

## NONUNIFORM HYPERBOLIC LATTICES AND EXOTIC SMOOTH STRUCTURES

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### 0. Introduction

Let  $\Theta_m$  denote the group of homotopy  $m$ -spheres where  $m > 4$ . Elements in  $\Theta_m$  are equivalence classes of oriented manifolds homeomorphic to  $S^m$ . Two such manifolds  $\Sigma_1^m$  and  $\Sigma_2^m$  are equivalent provided there exists an orientation-preserving diffeomorphism between them. In this paper,  $\mathbb{D}^{m+1}$  and  $S^m$  respectively denote the unit ball and unit sphere in  $\mathbb{R}^{m+1}$ ; i.e.,

$$(0.01) \quad \begin{aligned} \mathbb{D}^{m+1} &= \{x \in \mathbb{R}^{m+1} \mid |x| \leq 1\}, \\ S^m &= \partial \mathbb{D}^{m+1} = \{x \in \mathbb{R}^{m+1} \mid |x| = 1\}. \end{aligned}$$

Kervaire and Milnor proved in [13] that  $\Theta_m$  is a finite abelian group.

Let  $M^m$  be a smooth  $m$ -dimensional manifold. A possible way to change its smooth structure, without changing its homeomorphism type, is to take its connected sum  $M^m \# \Sigma^m$  with a homotopy sphere  $\Sigma^m$ . We showed in [9] that it is sometimes possible to change the smooth structure on a closed (real) hyperbolic manifold  $M^m$  in this way and still to have a negatively curved Riemannian metric on  $M^m \# \Sigma^m$ . But when  $M^m$  is noncompact (and connected), this method *never* changes the smooth structure on  $M^m$ . (See the proof of Corollary 1.5 for an argument verifying this statement.)

We use a different method in this paper, which can sometimes change the smooth structure on a noncompact manifold  $M^m$ . The method is to remove an embedded tube  $S^1 \times \mathbb{D}^{m-1}$  from  $M^m$  and then reinsert it with a “twist”. To be more precise, pick a smooth embedding  $f: S^1 \times \mathbb{D}^{m-1} \rightarrow M^m$  and an orientation-preserving diffeomorphism  $\varphi: S^{m-2} \rightarrow S^{m-2}$ . Then a new smooth manifold  $M_{f,\varphi}$  is obtained as a quotient space of the disjoint union

$$(0.02) \quad S^1 \times \mathbb{D}^{m-1} \amalg M^m - f(S^1 \times \text{Int } \mathbb{D}^{m-1}),$$

where we identify points  $(x, v)$  and  $f(x, \varphi(v))$  if  $(x, v) \in S^1 \times S^{m-2}$ . (Here,  $\text{Int } \mathbb{D}^{m-1}$  denotes the interior of  $\mathbb{D}^{m-1}$ ; i.e., it is  $\mathbb{D}^{m-1} - S^{m-2}$ .) The smooth manifold  $M_{f, \varphi}$  is canonically homeomorphic to  $M^m$  but is not always diffeomorphic to  $M^m$ . We obtain the following result in this way. In this paper,  $\mathbb{H}^m$  denotes real hyperbolic  $m$ -space, and  $\text{Iso}(\mathbb{H}^m)$  its group of isometries.

**Theorem 0.1.** *Let  $m$  be any integer such that  $\Theta_{m-1} \neq 1$ , and  $\varepsilon$  be any positive real number. Then there exists an  $m$ -dimensional complete Riemannian manifold  $M^m$  with finite volume and all its sectional curvatures contained in the interval  $[-1-\varepsilon, -1+\varepsilon]$ , and satisfying the following.  $M^m$  is not diffeomorphic to any complete Riemannian locally symmetric space; but it is homeomorphic to  $\mathbb{H}^m/\Gamma$  where  $\Gamma$  is a torsion-free nonuniform lattice in  $\text{Iso}(\mathbb{H}^m)$ .*

Let us recall some qualitative facts about  $\Theta_n$ . Kervaire and Milnor [13] and Browder [4] showed that  $\Theta_{2n-1}$  is nontrivial for every integer  $n > 2$  which does not have the form  $n = 2^i - 1$ . On the other hand  $\Theta_{12}$  is trivial. Therefore if  $M^{12}$  is a closed hyperbolic manifold,  $M^{12} \# \Sigma^{12}$  must be diffeomorphic to  $M^{12}$  where  $\Sigma^{12}$  is any homotopy 12-sphere. The results in [9] consequently fail to yield an exotic smooth structure on a negatively curved 12-manifold which is homeomorphic to a (real) hyperbolic manifold. But our present technique does. In particular, we have the following result.

**Theorem 0.2.** *Let  $m$  be any integer such that either  $\Theta_m$  or  $\Theta_{m-1}$  is not trivial; e.g.,  $m$  can be any integer greater than 6 provided it has neither of the following two forms;  $m = 2^j - 2$  nor  $m = 2^j - 3$ , where  $j \in \mathbb{Z}$ . Then there exists a closed Riemannian manifold  $M^m$  whose sectional curvatures are all pinched within  $\varepsilon$  of  $-1$  and such that*

1.  $\dim M^m = m$ ;
2.  $M^m$  is homeomorphic to a real hyperbolic manifold;
3.  $M^m$  is not diffeomorphic to any Riemannian locally symmetric space.

We end this introduction with the following comments. The exotic Riemannian manifolds  $M^m$  constructed in this paper via Theorem 4.2 and Addendum 4.3 are all different from those exotic Riemannian manifolds  $\mathcal{N}^m$  previously constructed via [9, Proposition 1.2]. That is, the only time  $M^m$  is diffeomorphic to  $\mathcal{N}^m$  is when they are both diffeomorphic to a locally symmetric space and hence neither is exotic.

Finally, the results of this article should be useful in extending those of [10].

We wish to thank Ronnie Lee, whose question motivated this paper.

### 1. Exotic smooth structures

Let  $(M^m, f, \varphi)$  be a triple where  $M^m$  is a smooth manifold,  $f: S^1 \times \mathbb{D}^{m-1} \rightarrow M^m$  is a smooth embedding (i.e., a *tube*) and  $\varphi: S^{m-2} \rightarrow S^{m-2}$  is an orientation-preserving diffeomorphism. (We assume throughout this paper that  $\dim M^m = m > 6$ .) This data determines the new smooth manifold  $M_{f,\varphi}$  constructed in §0. Recall that  $M_{f,\varphi}$  is canonically homeomorphic to  $M^m$ . The purpose of this section is to give useful sufficient conditions which guarantee that  $M_{f,\varphi}$  is not diffeomorphic to  $M^m$ .

Recall that two smooth structures  $N_0$  and  $N_1$  on a topological manifold  $N$  are *concordant* if there exists a smooth structure  $\bar{N}$  on  $N \times [0, 1]$  such that  $N_i$ , for  $i = 0, 1$ , is the induced smooth structure on  $N \times i$ . Two concordant structures are diffeomorphic. (See [14, pp. 24, 113–116].) The tube  $f$  determines a framed simple closed curve  $\alpha: S^1 \rightarrow M^m$  where  $\alpha(y) = f(y, 0)$  for each  $y \in S^1$ . The framing of  $\alpha$  consists of the vector fields  $X_1, X_2, \dots, X_{m-1}$  where  $X_i(y)$  is the vector tangent to the curve  $t \mapsto f(y, te_i)$  at  $t = 0$ . Here  $e_i$  denotes the point in  $\mathbb{R}^{m-1}$  whose  $i$ -th coordinate is 1 and all other coordinates are 0. We use  $\alpha$  to denote the curve equipped with this framing. It is called the *core* of  $f$ . The concordance class of  $M_{f,\varphi}$  depends only on  $M^m$ , the core  $\alpha$  of  $f$ , and the (pseudo-)isotopy class of  $\varphi$  denoted by  $x$ . We consequently denote the concordance class of  $M_{f,\varphi}$  by  $M(\alpha, x)$ . Recall that the isotopy classes of orientation-preserving diffeomorphisms of  $S^{m-2}$  are in one-one correspondence with the elements in the abelian group  $\Theta_{m-1}$  which is also identified with  $\pi_{m-1}(\text{Top}/0)$ ; therefore,  $x \in \Theta_{m-1}$ .

Assume now that  $M^m$  is a complete (connected) Riemannian manifold with finite volume and whose sectional curvatures are all  $-1$ . The universal cover of  $M^m$  is real hyperbolic  $m$ -space  $\mathbb{H}^m$  and  $\pi_1 M^m$  is identified via the group of all deck transformations with a torsion-free lattice  $\Gamma \subseteq \text{Iso } \mathbb{H}^m$ . We say that an element  $\gamma \in \pi_1 M$  is *cuspidal* if there are arbitrarily short closed curves in  $M^m$  which are freely homotopic to a curve representing  $\gamma$ . This condition is equivalent to either  $\gamma = 1$  or  $\gamma$  corresponds to a nonsemisimple matrix under the identifications

$$(1.0) \quad \pi_1 M = \Gamma \subset \text{Iso } \mathbb{H}^m = O^+(m, 1, \mathbb{R}) \subset \text{GL}_{m+1}(\mathbb{C}).$$

Note that the identity  $1 \in \pi_1 M$  is the only cuspidal element when  $M$  is compact.

We now state the main result of this section. Recall that a  $\pi$ -manifold is a smooth manifold whose tangent bundle is stably trivial. Throughout

this paper  $\mathbb{Z}$  denotes the ring of all (rational) integers and  $\mathbb{Z}_+$  denotes its additive group.

**Theorem 1.1.** *Let  $M^m$  be a complete (connected) Riemannian manifold with finite volume and all sectional curvatures  $-1$ . Let  $\alpha$  be a framed simple closed curve in  $M^m$  and  $[\alpha] \in \pi_1 M$  be the fundamental group element it determines. Assume that  $M^m$  is a  $\pi$ -manifold and there exists a homomorphism  $\eta: \pi_1 M \rightarrow \mathbb{Z}_+$  such that*

1.  $\eta([\alpha]) = 1$  and
2.  $\eta(\gamma)$  is divisible by the order of  $\Theta_{m-1}$  for every cuspidal element  $\gamma$  in  $\pi_1 M$ .

*Then  $M$  is diffeomorphic to a manifold in the concordance class  $M(\alpha, x)$  only when  $x = 0$ .*

The proof of this result requires some preliminaries. Represent  $M(\alpha, x)$  by the manifold  $M_{f, \varphi}$  and let  $g: M_{f, \varphi} \rightarrow M$  be the canonical homeomorphism. Mostow's rigidity theorem [19], as extended by G. Prasad [21] to the finite volume situation, can be used together with its topological analogue [8, Corollary 10.3] and the topological analogue of Bieberbach's work on flat Riemannian manifolds [6], [7] to reduce Theorem 1.1 to the assertion that  $g$  is topologically concordant to a diffeomorphism only when  $x = 0$ . See the proof of [9, Lemma 2.1] for how to accomplish this reduction.

