if

$$L_p(\sigma, \chi) \equiv 0 \pmod{p^l}$$
.

By [9], Theorem 5.12, rational *p*-adic integers  $\sigma_1$  and  $\sigma_2$  satisfy the condition

$$L_p(\sigma_1, \chi) \equiv L_p(\sigma_2, \chi) \pmod{p^l}$$
 whenever  $\sigma_1 \equiv \sigma_2 \pmod{p^{l-1}}$ . (2.5)

Hence an approximate zero  $\sigma$  of order l is obtained by approximating a zero  $s_0 \in \mathbb{Z}_p$ modulo  $p^{l-1}$ . Conversely, when does a given approximate zero  $\sigma$  really approximate some zero  $s_0$ ? This question, related to Hensel's lemma, will play a role in Section 4.

#### 3. Bernoulli numbers tending *p*-adically to zero

Throughout the following we use the abbreviations

$$\widehat{B}^m(\theta) = B^m(\theta)/m, \qquad \widetilde{B}^m(\theta) = (1 - \theta(p)p^{m-1})\widehat{B}^m(\theta).$$

**Theorem 3.1.** (i) Let  $\sigma \in \mathbb{Z}_p$  be an approximate zero of order  $l \ge 1$  for  $L_p(s, \chi)$  and let

$$\beta = \frac{1 - t - \sigma}{p - 1} \left( \in \mathbb{Z}_p \right) \,.$$

Then

$$\widehat{B}^{t+(p-1)r_n(\beta)}(\theta) \equiv 0 \pmod{p^{n+1}} \qquad (n=0,\dots,l-1).$$
 (3.1)

(ii) Conversely, let  $\beta \in \mathbb{Z}_p$  and assume that the congruences (3.1) hold true. Then  $\sigma = 1 - (t + (p-1)\beta)$  is an approximate zero of order l for  $L_p(s, \chi)$ .

**Proof.** For any  $n \ge 0$ , let

$$w_n = t + (p-1)r_n(\beta) \; .$$

We have  $\omega^{t-w_n} = 1$  and  $\sigma \equiv 1 - w_n \pmod{p^n}$ . Hence, by (1.1) and (2.5),

$$\widetilde{B}^{w_n}(\theta) = -L_p(1 - w_n, \chi) \equiv -L_p(\sigma, \chi) \pmod{p^{n+1}}.$$

This implies both parts of the theorem, with  $\tilde{B}$  in place of  $\hat{B}$ . The assertions then follow, since  $v_p(1-\theta(p)p^{w_n-1}) = 0$ . One needs here the assumption that  $t \ge 2$ .

Note that the congruences (3.1) hold for all n in the range  $0 \le n \le l-1$ , once this congruence holds for n = l - 1.

Theorem 3.1 implies that if  $L_p(s, \theta \omega^t)$   $(2 \le t \le p)$  has an approximate zero of order 1, then

$$\widehat{B}^t(\theta) \equiv 0 \pmod{p}$$
.

More generally, with the mere assumption that  $\lambda_{\theta\omega^t} > 0$ , it is known that one just has  $v_p(\widehat{B}^t(\theta)) > 0$  (e.g., [8]).

By letting  $l \to \infty$  in the preceding theorem we immediately get the following theorem.

**Theorem 3.2.** (i) Let  $s_0$  be a rational p-adic zero of  $L_p(s, \chi)$  (hence  $s_0 \in \mathbb{Z}_p$ ) and let

$$\beta = \frac{1 - t - s_0}{p - 1} \,.$$

Then

 $\widehat{B}^{t+(p-1)r_n(\beta)}(\theta) \equiv 0 \pmod{p^{n+1}} \qquad (n=0,1,\ldots).$  (3.2)

(ii) Conversely, let  $\beta \in \mathbb{Z}_p$  and assume that the congruences (3.2) hold true. Then  $s_0 = 1 - (t + (p - 1)\beta) \in \mathbb{Z}_p$  is a zero of  $L_p(s, \chi)$ .

For part (ii) of Theorem 3.2, we can replace the moduli  $p^{n+1}$  in (3.2) by any  $p^{z_n}$ , where the sequence  $(z_n)$  of rational numbers tends to infinity.

