

## SINGULAR MANIFOLDS

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### 1. Introduction

If  $\phi: X \rightarrow Y$  is a map of topological spaces and  $x \in X$ , then  $\phi_x$  will denote the germ of  $\phi$  at  $x$ . Let  $\mathfrak{F}(p, q) = \{\phi: \mathbf{R}^p \rightarrow \mathbf{R}^q \mid \phi \text{ is } \mathcal{C}^\infty \text{ and } \phi(0) = 0\}$  and let  $J(p, q) = \{\phi_0 \mid \phi \in \mathfrak{F}(p, q)\}$ . If  $\phi \in \mathfrak{F}(p, q)$  or  $\phi \in J(p, q)$ , then  $[\phi]^n$  will denote the set of germs at the origin of elements of  $\mathfrak{F}(p, q)$ , which agree with  $\phi$  up to and including order  $n$  at the origin.  $[\phi]^n$  will occasionally be abbreviated to  $\phi$ . Let  $J^n(p, q) = \{[\phi]^n \mid \phi \in J(p, q)\}$ .

Whenever  $m$  is an integer,  $\mathcal{L}_m$  will denote the set of invertible germs in  $J(m, m)$ .  $\mathcal{L}_m$  is a group. Furthermore, there is a group action of  $\mathcal{L}_p \times \mathcal{L}_q$  on  $J^n(p, q): (\alpha, \beta)([\phi]^n) = [\beta\phi\alpha^{-1}]^n$ . Suppose  $\phi: U \rightarrow \mathbf{R}^q$  is  $\mathcal{C}^\infty$  where  $U$  is an open subset of  $\mathbf{R}^p$ . Define  $t_\phi: U \rightarrow J(p, q)$  by  $t_\phi(x)$  is the germ at the origin of  $y \rightarrow \phi(x + y) - \phi(x)$ . In the following all manifolds are  $\mathcal{C}^\infty$  and paracompact, and all maps are  $\mathcal{C}^\infty$ .

Let  $\tilde{\mathcal{L}}_m$  be a subgroup of  $\mathcal{L}_m$ . Suppose  $M$  is an  $m$ -dimensional manifold and  $\mathcal{A}$  is an atlas of coordinate functions for  $M$ . The pair  $(M, \mathcal{A})$  will be called a manifold of type  $\tilde{\mathcal{L}}_m$  if for all  $x \in M$  and coordinate functions  $\alpha_1, \alpha_2 \in \mathcal{A}$  whose domains contain  $x$ ,  $t_{\alpha_2\alpha_1^{-1}}(\alpha_1(x)) \in \tilde{\mathcal{L}}_m$ . The atlas  $\mathcal{A}$  will be suppressed from the notation.

Let  $X$  be a  $p$ -manifold and  $Y$  a  $q$ -manifold.  $J^n(X, Y)$  will be the bundle with base  $X \times Y$ , fiber  $J^n(p, q)$ , and group  $\mathcal{L}_p \times \mathcal{L}_q$ . Let  $\tilde{\mathcal{L}}_p$  be a subgroup of  $\mathcal{L}_p$  and  $\tilde{\mathcal{L}}_q$  a subgroup of  $\mathcal{L}_q$ . Suppose  $X$  is a manifold of type  $\tilde{\mathcal{L}}_p$  and  $Y$  is a manifold of type  $\tilde{\mathcal{L}}_q$ . Then the group of  $J^n(X, Y)$  is reducible to  $\tilde{\mathcal{L}}_p \times \tilde{\mathcal{L}}_q$ .  $J^n(X, Y)$  may be looked at as the set of equivalence classes of germs of maps of  $X$  into  $Y$  where two germs are equivalent if they agree up to order  $n$ .

If  $f: X \rightarrow Y$  and  $x \in X$ , then  $f^n(x)$  will denote the equivalence class containing the germ of  $f$  at  $x$ . Thus a map  $f: X \rightarrow Y$  induces a commutative triangle:

$$\begin{array}{ccc}
 & & J^n(X, Y) \\
 & \nearrow f^n & \downarrow \\
 X & \xrightarrow{(id, f)} & X \times Y
 \end{array}$$

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Let  $A \subset J^n(p, q)$  and let  $A$  be invariant under  $\mathcal{L}_p \times \mathcal{L}_q$ . Then  $J_A^n(X, Y)$  will denote the bundle with base  $X \times Y$ , fiber  $A$ , and group  $\mathcal{L}_p \times \mathcal{L}_q$ . Suppose  $A$  is as above and  $f: X \rightarrow Y$ . Define  $A(f)$ , the singular set of  $f$  of type  $A$ , to be the set  $(f^n)^{-1} J_A^n(X, Y)$ . If  $A$  is a manifold, then so is  $J_A^n(X, Y)$ . If  $A$  is a manifold and  $f$  is such that  $f^n$  is transversal to  $J_A^n(X, Y)$ , then  $f$  will be called  $A$ -transversal. If  $f$  is  $A$ -transversal, then  $A(f)$  is a submanifold of  $X$  and, furthermore, the codimension of  $A(f)$  in  $X$  is the codimension of  $A$  in  $J^n(p, q)$ .

Let  $\mathcal{C}^{n+1}(X, Y)$  denote the set of  $\mathcal{C}^\infty$  maps of  $X$  into  $Y$ , provided with the topology of compact convergence of all partials of order less than or equal to  $n + 1$ .

The Thom transversality theorem states that if  $B$  is a submanifold of  $J^n(X, Y)$ , then the set of maps  $f: X \rightarrow Y$  such that  $f^n$  is transversal to  $B$  is a Baire set in  $\mathcal{C}^{n+1}(X, Y)$ . If  $X$  is compact, then this set is open and dense. (See [3] for a proof of the transversality theorem.) Thus, if  $A \subset J^n(p, q)$  is a manifold and is invariant under  $\mathcal{L}_p \times \mathcal{L}_q$ ,  $X$  is a manifold of type  $\mathcal{L}_p$  and  $Y$  is a manifold of type  $\mathcal{L}_q$ , then  $A(f)$  is a manifold for a large class of functions  $f: X \rightarrow Y$ .

One thing which makes this interesting is that, in general, for  $A$ -transversal  $f$  there are connections between  $A(f)$  and global properties of  $X$  and  $Y$ . For example, if  $A = \{[0]^1\} \subset J^1(p, 1)$ ,  $X$  is a compact  $p$ -manifold,  $Y = \mathbf{R}$  and  $f$  is  $A$ -transversal, then the Morse theory tells us how to predict global properties of  $X$  from the behavior of  $f$  in a neighborhood of  $A(f)$ . Other results in this direction are proven in [2], [4], and [5]. Further (rather incomplete) results will be presented here but the main result of this paper is the construction of submanifolds of  $J^n(p, q)$  which are invariant under various subgroups  $\mathcal{L}_p \times \mathcal{L}_q$  of  $\mathcal{L}_p \times \mathcal{L}_q$ .

## 2. Grassmann bundles

If  $E$  is a bundle over  $X$  and  $x \in X$ , then  $E_x$  will denote the fiber of  $E$  over  $x$ . If  $A \subset X$ , then the restriction of  $E$  to  $A$  will also be written  $E$ . If  $F$  is a bundle over  $Y$  and  $h: E \rightarrow F$ , then  $h_x: E_x \rightarrow F$  will denote the restriction of  $h$  to  $E_x$ . If  $f: X \rightarrow Y$  is a map of manifolds, then  $Tf: TX \rightarrow TY$  will denote the corresponding map of tangent bundles. If  $A$  is a submanifold of  $X$ , then  $T(X, A)$  will denote the normal bundle of  $A$  in  $X$ . Finally, if  $E$  is a vector bundle over  $X$ , then  $X$  will be identified with the image of the zero section of  $E$ . Propositions 2.1 and 2.2 are written up similarly in [5].

**Proposition 2.1.** *Let  $f: X \rightarrow Y$  and let  $N$  be a submanifold of  $Y$ . If  $f$  is transversal to  $N$ , then  $Tf$  induces a map  $T(X, f^{-1}N) \rightarrow T(Y, N)$  which restricts to isomorphisms of fibers.*

*Proof.* The desired mapping is given in the following exact commutative diagram:

$$\begin{array}{ccccccc}
0 & \rightarrow & T(f^{-1}N) & \rightarrow & TX & \rightarrow & T(X, f^{-1}N) \rightarrow 0 & \text{over } f^{-1}N \\
& & \downarrow & & \downarrow & & \downarrow & \\
0 & \rightarrow & TN & \rightarrow & TY & \rightarrow & T(Y, N) \rightarrow 0 & \text{over } N
\end{array}$$

That the mapping induces epimorphisms of fibers is a restatement of the transversality of  $f$ , and that it is 1 : 1 on fibers follows from dimensional considerations. q.e.d.

Suppose  $E$  is a vector bundle over  $X$  and  $\sigma: X \rightarrow E$  is a section. Then  $\sigma$  will be called a transversal section of  $E$  if it is transversal to  $X$  (the image of the zero section of  $E$ ).

Let  $E$  be a vector bundle over  $X$ . Then  $T(E, X)$  is equivalent to  $E$  over  $X$ . Thus, if  $\sigma: X \rightarrow E$  is a transversal section of  $E$ , then  $T(X, \sigma^{-1}X)$  is equivalent to  $E$  over  $X$ .

Let  $E$  be an  $m$ -dimensional vector bundle over  $X$  and let  $a \leq m$ . Define  $G_a(E) = \{\underline{p} \mid \underline{p} \text{ is an } a\text{-dimensional subspace of some fiber of } E\}$ . Structure for  $G_a(E)$  as a bundle over  $X$  is induced by that of  $E$ . Let  $\pi: G_a(E) \rightarrow X$  be the bundle projection.

Define a vector bundle  $L_a$  over  $G_a(E)$  by  $L_a = \{(\underline{p}, v) \mid v \in \underline{p}\}$ . Define  $M_a$ , an  $(m - a)$ -dimensional bundle over  $G_a(E)$ , by the exactness of  $0 \rightarrow L_a \rightarrow \pi^*E \rightarrow M_a \rightarrow 0$ .

**Proposition 2.2.** *Let  $Z$  be a submanifold of  $X$  and let  $s: Z \rightarrow G_a(E)$  be a section. Then over  $sZ$ ,  $T(\pi^{-1}Z, sZ) \approx L_a^* \otimes M_a$ , where  $L_a^*$  denotes the dual of  $L_a$ .*

*Proof.* Define a vector bundle  $F$  over  $\pi^{-1}Z$  (and a morphism  $\phi$ ) by the exactness of  $0 \rightarrow \pi^*s^*L_a \rightarrow \pi^*E \xrightarrow{\phi} F \rightarrow 0$ . Over  $\pi^{-1}Z$  there is a bundle morphism  $L_a \rightarrow F$  given by the composition  $L_a \rightarrow \pi^*E \rightarrow F$ . This morphism induces a section  $\eta$  of  $L_a^* \otimes F$  over  $\pi^{-1}Z$ . Furthermore,  $sZ$  is the zero set of  $\eta$ . If  $\eta$  is a transversal section of  $L_a^* \otimes F$  then, by Proposition 2.1,  $T(\pi^{-1}Z, sZ) \approx L_a^* \otimes F$  over  $sZ$ . Since  $F = M_a$  over  $sZ$ , it suffices to demonstrate the transversality of  $\eta$ .

Let  $x \in Z$  and let  $\alpha_1, \dots, \alpha_m$  be a vector space basis for  $E_x$  such that  $s(x)$  is the span of  $\alpha_1, \dots, \alpha_a$ . Any  $a$ -plane  $\underline{p}$  in  $G_a(E)_x$  near  $s(x)$  is uniquely expressible as the span of a vectors,  $\alpha_1 + v_{1,1}(\underline{p})\alpha_{a+1} + \dots + v_{1,m-a}(\underline{p})\alpha_m, \dots, \alpha_a + v_{a,1}(\underline{p})\alpha_{a+1} + \dots + v_{a,m-a}(\underline{p})\alpha_m$ . Thus coordinates  $\{v_{i,j}\}$  for  $G_a(E)_x$  at  $s(x)$  have been fixed.

$$T\eta_{s(x)} \left( \frac{\partial}{\partial v_{i,j}} \right) = \frac{\partial}{\partial v_{i,j}} + ((\text{id} \otimes \phi)(s(x), \alpha_i^* \otimes \alpha_{a+j}))_{s(x)} .$$

Since  $\{(\text{id} \otimes \phi)(s(x), \alpha_i^* \otimes \alpha_{a+j}) \mid 1 \leq i \leq a \text{ and } 1 \leq j \leq m - a\}$  is a basis for  $(L_a^* \otimes F)_{s(x)}$ , the result follows.