By [14, Theorem 10.1] there is an identification of the concordance classes of smooth structures on (the topological manifold)  $M$  and the homotopy classes of maps from  $M$  to  $\text{Top}/O$ , denoted by  $[M, \text{Top}/O]$ , with the hyperbolic structure on  $M$  corresponding to the class of the constant map. The identification of  $\Theta_m$  and  $\pi_m(\text{Top}/O)$ , with  $S^m$  corresponding to 0 also follows from [14, Theorem 10.1]. Let  $\hat{\alpha}: M^m \rightarrow S^{m-1}$  be the result of applying the Pontryagin-Thom construction to the framed 1-manifold  $\alpha$ . It is explicitly described by

$$(1.1.1) \quad \hat{\alpha}(f(y, v)) = q(v),$$

where  $(y, v) \in S^1 \times \mathbb{D}^{m-1}$ , and  $q: \mathbb{D}^{m-1} \rightarrow \mathbb{D}^{m-1}/\partial\mathbb{D}^{m-1} = S^{m-1}$  is the canonical quotient map; if  $y \notin \text{image } f$ , then

$$\hat{\alpha}(y) = q(\partial\mathbb{D}^{m-1}).$$

The naturality of [14, Theorem 10.1] yields the following result.

**Lemma 1.2.** *The map  $x \mapsto M(\alpha, x)$  is the homomorphism  $\hat{\alpha}^*: \pi_{m-1}(\text{Top}/O) \rightarrow [M, \text{Top}/O]$  under the identifications of the previous paragraph.*

Hence Theorem 1.1 is equivalent to the assertion that  $\hat{\alpha}^*$  is injective. We now embark on verifying this assertion.

Given an embedding  $h: N \rightarrow \mathcal{N}$  where  $N$  and  $\mathcal{N}$  are manifolds of the same dimension with  $N$  compact, there is a dual map  $h': \mathcal{N}/\partial\mathcal{N} \rightarrow N/\partial N$  defined by

$$(1.2.1) \quad \begin{aligned} h'(h(y)) &= y, & \text{if } y \in \text{Int } N, \\ h'(y) &= \infty, & \text{otherwise.} \end{aligned}$$

Here  $\infty$  denotes the point corresponding to  $\partial N$  in the decomposition space  $N/\partial N$ . We also, when convenient, abbreviate  $N/\partial N$  to  $N/\partial$ .

**Proposition 1.3.** *Let  $N^m$  be a closed  $\pi$ -manifold, and  $h: S^1 \times \mathbb{D}^{m-1} \rightarrow N^m$  be a tube with core  $\alpha: S^1 \rightarrow N$ . Assume there exists a map  $\psi: N^m \rightarrow S^1$  such that the composite  $\psi \circ \alpha: S^1 \rightarrow S^1$  has degree  $\pm 1$ . Then*

$$(h')^*: [S^1 \times \mathbb{D}^{m-1}/\partial, \text{Top}/O] \rightarrow [N, \text{Top}/O]$$

is monic.

*Proof.* Recall that  $\text{Top}/O$  is an  $\infty$ -loop space (see [2, p. 215]). Let  $Y$  denote the  $(m+1)$ -fold delooping of  $\text{Top}/O$ ; i.e.,  $\Omega^{m+1}Y = \text{Top}/O$ . Recall there is a natural bijection between  $[\Sigma^{m+1}X, Y]$  and  $[X, \Omega^{m+1}Y] = [X, \text{Top}/O]$ . Here  $[ , ]$  denotes the homotopy classes of (base-point-preserving) maps and  $X$  is a space (with base point). Consequently, to prove Proposition 1.3, it suffices to show that

$$(1.3.1) \quad (\Sigma^{m+1}h')^*: [\Sigma^{m+1}(S^1 \times \mathbb{D}^{m-1}/\partial), Y] \rightarrow [\Sigma^{m+1}N, Y]$$

is monic. Consider the codimension-0 embedding

$$(1.3.2) \quad h \times \text{id}: (S^1 \times \mathbb{D}^{m-1}) \times \mathbb{D}^{m+1} \rightarrow N^m \times \mathbb{D}^{m+1}$$

and observe that  $(h \times \text{id})'$  factors through  $\Sigma^{m+1}(h')$ . Hence it suffices to show that

$$(1.3.3) \quad (h \times \text{id})'^*: [S^1 \times \mathbb{D}^{m-1} \times \mathbb{D}^{m+1}/\partial, Y] \rightarrow [N \times \mathbb{D}^{m+1}/\partial, Y]$$

is monic. Let  $F: N^m \times \mathbb{D}^{m+1} \rightarrow S^1 \times \mathbb{D}^{2m}$  be a codimension-0 embedding such that the composites  $p \circ F$  and  $\psi \circ q$  are homotopic, where  $p$  and  $q$  respectively denote the projections onto the first factors of  $S^1 \times \mathbb{D}^{2m}$  and  $N^m \times \mathbb{D}^{m+1}$ . Note that  $F$  exists because  $N$  is a  $\pi$ -manifold. Using the fact that  $(F \circ (h \times \text{id}))' = (h \times \text{id})' \circ F'$ , we easily see that

$$(1.3.4) \quad (h \times \text{id})' \circ F': (S^1 \times \mathbb{D}^{2m})/\partial \rightarrow (S^1 \times \mathbb{D}^{m-1} \times \mathbb{D}^{m+1})/\partial$$

is a homotopy equivalence. This completes the proof of Proposition 1.3. q.e.d.

An elaboration of this argument yields the following extension.

**Addendum 1.4.** Let  $N^m$  be a compact connected  $\pi$ -manifold with (possibly) nonempty boundary. Let  $h: S^1 \times \mathbb{D}^{m-1} \rightarrow \text{Int}(N^m)$  be a tube with core  $\alpha: S^1 \rightarrow N^m$ . Suppose there exists a map  $\psi: N^m \rightarrow S^1$  satisfying the following two properties.

1. The composite  $\psi \circ \alpha: S^1 \rightarrow S^1$  has degree  $\pm 1$ .
2. For any map  $\beta: S^1 \rightarrow \partial N$ , the degree of  $\psi \circ \beta: S^1 \rightarrow S^1$  is divisible by the order of the group  $\Theta_{m-1}$ .

Then the composite

$$h'^* \circ p^*: \Theta_{m-1} = \pi_{m-1}(\text{Top}/O) \rightarrow [\text{Int } N^m, \text{Top}/O]$$

is monic, where  $p: S^1 \times \mathbb{D}^{m-1}/\partial \rightarrow \mathbb{D}^{m-1}/\partial$  is determined by projection onto the second factor of  $S^1 \times \mathbb{D}^{m-1}$ .

*Proof.* We can assume that  $\psi \circ \alpha$  has degree one by composing  $\psi$  with a degree-one self map of  $S^1$  if necessary. Let  $F: N^m \times \mathbb{D}^{m+1} \rightarrow S^1 \times \mathbb{D}^{2m}$  be an embedding as in the proof of Proposition 1.3. We can easily arrange that  $F$  satisfies the following two additional properties:

$$(1.4.1) \quad \text{Image } F \cap (S^1 \times S^{2m-1}) = F(\partial N^m \times \mathbb{D}^{m+1}),$$

$$(1.4.2) \quad F(h(u, v), w) = (u, (v/2, w/2))$$

for all  $u \in S^1$ ,  $v \in \mathbb{D}^{m-1}$  and  $w \in \mathbb{D}^{m+1}$ . Let  $k: S^1 \times \mathbb{D}^{m-1} \rightarrow N^m$  denote the composition of  $h$  with the inclusion map  $\text{Int}(N^m) \subset N^m$ . The argument given before shows that

$$(k \times \text{id})'^*: [S^1 \times \mathbb{D}^{m-1} \times \mathbb{D}^{m+1}/\partial, Y] \rightarrow [N^m \times \mathbb{D}^{m+1}/\partial, Y]$$

is monic. (Note that  $\partial(N^m \times \mathbb{D}^{m+1}) = \partial N^m \times \mathbb{D}^{m+1} \cup N^m \times S^m$ .)

Let  $s$  denote the order of  $\Theta_{m-1}$ , and  $\Phi: S^1 \times \mathbb{D}^{2m}/\partial \rightarrow S^1 \times \mathbb{D}^{2m}/\partial$  be the map induced by the function  $(z, u) \mapsto (z^s, u)$  where  $z \in S^1$  and  $u \in \mathbb{D}^{2m}$ . Likewise let

$$P: S^1 \times \mathbb{D}^{m-1} \times \mathbb{D}^{m+1}/\partial \rightarrow \mathbb{D}^{m-1} \times \mathbb{D}^{m+1}/\partial$$

be determined by projection onto the last two factors of  $S^1 \times \mathbb{D}^{m-1} \times \mathbb{D}^{m+1}$ . Property (1.4.2) yields the following identity

$$(1.4.3) \quad P \circ (F \circ (k \times \text{id}))' \circ \Phi = P \circ (F \circ (k \times \text{id}))'$$

Let  $\sigma: \partial N^m \times [0, 1] \rightarrow N^m$  be a collaring of  $N^m$ . To complete the proof, it suffices to show that the equation

$$(1.4.4) \quad (\sigma \times \text{id})'^*(a) = (k \times \text{id})'^*(P^*(b))$$

only has solutions  $a$  and  $b$  when the element  $b \in \Theta_{m-1}$  is zero.

To show that  $b = 0$ , apply  $(F' \circ \Phi)^*$  to (1.4.4) and use identity (1.4.3) yielding

$$(1.4.5) \quad ((F \circ (\sigma \times \text{id}))' \circ \Phi)^*(a) = (F \circ (k \times \text{id}))'^*(P^*(b)).$$

It can be shown, using hypothesis 2 of Addendum 1.4, that

$$((F \circ (\sigma \times \text{id}))' \circ \Phi)^*(a)$$

is divisible by  $s$ . (Hint. The map  $F \circ (\sigma \times \text{id}): \partial N^m \times [0, 1] \times \mathbb{D}^{m+1} \rightarrow S^1 \times \mathbb{D}^{2m}$  lifts to the connected  $s$ -sheeted cover of  $S^1 \times \mathbb{D}^{2m}$ .) Since  $(F \circ (k \times \text{id}))'^*$  is an isomorphism,  $P^*(b)$  is also divisible by  $s$ . But  $P^*$  is a monomorphism onto a direct summand; therefore,  $b \in \Theta_{m-1}$  is divisible by  $s$  and hence  $b = 0$ . This completes the proof of Addendum 1.4. q.e.d.

Recall that  $M^m$  is the interior of a compact smooth manifold  $\overline{M}^m$  and observe that the cuspidal elements in  $\pi_1 M = \pi_1 \overline{M}$  are precisely those representable by curves in  $\overline{M}$  which are freely homotopic to curves in  $\partial \overline{M}$ . If we set  $N^m = \overline{M}^m$  and  $h = f$ , then  $\hat{\alpha} = p \circ h'$  and hence Addendum 1.4 verifies the assertion, made in the sentence following Lemma 1.2, that  $\hat{\alpha}^*$  is injective. This completes the proof of Theorem 1.1.

The first comment made at the end of the Introduction is explained by the following remark.

