# SECONDARY CHARACTERISTIC CLASSES FOR RIEMANNIAN FOLIATIONS

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## Introduction

Riemannian foliations are an interesting special class of smooth foliations which were introduced by Reinhart in 1956 (cf. [14]), and in recent years it has been of interest to specialize to Riemannian foliations the results of Bott, Haefliger, Thurston, et. al, from the rapidly developing theory of smooth foliations. The general theory of foliations and Haefliger structures developed by Haefliger [4] implies the existence of of a classifying space  $BR\Gamma_q$  for q-codimensional Riemannian Haefliger structures. A basic problem is to understand the topology of the classifying spaces and to find invariants which distinguish between Riemannian foliations which are not equivalent in some appropriate sense (homotopic, cobordant, etc.). In this paper we develop invariants for Riemannian foliations with framed normal bundle and as a consequence begin the study of the algebraic topology of  $\overline{BR\Gamma_q}$ , the classifying space for foliations of this type. The invariants are a specialization of the secondary characteristic classes of smooth foliations developed by Bott in [2]. Our theory is also a special case of the theory of characteristic classes for foliated bundles developed by Kamber and Tondeur [8].

In § 1, an abstract real cochain complex  $RW_q$  is constructed (analogous to  $W_q$  in [2] and  $W'_{q/2}$  in [10]) having the property that given a manifold admitting a smooth Riemannian foliation with framed normal bundle then there is a natural map from  $H^*(RW_q)$  into  $H^*(M; R)$ ; the image in  $H^*(M; R)$  is the set of secondary characteristic classes for the given foliation. A coset foliation of a compact Lie group yields a Riemannian foliations are given which have nonzero secondary characteristic classes. Moreover, as in [2], one has a map  $\delta_q^*: H^*(RW_q) \to H^*(\overline{BR\Gamma_q}; R)$  and the examples given show that  $\delta_2^{(3)}, \delta_3^{(3)}, \delta_4^{(7)}, \delta_4^{(10)}$  are nonzero.

The secondary classes depend upon the choice of framing of the normal bundle and, in § 4, the precise dependence is given by a formula involving the transgression map  $\tau: H^*(BSO_q; R) \to H^*(SO_q; R)$ .

In § 5, the behavior of the secondary characteristic classes with repsect to continuous deformations of Riemannian foliation is considered and, inparticular, following Heitsch [5] it is shown that the classes which are rigid are generated

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in cohomology dimensions greater than q + 1. It is also shown that the classes do vary continuously in some examples in dimension q for q odd and q + 1for q even. As in [2] the examples show that  $\pi_3(\overline{BR\Gamma_2})$  and  $\pi_3(\overline{BR\Gamma_3})$  are uncountable groups. It is also shown in § 5 that in cohomology dimension greater than q the secondary classes are smooth foliation invariants for Riemannian foliations with framed normal bundle independent of the particular Riemannian structure.

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## 1. The cochain complex $RW_{q}$

In this section we construct the cochain complex  $RW_q$  and a natural map from the cohomology of  $RW_q$  into the de Rham cohomology of a manifold on which is defined a smooth Riemannian foliation with trivial bundle.

1.1. Riemannian foliations. We will begin with a brief discussion of Riemannian foliations (compare [14], [12]). Suppose that  $\mathscr{F}$  is a smooth foliation of a manifold M, and g is a Riemannian metric on the normal bundle  $\nu(\mathscr{F})$ . The pair  $(\mathscr{F}, g)$ , denoted  $\mathscr{F}_g$ , is a Riemannian foliation if g is preserved by the natural parallelism of  $\nu(\mathscr{F})$  along the leaves of  $\mathscr{F}$  (the metric g is called a "preserved" metric). Let  $q = \operatorname{codim}(\mathscr{F})$ . If U is an open set in M, and  $f: U \to R^q$  is a submersion whose fibres are the local leaves of  $\mathscr{F}$ , then there is a unique Riemannian metric  $\langle , \rangle$  on  $R^q$  so that  $f^{-1}(\langle , \rangle) = g | U$  where one recalls that  $f^{-1}(T(R^q)) \cong \nu(\mathscr{F}) | U$ . Furthermore there is a unique metric preserving connection  $\mathcal{F}_g$  on  $\nu(\mathscr{F}_g)$  defined by

(1.1) 
$$\nabla_{g} | U = f^{-1}(\nabla_{\langle,\rangle}) ,$$

where  $V_{\langle,\rangle}$  is the unique torsion free connection on the Riemannian manifold  $(R^q, \langle, \rangle)$ .

**Example 1.1.** A basic example of a Riemannian foliation is as follows. Suppose  $(M, \langle , \rangle)$  is a Riemannian manifold and a Lie group acts by isometries with all the orbits of the same dimension. Then M is foliated by these orbits, and the induced Riemannian metric on the normal vectors to the orbits yields a Riemannian foliation.

**1.2.** The differential forms  $\Delta_P(\mathcal{V}_g, D_g)$ . For a Lie group G denote by  $I^*(G)$  the graded ring of multilinear, symmetric, ad (G)-invariant real valued functions on the Lie algebra of G. In this paper G will be either  $GL_q$  or  $SO_q$ .

Suppose that  $D_1$  and  $D_2$  are smooth connections on a *q*-dimensional vector bundle *V* over *M*. For each  $P \in I^{(r)}(GL_q)$  we recall, following [2], the definition of the differential (2r - 1)-form  $\Delta_P(D_1, D_2)$  on *M*. Consider the projection  $\Pi: M \times [0, 1] \to M$  and define a connection  $\mathcal{D}$  on  $\Pi^{-1}(V)$  by

$$(1.2) \qquad \qquad \mathscr{D}=tD_1+(1-t)D_2.$$

The definition of  $\Delta_P(D_1, D_2)$  is

where  $K(\mathcal{D})$  is the curvature of  $\mathcal{D}$ , and  $\Pi_*$  is "integration over the fibre" of  $\Pi$ . The essential property of  $\Delta_P(D_1, D_2)$  is

(1.4) 
$$d\Delta_P(D_1, D_2) = P(K(D_1), \dots, K(D_1)) - P(K(D_2), \dots, K(D_2))$$

where again and in the sequel  $K(\cdot)$  denotes the curvature of the connection.

Now, let  $\mathscr{F}_g$  be a smooth Riemannian foliation of codimension q on a manifold M. Suppose that the normal bundle  $\nu(\mathscr{F})$  is trivial, and  $\mathscr{S} = \{s_1, s_2, \dots, s_q\}$  is a given framing. Let  $D_{\mathscr{S}}$  be the connection on  $\nu(\mathscr{F})$  which is flat with respect to  $\mathscr{S}$ , that is,  $D_{\mathscr{S}}s_i = 0$  for  $i = 1, 2, \dots, q$ . Since  $K(D_{\mathscr{S}}) \equiv 0$  on M, (1.4) yields

(1.5) 
$$d\varDelta_P(\nabla_g, D_g) = P(K(\nabla_g), \cdots, K(\nabla_g)) .$$

Furthermore since  $\nabla_g$  is locally pulled back from  $R^q$ ,

$$(1.6) d\Delta_P(\overline{V}_g, D_g) = 0$$

in case  $r > \lfloor \frac{1}{2}q \rfloor$ . Finally, observe that if  $\mathscr{S}$  is orthonormal, then the curvature matrices  $K(\mathscr{D})$  and  $K(\nabla)$  are skew symmetric with respect to orthonormal framings, and the above formulas hold for  $P \in I^*(SO_q)$ .

**Remark 1.7.** The forms  $\Delta_P(\nabla_g, D_g)$  are related to the Chern-Simons *TP* forms [3] as follows: If *E* is the principal bundle of  $\nu(\mathcal{F}_g)$  with connection  $\nabla_g$ , and  $\sigma: M \to E$  is the global section defined by the framing  $\mathcal{S}$ , then

$$\Delta_P(\nabla_g, D_g) = \sigma^*(TP(\nabla))$$
.

**1.3.** The cochain complex  $RW_q$ . In defining  $RW_q$  we distinguish the cases: q even and q odd.

*Case* 1: q even. It is well known [8] that in this case  $I^*(SO_q)$  is generated as a ring by homogeneous polynomials  $c_1, c_2, c_4, \dots, c_{q-2}$  where degree  $(c_j) = j$ , degree  $(c_1) = \frac{1}{2}q$  and

(1.8)  
(i) 
$$c_j(\underbrace{A, \cdots, A}_{j}) = \operatorname{trace} (\Lambda^j(A))$$
,  
(ii)  $(c_i(\underbrace{A, \cdots, A}_{\frac{1}{2^q}}))^2 = \det(A)$ ,

A being a skew symmetric matrix, that is, an element of the Lie algebra  $so_a$ , and  $\Lambda^j(A)$  being the *j*-th exterior power. Recall that  $(2\pi)^{-\frac{1}{2}q}c_r$  corresponds

under the Weil homomorphism to the Euler class.

As in [2] the algebra  $RW_q$  is defined as a tensor product of a polynomial algebra with an exterior algebra.