### 3. Fixing the rank of vector bundle morphisms

Let  $A$  be a manifold,  $E_1$  a bundle over  $A$ ,  $E_2$  and  $E_3$  be vector bundles over  $A$ , and  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  a morphism of fiber bundles over  $A$ , which induces the identity on  $A$ . Suppose  $\pi: E_1 \rightarrow A$  is the bundle projection. Whenever  $e \in E_1$ ,  $\gamma(e) \in (E_2^* \otimes E_3)_{\pi(e)}$  and therefore there is a linear map  $(E_2)_{\pi(e)} \rightarrow (E_2)_{\pi(e)}$  which corresponds to  $\gamma(e)$ . Suppose  $a$  is not greater than the fiber dimension of  $E_2$  and let  $A_a(\gamma) = \{e \in E_1 \mid \text{kernel } \gamma(e) \text{ has dimension } a\}$ . In this section we will study the set  $A_a(\gamma)$ .

Let  $\pi: G_a(\pi^*E_2) \rightarrow E_1$  be the bundle projection. Over  $G_a(\pi^*E_2)$  there is an exact sequence  $0 \rightarrow L_a \rightarrow \pi^*\pi^*E_2 \rightarrow M_a \rightarrow 0$  as in § 2. We define a section  $\gamma_a: G_a(\pi^*E_2) \rightarrow L_a^* \otimes \pi^*\pi^*E_3$  as follows: An element of  $G_a(\pi^*E_2)$  is a pair  $(e, \underline{p})$  where  $e \in E_1$  and  $\underline{p}$  is an  $a$ -dimensional subspace of  $(E_2)_{\pi(e)}$ . Let  $\gamma_a(e, \underline{p}) = (e, \underline{p}, \eta(e, \underline{p}))$  where  $\eta(e, \underline{p})$  is the restriction of  $\gamma(e)$  to  $\underline{p}$ .  $\gamma_a(e, \underline{p})$  may be viewed as an element of  $(L_a^* \otimes \pi^*\pi^*E_3)_{(e, \underline{p})}$ .

**Definition 3.1.** Suppose that there are a vector space  $V$  and for each  $x \in A$  a diffeomorphism  $\theta_x: V \rightarrow (E_1)_x$  such that  $\gamma_x \circ \theta_x$  is linear.  $\gamma$  will be called  $a$ -uniform if for all choices of  $x_i \in A$  and  $\underline{p}_i \in G_a(E_2)_{x_i}$ ,  $i \in \{1, 2\}$ ,  $\text{dimension } \{\gamma(e, \underline{p}_1) \mid e \in (E_1)_{x_1}\} = \text{dimension } \{\eta(e, \underline{p}_2) \mid e \in (E_1)_{x_2}\}$ .

$\gamma: E_1 \rightarrow E_2^* \otimes E_3$  induces  $\gamma^a: \pi^*\pi^*E_1 \rightarrow L_a^* \otimes \pi^*\pi^*E_3$  as follows: An element of  $\pi^*\pi^*E_1$  is a triple  $(e, \underline{p}, \bar{e})$  where  $e$  and  $\bar{e}$  are elements of  $E_1$  with  $\pi(e) = \pi(\bar{e})$  and  $\underline{p}$  is an  $a$ -plane in  $(E_2)_{\pi(e)}$ . Define  $\gamma^a$  by  $\gamma^a(e, \underline{p}, \bar{e}) = (e, \underline{p}, \eta(\bar{e}, \underline{p}))$ .

Let  $S_a = \gamma^a(\pi^*\pi^*E_1)$ , and note that the image of the section  $\gamma_a$  is contained in  $S_a$ . If  $\gamma$  is  $a$ -uniform, then  $S_a$  is a vector sub-bundle of  $L_a^* \otimes \pi^*\pi^*E_3$ .

If  $V$  is a vector space,  $x, y \in V$ , and  $g: \mathbf{R} \rightarrow V$  is defined by  $g(t) = x + ty$ , then we define  $y_x \in TV_x$  by  $y_x = g'(0)$ .  $TV = \{y_x \mid x, y \in V\}$ .

Let  $V$  and  $\theta_x$  be as in Definition 3.1. Since  $\gamma_x \circ \theta_x$  is linear,  $T(\gamma_x \circ \theta_x)(y_x) = (\gamma_x \circ \theta_x(y_x))_{\gamma_x \circ \theta_x(x)}$ . Now, if  $\underline{p} \in G_a(E_2)_x$ , then  $(S_a)_{\underline{p}}$  is the set of all restrictions to  $\underline{p}$  of maps of the form  $\gamma_x \circ \theta_x(y)$  where  $y \in V$ . It follows that if  $\gamma$  is  $a$ -uniform, then  $\gamma_a$  is a transversal section of  $S_a$ .

Define a vector bundle  $K_a$  over  $A_a(\gamma)$  by the exactness of  $0 \rightarrow K_a \rightarrow \pi^*E_1 \xrightarrow{\tilde{\gamma}} \pi^*E_3$  where  $\tilde{\gamma}$  is defined in the obvious way. (An element of  $\pi^*E_2$  is a pair  $(e_1, e_2)$  where  $e_1 \in E_1$  and  $e_2 \in (E_2)_{\pi(e_1)}$ . Define  $\tilde{\gamma}$  by  $\tilde{\gamma}(e_1, e_2) = (e_1, \gamma(e_1)e_2)$ , an element of  $\pi^*E_3$ .) Define a bundle  $N_a$  over  $A_a(\gamma)$  by the exactness of  $0 \rightarrow K_a \rightarrow \pi^*E_2 \rightarrow N_a \rightarrow 0$ . Finally, define a section  $s_a: A_a(\gamma) \rightarrow G_a(\pi^*E_2)$  by  $s_a(e) = (e, \text{kernel } \gamma(e))$ .

**Theorem 3.2.** Let  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  be  $a$ -uniform. Then  $A_a(\gamma)$  is a submanifold of  $E_1$ , and furthermore over  $A_a(\gamma)$  there is an exact sequence

$$0 \rightarrow K_a^* \otimes N_a \rightarrow s_a^*S_a \rightarrow T(E_1, A_a(\gamma)) \rightarrow 0 .$$

*Proof.* The first statement is straightforward and will be treated first. Let  $W$  be the zero set of the section  $\gamma_a$ . Since  $\gamma_a$  is a transversal section of  $S_a$ ,  $W$  is a submanifold of  $G_a(\pi^*E_2)$ . It is easily seen that  $s_a A_a(\gamma)$  is an open subset

of  $W$ . ( $(e, p) \in W$  if and only if  $p \subset \ker(\gamma(e))$ , Thus  $s_a A_a(\gamma) \subset W$ . If  $e \in A_a(\gamma)$  and if  $\bar{e}$  is sufficiently close to  $e$ , then  $\dim \ker \gamma(\bar{e})$  is not larger than  $a$ . That  $s_a A_a(\gamma)$  is open in  $W$  follows.) Thus  $s_a A_a(\gamma)$  and therefore  $A_a(\gamma)$  is a manifold. We now prove the second statement.

Since  $\gamma_a$  is transversal and  $s_a A_a(\gamma)$  is open in  $W$ , Proposition 2.1 shows that there is an equivalence  $T(G_a(\pi^* E_2), s_a A_a(\gamma)) \rightarrow S_a$  over  $s_a A_a(\gamma)$  induced by  $T\gamma_a$ , and also that over  $s_a A_a(\gamma)$  we have an exact sequence  $0 \rightarrow L_a \rightarrow \bar{\pi}^* \pi^* E_2 \rightarrow \bar{\pi}^* \pi^* E_3$  which determines a monomorphism  $M_a \rightarrow \bar{\pi}^* \pi^* E_3$  and hence a monomorphism  $L_a^* \otimes M_a \rightarrow L_a^* \otimes \bar{\pi}^* \pi^* E_3$  over  $s_a A_a(\gamma)$ .

It is not hard to show that the following diagram is commutative:

$$\begin{array}{ccc} T(\bar{\pi}^{-1} A_a(\gamma), s_a A_a(\gamma)) & \rightarrow & T(G_a(\pi^* E_2), s_a A_a(\gamma)) \\ \Downarrow & & \downarrow \\ L_a^* \otimes M_a & \longrightarrow & L_a^* \otimes \bar{\pi}^* \pi^* E_3 \quad \text{over } s_a A_a(\gamma). \end{array}$$

Since the image of  $T(G_a(\pi^* E_2), s_a A_a(\gamma)) \rightarrow L_a^* \otimes \bar{\pi}^* \pi^* E_3$  is contained in the sub-bundle  $S_a$  of  $L_a^* \otimes \bar{\pi}^* \pi^* E_3$ , the image of  $L_a^* \otimes M_a$  is contained in  $S_a$ . Thus over  $s_a A_a(\gamma)$  we have an exact commutative diagram:

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & T(\bar{\pi}^{-1} A_a(\gamma), s_a A_a(\gamma)) & \rightarrow & T(G_a(\pi^* E_2), s_a A_a(\gamma)) & \rightarrow & T(G_a(\pi^* E_2), \bar{\pi}^{-1} A_a(\gamma)) \rightarrow 0 \\ & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & L_a^* \otimes M_a & \longrightarrow & S_a & & \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

and hence an exact sequence  $0 \rightarrow L_a^* \otimes M_a \rightarrow S_a \rightarrow T(G_a(\pi^* E_2), \bar{\pi}^{-1} A_a(\gamma)) \rightarrow 0$ . Since  $s_a^* L_a = K_a$ ,  $s_a^* M_a = N_a$  and  $s_a^* T(G_a(\pi^* E_2), \bar{\pi}^{-1} A_a(\gamma)) = T(E_1, A_a(\gamma))$ , the result follows. q.e.d.

Suppose that  $X$  and  $Y$  are topological spaces and that a group  $H$  acts on both  $X$  and  $Y$ . Let  $f: X \rightarrow Y$ . Then  $f$  will be called equivariant if for each  $h \in H$ ,  $hf = fh$ .

**Definition 3.3.** Suppose  $U$  is a vector bundle over  $X$  and there is a group  $H$  which acts on  $U$  and  $X$  in such a way that the bundle projection of  $U$  is equivariant. Suppose also that for each  $h \in H$  and  $x \in X$ ,  $h_x: U_x \rightarrow U_{h(x)}$  is a vector space isomorphism. Then  $U$  will be called an  $H$ -bundle.

**Proposition 3.4.** Let  $U_1$  and  $U_2$  be  $H$ -bundles over  $X$ , and suppose  $H$  acts on a space  $Y$  and  $f: Y \rightarrow X$  is equivariant.

- a) Then there is a group action of  $H$  on  $U_1^*$ , which makes  $U_1^*$  an  $H$ -bundle;
- b) similarly with  $U_1 \otimes U_2$ ;
- c) similarly with  $f^* U_1$ .
- d) If  $U_1 \subset U_2$  and the inclusion is equivariant, then the factor bundle of  $U_2$  by  $U_1$  is an  $H$ -bundle.
- e) If  $a$  is not greater than the fiber dimension of  $U_1$ , then there is an action

of  $H$  on  $G_a(U_1)$  which makes the projection  $\pi: G_a(U_1) \rightarrow X$  equivariant.

f) The action of  $H$  on  $\pi^*U_1$  restricts to an action on  $L_a$ , which makes  $L_a$  an  $H$ -bundle over  $G_a(U_1)$ .

g) If  $H$  acts differentiably on  $X$  (assumed to be a manifold), then  $TX$  may be given the structure of an  $H$ -bundle.

h) If  $H$  acts differentiably on  $Y$  and  $X$ , then  $Tf: TY \rightarrow TX$  is equivariant.