**Corollary 1.5.** *Let  $M^m$  be a manifold satisfying the hypotheses of Theorem 1.1. Let the homotopy sphere  $\Sigma^m$  represent an element in  $\Theta_m$  and  $x \in \Theta_{m-1}$ . If  $M^m \# \Sigma^m$  is diffeomorphic to a manifold in the concordance class  $M(\alpha, x)$ , then  $M^m \# \Sigma^m$  is diffeomorphic to  $M^m$ .*

*Proof.* Let  $M_{f, \varphi}$  be a manifold in  $M(\alpha, x)$  where  $\alpha$  is the core of  $f$  and the isotopy class of  $\varphi$  is  $x$ . Further, suppose  $M^m \# \Sigma^m$  is diffeomorphic to  $M_{f, \varphi}$ . We first consider the case where  $M^m$  is not compact; then every map  $M^m \rightarrow S^m$  is homotopic to a constant map. But the concordance class of  $M^m \# \Sigma^m$  is in the image of

$$\hat{\gamma}^*: [S^m, \text{Top}/O] \rightarrow [M^m, \text{Top}/O],$$

where  $\hat{\gamma}: M^m \rightarrow S^m$  is the result of the Pontryagin-Thom construction applied to a framed point  $\gamma: * \rightarrow M^m$ . Therefore  $M^m \# \Sigma^m$  is concordant and hence diffeomorphic to  $M^m$ . (This argument, showing that  $M^m \# \Sigma^m$  is diffeomorphic to  $M^m$ , is valid for any noncompact connected manifold  $M^m$ .)

We now assume that  $M^m$  is compact. Let  $\gamma: \mathbb{D}^m \rightarrow S^1 \times \mathbb{D}^{m-1}$  be an orientation-preserving embedding. Also, let the maps  $q: S^1 \times \mathbb{D}^{m-1}/\partial \rightarrow \mathbb{D}^{m-1}/\partial$  and  $\omega: \mathbb{D}^{m-1}/\partial \rightarrow S^1 \times \mathbb{D}^{m-1}/\partial$  be respectively determined by projection onto the second factor of  $S^1 \times \mathbb{D}^{m-1}$  and the inclusion

$$\mathbb{D}^{m-1} = 1 \times \mathbb{D}^{m-1} \subset S^1 \times \mathbb{D}^{m-1}.$$

Lemma 1.2 yields that  $M(\alpha, x) = f'^*(q^*(x))$ . By looking at [9, Proof of Proposition 1.2], we also see that  $M \# \Sigma^m$  and  $M \# (-\Sigma^m)$  are in the concordance classes of  $f'^*(\gamma'^*(y))$  and  $f'^*(\gamma'^*(-y))$ , respectively, where  $y \in \Theta_m$  is the concordance class of  $\Sigma^m$ . The argument proving [9, Addendum 2.3] yields that  $M_{f, \varphi}$  is concordant to either  $M \# \Sigma^m$  or  $M \# (-\Sigma^m)$ ; therefore, either  $f'^*(q^*(x))$  is equal to  $f'^*(\gamma'^*(y))$  or to  $f'^*(\gamma'^*(-y))$ . Now  $f'^*$  is monic by Proposition 1.3 in which we set  $N^m = M^m$  and  $h = f$ . Consequently,  $q^*(x) = \gamma'^*(z)$  for some  $z \in \Theta_m$ . Since the composite  $q \circ \omega = \text{id}$ , we have

$$(1.5.1) \quad x = \omega^*(q^*(x)) = (\gamma' \circ \omega)^*(z).$$

The map  $\gamma' \circ \omega: S^{m-1} \rightarrow S^m$  is homotopic to a constant. Therefore, (1.5.1) implies that  $x = 0$ , which completes the proof of Corollary 1.5. *q.e.d.*

We end this section with a corollary of the Mostow-Prasad strong rigidity theorem.

**Proposition 1.6.** *Let  $M^m$ , with  $m > 2$ , be a complete (connected) Riemannian manifold with finite volume and all sectional curvatures  $-1$ . Let  $N^m$  be a complete Riemannian locally symmetric space. If  $M$  and  $N$  are homeomorphic, then they are isometrically equivalent (after rescaling the metric on  $N$  by a positive constant).*

*Proof.* This is an immediate consequence of the Mostow-Prasad strong rigidity theorem when  $N$  has finite volume [19, §24] and [21]; cf. [16, p. 334, Theorem 7.24]. Note that  $N$  must have finite volume when  $M$  is compact. Hence we now assume that  $M$  is not compact.

To show that  $N$  has finite volume, in this case, we argue as follows. Let  $\tilde{N}$  be the universal cover of  $N$ ; then

$$(1.6.1) \quad \tilde{N} = \mathbb{E}^k \times H_1,$$

where  $\mathbb{E}^k$  is  $k$ -dimensional flat Euclidean space and  $H_1$  is a symmetric space of noncompact type. (The DeRham decomposition of  $\tilde{N}$  has no compact factor since  $N$  is aspherical.) We proceed to show that  $k = 0$ .

Let

$$(1.6.2) \quad \Gamma \subseteq \text{Iso}(\mathbb{E}^k \times H_1) = \text{Iso}(\mathbb{E}^k) \times \text{Iso}(H_1)$$

be the group of all deck transformations of  $\tilde{N} \rightarrow N$ . (We denote by  $\text{Iso}(X)$  the group of all isometries of a Riemannian manifold  $X$ .) Recall that  $\text{Iso}(\mathbb{E}^k)$  is a semidirect product  $\mathbb{R}^k \rtimes O(k)$ . Hence the abelian Lie group  $\mathbb{R}^k$  is a closed normal connected subgroup of  $\text{Iso}(\mathbb{E}^k \times H_1)$ . Let

$$(1.6.3) \quad \pi: \text{Iso}(\mathbb{E}^k \times H_1) \rightarrow \text{Iso}(\mathbb{E}^k \times H_1)/\mathbb{R}^k$$

be the natural map and  $U = \overline{\pi(\Gamma)}$  denote the closure of  $\pi(\Gamma)$ . The identity component  $U^0$  of  $U$  is solvable by [22, Theorem 8.24]. If  $U^0$  is not trivial, then  $\pi(\Gamma) \cap U^0$  is a nontrivial normal solvable subgroup of  $\pi(\Gamma)$ , and  $\Gamma$  would consequently contain a nontrivial normal abelian subgroup. But this is impossible since  $\Gamma$  is isomorphic to a torsion-free lattice in  $O^+(m, 1, \mathbb{R})$ . Hence,  $\pi: \Gamma \rightarrow \pi(\Gamma)$  is monic and  $\pi(\Gamma)$  is a discrete subgroup of  $\text{Iso}(H_1)$ . By looking at the cohomological dimension of  $\Gamma$ , it is now easily seen that  $k = 0$ .

Note that  $\Gamma \cap \text{Iso}(H_1)^0$  contains a free abelian subgroup  $A$  of rank  $m - 1$  since  $M^m$  has at least one cusp. Suppose  $A$  contains an element  $\gamma$  with nontrivial semisimple Jordan component  $s$ . Since  $A$  centralizes  $s$ ,  $H_1$  contains a *proper*  $A$ -invariant totally geodesic subspace  $H_2$  which must be flat by [15] and have codimension one since  $H_2/A$  is compact. This forces  $\tilde{N} = H_1 = \mathbb{H}^2$ . But this is impossible since  $\dim \tilde{N} > 2$ . We therefore conclude that  $A$  contains only unipotent elements. Hence [16, Proposition 1.5] shows that  $A$  is contained in the unipotent radial  $R_u(P)$  of a parabolic subgroup  $P$  of  $\text{Iso}(H_1)$ . For cohomological dimension reasons,  $A$  is cocompact in  $R_u(P)$ . This forces the  $\mathbb{R}$ -split rank of  $\text{Iso}(H_1)$  to be 1 and  $R_u(P)$  to be abelian by [22, p. 34, Corollary 2]. Hence  $H_1 = \mathbb{H}^m$  after rescaling the metric on  $H_1$  by a positive constant. It is now a routine exercise to see that  $H_1/\Gamma$  has finite volume. This completes the proof of Proposition 1.6.

## 2. Negative curvature and $M(\alpha, x)$

The symbol  $M^m$  will denote, for the rest of this paper, a complete (connected) Riemannian manifold of finite volume (possibly compact) and with all sectional curvatures  $-1$ . Also  $\alpha$  will denote a simple orthonormally framed geodesic in  $M^m$ . It determines an immersion  $\bar{\alpha}: S^1 \times \mathbb{R}^{m-1} \rightarrow M^m$  defined by

$$(2.0) \quad \bar{\alpha}(y; t_1, t_2, \dots, t_{m-1}) = \exp_{\alpha(y)} \left( \sum_{i=1}^{m-1} t_i e_i(\alpha(y)) \right),$$

where  $y \in S^1$ ,  $t_i \in \mathbb{R}$  and  $e_1, e_2, \dots, e_{m-1}$  is the orthonormal framing of  $\alpha$ . For each nonnegative real number  $r$  and each subset  $T \subseteq \mathbb{R}^s$ , let  $rT$  denote the subset of  $\mathbb{R}^s$  defined by

$$(2.0.1) \quad rT = \{ry \mid y \in T\}.$$

We say that  $\alpha$  is the core of a *geometric tube of radius  $r$*  if the restriction of  $\bar{\alpha}$  to  $S^1 \times r\mathbb{D}^{m-1}$  is a smooth embedding. Denote the arc length of  $\alpha$  by  $|\alpha|$  and let the orthogonal matrix  $A_\alpha \in O(m-1, \mathbb{R})$  be the holonomy around  $\alpha$ . It is explicitly defined as follows where we regard  $\alpha: \mathbb{R} \rightarrow M$  as a periodic function of period  $2\pi$  and speed  $|\alpha|/2\pi$ . Let  $\bar{e}_1, \bar{e}_2, \dots, \bar{e}_{m-1}$  be the parallel vector fields along  $\alpha$  satisfying  $\bar{e}_i(0) = e_i(0)$  for  $i = 1, 2, \dots, m-1$ . Define a matrix  $A_\alpha(t) \in O(m-1, \mathbb{R})$ , for each  $t \in \mathbb{R}$ , by

$$(2.0.2) \quad e_i(t) = \sum_j (A_\alpha(t))_{ij} \bar{e}_j(t),$$

and then set  $A_\alpha = A_\alpha(2\pi)$ . We call the correspondence  $t \mapsto A_\alpha(t)$  the *holonomy function associated to  $\alpha$* . The purpose of this section is to prove the following result.

**Theorem 2.1.** *Given real numbers  $\varepsilon, l > 0$ , an integer  $m > 6$  and a map  $A(t): \mathbb{R} \rightarrow O(m-1, \mathbb{R})$ , there exists a real number  $r > 0$  such that the following statement is true for any pair  $(M^m, \alpha)$  as above but subject to the following extra constraints:*

1.  $|\alpha| = l$ ;
2.  $A_\alpha(t) = A(t)$  for all  $t \in \mathbb{R}$ ;
3.  $\alpha$  is the core of a geometric tube of radius  $2r$ .