**Definition 1.9.** 

$$RW_q = R[c_1, c_2, \cdots, c_{q-2}]/\{P | \deg P > \frac{1}{2}q\} \otimes \Lambda(h_1, h_2, \cdots, h_{q-2}),$$

where  $R[c_1, c_2, \dots, c_{q-2}]$  is the real polynomial algebra, and  $\Lambda(h_1, h_2, \dots, h_{q-2})$ is the real exterior algebra on the indicated indeterminants. In grading  $RW_{a}$ we let

(i)  $\dim(c_i) = 2j$  for  $j = 2, 4, \dots, q-2$ , (ii)  $\dim(c_{\chi}) = q$ , (1.10)(iii) dim  $(h_j) = 2j - 1$  for  $j = 2, 4, \dots, q - 2$ , (iv)  $\dim(h_x) = q - 1$ .

The differential  $d: RW_q \rightarrow RW_q$  is the anti-derivation of degree 1 satisfying

(i) 
$$dc_j = 0$$
 for  $j = \chi, 2, 4, \dots, q - 2$ ,  
(1.11) (ii)  $dh_j = c_j$  for  $j = \chi, 2, 4, \dots, \frac{1}{2}q$ ,  
(iii)  $dh_j = 0$  for  $j > \frac{1}{2}q$ .

Case 2: q odd. In this case  $I^*(SO_q)$  is generated by homogeneous polynomials  $c_2, c_4, \dots, c_{q-1}$  where  $c_j$  satisfies (1.8) (i). Now as in Definition 1.9, we have

## **Definition 1.12.**

$$RW_q = R[c_2, c_4, \cdots, c_{q-1}] / \{P | \deg P > \frac{1}{2}q\} \otimes \Lambda(h_2, h_4, \cdots, h_{q-1})$$

The grading here on  $RW_q$  is as in (1.10), and the differential is as in (1.11).

Suppose that  $\mathcal{F}_{g}$  is a smooth Riemannian foliation on a manifold M, and  $\mathscr{S}$  is an orthonormal framing of  $\nu(\mathscr{F}_g)$ . Let  $A^*(M)$  be the de Rham complex of smooth differential forms on M. Comparing (1.5) with (1.11) (ii) and (1.6) with (1.11) (iii) we can define, as in [3] and [10], a map of differential complexes  $\delta_{\mathcal{F},\mathcal{G}}$ :  $RW_q \to A^*(M)$  by **Definition 1.13.** 

(i)  $\delta_{\mathcal{F}_g,\mathcal{G}}(c_j) = c_j(K(\mathcal{V}_g), \dots, K(\mathcal{V}_g))$  for  $j = 2, 4, \dots, q-2$  or  $\chi$  for q even,

(ii)  $\delta_{\mathcal{F}_{g,\mathcal{I}}}(h_j) = \Delta_{c_j}(V_g, D_{\mathcal{I}})$  for  $j = 2, 4, \dots, q-2$ , or  $\chi$  in case  $q_{j}$  is even, and for  $j = 2, 4, \dots, q - 1$  in case q is odd.

This map passes to a map in cohomology

(1.14) 
$$\delta^*_{\mathscr{F}_{\varphi},\mathscr{G}} \colon H^*(RW_q) \to H^*_{\mathrm{de Rham}}(M) \ .$$

We will call elements of the image of  $\delta_{\mathcal{F}_{g},\mathcal{S}}^*$  secondary characteristic classes of the foliation  $(\mathcal{F}_g, \mathcal{S})$  and refer to  $H^*(RW_q)$  as the algebra of universal secondary characteristic classes.

**Remark 1.15.** If  $\mathscr{S}$  is not orthonormal, then  $\delta_{\mathscr{F},\mathscr{S}}$  can be defined exactly as in Definition 1.13 for those elements of  $RW_q$  not involving  $c_x$  or  $h_x$ .

In §2 we will give examples of Riemannian foliations for which the map  $\delta^*_{\mathscr{F}_{g},\mathscr{I}}$  is nontrivial. In §4 we discuss the dependence of  $\delta^*_{\mathscr{F}_{g},\mathscr{I}}$  on the framing  $\mathscr{S}$ , and in §5 we discuss the dependence of  $\delta^*_{\mathscr{F}_{g},\mathscr{I}}$  on the metric g and the behavior of  $\delta^*_{\mathscr{F}_{g},\mathscr{I}}$  with respect to continuous deformations.

**Remark 1.16.** Continuing Remark 1.7 it should be observed :

(i) For  $j > \frac{1}{2}q$ ,  $Tc_j(V)$  defines a de Rham cohomology class, and

$$\delta^*_{\mathscr{F}_{\sigma},\mathscr{G}}(\{h_j\}) = \sigma^*\{Tc_j(\mathcal{V})\} \; ,$$

where here and in the sequel  $\{\cdot\}$  denotes cohomology class.

(ii) If  $\gamma \in H^*(RW_q)$  is represented by a monomial containing only a single  $h_i$ , specifically

$$\gamma = \{c_{i_1}c_{i_2}\cdots c_{i_p}h_j\}$$

where per force dim  $(c_{i_1}c_{i_2}\cdots c_{i_p})$  + dim  $(c_j) > q$ , then

$$\delta^*_{\mathscr{F}_{\sigma},\mathscr{G}}(\gamma) = \sigma^* \{ Tc_{i_1}c_{i_2} \cdots c_{i_p}c_j(\mathcal{V}) \}$$

(See [3] where Proposition 3.7 states that  $P(K(V)) \wedge TQ(V) = TPQ(V) + exact.$ )

#### 2. Examples

In this section examples of Riemannian foliations are given for which certain secondary characteristic classes are not zero.

Most of the examples in this paper are of the type described in Example 1.1. We now give a useful explicit formula for the unique Riemannian connection  $\nabla_g$  on  $\nu(\mathscr{F}_g)$  for a Riemannian foliation generated by an isometric Lie group action on a Riemannian manifold  $(M, \langle , \rangle)$  as in Example 1.1. Let T be the tangent bundle of M, and E the subbundle of tangents to the orbits,  $E^{\perp}$  the orthogonal complement of E, and let  $\Pi_1: T \to E$  and  $\Pi_2: T \to E^{\perp}$  be the orthogonal projections. Let  $D_{\langle , \rangle}$  be the unique torsion free Riemannian connection on  $(M, \langle , \rangle)$ . Identify  $E^{\perp}$  with  $\nu(\mathscr{F}_g)$ ; then for a vector field Y, a cross section of  $E^{\perp}$  viewed as a cross section of  $\nu(\mathscr{F}_g)$ , and an arbitrary vector field X we have [10],

(2.1) 
$$(\nabla_{\mathbf{g}})_{\mathcal{X}} Y = \prod_{\mathcal{L}} ([\Pi_1 X, Y] + (D_{\langle , \rangle})_{\Pi_2 \mathcal{X}} Y) .$$

Moreover, here and in the sequel we have the following fairly standard point

of view towards connection forms. In general, if D is a connection on a vector bundle V, and  $\mathscr{S} = \{s_1, s_2, \dots, s_q\}$  is a (local) framing for V, then the connection matrix  $\|\mathscr{O}_{ij}\|$  of D with respect to  $\mathscr{S}$  is given by

$$(2.2) Ds_i = \sum_{j=1}^q \mathcal{O}_{ij} \otimes s_j .$$

Finally, we recall [7] that if  $\langle , \rangle$  is a bi-invariant metrix on a Lie group G, then for left invariant vector fields X, Y the unique Riemannian connection  $D_{\langle,\rangle}$  satisfies

$$(2.3) (D_{\langle,\rangle})_{\mathbb{X}}Y = \frac{1}{2}[X,Y] .$$

**Example 2.1.** Let  $M = S^3$  viewed as the Lie group SU(2) of special unitary  $2 \times 2$  matrices, and let X,  $Y_1$ ,  $Y_2$  be the left invariant vector fields on SU(2) represented by the Lie algebra elements

$$\begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

respectively. Let  $\langle, \rangle$  be the unique bi-invariant metric on SU(2) for which  $\{X, Y_1, Y_2\}$  is an orthonormal framing. Consider the free isometric action of  $S^1$  on  $(SU(2), \langle, \rangle)$  given by

(2.4) 
$$\sigma \to \sigma \cdot \begin{pmatrix} e^{it} & 0 \\ 0 & e^{-it} \end{pmatrix}$$
 for  $\sigma \in SU(2)$ ,  $0 \le t \le 2\pi$ .

Compare with Example 1.1, and let  $\mathscr{F}_g$  be the induced Riemannian foliation of SU(2). The leaves of  $\mathscr{F}_g$  are the integral curves of X, and let  $\mathscr{S} = \{y_1, y_2\}$  be the framing of  $\nu(\mathscr{F}_g)$  induced by the framing  $\{Y_1, Y_2\}$  of the bundle of normal vectors to the leaves. It is clear that  $\mathscr{S}$  is an orthonormal framing.