A by-product from part (i) of Theorem 3.2 is that the sequence  $(r_n(\beta))$  tends to infinity in  $\mathbb{Z}$ , in other words, that  $\beta$  cannot be a nonnegative rational integer (under the given assumption). This is easy to prove directly, too.

Suppose, for a moment, that the value t = p is reduced to t = 1. Then one obtains, with  $\beta = -s_0/(p-1)$ , the congruences

$$\widehat{B}^{1+(p-1)r_n(\beta)}(\theta) \equiv 0 \pmod{p^{n+1}} \qquad (n=0,1,\dots) ,$$

provided  $v_p(1 - \theta(p)) = 0$ . If  $v_p(1 - \theta(p)) > 0$ , these congruences are still valid for  $n \ge n_0$ , say, where  $n_0$  is the least suffix such that  $r_{n_0}(\beta) > 0$ . Such an  $n_0$  of course exists if  $s_0 \ne 0$ .

If t = 1 and  $s_0 = 0$ , then  $w_n = 1$  for all  $n \ge 0$  and the reasoning above produces nothing but the equation

$$(1 - \theta(p))B^{1}(\theta) = 0,$$
 (3.3)

which is equivalent to  $\theta(p) = 1$ . This is a well-known case in which  $\lambda_{\chi}$  is "trivially" nonzero.

Now return to the original notation  $2 \le t \le p$ .

*Example.* Assume that  $L_p(s,\chi)$  has the zero  $s_0 = 0$ . Then  $\beta = (t-1) \sum_{j=0}^{\infty} p^j$ and  $w_n = t + (t-1)(p^n - 1)$ , so that the congruences in Theorem 3.2 become

$$\widehat{B}^{1+(t-1)p^n}(\theta) \equiv 0 \pmod{p^{n+1}} \qquad (n = 0, 1, \dots) .$$

In particular, if t = p, then the preceding discussion shows that  $\theta(p) = 1$ . In this case we can also derive these congruences directly by applying Kummer congruences to the trivial relation (3.3).

## 4. Strict uniqueness

Let  $s_1$  and  $s_2$  be two rational *p*-adic zeros of  $L_p(s, \chi)$  with the corresponding  $\beta_1$  and  $\beta_2$ , respectively, defined as in Theorem 3.2. Since  $s_1 \neq s_2$  if and only if  $\beta_1 \neq \beta_2$ , we see that the preceding correspondence between different  $s_0$  and the sequences  $(r_n(\beta))$  satisfying (3.2) is bijective. In particular, if there is but one rational *p*-adic zero  $s_0$  (not counting multiplicities), then the infinite sequence  $(r_n(\beta))$  is unique.

In the case that  $\lambda_{\chi} = 1$ , the following stronger uniqueness result holds true.

**Theorem 4.1.** Assume that  $\lambda_{\chi} = 1$  and that  $L_p(s, \chi)$  has an approximate zero  $\sigma$  of order  $l \geq 2$  (or, alternatively, a rational p-adic zero  $s_0$ ). If, for some n in the range  $1 \leq n \leq l-1$  (or in the range  $n \geq 1$ , respectively),

$$\widehat{B}^{t+(p-1)r_n}(\theta) \equiv 0 \pmod{p^{n+1}}, \qquad 0 \le r_n < p^n ,$$

then  $r_n = r_n(\beta)$  with  $\beta$  defined in Theorem 3.1 (or Theorem 3.2, respectively).

**Proof.** One has to show that  $r_n$  is unique mod  $p^n$ . By Theorem 3.1, it is enough to show that the congruence

$$L_p(\sigma, \chi) \equiv 0 \pmod{p^{n+1}}$$

determines  $\sigma$  uniquely mod  $p^n$ . Passing over to the Iwasawa power series we find, by (2.3) and (2.4), that this amounts to proving that the conditions

$$f_{\chi}(\tau) \equiv 0 \pmod{p^{n+1}}, \qquad \tau \equiv 0 \pmod{p} \tag{4.1}$$

determine  $\tau$  uniquely mod  $p^{n+1}$ .