*For any  $x \in \Theta_{m-1}$ , there exists a complete negatively curved Riemannian manifold  $N^m$  of finite volume in the concordance class  $M(\alpha, x)$  and such that all the sectional curvatures of  $N^m$  lie in the interval  $[-1 - \varepsilon, -1 + \varepsilon]$ .*

The following is the strategy used to prove this result. Pick a representative diffeomorphism  $\varphi_x: S^{m-2} \rightarrow S^{m-2}$  for each element  $x$  in the finite group  $\Theta_{m-1}$ . Let  $f: S^1 \times \mathbb{D}^{m-1} \rightarrow M^m$  be the tube defined by

$$(2.1.1) \quad f(y, v) = \bar{\alpha}(y, 2rv).$$

The core of  $f$  is the geodesic  $\alpha$  equipped with the framing  $2re_1, 2re_2, \dots, 2re_{m-1}$ . But it is easily seen that  $M_{f, \varphi_x}$  is a smooth manifold in the concordance class  $M(\alpha, x)$ . It is the underlying smooth manifold of the posited Riemannian manifold  $N^m$ . To put the Riemannian metric on  $M_{f, \varphi_x}$ , we express it as the union of three manifolds

$$(2.1.2) \quad M^m - f(S^1 \times \text{Int } \mathbb{D}^{m-1}), \quad S^1 \times \frac{1}{2} \mathbb{D}^{m-1}, \quad S^1 \times (\mathbb{D}^{m-1} - \frac{1}{2} \text{Int } \mathbb{D}^{m-1}).$$

The Riemannian metric on  $M^m$  induces the Riemannian metrics on the first two submanifolds via the inclusion map and the embedding  $f$ , respectively. The third submanifold, which can be identified with the cylinder  $(S^1 \times S^{m-2}) \times [\frac{1}{2}, 1]$ , thus inherits a Riemannian metric on its top and bottom boundary components. We taper these Riemannian metrics together over the interior. The larger  $r$  is, the close to  $-1$  is pinched the sectional curvatures in this tapered Riemannian metric. This tapering is accomplished by using Lemma 2.2 which we proceed to formulate.

Let  $S^{m-2} \times \mathbb{R}$  denote the Riemannian symmetric space which is the metric product of  $S^{m-2}$  and the flat line  $\mathbb{R}$ . Let  $\psi$  be the isometry of  $S^{m-2}$  induced by  $A(2\pi)$ ; i.e.,

$$(2.1.3) \quad \psi(y) = yA(2\pi), \quad y \in S^{m-2},$$

and let  $T: \mathbb{R} \rightarrow \mathbb{R}$  be the translation  $T(y) = y + l$ ,  $y \in \mathbb{R}$ . Then  $\psi \times T$  is an isometry of  $S^{m-2} \times \mathbb{R}$ . Let  $\mathcal{N}^{m-1}$  denote the orbit space of  $S^{m-2} \times \mathbb{R}$  under the action of the infinite cyclic group of isometries generated by  $\psi \times T$ . It is a compact Riemannian locally symmetric space. Let  $\pi: S^{m-2} \times \mathbb{R} \rightarrow \mathcal{N}^{m-1}$  denote the covering projection, and  $\omega: \mathcal{N}^{m-1} \rightarrow (\frac{1}{2\pi})S^1$  be the map induced by projection onto the second factor of  $S^{m-2} \times \mathbb{R}$ . Here the circle  $(\frac{1}{2\pi})S^1$  is identified with the orbit space of  $\mathbb{R}$  under the action of the group generated by  $T$ . Consider the cylinder  $\mathcal{N}^{m-1} \times [1, 2]$ . Let  $\xi$  and  $\gamma$  be the distributions respectively tangent to the foliations  $\{\mathcal{N}^{m-1} \times t \mid t \in [1, 2]\}$  and  $\{y \times [1, 2] \mid y \in \mathcal{N}^{m-1}\}$ . Let  $\xi_1$  and  $\xi_2$  be the subdistributions of  $\xi$  respectively tangent to the foliations

$$(2.1.4) \quad \begin{aligned} &\{\pi(S^{m-2} \times y) \times t \mid y \in \mathbb{R}, t \in [1, 2]\} \quad \text{and} \\ &\{\pi(y \times \mathbb{R}) \times t \mid y \in S^{m-2}, t \in [1, 2]\}. \end{aligned}$$

Let  $B$  be any Riemannian metric on  $\mathcal{N}^{m-1} \times [1, 2]$  satisfying

$$(2.1.5) \quad \begin{aligned} (1) \quad & \xi \perp \gamma \text{ and} \\ (2) \quad & B \left( \frac{\partial}{\partial t}, \frac{\partial}{\partial t} \right) \equiv 1, \end{aligned}$$

where  $t$  is the second coordinate in the product structure  $\mathcal{N}^{m-1} \times [1, 2]$ . Given a positive real number  $r$ , construct a new Riemannian metric  $B_r$  on  $\mathcal{N}^{m-1} \times [1, 2]$  by requiring the following properties:

$$(2.1.6) \quad \begin{aligned} (1) \quad & \xi \perp \gamma; \\ (2) \quad & B_r \left( \frac{\partial}{\partial t}, \frac{\partial}{\partial t} \right) = r^2; \\ (3) \quad & B_r(u, v) = \sinh^2(rt)B(u, v), \text{ if } u, v \in \xi_1; \\ (4) \quad & B_r(u, v) = \sinh^2(rt)B(u, v), \text{ if } u \in \xi_1 \text{ and } v \in \xi_2; \\ (5) \quad & B_r(u, v) = \sinh^2(rt)B(u, v) + d\omega(u) \cdot d\omega(v), \text{ if } u, v \in \xi_2. \end{aligned}$$

**Lemma 2.2.** *Let  $P$  denote an arbitrary 2-plane tangent to  $\mathcal{N}^{m-1} \times [1, 2]$ . Then*

$$\lim_{r \rightarrow \infty} K_{B_r}(P) = -1$$

*uniformly in  $P$ , where  $K_{B_r}(P)$  denotes the sectional curvature of  $P$  relative to  $B_r$ .*

Before proving Lemma 2.2, we use it to prove Theorem 2.1 by implementing in detail the strategy outlined after the statement of this theorem. Define a diffeomorphism  $\hat{\Psi}: \mathbb{R} \times S^{m-2} \rightarrow S^{m-2}$  by the formula

$$(2.2.0.1) \quad \hat{\Psi}(t, y) = \left( yA(t), \frac{l}{2\pi}t \right).$$

(Recall that  $A_\alpha(t) = A(t)$ .) It induces a diffeomorphism  $\Psi: S^1 \times S^{m-2} \rightarrow \mathcal{N}^{m-1}$  since  $\psi(y) = yA(2\pi)$ ; cf. (2.0.2) and (2.1.3).

Fix a Riemannian metric  $B(\cdot, \cdot)$  on  $\mathcal{N}^{m-1} \times [1, 2]$  satisfying (2.1.5) together with the following additional properties where  $B^t(\cdot, \cdot)$  denotes the induced Riemannian metric on the hypersurface  $\mathcal{N}^{m-1} \times t$ ,  $t \in [1, 2]$ :

$$(2.2.0.2) \quad \begin{aligned} (1) \quad & B^1 \text{ is the given locally symmetric metric on } \mathcal{N}^{m-1}. \\ (2) \quad & B^2 \text{ is the pullback of } B^1 \text{ under the composite} \\ & \text{diffeomorphism } \Psi \circ (\text{id} \times \varphi_x) \circ \Psi^{-1}. \\ (3) \quad & B^t \text{ is constant in } t \text{ near } t = 1, 2. \end{aligned}$$

If  $s \in \mathbb{R}$  and  $u = (t, y) \in S^1 \times \mathbb{R}^{m-1}$ , define  $s \cdot u = (t, sy)$ . Let  $h, h_x: \mathcal{N}^{m-1} \times (0, 2] \rightarrow M^m$  be the two embeddings defined by

$$(2.2.0.3) \quad \begin{aligned} h(y, t) &= f(\tfrac{1}{2}t \cdot \Psi^{-1}(y)) \quad \text{and} \\ h_x(y, t) &= f(\tfrac{1}{2}t \cdot \text{id} \times \varphi_x(\Psi^{-1}(y))), \end{aligned}$$

where  $y \in \mathcal{N}^{m-1}$  and  $t \in (0, 2]$ . (Recall  $f$  was defined in (2.1.1).) Note that  $M_{f, \varphi_x}$  can be constructed by gluing  $\mathcal{N}^{m-1} \times [1, 2]$  to  $M^m - h(\mathcal{N}^{m-1} \times (1, 2))$  along the maps

$$(2.2.0.4) \quad \begin{aligned} h: \mathcal{N}^{m-1} \times 1 &\rightarrow M^m - h(\mathcal{N}^{m-1} \times (1, 2)), \\ h_x: \mathcal{N}^{m-1} \times 2 &\rightarrow M^m - h(\mathcal{N}^{m-1} \times (1, 2)). \end{aligned}$$

Put a Riemannian metric  $\langle \cdot, \cdot \rangle$  on  $M_{f, \varphi_x}$  as follows. It is the given hyperbolic metric on  $M^m - h(\mathcal{N}^{m-1} \times (1, 2))$ ; while, restricted to  $\mathcal{N}^{m-1} \times [1, 2]$ ,  $\langle \cdot, \cdot \rangle$  is the Riemannian metric  $B_r(\cdot, \cdot)$  of (2.1.6) constructed using the Riemannian metric  $B(\cdot, \cdot)$  fixed in (2.2.0.2). We leave the reader an exercise to show that these two Riemannian metrics fit together. Use the following hints. The Jacobi fields in  $\mathbb{H}^m$  can be explicitly calculated. This calculation shows that  $\mathbb{H}^m$  is isometric to the warped product  $\mathbb{H}^m \times_g \mathbb{R}$  where  $g(p) = \cosh(\rho(p))$ ,  $p \in \mathbb{H}^{m-1}$ , and  $\rho(p)$  denotes the distance between  $p$  and a fixed point  $p_0 \in \mathbb{H}^{m-1}$ . The set of points  $p_0 \times \mathbb{R}$  is a geodesic line, and  $\mathbb{H}^{m-1} \times 0$  is a totally geodesic subspace (isometric to  $\mathbb{H}^{m-1}$ ) meeting this line perpendicularly at  $p_0 \times 0$ . Furthermore,  $\mathbb{H}^{m-1} - p_0$  is isometric to the warped product  $(0, +\infty) \times_h S^{m-2}$  where  $h(t) = \sinh(t)$ ,  $t \in (0, +\infty)$ . Now use (2.2.0.2) and (2.1.6) together with the trigonometric identity  $\cosh^2(t) = \sinh^2(t) + 1$  to show the metrics agree at  $\mathcal{N}^{m-1} \times 1$ . To show they agree at  $\mathcal{N}^{m-1} \times 2$  use, in addition, the following matrix identity. Let  $P$  denote the  $(m-1) \times (m-1)$  matrix whose only nonzero entry is  $P_{m-1, m-1} = 1$ , and let  $A$  be any  $(m-1) \times (m-1)$  matrix whose bottom row is  $(0, 0, \dots, 0, 1)$ . Then  $A^t P A = P$  where  $A^t$  denotes the transpose of  $A$ . The conclusion of Theorem 2.1 now follows from Lemma 2.2.