**Proposition 2.1.** For the element  $\{\chi h_{\chi}\} \in H^{3}(RW_{2})$ 

$$\delta^*_{\mathcal{F}_{\sigma},\mathscr{G}}(\{\chi h\chi\}) \neq 0.$$

In fact, if  $\{\alpha, \beta_1, \beta_2\}$  are the dual left invariant 1-forms to  $\{X, Y_1, Y_2\}$  then

$$\delta^*_{\mathscr{F}_{\sigma},\mathscr{S}}(\{\chi h_{\chi}\}) = 8\{\beta_2\beta_1\alpha\}$$

*Proof.* By (2.1), ..., (2.3) the connection matrix of  $V_g$  with respect to  $\mathscr{S}$  is

(2.5) 
$$\begin{pmatrix} 0 & 2\alpha \\ -2\alpha & 0 \end{pmatrix},$$

and the matrix of  $\mathcal{D}$  as in (1.2) is

(2.6) 
$$\begin{pmatrix} 0 & 2t\alpha \\ -2t\alpha & 0 \end{pmatrix}.$$

Using the formula for the curvature  $K: K = d\mathcal{O} - \mathcal{O} \wedge \mathcal{O}$ , we have

(2.7) 
$$K(\overrightarrow{\nu}) = \begin{pmatrix} 0 & 4\beta_2\beta_1 \\ -4\beta_2\beta_1 & 0 \end{pmatrix},$$

(2.8) 
$$K(\mathscr{D}) = \begin{pmatrix} 0 & (4t\beta_2\beta_1 + 2dt\alpha) \\ -(4t\beta_2\beta_1 + 2dt\alpha) & 0 \end{pmatrix}.$$

Finally, the polynomial  $c_x \in I^*(SO(2))$  is given by

(2.9) 
$$c_z \begin{pmatrix} 0 & a \\ -a & 0 \end{pmatrix} = a .$$

Comparing  $(2.7), \dots, (2.9)$  with Definition 1.13 yields

$$\delta_{\mathscr{F}_{\mathbf{g}},\mathscr{G}}(\chi h_{\chi}) = 8\beta_2\beta_1\alpha \ . \qquad q.e.d.$$

The next example is due to Chern-Simons [3].

**Example 2.2.** Let  $(S^3, \langle, \rangle)$  be a Riemannian manifold as in Example 1.1, and  $\mathscr{F}$  the 3-codimensional foliation of  $S^3$  by points. Clearly  $\mathscr{F}_{\langle,\rangle}$  is a Riemannian foliation,  $\nu(\mathscr{F}) = T(S^3)$ , and  $V_{\langle,\rangle} = D_{\langle,\rangle}$  where  $D_{\langle,\rangle}$  is given by (2.3). Let  $\mathscr{S} = \{X, Y_1, Y_2\}$  be an orthonormal framing of  $\nu(\mathscr{F}_{\langle,\rangle})$ .

**Proposition 2.2,** [3]. For the element  $\{h_2\} \in H^3(RW_3)$ 

$$\delta^*_{\mathscr{F}_{\langle,\,\,
angle},\,\,arsigma\,}(\{h_2\})
eq 0$$
 .

In fact

$$\delta_{\mathscr{F}_{\langle,\rangle},\mathscr{S}}(h_2) = 4\beta_2\beta_1lpha \; .$$

In comparing the above with [3], note that  $\delta_{\mathcal{F},\mathcal{G}}(h_2)$  is a Chern-Simons *TP* form as described in Remark 1.18 (i).

**Example 2.3.** Let M = SO(5). For  $1 \le j \le i \le 5$ , let  $Y_{ij}$  be the left invariant vector field on SO(5) represented in the Lie algebra by the skew-symmetric matrix with +1 in the *i*-th row and *j*-th column, -1 in the *j*-th row and *i*-th column, and 0 elsewhere. Let  $\langle , \rangle$  be the bi-invariant metric on SO(5) for which  $\{Y_{ij}\}$  is an orthonormal framing. Consider the isometric action of SO(4) on SO(5) given by

(2.10) 
$$\sigma \to \sigma \cdot \left(\frac{A}{0} \middle| \frac{0}{1}\right)$$

for  $A \in SO(4)$ .

As in Examples 1.1 and 2.1, let  $\mathscr{F}_g$  be the induced Riemannian foliation of SO(5), and let  $\mathscr{S} = \{y_1, y_2, y_3, y_4\}$  be the orthonormal framing of  $\nu(\mathscr{F}_g)$  induced by the framing  $\{Y_{51}, Y_{52}, Y_{53}, Y_{54}\}$  of the bundle of normal vectors to the orbits.

**Proposition 2.3.** Consider the element  $\{\chi h_{\chi} h_{2}\} \in H^{10}(RW_{4})$ . For the above foliation

$$\delta^*_{\mathscr{F}_{\sigma},\mathscr{G}}(\{\chi h_{\chi}h_{2}\})\neq 0.$$

In fact, if  $\{\alpha_{ij} | 1 \le j \le i \le 5\}$  is the dual basis to  $\{Y_{ij} | 1 \le j \le i \le 5\}$ , then

$$\delta_{\mathcal{F}_{\mathcal{F}},\mathcal{G}}(\{\chi h_{1}h_{2}\}) = 6\alpha_{54}\alpha_{53}\alpha_{52}\alpha_{51}\alpha_{21}\alpha_{31}\alpha_{41}\alpha_{32}\alpha_{42}\alpha_{43}$$

The proof of the proposition is by a direct computation similar to (though more complicated than) the proof of Proposition 2.1. In doing the computation one finds that  $\delta_{\mathcal{F}_{g},\mathcal{G}}(h_2)$  is closed, and thus  $\delta^*_{\mathcal{F}_{g},\mathcal{G}}(\{\chi h_{\chi}\}) \neq 0$ .

**Remark 2.4.** Examples 2.1, 2.2, 2.3 are of the following type: Suppose  $(N, \langle , \rangle)$  is a Riemannian manifold, and  $f: M \to N$  is a submersion. Then the fibres of f foliate M and  $f^{-1}(\langle , \rangle)$  is a preserved metric on the normal bundle. The reader should compare Corollary 3.3 in the next section.

**Remark 2.5.** The computations in Example 2.1, 2.2, 2.3 are entirely Lie algebra computations, and in fact using (2.3) it is clear that given a Lie subalgebra  $\mathfrak{F}$  of a compact Lie algebra  $\mathfrak{F}$  one has a map from  $H^*(RW_q)$  into the Lie algebra cohomology of  $\mathfrak{G}$  where  $q = \dim \mathfrak{G} - \dim h$ .

## 3.1. Basic properties of the secondary characteristic classes

In order to state the naturality property of the secondary characteristic classes for Riemannian foliations with trivial normal bundle, we first observe that these secondary classes are in fact defined more generally for smooth Riemannian Haefliger structures with trivial normal bundle. A Riemannian Haefliger structure is called an  $\overline{R\Gamma_q}$ -structure, and it is called an  $R\Gamma_q$ -structure if the normal bundle is trivial.

For a precise definition of  $R\Gamma_q$ -structures see [4] or [13]. Suffice it to recall that if  $\mathscr{H}$  is an  $R\Gamma_q$ -structure on a manifold M, then associated to the normal bundle  $\nu(\mathscr{H})$  are a unique Riemannian metric g and a unique Riemannian connection  $\nabla$ . Each point  $m \in M$  is contained in an open set U for which there are

(3.1) (i) a smooth map  $f: U \to R^q$ , (3.1) (ii) a Diamonphin matrix ( ) and

(ii) a Riemannian metric  $\langle , \rangle$  on  $R^q$ 

so that

(i) 
$$f^{-1}(T(\mathbb{R}^q)) = \nu(\mathscr{H}) | U \text{ and } f^{-1}(\langle, \rangle) = g,$$

(3.2) (ii) 
$$f^{-1}(D_{\langle,\rangle}) = V | U$$
 where  $D_{\langle,\rangle}$  is the unique Riemannian connection on  $(R^q, \langle,\rangle)$ .

Note that a Riemannian foliation defines an  $R\Gamma_q$ -structure, and we will henceforth use synonomously the expression  $R\Gamma_q$ -foliation and Riemannian foliation.

With the above understood, if  $\mathscr{H}$  is an  $\overline{R\Gamma_q}$ -structure on M, and  $\mathscr{S}$  is a trivialization of  $\nu(\mathscr{H})$ , then as in Definition 1.13 we can define a map of graded differential complexes

(3.3) 
$$\delta_{\mathscr{H},\mathscr{G}}\colon RW_q \to A^*(M) \; .$$

Furthermore, if  $\mathscr{H}$  associated to the  $\overline{R\Gamma_q}$ -foliation  $\mathscr{F}_g$  then  $\delta_{\mathscr{F},\mathscr{G}} = \delta_{\mathscr{F}_g,\mathscr{G}}$ .

Finally recall that  $R\Gamma_q$ -structures pull back with respect to smooth maps, [4]. If  $\mathscr{H}$  is a smooth  $R\Gamma_q$ -structure on M, and  $\varphi: N \to M$  is a smooth map of manifold, then  $\varphi^{-1}(\mathscr{H})$  denotes the pull back of M to N.