Since  $\lambda_{\chi} = 1$ , the derivative of  $f_{\chi}$  satisfies

$$v_p(f'_{\chi}(\tau)) = v_p(a_1(\chi)) = 0$$
 .

The assertion follows from this by the general principles of Newton's *p*-adic tangent method. Indeed, by writing

$$f_{\chi}(T) = (T - \tau)g(T) + f_{\chi}(\tau), \qquad g(T) \in \mathcal{O}_{\theta}[[T]],$$

we first have  $f'_{\chi}(\tau) = g(\tau)$ . If  $\tau_0$  satisfies the conditions (4.1), then  $\tau_0 \equiv \tau \pmod{p}$ and we obtain  $0 = v_p(f'_{\chi}(\tau)) = v_p(g(\tau)) = v_p(g(\tau_0))$ . The equation

$$f_{\chi}(\tau_0) = (\tau_0 - \tau)g(\tau_0) + f_{\chi}(\tau)$$

then yields  $\tau_0 \equiv \tau \pmod{p^{n+1}}$ .

As for the assumptions in Theorem 4.1, note that if  $\mathbb{Q}_p(\theta) = \mathbb{Q}_p$ , then the assumption  $\lambda_{\chi} = 1$  implies that  $L_p(s, \chi)$  has a rational *p*-adic zero.

Note a by-product from the preceding proof: Assume that  $\lambda_{\chi} = 1$  and  $L_p(s, \chi)$  has a rational *p*-adic zero  $s_0$ . If  $\sigma$  is an approximate zero of order  $l \geq 2$  for  $L_p(s, \chi)$ , then

$$\sigma \equiv s_0 \pmod{p^{l-1}}.$$

In the case  $\theta = 1$  Theorem 4.1 is essentially due to Kellner [4]. He also used it to compute (approximations of) zeros of  $L_p(s, \omega^t)$ .

#### 5. Sharper divisibility

If  $\lambda_{\chi} > 1$ , it turns out that the congruences of Theorems 3.1 and 3.2 can be sharpened. This is a consequence of the following "sharper Kummer congruences".

Let  $\delta$  denote the inverse of the ramification index of  $\mathbb{Q}_p(\theta)/\mathbb{Q}_p$ . Note that  $0 < \delta \leq 1$ ;  $\delta$  is the least positive  $v_p$ -value in the field  $\mathbb{Q}_p(\theta)$ .

**Theorem 5.1.** If  $\lambda_{\chi} > 1$ , then

$$\Delta_{\varphi(p^n)}\widetilde{B}^m(\theta) \equiv 0 \pmod{p^{n+\delta}}$$

for all  $n \ge 1$  and all  $m \equiv t \pmod{p-1}$ .

**Proof.** Fix n and m as in the theorem. From  $\lambda_{\chi} > 1$  it follows ([8], Theorems 1 and 2) that

$$\Delta_{p-1}(\widetilde{B}^m(\theta)) \equiv 0 \pmod{p^{1+\delta}}$$

By (2.1),

$$\Delta_{(p-1)p^{n-1}}\widetilde{B}^m(\theta) = p^{n-1}\Delta_{p-1}\widetilde{B}^m(\theta) + \sum_{k=2}^{p^{n-1}} \binom{p^{n-1}}{k} \Delta_{p-1}^k \widetilde{B}^m(\theta).$$
(5.1)

Here the first term on the right hand side is of  $v_p$ -value  $\geq n + \delta$ . So are also the remaining terms, since for k in the range  $2 \leq k \leq p^{n-1}$  (for n > 1) one finds, making use of (2.2),

$$v_p\left(\binom{p^{n-1}}{k}\Delta_{p-1}^k\widetilde{B}^m(\theta)\right) = n-1-v_p(k)+v_p(\Delta_{p-1}^k\widetilde{B}^m(\theta)) \ge n-1-v_p(k)+k > n.$$
(5.2)

**Theorem 5.2.** Assume that  $\lambda_{\chi} > 1$ . If  $L_p(s, \chi)$  has an approximate zero  $\sigma \in \mathbb{Z}_p$  of order  $l \geq 2$ , then

$$\widehat{B}^{t+(p-1)r_n(\beta)}(\theta) \equiv 0 \pmod{p^{n+1+\delta}} \qquad (n=0,\ldots,l-2),$$

where  $\beta = \frac{1-t-\sigma}{p-1}$  as before. If  $L_p(s,\chi)$  has a rational p-adic zero  $s_0$ , then the same congruences hold for all  $n \ge 0$ , with  $\beta = \frac{1-t-s_0}{p-1}$ .