*Proof of Lemma 2.2.* Smooth coordinate functions  $x_1, \dots, x_{m-2}, x_{m-1}, t$  defined in an open neighborhood of a point  $(p_0, t_0) \in \mathcal{N}^{m-1} \times [1, 2]$  are said to form a *regular coordinate system* about  $(p_0, t_0)$  if there exist coordinate functions  $y_1, y_2, \dots, y_{m-1}, s$  defined in an open

neighborhood of a point  $(q_0, t_0) \in (S^{m-2} \times \mathbb{R}) \times [1, 2]$  such that

- (1)  $\pi(q_0) = p_0$ ;
  - (2) the composite  $x_i \circ (\pi \times \text{id}) = y_i$ , for  $i = 1, 2, \dots, m - 1$ ;
  - (3)  $s$  and  $t$  are the  $[1, 2]$  coordinates in the two product structures;
- (2.2.1)
- (4)  $y_{m-1}$  is the  $\mathbb{R}$  coordinate in the product structure  $S^{m-2} \times \mathbb{R} \times [1, 2]$ ; and
  - (5)  $y_i$  is constant on each leaf of the foliation  $\{z \times \mathbb{R} \times [1, 2] \mid z \in S^{m-2}\}$  provided  $1 \leq i \leq m - 2$ .

It is easy to find a regular coordinate system about a given point in  $\mathcal{N}^{m-1} \times [1, 2]$ . By composing the coordinate functions  $y_1, y_2, \dots, y_m$  for this system with members from a precompact set of isometries of the symmetric space  $S^{m-2} \times \mathbb{R} \times \mathbb{R}$ , we construct a family of regular coordinate systems (one for each point  $(p_0, t_0) \in \mathcal{N}^{m-1} \times [1, 2]$ ) satisfying the following properties:

- (1)  $B(, ) = g_{ij} dx_i dx_j + dt^2$ . We denote these functions by  $g_{ij}^{(p_0, t_0)}(, )$  when we need to make explicit the dependence of the functions  $g_{ij}(, )$  on the (base) point  $(p_0, t_0)$ .
- (2) The closure of the set  $\{g_{ij}^{(p_0, t_0)}(p_0, t_0) \mid (p_0, t_0) \in \mathcal{N}^{m-1} \times [1, 2]\}$  is a compact space  $K$  of positive definite symmetric matrices.
- (3) There is a positive real number  $C$ , which is independent of  $(p_0, t_0)$ , such that for all integers  $k, s \geq 0$ , with  $k + s \leq 2$ , the following inequalities hold:

$$\left| \frac{\partial^{k+s} g_{ij}^{(p_0, t_0)}}{\partial x_1 \dots \partial x_k \partial t^s}(p_0, t_0) \right| < C.$$

For each positive real number  $r$ , define a new coordinate system  $\bar{x}_i, \bar{t}$  about  $(p_0, t_0)$  by setting

(2.2.3)  $\bar{t} = rt \quad \text{and} \quad \bar{x}_i = \sinh(rt_0)x_i.$

We then have the following equalities:

$$(2.2.4) \quad \begin{aligned} d\bar{t} &= r dt, & d\bar{x}_i &= \sinh(rt_0) dx_i, \\ \frac{\partial}{\partial \bar{t}} &= \frac{1}{r} \frac{\partial}{\partial t}, & \frac{\partial}{\partial \bar{x}_i} &= \frac{1}{\sinh(rt_0)} \frac{\partial}{\partial x_i}. \end{aligned}$$

From (2.2.4) and the definition (2.1.6) of  $B_r$  in terms of  $B$  it follows that

$$(2.2.5) \quad \begin{aligned} B_r(\cdot, \cdot) &= \bar{g}_{ij} d\bar{x}_i d\bar{x}_j + d\bar{t}^2, \\ \text{where } \bar{g}_{ij} &= \frac{\sinh^2(rt)}{\sinh^2(rt_0)} g_{ij}, \text{ if } 1 \leq i, j \leq m-2; \\ \bar{g}_{i, m-1} &= \bar{g}_{m-1, i} = \frac{\sinh^2(rt)}{\sinh^2(rt_0)} g_{i, m-1}, \text{ if } 1 \leq i \leq m-2; \end{aligned}$$

$$\bar{g}_{m-1, m-1} = \frac{\sinh^2(rt)}{\sinh^2(rt_0)} g_{m-1, m-1} + \frac{1}{\sinh^2(rt_0)}.$$

Let  $X_{i, j; i_1, \dots, i_k; s}$  denote the partial derivatives (0th through 2nd order)

$$(2.2.6) \quad \frac{\partial^{k+s} \bar{g}_{ij}}{\partial \bar{x}_{i_1} \dots \partial \bar{x}_{i_k} \partial \bar{t}^s} (p_0, t_0).$$

In particular,  $X_{ij} = \bar{g}_{ij}(p_0, t_0)$ . It follows from properties (2.2.2), (2.2.4) and (2.2.5) that

$$(2.2.7) \quad \lim_{r \rightarrow \infty} X_{i, j; i_1, \dots, i_k; s} = 0^k 2^s g_{ij}^{(p_0, t_0)}(p_0, t_0)$$

uniformly in  $(p_0, t_0)$ . Here  $0^0 = 1$  and  $0^k = 0$  if  $k \geq 1$ .

Choose an orthonormal basis  $\{v_1, v_2\}$  for the 2-plane  $P$  and write

$$(2.2.8) \quad v_i = a_{ik} \partial / \partial \bar{x}_k + a_{im} \partial / \partial \bar{t}$$

where we sum over the index  $k$ . It is a consequence of the classical relation between the coefficients of the curvature tensor and of the first fundamental form (cf. [12, §§5.3 and 6.2]) that  $K_{B_r}(P)$  is a polynomial  $f(\cdot)$  in the set of variables  $\{X_{i, j; i_1, \dots, i_k; s}, a_{ij}, \det(X_{ij})^{-1}\}$ . The set  $\mathcal{L}$  of limiting values (as  $r \rightarrow +\infty$ ) of these variables

$$(2.2.9) \quad \mathcal{L} = \{0^k 2^s g_{ij}^{(p_0, t_0)}(p_0, t_0), a_{ij}, \det(g_{ij}^{(p_0, t_0)}(p_0, t_0))^{-1}\}$$

is a precompact (i.e., bounded) subset of the domain of  $f$  because of (2.2.2) and (2.2.7). It consequently suffices to show that  $f|_{\mathcal{L}} \equiv -1$  in order to complete the proof of Lemma 2.2.

Fix a point  $(p_0, t_0) \in \mathcal{N}^{m-1} \times [1, 2]$ . Let  $x_1, x_2, \dots, x_{m-1}$  be the standard coordinates on  $\mathbb{R}^{m-1}$  and let  $A(\cdot, \cdot)$  be the flat Riemannian metric on  $\mathbb{R}^{m-1}$  described by

$$(2.2.10) \quad A(\cdot, \cdot) = g_{ij}(p_0, t_0) dx_i dx_j,$$

where  $g_{ij}(\cdot, \cdot)$  is an abbreviated notation for  $g_{ij}^{(p_0, t_0)}(\cdot, \cdot)$ . Now form the warped product  $\mathbb{R} \times_{\eta} \mathbb{R}^{m-1}$ , where  $\eta: \mathbb{R} \rightarrow \mathbb{R}$  is the function  $\eta(t) = e^t$  and  $\mathbb{R}$  has its standard (flat) Riemannian metric. (See [20, pp. 204–211] for the definition and properties of the warped product.) Let  $t$  be the first coordinate in the product structure  $\mathbb{R} \times \mathbb{R}^{m-1}$ . Then the Riemannian metric  $\bar{A}(\cdot, \cdot)$  on the warped product  $\mathbb{R} \times_{\eta} \mathbb{R}^{m-1}$  is explicitly described by the formula

$$(2.2.11) \quad \bar{A}(\cdot, \cdot) = \hat{g}_{ij} dx_i dx_j + dt^2,$$

where  $\hat{g}_{ij}(x_1, \dots, x_{m-1}, t) = e^{2t} g_{ij}(p_0, t_0)$ . The sectional curvatures of  $\mathbb{R} \times_{\eta} \mathbb{R}^{m-1}$  are easily calculated using [20, Proposition 4.2, p. 210]. They are all  $-1$ . Consider the point  $0 = (0; 0, 0, \dots, 0) \in \mathbb{R} \times \mathbb{R}^{m-1}$ . The value of the partial derivatives (0th through second order) of  $\hat{g}_{ij}$  at 0 are equal to the limiting values of  $X_{i,j;i_1, \dots, i_k; s}$  as  $r \rightarrow +\infty$ ; i.e., are  $0^k 2^s g_{ij}(p_0, t_0)$ . We consequently have that the value of  $f$  restricted to  $\mathcal{L}$  is identically  $-1$ . This completes the proof of Lemma 2.2.

### 3. Relevant group theory

We intend to use the results of §§1 and 2 to construct the Riemannian manifolds posited in Theorems 0.1 and 0.2. We will use examples due to Millson [17] and a theorem of Sullivan [23]. Some specific group-theoretic facts are needed to enable us to assemble these results. The purpose of this section is to state and prove these results in group theory.

Let  $\bar{\mathbb{Q}} \subseteq \mathbb{C}$  denote the algebraic closure (inside the complex numbers  $\mathbb{C}$ ) of the field of rational numbers  $\mathbb{Q}$ .

**Lemma 3.1.** *Let  $\Gamma \subseteq \text{GL}_n(\bar{\mathbb{Q}})$  be a finitely generated subgroup, and  $A, B \in \Gamma$  be a pair of noncommuting elements. Also assume that  $A$  is a semisimple matrix in  $\text{GL}_n(\mathbb{C})$ . Then there exists a homomorphism  $\varphi: \Gamma \rightarrow G$  where  $G$  is a finite group such that  $\varphi(B)$  is not an integral power of  $\varphi(A)$ ; i.e., the equation  $\varphi(B) = \varphi(A)^n$  has no integral solution  $n$ .*

*Proof.* Since  $\Gamma$  is finitely generated, there exists an algebraic number field  $k \subseteq \bar{\mathbb{Q}}$  such that  $\Gamma \subseteq \text{GL}_n(k)$ . (Recall that an algebraic number

field is a finite field extension of  $\mathbb{Q}$ .) We can even pick  $k$  so that  $A$  is diagonalizable over  $k$  since the eigenvalues of  $A$  are also in  $\overline{\mathbb{Q}}$ . Hence, we may assume, after applying an inner automorphism, that  $A$  is represented by a diagonal matrix in  $GL_n(k)$  under the embedding  $\Gamma \subseteq GL_n(k)$ . But  $B$  is *not* a diagonal matrix since the set of all diagonal matrices form an abelian subgroup of  $GL_n(k)$ ; in particular,  $B_{ij} \neq 0$  for some pair of unequal indices  $i$  and  $j$ . Let  $\mathcal{O}$  be the ring of all algebraic integers inside of  $k$ . Recall the following properties of  $\mathcal{O}$ :

- 1.  $\mathcal{O}$  is a Dedekind domain.
- (3.1.1) 2.  $\mathcal{O}$  is a finitely generated free  $\mathbb{Z}$ -module.
- 3.  $\mathcal{O} \otimes_{\mathbb{Z}} \mathbb{Q} = k$ .