**Theorem 3.1** (Naturality). Let  $\mathscr{H}$  be an  $\overline{R\Gamma_q}$ -structure on M, and  $\mathscr{S}$  an orthonormal trivialization of  $\nu(\mathscr{H})$ . If  $\varphi: N \to M$  is a smooth map of manifolds, then

$$\delta_{\varphi^{-1}(\mathscr{H}),\varphi^{-1}(\mathscr{G})} = \varphi^* \circ \delta_{\mathscr{H},\mathscr{G}} \; .$$

*Proof.* The normal bundle  $\nu(\varphi^{-1}(\mathcal{H})) = \varphi^{-1}(\nu(\mathcal{H}))$  and the unique Riemannian connection on  $\nu(\varphi^{-1}(\mathcal{H}))$  is  $\varphi^{-1}(\nabla)$  where  $\nabla$  is the unique Riemannian connection on  $\nu(\mathcal{H})$ . With respect to the framings  $\mathcal{S}$  and  $\varphi^{-1}(\mathcal{S})$  we have

(3.4) 
$$K(\varphi^{-1}(\overrightarrow{\nu}) = \varphi^*(K(\overrightarrow{\nu})) .$$

Furthermore, for  $P \in I^*(SO_q)$ 

Comparing (3.4), (3.5) with Definition 1.13 completes the proof. q.e.d.

Suppose  $(\mathcal{H}_0, \mathcal{G}_0)$  and  $(\mathcal{H}_1, \mathcal{G}_1)$  are smooth  $\overline{R\Gamma_q}$ -structures on M with  $\mathcal{G}_i$  a framing of  $\nu(\mathcal{H}_i)$  for i = 0, 1. These two  $\overline{R\Gamma_q}$ -structures are said to be smoothly homotopic if there exist a smooth  $(\mathcal{H}, \mathcal{G})$  on  $M \times [0, 1]$  which satisfies

(3.6) 
$$i^*(\mathscr{H}, \mathscr{S}) = (\mathscr{H}_t, \mathscr{S}_t) \quad \text{for } t = 0, 1$$
,

where  $i_t: M \to M \times [0, 1]$  is given by  $i_t(m) = (m, t)$ .

**Corollary 3.2** (Homotopy invariance). If  $(\mathcal{H}_0, \mathcal{G}_0)$  and  $(\mathcal{H}_1, \mathcal{G}_1)$  are

smoothly homotopic  $\overline{R\Gamma_q}$ -structures on M, then

$$\delta^*_{\mathscr{K}_0,\mathscr{G}_0} = \delta^*_{\mathscr{K}_1,\mathscr{G}_1}$$

*Proof.* Letting  $\mathscr{H}$  be as in (3.6). Then by Theorem 3.1

$$\delta^*_{\mathscr{H}_t,\mathscr{G}_t} = i^*_t \circ \delta_{\mathscr{H},\mathscr{G}} \quad \text{for } t = 0, 1 .$$

In cohomology  $i_0^* = i_1^*$ .

**Corollary 3.3.** Suppose  $(B, \langle, \rangle)$  is a q-dimensional parallelizible Riemannian manifold with  $\mathscr{S}$  a framing for the tangent bundle of B. Let  $\mathscr{F}_{\langle, \rangle}$  be the  $\overline{R\Gamma}_q$ -foliation of B by points. If  $\varphi: M \to B$  is a smooth map, then  $\delta_{\varphi^{-1}(\mathscr{F}_{\langle, \rangle}), \varphi^{-1}(\mathscr{F})} \colon H^{(r)}(RW_q) \to H^{(r)}(M)$  is the zero map for r > q.

**Remark 3.4.** Examples 2.1 and 2.3 show that if *B* is not parallelizible, then  $\delta_{\varphi^{-1}(\mathscr{F}_{\langle, \rangle}), \varphi^{-1}(\varphi)}$  may be nonzero for a framing of  $\nu(\varphi^{-1}(\mathscr{F}_{\langle, \rangle}))$ .

If  $\varphi$  is a submersion Corollary 3.3 is a special case of the following theorem which gives an idea of what is being measured by the secondary characteristic classes.

**Theorem 3.5.** Suppose  $\mathscr{F}_g$  is an  $\overline{R\Gamma_q}$ -foliation, and  $\mathscr{S} = \{s_1, \dots, s_q\}$  is an orthonormal framing of  $\nu(\mathscr{F}_g)$ . If for every X tangent to the leaves of

$$\nabla_{\mathcal{X}} s_i = 0 \quad \text{for } i = 1, \cdots, q,$$

then

$$\delta_{\mathscr{F}_{\sigma},\mathscr{G}}^{(r)} = 0 \quad \text{for } r > q \; .$$

*Proof.* We will show that if  $\alpha \in RW_q$  and dim  $(\alpha) > q$ , then  $\delta_{\mathscr{F}_g,\mathscr{S}}(\alpha) \equiv 0$ on M. If U is an open subset of M and  $f: U \to R^q$  is a submersion whose fibres are the local leaves of  $\mathscr{F}$ , then the hypothesis implies that there exists a framing S of  $R^q$  so that  $f^{-1}(S) = \mathscr{S} | U$  and it follows that the flat connection D as in § 1.2 satisfies  $D_{\mathscr{F}} | U = f^{-1}(D_s)$  where  $D_s$  is the flat connection on  $R^q$  associated to S. From § 1.1 the unique Riemannian connection  $\nabla_g$  is, over U, pulled back from  $R^q$ , and thus  $\mathscr{D}$  of (1.2), defining  $\Delta_P(\nabla_g, D)$ , is, over  $U \times$ [0, 1], pulled back from  $R^q$ . In purticular,  $K(\mathscr{D}) | U \times [0, 1]$  and  $K(\nabla_g) | U$  are pulled back from  $R^q$ , and it follows from Definition 1.13 that  $\delta_{\mathscr{F}_g,\mathscr{G}}(\alpha) | U \equiv 0$ . Since M is covered by open sets U of the above type, the theorem is proved.

## **3.2.** The classifying space $\overline{BR\Gamma_a}$

There exist a classifying space  $BR\Gamma_q$  for  $R\Gamma_q$ -structures and a map  $v_q: BR\Gamma_q \to BO_q$  classifying the normal bundle of the universal  $R\Gamma_q$ -structure (see [4], [12]). Let  $\overline{BR\Gamma_q}$  be the homotopy theoretic fibre of the map  $v_q$ . The space  $\overline{BR\Gamma_q}$  classifies  $\overline{R\Gamma_q}$ -structure with a framing of the normal bundle. As

in [2] we can use the naturality given by Theorem 3.1 to define a canonical homomorphism

$$\delta_q^*: H^*(RW_q) \to H^*(\overline{BR\Gamma_q}; R)$$
.

Examples 2.1, 2.2, 2.3 show that  $\delta_q^*$  is not zero on certain elements of  $H^*(RW_q)$ . Conjecture.  $\delta_q^*$  is an injection for all q.

## 4. Dependence on the trivialization of the normal bundle

In order to describe the dependence of the map  $\delta^*_{\mathcal{X},\mathcal{S}}$  on the framing  $\mathcal{S}$  we need to recall the transgression map  $\tau$ ,

(4.1) 
$$\tau: H^r(BSO_a; \Lambda) \to H^{r-1}(SO_a; \Lambda)$$

where  $r \ge 1$ , and  $\Lambda$  will be a coefficient ring which for our purpose will be either the integers or the reals. The map  $\tau$  is a homomorphism of the additive structure but *not* a ring homomorphism;  $\tau$  maps primitive elements to primitive elements and maps products to zero. For a definition and basic properties of  $\tau$  see [6] or [1].

A polynomial  $P \in I^r(SO_q)$  can be viewed by the Weil homomorphism ([9] or [2]) as an element of  $H^{2r}(BSO_q; R)$ . From [6] we have an explicit formula for a differential form on  $SO_q$ , denote  $\tau P$ , which represents  $\tau P$  in the de Rham cohomology:

(4.2) 
$$\check{\tau P} = \left(-\frac{1}{2}\right)^{r-1} \frac{r!(r-1)!}{(2r-1)!} P(w, [\underbrace{w, w], \cdots, [w, w]}_{r-1}),$$

where w is the Maurer-Cartan form on  $SO_q$ .

Before giving the main theorem of this section we state without proof a proposition which follows from the work of J. Vey (cf. [6]).

**Proposition 4.1.** The cohomology algebra  $H^*(RW_q)$  is generated by the set of elements  $\gamma$  of one of the following forms:

(i)  $\gamma = \{h_j\}$ , where j is even, and  $\frac{1}{2}q < j < q$ .

(ii)  $\gamma = \{c_{i_1} \cdots c_{i_n} h_{j_1} \cdots h_{j_n}\}$  where

(a) the i's are either even integers  $\leq \frac{1}{2}q$  or possibly  $\chi$  in case q is even and  $I = \dim (c_{i_1} \cdots c_{i_p}) \leq q$ ,

(b) the j's are distinct even integers  $\leq \frac{1}{2}q$  or possibly  $\chi$  in case q is even,

(c) letting  $j_0 = \min \{ \dim (h_{jk}) | l = 1, \dots, l \}, l + 2j_0 > q.$ 

(If I > q, then  $\gamma$  is prima facie zero;  $I + 2j_0 > q$  is the cocycle condition.)