**Proof.** As to the former part, we see from Theorem 3.1 that  $\widetilde{B}^{t+(p-1)r_{n+1}(\beta)}(\theta) \equiv 0 \pmod{p^{n+2}}$  for  $n = 0, \ldots, l-2$ . Theorem 5.1 then implies the assertion, first with  $\widetilde{B}$  in place of  $\widehat{B}$ .

The latter part follows similarly from Theorem 3.2, or simply on letting  $l \to \infty$  above.

If  $\lambda_{\chi} > 1$  and  $L_p(s, \chi)$  has a rational *p*-adic zero  $s_0$ , the preceding argument also shows that

$$\widehat{B}^{t+(p-1)r}(\theta) \equiv 0 \pmod{p^{n+\delta}} \qquad (n=1,2,\dots)$$

for every  $r \equiv r_{n-1}(\beta) \pmod{p^{n-1}}$ . This result should be compared with Theorem 4.1. Recall that  $\delta = 1$  when  $\mathbb{Q}_p(\theta)/\mathbb{Q}_p$  is unramified.

To conclude, consider the case of  $\chi \mod p$ , that is,  $\chi = \omega^t$ ,  $t = 2, 4, \ldots, p - 3$ . In this case the last results imply the following corollary.

**Corollary 5.1.** If  $\lambda_{\omega^t} > 1$  and  $L_p(s, \omega^t)$  has an approximate zero  $\sigma$  of order  $l \ge 2$ , then

$$\widehat{B}^{t+(p-1)(r_n(\beta)+bp^n)} \equiv 0 \pmod{p^{n+2}} \qquad (n=0,\dots,l-2), \tag{5.3}$$

where  $\beta$  is as above and b is any rational integer. In particular, for l = 2,

$$B^{t+p-1} \equiv B^t \equiv 0 \pmod{p^2} .$$

This result has a connection to the theory of cyclotomic fields. Let  $A_k$  denote the *p*-part of the ideal class group of the  $p^{k+1}$ th cyclotomic field ( $k \ge 0$ ). By the Herbrand–Ribet theorem, the eigenspace of  $A_k$  corresponding to the character  $\omega^{p-t}$  is nontrivial if and only if  $\lambda_{\omega^t} > 0$ . This condition is equivalent to  $B^1(\omega^{t-1}) \equiv$  $0 \pmod{p}$ , or also to  $B^t \equiv 0 \pmod{p}$ . Given that this is satisfied and assuming the

Vandiver conjecture, we know ([9], Corollary 10.17) that the eigenspace in question is cyclic of order  $p^{k+1}$  provided that

$$B^{1}(\omega^{t-1}) \neq 0, \qquad \widehat{B}^{t} \neq \widehat{B}^{t+p-1} \pmod{p^{2}}.$$
(5.4)

The latter incongruence fails if and only if  $\lambda_{\omega^t} > 1$ . Looking at the former incongruence, we first observe that

$$B^{1}(\omega^{t-1}) \equiv B^{(t-1)p^{4}+1} \equiv \widehat{B}^{(t-1)p+1} \pmod{p^{2}}$$

(for the first step, see, e.g., [8], Lemma 1). Hence  $B^1(\omega^{t-1}) \equiv 0 \pmod{p^2}$  is equivalent to

$$\widehat{B}^{t+(p-1)(t-1)} \equiv 0 \pmod{p^2}.$$
 (5.5)

By Corollary 5.1, this congruence is valid if  $(1^o) \lambda_{\omega^t} > 1$  and  $(2^o) L_p(s, \omega^t)$  has an approximate zero  $\sigma$  of order 2. It follows from Theorem 3.1 that, conversely, (5.5) implies  $(2^o)$  (even without any assumption on  $\lambda_{\omega^t}$ ). But this can be seen directly, too: the choice  $\sigma = 0$  works, since

$$L_p(0,\omega^t) = f_{\omega^t}(0) = -B^1(\omega^{t-1})$$

(cf. Example in Section 3).