Using property 3 and the fact that  $\Gamma$  is finitely generated, we conclude that  $\Gamma \subseteq GL_n(\mathcal{O}[\frac{1}{m}])$  where  $m \in \mathbb{Z}$  and  $m \neq 0$ . In particular,  $B_{ij} = bm^s$  where  $b \in \mathcal{O}$ ,  $b \neq 0$  and  $s \in \mathbb{Z}$ . Use property 1 to pick a maximal ideal  $\mathfrak{A}$  in  $\mathcal{O}$  such that both  $b \notin \mathfrak{A}$  and  $m \notin \mathfrak{A}$ . Let  $\psi: \mathcal{O}[\frac{1}{m}] \rightarrow \mathcal{O}/\mathfrak{A}$  be the canonical factor homomorphism. Note that  $\mathcal{O}/\mathfrak{A}$  is a finite field by property 2 and that  $\psi(bm^s) \neq 0$ . Let  $\hat{\psi}: GL_n(\mathcal{O}[\frac{1}{m}]) \rightarrow GL_n(\mathcal{O}/\mathfrak{A})$  be the induced group homomorphism. Then the posited  $\varphi$  is the composite of the inclusion of  $\Gamma$  into  $GL_n(\mathcal{O}[\frac{1}{m}])$  with  $\hat{\psi}$ . q.e.d.

A closed geodesic  $\gamma: S^1 \rightarrow M^m$  is said to be *t-simple* if  $\dot{\gamma}: S^1 \rightarrow TM$  is simple, i.e., a one-to-one function. Recall  $M^m$  has the same meaning here as it has in §2 and  $m > 6$ . Also  $TM$  denotes the tangent bundle of  $M$ . Let  $1 \in S^1 \subseteq \mathbb{R}^2 = \mathbb{C}$  be the complex number one.

**Corollary 3.2.** *Assume  $M^m$  is orientable and  $\gamma: S^1 \rightarrow M^m$  is a t-simple closed geodesic. Let  $x_0 = \gamma(1)$  and  $A \in \pi_1(M, x_0)$  be the homotopy class of  $\gamma$ . Let  $B$  be any other element in  $\pi_1(M, x_0)$  which is not an integral power of  $A$ . Then there exists a homomorphism  $\varphi: \pi_1(M, x_0) \rightarrow G$  where  $G$  is a finite group and such that  $\varphi(B)$  is not an integral power of  $\varphi(A)$ .*

*Proof.* The group  $\Gamma$  of all deck transformations of the universal covering space  $\mathbb{H}^m$  of  $M^m$  can be identified with  $\pi_1(M, x_0)$ . Using the fact that  $A$  leaves invariant a geodesic line in  $\mathbb{H}^m$ , one sees that  $A$  and  $B$  do *not* commute. Since  $\Gamma$  acts via isometries on  $\mathbb{H}^m$ , we can identify  $\Gamma$  as a lattice in the Lie group  $SO^+(m, 1, \mathbb{R}) \subseteq GL_{m+1}(\mathbb{R})$  such that  $A$  is represented by a diagonalizable matrix in  $GL_{m+1}(\mathbb{C})$ . We can apply the weak arithmeticity result of Garland and Raghunathan [11] which generalizes to nonuniform lattices earlier results of Selberg; cf. [22, Proposition 6.6], and Calabi [5]. There consequently exist an algebraic number field

$k \subseteq \mathbb{R}$  and an element  $g \in \text{SO}^+(m, 1, \mathbf{R})$  such that

$$g\Gamma g^{-1} \subseteq \text{SO}^+(m, 1, k) \subseteq \text{GL}_{m+1}(\overline{\mathbb{Q}}).$$

The composite of the inner automorphism determined by  $g$  with the embedding  $\text{SO}^+(m, 1, k) \subseteq \text{GL}_{m+1}(\overline{\mathbb{Q}})$  hence gives an embedding of  $\Gamma$  into  $\text{GL}_{m+1}(\overline{\mathbb{Q}})$  which satisfies the hypotheses of Lemma 3.1. An application of Lemma 3.1 thus completes the proof of Corollary 3.2.

**Corollary 3.3.** *Let  $\gamma: S^1 \rightarrow M^m$  be an orthonormally framed  $t$ -simple closed geodesic, and  $r$  be a positive real number. Then there exist a (connected) finite sheeted cover  $p: \widetilde{M} \rightarrow M$  and an orthonormally framed simple closed geodesic  $\alpha: S^1 \rightarrow \widetilde{M}$  such that*

1.  $p \circ \alpha = \gamma$  and
2.  $\alpha$  is the core of a geometric tube of radius  $r$ .

*Proof.* Note first that we can assume  $M^m$  is orientable since  $\gamma$  lifts to the oriented cover of  $M^m$  when  $M^m$  is nonorientable.

Let  $x_0 = \gamma(1)$ , and  $\tilde{x}_0$  be a lift of  $x_0$  to the universal cover  $q: \mathbb{H}^m \rightarrow M^m$ ; i.e.,  $q(\tilde{x}_0) = x_0$ . Identify  $\pi_1(M, x_0)$  with the group  $\Gamma$  of all deck transformations of  $q: \mathbb{H}^m \rightarrow M^m$  via these choices, and let  $A \in \Gamma$  correspond to the homotopy class of  $\alpha$  in  $\pi_1(M, x_0)$ . Let  $L$  be a geodesic segment of finite length such that  $\tilde{x}_0 \in L$  and  $q(L) = \gamma(S^1)$ . A compactness argument shows that there are only a finite number of elements  $B_1, B_2, \dots, B_n$  in  $\Gamma$  such that, for each index  $1 \leq i \leq n$ , the following hold:

1.  $B_i$  is not an integral power of  $A$ , and
2. some point on  $B_i(L)$  is within distance  $2r + |L|$  of  $L$  where  $|L|$  denotes the length of  $L$ .

Now apply Corollary 3.2 to obtain a group homomorphism  $\varphi: \pi_1(M, x_0) \rightarrow G$  where  $G$  is a finite group and such that none of the elements  $\varphi(B_i)$  is an integral power of  $\varphi(A)$ , where  $i = 1, 2, \dots, n$ . Let  $S$  be the cyclic subgroup of  $G$  consisting of all the integral powers of  $\varphi(A)$ , and let  $p: \widetilde{M} \rightarrow M$  be the covering space corresponding to the subgroup  $\varphi^{-1}(S) \subseteq \pi_1(M, x_0)$ . It is now routine to verify that  $p: \widetilde{M} \rightarrow M$  satisfies the properties posited in Corollary 3.3.

**Lemma 3.4.** *Assume  $M^m$  is orientable and  $\varphi: \pi_1(M^m) \rightarrow \mathbb{Z}_+$  is an epimorphism. Then there is a  $t$ -simple closed geodesic  $\gamma: S^1 \rightarrow M^m$  such that  $\varphi([\gamma]) \neq 0$  where  $[\gamma]$  denotes the free homotopy class of  $\gamma$ .*

*Proof.* Recall that every conjugacy class of a nonidentity element in  $\pi_1 M^m$  is represented by a closed geodesic when  $M^m$  is compact. So

Lemma 3.4 is obviously true when  $M^m$  is compact. We argue as follows in the general situation.

Identify  $\pi_1 M^m$  with the group of all deck transformations of the universal covering space  $p: \mathbb{H}^m \rightarrow M^m$ . Since  $\Gamma$  acts via isometries on  $\mathbb{H}^m$ , we can further identify it to a lattice in  $\text{SO}^+(m, 1, \mathbb{R}) \subseteq \text{GL}_{m+1}(\mathbb{R})$ . The individual elements  $\beta \in \Gamma - \{1\}$  are partitioned into two disjoint classes *semisimple* and *cuspidal* depending on whether the matrix representing  $\beta$  in  $\text{GL}_{m+1}(\mathbb{C})$  is semisimple (i.e., diagonalizable) or not. We now recall a few facts about the Jordan decomposition of  $\beta$ ; cf. [19, p. 10]. It decomposes uniquely as a product  $\beta = pku$  where  $p, k, u$  are pairwise commuting matrices in  $\text{SO}^+(m, 1, \mathbb{R})$  with  $u$  unipotent and both  $p$  and  $k$  semisimple with positive real and length 1 eigenvalues, respectively. When  $p \neq 1$ , it has exactly two eigenvalues  $\lambda$  and  $\lambda^{-1}$  different from 1, and each eigenvalue has a one-dimensional eigenspace. It is a consequence of [19, Lemma 5.2(i)] that if  $p \neq 1$ , then  $u = 1$ ; hence,  $\beta$  is cuspidal if and only if  $p = 1$ . Also [19, Lemma 5.2(i)] yields the following useful criterion.

(3.4.1)            If trace  $\beta > m + 1$ , then  $\beta$  is semisimple.

On the geometric side, the elements in  $\Gamma - \{1\}$  whose conjugacy class is represented by a closed geodesic are precisely the semisimple elements, while the cuspidal elements are those representable by curves of arbitrarily short arc length.

We proceed to find a semisimple element  $\beta \in \Gamma$  with  $\varphi(\beta) \neq 0$ . Pick an element  $c \in \Gamma$  such that  $\varphi(c) \neq 0$ . If  $c$  is semisimple, then we are done. Hence assume that  $c$  is cuspidal. Using the fact that the set of all closed geodesics is dense in the set of all geodesics [19, Lemma 8.3'], we can find a semisimple element  $\beta \in \Gamma - \{1\}$  such that trace  $(\beta^n c) \rightarrow +\infty$  as  $n \rightarrow +\infty$ . (Hint:  $\beta$  should “point towards” the cusp.) Hence  $\beta^n c$  is also semisimple by (3.4.1), when  $n$  is sufficiently large. Note that  $\varphi(\beta^n c) = n\varphi(\beta) + \varphi(c)$ . Therefore either  $\varphi(\beta) \neq 0$  or  $\varphi(\beta^n c) \neq 0$ . Thus we have accomplished the goal stated in sentence one of this paragraph.

Note that for every closed geodesic  $\beta$  there exist an integer  $n$  and a  $t$ -simple closed geodesic  $\gamma$  such that  $\beta$  is the composite  $\gamma \circ P_n$  where  $P_n: S^1 \rightarrow S^1$  is the function  $z \rightarrow z^n$ . Hence we can find a  $t$ -simple closed geodesic  $\gamma$  with  $\varphi([\gamma]) \neq 0$ . q.e.d.

We will need the following technical fact about the group  $\text{SO}^+(m, 1, \mathbb{Z})$  in order to prove Theorem 0.1.

**Proposition 3.5.** *Given a semisimple element  $A \in \text{SO}^+(m, 1, \mathbb{Z})$  of infinite order and a positive integer  $n$ , there exist a finite group  $G$  and a*

homomorphism  $\psi: \text{SO}^+(m, 1, \mathbb{Z}) \rightarrow G$  with the following properties:

1. The order of  $\psi(A)$  is divisible by  $n$ .
2. Let  $\beta$  be any unipotent element in  $\text{SO}^+(m, 1, \mathbb{Z})$  such that  $\psi(B) = \psi(A)^s$  where  $s \in \mathbb{Z}$ ; then  $n$  divides  $s$ .