*Proof.* a) The action of  $h$  on  $U_1^*$  is the dual of the action of  $h^{-1}$  on  $U_1$ .

b) The action of  $h$  on  $U_1 \otimes U_2$  is the tensor product of the actions of  $h$  on the  $U_i$ .

c) An element of  $f^*U_1$  is a pair  $(y, u)$  where  $u \in U_{1_f(y)}$ . Define the action of  $h$  by  $h(y, u) = (hy, hu)$ .

e) Since  $h \in H$  restricts to vector space isomorphisms of fibers, it takes  $a$ -planes into  $a$ -planes.

g) The action of  $h$  on  $TX$  is the derivative of the action of  $h$  on  $X$ .

**Corollary 3.5.** *Let  $E_2$  and  $E_3$  be  $H$ -bundles over  $A$ , and let  $H$  act on  $E_1$  in such a way that  $\pi: E_1 \rightarrow A$  is equivariant. Suppose  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  is  $a$ -uniform and equivariant. Then  $A_a(\gamma)$  is invariant under  $H$ . Furthermore the bundles  $K_a, N_a, s_a^*S_a$  and  $T(E_1, A_a(\gamma))$  are all  $H$ -bundles over  $A_a(\gamma)$ , and the sequence  $0 \rightarrow K_a^* \otimes N_a \rightarrow s_a^*S_a \rightarrow T(E_1, A_a(\gamma)) \rightarrow 0$  is an exact sequence of equivariant maps.*

*Proof.* The equivalences  $T(\pi^{-1}A_a(\gamma), s_a A_a(\gamma)) \rightarrow L_a^* \otimes M_a$  and  $T(G_a(\pi^*E_2), s_a A_a(\gamma)) \rightarrow S_a$  over  $s_a A_a(\gamma)$  are induced by derivatives of equivariant maps. The result is now trivial from Proposition 3.4 and the proof of Theorem 3.2.

#### 4. Invariant submanifolds of $J^{n+1}(p, q)$

Fix subgroups  $\tilde{\mathcal{L}}_p \subset \mathcal{L}_p$  and  $\tilde{\mathcal{L}}_q \subset \mathcal{L}_q$ , and let  $H = \tilde{\mathcal{L}}_p \times \tilde{\mathcal{L}}_q$ .

Let  $A$  be a submanifold of  $J^n(p, q)$  and suppose  $A$  is invariant under  $H$ . Let  $E_1 = \{[\phi]^{n+1} \mid [\phi]^n \in A\}$ .  $H$  acts on  $E_1$  in such a way that the projection  $\pi: E_1 \rightarrow A$  is equivariant.

If  $U$  is an open subset of  $\mathbf{R}^p, f: U \rightarrow \mathbf{R}^q$  and  $x \in U$ , then define a linear map  $Df: \mathbf{R}^p \rightarrow \mathbf{R}^q$  by  $Tf(v_x) = (Df_x(v))_{f(x)}$ .  $Df$  will abbreviate  $Df_0$ .

$H$  acts on  $A \times \mathbf{R}^p; (\alpha, \beta)([\phi]^n, v) = ([\beta\phi\alpha^{-1}]^n, D\alpha(v))$ . Let  $E_2$  be a vector sub-bundle of  $A \times \mathbf{R}^p$ , invariant under  $H$ .  $E_2$  is an  $H$ -bundle over  $A$ .

Note that  $J^0(p, q) = \{0\}$ . Define  $\tilde{J}^0(p, q) = \mathbf{R}^q$  and  $\tilde{J}^m(p, q) = \{[\phi]^m \mid [\phi]^{m-1} = 0\}$  for  $m \geq 1$ . Define an action of  $H$  on  $\tilde{J}^0(p, q)$  by  $(\alpha, \beta)(w) = D\beta(w)$  and an action of  $H$  on  $\tilde{J}^m(p, q), m \geq 1$ , by  $(\alpha, \beta)([\phi]^m) = [\beta\phi\alpha^{-1}]^m$ .

Let  $B$  be a vector sub-bundle of  $A \times \tilde{J}^n(p, q)$  which is invariant under  $H$ . Define  $E_3$  by the exactness of  $0 \rightarrow B \rightarrow A \times \tilde{J}^n(p, q) \rightarrow E_3 \rightarrow 0$ .  $E_3$  is an  $H$ -bundle over  $A$ .

We now proceed to define a bundle morphism  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$ .

If  $m$  is an integer and  $1 \leq \nu \leq m$ , let  $\delta(\nu) = (0, \dots, 0, 1, 0, \dots, 0) \in \mathbf{R}^m$  where the 1 occurs in the  $\nu^{\text{th}}$  position. Let  $\omega = (i_1, \dots, i_p)$  be a tuple of non-

negative integers. Define  $|\omega| = i_1 + \cdots + i_p$  and  $\omega! = i_1! \cdots i_p!$ . If  $\phi \in \mathfrak{F}(p, 1)$ , let  $D_\omega \phi = (\partial^{|\omega|} \phi / \partial x_1^{i_1} \cdots \partial x_p^{i_p})(0)$ . If  $1 \leq j \leq q$ , define  $u(\omega, j) \in \mathfrak{F}(p, q)$  by  $u(\omega, j)(x_1, \dots, x_p) = (1/\omega!) x_1^{i_1} \cdots x_p^{i_p} \delta(j)$ .

If  $n \geq 0$ , define  $H^{n+1}: E_1 \rightarrow A \times (\mathbf{R}^{p^*} \otimes \tilde{J}^n(p, q))$  by

$$H^{n+1}([\phi]^{n+1}) = \left( [\phi]^n, \sum_{|\omega|=n, \nu=1, j=1}^{p, q} D_{\omega+\delta(\nu)} \phi_j \delta(\nu)^* \otimes u(\omega, j) \right),$$

where  $\phi_j$  denotes the  $j^{\text{th}}$  coordinate function of  $\phi$ .

The injection  $E_2 \rightarrow A \times \mathbf{R}^p$  and the epimorphism  $A \times \tilde{J}^n(p, q) \rightarrow E_3$  together induce an epimorphism  $\varepsilon: A \times (\mathbf{R}^{p^*} \otimes \tilde{J}^n(p, q)) \rightarrow E_2^* \otimes E_3$ . Define  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  by  $\gamma = \varepsilon H^{n+1}$ .

**Motivational remarks.** If  $\phi \in \mathfrak{F}(p, q)$ , let  $u_\phi$  denote the projection of  $\phi^n: \mathbf{R}^p \rightarrow J^n(\mathbf{R}^p, \mathbf{R}^q) = \mathbf{R}^p \times \mathbf{R}^q \times J^n(p, q)$  onto  $J^n(p, q)$ .  $J^n(p, q)$  is a vector space, so if  $\phi, \tilde{\phi} \in J^n(p, q)$  then  $\tilde{\phi}_\phi \in TJ^n(p, q)$ . Motivation for studying the map  $\gamma$  comes from the fact that if  $(a_1, \dots, a_p) \in \mathbf{R}^p$  then

$$Tu_\phi(a_1, \dots, a_p)_0 = \left( \sum_{1 \leq |\omega| \leq n, \nu, j} a_\nu D_{\omega+\delta(\nu)} \phi_j u(\omega, j) \right)_{[\phi]^n}.$$

Thus  $\gamma$  is induced by  $Tu_\phi$  and hence  $T\phi^n$  but somewhat artificially. Proper selection of  $A, E_2$  and  $E_3$  makes the correspondence  $T\phi^n \rightarrow \gamma([\phi]^{n+1})$  “natural”. Theorem 4.3 and Proposition 4.4 establish criteria for this to be so. If  $\phi$  is  $A$ -transversal then  $T\phi^n$  determines  $TA(\phi)_0$ . Sometimes (see Proposition 4.5)  $\gamma$  will carry enough information to determine whether  $([\phi]^n, v) \in E_2$  is such that  $v_0 \in TA(\phi)_0$ . This is central to much of what follows and is the main idea of the proof of Boardman’s result, Theorem 6.2.

If  $V$  is a vector space, then  $\bigcirc_m V$  will denote the  $m$ -fold symmetric product of  $V$  with itself, and  $\bigotimes_m V$  denotes the appropriate tensor product so that  $\bigcirc_m V \subset \bigotimes_m V$ .

If  $n \geq 0$ , there is a vector space isomorphism  $\mu_n: \tilde{J}^n(p, q) \rightarrow \left( \bigcirc_n \mathbf{R}^{p^*} \right) \otimes \mathbf{R}^q$  determined by the equations

$$\mu_n(u((i_1, \dots, i_p), j)) = \sum_{x \in I} \delta(k(1))^* \otimes \cdots \otimes \delta(k(n))^* \otimes \delta(j),$$

where  $I = \{k: \{1, \dots, n\} \rightarrow \{1, \dots, p\} | k^{-1}\{\lambda\} \text{ has } i_\lambda \text{ elements whenever } 1 \leq \lambda \leq p\}$ .

The notation in the following is as in § 3.

Let  $\varepsilon_a: G_a(\pi^* E_2) \times (\mathbf{R}^{p^*} \otimes \tilde{J}^n(p, q)) \rightarrow L_a^* \otimes \pi^* \pi^* E_3$  be the epimorphism. Define  $\tilde{S}_a = \varepsilon_a \left( G_a(\pi^* E_2) \times (\text{id} \otimes \mu_n)^{-1} \left( \left( \bigcirc_{n+1} \mathbf{R}^{p^*} \right) \otimes \mathbf{R}^q \right) \right)$ .

**Proposition 4.1.**  $S_a = \tilde{S}_a$ .

*Proof.* The result follows if  $H^{n+1} E_1 = A \times (\text{id} \otimes \mu_n)^{-1} \left( \left( \bigcirc_{n+1} \mathbf{R}^{p^*} \right) \otimes \mathbf{R}^q \right)$ .

That  $H^{n+1}E_1 \subset A \times (\text{id} \otimes \mu_n)^{-1} \left( \left( \bigcirc_{n+1} \mathbf{R}^{p^*} \right) \otimes \mathbf{R}^q \right)$  is apparent from the symmetries of  $(n + 1)^{\text{st}}$  order derivatives. The opposite inclusion is equally simple. q.e.d.

Thus there is a sense in which  $S_a$  is the ‘‘symmetric subset’’ of  $L_a^* \otimes \bar{\pi}^* \pi^* E_3$ . The condition that  $\gamma$  is  $a$ -uniform is the condition that the symmetric subspace of  $(L_a^* \otimes \bar{\pi}^* \pi^* E_3)_{\underline{p}}$  does not depend on the choice of  $\underline{p} \in G_a(\pi^* E_2)$ .

If  $1 \leq m \leq n$ , define  $C_m: J^n(p, q) \rightarrow \tilde{J}^m(p, q)$  by

$$C_m([\phi]^n) = \sum_{|\omega|=m, j} D_\omega \phi_j u(\omega, j) .$$

Recall that if  $\phi \in \mathfrak{F}(p, q)$ , then  $t_\phi: \mathbf{R}^p \rightarrow J(p, q)$  is defined by:  $t_\phi(x)$  is the germ at the origin of  $\phi(x + \cdot) - \phi(x)$ . If  $m \geq 1$ , then  $t_\phi$  induces  $t_{\phi^m}: \mathbf{R}^p \rightarrow J^m(p, q)$ . Note that  $\gamma([\phi]^{n+1})([\phi]^n, v)$ , is the projection of  $([\phi]^n, C_n D t_{\phi^n}(v))$  on  $E_3$ .

**Definition 4.2.** Let  $C \subset J(p, q)$  (or  $C \subset J^m(p, q)$ ).  $C$  will be called translation invariant if, for all  $\phi \in \mathfrak{F}(p, q)$ ,  $t_\phi^{-1}(C)$  (or  $t_{\phi^m}^{-1}(C)$ ) is an open subset of  $\mathbf{R}^p$ .

Whenever  $m \geq 1$ , there is a linear map  $\text{inj}(m) = \text{inj}: J^m(p, q) \rightarrow J^{m+1}(p, p)$  determined by the equations  $\text{inj}(u(\omega, j)) = u(\omega, j)$ .