Computations have shown (see [1]) that in the case  $\lambda_{\omega^t} > 0$  the incongruences (5.4) as well as the incongruence  $B^t \not\equiv 0 \pmod{p^2}$  always hold in the range  $p < 12 \cdot 10^6$ .

Kellner ([4], p. 412) illustrates the congruences (5.3) by a graph, comparing it with his result corresponding to Theorem 4.1. He leaves open the question about the maximum value of n for which the congruences (5.3) hold true. From Corollary 5.1 and Theorem 3.1 we find that this maximum is l - 2, where l is the maximal order of the corresponding approximate zero of  $L_p(s, \omega^t)$ . In particular, the chain of congruences (5.3) is infinite exactly for every rational p-adic zero of  $L_p(s, \omega^t)$ .

Since these congruences express a rather strong condition in comparison to the one given by Kummer congruences, one may be tempted to suggest that they never occur, in other words, that the nonzero values of  $\lambda_{\omega^t}$  are always = 1, at least in the presence of an approximate zero  $\sigma$  of high order. But one should be cautios, because in the more general case  $\chi = \theta \omega^t$  it is well possible that  $\lambda_{\chi} > 1$ and  $L_p(s, \chi)$  has rational *p*-adic zeros, even for  $\theta$  satisfying  $\mathbb{Q}_p(\theta) = \mathbb{Q}_p$ .

Here is one such example from [3] (p. S40). Let p = 5 and  $\chi = \theta \omega^2$ , where  $\theta$  is the quadratic character mod 2504. Then  $\lambda_{\chi} = 2$  and  $L_p(s, \chi)$  has two rational *p*-adic zeros, approximately  $s_1 = 2.41303$ ,  $s_2 = 3.00334$  (in the usual 5-adic "decimal" notation). The Iwasawa power series in  $\mathbb{Z}_5[[T]]$  is, with the accuracy given in [3],

$$f_{\chi}(T) = 0.010 + 0.00 T + 1.3 T^2 + 4 T^3 + \cdots,$$

and its zeros are approximately  $T_1 = 0.331200, T_2 = 0.204143.$ 

For the size of  $\lambda_{\omega^t}$ , see also Washington's heuristic discussion in [5], pp. 261–265.

#### 6. The case p = 2

In the case p = 2 we take  $\chi = \theta \omega^t$  with t = 2 or 3. Hence  $\theta \neq 1$ . The notation below is the same as in the case p > 2, unless stated otherwise.

We will apply Kummer's congruences modulo 2-powers in the following form:

$$\Delta_{2^h}^k (1 - \psi(2)2^{m-1})\widehat{B}^m(\psi) \equiv 0 \pmod{2^{(h+2)k+1}}$$
(6.1)

for all  $k \ge 0, h \ge 1, m \ge 1$ , provided the conductor of  $\psi$  is not a 2-power. These congruences, which are slightly stronger than those given in [6] (p. 239), are obtained from [7], formula (2), by induction on h, using the formula

$$\Delta_{2^{h}}^{k} = \sum_{i=0}^{k} \binom{k}{i} 2^{k-i} \Delta_{2^{h-1}}^{k+i}$$

(choose  $c = 2^{h-1}$ , d = 2 in the identity (2.1) of the present work). Note that the Iwasawa power series  $f_{\chi}$  is two times the power series appearing in [7].

The function  $L_2(s, \chi)$  is defined for  $v_2(s) > -1$  and can be expressed by means of the Iwasawa power series in the form

$$L_2(s,\chi) = f_{\chi}((1+4d)^s - 1)$$
.