**Theorem 4.2.** Let  $\mathscr{H}$  be an  $\overline{R\Gamma_q}$ -structure on a manifold M, and let  $\mathscr{S} = \{s_1, \dots, s_q\}$  and  $\mathscr{S}' = \{s'_1, \dots, s'_q\}$  be coherently oriented orthonormal trivializations of  $\nu(\mathscr{H})$ . Define  $\varphi: M \to SO_q$  by

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$$s'_i = \sum_{j=1}^q arphi_{ij} s_j$$
 for  $i = 1, \cdots, q$ .

Then

(4.3) (i) 
$$\delta_{\boldsymbol{x},\boldsymbol{\varphi}}^*(\{h_j\}) - \delta_{\boldsymbol{x},\boldsymbol{\varphi}'}^*(\{h_j\}) = \boldsymbol{\varphi}^*(\tau c_j)$$
 for  $j$  even and  $\frac{1}{2}q < j < q$ ,  
(ii) for  $\gamma$  of form 2 in Proposition 4.1

(4.4)  
$$\delta_{\boldsymbol{x},\boldsymbol{\varphi}'}^{*}(\boldsymbol{\gamma}) - \delta_{\boldsymbol{x},\boldsymbol{\varphi}'}^{*}(\boldsymbol{\gamma}) = \sum_{k=1}^{l} (-1)^{k-1} \varphi^{*}(\tau c_{j_{k}})$$
$$\cdot \left\{ \delta_{\boldsymbol{x},\boldsymbol{\varphi}'}(c_{i_{1}} \cdots c_{i_{p}}) \prod_{\substack{1 \leq k' \leq l \\ k' \neq k}} (\delta_{\boldsymbol{x},\boldsymbol{\varphi}'}(h_{j_{k}\overline{\boldsymbol{\gamma}}}) + \varphi^{*}(\tau c_{j_{k}'})) \right\} \,.$$

(In case  $q \equiv 2 \pmod{4}$  replace  $\tau c_x$  by  $-\tau c_x$  in the above.)

**Note.** In understanding (4.4) it is important to note that  $\delta_{\mathbf{x}_k \mathbf{x}'}(h_{j_k'})$  is not in general closed  $(j_{k'} \leq \frac{1}{2}q)$ . However  $\delta_{\mathbf{x},\mathbf{x}'}(c_{i_1} \cdots c_{i_p})$  multiplied by any number of terms  $\delta_{\mathbf{x},\mathbf{x}'}(h_{j_{k'}})$  is in general closed and the right hand side of (4.4) can be expanded and shown to depend only on the map  $\delta_{\mathbf{x},\mathbf{x}'}^*$  and  $\varphi^*$ .

**Corollary 4.3.** If  $\gamma$  of the above forms contains only a single  $h_j$   $(j \leq \frac{1}{2}q)$ , then  $\delta_{x,\mathscr{S}}(\gamma)$  is independent of the framing  $\mathscr{S}$ .

*Proof.* Apply (4.4) and observe that  $\delta_{x',x'}(c_{i_1}\cdots c_{i_p})$  is zero in cohomology.

**Remark.** In case  $\gamma$  contains two  $h_j$ 's, then formula (4.4) becomes

(4.5) 
$$\delta_{\boldsymbol{x},\boldsymbol{\varphi}}^{*}(\boldsymbol{\gamma}) - \delta_{\boldsymbol{x},\boldsymbol{\varphi}'}^{*}(\boldsymbol{\gamma}) = \varphi^{*}(\tau c_{j_{1}})\delta_{\boldsymbol{x},\boldsymbol{\varphi}'}^{*}(\{c_{i_{1}}\cdots c_{i_{p}}h_{j_{2}}\}) \\ - \varphi^{*}(\tau c_{j_{2}})\delta_{\boldsymbol{x},\boldsymbol{\varphi}'}^{*}(\{c_{i_{1}}\cdots c_{i_{p}}h_{j_{1}}\}) .$$

One can easily check that Corollary 4.3 is consistent with reversing the roles of  $\mathscr{S}$  and  $\mathscr{S}'$  above since  $\varphi$  is then replaced by  $\varphi^{-1}$  and  $(\varphi^{-1})^* = -\varphi^*$ .

**Corollary 4.4.** If q = 2, then  $\delta^*_{x,g}$  is independent of the choice of framing  $\mathcal{G}$ .

*Proof.* Observe that  $RW_2$  contains only  $h_1$  and apply Corollary 4.3.

**Corollary 4.5.**  $(2\pi)^{-j} \delta^*_{x,s}(h_j)$  is a well defined  $R/\mathbb{Z}$  class independent of the framing  $\mathscr{S}$  for  $j > \frac{1}{2}q$ .

*Proof.* From [8] the polynomial  $(2\pi)^{-j}c_j$  represents a class in  $H^{2j}(BSO_q; \mathbb{Z})$  and, from [1],  $\tau$  ( $(2\pi)^{-j}c_j$ ) is in  $H^{2j-1}(SO_q; \mathbb{Z})$ . The corollary then follow directly from (4.3).

We begin the proof of Theorem 4.2 with a lemma which is due to H. Blaine Lawson and James Heitsch. We are happy to thank H. Blaine Lawson for communicating the essential ideas to us. Observe that  $\delta_{x,y}(h_j) - \delta_{x,y'}(h_j)$  is a closed form.

**Lemma 4.6.**  $\{\delta_{\boldsymbol{x},\boldsymbol{s}'}(h_j) - \delta_{\boldsymbol{x},\boldsymbol{s}'}(h_j)\} = (-1)^j \varphi^*(\boldsymbol{\tau} \boldsymbol{c}_j)$  for j even, j < q, or possibly  $j = \chi$  in case q is even where  $(-1)^j = (-1)^{\frac{1}{2}q}$  in case  $j = \chi$ .

**Proof of Lemma 4.6.** Let  $\mathcal{V}$  be the unique Riemannian connection on  $\nu(\mathcal{H})$  and let D and D' be the flat connections on  $\nu(\mathcal{H})$  associated to the trivializations  $\mathcal{S}$  and  $\mathcal{S}'$  respectively.

For any polynomial  $P \in I^*(SO(q))$  we have [5] (a consequence of Theorem 1, p. 382) that modulo exact forms

It follows directly from the definition that

and thus comparing (1.13) with (4.6) we have modulo exact forms

(4.8) 
$$\delta_{\mathcal{H},\mathcal{G}}(h_j) - \delta_{\mathcal{H},\mathcal{G}'}(h_j) = \varDelta_{c_j}(D',D) \; .$$

To compute  $\Delta_{c_j}(D', D)$  consider  $M \times [0, 1]$  as in (1.2) and let  $\mathcal{D}$  be the connection on  $\pi^{-1}(\nu(\mathcal{H}))$  given by

$$(4.9) \qquad \qquad \mathscr{D} = tD' + (1-t)D \ .$$

We now find the connection matrix of D' with respect to the framing  $\mathcal{S}$ :

$$D's_{i} = D'\left(\sum_{j=1}^{q} \varphi_{ij}s_{j}\right),$$

$$0 = \sum_{j=1}^{q} (d\varphi_{ij} \otimes s_{j} + \varphi_{ij}D's_{j}),$$

$$D's_{k} = -\sum_{j=1}^{q} \left(\sum_{i=1}^{q} (\varphi^{-1})_{ki}d\varphi_{ij}\right) \otimes s_{j}$$

Thus the connection matrix of D' with respect to  $\mathscr{S}$  is  $-\varphi^{-1}d\varphi$ , the connection matrix of  $\mathscr{D}$  with respect to  $\pi^{-1}(\mathscr{S})$  is

$$(4.11) -t\varphi^{-1}d\varphi ,$$

and the curvature of  $\mathcal{D}$  is

(4.12) 
$$K(\mathscr{D}) = -dt\varphi^{-1}d\varphi + (t-t^2)\varphi^{-1}d\varphi\varphi^{-1}d\varphi$$

By (1.3) and the symmetry and linearity properties of  $c_j$ 

$$\begin{aligned}
\mathcal{A}_{c_{j}}(D',D) &= \pi_{*}(c_{j}(\underbrace{K(\mathcal{D}),\cdots,K(\mathcal{D})}_{j}))) \\
&= j\pi_{*}(c_{j}(-dt\varphi^{-1}d\varphi,(\underbrace{t-t^{2}})\varphi^{-1}d\varphi\varphi^{-1}d\varphi,\cdots))) \\
&= -j(\int_{0}^{1}(t-t^{2})^{j-1}dt)c_{j}(\varphi^{-1}d\varphi,\underbrace{\varphi^{-1}d\varphi\varphi^{-1}d\varphi,\cdots}_{j-1}) \\
&= -\frac{(j)!(j-1)!}{(2j-1)!}c_{j}(\varphi^{-1}d\varphi,\underbrace{\varphi^{-1}d\varphi\varphi^{-1}d\varphi,\cdots}_{j-1}).
\end{aligned}$$

If w is the Maurer-Cartan form on  $SO_q$ , then

(4.14) 
$$\varphi^*(w) = \varphi^{-1} d\varphi \; .$$

Since  $[\varphi^*(w), \varphi^*(w)] = 2\varphi^{-1}d\varphi\varphi^{-1}d\varphi$  it follows that

$$(4.15) \quad \varDelta_{c_j}(D',D) = -\left(\frac{1}{2}\right)^{j-1} \frac{j!(j-1)!}{(2j-1)!} \varphi^*(c_i(w,[w,w],\cdots,[w,w])) \ .$$

Comparing (4.2) completes the proof of the lemma.