**Theorem 4.3.** Let  $\tilde{\mathcal{L}}_p, \tilde{\mathcal{L}}_q, A, B, E_1, E_2, E_3$  and  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  be as above, and suppose, in addition, that  $\tilde{\mathcal{L}}_p$  and  $\tilde{\mathcal{L}}_q$  are translation invariant. Then  $\gamma$  is equivariant if the following two conditions are met:

- i)  $n = 0, n = 1$  or  $(\text{inj}(D t_{\phi^{n-1}}(v)))_{[\phi]^n} \in TA$ , whenever  $([\phi]^n, v) \in E_2$ .
- ii)  $n = 0$  or  $([\phi]^n, C_n[\phi]^n) \in B$ , whenever  $([\phi]^n)_{[\phi]^n} \in TA$ .

*Proof.* It suffices to show that whenever  $\alpha \in \tilde{\mathcal{L}}_p$  and  $\beta \in \tilde{\mathcal{L}}_q$  the following two squares are commutative:

$$\begin{array}{ccc} E_1 & \xrightarrow{\gamma} & E_2^* \otimes E_3 \\ \downarrow (\alpha^{-1}, \text{id}) & & \downarrow (\alpha^{-1}, \text{id}) \\ E_1 & \xrightarrow{\gamma} & E_2^* \otimes E_3 \end{array} \qquad \begin{array}{ccc} E_1 & \xrightarrow{\gamma} & E_2^* \otimes E_3 \\ \downarrow (\text{id}, \beta) & & \downarrow (\text{id}, \beta) \\ E_1 & \xrightarrow{\gamma} & E_2^* \otimes E_3 \end{array}$$

We show that the first of these is commutative, the other demonstration being similar.

The commutativity of the square will follow if we can show that if  $[\phi]^{n+1} \in E_1$  and  $v = (a_1, \dots, a_p)$  is such that  $([\phi]^n, v) \in E_2$ , then

$$(*) \quad \left( [\phi \alpha]^n, \sum_{|\omega|=n, i, j, k} D_{\omega + \delta(i)} (\phi_j \alpha) D_{\delta(i)} (\alpha^{-1})_k a_i u(\omega, j) \right. \\ \left. - R_\alpha \sum_{|\omega|=n, i, j} D_{\omega + \delta(i)} (\phi_j) a_i u(\omega, j) \right) \in B ,$$

where  $R_\alpha$  denotes right composition with  $\alpha$ ; left composition will be written in the obvious way.



If  $\eta = (i_1, \dots, i_p)$  and  $1 \leq j \leq p$ , define  $v(\eta, j): \mathbf{R}^p \rightarrow \mathbf{R}^p$  by  $v(\eta, j)(x) = \frac{1}{n!} x_1^{i_1} \dots x_p^{i_p} \delta(j)$ , so  $v(\eta, j) \in J(p, p)$ . If  $1 \leq j \leq q$  and  $\omega$  is a  $p$ -tuple of integers, define  $P(\omega, j): J(p, q) \times J(p, p) \rightarrow \mathbf{R}$  by  $P(\omega, j)(\phi, \rho) = D_\omega(\phi_j \rho)$ .  $\frac{\partial P(\omega, j)}{\partial u(\eta, k)}(\phi, \rho)$  and  $\frac{\partial P(\omega, j)}{\partial v(\eta, k)}(\phi, \rho)$  denote the appropriate partial derivatives evaluated at  $(\phi, \rho)$ . It follows from the chain rule that

$$D_{\omega+\delta(k)}(\phi_j \alpha) = \sum_{|\gamma| \leq |\omega|, \nu} \frac{\partial P(\omega, j)}{\partial u(\eta, j)}(\phi, \alpha) D_{\gamma+\delta(\nu)} \phi_j D_{\delta(k)} \alpha_\nu + \sum_{|\gamma| \leq |\omega|, \nu} \frac{\partial P(\omega, j)}{\partial v(\eta, \nu)}(\phi, \alpha) D_{\gamma+\delta(k)} \alpha_\nu .$$

Thus

$$\begin{aligned} & \sum_{|\omega|=n, i, j, k} D_{\omega+\delta(k)}(\phi_j \alpha) D_{\delta(i)}(\alpha^{-1})_k a_i u(\omega, j) \\ &= \sum_{|\omega|=n, |\gamma| \leq n, i, j, k, \nu} \frac{\partial P(\omega, j)}{\partial u(\eta, j)}(\phi, \alpha) D_{\gamma+\delta(\nu)} \phi_j D_{\delta(k)} \alpha_\nu D_{\delta(i)}(\alpha^{-1})_k a_i u(\omega, j) \\ & \quad + \sum_{|\omega|=n, |\gamma| \leq n, i, j, k, \nu} \frac{\partial P(\omega, j)}{\partial v(\eta, \nu)}(\phi, \alpha) D_{\gamma+\delta(k)} \alpha_\nu D_{\delta(i)}(\alpha^{-1})_k a_i u(\omega, j) \\ &= (1) R_\alpha \sum_{|\gamma|=n, i, j} D_{\gamma+\delta(i)} \phi_j a_i u(\eta, j) \\ & \quad + (2) C_n R_\alpha \sum_{1 \leq |\gamma| \leq n-1, i, j} D_{\gamma+\delta(i)} \phi_j a_i u(\eta, j) \\ & \quad + (3) C_n D(L_\phi)_\alpha \sum_{1 \leq |\gamma| \leq n, \nu, i, k} D_{\gamma+\delta(k)} \alpha_\nu D_{\delta(i)}(\alpha^{-1})_k a_i v(\eta, \nu) . \end{aligned}$$

Now (2) =  $C_n R_\alpha(\text{inj}) D t_{\phi^{n-1}}(v)$  and (3) =  $C_n D(L_\phi)_\alpha D t_\alpha D \alpha^{-1} v$ . Thus to demonstrate (\*) it must be shown that

$$([\phi \alpha]^n, C_n R_\alpha(\text{inj}) D t_{\phi^{n-1}}(v) + C_n D(L_\phi)_\alpha D t_\alpha D \alpha^{-1} v) \in B .$$

But, by i),  $(\text{inj}(D t_{\phi^{n-1}}(v)))_{[\phi]^n} \in TA$ , so  $(R_\alpha(\text{inj})(D t_{\phi^{n-1}}(v)))_{[\phi \alpha]^n} \in TA$ . Thus, by ii),  $([\phi \alpha]^n, C_n R_\alpha(\text{inj}) D t_{\phi^{n-1}}(v)) \in B$ . Since  $\mathcal{L}_p$  is translation invariant,  $t_\alpha(x) \in \mathcal{L}_p$  for small  $x \in \mathbf{R}^p$ . Since  $A$  is invariant under  $\mathcal{L}_p$ ,  $L_\phi \circ t_\alpha(x) \in A$  for small  $x$ . It follows that  $(D(L_\phi)_\alpha D t_\alpha D \alpha^{-1} v)_{[\phi \alpha]^n} \in TA$ . By ii),  $([\phi \alpha]^n, C_n D(L_\phi)_\alpha D t_\alpha D \alpha^{-1} v) \in B$ , and hence the result.

**Proposition 4.4.** *Theorem 4.3 remains valid if  $n = 1$ ,  $\mathcal{L}_q = \{\text{id}\}$ , and condition ii) is replaced by ii)':  $B \supset \{([\phi]^1, [\phi]^1) \mid [\phi]^1 \in A \text{ and image } D\phi \subset \text{image } D\phi\}$ .*

*Proof.* A mild modification of the proof of Theorem 4.3.

**Proposition 4.5.** *Let  $n \geq 1$  and let  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  be as in Theorem 4.3. Suppose, in addition, that  $B = \{([\phi]^n, [\phi]^n) \mid [\phi]^n \in A, [\phi]^n \in \check{J}^n(p, q) \text{ and}$*

$([\phi]^n)_{[\phi]^n \in TA}$ . If  $[\phi]^n \in A$ , let  $U(\phi) = \{v \in \mathbf{R}^p \mid ([\phi]^n, v) \in E_2 \text{ and } Tt_{\phi^n}(v_0) \in TA\}$ . Then  $A_a(\gamma) = \{[\phi]^{n+1} \mid [\phi]^n \in A \text{ and } U(\phi) \text{ is an } a\text{-dimensional vector space}\}$ .

*Proof.* Trivial.

Let  $\gamma$  be  $a$ -uniform. It follows from Proposition 4.1 that

$$S_a = \bar{S}_a = \varepsilon_a \left( G_a(\pi^*E_2) \times (\text{id} \otimes \mu_n)^{-1} \left( \bigcirc_{n+1} \mathbf{R}^{p^*} \right) \otimes \mathbf{R}^q \right).$$

Thus  $S_a$  is a factor bundle of  $G_a(\pi^*E_2) \times \tilde{J}^{n+1}(p, q)$  and  $s_a^*S_a$  is a factor bundle of  $A_a(\gamma) \times \tilde{J}^{n+1}(p, q)$ . It follows from Theorem 3.2 that there is an exact sequence  $0 \rightarrow K_a^* \otimes N_a \rightarrow s_a^*S_a \rightarrow T(E_1, A_a(\gamma)) \rightarrow 0$ . Thus  $T(E_1, A_a(\gamma))$  is a factor bundle of  $A_a(\gamma) \times \tilde{J}^{n+1}(p, q)$ . In fact, if  $\gamma$  is equivariant, there is an exact sequence of  $H$ -bundles and equivariant maps  $0 \rightarrow \bar{B} \rightarrow A_a(\gamma) \times \tilde{J}^{n+1}(p, q) \rightarrow T(E_1, A_a(\gamma)) \rightarrow 0$  over  $A_a(\gamma)$ , where  $\bar{B} = \{(\phi, \psi) \in A_a(\gamma) \times \tilde{J}^{n+1}(p, q) \mid \phi_\psi \in TA_a(\gamma)\}$ .

**Note.** Let  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  be as in Theorem 4.3 with  $n = 0$  or  $B = \{(\phi, \psi) \in A \times \tilde{J}^n(p, q) \mid \phi_\psi \in TA\}$ . Let  $E = \{[\phi]^{n+2} \mid [\phi]^{n+1} \in A_a(\gamma)\}$  and let  $\gamma': E \rightarrow K_a^* \otimes T(E_1, A_a(\gamma))$  be the map induced by  $H^{n+2}: E \rightarrow A_a(\gamma) \times (\mathbf{R}^{p^*} \otimes \tilde{J}^{n+1}(p, q))$ . Then  $\gamma'$  obeys the conditions of Theorem 4.3.

Suppose  $V$  and  $W$  are vector spaces and  $\eta: V \rightarrow W$ . Then  $\eta$  will be called a polynomial function if, relative to some choice of bases, each coordinate function of  $\eta$  is a polynomial in the coordinate functions of  $V$ . This condition does not depend on the choice of bases.

Let  $V$  and  $W$  be vector spaces,  $X$  a subset of  $V$ , and  $C$  a vector subbundle of  $X \times W$ . Suppose  $X$  is determined by polynomial equalities and inequalities.  $C$  will be called polynomially determined if there are an integer  $b$  and a polynomial  $\eta: V \rightarrow \text{Lin}(W, \mathbf{R}^b)$  such that  $(x, w) \in C$  for  $x \in X$  if and only if  $\eta(x)(w) = 0$ .

**Proposition 4.6.** *Let all notation be as in Theorem 4.3. Suppose  $E_2 \subset J^n(p, q) \times \mathbf{R}^p$  and  $B \subset J^n(p, q) \times \tilde{J}^n(p, q)$  are both polynomially determined. Then  $A_a(\gamma)$  is determined by polynomial equalities and inequalities.*

*Proof.* Let  $\sigma: J^n(p, q) \rightarrow \text{Lin}(\mathbf{R}^p, \mathbf{R}^b)$  be a polynomial such that  $([\phi]^n, v) \in E_2$  if and only if  $[\phi]^n \in A$  and  $\sigma([\phi]^n)(v) = 0$ . Let  $\tau: J^n(p, q) \rightarrow \text{Lin}(\tilde{J}^n(p, q), \mathbf{R}^c)$  be a polynomial such that  $([\phi]^n, [\psi]^n) \in B$  if and only if  $[\phi]^n \in A$  and  $\tau([\phi]^n)([\psi]^n) = 0$ . Let  $[\phi]^n \in A$ . Then  $[\phi]^{n+1} \in A_a(\gamma)$  if and only if  $\left\{ (a_1, \dots, a_p) \mid \sigma([\phi]^n)(a_1, \dots, a_p) = 0 \text{ and } \tau([\phi]^n) \left( \sum_{|\omega|=n, v, j} a_v D_{\omega+\delta(v)} \phi_j u(\omega) \right) = 0 \right\}$  is an  $a$ -dimensional vector space. Thus there is a polynomial  $\eta: J^{n+1}(p, q) \rightarrow \text{Lin}(\mathbf{R}^p, \mathbf{R}^{b+c})$  such that  $[\phi]^{n+1} \in A_a(\gamma)$  if and only if  $[\phi] \in A$  and  $\eta([\phi]^{n+1})$  has rank  $p - a$ . Since determinant functions are polynomials, the result follows.