This time we have  $f_{\chi}(T) \in 2\mathcal{O}_{\theta}[[T]]$  and write

$$f_{\chi}(T) = 2\sum_{i=0}^{\infty} a_i(\chi)T^i .$$

The  $\lambda$ -invariant is again defined by  $\lambda_{\chi} = \min\{i \geq 0 \mid v_2(a_i(\chi)) = 0\}$ . In the disc  $D_T = \{T \in \mathbb{C}_2 \mid v_2(T) > 0\}$  the function  $f_{\chi}$  has  $\lambda_{\chi}$  zeros (counting multiplicities). The zeros  $T_0$  satisfying  $v_2(T_0) > 1$  correspond bijectively to the zeros  $s_0$  of  $L_2(s, \chi)$ ; this correspondence is given by

$$T_0 = (1+4d)^{s_0} - 1, \qquad s_0 = \frac{\log(1+T_0)}{\log(1+4d)}$$

Thus, if  $s_0 \neq 0$  (or, equivalently,  $T_0 \neq 0$ ) then  $v_2(s_0) = v_2(T_0) - 2$ .

It follows that the rational 2-adic zeros  $s_0$  correspond bijectively to the zeros  $T_0 \in \mathbb{Q}_2$  satisfying  $v_2(T_0) > 1$ , and for those zeros one has  $T_0 \in 4\mathbb{Z}_2$ ,  $s_0 \in \mathbb{Z}_2$ .

Approximate zeros of  $L_2(s, \chi)$  satisfy the condition (2.5) in this case as well.

**Theorem 6.1.** (i) Let  $\sigma \in \mathbb{Z}_2$  be an approximate zero of order  $l \ge 4$  for  $L_2(s, \chi)$  and let

$$\beta = \frac{1 - t - \sigma}{2} = \frac{b}{2} + \beta' \qquad (0 \le b < 2, \, \beta' \in \mathbb{Z}_2) \,.$$

Then

$$\hat{B}^{t+b+2r_n(\beta')}(\theta\omega^b) \equiv 0 \pmod{2^{n+4}} \qquad (n=0,\dots,l-4).$$
 (6.2)

(ii) Conversely, let  $\beta = \frac{b}{2} + \beta'$  with  $0 \le b < 2$  and  $\beta' \in \mathbb{Z}_2$ , and assume that the congruences (6.2) hold true. Then  $\sigma = 1 - (t + 2\beta)$  is an approximate zero of order l for  $L_2(s, \chi)$ .

**Proof.** Let  $n \geq 3$ . With  $w_n = t + b + 2r_n(\beta') \in \mathbb{Z}$  we have  $\omega^{t-w_n} = \omega^b$  and  $\sigma = 1 - (t + b + 2\beta') \equiv 1 - w_n \pmod{2^n}$ . Hence

$$\widetilde{B}^{w_n}(\theta\omega^b) = -L_2(1-w_n,\chi) \equiv -L_2(\sigma,\chi) \pmod{2^{n+1}}.$$
(6.3)

The Kummer congruences (6.1) for k = 1 and h = n - 2 show that

$$\widetilde{B}^{w_n}(\theta\omega^b) \equiv \widetilde{B}^{w_{n-3}}(\theta\omega^b) \pmod{2^{n+1}}.$$

It follows that  $L_2(\sigma, \chi) \equiv 0 \pmod{2^l}$  if and only if  $\widetilde{B}^{w_{l-4}}(\theta \omega^b) \equiv 0 \pmod{2^l}$ . This proves the theorem.

Actually, (6.3) is valid for all  $n \ge 0$ . Thus one gets for approximate zeros of order l = 1, 2 or 3 congruences of the form of (6.2) but modulo lower 2-powers. In particular, if  $L_2(s, \chi)$  has an approximate zero  $\sigma$  of order  $l \ge 1$ , we have

either 
$$\widehat{B}^t(\theta) \equiv 0 \pmod{2^q}$$
 or  $\widehat{B}^{t+1}(\theta\omega) \equiv 0 \pmod{2^q}$ 

with  $q = \min(l, 4)$ , depending on whether or not  $\beta = (1 - t - \sigma)/2$  is integral, respectively. A necessary and sufficient condition for this integrality is that  $\sigma \equiv 1 \pmod{2}$  for t = 2 and  $\sigma \equiv 0 \pmod{2}$  for t = 3.

By contrast, the Kummer congruences (6.1) yield, for k = 0, that

$$B^m(\psi) \equiv 0 \pmod{2} \qquad (m = 2, 3, \dots) ,$$

whenever  $\psi$  is a character with conductor not a 2-power.