*Proof of Theorem* 4.2. Part (i) of the theorem follows directly from Lemma 4.3. We prove part (ii). By the lemma,

(4.16) 
$$\delta_{\boldsymbol{x},\boldsymbol{s}'}(h_j) = \delta_{\boldsymbol{x},\boldsymbol{s}'}(h_j) + \varphi^*(\boldsymbol{\tau} \boldsymbol{c}_j) + d\xi_j ,$$

where  $\xi_j \in A^{2j-2}(M)$ , and, in case  $q = 2 \pmod{4}$  and  $j = \chi$ , replace  $\check{\tau c_{\chi}}$  by  $-\check{\tau c_{\chi}}$ .

Since  $\delta_{\mathscr{X},\mathscr{Y}}$  and  $\delta_{\mathscr{X},\mathscr{Y}}$  are homomorphisms of  $RW_q$  into  $A^*(M)$  and  $\delta_{\mathscr{X},\mathscr{Y}}(c_i) = \delta_{\mathscr{X},\mathscr{Y}}(c_i)$ , it follows that

$$\delta_{\boldsymbol{x},\boldsymbol{s}'}(c_{i_1}\cdots c_{i_p}h_{j_1}\cdots h_{j_l}) = \delta_{\boldsymbol{x},\boldsymbol{s}'}(c_{i_1}\cdots c_{i_p})\prod_{1\leq k\leq l} (\delta_{\boldsymbol{x},\boldsymbol{s}'}(h_{j_k}) + \varphi^*(\boldsymbol{\tau}\boldsymbol{c}_{j_k}) + d\boldsymbol{\xi}_{j_k}) \ .$$

The proof of (4.4) is completed by passing to cohomology and partially expanding the product.

## 5. Continuous deformations

In this section the behavior of the secondary characteristic classes on a differentiable family of  $\overline{R\Gamma}_q$ -structures is discussed. It is shown that a nonzero variation in these classes can occur for those classes which lie in cohomology dimension q or q + 1, and that analogous to [5], rigid classes are generated in dimension greater than q + 1. Furthermore, it is proved that for a fixed  $\overline{R\Gamma}_q$ -

foliation the classes in cohomology dimension greater than q are foliationinvariants independent of the choice of preserved Riemannian metric on the normal bundle.

We begin with three examples.

**Example 5.1.** In this example we will have a fixed foliation  $\mathscr{F}$ , and for each real value u, u > 0, we have a "preserved" metric  $g_u$  on  $\nu(\mathscr{F})$ . The manifold is SU(2), and  $\mathscr{F}$  is the foliation of Example 2.1 defined by the right action of  $S^1$  given by (2.4). Let  $V, W_1, W_2$  be the right invariant vector fields on SU(2) represented in the Lie algebra by  $\begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$ , and  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  respectively. For u > 0, let  $\langle, \rangle_u$  be the unique right invariant metric on SU(2) for which  $\{uV, W_1, W_2\}$  is an orthonormal framing. For each u > 0,  $\langle, \rangle_u$  induces, as in Example 2.1, a preserved metric  $g_u$  on  $\nu(\mathscr{F})$ . From Proposition 2.1 and Theorem 5.6 below we will see that

(5.1) 
$$\delta^*_{\mathscr{F}_{\mathfrak{g}_{\mathcal{X}}}}\{\chi h_{\chi}\} = 8\beta_2\beta_1\alpha ,$$

where we have suppressed the framing of  $\nu(\mathcal{F}_{gu})$  since by Corollary 4.4 in codimension 2, the secondary classes are independent of framing.

**Example 5.2.** This example was suggested to us by Raoul Bott. We are happy to thank him for his interest and help. As is Example 5.1, this is a differentiable variation of Example 2.1. Let  $\langle , \rangle$  be as in Example 2.1 and for each real *u* define an isometric action of *R* on  $(SU(2), \langle , \rangle)$  by the formula

(5.2) 
$$t \cdot \sigma = \begin{pmatrix} e^{iut} & 0 \\ 0 & e^{-iut} \end{pmatrix} \cdot \sigma \cdot \begin{pmatrix} e^{it} & 0 \\ 0 & e^{-it} \end{pmatrix}$$

for  $t \in R$  and  $\sigma \in SU(2)$ . The actions are isometric since  $\langle , \rangle$  is bi-invariant. For each u the orbits of the associated action are the integral curves of X + uV where V is as in Example 5.1, and these orbits give a foliation of SU(2) for  $u \neq \pm 1$ . For each u, -1 < u < 1, let  $\mathscr{F}_u$  be the induced Riemannian foliation of SU(2).

**Proposition 5.1.** The function  $\delta_{\mathscr{F}_u}^*(\{\chi h_{\chi}\})$  is a nonconstant function of u. For a proof of this proposition see Appendix I.

**Example 5.3.** This example is due to Chern-Simons [3], and is a continuation of Example 2.2. For u > 0, let  $\langle , \rangle_u$  be the left invariant metric on SU(2) for which  $\mathscr{S}_u = \{uX, Y_1, Y_2\}$  is an orthonormal basis. Let  $\mathscr{F}_{\langle , \rangle_u}$  be a 3-codimensional  $\overline{RT}_3$ -foliation of SU(2) as in Example 2.2. A direct computation yields a formula for  $\delta_{\mathscr{F}\langle , \rangle_u,\mathscr{S}_u}(\{h_2\})$  and

**Proposition 5.2.** The function  $\delta^*_{\mathscr{F}_{\langle \gamma \rangle},\mathscr{F}_u}(\{h_2\})$  is a nonconstant function of u. **Remark 5.4.** As in [2] Propositions 5.1 and 5.2 yield the following

- (i)  $\pi_3(\overline{BR\Gamma_2})$  is uncountable,
- (ii)  $\pi_3(\overline{BR\Gamma_3})$  is uncountable.

In Examples 5.2 and 5.3 above we have seen that nonzero variation of the secondary class can occur in the codim and codim plus one. In contract, following Heitsch [5] we have

**Theorem 5.5.** If  $(\mathscr{H}_u, \mathscr{S}_u)$  for  $u \in [0, 1]$  is a differentiable family of  $R\Gamma_q$ -structures on a manifold M, then

$$\delta^*_{\varkappa_0, \mathscr{G}_0} = \delta^*_{\varkappa_u, \mathscr{G}_u}$$

for  $u \in [0, 1]$  on classes generated in cohomology dimension greater than q + 1.

*Proof.* Let  $V_u$  be the unique Riemannian connection on  $\nu(\mathscr{H}_u)$ , and let  $\theta_u$  be the matrix of  $V_u$  with respect to  $\mathscr{S}_u$ . By [5, p. 382] modulo exact forms

(5.3) 
$$\frac{\partial}{\partial u} \delta_{\mathbf{x}_u, \mathbf{x}_u}(h_j) = jc_j \left( \frac{\partial}{\partial u} \theta_u, \underbrace{K(\mathcal{V}_u), \cdots, K(\mathcal{V}_u)}_{j=1} \right).$$

By an argument which uses the fact that  $K(V_u)$  is locally pulled back from  $R_q$  and is entirely similar to [5, p. 384] we conclude that for  $\gamma$  as in Proposition 4.1 and of dim > q + 1 and with one h

(5.4) 
$$\frac{\partial}{\partial u}(\delta_{\mathbf{x}_u,\mathbf{y}_u}(\gamma)) = 0 ,$$

modulo exact forms. q.e.d.

Theorem 5.5 can be strengthened for variations of the type given by Example 5.1.

**Theorem 5.6.** Suppose that  $\mathscr{F}$  is a fixed q-codimensional foliation of a manifold M, and  $g_u, u \in [0, 1]$ , is a differentiable family of preserved Riemannian metric on  $\nu(\mathscr{F})$ . Let  $\mathscr{S}_u$  be a differentiable family of  $g_u$ -orthonormal framings on  $\nu(\mathscr{F})$ . Then for  $u \in [0, 1]$  and lasses generated in dimension greater than q

$$\delta^*_{{}^{\mathscr{F}_{\mathscr{G}_0}},{}^{\mathscr{G}_0}}=\delta^*_{{}^{\mathscr{F}_{\mathscr{G}_u}},{}^{\mathscr{G}_u}}$$
 .