**Proposition 4.7.** *Assume the hypothesis of Proposition 4.6. Then  $K_a$  and  $\bar{B}$  are polynomially determined.*

*Proof.* Let  $\eta$  be the polynomial of the proof of Proposition 4.6. Then  $([\phi]^{n+1}, v) \in K_a$  if and only if  $[\phi]^{n+1} \in A_a(\gamma)$  and  $\eta([\phi]^{n+1})(v) = 0$ . We now show

that  $\bar{B}$  is polynomially determined. If  $\phi \in A_a(\gamma)$ , let  $B_\phi = \{\phi \in \tilde{J}^n(p, q) \mid ([\phi]^n, \phi) \in B\}$  and  $F_\phi = \{w \in \mathbf{R}^{p^*} \mid w(v) = 0 \text{ whenever } (\phi, v) \in K_a\}$ . Let

$$C_\phi = \mu_{n+1}^{-1}(((\text{id} \otimes \mu_n)(\mathbf{R}^{p^*} \otimes B_\phi + F_\phi \otimes \tilde{J}^n(p, q))) \cap \left( \left( \bigcirc_{n+1} \mathbf{R}^{p^*} \right) \otimes \mathbf{R}^q \right)).$$

Let  $C = \{(\phi, \psi) \mid \phi \in A_a(\gamma) \text{ and } \psi \in C_\phi\}$ . The bundle  $C$  is polynomially determined. It follows from Proposition 4.1 and the exactness of  $0 \rightarrow B \rightarrow A \times \tilde{J}^n(p, q) \rightarrow E_3 \rightarrow 0$  that there is an exact sequence  $0 \rightarrow C \rightarrow A \times \tilde{J}^{n+1}(p, q) \rightarrow s_a^* S_a \rightarrow 0$ .

If  $\phi \in A_a(\gamma)$ , let

$$P_\phi = \left\{ \sum_{|\omega|=n, \nu, j} a_\nu D_{\omega+\delta(\nu)} \phi_j u(\omega, j) \mid ([\phi]^n, (a_1, \dots, a_p)) \in E_2 \right\}.$$

Each  $P_\phi$  may be described in terms of polynomials in the coordinates of  $\phi$ . Since  $0 \rightarrow K_a^* \otimes N_a \rightarrow s_a^* S_a \rightarrow T(E_1, A_a(\gamma)) \rightarrow 0$  is exact, so is  $K_a^* \otimes s_a^* S_a \rightarrow T(E_1, A_a(\gamma)) \rightarrow 0$ . It follows that

$$\bar{B} = \left\{ (\phi, \psi) \mid \phi \in A_a(\gamma), \psi \in C_\phi + \mu_{n+1}^{-1} \left( ((\text{id} \otimes \mu_n)(\mathbf{R}^{p^*} \otimes P_\phi)) \cap \left( \left( \bigcirc_{n+1} \mathbf{R}^{p^*} \right) \otimes \mathbf{R}^q \right) \right) \right\}$$

and is therefore polynomially determined.

### 5. Singularities of mappings

Let  $V$  be a manifold of type  $G$ , and suppose  $G$  acts on  $F$ .  $\underline{F}$  will denote the bundle with base  $V$ , fiber  $F$  and group  $G$ . If  $U$  is a subset of  $F$ , which is invariant under  $G$ , then  $\underline{U}$  is a sub-bundle of  $\underline{F}$ . Let  $W$  be a bundle over  $U$ , and suppose  $G$  acts on  $W$  in such a way that the bundle projection  $W \rightarrow U$  is equivariant. Then  $W$  induces a bundle  $\underline{W}$  over  $\underline{U}$  with group  $G$  and fiber that of  $W$ . Suppose  $G$  acts on bundles  $W_1$  and  $W_2$  over  $U$  in such a way that the bundle projections are equivariant. If  $\phi: W_1 \rightarrow W_2$  is an invariant bundle morphism, then  $\phi$  induces a morphism  $\underline{\phi}: \underline{W}_1 \rightarrow \underline{W}_2$ . If  $W_1$  and  $W_2$  are  $G$ -bundles and  $\phi: W_1 \rightarrow W_2$  is an equivariant morphism of vector bundles, then  $\underline{\phi}$  is a morphism of vector bundles. Furthermore, — takes commutative diagrams into commutative diagrams and exact sequences into exact sequences.

Let all notation be as in § 4, and  $\tilde{\mathcal{L}}_p$  and  $\tilde{\mathcal{L}}_q$  translation invariant subgroups of  $\mathcal{L}_p$  and  $\mathcal{L}_q$  respectively. Suppose  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  is  $a$ -uniform and satisfies the hypotheses of either Theorem 4.3 or Proposition 4.4 (so  $\gamma$  is equivariant). Let  $X$  be a manifold of type  $\tilde{\mathcal{L}}_p$  and  $Y$  a manifold of type  $\tilde{\mathcal{L}}_q$ . It follows from Corollary 3.5 that over  $J_{A_a(\gamma)}^{n+1}(X, Y)$  there is an exact sequence

$$0 \rightarrow \underline{K}_a^* \otimes N_a \rightarrow s_a^* S_a \rightarrow T(J_{E_1}^{n+1}(X, Y), J_{A_a(\gamma)}^{n+1}(X, Y)) \rightarrow 0.$$

Note also that  $\underline{K_a^*} \otimes \underline{N_a} \approx (\underline{K_a})^* \otimes \underline{N_a}$ . In the future, underlines will be dropped,  $\underline{s_a^* S_a}$  will be abbreviated to  $F_a$ , and  $T(J_{E_1}^{n+1}(X, Y), J_{A_a(\gamma)}^{n+1}(X, Y))$  to  $R_a$ . Thus the above sequence becomes  $0 \rightarrow K_a^* \otimes N_a \rightarrow F_a \rightarrow R_a \rightarrow 0$  over  $J_{A_a(\gamma)}^{n+1}(X, Y)$ .

Let  $r_n: J^n(X, Y) \rightarrow J^{n-1}(X, Y)$ ,  $r^n: J^n(X, Y) \rightarrow X \times Y$  for  $n \geq 1$ , and  $\varepsilon_1: X \times Y \rightarrow X$  and  $\varepsilon_2: X \times Y \rightarrow Y$  be the projections. For  $n \geq 1$ , define  $\tilde{J}^n(X, Y) = \{\phi \in J^n(X, Y) \mid \phi \text{ is } (n-1)\text{-equivalent to a constant germ}\}$ .  $\tilde{J}^n(X, Y)$  is a vector bundle over  $X \times Y$  and, in fact,  $\tilde{J}^n(X, Y) \approx \left( \bigcirc_n \varepsilon_1^* TX^* \right) \otimes \varepsilon_2^* TY \approx \{0\} \times \tilde{J}^n(p, q)$ .

$E_3$  is a factor bundle of  $r^{n*} \tilde{J}^n(X, Y)$  over  $J_A^n(X, Y)$  because of the exactness of  $0 \rightarrow B \rightarrow A \times \tilde{J}^n(p, q) \rightarrow E_3 \rightarrow 0$  over  $A$ . Thus if  $n = 1$ , then  $E_3$  is a factor bundle of  $TJ^1(X, Y) = Tr_1^{-1} Tr_1 J_A^1(X, Y)$  over  $J_A^1(X, Y)$ . Note that for  $n \geq 1$  there is an exact sequence  $0 \rightarrow r^{n*} \tilde{J}^n(X, Y) \rightarrow TJ^n(X, Y) \rightarrow r_n^* TJ^{n-1}(X, Y) \rightarrow 0$ , so  $B$  is a sub-bundle of  $TJ^n(X, Y)$  over  $J_A^n(X, Y)$ . If  $n \geq 2$ , it follows from the hypotheses of Theorem 4.3 that there is an exact sequence  $0 \rightarrow TJ_A^n(X, Y) + B \rightarrow Tr_n^{-1} Tr_n TJ_A^n(X, Y) \rightarrow E_3 \rightarrow 0$ . Therefore for  $n \geq 1$  there is an epimorphism  $\varepsilon: Tr_n^{-1} Tr_n TJ_A^n(X, Y) \rightarrow E_3$ . If  $n = 0$ , then  $E_3$  is a factor bundle of  $TY$  over  $Y$ . The epimorphism  $TY \rightarrow E_3$  will also be denoted  $\varepsilon$ .

Let  $f: X \rightarrow Y$ .  $A_a(\gamma)(f)$  will be abbreviated to  $A_a(f)$ . Note finally that if  $n \geq 1$ , then  $f^{n*} E_2$  is a sub-bundle of  $TX$  over  $A(f)$ . In the case  $n = 0$ ,  $E_2$  is a subbundle of  $TX$ .

**Proposition 5.1.** *Let  $n = 0$  and  $f: X \rightarrow Y$ . Then  $A_a(f) = \{x \in X \mid \text{dimension kernel } (\varepsilon \circ Tf) \mid (E_2)_x = a\}$ .*

*Proof.* Trivial.

**Proposition 5.2.** *Let  $n \geq 1$  and  $f: X \rightarrow Y$ . Then  $A_a(f) = \{x \in A(f) \mid \text{dimension kernel } (\varepsilon \circ Tf^n) \mid (f^{n*} E_2)_x = a\}$ .*

*Proof.* This is a local question. Assume  $X = \mathbf{R}^p$ ,  $Y = \mathbf{R}^q$ ,  $x = 0$ ,  $f(0) = 0$ , and  $0 \in A(f)$ .  $J^n(\mathbf{R}^p, \mathbf{R}^q) = \mathbf{R}^n \times \mathbf{R}^q \times J^n(p, q)$ . Let  $\tilde{f}^n$  be the projection of  $f^n$  on  $J^n(p, q)$ .  $T\tilde{f}^n(v_0) = (Dt_{\tilde{f}^n}(v))_{[\tilde{f}^n]}$ . Let  $v_0 \in f^{n*} E_2$ , implying  $([f]^n, v) \in E_2$  so  $((\text{inj})Dt_{\tilde{f}^n}(v))_{[\tilde{f}^n]} \in TA$ . It follows that for  $v = (a_1, \dots, a_p)$ ,  $(\varepsilon \circ Tf^n)(v_0) = 0$  if and only if  $\left( [f]^n, \sum_{|\omega|=n, v, j} a_v D_{\omega+\delta(v)} f_j u(\omega, j) \right) \in B$ . Thus  $0 \in A_a(f)$  if and only if kernel  $(\varepsilon \circ Tf^n) / (f^{n*} E_2)_0$  has dimension  $a$ . q.e.d.

$R_a$  is a factor bundle of  $r^{n+1*} \tilde{J}^{n+1}(X, Y)$  over  $J_{A_a(\gamma)}^{n+1}(X, Y)$ . Thus, if  $f: X \rightarrow Y$ , then  $f^{n+1*} R_a$  is a factor bundle of  $\left( \bigcirc_{n+1} TX^* \right) \otimes f^* TY$ .

Suppose  $f$  is  $A$ -transversal; so  $A(f)$  is a manifold.  $Tf^n(TA(f)) \subset TJ_A^n(X, Y)$  so  $Tf^{n+1}(TA(f)) \subset Tr_{n+1}^{-1} TJ_A^n(X, Y) = TJ_{E_1}^{n+1}(X, Y)$ . Since there is a map  $TJ_{E_1}^{n+1}(X, Y) \rightarrow R_a$  over  $A_a(\gamma)$ ,  $Tf^{n+1}$  induces a map  $TA(f) \rightarrow R_a$  over  $A_a(f)$  and hence a map  $\phi: TA(f) \rightarrow f^{n+1*} R_a$  over  $A_a(f)$ .