**Theorem 6.2.** Theorem 6.1 holds true, when  $\sigma$  is replaced by a zero  $s_0 \in \mathbb{Z}_2$  of  $L_2(s, \chi)$  and in (6.2) n assumes all values  $\geq 0$ .

**Proof.** Let  $l \to \infty$  in Theorem 6.1.

In the following, analogs of Theorems 4.1 and 5.2 are formulated for rational 2-adic zeros only; the corresponding discussion of approximate zeros is left to the reader.

**Theorem 6.3.** Assume that  $\lambda_{\chi} = 1$ ,  $L_2(s, \chi)$  has a rational 2-adic zero  $s_0$  and  $\beta = (1 - t - s_0)/2 \in \mathbb{Z}_2$ . If, for some  $n \ge 1$ ,

$$\widehat{B}^{t+2r_n}(\theta) \equiv 0 \pmod{2^{n+4}}, \qquad 0 \le r_n < 2^n ,$$

then  $r_n = r_n(\beta)$ .

**Proof.** The proof is completely analogous to the proof of Theorem 4.1.

If  $\lambda_{\chi} > 1$ , then

$$\Delta_2 \tilde{B}^m(\theta) \equiv 0 \pmod{2^{4+\delta}} \tag{6.4}$$

for all  $m \equiv t \pmod{2}$ ; see [8], Theorems 1 and 2, where however the modulus is  $2^{3+\delta}$  corresponding to a weaker version of  $(6.1)^1$ . In the case that  $\beta = (1-t-s_0)/2 \notin \mathbb{Z}_2$ , we use this observation to formulate the following counterpart to Theorem 6.3 (with no obvious relation to  $\lambda_{\chi}$ , however).

**Theorem 6.4.** Assume that  $\theta$  is a quadratic character and that  $v_2(\Delta_2 \widetilde{B}^{t+1}(\theta \omega)) = 4$ ,  $L_2(s, \chi)$  has a rational 2-adic zero  $s_0$  and  $\beta = (1 - t - s_0)/2 = \frac{1}{2} + \beta'$  with  $\beta' \in \mathbb{Z}_2$ . If, for some  $n \ge 1$ ,

$$\widehat{B}^{t+1+2r_n}(\theta\omega) \equiv 0 \pmod{2^{n+4}}, \qquad 0 \le r_n < 2^n$$

then  $r_n = r_n(\beta')$ .

**Proof.** The second assumption implies, by the Kummer congruences, that

$$v_2(\Delta_2 \tilde{B}^m(\theta\omega)) = 4$$

for all  $m \equiv t + 1 \pmod{2}$ .

Fix  $n \ge 1$ . The following formulas, for all  $m \equiv t + 1 \pmod{2}$ , correspond to (5.1) and (5.2):

$$\Delta_{2^n} \widetilde{B}^m(\theta\omega) = \sum_{k=1}^{2^{n-1}} {\binom{2^{n-1}}{k}} \Delta_2^k \widetilde{B}^m(\theta\omega), \tag{6.5}$$

where

$$v_2\left(\binom{2^{n-1}}{k}\Delta_2^k \widetilde{B}^m(\theta\omega)\right) \begin{cases} = n+3 & \text{for } k=1, \\ > n+3 & \text{for } 2 \le k \le 2^{n-1}. \end{cases}$$

Hence

$$v_2(\Delta_{2^n} \widetilde{B}^m(\theta\omega)) = n+3 \tag{6.6}$$

whenever  $m \equiv t + 1 \pmod{2}$ . By (6.2) and (6.1) we may write

$$\widetilde{B}^{t+1+2(r_{n-1}(\beta')+2^{n-1}u)}(\theta\omega) \equiv d_u 2^{n+3} \pmod{2^{n+4}},$$

with  $v_2(d_u) \ge 0$ , for all  $u \ge 0$ . Again by (6.1),

$$\Delta_{2^n}^2 \widetilde{B}^m(\theta\omega) \equiv 0 \pmod{2^{n+4}}$$

(even mod  $2^{2n+5}$ ) for all  $m \ge 1$ . By taking  $m = t + 1 + 2(r_{n-1}(\beta') + 2^{n-1}u)$  we obtain from this that  $\Delta^2 d_u \equiv 0 \pmod{2}$ . Therefore,

$$d_u \equiv d_0 + u(d_1 - d_0) \pmod{2}$$
  $(u = 0, 1, ...)$ .