Fix an algebraic number field  $k$  such that  $k$  contains all the eigenvalues of  $A$  as well as all the  $n$ th roots of unity. Note that  $A$  has real eigenvalues  $\lambda$  and  $\lambda^{-1}$  with  $\lambda > 1$ , and its other eigenvalues are complex numbers of length 1. Furthermore, the eigenspaces corresponding to  $\lambda$  and  $\lambda^{-1}$  are both one-dimensional. Let  $\mathcal{O}$  denote the ring of all algebraic integers in  $k$ , and let units  $\mathcal{O}$  denote the group of units of this ring. Notice that units  $\mathcal{O}$  contains all the eigenvalues of  $A$  and all the  $n$ th roots of unity. Fix a positive (rational) prime  $q$  which divides  $n$ , and let  $\Omega$  denote a specific choice of a primitive  $q$ th root of unity. The proof of Proposition 3.5 requires the following preliminary result.

**Lemma 3.6.** *Given a positive (rational) integer  $s$ , there exists a prime ideal  $\mathfrak{A}$  in  $\mathcal{O}$  such that the coset  $\lambda + \mathfrak{A}$  is a unit in the finite field  $\mathcal{O}/\mathfrak{A}$  and its order is divisible by  $q^s$ .*

We now complete the proof of Proposition 3.5 using Lemma 3.6, and after that we prove Lemma 3.6. Let  $n = q_1^{s_1} q_2^{s_2} \cdots q_r^{s_r}$  be the prime factorization of  $n$  where the numbers  $q_i$  are distinct positive primes and each  $s_i > 0$ . We will use Lemma 3.6 to construct finite groups  $G_i$  and homomorphisms  $\psi_i: \text{SO}^+(m, 1, \mathbb{Z}) \rightarrow G_i$ , for  $i = 1, 2, \dots, r$ , with the following two properties:

1. The order of  $\psi_i(A)$  is divisible by  $q_i^{s_i}$ .
- (3.6.1) 2. Let  $B$  be any unipotent element in  $\text{SO}^+(m, 1, \mathbb{Z})$  such that  $\psi_i(B) = \psi_i(A)^s$ , then  $q_i^{s_i}$  divides  $s$ .

The proof of Proposition 3.5 is completed by setting  $G = G_1 \times G_2 \times \cdots \times G_r$  and  $\psi = \psi_1 \times \psi_2 \times \cdots \times \psi_r$ .

It remains to construct  $G_i$  and  $\psi_i$  satisfying (3.6.1). Let  $\mathfrak{A}_i$  be the prime ideal  $\mathfrak{A}$  posited in Lemma 3.6 relative to setting  $q = q_i$  and  $s = s_i$ . Let  $G_i = \text{SL}_{m+1}(\mathcal{O}/\mathfrak{A}_i)$ , and  $\psi_i$  be the composite of the inclusion  $\text{SO}^+(m, 1, \mathbb{Z}) \subseteq \text{SL}_{m+1}(\mathcal{O})$  with the group homomorphism

$$\eta_i: \text{SL}_{m+1}(\mathcal{O}) \rightarrow \text{SL}_{m+1}(\mathcal{O}/\mathfrak{A}_i)$$

induced by the coset homomorphism  $x \mapsto x + \mathfrak{A}_i$ ,  $x \in \mathcal{O}$ .

We must now show that (3.6.1) is satisfied. Note first that  $\lambda + \mathfrak{A}_i$  is an eigenvalue of the matrix  $\psi_i(A)$ . Consequently,  $\psi_i(A)$  is conjugate to a

blocked upper triangular matrix  $\mathcal{A}$  of the form

$$(3.6.2) \quad \left( \begin{array}{c|c} \lambda + \mathfrak{A}_i & \star \\ \hline 0 & \star \end{array} \right),$$

where the top diagonal block is a  $1 \times 1$  matrix whose entry is  $\lambda + \mathfrak{A}_i$ . Hence the order of  $\mathcal{A}$  is divisible by the order of the unit  $\lambda + \mathfrak{A}_i$  in the field  $\mathcal{O}/\mathfrak{A}_i$ . Lemma 3.6 now shows that  $q_i^{s_i}$  divides the order of  $\psi_i(A)$  since  $\mathcal{A}$  and  $\psi_i(A)$  have the same order. This verifies property 1 of (3.6.1).

To verify property 2, note that  $\psi_i(A)^s$  is conjugate to  $\mathcal{A}^s$ . Hence  $\mathcal{A}^s$  is a unipotent matrix; i.e., all its eigenvalues are 1. But  $\lambda^s + \mathfrak{A}_i$  is clearly an eigenvalue of  $\mathcal{A}^s$ . The order of  $\lambda + \mathfrak{A}_i$  in  $\text{units}(\mathcal{O}/\mathfrak{A}_i)$  therefore divides  $s$ . A second application of Lemma 3.6 now shows that  $q_i^{s_i}$  divides  $s$ . This completes the proof of Proposition 3.5.

*Proof of Lemma 3.6.* Recall that  $\mathcal{O}$  satisfies the three properties of (3.1.1). For each element  $x \in \mathcal{O}$ , let  $(x)$  denote the principal ideal it generates; i.e.,  $(x) = x\mathcal{O}$ . Each ideal in  $\mathcal{O}$  is the product of prime ideals since  $\mathcal{O}$  is a Dedekind domain. In particular, we have that

$$(3.6.3) \quad (\Omega - 1) = \mathfrak{A}_1^{m_1} \mathfrak{A}_2^{m_2} \dots \mathfrak{A}_r^{m_r},$$

where each  $\mathfrak{A}_i$  is a prime ideal and each  $m_i$  is a nonnegative (rational) integer. Let

$$(3.6.4) \quad \nu = \max\{m_1, m_2, \dots, m_r\} + 1.$$

Recall that prime ideals are all maximal in Dedekind domains; i.e., if  $\mathfrak{A}$  is a prime ideal, then  $\mathcal{O}/\mathfrak{A}$  is a field. Furthermore,  $\mathcal{O}/\mathfrak{A}$  has finite cardinality since the additive group of  $\mathcal{O}$  is finitely generated. We see that  $\mathcal{O}/\mathfrak{A}^n$  is a finite ring for each nonnegative (rational) integer  $n$  by arguing in this way. We can clearly make the following assumption in proving Lemma 3.6; namely,

$$(3.6.5) \quad q^s > \text{cardinality of } \mathcal{O}/\mathfrak{A}_i^\nu$$

for each  $i = 1, 2, \dots, r$ .

There is now the following fact which we will verify after first using it to complete the proof of Lemma 3.6.

**Claim 3.7.** *There exist a prime ideal  $\mathfrak{A}$  in  $\mathcal{O}$  and a positive (rational) integer  $j$  such that  $b^j - \Omega \in \mathfrak{A}$  but  $\Omega - 1 \notin \mathfrak{A}$ , where  $b = \lambda^{q^{s-1}}$ .*

As observed above,  $\mathcal{O}/\mathfrak{A}$  is a finite field. Claim 3.7 can be rephrased as the following statement about elements in this field

$$(3.7.1) \quad b^j + \mathfrak{A} = \Omega + \mathfrak{A} \neq 1 + \mathfrak{A}.$$

Consequently,  $b^j + \mathfrak{A}$  has order  $q$  in units  $(\mathcal{O}/\mathfrak{A})$ . But since  $b^j = \lambda^{jq^{s-1}}$ , the order of  $\lambda + \mathfrak{A}$  must be divisible by  $q^s$ . This proves Lemma 3.6.

We now proceed to formulate and verify an auxiliary result needed to prove Claim 3.7. Fix a  $\mathbb{Z}$ -basis for  $\mathcal{O}$ . Then multiplication determines a faithful representation

$$(3.7.2) \quad \eta: \mathcal{O} \rightarrow M_n(\mathbb{Z}),$$

where  $M_n(\mathbb{Z})$  is the ring of all  $n \times n$  matrices with entries in  $\mathbb{Z}$  and  $n = [k : \mathbb{Q}]$ . Composing  $\eta$  with the determinant function  $\det: M_n(\mathbb{Z}) \rightarrow \mathbb{Z}$  defines a norm on  $\mathcal{O}$ . Denote by  $N(x)$  the norm of an element  $x \in \mathcal{O}$ .

**Assertion 3.8.**

$$\limsup_{j \rightarrow +\infty} |N(b^j - \Omega)| = +\infty.$$

To verify this assertion, we start by analyzing the eigenvalues of the two matrices  $\eta(\lambda)$  and  $\eta(\Omega)$ . Since the field  $\mathbb{Q}(\lambda)$  is contained in  $k$ , we see that  $\lambda$  is an eigenvalue of  $\eta(\lambda)$  and also that  $\eta(\lambda)$  is diagonalizable in  $M_n(\mathbb{C})$ . Since  $\lambda$  is a root of the characteristic polynomial of  $A$ , this polynomial also annihilates  $\eta(\lambda)$ . The eigenvalues of  $\eta(\lambda)$  are consequently a subset of the eigenvalues of  $A$  (not counting their multiplicities). By the same reasoning,  $\eta(\Omega)$  is also diagonalizable in  $M_n(\mathbb{C})$  and its eigenvalues are all primitive  $q$ th roots of unity.

Note that  $\eta(\Omega)$  and  $\eta(\lambda)$  are simultaneously diagonalizable in  $M_n(\mathbb{C})$  since  $\Omega\lambda = \lambda\Omega$ . We consequently have the following formula for the norm of  $b^j - \Omega$ :

$$(3.8.1) \quad N(b^j - \Omega) = \prod_{i=1}^n (\lambda_i^j - \Omega_i),$$

where  $\lambda_1 = b$ , each  $\lambda_i \in \{b, b^{-1}\} \cup S^1$ , and each  $\Omega_i \in S^1 - \{1\}$  with  $\Omega_i^q = 1$ . Observe the following two facts:

$$(3.8.2) \quad \begin{aligned} &1. \lim_{j \rightarrow +\infty} |b^j - z| = +\infty, \text{ and} \\ &2. \lim_{j \rightarrow +\infty} |b^{-j} - z| = 1 \end{aligned}$$

for each complex number  $z \in S^1$ . Furthermore, there exists an infinite set  $\mathcal{S}$  of positive integers such that

$$(3.8.3) \quad |\lambda_i^j - 1| \leq \sin(\pi/q)$$

for each  $j \in \mathcal{S}$ , and such that  $\lambda_i \in S^1$  for each index  $i$ . One now easily shows that

$$(3.8.4) \quad \lim_{\substack{j \in \mathcal{S} \\ j \rightarrow +\infty}} |N(b^j - \Omega)| = +\infty$$

by using facts (3.8.2) and (3.8.3) in conjunction with formula (3.8.1). Thus Assertion 3.8 is verified.