Since  $f$  is  $A$ -transversal,  $Tf^{n+1}$  induces an exact commutative diagram

$$\begin{array}{ccccccc}
 0 \rightarrow TA(f) & \longrightarrow & TX & \longrightarrow & T(X, A(f)) & \longrightarrow & 0 \\
 \downarrow \phi & & \downarrow \eta & & \cong & & \\
 0 \rightarrow f^{n+1*}R_a & \rightarrow & f^{n+1*}T(J^{n+1}(X, Y), J_{A_a(\gamma)}^{n+1}(X, Y)) & \rightarrow & f^{n*}T(J^n(X, Y), J_a^n(X, Y)) & \rightarrow & 0
 \end{array}$$

over  $A_a(f)$ .  $f$  is  $A_a(\gamma)$ -transversal if and only if  $\eta$  is an epimorphism if and only if  $\phi$  is an epimorphism. Hence we have shown

**Proposition 5.3.** *Let  $f: X \rightarrow Y$ . Then  $f^{n+1*}R_a$  is a factor bundle of  $\left(\bigcirc_{n+1} TX^*\right) \otimes f^*TY$  over  $A_a(f)$ . If  $f$  is  $A$ -transversal, then  $Tf^{n+1}$  induces a map  $TA(f) \rightarrow f^{n+1*}R_a$  over  $A_a(f)$ .  $f$  is  $A_a(\gamma)$ -transversal if and only if this map is an epimorphism.*

Let  $f$  be  $A_a(\gamma)$ -transversal,  $x \in A(f)$  and  $v \in TX_x$ . Then  $v \in TA_a(f)$  if and only if  $Tf^{n+1}(v) \in TJ_{A_a(\gamma)}^{n+1}(X, Y)$ . Thus

**Proposition 5.4.** *Let  $f$  be  $A_a(\gamma)$ -transversal. Then, over  $A_a(f)$ ,  $TA_a(f)$  is the kernel of  $TA(f) \rightarrow f^{n+1*}R_a$ .*

### 6. Examples and applications

Let  $V$  be a vector bundle over  $X$ , and suppose  $W_1$  is a factor bundle of  $\bigcirc_m V$  and  $W_2$  is a factor bundle of  $\bigcirc_n V$ . Then  $W_1 \otimes W_2$  is a factor bundle of  $\left(\bigcirc_m V\right) \otimes \left(\bigcirc_n V\right) \rightarrow W_1 \otimes W_2$ . Define  $W_1 \circ W_2$  to be the image of  $\bigcirc_{m+n} V$ . Since the fiber dimension of  $W_1 \circ W_2$  may vary from point to point of  $X$ ,  $W_1 \circ W_2$  is not necessarily a bundle.

If  $W_1$  is a factor bundle of  $X \times \left(\bigcirc_m R^{p*}\right)$  and  $W_2$  is a factor bundle of  $X \times \tilde{J}^n(p, q)$ , then  $W_1 \otimes W_2$  is a factor bundle of  $X \times \left(\left(\bigcirc_m R^{p*}\right) \otimes \tilde{J}^n(p, q)\right) = X \times \left(\left(\bigcirc_m R^{p*}\right) \otimes \left(\bigcirc_n R^{p*}\right) \otimes R^q\right)$ . Define  $W_1 \circ W_2$  to be the image of  $X \times \left(\left(\bigcirc_{m+n} R^{p*}\right) \otimes R^q\right) = X \times \tilde{J}^{m+n}(p, q)$ . Once again,  $W_1 \circ W_2$  need not be a bundle.

Consideration of the special case, where  $X$  is a point, yields similar definitions for the symmetric product of appropriate vector spaces.

Let  $W_1, W_2$  and  $W_3$  be factor bundles of  $X \times \left(\bigcirc_k V\right)$ ,  $X \times \left(\bigcirc_m V\right)$ , and  $X \times \left(\bigcirc_n V\right)$  respectively, and suppose  $W_1 \circ W_2$  and  $W_2 \circ W_3$  are bundles. Then  $W_1 \circ (W_2 \circ W_3) = (W_1 \circ W_2) \circ W_3$ , so parentheses may be removed without introducing ambiguity. Similarly, if  $W_1, W_2$  and  $W_3$  are factor bundles of  $X \times \left(\bigcirc_k R^{p*}\right)$ ,  $X \times \left(\bigcirc_m R^{p*}\right)$ , and  $X \times \tilde{J}^n(p, q)$  respectively.

If  $0 \leq p \leq q$ , there is an epimorphism  $R^q \rightarrow R^p$  defined by  $(x_1, \dots, x_q) \rightarrow$

$(x_1, \dots, x_p)$ . Suppose  $I^m = (a_1, \dots, a_m)$  is such that each  $a_i$  is a non-negative integer and  $a_1 \geq \dots \geq a_m$ . Since each of the vector spaces  $R^{a_i}$  is a factor space of  $R^{a_1}, R^{a_m} \circ \dots \circ R^{a_1}$  is defined. Define  $P(I^m) = \text{dimension}(R^{a_m} \circ \dots \circ R^{a_1})$ .

**Lemma 6.1.** *Let  $W_1, \dots, W_m$  be vector bundles over  $X$ , and suppose that for each  $i$  there is an epimorphism  $W_i \rightarrow W_{i+1}$ . Then  $W_m \circ \dots \circ W_1$  is a vector bundle. If, for each  $i$ ,  $\dim W_i = a_i$ , then  $\dim(W_m \circ \dots \circ W_1) = P(a_1, \dots, a_m)$ .*

*Proof.* Straightforward.

Let  $p$  and  $q$  be given. Define an admissible sequence of length  $n$  to be a tuple  $(a_1, \dots, a_n)$  of non-negative integers such that  $a_1 \geq p - q$  and  $p \geq a_1 \geq \dots \geq a_n$ . If  $I^n = (a_1, \dots, a_n)$  is an admissible sequence of length  $n$  and  $0 \leq m \leq n$ , then  $I^m = (a_1, \dots, a_m)$  is an admissible sequence of length  $m$ .

Fix an admissible sequence  $I^n = (a_1, \dots, a_n)$ . If  $0 \leq i \leq j$ , let  $r_i^j: J^j(p, q) \rightarrow J^i(p, q)$  be the projection.

Define  $Z(\phi) = \{0\} = J^0(p, q)$ . Let  $E_2^0 = Z(\phi) \times R^p$  and  $E_3^0 = Z(\phi) \times R^q$ . Now suppose that whenever  $1 \leq m \leq n - 1$ ,  $Z(I^m)$  is a submanifold of  $J^m(p, q)$ . If  $1 \leq m \leq n$ , let  $E_1^m = \{\phi \in J^m(p, q) \mid [\phi]^{m-1} \in Z(I^{m-1})\}$ . If  $1 \leq m \leq n - 1$ , let  $B^m = \{(\phi, \psi) \in Z(I^m) \times \tilde{J}^m(p, q) \mid \phi_\psi \in TZ(I^m)\}$  and assume it to be a bundle over  $Z(I^m)$ . If  $1 \leq m \leq n - 1$ , define  $E_3^m$  over  $Z(I^m)$  by the exactness of  $0 \rightarrow B^m \rightarrow Z(I^m) \times \tilde{J}^m(p, q) \rightarrow E_3^m \rightarrow 0$ . If  $0 \leq m \leq n - 1$ , let  $E_2^m$  be a vector sub-bundle of  $Z(I^m) \times R^p$ . If  $0 \leq m \leq n - 1$ ,  $H^{m+1}: E_1^{m+1} \rightarrow Z(I^m) \times (R^{p*} \otimes \tilde{J}^m(p, q))$  induces  $\gamma^{m+1}: E_1^{m+1} \rightarrow E_2^{m*} \otimes E_3^m$ . If  $0 \leq m \leq n - 2$ , suppose  $\gamma^{m+1}$  is  $a_{m+1}$ -uniform and  $Z(I^{m+1}) = Z(I^m)_{a_{m+1}}(\gamma^{m+1})$ . Define  $Z(I^n) = Z(I^{n-1})_{a_n}(\gamma^n)$ . If  $0 \leq m \leq n - 1$ ,  $\gamma^{m+1}$  induces a map  $r_m^{m+1*} E_2^m \rightarrow r_m^{m+1*} E_3^m$  over  $E_1^{m+1}$ . If  $0 \leq m \leq n - 2$ , suppose this map induces an exact sequence  $0 \rightarrow E_2^{m+1} \rightarrow r_m^{m+1*} E_2^m \rightarrow r_m^{m+1*} E_3^m \rightarrow Q^{m+1} \rightarrow 0$  over  $Z(I^{m+1})$  defining  $Q^{m+1}$ . (Note that the bundles  $E_2^m$  and the sets  $Z(I^m)$  are defined inductively.) Define bundles  $E_2^n$  and  $Q^n$  over  $Z(I^n)$  by the exactness of  $0 \rightarrow E_2^n \rightarrow r_{n-1}^n * E_2^{n-1} \rightarrow r_{n-1}^n * E_3^{n-1} \rightarrow Q^n \rightarrow 0$ . If  $1 \leq m \leq n$ , define a bundle  $N^m$  over  $Z(I^m)$  by the exactness of  $0 \rightarrow E_2^m \rightarrow r_{m-1}^m * E_2^{m-1} \rightarrow N^m \rightarrow 0$ .

Let  $\bar{\pi}: G_{a_n}(r_{n-1}^n * E_2^{n-1}) \rightarrow E_1^n$  be the bundle projection, and  $0 \rightarrow L_{a_n} \rightarrow \bar{\pi}^* r_{n-1}^n * E_2^{n-1} \rightarrow M_{a_n} \rightarrow 0$  the usual sequence as in § 2. If  $s^n: Z(I^n) \rightarrow G_{a_n}(r_{n-1}^n * E_2^{n-1})$  is the standard section, then  $s^n * L_{a_n} = E_2^n$  and  $s^n * M_{a_n} = N^n$ .

If  $1 \leq i \leq n - 1$ ,  $\gamma^i: E_1^i \rightarrow E_2^{i-1*} \otimes E_3^{i-1}$  over  $Z(I^{i-1})$  induces a monomorphism  $N^i \rightarrow r_{i-1}^i * E_3^{i-1}$  and hence, over  $G_{a_n}(r_{n-1}^n * E_2^{n-1})$ , a monomorphism

$$\begin{aligned} L_{a_n}^* \circ \bar{\pi}^*(r_{n-1}^n * E_2^{n-1*} \circ \dots \circ r_i^n * E_2^{i*}) \otimes \bar{\pi}^* r_i^n * N_i \\ \rightarrow L_{a_n}^* \circ \bar{\pi}^*(r_{n-1}^n * E_2^{n-1*} \circ \dots \circ r_i^n * E_2^{i*}) \otimes \bar{\pi}^* r_{i-1}^n * E_3^{i-1}. \end{aligned}$$

It is annoying but straightforward to show that the image of this map is contained in the symmetric subset  $L_{a_n}^* \circ \bar{\pi}^*(r_{n-1}^n * E_2^{n-1*} \circ \dots \circ r_i^n * E_2^{i*} \circ r_{i-1}^n * E_3^{i-1})$ .  $0 \rightarrow N^i \rightarrow r_{i-1}^i * E_3^{i-1} \rightarrow Q^i \rightarrow 0$  and  $0 \rightarrow E_2^i \otimes N^i \rightarrow E_2^i \circ r_{i-1}^i * E_3^{i-1} \rightarrow E_2^i \circ Q^i \rightarrow 0$  are exact. But for  $1 \leq i \leq n - 1$ ,  $E_2^i \circ Q^i \approx E_3^i$  by Proposition 4.1 and Theorem 3.2. Thus over each point of  $G_{a_n}(r_{n-1}^n * E_2^{n-1})$  there are exact sequences:



ii) if  $X$  is a manifold of type  $\tilde{\mathcal{L}}_p$ ,  $Y$  is a  $q$ -manifold and  $f: X \rightarrow Y$  is  $Z(a)$ -transversal, then  $Z(a \perp b)(f) = \{x \in Z(a)(f) \mid \text{the intersection of the vector space normal to } TZ(a)(f)_x \text{ with kernel } Tf_x \text{ is } b\text{-dimensional}\}$ .