**Corollary 5.7.** The secondary characteristic classes of an  $\overline{R\Gamma_q}$ -foliation  $\mathcal{F}_g$  are independent of the preserved metric g on  $\nu(\mathcal{F}_g)$ . That is, if  $g_0$  and  $g_1$  are both preserved metrices on  $\nu(\mathcal{F})$ , and  $\mathcal{S}_0$  and  $\mathcal{S}_1$  are homotopic orthonormal trivializations of  $\nu(\mathcal{F}_{g_0})$  and  $\nu(\mathcal{F}_{g_1})$  respectively, then for cohomology dimension r > q

$$\delta^*_{\mathscr{F}_{g_0},\mathscr{G}_0} = \delta^*_{\mathscr{F}_{g_1},\mathscr{G}_1}$$

Proof of Corollary 5.7. Let  $g_u = ug_0 + (1 - u)g_1$  for  $u \in [0, 1]$ . Observe that this gives a differentiable family of preserved metrices on  $\nu(\mathcal{F})$ . Since  $\mathscr{S}_0$ is homotopic to  $\mathscr{S}_1$ , we can find a continuous family  $\mathscr{S}_u, u \in [0, 1]$ , of trivializations of  $\nu(\mathcal{F})$  with  $S_0 = \mathscr{S}_0$ ,  $S_1 = \mathscr{S}_1$  and by Gram-Schmidt a continuous

family  $\mathscr{S}_u$  where for each  $u \in [0, 1]$ ,  $\mathscr{S}_u$  is  $g_u$ -orthonormal. Now apply Theorem 5.6 to the family  $(\mathscr{F}_{g_u}, \mathscr{S}_u)$ . *Proof of Theorem* 5.6. First observe that comparing with Theorem 5.5 for

*Proof of Theorem* 5.6. First observe that comparing with Theorem 5.5 for q odd, there is nothing to prove since q + 1 is even and  $H^*(RW_q)$  is zero in even dimensions.

For q even, we consider two cases q > 2 and q = 2. For q even and greater than 2, observe that if  $\gamma \in H^{q+1}(RW_q)$ , then  $\gamma$  does not involve  $c_{\chi}$  or  $h_{\chi}$ . For such  $\gamma$  by Remark 1.15,  $\delta_{\mathcal{F}_g, \mathscr{I}}(\gamma)$  can be defined with  $\mathscr{S}$  not necessarily gorthonormal and it is straightforward to show that Theorem 4.2 carries over with  $\tau$  replaced by the transgression  $\tau : H^*(BGL_q) \to H^*(GL_q)$ .

For  $\gamma \in H^{q+1}(RW_q)$ , q > 2, it follows that

(5.5) 
$$\delta^*_{\mathcal{F}_{g_u},\mathcal{F}_0}(\gamma) = \delta^*_{\mathcal{F}_{g_u},\mathcal{F}_u}(\gamma) ,$$

since the change of coordinates map  $\varphi_u$  between  $\mathscr{S}_0$  and  $\mathscr{S}_u$  is homotopically trivial. Furthermore, if  $\gamma \in H^{q+1}(RW_q)$  is of type (i) or (ii) in Proposition 4.1, then  $\gamma$  contains only a single  $h_j$ , and combining Remark 1.15 with (5.5) yields

(5.6) 
$$\delta^*_{\mathscr{F}_{\mathscr{G}_u},\mathscr{G}_u}(\gamma) = \{ \mathscr{A}_p(\mathscr{V}_u, D_{\mathscr{G}_0}) \} ,$$

where  $V_u$  is the unique Riemannian connection on  $\nu(\mathcal{F}_{g_u})$ , and P is a polynormal of degree  $\frac{1}{2}q + 1$ ,  $P \in I^*(GL_q)$ .

In case q = 2, then  $\{\chi h_{\chi}\}$  is a basis for  $H^3(RW_2)$ , and it is not difficult to check (compare [3]) that

(5.7) 
$$\delta_{\mathscr{F}_{g},\mathscr{G}}(\{\chi h_{\chi}\}) = \{\varDelta_{c_2}(\mathcal{V}_g, D_{\mathscr{G}})\},\$$

where  $c_2$  is the determinant polynomial. (In comparing with [3] note that  $c_2 = \chi^2$ , and thus  $Tc_2(\mathcal{V}) = \chi(K(\mathcal{V})) \wedge T\chi(\mathcal{V}) + \text{exact.}$ ) Since  $c_2 \in I^*(GL_2)$ , we may compute  $\delta_{\mathscr{F}_g,\mathscr{I}}(\{\chi h_{\chi}\})$  with respect to a framing which is not necessarily g-orthonormal, and (5.6) holds for  $\gamma\{\chi h_{\chi}\}$ .

We will need the following lemma.

**Lemma 5.8.** Suppose U is an open subset of M, and  $f: U \to R^q$  is a submersion with fibres the local leaves of  $\mathscr{F}$ . Then for any polynomial  $P \in I^*(GL_q)$ ,  $\frac{\partial}{\partial u}(\Delta_p(\nabla_u, D_{\mathscr{S}_0})|U$  is a section of  $f^-(\Lambda^*(T^*(R^q)))$ , that is, a linear combination

of differential forms pulled back from  $R^{q}$ .

Proof of Lemma 5.8. Let  $\theta_u$  be the connection matrix of  $\nabla_u$  with respect to  $\mathscr{S}_0$ , and let  $\psi_u = \frac{\partial}{\partial u} \theta_u$ . Suppose P is homogeneous of degree r. By [5]

$$\frac{\partial}{\partial u} \nabla_p(\nabla_u, D_{\mathscr{S}_0}) = rP(\psi_u, \underbrace{K(\nabla_u), \cdots, K(\nabla_u)}_{r-1})) \ .$$

Recall that we have metrics  $\langle , \rangle_u$  on  $\mathbb{R}^q$ , and  $\mathbb{V}_u | U$  is pulled back from the unique Riemannian connection on  $(\mathbb{R}^q, \langle , \rangle_u)$ . It is standard (cf. [12]) that with respect to any framing of  $\nu(\mathscr{F}) | U$  the entries of  $K(\mathbb{V}_u) | U$  are linear combinations of differential forms pulled back from  $\mathbb{R}^q$ . The proof will be completed by showing that  $\psi_u | U$  is similarly pulled back from  $\mathbb{R}^q$ .

Observe that  $\psi_u$ , like  $K(\mathcal{V}_u)$ , is a tensorial object even though  $\theta_u$  is not tensorial. Specifically, suppose  $\mathscr{S}' = \{s'_1, \dots, s'_q\}$  is a framing for  $\nu(\mathscr{F}) | U, \theta'_u$  is the connection matrix of  $\mathcal{V}_u$  with respect to  $\mathscr{S}', \psi'_u = \frac{\partial}{\partial u} \Theta'_u$ , and  $\lambda \colon U \to GL_q$  satisfies  $s'_i = \sum_{j=1}^q \lambda_{ij} s_j$ . Then

(5.8) 
$$\psi_{u} = \lambda^{-1} \circ \psi'_{u} \circ \lambda$$

Let S be a fixed framing for  $T(R^q)$ , and let  $\mathscr{S}' = f^{-1}(S)$ . Then the connection matrix  $\theta'_u$  is pulled back from  $R^q$ , and therefore  $\psi'_u$  from  $R^q$ . By (5.8),  $\psi_u$  is a linear combination of differential forms pulled back from  $R^q$ . q.e.d.

Comparing Lemma 5.8 with (5.6) yields

$$\frac{\partial}{\partial u} \delta^*_{\mathcal{F}_{g_n},\mathcal{F}_u}(\gamma) = 0 ,$$

since for each open set U as in the lemma

$$\frac{\partial}{\partial u} \varDelta_p(arVarVa_u, D_{\mathscr{S}_0}) \equiv 0 \qquad ext{on } U$$

by a dimensionality argument, and M is covered by such open sets. Hence the proof of Theorem 5.6 is complete.

## Appendix

Here we present a proof of Example 5.2 in a computational manner.

To do this computation we view  $S^3$  as the set of quaternions  $q = q_0 + q_1 i + q_2 j + q_2 k$  of unit length. The foliation  $\mathscr{F}_u$  is generated by the vector field  $Y = L_*(i) + uR_*(i)$ . Then  $Y_q = L_*(i + u \operatorname{Ad} (q^{-1})i)_q$ . We let  $X_1, X_2, X_3$  be the left invariant vector fields  $L_*(i), L_*(j), L_*(k)$  respectively. Let  $x_1, x_2, x_3$  be the dual basis of left invariant forms. Ad  $(q^{-1})i = a_{11}X_1 + a_{12}X_2 + a_{13}X_3$  where  $a_{11} = q_0^2 + q_1^2 - q_2^2 - q_3^2, a_{12} = 2(q_1q_2 - q_0q_3), a_{13} = 2(q_0q_2 + q_1q_3)$ . For later purposes we will need the following dota.

$$egin{array}{rll} X_1(a_{11}) &= 0 \;, & X_2(a_{11}) &= -2a_{13} \;, & X_3(a_{11}) &= 2a_{12} \;, \ X_1(a_{12}) &= 2a_{13} \;, & X_2(a_{12}) &= 0 \;, & X_3(a_{12}) &= -2a_{11} \;, \ X_1(a_{13}) &= -2a_{12} \;, & X_2(a_{13}) &= 2a_{11} \;, & X_3(a_{13}) &= 0 \;, \ da_{11} &= -2a_{13}x_2 + 2a_{12}x_3 \;, \end{array}$$

$$da_{12} = 2a_{13}x_1 - 2a_{11}x_3$$
,  
 $da_{13} = -2a_{12}x_1 + 2a_{11}x_2$ .