We now establish Claim 3.7 via proof by contradiction; hence we assume Claim 3.7 is false. The prime factorization of each ideal  $(b^j - \Omega)$ ,  $j > 0$ , consequently has the following form:

$$(3.8.5) \quad (b^j - \Omega) = \mathfrak{A}_1^{m_{1,j}} \mathfrak{A}_2^{m_{2,j}} \dots \mathfrak{A}_r^{m_{r,j}}$$

where each  $m_{i,j}$  is a nonnegative (rational) integer. (Recall the prime ideals  $\mathfrak{A}_i$  come from the factorization (3.6.3).) Suppose all the numbers  $m_{i,j} < \nu$ ; then there would only be a finite number of distinct ideals in the list  $(b^j - \Omega)$ ,  $j > 0$ . Consequently only a finite number of integers in the set  $\{N(b^j - \Omega) \mid j > 0\}$ , contradicting Assertion 3.8. (Note that if  $(x) = (y)$ , then  $N(x) = \pm N(y)$ .) Hence there exists a pair of positive numbers  $i, j$  such that  $m_{i,j} \geq \nu$ . Consider the finite ring  $R = \mathcal{O}/\mathfrak{A}_i^\nu$ . Then the following is true about certain elements in  $R$ :

$$(3.8.6) \quad b^j + \mathfrak{A}_i^\nu = \Omega + \mathfrak{A}_i^\nu \neq 1 + \mathfrak{A}_i^\nu.$$

Therefore  $b^j + \mathfrak{A}_i^\nu$  has order  $q$  in units  $R$ . Recall again that  $b^j = \lambda^{jq^{s-1}}$ . Hence the order of the element  $\lambda + \mathfrak{A}_i^\nu$  in units  $R$  must be divisible by  $q^s$ . In particular, the cardinality of  $\mathcal{O}/\mathfrak{A}_i^\nu$  must be greater than  $q^s$  which contradicts assumption (3.6.5). This proves Claim 3.7.

#### 4. Proof of Theorem 0.1 and 0.2

Recall that in this section, as in the previous two,  $M^m$  still denotes a complete (connected) Riemannian manifold with finite volume (possibly compact), all sectional curvatures  $-1$  and  $\dim M^m = m > 6$ . Our object is to combine §§1, 2 and 3 with earlier work of Millson [17] and Sullivan [23] to prove Theorems 0.1 and 0.2 formulated in the introduction. We start by recalling Sullivan’s result.

**Theorem 4.1** (Sullivan [23]). *Each lattice  $\Gamma$  in  $O^+(m, 1, \mathbb{R})$  contains a torsion-free subgroup of finite index  $\widehat{\Gamma}$  such that  $\mathbb{H}^m/\widehat{\Gamma}$  is a  $\pi$ -manifold.*

The following is a consequence of Theorem 4.1 and results from the previous sections.

**Theorem 4.2.** *Assume that  $M^m$  is closed and has positive first Betti number. Given  $\varepsilon > 0$  and an infinite order element  $y \in H_1(M^m, \mathbb{Z})$ , there exist a (connected) finite sheeted covering space  $p: \mathcal{M}^m \rightarrow M^m$  and*

a simple framed geodesic  $\alpha$  in  $\mathcal{M}^m$  with the following properties:

1. Some multiple of the homology class represented by  $\alpha$  maps to a nonzero multiple of  $y$  via  $p_*: H_1(\mathcal{M}^m, \mathbb{Z}) \rightarrow H_1(M^m, \mathbb{Z})$ .

2. There is no manifold diffeomorphic to  $N^m \# \Sigma^m$  in the concordance class  $\mathcal{M}(\alpha, x)$  provided  $N^m$  is a Riemannian locally symmetric space,  $\Sigma^m$  represents an element in  $\Theta_m$  and  $x$  is a nonzero element in  $\Theta_{m-1}$ .

3. Each concordance class  $\mathcal{M}(\alpha, x)$  contains a complete and finite volume Riemannian manifold whose sectional curvatures are all in the interval  $[-1 - \varepsilon, -1 + \varepsilon]$ .

*Proof.* Theorem 4.1 yields a (connected) finite sheeted covering space  $p_1: \mathcal{M}_1 \rightarrow M$  such that  $\mathcal{M}$  is a  $\pi$ -manifold for every covering space  $\mathcal{M}$  of  $\mathcal{M}_1$ . There is clearly an orthonormally framed  $t$ -simple closed geodesic  $\alpha_1$  in  $\mathcal{M}_1$  whose homology class  $[\alpha_1]$  satisfies the following two conditions:

- (4.2.1)
  1. Some integral multiple of  $p_{1*}[\alpha_1]$  is a nonzero multiple of  $y$ .
  2. There is a homomorphism  $\eta_1: \pi_1 \mathcal{M}_1 \rightarrow \mathbb{Z}_+$  with  $\eta_1([\alpha_1]) = 1$ .

Let  $l$  denote the length of  $\alpha_1$ , and  $A_{\alpha_1}: S^1 \rightarrow O(m-1)$  be the holonomy function associated to  $\alpha_1$  via formula (2.0.2). Let  $r$  be the positive real number posited in Theorem 2.1 relative to the given data  $\varepsilon, l, m$  and  $A(t) = A_{\alpha_1}(t)$ . Next apply Corollary 3.3, with  $M = \mathcal{M}_1$  and  $\gamma = \alpha_1$ , to get a covering space  $p_2: \mathcal{M} \rightarrow \mathcal{M}_1$  and an orthonormally framed simple closed geodesic  $\alpha$  in  $\mathcal{M}$  such that  $p_2 \circ \alpha = \alpha_1$  and  $\alpha_1$  is the core of a geometric tube of radius  $2r$ . We now set  $p = p_2 \circ p_1: \mathcal{M}^m \rightarrow M^m$ . Condition 1 of Theorem 4.2 is obviously satisfied. If we let  $\eta: \pi_1 \mathcal{M} \rightarrow \mathbb{Z}_+$  in Theorem 1.1 be the composite of  $\eta_1$  with  $p_{2\#}: \pi_1 \mathcal{M} \rightarrow \pi_1 \mathcal{M}_1$ , then condition 2 is an immediate consequence of Theorem 1.1 together with Corollary 1.5 and Proposition 1.6. Theorem 2.1 shows that condition 3 is satisfied since  $A_\alpha = A_{\alpha_1}$  and the length of  $\alpha$  is the same as the length of  $\alpha_1$ . This proves Theorem 4.2.

The pattern of the above proof yields the following weaker version when  $M^m$  is not compact.

**Addendum 4.3.** Assume that  $M^m$  is a  $\pi$ -manifold (not necessarily compact),  $\gamma$  is a  $t$ -simple framed closed geodesic in  $M$ , and  $\lambda: \pi_1 M^m \rightarrow \mathbb{Z}_+$  is a homomorphism such that

1.  $\lambda([\gamma]) = 1$  where  $[\gamma]$  denotes the free homotopy class of  $\gamma$  and
2.  $\lambda(\beta)$  is divisible by the order of  $\Theta_{m-1}$  for each cuspidal element  $\beta$  in  $\pi_1 M^m$ .

Given a positive real number  $\varepsilon$ , there exist a (connected) finite sheeted covering space  $p: \mathcal{M}^m \rightarrow M^m$  and a simple framed geodesic  $\alpha$  in  $\mathcal{M}^m$

such that the composite  $p \circ \alpha = \gamma$ , and conditions 2 and 3 of Theorem 4.2 are satisfied.

We next recall some examples due to Millson which we then use together with Theorem 4.2 and Addendum 4.3 to prove Theorem 0.2 and 0.1.

**Theorem 4.4** (Millson [17]). *For each integer  $n > 1$ , there exist two complete (connected) finite volume Riemannian manifolds  $K^n$  and  $N^n$  of dimension  $n$  which satisfy the following properties:*

1. All the sectional curvatures of both  $K^n$  and  $N^n$  are  $-1$ .
2. Both  $K^n$  and  $N^n$  have positive first Betti number.
3.  $K^n$  is compact.
4.  $N^n$  is not compact.
5.  $\pi_1 N^n$  is isomorphic to a finite index subgroup of  $SO^+(n, 1, \mathbb{Z})$ .

*Proof of Theorem 0.2.* When  $\Theta_m$  is nontrivial, this result follows from [9, Theorem 1.1] and Proposition 1.6. When  $\Theta_{m-1}$  is nontrivial, it follows from Theorem 4.2 by setting  $M^m$  (in Theorem 4.2) equal to the manifold  $K^m$  of Theorem 4.3.

*Proof of Theorem 0.1.* Define two sequences of positive integers  $a_n$  and  $b_n$  as follows. Let  $a_n$  be the order of the finite group  $\Theta_n$  and let  $b_n$  be the least common multiple of the orders of the holonomy groups of lattices in the Lie group of all rigid motions of Euclidean  $n$ -dimensional space. Bieberbach [1] showed that  $b_n$  exists and divides the order of the finite group  $GL_n(\mathbb{Z}_3)$  because of Minkowski's theorem [18]. Let  $N^m$  be the Millson manifold in Theorem 4.4. Because of Theorem 4.1, there is a finite sheeted (connected) covering space  $p: \mathcal{N}^m \rightarrow N^m$  such that every covering space of  $\mathcal{N}^m$  is a  $\pi$ -manifold. There is an epimorphism  $\varphi: \pi_1 \mathcal{N}^m \rightarrow \mathbb{Z}_+$  since  $N^m$  has positive first Betti number. Because of Lemma 3.4, there is a  $t$ -simple framed closed geodesic  $\omega$  in  $\mathcal{N}^m$  such that  $\varphi([\omega]) \neq 0$  where  $[\omega]$  denotes the fundamental group element corresponding to  $\omega$ . (Note that  $[\omega]$  is well defined up to conjugacy.) Let  $A \in SO^+(m, 1, \mathbb{Z})$  denote the semisimple matrix corresponding to  $[\omega]$  under an identification of  $\pi_1 \mathcal{N}^m$  with a subgroup of  $SO^+(m, 1, \mathbb{Z})$ . Let  $\psi: SO^+(m, 1, \mathbb{Z}) \rightarrow G$  be a homomorphism satisfying the conclusions of Proposition 3.5 relative to  $A$  and  $n = a_{m-1} b_{m-1}$ . Note that  $g^{b_{m-1}}$  is unipotent for every cuspidal element  $g \in \pi_1 \mathcal{N}^m$ . Hence conclusion 2 of Proposition 3.5 yields the following fact.

- (4.4.1) If  $\psi(B) = \psi(A)^s$  where  $B$  is a cuspidal element of  $\pi_1 \mathcal{N}^m$ , then  $a_{m-1}$  divides  $s$ .

Consider the homomorphism  $\varphi \times \psi: \pi_1 \mathcal{N}^m \rightarrow \mathbb{Z}_+ \times G$  and let  $\mathcal{E}$

denote the infinite cyclic subgroup of  $\mathbb{Z}_+ \times G$  generated by  $(\varphi \times \psi)(A) = (\varphi(A), \psi(A))$ . Let  $q: M^m \rightarrow \mathcal{N}^m$  be the covering space corresponding to  $(\varphi \times \psi)_\#^{-1}(\mathcal{E})$ , and  $\lambda: \pi_1 M^m \rightarrow \mathbb{Z}_+$  be the composite of  $q_\#$ ,  $\varphi \times \psi$  and the identification of  $\mathcal{E}$  with  $\mathbb{Z}_+$  determined by making  $(\varphi(A), \psi(A))$  correspond to  $1 \in \mathbb{Z}_+$ . Let  $\gamma$  be a lift of  $\omega$  to  $M^m$ . Since the conditions of Addendum 4.3 are clearly satisfied, the examples posited in Theorem 0.1 can now be drawn from the conclusions of Addendum 4.3.

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