*Proof.* Over  $Z(a)$ ,  $H^1$  induces an exact sequence  $0 \rightarrow K_a \rightarrow Z(a) \times \mathbf{R}^p \rightarrow Z(a) \times \mathbf{R}^a \rightarrow Q_a \rightarrow 0$ . Furthermore,  $T(J^1(p, q), Z(a)) \approx K_a^* \otimes Q_a$ . Define  $E$  over  $Z(a)$  by  $E = \{(\phi, v) \in Z(a) \times \mathbf{R}^p \mid v \text{ is perpendicular to } w \text{ whenever } (\phi, w) \in K_a\}$ ,  $E$  is an  $\tilde{\mathcal{L}}_p \times \mathcal{L}_q$  bundle over  $Z(a)$  with fiber dimension  $p - a$ .  $H^2$  induces  $\gamma^2: (r_1^2)^{-1}Z(a) \rightarrow E^* \otimes K_a^* \otimes Q_a$ . Define  $Z(a \perp b) = Z(a)_{p-a(q-p+a)-(a-b)}(\gamma^2)$ .  $\gamma^2$  is  $p - a(q - p + a) - (a - b)$  uniform since  $E \cap K_a$  is the zero section of  $Z(a) \times \mathbf{R}^p$ . Over  $Z(a \perp b)$ ,  $\gamma^2$  induces an exact sequence  $0 \rightarrow K_{a \perp b} \rightarrow r_1^{2*}E \rightarrow r_1^{2*}(K_a^* \otimes Q_a)$  where  $\dim(K_{a \perp b}) = p - a(q - p + a) - (a - b)$ . If  $N_{a \perp b}$  is defined by the exactness of  $0 \rightarrow K_{a \perp b} \rightarrow r_1^{2*}E \rightarrow N_{a \perp b} \rightarrow 0$ , there is an exact sequence  $0 \rightarrow K_{a \perp b}^* \otimes N_{a \perp b} \rightarrow K_{a \perp b}^* \otimes r_1^{2*}(K_a^* \otimes Q_a) \rightarrow T((r_1^2)^{-1}Z(a), Z(a \perp b)) \rightarrow 0$ . That  $Z(a \perp b)$  is invariant under  $\tilde{\mathcal{L}}_p \times \mathcal{L}_q$  is immediate from Theorem 4.3. It remains to show ii).

Let  $X$  be a manifold of type  $\tilde{\mathcal{L}}_p$  and  $Y$  a  $q$ -manifold. Let  $f: X \rightarrow Y$  be  $Z(a)$ -transversal and let  $x \in Z(a)(f)$ . By Proposition 5.4,  $x \in Z(a \perp b)$  if and only if  $(f^*E)_x \cap (TZ(a)(f))_x$  has dimension  $p - a(q - p + a) - (a - b)$ . But

$$((f^*E)_x \cup TZ(a)(f)_x)^\perp = (f^*E)_x^\perp + TZ(a)(f)_x^\perp = (f^*K_a)_x + TZ(a)(f)_x^\perp.$$

Thus  $x \in Z(a \perp b)$  if and only if

$$\begin{aligned} & a(q - p + a) + (a - b) \\ &= \dim((f^*E)_x \cap TZ(a)(f)_x)^\perp = \dim((f^*K_a)_x + TZ(a)(f)_x^\perp) \\ &= \dim f^*K_a + \dim TZ(a)(f)^\perp - \dim((f^*K_a)_x \cap TZ(a)(f)_x^\perp) \\ &= a + a(q - p + a) - \dim((f^*K_a)_x \cap TZ(a)(f)_x^\perp) \end{aligned}$$

if and only if  $\dim((f^*K_a)_x \cap TZ(a)(f)_x^\perp) = b$ . q.e.d.

Obviously Proposition 6.3 is not the most general result possible. One can construct invariant manifolds by combining perpendicularity considerations with the constructions of Theorem 6.2.

**Proposition 6.4.** Let  $\tilde{\mathcal{L}}_p \subset \mathcal{L}_p$  be translation invariant,  $\tilde{\mathcal{L}}_q = \{\text{id}\}$ , and  $Q_a$  be as in the proof of Proposition 6.3. Let  $E$  be a vector sub-bundle of  $Z(a) \times \mathbf{R}^p$  invariant under the action of  $\tilde{\mathcal{L}}_p$ , and  $\gamma^2: (r_1^2)^{-1}Z(a) \rightarrow E^* \otimes (Z(a) \times \mathbf{R}^{p*}) \otimes Q_a$  be the map induced by  $H^2$ . If  $b \leq \dim E$ , then  $Z(a)_b(\gamma^2)$  is a manifold and is invariant under  $\tilde{\mathcal{L}}_p$ .

*Proof.*  $\gamma^2$  is  $b$ -uniform by Lemma 6.1, so  $Z(a)_b(\gamma^2)$  is a manifold.  $\gamma^2$  is equivariant by Proposition 4.4. so  $Z(a)_b(\gamma^2)$  is invariant under  $\tilde{\mathcal{L}}_p$ . q.e.d.

We conclude this section with an application of Proposition 6.4.

Let  $X$  be a  $p$ -manifold, and  $f: X \rightarrow \mathbf{R}^q$  an immersion.  $f$  induces a map  $\tilde{f}: X \rightarrow G_p(\mathbf{R}_q)$  defined by  $Tf(TX_x) = (\tilde{f}(x))_{f(x)}$ . According to Proposition 2.2,



$\tilde{f}^*TG_p(\mathbf{R}^q) \approx TX^* \otimes f^*Q_0$ . Thus  $T\tilde{f}$  induces a map  $\phi: TX \rightarrow TX^* \otimes f^*Q_0$ . If, in Proposition 6.4,  $a = 0$  and  $E = Z(0) \times \mathbf{R}^p$ , then a straightforward local analysis shows that  $\phi = f^*\gamma^2$ . It follows from Proposition 6.4 that for  $b \leq p$  and  $f$  suitably transversal,  $Z_b(\tilde{f})$  is a submanifold of  $X$ . Define bundles  $K_b^2$  and  $N_b^2$  over  $Z_b(\tilde{f})$  by the exactness of the sequences  $0 \rightarrow K_b^2 \rightarrow TX \rightarrow TX^* \otimes f^*Q_0$  and  $0 \rightarrow K_b^2 \rightarrow TX \rightarrow N_b^2 \rightarrow 0$ . For  $Z(0)_b(\gamma^2)$ -transversal immersions  $f$  there is an exact sequence  $0 \rightarrow K_b^{2*} \otimes N_b^2 \rightarrow K_b^{2*} \circ TX^* \otimes f^*Q_0 \rightarrow T(X, Z_b(\tilde{f})) \rightarrow 0$  over  $Z_b(\tilde{f})$ . Thus  $T(X, Z_b(\tilde{f}))$  has dimension  $(\frac{1}{2}b(b+1) + b(p-b))(q-p) - b(p-b) = \frac{1}{2}b(b+1)(q-p) + b(p-b)(q-p-1)$ .

**Proposition 6.5.** *Let  $X$  be a compact  $p$ -manifold and let  $q \geq p + 2$ . Then there is a set  $\mathcal{S}$  of immersions of  $X$  into  $\mathbf{R}^q$ , which is open and dense in the set of all immersions of  $X$  into  $\mathbf{R}^q$  (in  $\mathcal{C}^2(X, \mathbf{R}^q)$ ) such that  $\tilde{f}$  is an immersion for each  $f \in \mathcal{S}$ .*

*Proof.* If  $b \geq 1$  and  $q \geq p + 2$ , then  $\frac{1}{2}b(b+1)(q-p) + b(p-b)(q-p-1) \geq b(b+1) + b(p-b) = b(p+1) > p$ .

## 7. Characteristic classes

In this section it will be shown that there is a connection between certain kinds of singularities of nice maps of manifolds and the Whitney classes of the domain and target manifolds. Since the results are fragmentary, only a sketch of the methodology will be given. The approach was outlined by Porteous in [5].

Let  $\tilde{\mathcal{L}}_p$  (respectively  $\tilde{\mathcal{L}}_q$ ) be a subgroup of  $\tilde{\mathcal{L}}_p$  (respectively  $\mathcal{L}_q$ ), and  $A \subset J^n(p, q)$  a manifold invariant under  $\tilde{\mathcal{L}}_p \times \tilde{\mathcal{L}}_q$ . Let  $E_1 = \{[\phi]^{n+1} \mid [\phi]^n \in A\}$ , and let  $\pi: E_1 \rightarrow A$  be the bundle projection. Let  $E_2$  be a vector sub-bundle of  $A \times \mathbf{R}^p$ , which is invariant under  $\tilde{\mathcal{L}}_p \times \tilde{\mathcal{L}}_q$ , and let  $0 \rightarrow B \rightarrow A \times J^n(p, q) \rightarrow E_3 \rightarrow 0$  be an exact sequence over  $A$  with  $B$  invariant under  $\tilde{\mathcal{L}}_p \times \tilde{\mathcal{L}}_q$ . Let  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  be the map induced by  $H^{n+1}$ , and suppose that  $\gamma$  is equivariant and  $a$ -uniform ( $a \leq$  fiber dimension  $E_2$ ). Let  $X$  be a manifold of type  $\tilde{\mathcal{L}}_p$ , and  $Y$  a manifold of type  $\tilde{\mathcal{L}}_q$ .

Then, as in § 5,  $J_A^n(X, Y)$  and  $J_{A_a(r)}^{n+1}(X, Y)$  are manifolds, and  $E_2$  and  $E_3$  determine bundles (also denoted  $E_2$  and  $E_3$ ) over  $J_A^n(X, Y)$ . Also  $\gamma$  induces a map  $\gamma: J_{E_1}^n(X, Y) \rightarrow E_2^* \otimes E_3$  over  $J_A^n(X, Y)$ , and we have a bundle  $G_a(\pi^*E_2)$  over  $J_{E_1}^{n+1}(X, Y)$  and an exact sequence  $0 \rightarrow L_a \rightarrow \tilde{\pi}^*E_2 \rightarrow M_a \rightarrow 0$  over  $G_a(\pi^*E_2)$  where  $\tilde{\pi}: G_a(\pi^*E_2) \rightarrow J_{E_1}^{n+1}(X, Y)$  is the bundle projection. Let  $\gamma_a: G_a(\pi^*E_2) \rightarrow L_a^* \otimes \tilde{\pi}^*\pi^*E_3$  be the section induced by  $\gamma$ . Since  $\gamma$  is  $a$ -uniform, there is a symmetric sub-bundle  $S_a$  of  $L_a^* \otimes \tilde{\pi}^*\pi^*E_3$ , containing the image of  $\gamma_a$ , such that  $\gamma_a$  is a transversal section of  $S_a$ .

Let  $f: X \rightarrow Y$ .  $f^{n+1}$  induces a map  $\tilde{f}: G_a(f^nE_2) \rightarrow G_a(\pi^*E_2)$ . If  $\tilde{\pi}: G_a(\pi^*E_2) \rightarrow A(f)$  is the bundle projection, and  $0 \rightarrow \tilde{L}_a \rightarrow \tilde{\pi}^*f^nE_2 \rightarrow \tilde{M}_a \rightarrow 0$  is the obvious sequence over  $G_a(f^nE_2)$ , then  $\tilde{L}_a = \tilde{f}^*L_a$  and  $\tilde{M}_a = \tilde{f}^*M_a$ .  $\gamma: E_1 \rightarrow E_2^* \otimes E_3$  induces a vector bundle morphism  $\tilde{\gamma}: f^nE_2 \rightarrow f^nE_3$  which, in turn, induces a

section  $\tilde{\gamma}_a: G_a(f^{n^*}E_2) \rightarrow \tilde{L}_a^* \otimes \tilde{\pi}^*f^{n^*}E_3$ . Since  $\tilde{\gamma}_a$  is the pullback  $\tilde{f}^*\gamma_a$  of the section  $\gamma_a$ , the image of  $\tilde{\gamma}_a$  is contained in the symmetric sub-bundle  $\tilde{f}^*S_a$ . Note that  $A_a(f) = \{x \in A(f) \mid \text{dimension kernel } \tilde{\gamma}_x = a\}$ . Define a section  $\tilde{s}_a: A_a(f) \rightarrow G_a(f^{n^*}E_2)$  by  $\tilde{s}_a(x) = \text{kernel } \tilde{\gamma}_x$ . Suppose  $f$  is  $A$ -transversal. It is not difficult to show that  $f$  is  $A_a(\gamma)$ -transversal if and only if  $\tilde{\gamma}_a$  is a transversal section of  $\tilde{f}^*S_a$  on  $\tilde{s}_a A_a(f)$ .