Eq. (6.6) shows that  $d_0 \not\equiv d_1 \pmod{2}$ . Hence there is a unique  $u = u_0 \in \{0, 1\}$  such that  $d_{u_0} \equiv 0 \pmod{2}$ , that is,

$$\widetilde{B}^{t+1+2(r_{n-1}(\beta')+2^{n-1}u_0)}(\theta\omega) \equiv 0 \pmod{2^{n+4}}.$$

This congruence is equivalent to the one in which  $\tilde{B}$  is replaced by  $\hat{B}$ . Thus the theorem follows from the uniqueness of  $u_0$ .

<sup>&</sup>lt;sup>1</sup>Note an error in [8] in the statement of Theorem 2: when p = 2, the congruence  $n \equiv t \pmod{p-1}$  should read  $n \equiv t \pmod{2}$ .

The method of this proof could also be used to provide an alternative proof for Theorems 4.1 and 6.3.

The following results correspond to Theorems 5.1 and 5.2.

**Theorem 6.5.** If  $\lambda_{\chi} > 1$ , then

$$\Delta_{2^n} \widetilde{B}^m(\theta) \equiv 0 \pmod{2^{n+3+\delta}}$$

for all  $n \ge 1$  and all  $m \equiv t \pmod{2}$ .

**Proof.** Use (6.5), with  $\theta\omega$  replaced by  $\theta$ , together with (6.4) and the Kummer congruences.

**Theorem 6.6.** Assume that  $\lambda_{\chi} > 1$ . If  $L_2(s, \chi)$  has a rational 2-adic zero  $s_0$  and  $\beta = (1 - t - s_0)/2 \in \mathbb{Z}_2$ , then

$$\widehat{B}^{t+2r_n(\beta)}(\theta) \equiv 0 \pmod{2^{n+4+\delta}} \qquad (n=0,1,\dots).$$
 (6.7)

**Proof.** Theorem 6.2 implies that  $\widetilde{B}^{t+2r_{n+1}(\beta)}(\theta) \equiv 0 \pmod{2^{n+5}}$ . The assertion then follows by virtue of the preceding theorem.

To compare this result with Theorem 6.3 it is illuminating to write down a consequence from (6.7) in the form

 $\widehat{B}^{t+2(r_{n-1}(\beta)+2^{n-1}b)}(\theta) \equiv 0 \pmod{2^{n+3+\delta}} \qquad (n=1,2,\dots),$ 

where b is any rational integer.

## References

- J. Buhler, R. Crandall, R. Ernvall, T. Metsänkylä, M.A. Shokrollahi, Irregular primes and cyclotomic invariants to 12 million, J. Symbolic Comput. 31 (2001), 89–96.
- [2] L. Carlitz, Arithmetic properties of generalized Bernoulli numbers, J. Reine Angew. Math. 202 (1959), 174–182.
- R. Ernvall, T. Metsänkylä, Computation of the zeros of p-adic L-functions, Math. Comp. 58 (1992), 815–830, S37–S53.
- [4] B. Kellner, On irregular prime power divisors of the Bernoulli numbers, Math. Comp. 76 (2007), 405–441.
- [5] S. Lang, *Cyclotomic Fields I and II*, combined 2nd ed., Springer-Verlag, New York, 1990.
- [6] H. W. Leopoldt, Eine p-adische Theorie der Zetawerte, II: Die p-adische Γ-Transformation, J. Reine Angew. Math. 274/275 (1975), 224–239.
- T. Metsänkylä, Note on certain congruences for generalized Bernoulli numbers, Arch. Math. (Basel) 30 (1978), 595–598.

- [8] T. Metsänkylä, Iwasawa invariants and Kummer congruences, J. Number Theory 10 (1978), 510–522.
- [9] L. C. Washington, Introduction to Cyclotomic Fields, 2nd ed., Springer-Verlag, New York, 1997.

Address: Department of Mathematics, University of Turku, FI-20014 Turku, Finland E-mail: taumets@utu.fi Received: 13 December 2007