Recall that  $\langle , \rangle$  is the bi-invariant metric on  $S^3$ , and we construct a global orthonormal framing of the orthogonal complement to  $\mathcal{F}_u$ , namely,

$$egin{aligned} Z_2 &= X_2 - rac{\langle X_2, Y 
angle}{\langle Y, Y 
angle} Y \Big( \langle X_2, X_2 
angle - rac{\langle X, Y 
angle^2}{\langle Y, Y 
angle} \Big)^{-rac{1}{2}} \ , \ Z_3 &= X_3 - rac{\langle X_3, Y 
angle}{\langle Y, Y 
angle} Y - \langle X_3, Z_2 
angle Z_2 \Big( \langle X_3, X_3 
angle - rac{\langle X_3, Y 
angle^2}{\langle Y, Y 
angle} - \langle X_3, Z_2 
angle^2 \Big)^{-rac{1}{2}} \ . \end{aligned}$$

Then  $s = \{Z_2, Z_3\}$  is an orthonormal framing of  $\mathscr{F}_u^{\perp}$ . Let  $\mathcal{V}$  be the unique Riemannian torsion-free connection on this normal bundle, and D the connection which is globally flat relative to s. Then  $\mathcal{V}s = s\theta$  where

$$artheta = egin{pmatrix} 0 & heta \ - heta & 0 \end{pmatrix}$$
 ,

and a simple computation shows that  $\delta_{\mathscr{F}_{y}}(\{\chi h_{\chi}\}) = \{\theta d\theta\}.$ 

Now to show that the cohomology class of  $\delta_{\mathcal{F}}(\{\chi h_{\chi}\})$  varies continuously with u, we will expand everything in powers of u and drop all terms involving powers of u greater than  $u^2$ . Thus

$$egin{aligned} Y &= (1 + ua_{11})X_1 + a_{12}uX_2 + a_{13}uX_3 \ , \ Z_2 &= (a_{11}a_{12}u^2 - a_{12}u)X_1 + (1 - rac{1}{2}a_{12}^2u^2)X_2 - u^2a_{12}a_{13}X_3 \ , \ Z_3 &= (a_{11}a_{13}u^2 - a_{13}u)X_1 + (1 - rac{1}{2}a_{13}^2u^2)X_3 \ . \end{aligned}$$

Now write  $\theta = \theta(X_1)x_1 + \theta(X_2)x_2 + \theta(X_3)x_3$ , and so

$$\begin{split} -\theta(X_1) &= \langle \mathcal{V}_{X_1} Z_2, Z_3 \rangle = \frac{1}{2} Z_2 \langle X_1, Z_3 \rangle - \frac{1}{2} Z_3 \langle X_1, Z_2 \rangle \\ &+ \frac{1}{2} \{ 2 \langle [X_1, Z_2], Z_3 \rangle + \langle [Z_3, Z_2], \pi X_1 \rangle \} , \\ -\theta(X_2) &= \langle \mathcal{V}_{X_2} Z_2, Z_3 \rangle = \frac{1}{2} Z_2 \langle X_2, Z_3 \rangle - \frac{1}{2} Z_3 \langle X_2, Z_2 \rangle \\ &+ \frac{1}{2} \{ 2 \langle [X_2, Z_2], Z_3 \rangle + \langle [Z_3, Z_2], \pi X_2 \rangle \} , \\ -\theta(X_3) &= \langle \mathcal{V}_{X_3} Z_2, Z_3 \rangle = \frac{1}{2} Z_2 \langle X_3, Z_3 \rangle - \frac{1}{2} Z_3 \langle X_3, Z_2 \rangle \\ &+ \frac{1}{2} \{ 2 \langle [X_3, Z_2], Z_3 \rangle + \frac{1}{2} \langle [Z_3, Z_2], \pi X_3 \} . \end{split}$$

Here  $\pi$  is the projection on the orthogonal complement, and we have used (2.1) and the standard formula for the torsion-free Riemannian connection in terms of brackets, inner products and derivations. We have also used the fact that  $X_1, X_2, X_3$  are Killing vector fields. Then

$$egin{aligned} & [Z_2,Z_3] = (9a_{11}^2u^2 - 5u^2 - 4a_{11}u + 2)X_1 + (-4a_{11}a_{12}u^2 + 2a_{12}u)X_2 \ & + (-6a_{11}a_{13}u^2 + 2a_{13}u)X_3 \;, \ & Z_2\langle X_1,Z_3 
angle = -Z_3\langle X_1,Z_2 
angle = (4a_{11}^2 - 2)u^2 - 2a_{11}u \;, \ & Z_2\langle X_2,Z_3 
angle = 0 \;, \qquad Z_3\langle X_2,Z_2 
angle = 2a_{11}a_{12}u^2 \;, \ & Z_2\langle X_3,Z_3 
angle = -Z_3\langle X_3,Z_2 
angle = -2a_{11}a_{13}u^2 \;, \ & \langle [X_1,Z_2],Z_3 
angle = 2 + u^2(a_{12}^2 - a_{13}^2) \;, \ & \langle [X_2,Z_2],Z_3 
angle = -4a_{11}a_{12}u^2 + 2a_{12}u \;, \ & \langle [X_3,Z_2],Z_3 
angle = -2a_{11}a_{13}u^2 + 2a_{13}u \;. \end{aligned}$$

Thus

and therefore

$$\langle [Z_2, Z_3], \pi X_1 \rangle = 0 , \quad \langle [Z_2, Z_3], \pi X_2 \rangle = 2a_{11}a_{12}u^2 , \quad \langle [Z_2, Z_3], \pi X_3 \rangle = 0 .$$

Hence

$$\begin{aligned} \theta(X_1) &= -2 + 2a_{11}u - (2a_{11}^2 - a_{12}^2 - 3a_{13}^2)u^2 ,\\ \theta(X_2) &= 6a_{11}a_{12}u^2 - 2a_{12}u ,\\ \theta(X_3) &= 4a_{11}a_{13}u^2 - 2a_{13}u , \end{aligned}$$

so that  $\theta = \theta(X_1)x_1 + \theta(X_2)x_2 + \theta(X_3)x_3$ . Let us write  $\theta = -2x_1 + u\omega_1 + u^2\omega_2$  where

$$\begin{split} \omega_1 &= 2a_{11}x_1 - 2a_{12}x_2 - 2a_{13}x_3 , \\ \omega_2 &= (-2a_{11}^2 + a_{12}^2 + 3a_{13}^2)x_1 + 6a_{11}a_{12}x_2 + 4a_{11}a_{13}x_3 . \end{split}$$

Then

$$\begin{aligned} \theta d\theta &= -8x_1x_2x_3 + u(4x_2x_3\omega_1 - 2x_1d\omega_1) \\ &+ u^2(-2x_1d\omega_2 + 4x_2x_3\omega_2 + \omega_1d\omega_1) \ . \end{aligned}$$

Now it is easily seen that the coefficient of u integrates to zero over  $S^3$ . Let  $C = -2x_1d\omega_2 + 4x_2x_3\omega_3 + \omega_1d\omega_1$ , which is the coefficient of  $u^2$ . Since  $-2x_1d\omega_2 = 4x_2x_3\omega_2 + d\gamma$  for some  $\gamma$ , we have

$$C = 8x_2x_3\omega_2 + \omega_1d\omega_1 + d\gamma,$$

$$\omega_1 d\omega_1 = -8(2a_{11}^2 + 1)x_1x_2x_3$$
,  
 $8x_2x_3\omega_2 = 8(-2a_{11}^2 + a_{12}^2 + 3a_{13}^2)x_1x_2x_3$ .

Thus  $C = 8(-5a_{11}^2 + 2a_{13}^2)x_1x_2x_3 + d\gamma$ ,

Since 
$$\int_{S^3} x_1 x_2 x_3 = 2\pi^2$$
 and  $\int_{S^3} a_{11}^2 x_1 x_2 x_3 = \int_{S^3} a_{12}^2 x_1 x_2 x_3 = \int_{S^3} a_{13}^2 x_1 x_2 x_3 = \frac{2}{3}\pi^2$ ,

 $\int_{S^3} C = -16\pi^2$ . Finally, up to the second order

$$\delta^*_{\mathcal{F}_u}(\{\chi h_{\chi}\})[S^3] = \int_{S^3} \theta d\theta = -16\pi^2(1+u^2) .$$

Hence this class varies continuously with u.

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