If  $U$  is a topological space, then  $H_*(U)(H^*(U))$  will denote the singular homology (cohomology) of  $U$  with  $Z_2$ -coefficients. Let  $U_1$  and  $U_2$  be compact manifolds with  $U_1 \subset U_2$ . If  $i: U_1 \rightarrow U_2$  is the inclusion,  $i_*: H_*(U_1) \rightarrow H_*(U_2)$  is the group homomorphism induced by  $i$ , and  $u$  is the fundamental cycle of  $U_1$ , then the dual to  $i_*u$  in  $H^*(U_2)$  will be called the dual to  $U_1$  in  $U_2$ , and will be denoted  $D(U_2, U_1)$ .

Let  $E$  be an  $m$ -dimensional vector bundle over a compact manifold  $U$ .  $W(E) = 1 + W_1(E) + \dots + W_m(E)$  will denote the Whitney class of  $E$ . If  $\sigma: U \rightarrow E$  is a transversal section and  $Z$  is the zero set of  $\sigma$ , then  $W_m(E)$  is the dual to  $Z$  in  $U$ .

If  $A_b(f) = \phi$  for each  $b > a$ , then  $\tilde{s}_a A_a(f)$  is the zero set of  $\tilde{\gamma}_a$ . Hence the following

**Lemma 7.1.** *Suppose the fiber dimension of  $S_a$  is  $m$ . Let  $f: X \rightarrow Y$  be  $A_a(\gamma)$ -transversal. suppose  $A(f)$  is compact and  $A_b(f) = \phi$  for each  $b > a$ . Then the dual to  $\tilde{s}_a A_a(f)$  in  $G_a(f^{n^*}E_2)$  is  $W_m(\tilde{f}^*S_a)$ .*

If dimension  $E_2 = 1$ , then  $G_1(f^{n^*}E_2) = A(f)$  and  $\tilde{f}^*S_1 = (f^{n^*}E_2)^* \otimes (f^{n^*}E_3)$ . Thus

**Proposition 7.2.** *Let  $\dim E_2 = 1$ ,  $\dim E_3 = m$  and  $f: X \rightarrow Y$  be  $A_1(\gamma)$ -transversal. If  $A(f)$  is compact, then*

$$D(A(f), A_1(f)) = W_m((f^{n^*}E_2)^* \otimes (f^{n^*}E_3)) = \sum_{i=0}^m W_1(f^{n^*}E_2)^i W_{m-i}(f^{n^*}E_3).$$

Let  $U_1$  and  $U_2$  be compact manifolds and let  $\phi: U_1 \rightarrow U_2$  be continuous.  $\phi$  induces a group (not ring) homomorphism  $\phi_\# : H^*(U_1) \rightarrow H^*(U_2)$ .  $\phi_\#$  is defined by composing  $\phi_*$  with the appropriate duality isomorphisms.

If  $\phi^*: H^*(U_2) \rightarrow H^*(U_1)$  is the ring homomorphism induced by  $\phi$ ,  $u_1 \in H^*(U_1)$  and  $u_2 \in H^*(U_2)$ , then  $\phi_\#((\phi^*u_2) \cdot u_1) = u_2 \cdot \phi_\#u_1$ . If  $\phi: U_1 \rightarrow U_2$  and  $\phi: U_2 \rightarrow U_3$ , then  $(\phi\phi)_\# = \phi_\#\phi_\#$ . Note that if  $U_1 \subset U_2$ ,  $i: U_1 \rightarrow U_2$  is the inclusion, and  $1$  is the unit cohomology class of  $U_1$ , then  $D(U_2, U_1) = i_\#1$ .

For the remainder of this section,  $X$  will be compact.

**Lemma 7.3.** *Let  $E$  be a vector bundle over  $X$  of fiber dimension  $m$ . Let  $a \leq m$  and let  $\pi: G_a(E) \rightarrow X$  be the projection. Suppose  $0 \rightarrow L_a \rightarrow \pi^*E \rightarrow M_a \rightarrow 0$  is the usual sequence over  $G_a(E)$ . Then  $\pi_\#(W_{m-a}(M_a)^a)$  is the unit cohomology class of  $X$ .*

*Proof.* See [5].

If  $E$  is a vector bundle over  $X$ , then  $-E$  will denote the inverse bundle of  $E$ . Porteous uses Lemma 7.3 to prove

**Theorem 7.4.** *Let  $X$  be a compact  $p$ -manifold,  $Y$  a  $q$ -manifold and a positive integer such that  $a \leq p$  and  $a > p - q$ . Let  $f: X \rightarrow Y$  be  $Z(a)$ -transversal and suppose  $Z_b(f) = \phi$  for  $b > a$ . Then  $D(X, Z_a(f))$  is the determinant of the  $a \times a$  matrix whose  $i, j$  term is  $W_{q-p+a+i-j}(f^*TY - TX)$ .*

*Proof.* See [5].

(Actually, Porteous proves a somewhat stronger theorem.)

**Lemma 7.5.** *Let  $E$  be a vector bundle over  $X$  of fiber dimension  $m$ , and  $\pi: G_1(E) \rightarrow X$  be the bundle projection. Then  $\pi_{\#}(W_1(L_1)^j) = W_{j-m+1}(-E)$  for each  $j$ .*

*Proof* (By induction on  $j$ ). Let  $a = W_1(L_1)$ ,  $1 + b_1 + \dots + b_{m-1} = W(M_1)$  and  $1 + c_1 + \dots + c_m = \pi^*W(E)$ .  $\pi_{\#}$  lowers dimension by the fiber dimension of  $G_1(E)$ , so the lemma is trivial for  $j < m - 1$ . By the Whitney duality theorem,  $\sum_{i=0}^{m-1} a^i c_{m-1-i} = b_{m-1}$ , so  $\pi_{\#}b_{m-1} = \sum_{i=0}^{m-1} \pi_{\#}(a^i)W_{m-1-i}(E) = \pi_{\#}(a^{m-1})$ . But by Lemma 7.3,  $\pi_{\#}b_{m-1} = 1$ , so the lemma is valid for  $j = m - 1$ .

We now assume that  $t \geq m - 1$  and that Lemma 7.5 is valid for  $j \leq t$ , and prove for  $j = t + 1$ .  $\sum_{i=0}^{m-1} a^i c_{m-1-i} = b_{m-1}$  implying  $\sum_{i=0}^{m-1} a^{i+1} c_{m-i} = ab_{m-1} = c_m$ , so  $\sum_{i=1}^m a_i c_{m-i} = 0$ . Thus if  $t + 1 \geq m$ , then  $\sum_{i=0}^m a^{t+1-m+i} c_{m-i} = 0$ . Applying  $\pi_{\#}$  and the induction hypothesis,

$$\begin{aligned} 0 &= \pi_{\#}(a^{t+1}) + \sum_{i=0}^{m-1} \pi_{\#}(a^{t+1-m+i})W_{m-i}(E) \\ &= \pi_{\#}(a^{t+1}) + \sum_{i=0}^{m-1} W_{t+2-2m+i}(-E)W_{m-i}(E), \end{aligned}$$

so  $\pi_{\#}(a^{t+1}) = \sum_{i=0}^{m-1} W_{t+2-2m+i}(-E)W_{m-i}(E)$ . But  $\sum_{i=0}^m W_{t+2-2m+i}(-E)W_{m-i}(E)$  is the  $(t + 2 - m)$ -dimensional term of  $W(-E)W(E)$  which is 0 since  $(t + 2 - m) \neq 0$ . It follows that

$$\pi_{\#}(a^{t+1}) = \sum_{i=0}^m W_{t+2-2m+i}(-E)W_{m-i}(E) = W_{t+2-m}(-E).$$

**Theorem 7.6.** *Let  $p \leq q$  and  $I^n = \underbrace{(1, \dots, 1)}_n$ . Let  $X$  be a compact  $p$ -manifold, and  $Y$  a  $q$ -manifold. Suppose  $f: X \rightarrow Y$  is  $Z(I^m)$ -transversal for each  $m \leq n$  and such that  $Z_i(f) = \phi$  for each  $i > 1$ . Then the dual to  $Z(I^n)(f)$  in  $X$  is a polynomial in the  $W_i(f^*TY - TX)$ , and this polynomial is computable and does not depend on  $X, Y$  and  $f$ .*

*Proof.* Let all notation be as in § 6. If  $1 \leq m \leq n$ , then  $f^*E_2^m = f^*E_2^1$  and  $f^*E_3^m = \left(\otimes_m f^*E_2^1\right) \otimes f^*Q^1$  over  $Z(I^m)(f)$ . Note that  $\dim E_2^1 = 1$  and  $\dim Q^1 = q - p + 1$ . Let  $i_m: Z(I^m)(f) \rightarrow Z(I^1)(f)$  be the inclusion. By Proposition 7.2, if  $1 \leq m \leq n - 1$ , then

$$\begin{aligned}
 D(Z(I^m)(f), Z(I^{m+1})(f)) &= i_m^* \left( W_{q-p+1} \left( \left( \bigotimes_m f^{1*} E_2^1 \right) \otimes f^{1*} Q^1 \right) \right) \\
 &= i_m^* \left( \sum_{i=0}^{q-p+1} \left( (m+1) W_1(f^{1*} E_2^1) \right)^i W_{q-p+1-i}(f^{1*} Q^1) \right).
 \end{aligned}$$

Thus

$$D(Z(I^1)(f), Z(I^n)(f)) = \prod_{m=1}^{n-1} \left\{ \sum_{i=0}^{q-p+1} \left( (m+1) W_1(f^{1*} E_2^1) \right)^i W_{q-p+1-i}(f^{1*} Q^1) \right\}.$$

Denote this cohomology class by  $C$ . If  $i: Z(I^1)(f) \rightarrow X$  is the injection, then  $D(X, Z(I^n)(f)) = i_* C$ . Let  $\pi: G_1(TX) \rightarrow X$  be the projection and  $s^1: Z(I^1)(f) \rightarrow G_1(TX)$  the obvious section. Then  $\pi_* s^1 = i$  so  $D(X, Z(I^n)(f)) = \pi_* s^1_* C$ . If  $\tilde{Q}$  is defined over  $G_1(TX)$  by the exactness  $0 \rightarrow M_1 \rightarrow \pi^* f^* TY \rightarrow \tilde{Q} \rightarrow 0$ , then  $f^{1*} Q^1 = s^1_* \tilde{Q}$ . As noted before,  $f^{1*} E_2^1 = s^1_* L_1$ .  $s^1_* C$  is now computable by Lemma 7.1. By the Whitney duality theorem,  $s^1_* C$  is expressible in terms of  $W_1(L_1)$  and the Whitney classes of  $\pi^* TX$  and  $\pi^* f^* TY$ . By Lemma 7.5,  $\pi_* s^1_* C$  is computable.

**Theorem 7.7.** *Let  $p \geq q$ , and  $I^n = (p - q + 1, \underbrace{1, \dots, 1}_{n-1})$ . Let  $X$  be a compact  $p$ -manifold, and  $Y$  a  $q$ -manifold. Suppose  $f: X \rightarrow Y$  is  $Z(I^m)$ -transversal for each  $m \leq n$  and such that*

- i)  $Z_i(f) = \phi$  for each  $i > p - q + 1$ , and
- ii)  $Z(p - q + 1, i)(f) = \phi$  for each  $i > 1$ .

*Then the dual to  $Z(I^n)(f)$  in  $X$  is a polynomial in the  $W_i(f^* TY - TX)$ , and this polynomial is computable and does not depend on  $X, Y$  and  $f$ .*

*Proof.* In the spirit of Theorem 7.6.

The author has been unable to find a nice form (as in Theorem 7.4) for the polynomials of Theorems 7.6 and 7.7.

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